

1 **Type of article: Review**

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3 **Plant signaling pathways activating defence response and interfering mechanisms by**
4 **pathogen effectors, protein decoys and bodyguards.**

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13 **Keywords:** Pathogen Associated Molecular Pattern (PAMP), Pattern Recognition Receptor
14 (PRR), PAMP Triggered Immunity (PTI), Effector Triggered Immunity (ETI), protein-protein
15 interaction, post-translational modifications, *Cladosporium fulvum* (Cf).

16
17 **Abstract.**

18 Plants activate an immune response in defense against microbial pathogens. The first
19 layer of immunity consists in the recognition of microbial fingerprints, called Pathogen
20 Associated Molecular Pattern (PAMP), by a set of Pattern Recognition Receptors (PRR). In
21 addition, the degradation products from fungi, bacteria and plant cells are recognised as Damage
22 Associated Molecular Pattern (DAMP).

23 The first layer of plant defence is based on Pattern Recognition Receptors (PRR) on the
24 membrane. These receptors, either receptor kinases or receptor-like proteins (RLPs), associating
25 with cytoplasmic kinases, recognize the presence of PAMPs, thus activating a local response
26 named PAMP-triggered immunity (PTI), that is not strong but effective towards many pathogen
27 species. Here we discuss and focus on Elongation Factor Tu Receptors (EFR) and flagellin
28 sensing (FLS) receptors. In leucine-rich repeat (LRR) receptor proteins, the hydrophobic LLR
29 domains are exposed on external membranes, providing the protein-protein interaction modules.
30 Plants evolved this protein-protein interaction domain several times during the development of
31 mechanisms to defend themselves from viruses, virulence factors, enzymes and effectors of
32 bacterial and fungal pathogens.

33 Pathogens in addition evolved proteins and enzymes that are injected in the plant cell to
34 counterfight plant immune signaling pathways. These effectors are recognised by plant receptors
35 sensing their presence of their cognate avirulence genes. These receptors originated from
36 recombination during evolution and only occur in some specific tomato genotypes, instead of the
37 widely occurring PRRs. Effector Triggered Immunity (ETI) allows a plant response to effector
38 proteins that is more strong, but is race specific. It leads to local necrosis and apoptosis, and to
39 the establishment of the hypersensitive response (HR). For biotrophic or hemibiotrophic
40 pathogens, necrosis is an effective way to limit their spread, while for necrotrophic pathogens
41 this is not efficient and sufficient way to limit their spread, since depends on the timing of
42 infection and on the plant development phase. Pathogenic fungi strategy relies on the formation
43 of specialised structures, or haustoria, that facilitate the nutrient uptake from plant cells. In this
44 review we summarize the most recent knowledge on plant pathogens and the mechanisms they
45 evolved to circumvent plant defences among which pathogen effectors, protein decoys inactivating
46 plant defence signals. Effectors are recognised through their binding to plant proteins by means of

47 plant receptors, that activate the Effector Triggered Immunity (ETI). In particular, we focus on the
48 Solanaceae, discussing general mechanisms and specific pathways that confer resistance to
49 various pathogens.

50 There is an arm race between plants and fungal and bacterial pathogens that has led to new
51 protein variants and protein decoys (pseudokinases, inhibitors and sponges blocking glucanases,
52 and Transcription Activator Like Effectors). Advances in understanding the function of pathogen
53 effectors will provide new ways to improve plant immunity and mechanisms of defence against
54 their pests. Finally, we present possible combinations of interventions, from gene engineering to
55 chemical priming, acting on signaling pathways regulated by jasmonate and salicylate hormones,
56 to increase plant resistance and activate plant defences without affecting crop yields.

57
58

59 **Introduction**

60 The first layer of plant defence against pathogens consists in the recognition of microbial
61 fingerprints, called Pathogen or Microbial Associated Molecular Pattern (PAMP/MAMP), by a
62 set of Pattern Recognition Receptors (PRR). PAMP are classified as: 1) structural PAMPs that
63 regroup molecules like polysaccharides (and lipopolysaccharides) involved in the maintenance of
64 the microbial cell integrity [1-4] and 2) the encoded PAMPs that are made of amino acid
65 sequences [5, 6]. Both PAMPs are under similar selective pressure from PRRs, but encoded
66 PAMPs are under selection and evolve more rapidly, thanks to genome mutations. In addition to
67 sequence conservation, encoded PAMP are spread in several pathogens, but not present in the
68 plant hosts. For instance, the enigmatic MAMP of *Xanthomonas* (eMax) protein is present in
69 several *Xanthomonads* [7], flagellin is present in motile bacteria, eubacterial Elongation Factor
70 thermo-unstable (EF-Tu) is widespread [9, 10] and the necrosis and ethylene inducing peptide 1
71 (Nep1)-Like Proteins (NLPs) are present in several plant pathogen kingdoms (bacteria, fungi and
72 oomycetes) [11].

73 Among proteins recognized as PAMP, the most studied in plant defense are flagellin and
74 EF-Tu. EF-Tu, codified by the *tuf* gene, is one of the most abundant proteins in bacteria and
75 belongs to the moonlighting protein family, i.e. proteins playing several functions carried by a
76 single polypeptide chain. EF-Tu has also been found associated with bacterial membrane [12],
77 thus allowing its recognition by plant membrane receptors [13].

78 Studying the minimal eliciting peptide in *Brassicaceae*, elf18, Zipfel identified the EF-Tu
79 receptor (EFR), belonging to the Leucine Rich Repeat (LRR) receptors family. The conserved N-
80 terminus has been shown to elicit innate immunity in Arabidopsis plants [14, 15]. EF-Tu may
81 undergo N-terminal modifications having opposing effects. For instance, N-terminal acetylation
82 enhances EF-Tu elicitor activity, whereas natural mutations within the 18 first amino acids of
83 EF-Tu (elf18) lower the innate immune signaling [16]. Dicotyledonous plants (dicots) show
84 differential responses to the K2R substitution in elf18. *Xanthomonas campestris* pv. *campestris*
85 B100 produces an elf18B mutant while elf18G is present in *Pseudomonas syringae* pv. *tomato*
86 DC3000, with lower activation of Hypersensitive Response (HR). *Solanaceae* plants lack a
87 functional EFR, thereby relying on other PAMP sensing receptors.

88 Although monocotyledonous plants (monocots) lack elf18 recognition system [17], it has also
89 been shown that a second and distinct EF-Tu epitope is able to induce immune responses in rice
90 [18]: an EF-Tu middle region comprising Lys176 to Gly225, termed EFa50, is fully active as a
91 PAMP in rice. In the leaves of rice plants, EF-Tu induced H₂O₂ generation and callose
92 deposition, and also triggered resistance to co-infection with pathogenic bacteria.

93 Flagellin is recognized in plants by at least three flagellin receptors [8, 19, 20], specific to
94 different plant lineages. Flagellin Sensing 2 (FLS2) is the receptor for the 22 amino acid peptide
95 (flg22) derived from flagellin. Other flagellin receptors recognise longer peptides. FLS3 senses a
96 28 amino acid peptide derived from flagellin in tomato [19], while in rice an LRR receptor is
97 able to recognise a flagellin C-terminal peptide [21-24]. Flagellin triggers cell death in tobacco
98 thanks to bacterial O-glycosylation of the hypervariable part of flagellin [25, 26]. The flagellin
99 C-terminal is glycosylated with several glycan repeats in *Acidovorax avenae* and *Pseudomonas*
100 *syringae* pv. *tabaci* 6605.

101 An evasion mechanism is exemplified by the evolution of the flagellin-encoding genes in
102 plant pathogens *Ralstonia solanacearum* or *Xanthomonas campestris* pv *campestris* B186
103 (*XccB186*) to evade FLS2 recognition [27, 28].

104 A different evasion strategy is exemplified by the *Pseudomonads* AprA protein, which
105 digests monomeric flagellin, thus hampering plant FLS recognition [29].

106 In plants, nucleotide-binding domain (NBD)- and leucine-rich repeat (LRR)-based receptors and
107 receptor like proteins (RLPs), lacking the cytoplasmic kinase domain, are sentinels of plant
108 immunity that monitor host proteins for perturbations induced by pathogen released proteins,
109 able to trigger defence signals [5, 30]. In LRR receptors, the hydrophobic LLR domains are
110 exposed on external protein surfaces, thus determining protein-protein interaction modules.
111 Plants evolved this protein-protein interaction domain several times during the development of
112 mechanisms to defend themselves from viruses, virulence factors, enzymes and effectors of
113 bacterial and fungal pathogens. RLKs, once activated by their ligands, form a complex with their
114 co-receptors, such as BRI-ASSOCIATED RECEPTOR KINASE 1/SOMATIC
115 EMBRYOGENESIS RECEPTOR KINASE 3 (BAK1/SERK3) [6, 7, 32-37], allowing trans-
116 phosphorylation between BAK1 and FLS2 or EFR kinase domains. After flg22 binding, FLS2
117 releases BOTRYTIS-INDUCED KINASE 1 (BIK1) and associates with BAK1.

118 A GxxxGxxxG motif in the trans-membrane (TM) domain of LRR receptors and RLPs, is
119 essential for interaction with SUPPRESSOR OF BIR1-1/EVERSHED (SOBIR1/EVR) [31].
120 LRR-RLPs constitutively interact with SOBIR1, with interplay of kinase activity and reciprocal
121 phosphorylation. Upon ligand perception by LRR-RLP, the associated SOBIR1 in turn interacts
122 with BAK1/SERK3, suggesting that a similar downstream signalling pathway is activated (see
123 scheme in figure 1). Peptide ligand receptor complex formation has been shown to follow a two
124 step phase: flg22 first triggers RLK heterodimerization and later assembly into larger complexes
125 through homomerization [36]. This event initiates downstream signalling for defence activation,
126 followed by internalization of the activated PRR complexes through endocytosis, that poses an
127 end to the signal allowing reconstitution of the receptors onto the membranes (see scheme in
128 figure 2). The downstream signalling results in the activation of a plant response, including
129 transcription of Pathogenesis-related (PR) proteins.

130 The signaling pathway that lead to plant defence involves the phosphorylation of LRR-receptors,
131 their translocation from membranes to vacuoles, as a negative feedback, the activation of
132 downstream Mitogen Activated Protein Kinase Kinases (MAPKKs). MAPKKs signaling is
133 involved in plant defense, regulation of vesicle trafficking, activation of Transcription Factors
134 (TFs), and transcription of target genes such as *AVR9/CF-9 RAPIDLY ELICITED* 132
135 (*ACRE132*) and *HAIRPIN INDUCED 1 (Hin1)* whose expression as defense-related marker
136 genes denotes the efficacy of the treatment experiments.

137 The plant LRR-XII family, differentially expanded in rice and Arabidopsis, includes either FLS2
138 and Xa21 [37, 41]. PTI responses include the production of reactive oxygen species (ROS) [30],

139 callose deposition in the plant cell wall, stomatal closure and the activation of defense-related
140 genes, and interfere with the survival and multiplication of non-adapted microbial invaders [38-
141 40]. ROS are generated in the apoplast by the respiratory burst oxidase homologs (RBOHs) [40,
142 41], and the RLK signaling and ROS production are each influenced by the other (crosstalk).

143 The growth hormones jasmonic acid (JA); salicylic acid (SA); ethylene (ET), indole acetic acid
144 (IAA); gibberellic acid (GA), activate signaling pathways that drive changes in gene expression,
145 resulting in specific defense responses and induction of pathogenesis-related proteins. Defense
146 comes at the cost of reduced growth, and plants have evolved strategies to minimize costs and
147 optimize the balance between growth and defense [42]. Different cellular pathways, dependent
148 on phytohormones-activated Transcription Factors, bring to the expression of defense proteins.

149 Jasmonate is known to regulate abiotic and biotic stress response: its active compound, 7-iso-
150 jasmonic acid-isoleucine (JA-Ile), releases the JASMONATE-ZIM-DOMAIN (JAZ) repressor
151 from the transcription factor MYC2, containing the G-box domain; JA also activates
152 transcription factors involved in abiotic stress, that are ethylene and JA regulated, containing the
153 GCC motif [87, 88].

154 The induction of defence proteins such as pathogenesis-related protein 1 (PR1) and pathogen-
155 induced defensin (PDF1.2) marker genes has been extensively used as marker of plant defense
156 regulated by SA and JA, respectively. The non-expressor of pathogenesis-related genes1
157 (NPR1) is a well known master regulator of gene expression during immune response, a
158 transcription activator sensing the redox state of the plant cell. NPR1 binds directly to SA via
159 two cysteine residues. Upon SA treatment, NPR1 oligomers are monomerised due to a change in
160 the intracellular redox status. NPR1 monomers are translocated to the nucleus where they
161 activate gene expression [89].

162 The plant hypersensitive response (HR) leading to disease resistance is characterized by the rapid
163 accumulation of nitric oxide (NO). Nitrosylation of cysteines in enzymes of JA synthesis have
164 been found to be important in regulating JA signaling. In plants, NO-mediated nitrosylation
165 activates transcription factors such as MYB (MYeloBlastosis gene), a basic helix-loop-helix
166 (bHLH) TF, involved in JA-dependent signaling. SA binding protein 3 (SABP3), modulating the
167 SA response and integrating the JA signaling, is nitrosylated by NO during the hypersensitive
168 response (HR) [89].

169 This NO signaling triggers localized hypersensitive cell death, inducing sets of defence genes,
170 and mediates a network that is involved in the establishment of Systemic Acquired Resistance
171 (SAR). In general, local and systemic defense response, including systemic acquired resistance
172 (SAR), against biotrophic pathogens is mediated by SA, whereas JA and ET mediate responses
173 against necrotrophs. The crosstalk between SA and JA pathways can be either mutually
174 antagonistic or synergistic.

175

176 **Pathogen effectors: Avirulence genes**

177 There are several mechanisms that pathogens use to switch off plant defense activation
178 Pathogens secrete toxins and/or effector proteins able to hijack PTI signaling and to inactivate
179 PRR-based defences, in order to allow nutrients availability, and to support pathogen spread.
180 Large repertoires of effector activities have been found for pathogens with different lifestyles.
181 There are effectors in extracellular bacteria released in host cells by type III secretion system
182 (TTSS) (T3S); other effectors in oomycetes and fungi able to invaginate specialized feeding
183 organelles, called haustoria, into host cells. The effectors are proteins or secondary metabolites
184 that subvert host physiology for the advantage of the pathogens. The effector proteins are

185 delivered into the host plant to manipulate host defence in several ways, by protein post-
186 translational modification, exerting a wide range of enzymatic modifications, or targeting host
187 proteins to degradation, interfering with phytohormone signaling, vesicle transport and the
188 formation of the cytoskeleton, and by nuclear localisation, acting as transcription factors
189 modifying gene expression profiles. These effectors, named *Avirulence (Avr)* genes, or *Xop*
190 genes for *Xanthomonas oryzae* pathogenesis genes, modify and inactivate a series of plant
191 signaling pathways leading to a block in plant immune defences [43-48].

192 Effectors represent adaptation to hosts, evolved from genes and functions from saprotrophic
193 ancestors and plant symbionts, from molecules used to suppress ecological competitors.
194 Effectors from evolutionarily diverse pathogens are highly specialised and specific for a limited
195 number of plant proteins with activity and role linked to plant immunity.

196 The effectors are recognised by plant receptors sensing their presence of their cognate
197 avirulence genes. For instance, the receptors for *Cladosporium fulvum* (Cf) Avr effectors are
198 RLPs that lead to the formation of protein complexes. The tomato SOBIR1 acts as a co-receptor
199 for Cf proteins. These effectors have been numbered according to the sequential order of
200 discovery.

201 The Cf receptors, originated from recombination during evolution, are present only in some
202 specific tomato genotypes, leading to race-specific resistance and a strong Hypersensitive
203 Response (HR). This leads to effector triggered immunity (ETI). It has been shown that PTI and
204 ETI have similar anti-pathogen outputs: the effector-triggered immune response is stronger, but
205 race specific, leading to a localised programmed cell death (PCD) or to necrosis, for the
206 containment of pathogen spread, contributing to HR.

207 *Botrytis* and *Pythium* are necrotrophic pathogens, that destroy plant tissues with limited
208 species specificity [49]. The pathogenicity is based on degrading enzymes or toxic metabolites,
209 with a limited number of effectors produced, and cell killing protein toxins. Other fungi have a
210 highly specialized life cycle and restricted host range. The fungi start a growth within the plant
211 apoplast without any symptom, then pathogens produce metabolites and toxins targeting
212 specifically gene products, i.e., a single gene of the pathogen interacts with a single gene of the
213 plant to induce susceptibility [46]. Biotrophic pathogenic fungi, such as rust, powdery mildew, or
214 white rust and downy mildew oomycetes, show host specificity and dependence on the host plant
215 for metabolites. In this case, evolution toward pathogenicity has led to genome shrinking with
216 loss of genes involved in nutrient acquisition, with expansion of effector genes [46].

217 To protect the effectors from host proteases, fungi evolved several mechanisms of protease
218 inhibition [50]. Many effector proteins secreted into the apoplast are rich in cysteine residues
219 forming cystine knots and disulfide bridges, that increase protein stability in a protease-rich
220 environment, or have high affinity to plant proteases [50-57].

221 Many pathogen effectors are inhibitors of plant proteases [51]. The tomato cysteine proteases
222 Rcr3, Pip1, aleurain, and TDI-65 are necessary during basal host defence against fungal
223 pathogens. Pip1 and Rcr3 are strongly induced by fungal effectors and by hormones such as
224 salicylic acid (SA) [51].

225 Cystatin-like EPIC proteins, secreted by the oomycete *Phytophthora infestans*, target the C14
226 proteases in *Solanaceae*. *P. infestans* (Pinf), during tomato infection produces EPIC1 and
227 EPIC2b (effector protease inhibitor, cystatin-like), cysteine protease inhibitors that target two
228 tomato proteases, C14 and *Phytophthora*-inhibited protease-1 (Pip1) [50, 51]. The *P. infestans*
229 EPI1 and EPI10 protease inhibitors [52], induced during infection, interact and inhibit the P69B
230 cysteine protease in tomato apoplast [51]. Oomycetes can produce up to 12–15 Kazal type serine

231 protease inhibitors [52]. In maize, fungal cysteine protease inhibitor Pit2 binds and inhibits CP2,
232 CP1A and CP1B proteases. AvrP123, in *Melampsora lini*, is a Kazal-like proteinase inhibitor
233 [53].
234 In Arabidopsis, pathogen *Hyaloperonospora arabidopsidis* (Hpa) produces cystatin-like EPIC
235 inhibitors targeting RD21 cysteine protease. The *rd21* plant mutants were shown susceptible to
236 *Botrytis cinerea* infection [54].
237 Effector proteins from *Ustilago maidis* can block plant immune responses by inhibiting the
238 expression of cysteine proteinase C69 [55].
239 On the other side, pathogens relay on proteases for the digestion of plant tissues [69]. Therefore,
240 plants acquired a large spectrum of proteinase inhibitors to fight and block the pathogen
241 proteases. Protease inhibitors belonging to the Kunitz family are present in higher plants, such as
242 Solanaceae. Potato, tomato and other Solanaceae contain various Kunitz-type protease inhibitors
243 (PKPIs), with a size of 24.000 Dalton (Da) [68]. Potato tubers infected by *Aspergillus*
244 *carbonarius* accumulated several inhibitors with specificity toward different proteases, such as
245 trypsin/chymotrypsin inhibitors in the early phase of infection, followed by papain, ficin,
246 bromelain and cathepsin B inhibitors in later stage of infection [68]. It may be possible that KPIs
247 are processed, as the PKPI P58514.2 [69], a strong inhibitor of *P. infestans* infection.
248 In tomato, the Kunitz-type proteinase inhibitor 4 (KTI4), with size 21 kDa, functions
249 downstream of the vacuolar protease *SIVPE3*. The suppression of expression of VPE3, by gene
250 silencing, affects fruit susceptibility to pathogen infection and fruit disease resistance. The
251 susceptibility of tomato fruit to necrotrophic pathogens such as *Botrytis cinerea* increases during
252 fruit ripening: KTI4 requires a processing by *SIVPE3* into smaller peptides, since their presence
253 is related to tomato resistance to *B. cinerea* [67].
254
255 ***Cladosporium fulvum* effectors: Avr2/Rcr3/Cf-2 system**
256 During infection, *C. fulvum* produces several effectors with protease inhibitor function. Both
257 Rcr3 and Pip1 plant proteases are inhibited by Avr2 from *C. fulvum*. Avr2, being a cystatin,
258 inhibits tomato cysteine proteases, including Rcr3, Pip1, aleurain, and TDI-65, important in basal
259 host defence.
260 The binding of Avr2 to Rcr3 causes the recognition of the complex by tomato Cf-2 immune
261 receptor [51]. When Avr2 binds to Rcr3, this interaction is sensed by Cf-2 leading to Effector
262 Triggered Immunity (ETI).
263 Avr2 inhibits also *Arabidopsis* cysteine proteases. XCP2, RD21A and Responsive to
264 Dehydration 21B (RD21B) were identified using yeast two-hybrid assays as interacting partners
265 of protease inhibitors in Arabidopsis [56], that stabilise XCP2. In a biochemical study, XCP1,
266 XCP2 and CPR1 showed high Avr2 affinity, while Responsive to Dehydration 21A (RD21A),
267 aleurain and aleurain-like thiol proteases had low Avr2 affinity [57-60].
268 Rcr3, targeted by Avr2, is involved in basal defense and satisfies the definition of a
269 pathogenesis-related (PR) protein [61].
270 The guard model hypothesis proposed by Jones and Dangl [65] requires that some R proteins
271 monitor a pathogen effector target rather than interact directly with their cognate pathogen
272 effector. If a pathogen effector mutates to enable modification of the guard-target without being
273 detected by the guard, then the guard and guard-target complex come under evolutionary
274 pressure to regain recognition capacity or avoid modification by the effector or both.
275 The Cf-2–Rcr3–Avr2 interaction is a well-characterized example of an interaction in the tomato–
276 *C. fulvum* pathosystem that conforms to the guard hypothesis. Rcr3 has the hallmarks of

277 pathogen-driven positive selection. First, it belongs to a multigene family that resides in a
278 complex locus with five paralogs, including Pip1, which is also targeted by Avr2 [66]. Second,
279 there is evidence for divergent selection in and around the substrate-binding grooves in Rcr3 and
280 Pip1 [53].

281

282 ***Cladosporium fulvum*: ExtraCellular Proteins (ECPs) as effectors in Solanaceae infection**

283 AvrECP1, AvrECP2, AvrECP4, AvrECP5 and AvrECP7 are secreted cysteine-rich proteins.
284 This property may confer increased resistance to proteolysis and highly compacted structure.
285 AvrECP6 encodes a larger protein containing three LysM carbohydrate-binding domains that
286 may bind chitin. To date, 11 different *ECP* and *Avr* genes have been cloned, and at least
287 additional eight are predicted, based on distinct gene-for-gene interactions [62, 63].

288 Resistance genes conferring recognition of ECP1, ECP2, ECP4, and ECP5 have been identified
289 from *L. pimpinellifolium* and were found to map to a cluster of *Homologs of Cladosporium-*
290 *resistance gene Cf-9 (Hcr9)* genes, located on the short arm of tomato chromosome 1.

291 Avr9B targets a basal defense protein that is significantly upregulated or only expressed in adult
292 plants. Cf-9B recognizes a necrosis-inducing protein (NIP) present in the apoplast of *Nicotiana*
293 *benthamiana* (*N. benthamiana*). The necrosis-inducing protein in *N. benthamiana* corresponds to
294 the protein targeted in tomato by Avr9B, the complex being recognised by Cf-9B. The
295 heterologous expression of Cf-9B and the *Hcr9* genes *Peru1* and *Peru2* triggers necrosis in a
296 number of *Nicotiana* species [63].

297

298 ***Cladosporium fulvum* effectors: Avr9/Cf-9 system**

299 Avr9 is sensed by Cf-9. Avr9 in *C. fulvum* is a protease inhibitor with a cysteine-knot
300 structure, resembling a carboxypeptidase inhibitor [56]. Avr9 is recognised by High Affinity
301 Binding Sites (HABS) on plasma membrane, and this interaction is sensed by the LRR receptor
302 Cf-9, triggering receptor activation and signaling.

303 In Solanaceae, the pattern of responses to Cf-9 alone or in combination with Avr9 is mirroring
304 the response to Cf-4 alone or in combination with Avr4 [63]. Assuming that the Cf-4–Avr4
305 interaction is direct, it is deduced that also Cf-9–Avr9 interaction is direct, probably depending
306 on the binding of Avr9 to HABS present in Solanaceae. A difference between Cf-9 and Cf-4 is
307 found in lettuce, which responds to Cf-4/Avr4 interaction but not to Cf-9–Avr9 interaction.
308 Presumably the failure of the Cf-9–Avr9 combination to do so can be attributed to the absence of
309 the HABS in lettuce.

310

311 ***Pseudomonas syringae* effector proteins**

312 *Pseudomonas syringae* employs a type III secretion system to inject 20-30 different type III
313 effector (T3SE) proteins into plant host cells [70].

314 The *P. syringae* YopJ/HopZ superfamily of T3SEs has acetyltransferase activity. Acetylation of
315 an NB-LRR plant immune-effector complex suppresses immunity.

316 HopAO1, secreted by *P. syringae*, is a tyrosine phosphatase that reduces EFR phosphorylation
317 and prevents PTI (43, 46). XopE1 and XopE2 belong to the HopX (AvrPphE) family of putative
318 transglutaminases with different enzymatic activities like proteases, peptide N-glycanases, and
319 DNA repair proteins [43, 72].

320 Many plant receptors for avirulence genes are LRR proteins acting in concert with co-receptor
321 kinases. The presence of pseudokinases devoid of activity interferes with effector function [73-

322 75]. There is a competition between the pseudokinase and the pathogen effector for its natural
323 target, with a sponge effect.
324 The *Arabidopsis* Nucleotide-binding domain LRR (NLR) protein AtZAR1 (acronym for HOPZ-
325 ACTIVATED RESISTANCE1) was shown to require the ZED1-RELATED KINASE (ZRK)
326 ZRK3. ZED is the pseudokinase, acting as a complex formation hub. HopZ1a is an
327 acetyltransferase that acetylates the pseudokinase AtZED1 and triggers recognition by AtZAR1.
328 HOPZ-ETI-DEFICIENT1 (AtZED1) is a receptor-like cytoplasmic protein that recognizes the
329 *Pseudomonas syringae* (PtoDC3000) type III effector HopF2a. HopF2a does not directly ADP-
330 ribosylate ZRK3: probably ZRK3 acts as an adaptor between AtZAR1 and an unidentified kinase
331 that is modified by HopF2a. AtZAR1 is thus a recognition hub able to activate three LLR
332 proteins (AtZED1, ZRK3, and RKS1) of the type XII Receptor family, to sense three T3S
333 effectors that have different enzymatic activities and are from different bacteria [91].
334 AvrAC (XopAC Xcc) uridylylates BIK1 kinase, with inhibition of BIK1 phosphorylation. PBL2,
335 a paralog of BIK1, is similarly uridylylated by AvrAC. However, in contrast to BIK1, PBL2
336 uridylylation is specifically required for host recognition of AvrAC to trigger immunity, but not
337 AvrAC virulence. PBL2 thus acts as a decoy and enables AvrAC detection [72].
338 Among bacterial effectors that interfere with post-translational modifications, HopM1 interacts
339 and induce degradation of an ADP-ribosylation factor-guanine nucleotide exchange factor (ARF-
340 GEF) involved in vesicle trafficking. Some pathogen effectors such as HopU1, HopF2, and
341 AvrRpm1 are toxins belonging to cholera-like (C type) ADP Ribosyl Transferases (ART):
342 HopU1 ADP ribosylates and inactivates GRP7 RNA binding protein; while HopF2 is a
343 diphtheria-like (D type) ART that modifies MAPKKs. XopQ Xoo is present in complex with
344 adenosine diphosphate ribose, thus mimicking a Macrodomain protein, thus possibly interfering
345 with ADP ribose hydrolases or masking these post-translational modifications [43, 72].
346

347 **Defensive effectors in plant symbionts: effectors interfering with plant immunity and** 348 **establishing tolerance**

349 In general, the mechanisms of defence of plants against pathogens involve numerous signals,
350 starting with detection of pathogen-derived PAMPs and effectors molecules, followed by signal
351 transduction from receptors to transcription factors, to the production of antimicrobial molecules
352 and plant cell death.

353 There are effectors grouped for their roles as defensive effectors, in symbiotic bacteria, that
354 interfere with some component of the plant immune system to protect the symbiosis, and
355 offensive effectors that subvert some physiological functions of the plant for the benefit of the
356 symbiont, i.e. to increase nutrient availability. Host physiological networks may trigger plant
357 immunity and cause cell death while suppressing defence functions to promote nutrition. In
358 addition, for the symbionts, it is necessary to avoid host cell death, while for a hemibiotroph
359 apoptosis may be beneficial or undesirable, depending on the timing of the infection.

360 FLS2 in *Vitis vinifera*, VvFLS2 [77] differentially recognizes flagellin-derived epitopes from the
361 endophytic growth promoting bacterium *Burkholderia phytofirmans* and plant pathogenic
362 bacteria.

363 PTI can also lead to a reduction in type III-dependent effector protein translocation, suggesting
364 that plants actively interfere with the expression of T3S genes and/or T3S-dependent protein
365 delivery. It was postulated that mycorrhizal fungi and bacteria promoting plant growth modulate
366 the PTI signaling and are able to suppress chitin recognition in favour of the establishment of
367 symbiosis [78-83].

368

369 **Microbial decoys and bodyguards**

370 Plant-fungi interactions, as well as plants with other invaders, have shown an evolutionary
371 adaptation of hosts and invaders to produce enzymes and evolve new enzyme inhibitors. Among
372 these products, are protein decoys and bodyguards, able to bind or mimic the targets of plant
373 enzymes in order to act as a sponge. Microbial decoys are proteins mimicking the interaction
374 domain of a protein partner, thus impeding its accessibility, or enzymes interfering with a plant
375 defence mechanism [83-85]. Such decoys have been also named bodyguards, in that they are
376 able to protect virulence factors from the action of resistance genes and plant defence pathways.

377 Glucanases are enzymes that degrade cell walls. *Botrytis cinerea* produces the family 11
378 xylanase that is blocked by the plant with production of endoxylanase inhibitors XIP-1 and
379 TAXI-1 [48]. *Phytophthora sojae* secretes a xyloglucanase that damages soybean cell walls.
380 Soybean, in turn, secretes a defense protein, Glucanase inhibitor protein-1 (GIP1) that binds endo
381 β -1,3-glucanases. To counteract this plant defense, the oomycete deploys a secreted apoplastic
382 xyloglucan-specific endoglucanase, PsXEG1, and the PsXEG1-like PsXLP1, that binds to
383 GmGIP1 more tightly than does PsXEG1, an inactive enzyme that sequesters the plant inhibitor
384 as a decoy, allowing the oomycete to invade the soybean cells. The gene pair encoding PsXEG1
385 and PsXLP1 is conserved in many *Phytophthora* species, and the *P. parasitica* orthologs
386 PpXEG1 and PpXLP1 have similar functions [83-85]. The apoplastic decoy strategy may be
387 widely used in *Phytophthora* pathosystems.

388 Chitin and the oligomers derived from the catabolism are sensed as molecular patterns. Fungi
389 deacetylate the N-acetyl-glucosamine present in chitin in order to prevent its recognition as a
390 PAMP. Furthermore, fungi have evolved protein decoys able to interfere with this recognition.
391 Chitin hiding proteins thus antagonise and interfere with plant Chitin Binding Domain-chitinases.
392 Finally, recent findings disclosed the role of pathogen bodyguards, proteins interfering with plant
393 defence mechanisms, such as the mimicking Transcription Activator Like Effectors (TALE) [46],
394 found in *Ralstonia solanacearum* and in Xanthomonads.

395

396 **Transcription Activator-Like (TAL) effectors (TALE)**

397 TAL effectors are able to activate expression of genes that induce plant defences. TALE
398 proteins have a Nuclear Localisation Domain (NLS) and an acidic activation domain (AD), for
399 the activation of the transcription machinery and expression of genes.

400 *Xanthomonas* AvrBs3 or TAL family infect more than 200 different plant families. According to
401 their narrow host range, individual *Xanthomonas* strains are grouped into different pathovars
402 (pv.). Some pathovars cause localised leaf spots and multiply extracellularly, within the leaf
403 mesophyll or apoplast. In contrast, pathovars such as *Xanthomonas campestris* pv. *campestris*
404 (*Xcc*) and *Xanthomonas oryzae* pv. *oryzae* (*Xoo*) have access to the plant vascular system
405 (xylem), spread systemically throughout the plant, and cause black rot or leaf blight disease [46].
406 Xanthan, released by *Xanthomonas*, blocks the xylem system causing wilting.

407 The pepper (*Capsicum annuum*) resistant cultivars Early Cal Wonder (ECW) carries the *Bs1* and
408 *Bs3* dominant resistance (*R*) genes.

409 The TAL effectors AvrXa7, PthXo1, PthXo2, PthXo3 from *Xoo*, and PthA and PthB from *X.*
410 *citri* pv. *citri* are major virulence determinants [46].

411 *Os8N3/Xa13* is a rice target gene induced by PthXo1 [46]. The recessive *xa13* allele acts as an *R*
412 gene against *Xanthomonas* infections. Resistance is based on lack of PthXo1-mediated *Os8N3*
413 expression in *xa13* homozygous plants.

414 A prototype of the resistance genes recognizing a TAL effector was *Xa27* [46]. *Xa27* is
415 expressed only in resistant lines during *Xanthomonas* infection.
416 Thereafter, the pepper *Bs3/avrBs3* dependent HR was studied [46]. Cloning of the *Bs3* gene from
417 pepper resistant variety ECW-30R showed that *Bs3* expression and the HR depend on binding of
418 *AvrBs3* to a specific DNA element (*UPA* box) in the *Bs3* promoter. *Bs3* encodes a flavin
419 monooxygenase (FMO). At least two additional *R* genes from rice (*Xa7*, *Xa10*) are under
420 investigation. *Bs4* is a TIR-NB-LRR protein that localizes to the plant cell cytoplasm, where it
421 directs recognition of *AvrBs4* TAL effector.
422 Nuclear Localisation Sequence (NLS) and Acidic Activation Domain (AD) in *AvrBs3* are
423 features typical of eukaryotic motifs, and are conserved: thus, *AvrBs3* should have a functional
424 role in plant cells. *R* genes detecting TAL effectors require the NLS and the AD in their sequence.
425 For the mechanism of recognition, these molecular traps have been termed decoys.
426 Only a few effectors were shown to be major virulence factors because their deletion leads to a
427 dramatic loss of virulence. *AvrBs2* from the pepper and tomato pathogen *X. campestris* pv.
428 *vesicatoria* strongly contributes to the multiplication of the bacteria in planta, while mutations in
429 *AvrXccC* and *XopXccN* from *X. campestris* pv. *campestris* only weakly affect bacterial growth
430 [46]. Several recent studies suggest that effectors of *Pseudomonas* and *XopX* from *X. campestris*
431 pv. *vesicatoria* promote lesion development and growth in *Nicotiana benthamiana* through
432 suppression of basal plant defense.
433 Interfering TALEs (iTALs) are pathogen effectors able to overcome disease resistance [86]. In
434 comparison with typical TALEs, iTALs lack a transcription activation domain but retain
435 nuclear localization motifs and are expressed from genes previously considered pseudogenes.
436 The rice gene *Xa1*, encoding a nucleotide-binding leucine-rich repeat protein, was shown to
437 confer resistance against *X. oryzae* isolates by recognizing multiple TALEs.
438 However, the presence of iTALs in many isolates is able to interfere with the broad-spectrum
439 resistance conferred by *Xa1*. *Xa1* activates resistance, hypersensitive response (HR) and cell
440 death, but this activation is suppressed by iTALs expressed in *Xoo* and *Xoc*.

441

442 **Plant signaling of defense activation using chemical priming.**

443 The growth hormones jasmonic acid (JA); ethylene (ET), salicylic acid (SA); indole acetic acid
444 (IAA); gibberellic acid (GA), activate signaling pathways that drive changes in gene expression,
445 resulting in specific defense responses and induction of pathogenesis-related proteins.

446 Oxylipins, and in particular the lipoxygenase pathway leading to synthesis of JA, are well known
447 regulators of the signaling pathways in response to biotic stresses, in some case overlapping with
448 SA signaling [87-90]. Azelaic acid (AA) has been suggested to be a phloem-mobile signal that
449 primes SA-induced defenses: its biosynthesis pathway is still unknown, being a derivative of
450 oleic acid or its desaturated derivatives, linoleic and linolenic acids, through the activity of
451 lipoxygenases and oxylipin synthesis genes.

452 Other plant secondary metabolites, such a nitric oxide (NO), contribute to the regulation of JA
453 synthesis [88] and SA-dependent gene expression, including microRNAs [89].

454 Priming is related to compounds able to switch an activation state: during Induced Resistance
455 (IR) response, plants react more rapidly to a stress because they are in an induced state. It was
456 proposed to divide the priming phenomenon into three different stages: a priming phase, a post-
457 challenge primed phase, and a trans-generational primed phase [92]. In this first stage, the levels
458 of transcripts, proteins and metabolites are altered, with the plant in a standby state. In the post-
459 challenge primed state, reactions fighting the stressor are induced rapidly. In the third phase,

460 plants generated from seeds of primed plants show a priming memory and react rapidly to
461 pathogens.
462 Induced resistance (IR) leads to various types of systemic resistance throughout the plant. IR is
463 based on two general mechanisms: direct activation of defense responses in systemic tissue after
464 local stimuli and priming, which implies activation of systemic responses, but only when the
465 pathogen reaches these sites. The best characterized type of IR is systemic-acquired resistance
466 (SAR), which is mostly dependent on SA, unlike the less understood JA-dependent defense.
467 Cross-talk between different signaling pathways has been reported to generate both synergistic
468 and antagonistic defense responses. In some cases this cross-talk might contribute to fine-tune
469 defense responses against some pathogens according to its mode of infection.
470 Acibenzolar-S-methyl, or benzothiadiazole, is a functional analogue of SA hormone, that plays a
471 central role in innate immunity as a co-activator of immunity-induced transcription
472 reprogramming. Among the priming agents often used, are: methyl jasmonate, a volatile
473 precursor of JA; beta amino butyric acid (BABA), that spread to leaves induces accumulation of
474 SA, found important in defense against *P. syringae*; probenazole, inducing a general state of
475 resistance: potassium phosphate, hexanoic acid, 2,6-dichloroisonicotinic acid and its methyl ester
476 (both referred to as INA), and benzo (1,2,3)thiadiazole-7-carbothioic acid S-methyl ester (BTH),
477 are priming agents which trigger SAR [93]. Resistance elicitors such as acibenzolar-S-methyl
478 (ASM), cis-jasmone (CJ), β -amino butyric acid (BABA), which involve SA- and JA-dependent
479 and independent signaling pathways, are widely used in field and in agriculture.
480 Activators or priming compounds approved in EU are: Acibenzolar-S-methyl (benzothiadiazole)
481 and cerevisane [94]. Elicitors are plant activators with plant protection effect. Among those
482 approved in EU, are: chitosans, fructose, heptamaloxylglucan, laminarin, pepino mosaic virus
483 strain CH2 isolate 1906, Zucchini yellow mosaic virus strain 2020 [94-96]. A possible
484 mechanism dependent on attenuated virus recognition has been proposed as LRR-receptor
485 dependent [97].
486 COS-OGA is an elicitor made of an oligosaccharidic complex comprising chitooligosaccharides
487 (COSs) and pectin-derived oligogalacturonides (OGAs) [98]. Therefore, it results from the
488 association of both plant non-self PAMP (chitosan, with a mean polymerization degree of 7) and
489 altered self molecules recognised as DAMP (oligopectates with a mean polymerization degree of
490 11). In plant immunity, OGAs are race-nonspecific elicitors that mimic degradation of plant cell
491 wall and middle lamella pectin by fungal polygalacturonases [99].
492 Sclerotinia rot is fought using the biocontrol agents Contans, *Bacillus pumilus*, *Pythium*
493 *oligandrum*, and *Trichoderma* spp., *Verticillium* spp. under development. *Fusarium* sp. in cereals,
494 and late blight in potato, are fought using Polyversum (*Pythium oligandrum*), while
495 *Pseudomonas* spp. and *Bacillus* spp. as antagonists are under development. Soil-borne pests
496 (*Macrophomina*, sp. *Verticillium* sp., *Rhizoctonia* sp., *Plasmodiopora* sp., *Aphanomyces* sp.,
497 *Dickeya* sp., *Pectobacterium* sp., *Gaeumanomyces graminis*) are fought using Polyversum
498 (*Pythium oligandrum*), *Trichoderma* spp., *Streptomyces* spp., while *Pseudomonas* spp. and
499 *Bacillus* spp. as antagonists, under development. Powdery and downy mildew are fought using
500 Green pesticides, induced resistance and plant resistance elicitors and antagonists. Laminarine
501 (brown algae) is used as biocontrol for its effect as a DAMP signal. *Cydia pomonella*, pathogen
502 of apple, pear and walnut, is fought using Granulosis virus and *Steinernema carpocapse* [94].
503 Although there are still studies under way to establish the potential for field application and crop
504 protection [100-103], the exploitation of plant immune responses and SAR through improvement
505 of transcription factor dependent gene expression is going to increase crop production. This may

506 combine with the identification of race-specific receptors and their introduction into susceptible
507 varieties, to establish plant varieties with increased resistance to their pathogens.

508

509 **Future perspectives and conclusions**

510 There are several approaches possible to reinforce the immunity of plants to continuously
511 evolving pathogen strains. The introgression of resistance genes has the main drawback that
512 single *R* genes recognize only specific pathogen genotypes, whereas microbes can quickly loose
513 effectors and evolve novel ones, thereby avoiding recognition. Previously, an effective and
514 resistance against a broad spectrum of bacterial pathogens, was obtained by combining the Wall-
515 associated kinase (WAK) ectodomain with the intracellular domain of FLS2 in tobacco [104,
516 105] and by transferring immune receptors among plant species, as reviewed in [106]. In
517 ongoing research, FLS2 and EFR ectodomains were swapped with Cf9 intracellular domain,
518 leading to enhanced activation of HR and necrotic lesions in tobacco (Unpublished results). It
519 may be possible in the future, by exploiting novel techniques such as cisgenesis, to produce
520 plants able to sense pathogen presence by a general PAMP and able to trigger an ETI response
521 followed by HR. In the meantime, another strategy is to potentiate the plant surveillance system
522 and phytohormone signaling leading to SAR by means of priming and chemicals already used in
523 field. In addition, the activated state should not interfere with the normal plant development and
524 the growth/defence trade off [30]. The main problems that we need to face is the differences
525 existing among monocots and dicots, and especially the peculiar mechanisms present in
526 Solanaceae that are not so easily transferred to other plant species. It is envisaged that in the
527 future we will be able to engineer olive trees with resistance to *Xylella fastidiosa*, tropical fruits
528 with resistance to viruses, and to ensure the availability of food products ensuring food security
529 despite the continuous appearance of novel pathogens and the transfer of new world pathogens to
530 Europe and USA due to global trade of commodities.

531

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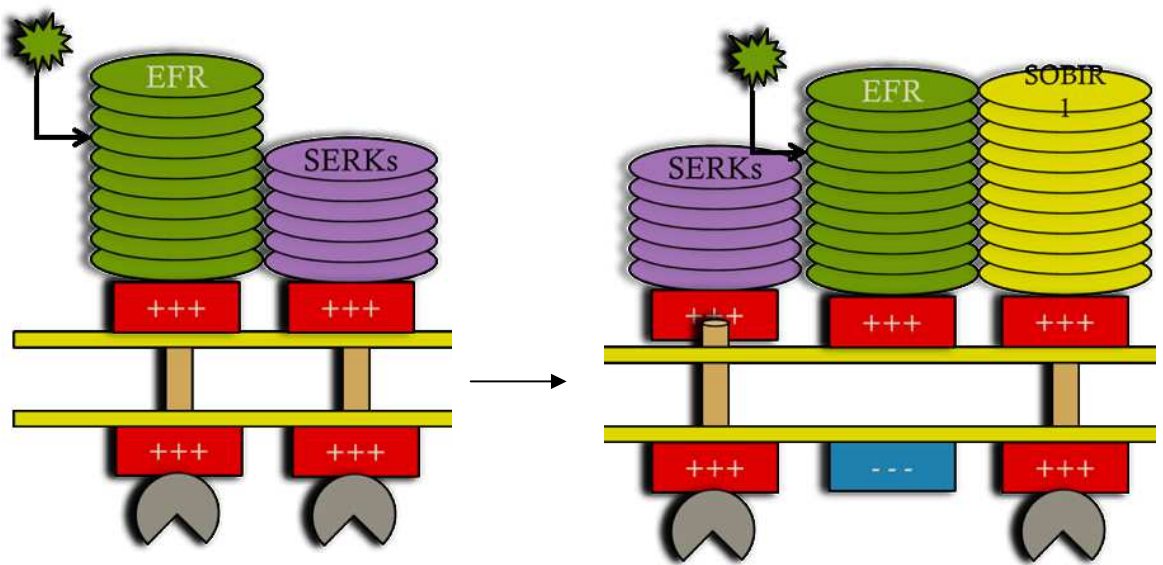
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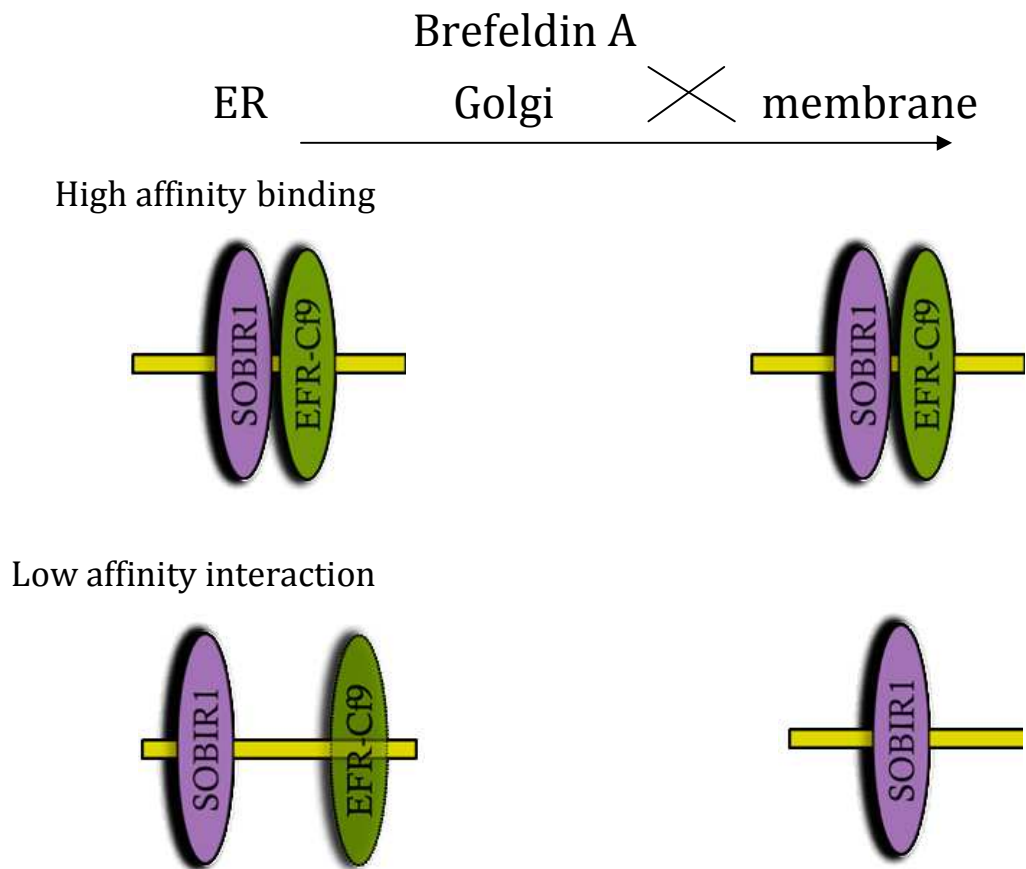
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Figure 1. Formation of the LRR Receptor/co-receptor kinase complex. EFR-SOBIR1-SERK complex and heterodimerization. After trans-phosphorylation between the kinase domains, receptor endocytosis switches off the signal.



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 840 Figure 2. Activation of SOBIR1 and trans location. Protein folding by Endoplasmic Reticulum
 841 Quality Control (ERQC) system is needed for kinase domain co-receptors such as SOBIR1,
 842 cooperating with FLS2 and EFR, and with Cf9 protein (Receptor for *Cladosporium* Avr9) and
 843 Cf4 (for Avr4) in tomato. When brefeldin A, an inhibitor of translocation from ER to Golgi
 844 compartment and to plasma membrane, is added, the translocation to the membrane compartment
 845 does not occur. The formation of the complex with the LRR Receptor requires specific
 846 conditions, such as higher temperature and exposure for a minimum time before the creation of
 847 high affinity interaction.
 848