

2 **Bridged Benzocyclotrimers: concepts, Synthesis, and Applications**

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ABSTRACT Bridged polycyclic frameworks represent a unique tool to form curved units, the bicyclo[2.2.1]hepta-2,5-diene system, being widely exploited to design and induce concave topologies. In particular, bridged benzocyclotrimers (BCTs) are characterized by a flat aromatic base decorated with bridged polycyclic motifs, which provide the suitable

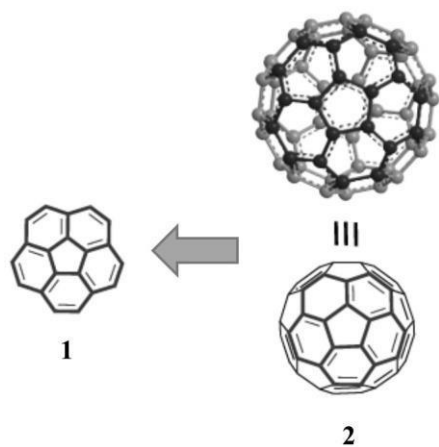
14 curvature underlying the concave-convex topology. In the 1960s, these molecules attracted interest for
15 their own chemical and physical properties. Later, the improvements of synthetic procedures to produce
16 bridged BCTs have paved the way for their utilization to design and prepare molecular containers, bowls,
17 cages, and baskets that are able to accommodate target molecules, recognize them, and modulate their
18 functions. In this frame, we aim to describe the historical evolution of the concept, from the first bridged
19 BCTs explored to confirm the existence of strained alkynes, and the phenomenon of bond alternation
20 (Mills-Nixon hypothesis), to the most recent gated molecular baskets developed as dynamic synthetic
21 receptors for molecular delivery. The main synthetic approaches which have been used to perform
22 cyclotrimerization of bridged polycyclic alkenes, and related mechanisms, are also examined and
23 discussed, with a specific focus on the *syn/anti* stereoselectivity issue and its consequences at
24 a mechanistic level.

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Keywords: Benzocyclotrimers, cyclotrimerization, Mills-Nixon, molecular baskets, molecular
29 recognition, polycyclic alkenes.

67 1. INTRODUCTION

68 In the last few decades, the chemistry of molecular hosts such as containers, bowls, cages, baskets,
69 tweezers has attracted a growing interest on the basis of the concept that when a molecular species
70 enters in the cavity of a synthetic receptor, its properties are different compared to those expressed in the
71 bulk [1-3]. The properties of the internal surface of the concave receptor, shape and size of the confined
72 space, and functional groups located at the rim of the gap are the factors which may impact the behavior
73 of the guest and its motion, in a way mimicking natural molecular cavities such as those of enzymes and
74 receptors. Concave surfaces are also of interest as complementary counterparts of the fullerene surface
75 and other convex molecular units [4].

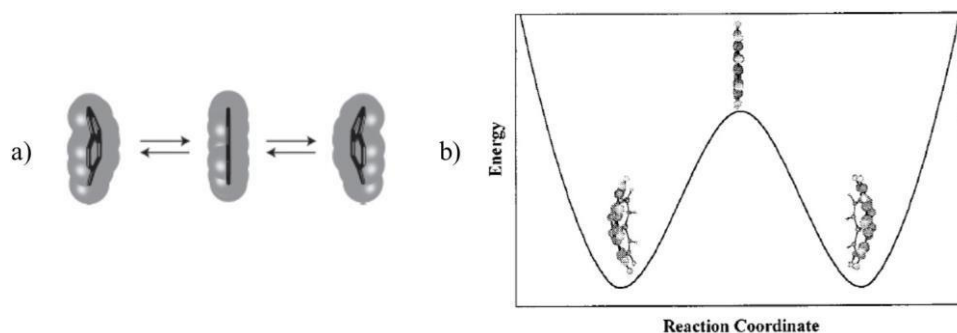
76 Starting from the late 20th century, bowl-shaped hydrocarbons with curved networks of trigonal carbon
77 atoms have attracted interest as models and synthetic intermediates of more complex molecules
78 characterized by curve surfaces such as C₆₀ and the higher fullerenes [5-8]. Curved molecular surfaces
79 featuring bowl-shaped π -conjugated motifs may be challenging to synthesize due to the presence of
80 unusual angular strains [9]. The synthesis of corannulene (**1**), a subunit of fullerene (**2**) with C_{5v}
81 symmetry (Fig. 1), was reported for the first time by Barth *et al.* in the late 1960s [10, 11] through a
82 multistep process. However, it was later that the development of kilogram-scale synthesis allowed
83 corannulene to become commercially available [12].



84

85 **Fig. 1.** Representation of corannulene (**1**) as a subunit of fullerene (C₆₀) (**2**) (adapted with permission from ref. 9).

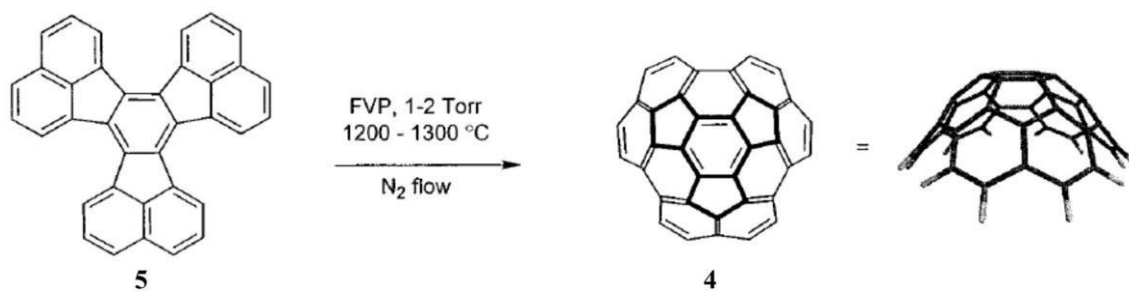
86 Due to the distortion of the π -conjugation, in buckybowl structures an inefficient overlap of each p-
87 orbital and an increase of the s-orbital character in sp^2 carbon occur compared to that of flat π -
88 conjugation [6]. The distorted π -conjugation induces the curvature which identifies two faces, a concave
89 face and a convex face, which may exhibit different stereoelectronic properties [6, 13]. If the bowl size is
90 small enough to overcome the high-energy barrier of the transition state, bowl-shaped π -conjugated
91 molecules exhibit the so-called bowl-to-bowl inversion, the interconversion of concave and convex faces
92 (Fig. 2). This type of inversion has been studied in **1** [14-17] and sumanene (**3**) [18] at both experimental
93 and computational level. It is worth mentioning that buckybowl molecules may possess inherent
94 chirality which is often defined as “bowl chirality” [19].



95
96 **Fig. 2.** a) Bowl-to-bowl inversion of **1** (adapted with permission from ref. 16); b) energy diagram of the inversion process
97 of **1** (adapted with permission from ref. [14]).

98 Benzocyclotrimers (BCTs) are highly symmetric rigid molecules characterized by the presence of a
99 central benzene ring fused to three bicycles, which have been widely used as important precursors to
100 construct curved surfaces [9, 20, 21]. In 1996 Scott *et al.* obtained circumtrindene (**4**) by triple
101 cyclodehydrogenation of decacyclene (**5**) through flash vacuum pyrolysis (FVP) (Scheme 1) [5, 22, 23].

102 While the simplest BCT triphenylene (**6**) is a planar molecule [24], **5** was shown to be twisted into a
103 shallow molecular propeller due to nonbonded repulsion between hydrogens of the peripheral
104 naphthalene groups [25]. More flexible BCTs were developed later, such as **7-11** containing three non-
105 planar branches decorating the central aromatic ring (Fig. 3) [26-29].



Scheme 1. Synthesis of circumtrindene (**4**) by triple dehydrogenation of decacyclene (**5**) (adapted with permission from ref. 23).

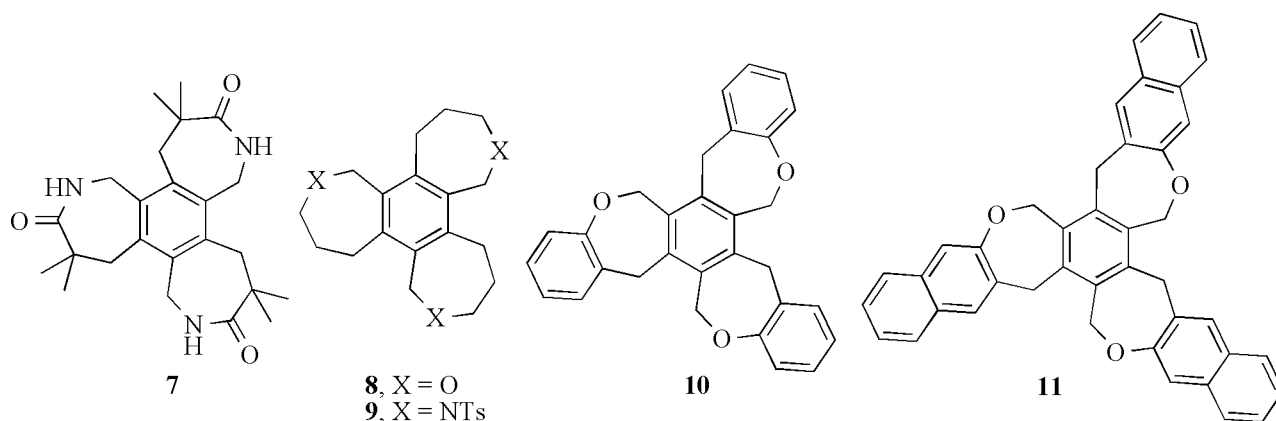


Fig. 3. Flexible benzocyclotrimers **7–11**.

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Bridged polycyclic skeletons are characterized by a concave motif which is inherent to their strained structure (Fig. 4). This feature has been fruitfully exploited to build concave molecules and curved synthetic intermediates. Indeed, BCTs obtained by cyclotrimerization of bridged polycyclic alkenes are characterized by a flat aromatic base decorated with bridged polycyclic units which provide the suitable “natural” curvature underlying concave-convex topology. Depending on the relative topological assembly of the three monomers in the cyclotrimerization process, in principle two diastereoisomers can be obtained, the C_3 symmetric *syn*-isomer and the C_s symmetric *anti*-isomer (Fig. 5a) [20].

In 2003, the first synthesis of **3** was reported by Sakurai et al. starting from *syn*-benzotrinerbornadiene (**18**) [30] (Fig. 5b). Particularly advantageous is using the norbornadiene scaffold, which contains two exploitable double bonds, thus one can be involved in the

121 cyclotrimerization process, the second can be used for further post-synthesis functionalization of the
122 BCT scaffold.

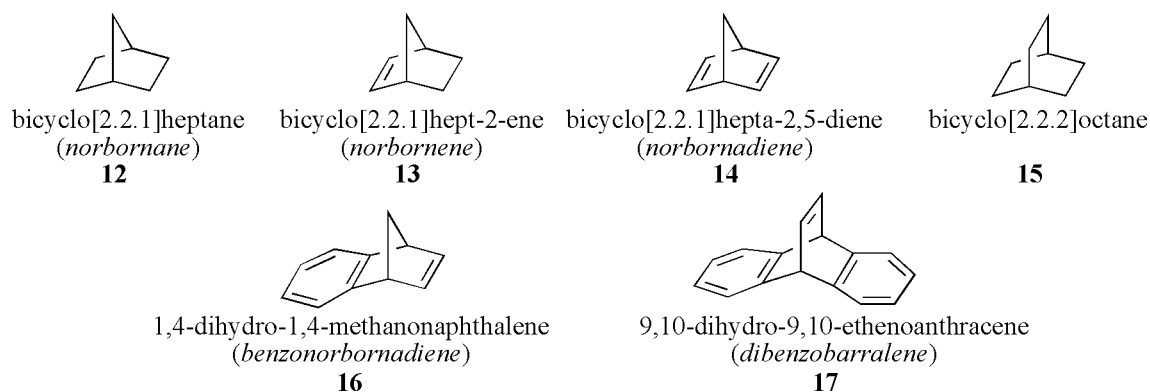


Fig. 4. Examples of bridged polycyclic hydrocarbons **12-17**.

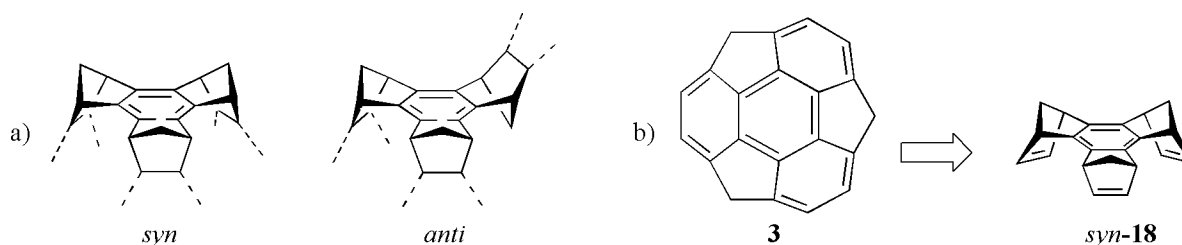


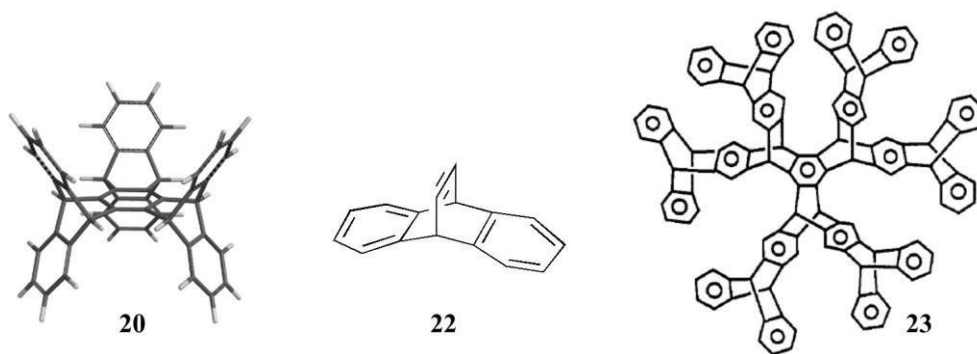
Fig. 5. a) *syn*- and *anti*-BCTs containing a bridged polycyclic motif; b) retrosynthetic scheme of Sakurai's synthesis of sumanene (**3**).

In 2011, the chemistry of bridged BCTs was briefly reviewed by Fabris *et al.* [20]. The aim of this comprehensive and updated review is to describe the evolution of the concept from the first simple bridged BCTs to the most recent gated molecular baskets developed as dynamic receptors for molecular delivery. Seminal studies and findings which have signed the bridged BCTs history will be examined and discussed in a timeline perspective: a) starting from the late 1960s, the isolation of BCTs obtained through cyclotrimerization of bridged polycyclic olefines was considered an evidence of the existence of strained acetylenes as reactive transient intermediates [31, 32]; b) at that time, a number of studies also focused on the chemo-physical features of BCTs, in particular on bond alternation observed in trisanellated benzenes due to the strain-induced bond localization in the central benzenoid ring (Mills-Nixon postulate) [33]; c) later, the potential of bridged polycyclic motifs to build concave receptors was

138 envisaged by Stoddart and co-authors [34, 35]; *d*) improvements in *syn/anti* diastereoselectivity have
139 allowed bridged BCTs to be prepared in moderate-high yields, becoming available for applications [36-
140 39]; *e*) bridged *syn*-BCTs have become privileged starting substrate for Sakurai's synthesis of
141 sumanenes [30]; *f*) finally, in the last few decades, concave bridged BCTs have been designed and
142 prepared by some groups as molecular containers for various applications, relevant developments in this
143 direction have been reported by Badjić's group [40, 41].

144 2. A HISTORICAL OVERVIEW: DECADES 1960s-1990s

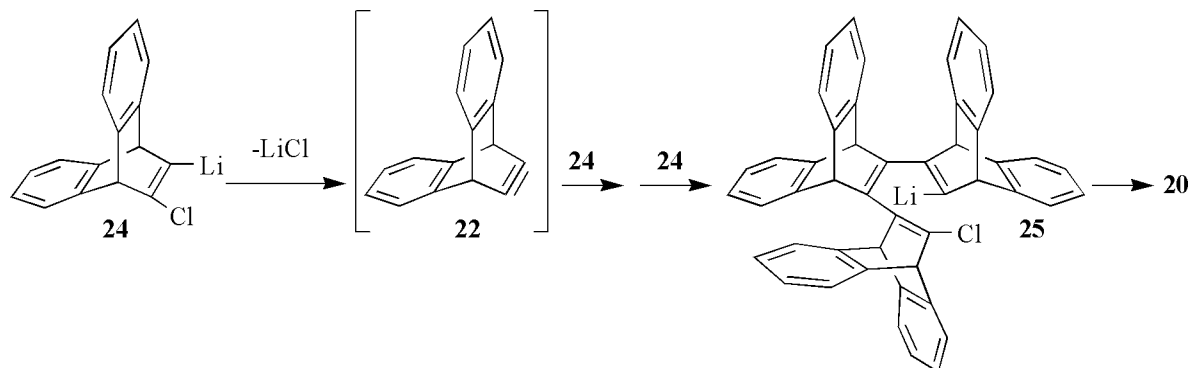
145 In the beginning, products of cyclotrimerization of bridged polycyclic alkenes were considered of
146 interest as proof of the transitory formation of strained, and extremely reactive, alkynes such as the
147 bicyclo[2.2.1]hept-2-yne (**19**). The first reported bridged BCT was heptiptycene (**20**), obtained by
148 Huebner at al. in low and unspecified yield as a minor product from the reaction of 11-chloro-9,10-
149 dihydro-9,10-ethenoanthracene (**21**) with butyllithium (BuLi) (Fig. 6) [32].



150150
151 **Fig. 6.** Structure of heptiptycene (**20**), bicycloalkyne **22**, and BCT **23** (adapted with permission from ref. 43).

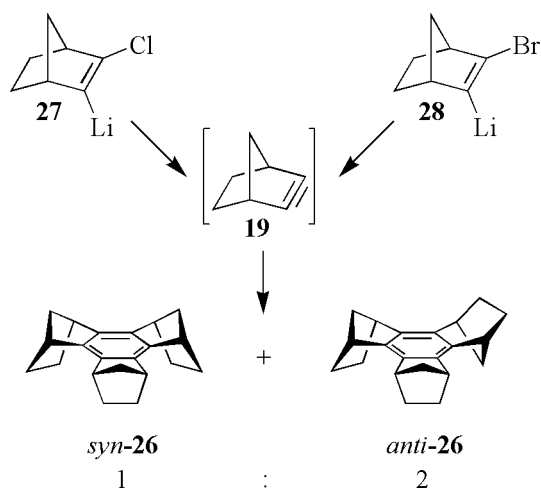
152 In 1981, Hart at al. obtained **20** through lithiation of **21** in 20% yield [42], demonstrating the
153 formation, by lithium chloride elimination, of the bicycloalkyne **22** as a reactive species. With the same
154 methodology, the large BCT **23** was also obtained by Hart [43], but in very low yield (2%). In 1988,
155 Shahlai and Hart demonstrated that the bicyclo[2.2.2]octyne derivative **22**, generated from the 2-chloro-
156 3-lithiobicycloalkene **24**, undergoes stepwise reaction with additional two molecules of **24** providing the

157 lithiated trimer **25** which, after electrocyclization and LiCl elimination, gave the trimer **20** (Scheme 2)
158 [36].



159
160 **Scheme 2.** Formation of **20** through stepwise cyclotrimerization of **24**.

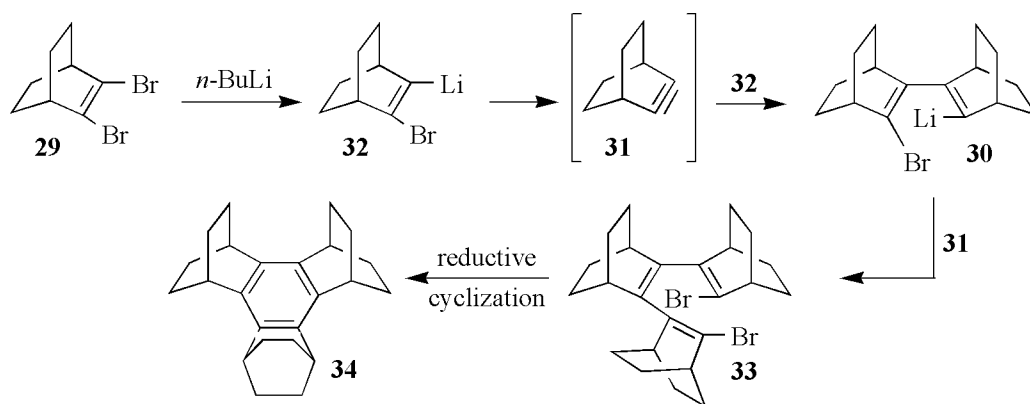
161 On the other hand, in 1980 Gassman and Gennick obtained a 1 : 2 mixture of benzotrinerbornenes
162 *syn*- and *anti*-**26** as a white solid (9-11% yield, m.p. 162-164 °C), along with an intractable gum, by
163 heating of a solution of 2-lithio-3-chlorobicyclo[2.2.1]hept-2-ene (**27**) to 45 °C for 4 h (Scheme 3) [44].



164
165 **Scheme 3.** Cyclotrimerization of **27** and **28** affording **26** through the transient formation of the alkyne **19**.

166 Analogously, treatment of a solution of 2-lithio-3-bromobicyclo[2.2.1]hept-2-ene (**28**) with
167 bis(cyclopentadienyl)nickel (nickelocene) at low temperature, and successive warming to room
168 temperature, furnished a mixture of *syn*- and *anti*-**26** in 37% yield. Interestingly, the bromo-substituted
169 derivative **28** gave the BCT **26** when heated in the presence of nickelocene or other metal catalysts, but
170 not when only heated. In all cases, the formation of **26** proceeded by cyclotrimerization of the transient
171 strained alkyne **19** formed by elimination of lithium halide from the lithiated olefin.

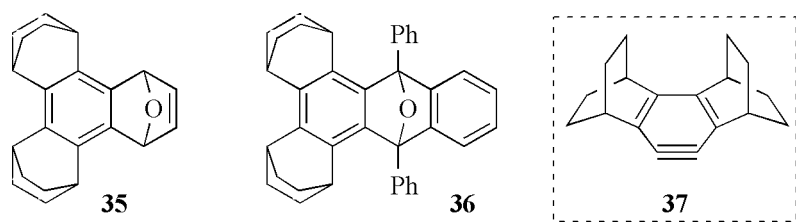
172 In agreement with the stepwise mechanism envisaged by Hart for the formation of **20** [36], in the
 173 1980s Komatsu *et al.* found that treatment of 2,3-dibromobicyclo[2.2.2]oct-2-ene (**29**) with *n*-BuLi gave
 174 the dimer **30** through coupling of the strained alkyne **31** and the lithiated species **32** [45-47]. In the final
 175 steps, trimeric dibromide **33** provided D_{3h} benzocyclotrimer **34** in good yield (80.4%), after reductive
 176 cyclization (Scheme 4). Thus, despite the fact that the involvement of the alkyne **31** in the process was
 177 envisaged, as a matter of fact the BCT **34** was obtained not by direct cyclotrimerization of the acetylenic
 178 intermediate, but by reductive cyclization of the trimeric dibromide. The occurrence of a stepwise
 179 mechanism was demonstrated by the isolation of products of dimerization of **29** [47]. It is worth
 180 mentioning that the authors observed that the cyclotrimerization of **29** proceeded regardless of the
 181 presence of Ni(II) complexes, such as nickelocene or Ni(PPh₃)₂Cl₂, which had been erroneously
 182 believed to be active as a catalyst [46]. Referring to the alkyne-based mechanism described by Gassman
 183 [44], Komatsu noted that the more strained alkyne **19** may be supposed to undergo facile trimerization
 184 with itself, while for the less strained alkyne **31** a relatively longer lifetime makes the insertion of the
 185 triple bond into the C-Li bond possible [46].



186186

187 **Scheme 4.** Formation of **34** through stepwise cyclotrimerization of **29**.

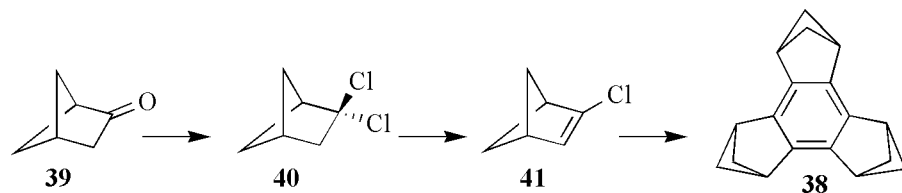
188 In 1997, the same group synthesized two BCTs (**35** and **36**) containing two bicyclo[2.2.2]octene and
 189 one 7-oxa-bicyclo[2.2.1]hepta-2,5-diene moieties as branches (25% yield), through the transient
 190 formation of a bicyclo[2.2.2]octene-annelated benzyne **37** (Fig. 7) [48].



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192 **Fig. 7.** Bridged BCTs **35** and **36**, and transient alkyne **37**.

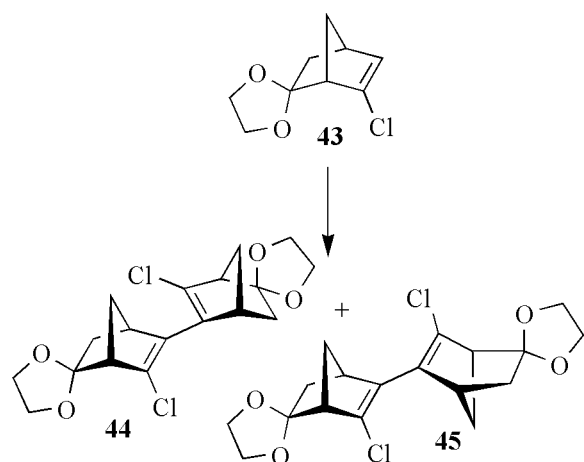
193 In 1995, Siegel *et al.* synthesized the D_{3h} symmetric trisbicyclo[2.1.1]hexabenzene (**38**) starting from
 194 the bicyclo[2.1.1]hexan-2-one (**39**) which, under the conditions of the base-catalyzed elimination
 195 methodology of Wittig, afforded the 2,2-dichlorobicyclo[2.1.1]hexane (**40**), and then the 2-
 196 chlorobicyclo[2.1.1]hex-2-ene (**41**). The reaction mixture was then treated with a mixture of *tert*-BuLi
 197 and potassium *tert*-butoxide, followed by the addition of 10 mol% nickelocene to obtain, under the
 198 experimental condition reported by the authors, the BCT **38** in very low yield (< 1%) (Scheme 5) [49].



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200 **Scheme 5.** Synthesis of **38** from the polycyclic ketone **39**.

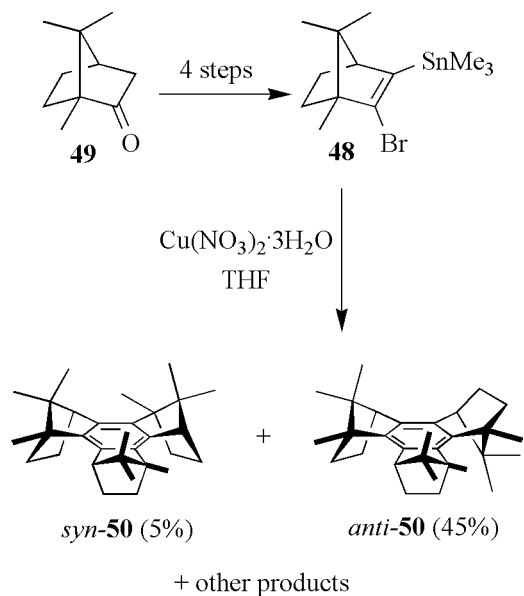
201 In 1996, De Lucchi *et al.* obtained *syn*- and *anti*-**18** as an almost statistical mixture of isomers (1 :
 202 2.6) in 10% yield, through cyclotrimerization of 2,3-dibromonorbornadiene (**42**) with BuLi and CuI
 203 [50]. The BCTs were characterized by NMR spectroscopy. The same procedure was applied to the
 204 cyclotrimerization of the alkene **43**, however in this case it was unsuccessful for the preparation of the
 205 corresponding BCT, whereas the dimers **44** and **45** were obtained exclusively (60% yield), which were
 206 characterized by diffractometric analysis (Scheme 6) [50]. Later, the same group reported the
 207 preparation of the benzotris(benzonorbornadiene) (**46**) by treating dibromobenzobornadiene (**47**) with *n*-
 208 BuLi at low temperature, followed by the addition of CuI [51]. A 1 : 2 mixture of *syn*- and *anti*-**46** was
 209 obtained (up to 50% yield), and the two isomers were characterized by NMR spectroscopy. The isomer
 210 *syn*-**46** was crystallized from acetone/water, and then characterized by diffractometric analysis.



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212 **Scheme 6.** Dimerization of **43** affording dichlorodimers **44** and **45**.

213 By applying a procedure involving trimethylstannylalkenes developed to synthesize **46** [52], in 1999,
 214 Fabris and De Lucchi reported the cyclotrimerization, with copper(II)nitrate trihydrate
 215 ($\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$), of the bromotrimethylstannyl alkene **48** which was obtained from (+)-camphor (**49**)
 216 in 64% yield. The cyclotrimerization reaction afforded a complex mixture of products containing both
 217 *syn*- and *anti*-**50** in 5% and 45% yield, respectively (Scheme 7) [53].



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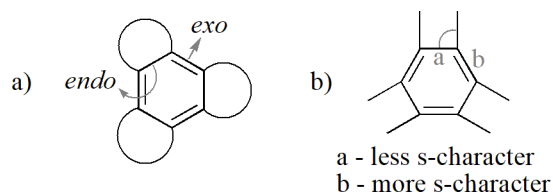
219 **Scheme 7.** Cyclotrimerization of (+)-camphor (**49**) affording *syn*- and *anti*-**50**.

220 It is worth noting that structures and topologies synthesized in the decades 1960s-1990s, along with a
 221 number of pioneering mechanistic findings, will have a pivotal role in the development of the field in the

222 years ahead, laying the bases for all successive studies, synthetic methods, and applications of bridged
223 BCTs which have been developed so far.

224 3. MILLS-NIXON HYPOTHESIS: BOND LENGTH ALTERNATION IN ANNELATED 225 BENZENES

226 To explain bond length alternation and the enhanced reaction selectivity observed in annelated
227 benzenes, in 1930 Mills and Nixon hypothesized that the presence of small ring annelation onto a central
228 benzene would induce significant bond length alternation within such benzene core [54-56]. This
229 hypothesis was based on the existence of a tautomeric equilibrium of two Kekulé tautomers [55, 56].
230 However, current treatment of benzene as a single D_{6h} resonance hybrid, among a series of valence bond
231 structures, instead of the result of a tautomeric equilibrium, weakens the conceptual bases of the Mills-
232 Nixon explanation. The consequence of this incongruence, along with the fact that until 1980s there was
233 no conclusive evidence of the Mills-Nixon effects, animated discussion on this explanation of double
234 bond fixation in annelated benzenes [57-61]. Given that, an indicator which is frequently used to
235 evaluate the magnitude of bond length alternation is the difference between the *endo* and *exo* carbon-
236 carbon bond length of annelated benzenes, namely $\Delta r = r_{\text{endo}} - r_{\text{exo}}$, which can be determined
237 computationally and experimentally (Fig. 8a) [56].



239 **Fig. 8.** a) Position of the double bonds in (*endo*) or out (*exo*) the annelated ring; b) the σ -strain induced re-hybridization
240 effect.

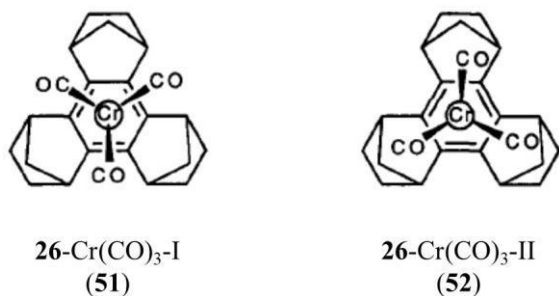
241 The bonding character of the molecule can be also evaluated, and two concurrent mechanisms have
242 been envisaged: a) the σ -strain effect, which causes re-hybridization in the strained atoms (Fig. 8b).
243 Indeed, due to the repulsion between the *endo* carbon-carbon bond and the bonds of the annelated small
244 rings, the atoms of the central benzene are not sp^2 -hybridized, but have a different hybridization at each

245 of the bond directions. On this basis, the *endo* bond has a higher p-character in order to reduce the strain
246 caused by the annelated ring, whereas the hybrid orbital in the *exo* direction has a higher s-character.
247 Thus, the *exo* bond is shorter than the *endo* one, with a positive Δr [56,58]; *b*) the π -effect which is
248 based on the aromaticity and antiaromaticity concepts [61], and in this case the carbon-carbon bonds in
249 the central ring are alternate to avoid the anti-aromatic destabilization.

250 Despite the controversy about the reliability of the Mills-Nixon hypothesis, bond fixation have
251 occurred in many annelated benzenes, and over time it was evaluated both experimentally and
252 computationally, the entity of the phenomenon being rather variable [55, 62, 63]. On the other hand, the
253 possibility to use small strained rings to induce bond alternation has been considered attractive because
254 electron localization in aromatic systems may lead to new tools to modulate chemical reactivity [64].
255 For instance, Kochi *et al.* noted that the structural modification of benzene with a bicycloalkane
256 framework leads to the remarkably stabilization of reactive intermediates associated with electrophilic
257 aromatic substitution, such as the Wheland intermediates [65] and cation radicals [66].

258 In 1995, Burgi, Siegel *et al.* analyzed the structure of **20** for bond alternation in the central benzene
259 ring as a function of annelating substituents [67]. The central ring of heptiptycene was found to be
260 essentially planar, and average lengths of the two constitutionally different carbon-carbon bonds in the
261 central ring differed by $\Delta r = 0.022(9)$ Å. The authors compared the experimental structure with
262 geometries calculated at Hartree-Fock (HF) and density functional theory (DFT) levels, which
263 confirmed almost no bond localization within the central ring due to a rather low extent of bond
264 alternation. For BCT **34**, Komatsu's group found by X-ray diffractometric analysis that the aromatic
265 bond lengths alternate slightly, with average bond length of 1.408 Å and 1.393 Å in and out of the
266 bicyclic systems, respectively ($\Delta r = 0.015$ Å) [45]. Higher degree of bond alternation was observed by
267 Siegel *et al.* for the more strained C_{3v} symmetrical BCT *syn*-**26** through calculations, with *endo/exo* bond
268 lengths of 1.416/1.364 Å (HF) ($\Delta r = 0.052$ Å) and 1.415/1.374 Å (DFT) ($\Delta r = 0.041$ Å), and
269 experimentally with average *endo/exo* bond lengths of 1.417(2)/1.379(2) Å ($\Delta r = 0.038$ Å) [68]. On the

270 basis of the evidence of bond localization in *syn*-**26**, the authors analyzed the barrier to rotation about the
 271 metalarene bond in the *syn*-**26**-Cr-(CO)₃ complex (Fig. 9) [68, 69]. In the crystal, *syn*-**26**-Cr-(CO)₃ adopts
 272 a conformation controlled by avoiding the steric overlap (complex-I, **51**), in which the Cr-carbonyl
 273 bonds eclipse the *exo* bonds of *syn*-**26**, thus being far from the proximal alkyl bridges. Rotation about the
 274 metal-arene bond by 60° (complex-II, **52**) maximizes the steric congestion between alkyl bridges and the
 275 carbonyls but offers a better ligand field arrangement (octahedral). The energy contribution coming from
 276 the ligand field arrangement has been quantitatively correlated to the magnitude of the bond alternation
 277 in the uncomplexed arene [68, 70].

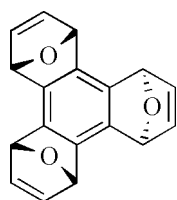


279 **Fig. 9.** Structures of complexes **26**-Cr(CO)₃-I (**51**) and -II (**52**) (adapted with permission from ref. 68).

280 BCT **38** shows a unique type of strained annelation of benzene. In 1992, Siegel *et al.* reported *ab*
 281 *initio* calculations at the restricted HF/6-31G(D) and density functional theory (DFT) levels, predicting a
 282 large bond alternation for **38**, with *endo/exo* bond lengths of 1.440/1.344 Å (HF) ($\Delta r = 0.096$ Å) and
 283 1.429/1.366 Å (DFT) ($\Delta r = 0.063$ Å) [33]. Later, the same authors performed the X-ray crystallographic
 284 analysis of **38** producing a structure consistent with the computational prediction, and observing two
 285 inequivalent bonds in the benzene ring with *endo/exo* bond lengths of 1.438(5)/1.349(6) Å ($\Delta r = 0.089$
 286 Å) [60]. Recently, Chen *et al.* investigated the factors that may cause bond length alternation and π -bond
 287 localization in annelated benzenes, among them BCT **38**, performing *ab initio* valence bond calculation
 288 [56]. According with Siegel [55], the authors showed that the bond length alternation of annelated
 289 benzene is determined by the strain-induced hybridization change from equal bond length geometry to
 290 the equilibrium geometry. Both σ -strain and π - π interaction can cause bond length alternation. The σ -

291 strain always lengthening the *endo*-bond but shortens the *exo*-bond, leading to a positive Δr value.
292 Moreover, molecules such as **38** were shown to exhibit π - π repulsion between the annelated and the
293 central rings, which induce distortion of the bond length in the positive Δr direction.

294 Kohnke, Stoddart *et al.* prepared BCT **53** consisting of benzene fused to three 2,5-dihydrofuran
295 residues (Fig. 10) [34, 35], finding significant bond localization in the benzene ring with average
296 *endo/exo* bond lengths of 1.425(7)/1.353(6) Å ($\Delta r = 0.072$ Å) [71].



297 *anti*-**53**

298 **Fig. 10.** Structure of *anti*-**53**.

299 From the examples reported in this section, it appears evident that the ring size of the polycyclic
300 system impacts the extent of ring strain and, consequently, of bond fixation. BCT **38** and **53** are the most
301 strained, producing an experimental $\Delta r = 0.089$ and 0.072 Å, respectively. Adding a carbon atom to the
302 bicyclic ring obtaining **26** reduces the strain, with $\Delta r = 0.038$ Å. Less strained systems such as **20** and **34**
303 behave almost as normal aromatic rings with $\Delta r = 0.022$ and 0.015 Å, respectively.

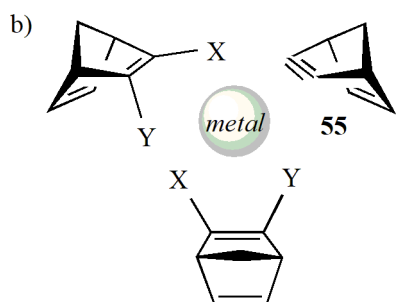
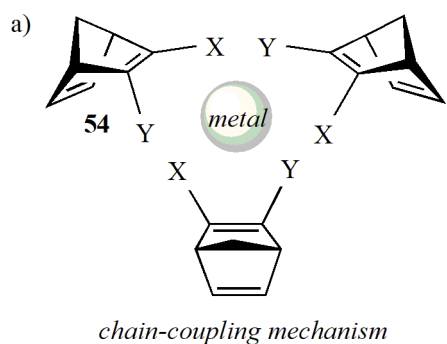
304 **4. SYNTHESIS AND TRANSFORMATION OF BRIDGED BCTs: THE *SYN/ANTI*** 305 **STEREOSELECTIVITY ISSUE**

306 In general, the application of metal-catalyzed cyclotrimerization [72] of highly strained and transient
307 alkynes, such as **19** and **31**, to synthesize bridged BCTs led to unpredictable results and low yields
308 [44, 49]. Thus, the most efforts from chemists converged on the cyclotrimerization of stable alkenes
309 through metal-catalyzed coupling reactions, $R^{\delta+}-X + R^{\delta-}-Y \rightarrow R-R'$, which proved to be fruitfully
310 applicable to the synthesis of annelated benzenes decorated with bridged polycyclic motifs in good
311 yields. Over time, the most methodologies have focused on the possibility to obtain stereoselectively

312 *syn*-BCTs which are featured by concave topologies, and thus attractive to develop new molecular
313 containers.

314 4.1. Possible stereochemical pathways in cyclotrimerization of polycyclic alkenes

315 Given the formation of BCT **18** as an example, in principle the selective production of the *syn*-isomer
316 may occur through a chain-coupling mechanism (Fig. 11a) starting from an enantiopure alkene **54**
317 properly functionalized. Otherwise, given the cyclotrimerization occurring *via* formation of a strained
318 alkyne **55**, *in situ* formed during the process (Fig. 11b), a statistical 1 : 3 mixture of *syn/anti* isomers
319 would be expected whatever the stereochemistry of **54**, provided that the rates of competing reaction
320 pathways are comparable.

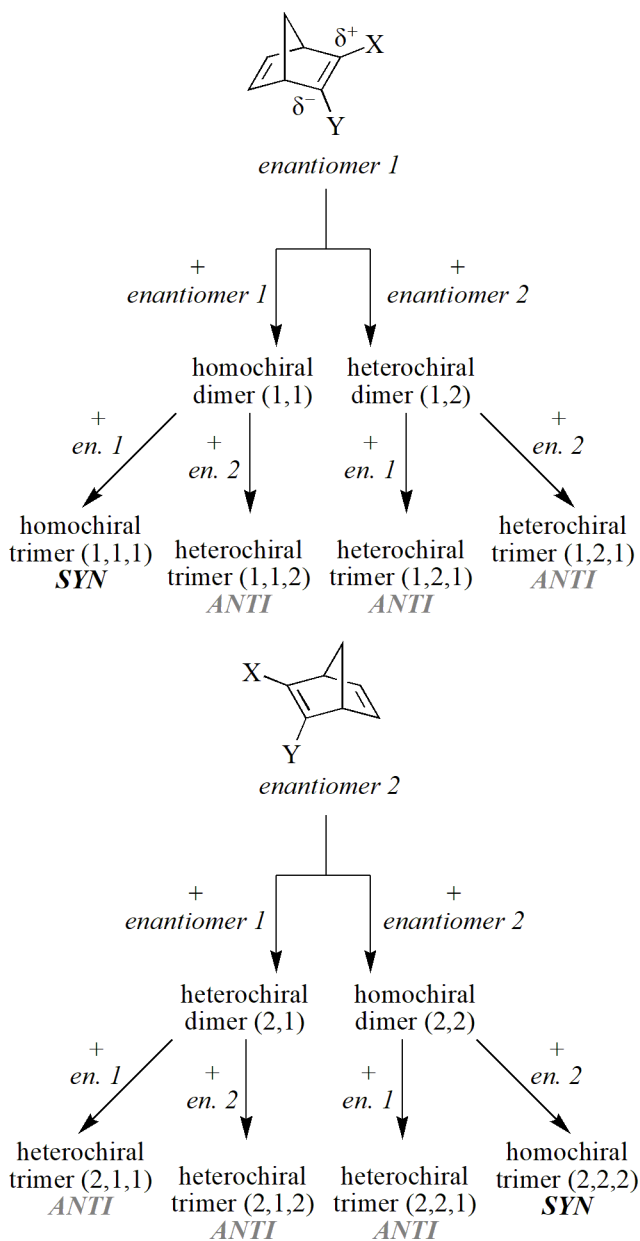


321321

322 **Fig. 11.** Possible cyclotrimerization mechanisms of alkene **54** to BCT **18**.

323 Indeed, despite the fact that BCT **18** is not chiral, *syn*- and *anti*-isomers being C_{3v} and C_s molecules,
324 respectively, the stereochemistry of the chiral starting alkene **54** may play a key role in determining the
325 cyclotrimerization outcome. In the perspective of a chain-coupling mechanism, while the *syn*-isomer
326 would be formed through successive homochiral couplings of enantiopure units of **54**, the use of *rac*-**54**

327 would provide the statistical 1 : 3 mixture, derived by the statistical occurrence of both homochiral and
 328 heterochiral couplings (Scheme 8) at comparable rates. This process appears stereochemically analogous
 329 to the base-promoted stereoselective aldol self-coupling of racemic norbornenones reported by Paquette
 330 [73].



331
 332 **Scheme 8.** Scheme of the stereochemical couplings of the enantiomers of chiral alkene **54** producing the 3: 1 statistical
 333 distribution of *syn* and *anti* BCTs.

334 It is worth mentioning that the chiral information, contained in the starting alkene **54** at the two
 335 bridgehead carbon atoms, is lost in the symmetric alkyne **55** which, consequently, also would determine

336 the statistical distribution of the resulting BCTs. The possibility of stepwise processes, occurring through
337 the formation of open dimers and trimers, were observed by Hart [36, 42] and Komatsu [47] during the
338 synthesis of the BCTs **20** and **34**, respectively, even if in this cases cyclotrimerization was supposed to
339 occur *via* formation of strained alkynes.

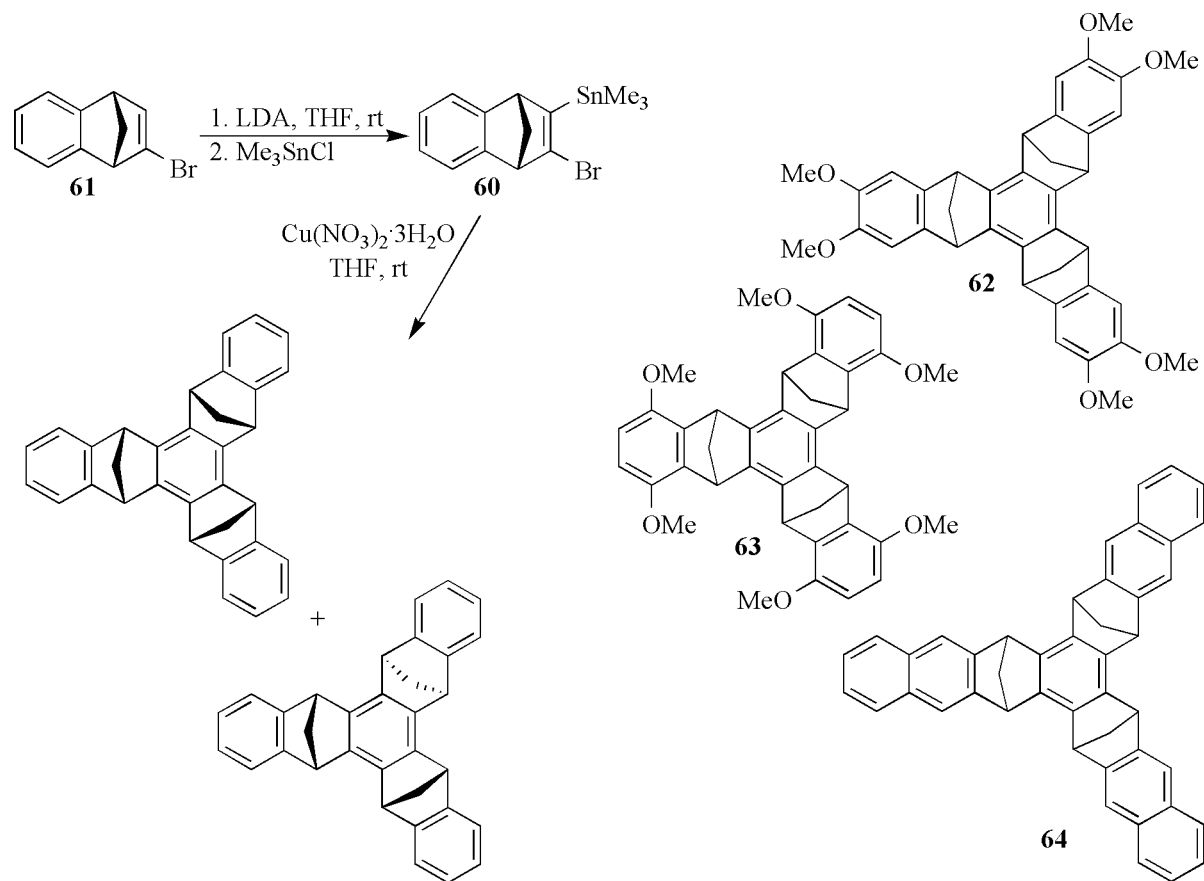
340 **4.2. Synthesis of bridged BCTs from polycyclic alkenes**

341 The peculiarity of the coupling reaction of polycyclic alkenes affording bridged BCTs is that
342 electrophile ($R^{\delta+}-X$) and nucleophile ($R'^{\delta-}-Y$) sites are located in the same molecule which,
343 consequently, presents two vicinal carbon atoms with opposite polarization (Scheme 8). Metal-catalyzed
344 cyclotrimerisation processes involving this type of double polarized alkenes proceed through
345 mechanisms involving metalated alkene units and diastereomeric metal complexes. In the late 20th
346 century, Ni-mediated cyclotrimerization of polycyclic alkenes were explored by several groups but with
347 poor results in terms of isolated yields of BCTs [45, 47]. Otherwise, coupling reactions promoted by
348 stoichiometric amount of copper salts and those catalyzed by palladium catalysts have been allowed
349 bridged BCTs to be successfully synthesized for several years. Under metal-mediated conditions,
350 stereochemical pathway and outcome of the process are expected to be governed by nature and
351 properties of the metal, complex geometry, solvent, and other additives modulating the catalytic activity
352 [37, 39, 74]. Thus, by selecting properly experimental conditions, a single stereochemical pathway may
353 become favoured and, depending of the structure of the starting alkene, the diastereomeric distribution
354 of the final BCT products may go beyond the statistical value.

355 **4.2.1 Synthesis of bridged BCTs through copper-promoted cyclotrimerization**

356 As mentioned in section 2, in the late 1990s De Lucchi *et al.* obtained BCTs, in moderate yields and
357 statistical *syn/anti* ratio, by using the metallic system *n*-BuLi/CuI [50,51]. Later, the same group
358 performed intensive studies on coupling reactions involving bridged polycyclic alkenes containing the
359 trimethylstannyl group as 'metal' and bromine as leaving group [52, 75, 76]. The trimethyltin moiety
360 could be introduced starting from *vic*-dibromoalkenes by metal-halogen exchange with *n*-BuLi followed

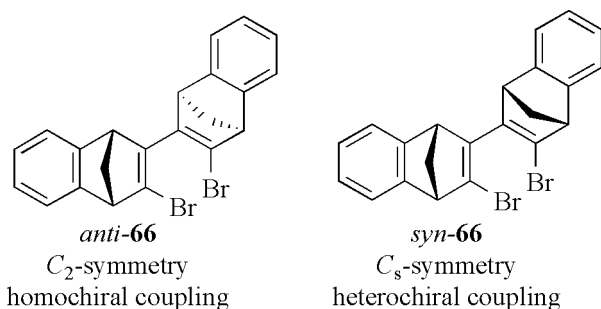
361 by trans-metalation with trimethyltin chloride. Alternatively, bromoalkenes afforded trimethylstannyl
 362 derivatives by deprotonation with lithium diisopropylamide and subsequent quenching with trimethyltin
 363 chloride. On this basis, starting from 2,3-dibromonorbornene (**56**), 2,3-dibromonorbornadiene (**57**), and
 364 **47** with *n*-BuLi in THF, followed by treatment with trimethyltin chloride, De Lucchi's group prepared
 365 the corresponding 2-bromo-3-trimethylstannyl derivatives **58-60** [52, 75]. This procedure was successful
 366 improved for derivative **60** which was prepared by direct lithiation and transmetalation of 2-
 367 bromobenzonorbornadiene (**61**) (Scheme 9).



369 **Scheme 9.** Preparation of **60** from **61**, and cyclotrimerization of **60** affording BCTs **46**. Structures of BCTs **62-64**.

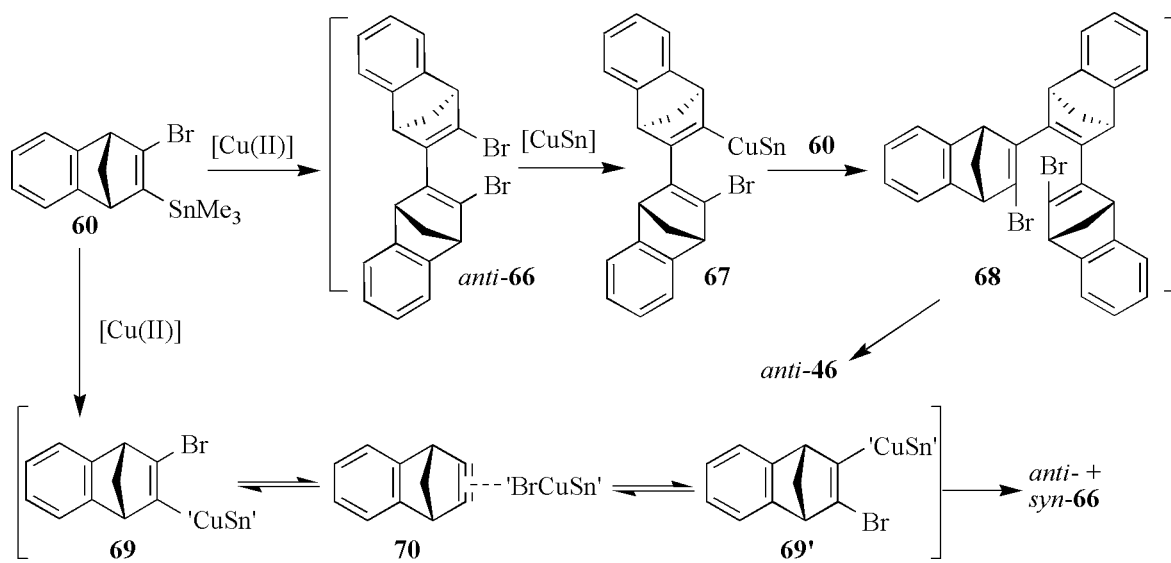
370 Then, the cyclotrimerizations of derivative **58-60** were performed with a stoichiometric amount of
 371 $\text{Cu}(\text{NO}_3)_2 \cdot 3\text{H}_2\text{O}$, obtaining BCTs **18**, **26** and **46** as statistical mixtures of *syn/anti* isomer, but in yields up
 372 to 80% [52, 75, 76]. In Scheme 9, the reaction is summarized for BCT **46**. The cyclotrimerization was
 373 shown to be unsuccessful by using other copper salts such as CuCl and CuI_2 , and different metal

374 promoters such as iron(III) chloride and Pd⁰ [75]. By applying the methodology based on the use of
 375 Cu(NO₃)₂·3H₂O as a coupling-promoter, other benzotris(benzonorbornadiene)s such as the 5,8- and 6,7-
 376 dimethoxy BCTs **62** and **63**, and the naphtho-substituted BCT **64** were also prepared by the group in
 377 yields ranging from 15% to 47% [76]. The cyclotrimerization performed starting from the enantiopure
 378 **60** afforded *anti*-**46** (yield 62%), along with a minor amount of *syn*-**46** (3%), a protodestannylated
 379 product **65** (10%), and the two diastereomeric dimers *anti*-**66** (17%) and *syn*-**66** (3%) (Fig. 12), derived
 380 from homochiral and heterochiral coupling of the starting monomers, respectively [52].



382 **Fig. 12.** Structures of the brominated dimers **66** formed in cyclotrimerization of enantiopure **60**.

383 De Lucchi and co-authors explained the formation of the trimer *anti*-**46** as driven from the occurrence
 384 of a Sn-Sn coupling to form the dimer *anti*-**66** followed by a Br-Sn exchange performed by a tin-copper
 385 species to form the metalated *anti*-**67** (Scheme 10).



387 **Scheme 10.** Proposed mechanism for the cyclotrimerization of enantiopure **60** promoted by Cu(NO₃)₂·3H₂O.

388 The addition of the third unit of **60** was hypothesized to provide the open trimer **68** which,
 389 analogously to the intermediate **33** reported by Komatsu [47], gave spontaneous cyclization affording
 390 *anti*-**46**. The formation of minor quantities of *syn*-**66** was explained though the formation of the dimer
 391 *syn*-**69** as a product of heterochiral coupling occurring after a racemization process of the enantiopure
 392 monomer through formation of the alkyne intermediate **70**.

393 Starting from the conditions reported by Piers for CuCl-mediated homocoupling of
 394 alkenyltrimethylstannane [77], in 2001 Cossu *et al.* described the first direct access to *syn*-**46** starting
 395 from *rac*-**60** by using CuI as a copper salt, and the optimized conditions reported in Table 1 (Entry 10,
 396 highlighted in grey) [37].

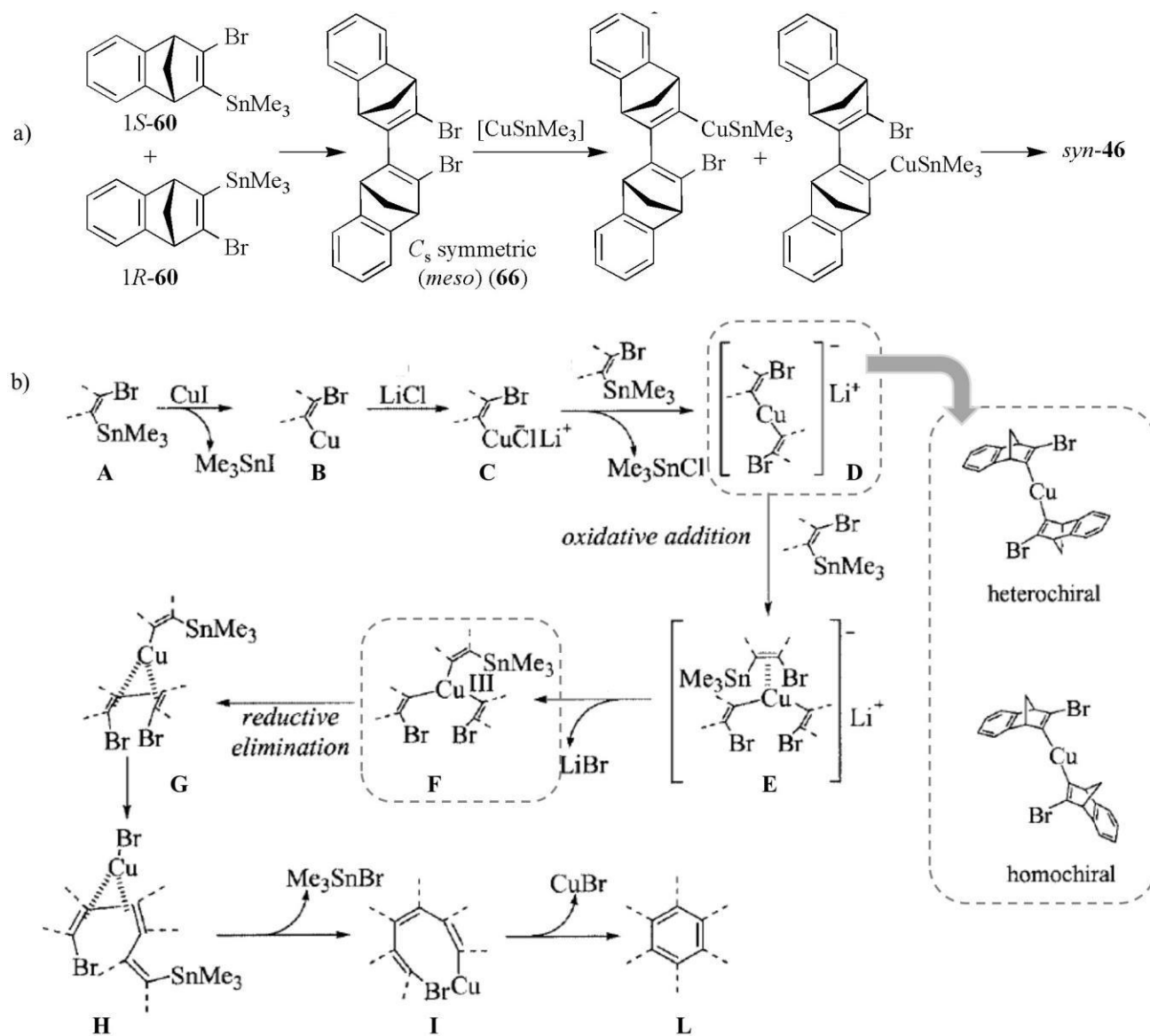
397 **Table 1.** Reagent, solvents, reaction conditions, products and yields for cyclotrimerization of **60**.

Entry	CuX	Solvent	Cosolvent	LiX	T (°C)	<i>t</i> [h]	<i>syn/anti</i> - 46 (yield%)	<i>syn</i> - + <i>anti</i> - 66 [%]
1	CuCl	DMF	--	--	rt	1	1 : 2 (75)	traces
2	CuCl	THF	--	LiCl	rt	4	1 : 2 (5)	5
3	CuCl	DMF	--	LiCl	rt	19	1 : 1 (50)	50
4	CuCl	DMF	DME	LiCl	60	4	2 : 1 (80)	--
5	CuCl	DMF	DMDPE	LiCl	rt	2	2 : 1 (70)	20
6	CuI	DMF	DME	LiCl	rt	24	4.5 : 1 (80)	3
7	CuI	DMF	DME	LiBr	rt	360	3 : 1 (15)	35
8	CuCl	DMF	DME	LiI	rt	168	1 : 1.5 (25)	25
9	CuI	DMF	DME	LiI	rt	12	--	--
10	CuI	NMP	DME	LiNO ₃	rt	24	9 : 1 (80)	--

398 DMF = *N,N*-dimethylformamide; DME = 1,2-dimethoxyethane; DMDPE = *rac*-1,2-dimethoxy-1,2-diphenylethane; NMP =
 399 *N*-methylpyrrolidinone; THF = tetrahydrofuran.

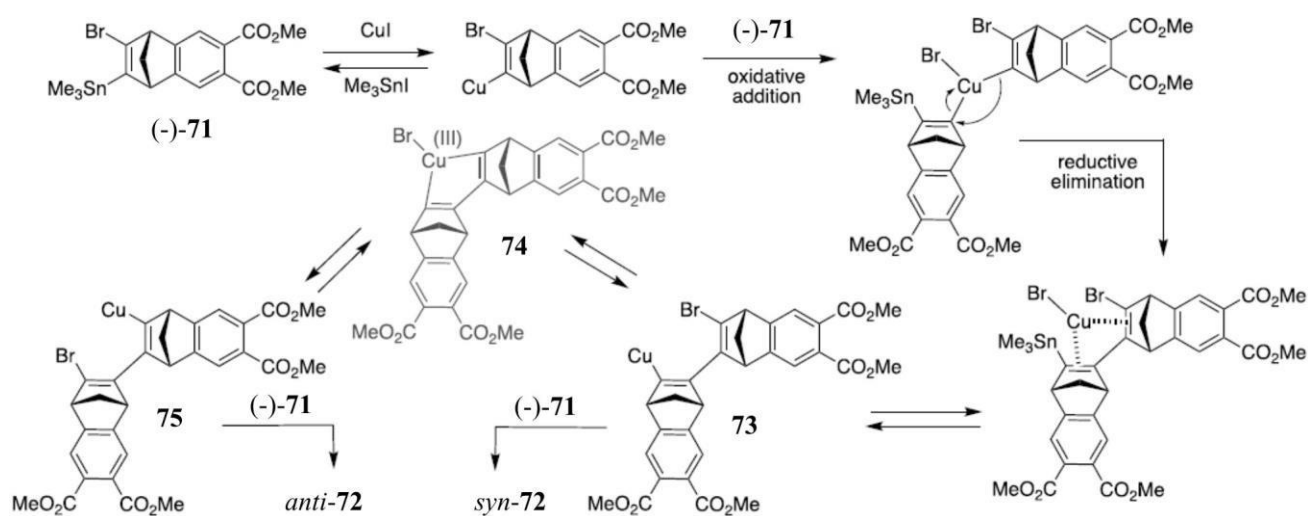
400 Applying the optimized conditions (Table 1, Entry 10), **64** was obtained with 9:1 *syn-anti* ratio, **62** as
 401 *syn*-isomer exclusively, whereas these conditions proved to be unsuccessful for synthesis of BCTs **63**
 402 and **18**. Moreover, the reaction of enantiopure **60** was dramatically slower than that of *rac*-**60** affording
 403 traces of *anti*-**46** exclusively. These results as well as the formation of dimers **66**, observed in some
 404 cases (Table 1, entries 1-3 and 5-8), suggested *a*) the occurrence of a Sn-Sn coupling process, *b*) an
 405 intrinsic reluctance of homochiral monomers to couple between themselves, and *c*) that the reaction
 406 cannot proceed via a symmetric alkyne metal complex in which the chiral information would have been
 407 lost.

408 Thus, starting from the racemic alkenes, the mechanism was supposed to proceed with a total
 409 selectivity of each enantiomer in choosing the reaction partner of opposite configuration, not only in the
 410 formation of the dimer, but also in the further coupling evolving to the trimer formation (Scheme 11a)
 411 [37].



417 coupling process fundamentally involving the participation of different diastereoisomeric metal
 418 complexes, in which the monomers are ligands of a central metal ion: under these conditions, the
 419 stereochemical pathway and, consequently, the stereochemical outcome are governed by both the nature
 420 and the property of metal and associated complex geometry [78]. This mechanism being summarized in
 421 Scheme 11b, stereochemically the process appears to be driven by the stereoselective formation of the
 422 heterochiral organolithium cuprate **D** and of the Cu(III) complex **F**, where three units of polycyclic
 423 alkenes assemble stereoselectively around the metal centre.

424 Copper-promoted cyclotrimerizations have showed to be substrate-dependent in terms of *syn*-
 425 stereoselectivity, product yields, and fine mechanisms. Starting from the bromotrimethylstannyl alkene
 426 *rac*-**71**, very recently Badjić *et al.* applied the CuI-mediated cyclotrimerization to the synthesis of BCT
 427 *syn*-**72** (Scheme 12) [79, 80], obtaining the desired BCT in 24% yield, albeit with 61% of undesired *anti*-
 428 **72**.



430 **Scheme 12.** Mechanism of CuI-mediated cyclotrimerization of *rac*-**71** affording *syn*- and *anti*-**72** (adapted with permission
 431 from ref. 80).

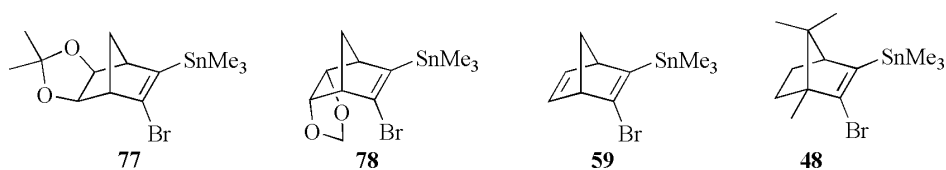
432 The authors also examined the CuI-promoted cyclotrimerization of enantioenriched (-)-**71** (96% ee)
 433 which was found to give BCT **72** in 1:1 *syn/anti* ratio (85% yield). Reducing the enantiopurity of (-)-**71**
 434 was found to have a steady and adverse effect on the quantity of the desired *syn*-diastereomer formed in
 435 the process. In this studies, the reaction were carried out at different temperature, and a chain-type

436 mechanism for the CuI-promoted cyclotrimerization of enantiopure (-)-**71** was envisaged by the authors,
 437 with the dimer **73** undergoing intramolecular oxidative addition to give achiral (*meso*) Cu(III)
 438 intermediate **74**, which after a reductive elimination may form enantiomeric **75** (Scheme 12).

439 In 2001, Matsuura and Komatsu carried out an efficient route to **38** by cyclotrimerization of the
 440 organometal derived from 2,3-diiodobicyclo[2.1.1]hex-2-ene (**76**), which was synthesized from **39** [81].
 441 Indeed, treatment of the diiodoolefin **76** with *n*-BuLi in THF, followed by the sequential addition of CuI
 442 and CuCl₂, successfully afforded annelated benzene **38** in 21% yield (overall yield from **39**).

443 In 2002, Lucchini and co-authors used copper(I) thiophen-2-carboxylate (CuTC) in the
 444 cyclotrimerization of *rac*-[2.2.1]bicyclic *vic*-bromotrimethyltin olefins **48**, **59**, **77**, and **78** under the
 445 condition summarized in Table 2, obtaining high yields of *syn/anti* mixture of the corresponding BCTs
 446 [82]. The two diastereoisomers came in different ratios, smaller than or equal to the statistical 1 : 3 ratio
 447 depending on the steric hindrance opposed by the functionalities. CuTC as a promoter had been
 448 introduced in the late 1990s by Liebeskind [83, 84]. This species offers practical advantages being
 449 inexpensive, air stable, and nonhygroscopic, mediating the selective couplings between vinyl bromides
 450 and trialkylvinylstannanes and providing conjugated dienes in almost quantitative yields [84].

4514 **Table 2.** Results of the cyclotrimerization reactions of alkenes **48**, **59**, **77** and **78** with CuTC and other copper salts.



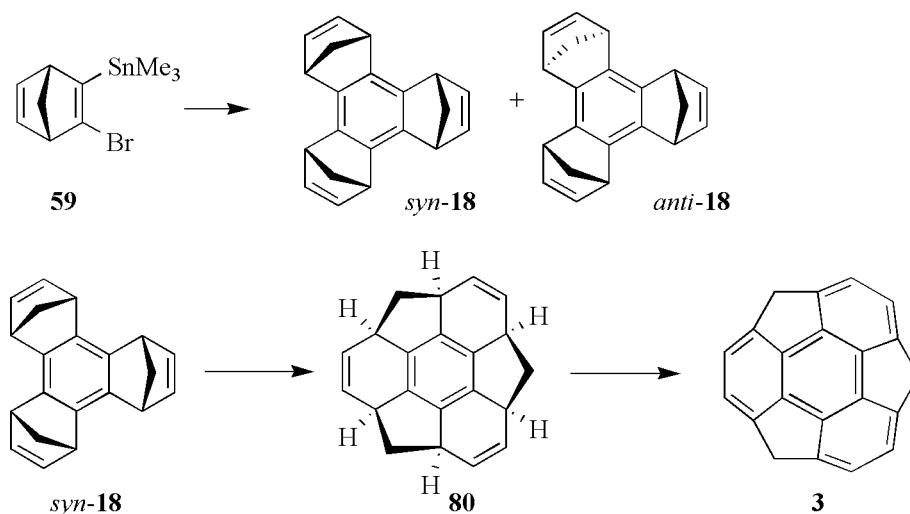
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Substrate	CuX	Solvent	<i>t</i> [min]	T (°C)	<i>syn/anti</i> yield%	protodestannylated reagent (%)	% <i>C_s</i> and <i>C₂</i> dibromo-dimers
77	Cu(NO ₃) ₂ ·3H ₂ O	THF	20	25	1 : 4 (10)	70	20
77	CuI/LiCl	DME/DMF	24 h	25	--	--	--
77	⁺ CuNO ₃ ⁻	DME/DMF	20	-15	1 : 4 (70)	--	30
77	CuTC	NMP	20	-15	1 : 4 (98)	--	--
78	CuTC	NMP	12 h	-15 →25	1 : 8 (70)	--	--
59	Cu(NO ₃) ₂ ·3H ₂ O	THF	25	25	1 : 3 (78)	--	--

59	CuTC	NMP	25	-20	1 : 3 (94)	--	--
48	Cu(NO ₃) ₂ · 3H ₂ O	THF	25	25	1 : 9 (50)	20	20
48	CuTC	NMP	40	-20	1 : 6 (98)	--	--

453 In early 2000, Fabris *et al.* explored the effects of different copper(I) and -(II) in the
454 cyclotrimerization of enantiopure *vic*-bromo(trimethylstannyl)bicycloalkenes derived from (+)-camphor,
455 (+)-fenchocamphorone, and (-)-epicamphor [53, 85]. Remarkably, in 2003 the authors prepared the (+)-
456 *syn*-benzotriborneol (**79**) by CuTC-promoted cyclotrimerization, obtaining the BCT with high yield and
457 *syn*-stereoselectivity [86]. Later, the chiroptical properties of benzotricamphor derivatives featured by
458 different degrees of flexibility were studied as models to compare experimental and theoretical
459 electronic circular dichroism (ECD) spectra [87].

460 In 2003, Sakurai's group also applied the CuTC-mediated cyclotrimerization of **59** to obtain *syn*-**18**
461 as intermediate in sumanene synthesis (Scheme 13) [30]. Starting from the BCT *syn*-**18**, the authors
462 explored the alkene-bridge exchange by the Ru-catalyzed tandem ring opening metathesis (ROM) and
463 ring-closing metathesis (RCM) reaction. Thus, *syn*-**18** was treated with 10 mol% of
464 $\text{Cl}_2(\text{PCy}_3)_2\text{Ru}=\text{CHPh}$ in toluene at 0°C, and then at room temperature under an atmospheric pressure of
465 ethylene, giving **80** in 30% yield. Otherwise, tandem ROM-RCM reaction did not proceed from *anti*-**18**.
466 Compound **80** was oxidized by dichlorodicyanoquinone (DDQ) to give sumanene in 70% yield.

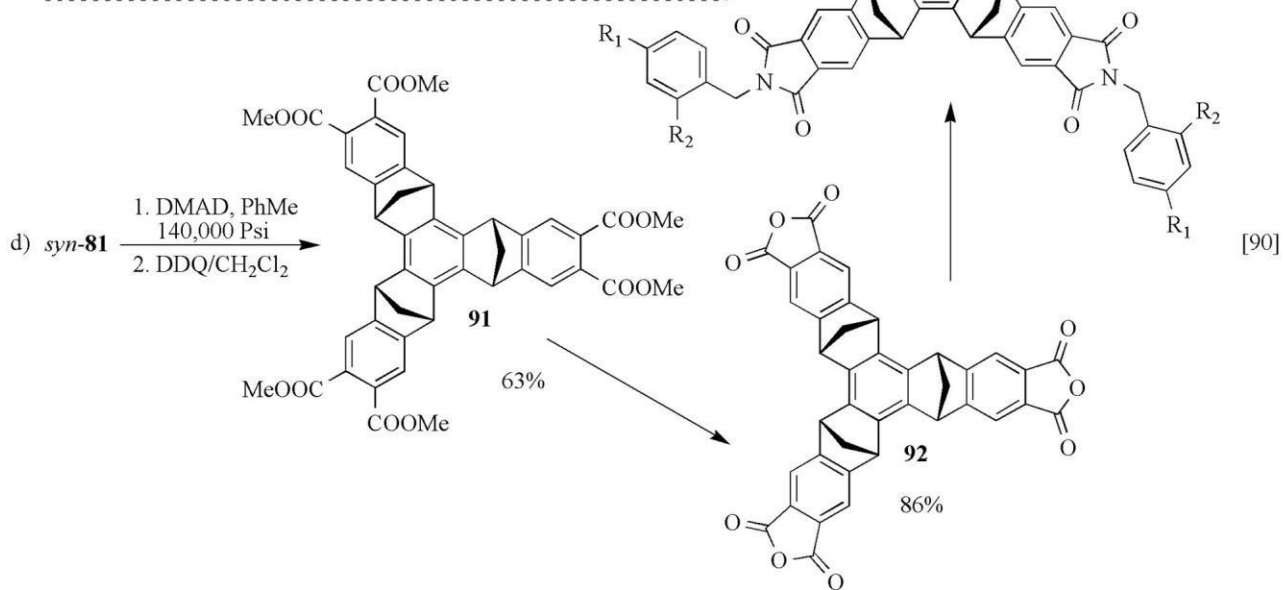
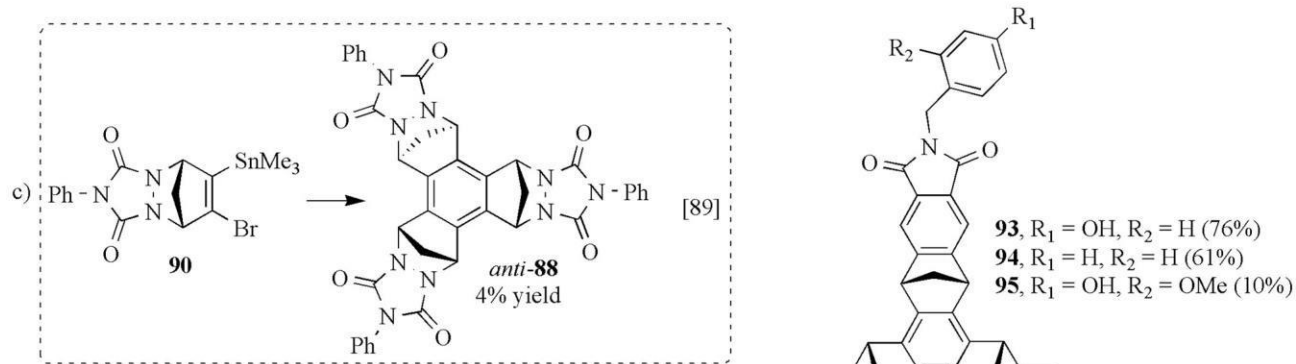
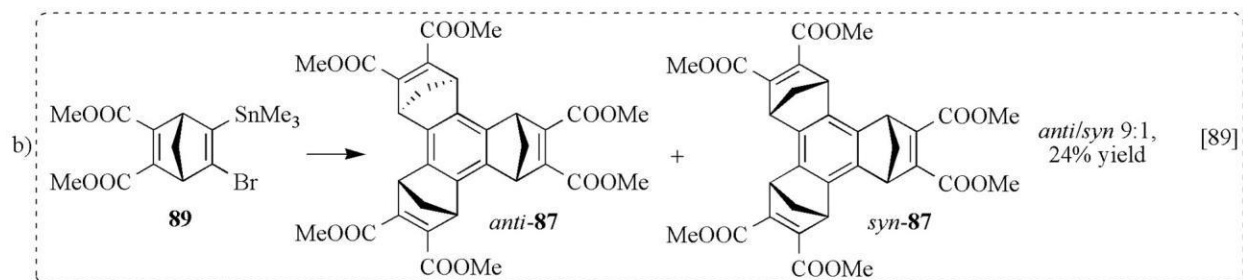
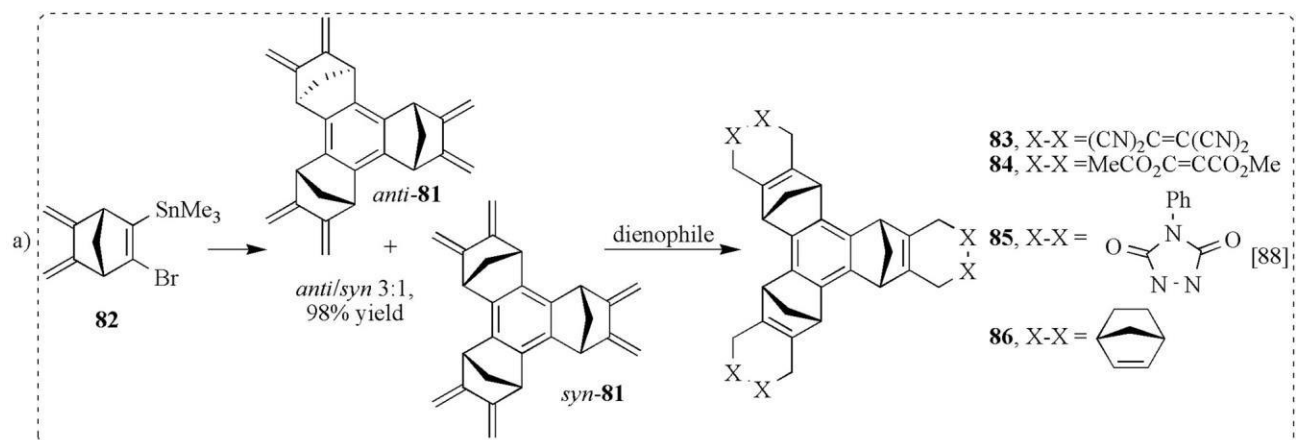


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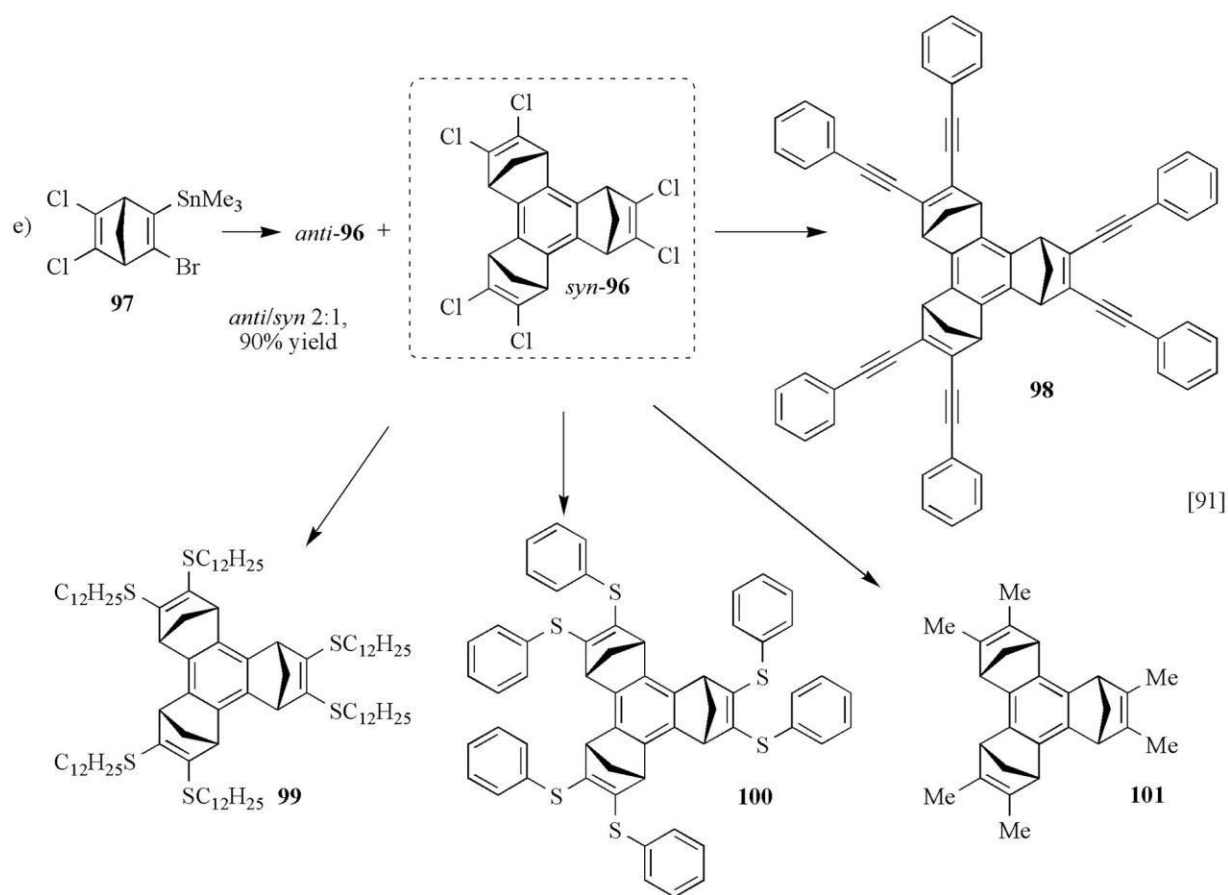
468 **Scheme 13.** Sakurai's synthesis of sumanene.

469 Later, a series of highly functionalized BCTs were synthesized through the CuTC-mediated
470 cyclotrimerization. In particular, it was noted that the statistical 1 : 3 *syn* to *anti* ratio is frequently even

471 more in favour of the *anti* isomer because of the steric hindrance exerted by the three ‘arms’ of the BCT.
472 On this basis, *syn* trimers with long and functionalized ‘arms’, which appeared the most interesting host
473 molecules, were often generated in negligible quantity with respect to the *anti* isomers. Given that, some
474 authors have tackled this issue by synthesizing *syn*-BCTs with short ‘arms’ which are decorated with
475 groups allowing further functionalization [88] (Scheme 14). A first approach is based on the preparation
476 of BCTs bearing reactive double bonds toward dienophile species. In 2003, Lucchini *et al.* performed
477 the synthesis of the tris-annelated benzene **81** by cyclotrimerization of the monomer **82** [88]. Early
478 attempts with the Cu(NO₃)₂·3H₂O as a promoter had failed, leading to the formation of complex
479 mixtures of products including the protodestannylated monomer, and both C_s and C₂ dibrominated
480 dimers. Otherwise, the reaction promoted by a stoichiometric amount of CuTC allowed for obtaining a
481 1:4 mixture of *syn* and *anti* BCTs in almost quantitative yield (Scheme 14a) [88]. The isomers were
482 separated by chromatography and recrystallized from methanol. Moreover, the Diels–Alder reactivity of
483 *syn*-**81** was tested toward strong dienophiles, as tetracyanoethylene, dimethylacetylene dicarboxylate
484 (DMAD), 4-phenyl-1,2,4-triazoline-3,5-dione (PTAD), and norbornadiene, affording *syn*-**83–86** in yields
485 ranging from 50 to 100%. Later, Fabris, Daştan, *et al.* also obtained BCTs **87** and **88** after
486 cyclotrimerization of alkenes **89** and **90**, respectively, with CuTC in dry NMP at -20 °C [89]. For **87**, the
487 *syn* to *anti* diastereoselectivity and the yields of the products reflect the steric hindrance of the
488 substituents (Scheme 14b). Indeed, alkene **89** furnished a 1 : 9 *syn* to *anti* mixture of BCT **87**, this
489 unfavorable diastereomeric ratio being imputable by the authors to the steric repulsion between the
490 carboxymethyl moieties acting on the plane of the ethylidene fragment of the bicycle. More striking
491 resulted the effect of the alkene **90**, and in this case the *anti*-BCT **88** was obtained exclusively (Scheme
492 14c) [89]. In 2006, Badjić *et al.* performed the CuTC-promoted cyclotrimerization of polyene **82**
493 obtaining **81** as a 4:1 mixture of *anti* and *syn* isomers in 65% isolated yield [90]. Compound **81** being
494 highly reactive in Diels–Alder reactions [88], the cycloaddition of DMAD to **81** was performed at a high
495 pressure in order to yield **91**, after the DDQ aromatization step (Scheme 14d).



Scheme 14. CuT C-mediated cyclotrimerization of polycyclic alkenes according to BT Cs and related derivatives (*continues*).



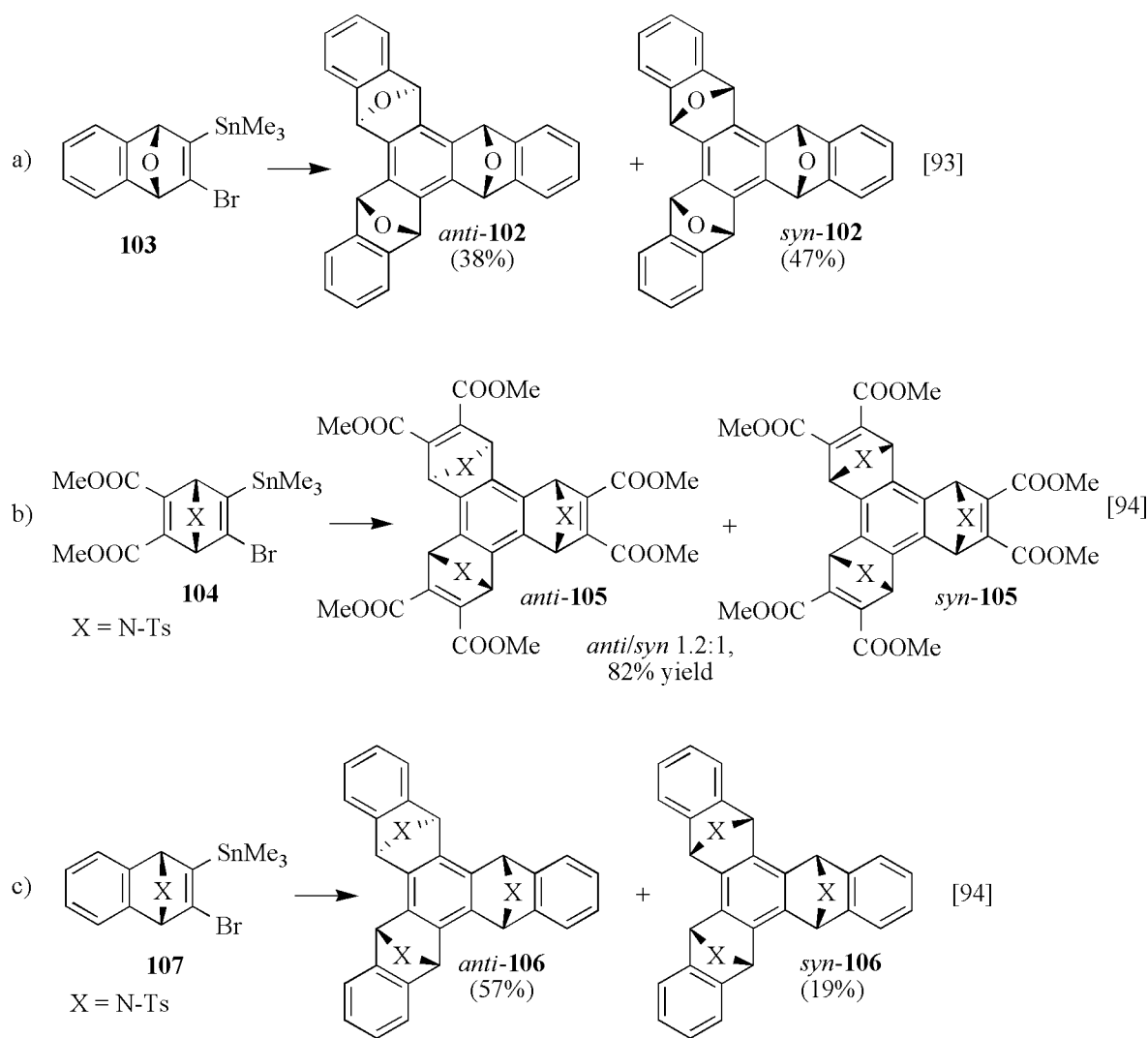
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499 **Scheme 14.** CuTC-mediated cyclotrimerization of polycyclic alkenes affording BTCs and related derivatives (*continued*).

500 The hexaester **91** was then converted to a stable tris-anhydride **92**, which, in a reaction with amines
 501 followed by subsequent hydrogenolysis, yielded **93**, **94**, and **95**, in 76, 61, and 10% yield, respectively
 502 (Scheme 14d). In 2005, Lucchini, Borsato *et al.* obtained well-defined and rigid molecular domes
 503 (Scheme 14e) [91] by substitution of the chlorine atoms of hexachlorotrinenbornadiene *syn*-**96** which
 504 was synthesized in 1 : 2 *syn/anti* ratio by CuTC-promoted cyclotrimerization of alkene **97**. The three
 505 dichlorovinyl functionalities at the edge of *syn*-**96** were displaced by sulfur nucleophiles and Grignard
 506 reagents with Ni(II)Cl₂dppf as catalyst, affording BCTs **98-101**. Starting from BCT **96**, the authors also
 507 synthesized hexacarboxytrindanes in high yields through oxidative cleavage of the three double bonds of
 508 the norbornene arms using RuCl₃·3H₂O/NaIO₄ [92].

509 BCTs featured by heteroatoms, such as oxygen and nitrogen atoms, located at the apical positions of
 510 bridged systems were also synthesized (Scheme 15). Balci, Fabris *et al.* developed an efficient synthetic

511 access to concave-shaped molecules by preparing potentially ionophoric *syn*- and *anti*-isomers of
 512 5,6,11,12,17,18-hexahydro-5,18:6,11:12,17-triepoxytrinaphthylene (**102**) by CuTC-mediated
 513 cyclotrimerization of **103** (Scheme 15a) [93]. The BCT **102** was obtained in a *syn/anti* ratio of 5 : 4. In
 514 2005, Zonta *et al.* performed the cyclotrimerization reaction of *N*-tosyl protected alkene **104** using CuTC
 515 at -20 °C for 1 h (Scheme 15b) [94]. The reaction afforded the *N*-tosyl (Ts) protected BCTs *anti*- and
 516 *syn*-**105** in 82% yield in 1.2 : 1 ratio. Again using *N*-Ts protected starting material, the authors also
 517 performed the synthesis of BCT **106** by using alkene **107** as starting material. In this case, BCTs *syn*-
 518 and *anti*-**106** were obtained in a 1:3 statistical ratio (Scheme 15c) [94].



519519

520 **Scheme 15.** CuTC-mediated cyclotrimerization of polycyclic alkenes affording BTCs functionalized at the apical
 521 positions.

522 Interestingly, the ^1H NMR spectrum for compound *anti*-**106** showed unexpected high field chemical
523 shifts of the protons of a tosyl group at the apical position. Indeed, in this compound, the pocket formed
524 by the two contiguous aromatic rings generated a cleft in which the electron-poor tosyl group forms a
525 double edge-to-face interaction.

526 **4.2.2 Synthesis of bridged BCTs through palladium-catalyzed cyclotrimerization**

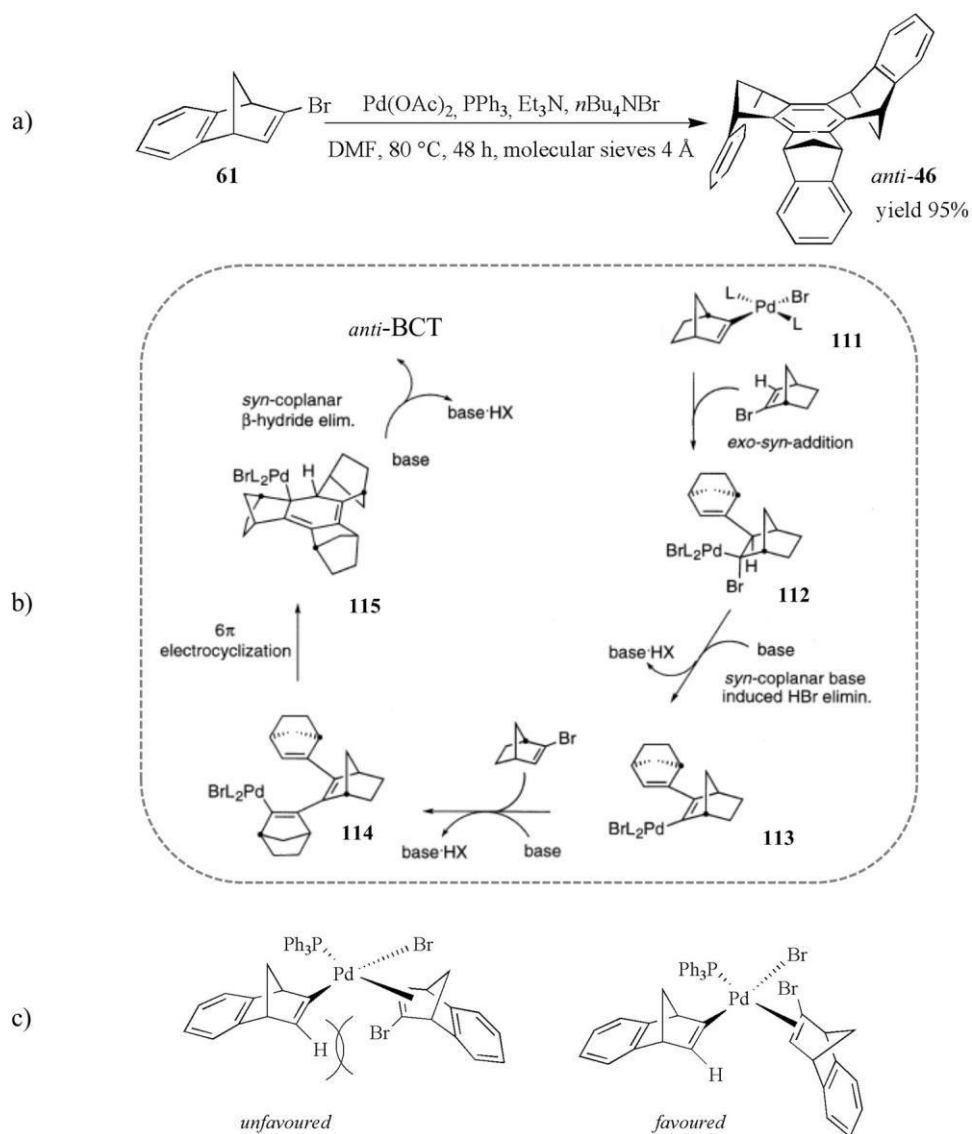
527 The first procedure to perform cyclotrimerization of polycyclic alkenes using a palladium catalyst
528 was developed by Kochi *et al.* in 1998 [95]. This synthetic methodology of tris-annelated benzenes was
529 based on the direct cyclotrimerization of a 1,2-dibromoalkene with 2 equiv of a vinylic Grignard reagent
530 through the following steps: *a*) the coupling of the (1:2) components with a palladium catalyst to afford
531 a linear 1,3,5-triene, *b*) *in situ* spontaneous sigmatropic rearrangement to the a dihydrobenzene, *c*)
532 aromatization to the final BCT. By using bis(triphenylphosphine)palladium(II) as a catalyst, both
533 symmetrical and unsymmetrical BCTs were obtained in high yields ranging from 84 to 97%. The
534 *syn/anti* stereoselectivity was found to be dependent on the structure of the olefinic reagent, being 1 : 3
535 for BCT **26**. The 2,3-dibromobicyclooctene (**108**) and the corresponding Grignard reagent **109** afforded
536 the tris-bicyclo[2.2.2]octanobenzene **34** in 97% yield.

537 In 2000, De Lucchi *et al.* described a method of preparation of BCTs which is based on the Stille
538 coupling reaction [96, 97]. When bromotrimethylstannyl alkene **60** was heated at 70 °C for 24 h in DMF
539 in the presence of palladium(II)acetate (10% mol eq.), triphenylphosphine (20% mol eq.) and LiCl, a 1 :
540 4 *syn/anti* mixture of BCT **46** was obtained in 38% yield. Under these experimental conditions, no
541 detectable formation of dimers was observed, whereas dimers had been identified by the same authors in
542 the reaction with copper nitrate [52]. Under palladium catalysis, yields and *anti/syn* ratio were found to
543 be affected by temperature, solvent or by the changes to the other reaction conditions. For instance, the
544 same reaction carried out in toluene at 120 °C afforded, after 24 h, 1 : 3 *syn/anti* mixture of BCT **46** in
545 30% yield. Otherwise, in refluxing THF, the system resulted unreactive even after 94 h. While the effect
546 of co-catalysts such as LiCl did not significantly improve the yields of the reaction, the bromotributyltin

547 benzonorbornadiene **110** treated with Pd(OAc)₂ (7% mol eq.) and PPh₃ (14% mol eq.) at 110 °C for 24 h
548 in toluene led to BCT **46** as *anti* isomer in 58% yield. Evaluating the toxic nature of tin compounds, De
549 Lucchi's group also tested the feasibility of the *in situ* formation of the tin starting material, under the
550 conditions developed by Grigg [98]. Thus, by heating a mixture of dibromobenzonorbornadiene **47**,
551 Pd(OAc)₂ (10% mol eq.), PPh₃ (20% mol eq.) and hexamethylditin in refluxing toluene, only BCT *anti*-
552 **46** was obtained in 50% yield. Using hexabutylditin instead of hexamethylditin, the reaction afforded
553 BCT **46** in quantitative yield. Through this optimized Grigg variant, also BCTs *anti*-**26**, **34**, and *anti*-**64**
554 were obtained in high yields [97].

555 Later, Cossu, De Lucchi *et al.* carried out the cyclotrimerization of the bromobenzonorbornadiene **61**,
556 under the condition of the Heck reaction [99, 100], by treating the starting alkene with Pd(OAc)₂ (5%
557 mol eq.), PPh₃ (10% mol eq.), Et₃N (2.5 mol eq.), and *n*-Bu₄NBr (1 mol eq.). The reaction afforded *anti*-
558 **46** in 95% yield (Scheme 16a). Under these conditions, the *anti*-isomers of BCTs **26**, and **62-64** were
559 obtained in 90-95% yields. The authors noticed no formation of dimers in the course of the reaction. The
560 tetraalkylammonium salts showed to have a pivotal role in the cyclotrimerization because the reaction
561 carried out in the presence of *n*-Bu₄NHSO₄, as well as *n*-Bu₄NCl, or *n*-Bu₄NBr, reached completion
562 within 48 h, whereas it proceeded very slowly without added ammonium salts (7 days, 80°C, 70%
563 conversion) [99]. As shown in Scheme 16b, the formation of complex **113** was hypothesized to occur
564 through base-induced E₂ elimination of HBr at the stage of the σ-complex **112**. Complex **113** may
565 carbopalladate another molecule of bromoalkene to afford the cyclic trimer **114**, which undergoes 6π
566 electrocyclization to the cyclic trimer **115**, and subsequently elimination, as in the standard Heck
567 reaction. The origin of the stereoselectivity affording to the exclusive formation of the *anti*-isomer could
568 be attributed to the steric overcrowding in homochiral dimeric Pd-complex (Scheme 16c, left) which is
569 disfavored compared to the heterochiral dimeric Pd-complex (Scheme 16c, right) [99]. As
570 confirmation, the reaction performed by using the enantiopure **61** was shown to proceed much more
571 slowly than that carried out with the racemate, affording a mixture of *syn*- and *anti*-BCTs **46** in ca. 3 : 1

572 ratio, together with substantial amounts of unidentified products. Under the Heck-reaction conditions,
 573 *rac*-2-iodobenzonorbornadiene afforded *anti*-**46** in comparable yields. Otherwise, in the
 574 cyclotrimerization of (1*R*)-2-iodobornene under Heck conditions, Fabris *et al.* obtained a mixture
 575 containing *syn*- (5% yield) and *anti*-BCT (2% yield), along with dimerization (52% yield) and
 576 benzocyclodimerization (25% yield) products [101].



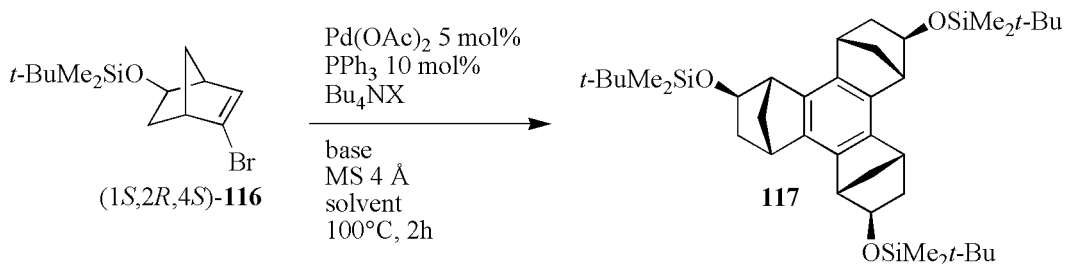
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578 **Scheme 16.** *anti*-Selective Heck-type cyclotrimerization of a) 2-bromobenzonorbornadiene **61**, b) mechanism of
 579 cyclotrimerization, and c) structures of diastereomeric Pd-complexes (adapted with permission from ref. 99).

580 In 2007, Sakurai and co-authors reported the Pd-catalyzed cyclotrimerization of enantiopure
 581 iodonorbornenes to prepare C_3 symmetric enantiopure *syn*-tris(norborneno)benzenes under Pd-

582 nanocluster conditions [38]. The cyclotrimerization of iodobornene **116** under the Heck-reaction
 583 conditions reported by Cossu and De Lucchi [99] did not take place, giving a complex mixture with only
 584 a trace amount of *syn*-**117** (Table 3, entry 1).

585 **Table 3.** Palladium-catalyzed cyclotrimerization of the enantiopure iodoalkene **116**.



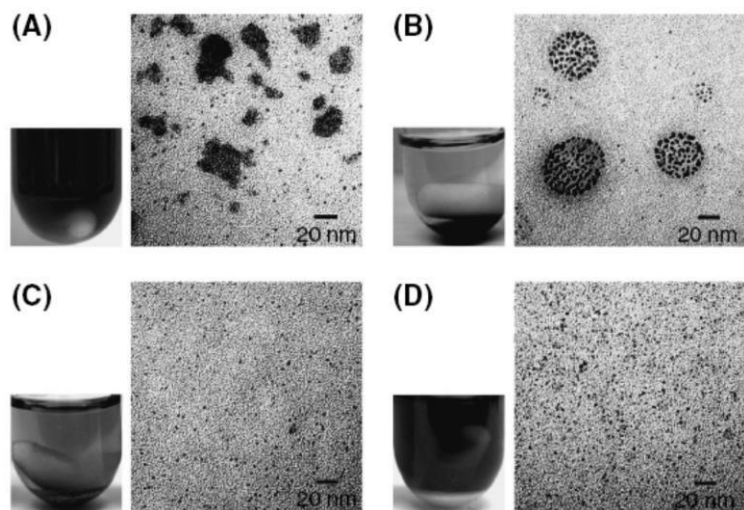
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Entry	Bu_4NX (mol%)		Base (mol%)		Solvent	% Yield of 117
1 ^a	Bu_4NBr	100	NEt_3	250	DMF	trace
2	Bu_4NOAc	100	Na_2CO_3	1000	1,4-dioxane	34
3	Bu_4NOAc	300	Na_2CO_3	1000	1,4-dioxane	42
4	Bu_4NOAc	500	Na_2CO_3	1000	1,4-dioxane	47
5	Bu_4NOAc	1000	Na_2CO_3	1000	1,4-dioxane	53

587 ^a Conditions reported in ref. [99].

588 After screening several conditions, Sakurai *et al.* found that *syn*-**117** could be obtained in 34% yield
 589 as a single isomer by using Bu_4NOAc and Na_2CO_3 in 1,4-dioxane solution (Table 3, entry 2). In
 590 particular, the yield of BCT **117** was dependent on the amount of Bu_4NOAc , indicating that the Pd
 591 nanoclusters generated in the reaction mixture may play an important role. On this basis, the yield was
 592 improved to 53% by increasing the amount of Bu_4NOAc to 1000 mol% (Table 3, entries 2–5).
 593 Interestingly, the authors reported photographs and typical TEM images of the reaction mixtures under
 594 the conditions listed in entries 1, 2, 4, and 5 in Table 3 (Fig. 13). The Pd nanoclusters were shown to be
 595 well dispersed in the order of (B) < (C) < (D) which was consistent with the amount of Bu_4NOAc . The
 596 amount of precipitation of Pd black was observed in the opposite order, (B) > (C) > (D). Moreover, the
 597 appearance of (A) was similar to that of (D), indicating the formation of nanoclusters. However, a
 598 considerable degree of aggregation of clusters was observed in the TEM image (A) as well as in the
 599 image (B). These observations strongly suggested that the generation of Pd nanoclusters in appropriate

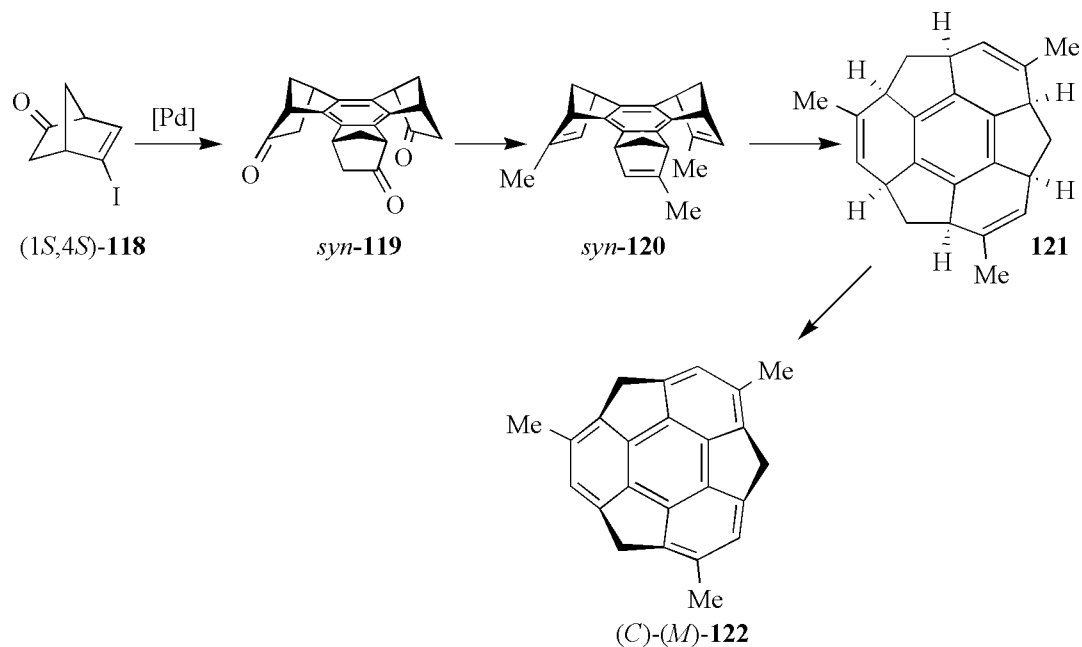
600 conditions might be very important, and that using an excess amount of Bu₄NOAc could realize well-
601 dispersed nanoclusters.



602
603 **Fig. 13.** Photographs and typical TEM images of Pd-nanocluster-catalyzed reaction mixtures (Table 3): (A) entry 1, (B)
604 entry 2, (C) entry 4, and (D) entry 5 (reproduced with permission from ref. 74).

605 The authors noticed that role of the Pd nanoclusters in the process may be understood in relation to
606 the mechanistic insight of the Heck reaction. Indeed, *a*) given that for the Heck reaction atomic
607 palladiums leached from nanoclusters were reported to be the active species, and *b*) since there are two
608 Heck-type insertion steps at sterically hindered positions (see Scheme 16b), the Pd-nanocluster catalyzed
609 reaction was reasonably believed to be controlled by the active Pd species continuously leached from the
610 high concentrated Pd nanoclusters [38]. Exploiting this procedure, Sakurai's group prepared a series of
611 C₃-symmetric homochiral *syn*-tris(norbornabenzene)s through the regioselective cyclotrimerization of
612 enantiopure iodonorbornenes [74]. In particular, the authors performed the cyclotrimerization of chiral
613 iodonorbornene derivative (1*S*,4*S*)-**118** to afford *syn*-benzocyclotrimer **119** without contamination of the
614 diastereomer *anti*-**119** (Scheme 17) [102]. The carbonyl groups of **119** could be converted to methyl-
615 substituted BCT **120** through alkenyl phosphates by cross-coupling reactions with MeMgI. Treatment of
616 **120** with Grubbs first generation catalyst afforded a mixture of only ROM products. Otherwise, Grubbs
617 second generation catalyst was effective for the RCM, giving **121**. The final aromatization step was

618 carried out with 2,3-dichloro-5,6-dicyano-*p*-benzoquinone, obtaining the chiral buckybowl (*C*)-(*M*)-
619 8,13,18-trimethylsumanene (**122**).

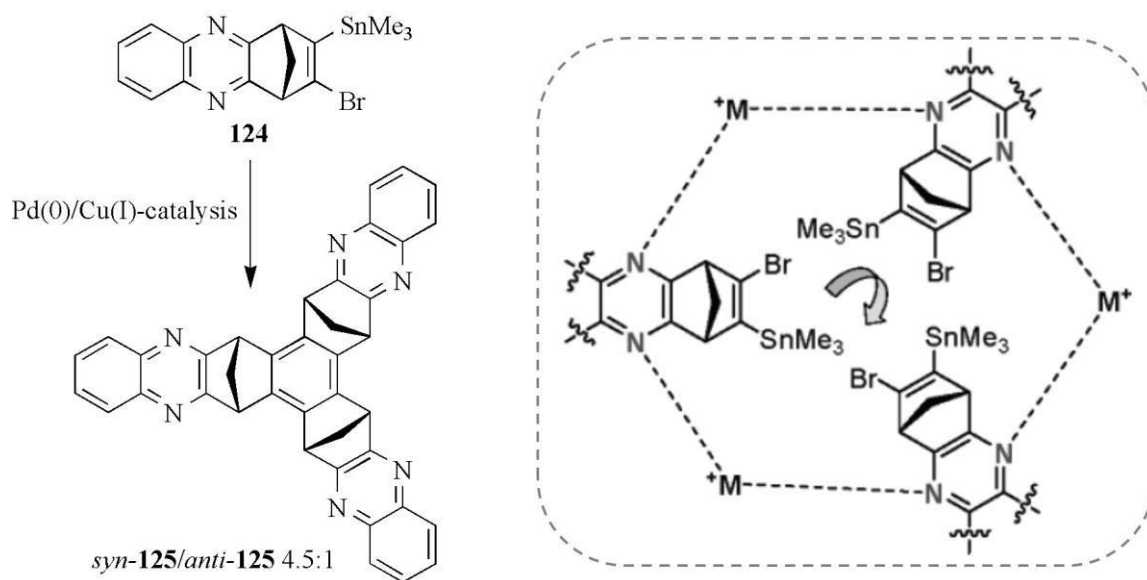


620

621 **Scheme 17.** Synthesis of (*C*)-(*M*)-8,13,18-trimethylsumanene (**122**) starting from enantiopure polycyclic iodoalkene **118**.

622 This strategy has allowed the authors to access several C_{3v} and chiral C_3 BCTs [74, 103]. They noticed
623 that observed *syn/anti* selectivity caused by substituents ranged from 100 : 0 to 77 : 23. As a general
624 trend, higher *syn*-selectivity was observed for C_3 symmetric tris(norborneno)benzenes than C_{3v}
625 symmetric ones [104]. On this basis, over time, Sakurai's group have prepared and studied sumanenes
626 characterized by different types of functionalization [103,105,106]. The group also elucidated the
627 reaction mechanism as well as the stereoselectivity of the Pd-catalyzed cyclotrimerization through DFT
628 calculations [107], confirming that the reaction pathway consists of *a*) sequential olefin insertion
629 followed by an HX elimination reaction of halonorbornene with the norbornenylpalladium intermediate,
630 *b*) electrocyclization of the trienylpalladium intermediate with a lower activation barrier than a triene
631 compound, and *c*) the β -elimination of HPdX of the cyclohexadienylpalladium intermediate. In addition,
632 the stereoselectivity would be controlled by the regioselectivity in the olefin insertion process (homo and
633 hetero positions) and the symmetry breaking in the palladacyclic intermediate.

634 It is worth mentioning that very recently, Badjić subjected to cyclotrimerization the enantiopure
 635 dimethyl (1*S*,4*S*)-2-iodo-1,4-dihydro-1,4-methanonaphthalene-6,7-dicarboxylate (**123**) under the Pd-
 636 nanocluster catalyzed conditions described by Sakurai, recovering the unreacted starting material
 637 exclusively [80]. This result showed that also under Pd-nanocluster catalysis the cyclotrimerization tends
 638 to be dependent on the structure of the starting polycyclic alkene. Later, Badjić's group obtained
 639 moderate yield of three new BCTs having increasingly deeper and extendable aromatic cavities with
 640 high *syn*-stereoselectivity by using Pd-catalysis with neocuproine (DMPHEN) as a bidentate nitrogen
 641 ligand and 4-(dimethylamino)pyridine (DMAP) to displace the ligand in the migratory insertion, which
 642 is a key step of the cyclotrimerization [39]. In 2008, the same group had also investigated the
 643 supramolecular assistance to double Pd(0)/Cu(I)-catalyzed cyclotrimerization of stannylated norbornene
 644 **124** to give the molecular bowl *syn*-**125** in a stereoselective fashion (Scheme 18) [108].



646 **Scheme 18.** Supramolecular assistance in Pd(0)/Cu(I)-catalyzed cyclotrimerization (adapted with permission from ref.
 647 108).

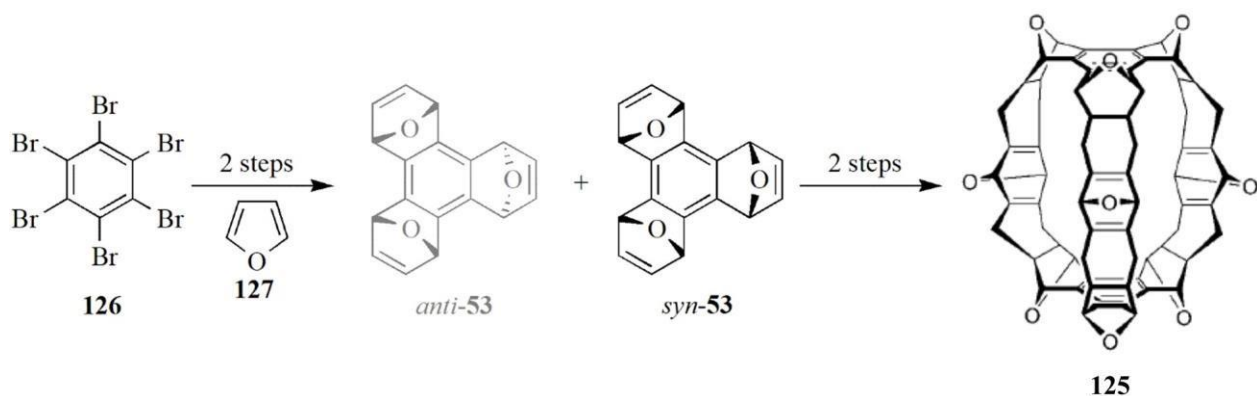
648 The self-coupling of *rac*-**124** was found to be promoted by Pd(0)/Cu(I) catalysis acting in synergy
 649 with CsF, providing *syn*-**125** in a moderate 30% yield. The reaction diastereoselectivity resulted to be

650 affected by the concentration of Cu(I) and Cs⁺, increasing quantities of the cations enhancing the
651 *syn/anti* ratio of the isolated cyclotrimer from statistical 1 : 3 to the more attractive 4.5 : 1 ratio.

652 5. BRIDGED BCTs AS CONCAVE SYNTHETIC RECEPTORS

653 The first observations that bridged BCTs showed a strong ability to complex solvent molecules in
654 their arene-lined cavities date back to the 1990s. For instance, Bürgi, Siegel *et al.* obtained crystalline **20**
655 in the form of a 1:1 complex with chlorobenzene, in which the solvent molecules packed in channels
656 between ribbons of molecules of the BCT [67]. The crystal packing was shown to distort the molecular
657 structure of **20** away from the idealized *D*_{3h} form expected for the isolated molecule. Later, Kochi *et al.*
658 studied the molecular association of **26** and **34** as aromatic π -donors with diverse π -acceptors by X-ray
659 diffraction, spectroscopy and computational analyses [109], observing that some acceptors could
660 approach the BCTs despite the steric hindrance exerted by these structures.

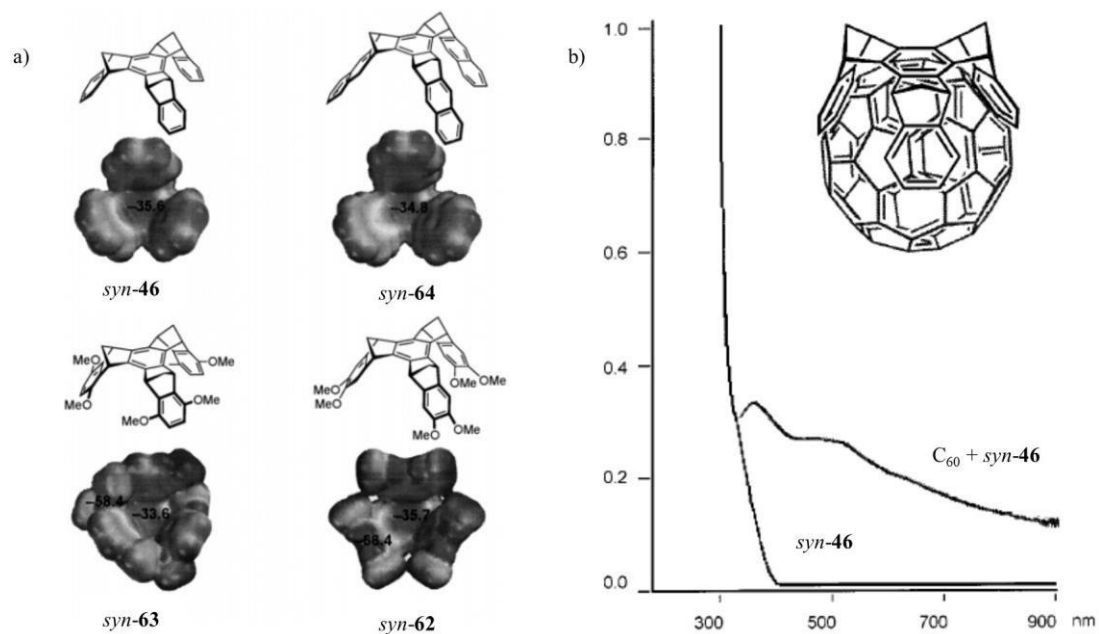
661 It was in the late 1980s that Stoddart *et al.* synthesized trinacene (**125**) as the first cage based on a
662 bridged BCT structure (Scheme 19) [35, 110]. Compound **125** was synthesized in four steps starting
663 from hexabromobenzene (**126**) and furan (**127**), through the formation of BCT *syn-53*, but in a low
664 overall yield < 0.01% [110-112].



665
666 **Scheme 19.** Synthesis of trinacene (**125**) (adapted with permission from ref. 111).

667 In 2000, in light of Klärner's findings [113], De Lucchi *et al.* investigated the electronic structures of
668 the BCTs *syn-46*, and *syn-62-64* by calculating the electrostatic potential surfaces (EPSs) of these
669 molecules [76]. On this basis, it was found that the concave sides of the BCTs exhibit highly negative

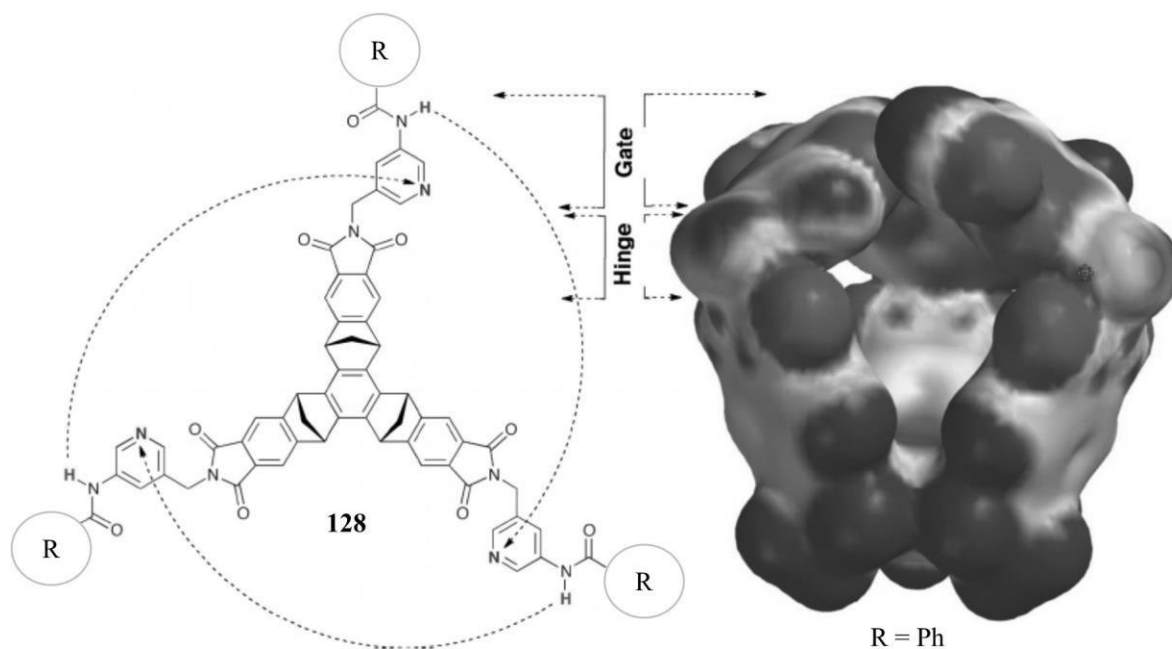
670 electrostatic potential (EP) values, while, on the outer surface, the values are comparable with those of
 671 polyalkylated benzenes (Fig. 14a). This evidence suggested high complexing capability with molecules
 672 bearing electron-poor regions with positive EP values. Moreover, *syn-46* showed to be able to draw
 673 fullerene C₆₀ into acetonitrile solution, a solvent unable to solubilise fullerenes. Indeed, given that UV
 674 absorption of C₆₀ in acetonitrile is completely absent because of its insolubility, and pure *syn-46* also
 675 does not absorb in this region, the comparison of the UV spectrum of *syn-46*, and that of an acetonitrile
 676 solution of *syn-46* after addition of C₆₀, might be considered as a proof of *syn-46*/C₆₀ complex formation
 677 (Fig.14b).



678
 679 **Fig. 14.** a) EPs of BCTs *syn-46*, and *syn-62-64* calculated at semiempirical AM1 level, and b) UV spectra of an
 680 acetonitrile solution of *syn-46* (10⁻³ M) and of the same solution after addition of C₆₀ (excess) (adapted with permission from
 681 ref. 76).

682 Starting from the early 2000s, inspiring to the conformational rapid opening and closing of the gate to
 683 the active site of enzymes [114], Badjić and co-authors have developed a research program which is
 684 oriented to design, synthesize and study molecular baskets and cavitands with allosterically controllable
 685 conformational dynamics to allow for the regulation of molecular recognition and reactivity [40, 90, 115].

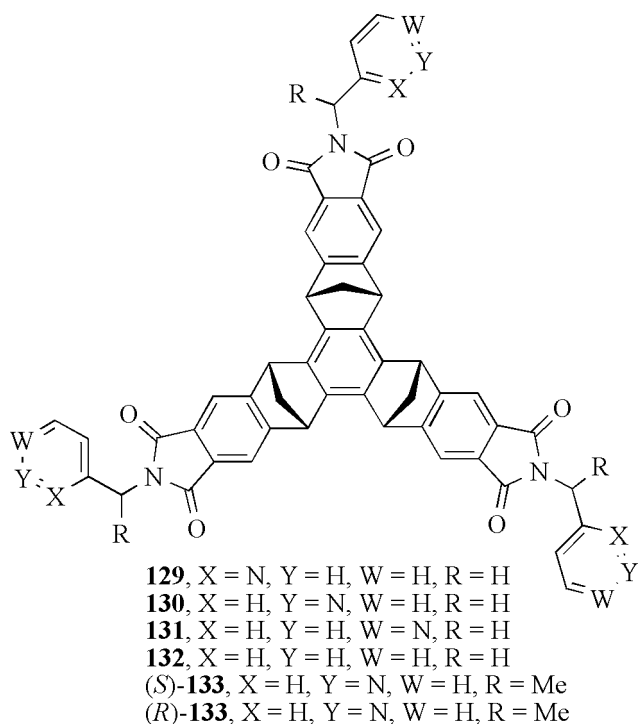
686 The first baskets prepared by the group were BCTs **93-95** containing three phenolic gates (Scheme
687 14d) [90]. However, these baskets were incapable to retain guests due to a poor pre-organization of the
688 cavities, and limited solubility in solvents incapable of occupying their cavities [40]. Later, with the
689 assistance of computational chemistry, a series of BCTs of general structure **128**, bearing amido-
690 pyridine gates, was designed, synthesized and successfully used as molecular baskets for encapsulation
691 and dynamic discrimination of small molecules in the enclosed space (Fig. 15) [40, 115-123]. This
692 pyridine-based gates are each conjugated to the framework via a CH₂ as a rotor, and also decorated with
693 an amide functional group. The amide groups were found to adopt a Z configuration about each C-N
694 bond with the basket's pyridine gates forming three intramolecular N-H...N hydrogen bonds (HBs)
695 [117].



696
697 **Fig. 15.** General structure of amido-pyridine molecular baskets **128**, and electrostatic potential surface of basket **128** with
698 R = Ph (adapted with permission from ref. 123).

699 A series of studies performed by Badjić's group concerns the use of a transition metal to enclose
700 space by bringing together ligands appended to a tridentate bowl-shaped host, thus allowing the
701 coordinatively unsaturated metal to further bind another molecule by placing it inside or outside the

702 cavity [124]. The authors hypothesized that the encapsulation and detection of target molecules in such
 703 hosts could be greatly facilitated by means of coordination, allowing chemical reactivity in dynamic and
 704 confined environments to be modulated. On this basis, the properties of BCTs *syn*-**129-133** as tridentate
 705 compounds, containing three pyridine flaps tethered to a semirigid scaffold, were explored through
 706 experimental and theoretical methods (Fig. 16) [124-126]. The Ag(I) mediated folding of *syn*-**130** into a
 707 molecular basket was shown to be highly favorable in organic media, with the assembly process
 708 allowing for another ligand to bind preferentially on the outer side [124]. DFT calculations of the
 709 optimized geometries of the folded basket showed that the three pyridine moieties, coordinated to Ag(I),
 710 are twisted in the same direction with a propeller-like geometry, and with either a *P* or *M* sense of twist.



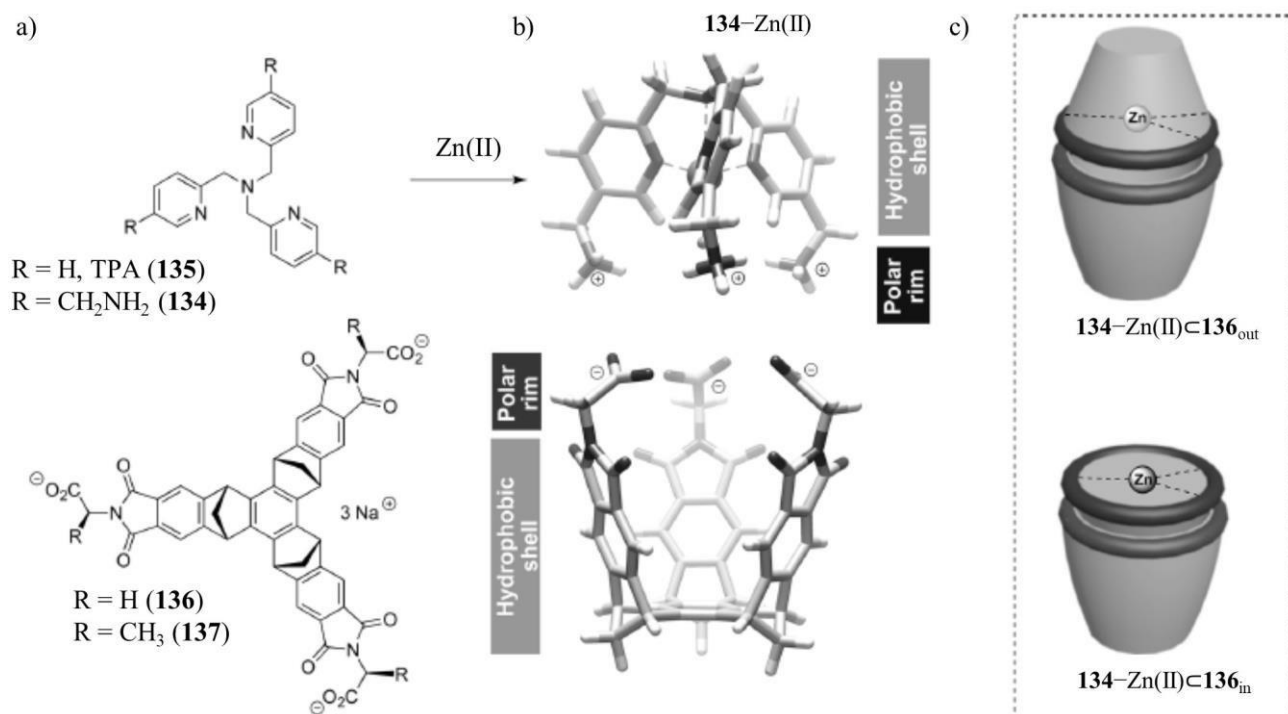
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712 **Fig. 16.** Structures of molecular baskets **129-133**.

713 BCT *syn*-**130** also showed to coordinate to a Cu(I) cation, and thus folded in a multivalent fashion
 714 [125]. Variable temperature ¹H NMR, 2D COSY, and ROESY investigations revealed the existence of a
 715 first Cu(I)-folded basket with a molecule of acetonitrile occupying its interior and coordinated to the
 716 metal. Interestingly, the basket was shown to be in equilibrium with a second Cu(I)-folded form, whose

717 inner space is solvated by acetone or chloroform used in the NMR experiments. The conversion between
718 the two forms was shown to necessitate a molecule of acetonitrile to displace the solvent in the cavity of
719 the second Cu(I)-folded form. The addition of neat acetonitrile to a solution containing both forms of the
720 Cu(I)-folded basket shifted the equilibrium toward the greater formation of the form including
721 acetonitrile. The behaviour of molecular baskets **129-132** was compared in terms of Ag(I) coordination
722 [126]. For BCTs **130** and **131**, the position of the nitrogen was revealed to have an effect on directing the
723 basket's coordination to Ag(I) cation, and subsequent folding to enclose space. Moreover, CH₃CN was
724 not found inside of the basket Ag(I):**130**, this evidence being in contrast with the behavior of Cu(I):**130**
725 [125]. The X-ray solid-state structural studies of **129**, **130**, and **131** revealed the capability of the baskets
726 to fill their inner space with small compounds. Thus, **130** was found with an ordered molecule of
727 chloroform, while **131** contained molecules of CH₃OH and H₂O. Later, Badjić's group extended the
728 investigation to chiral molecular baskets (*R*₃/*S*₃)-**133** containing three pyridine rings at the rim of a bowl-
729 shaped platform, each pyridine being tethered to the platform via a CH(CH)₃ stereogenic center [127].
730 According to the results described in the previous lines, the authors found that chiral basket (*R*₃)-**133**
731 coordinates to Ag(I) cation, and that the CH(CH)₃ stereogenic center may direct the twisting of the
732 pyridine rings at the rim in a clockwise orientation (*P* configuration). Thus, the results of both
733 experimental and computational investigations suggested that a stereogenic alkyl center at the "hinge"
734 position may control dynamic stereoisomerism in basket-like systems through chirality transfer in Ag(I)-
735 folded basket structure. In 2016, the same group examined the structural and electronic
736 complementarities of convex **134**-Zn(II), comprising functionalized tris(2-pyridylmethyl)amine (TPA)
737 (**135**) ligand, and the concave baskets **136** and **137**, having glycine and (*S*)-alanine amino acids at the
738 rim, respectively (Fig. 17) [128]. With the assistance of ¹H NMR spectroscopy and mass spectrometry,
739 they found that basket **136** entraps **134**-Zn(II) in water to give equimolar **134**-Zn⊂**136**_{in} complex,
740 resembling Russian nesting dolls. Again, the enantiopure basket **137**, containing (*S*)-alanine groups at
741 the rim, was found to transfer its static chirality to entrapped **134**-Zn(II) and, *via* intermolecular ionic

742 contacts, twist the ligand's pyridine rings into a left-handed (*M*) propeller. Later, the performances of
 743 both positively and negatively charged molecular baskets to form nesting complexes were comparatively
 744 evaluated, observing that the primary driving force behind the formation of nesting complexes, from
 745 complementary host/guest components, is the hydrophobic effect [129].



746746

747 **Fig. 17.** a) Chemical structures of tris(2-pyridylmethyl)amine (TPA) (**135**), derivative **134**, and molecular baskets **136** and
 748 **137**, b) energy-minimized structures (MMFFs, Spartan) of C_3 symmetric **134-Zn(II)** and **136**, showing their electronic and 749
 structural complementarity, and c) a schematic representation of two possible ways for the assembly of **134-Zn(II)⊂136** in
 750 water, capsular **134-Zn(II)⊂136_{out}** (top) and nesting **134-Zn(II)⊂136_{in}** (bottom) (adapted with permission from ref. 128).

751 Recently, two molecular baskets, each containing three (*S*)-glutamic acids at its rim, were found to
 752 complex diammonium alkanes, giving ternary assemblies [130]. The authors also found that molecular
 753 baskets with amino acids at their rim, such as **136** and **137**, undergo photoinduced decarboxylation to
 754 give the corresponding baskets with alkylated phthalimide terminations, forming a solid precipitate in
 755 water [79]. Interestingly, organophosphonates, similar in size and shape to *G*-type nerve agents, form
 756 inclusion complexes with the alkylated baskets, light irradiation (300 nm) of an aqueous solution of
 757 these inclusion complexes leading to the formation of precipitate containing the organophosphonate

758 compound. In this field, Badjić's group also observed that an amphiphilic phthalimide basket is able to
759 assemble into unilamellar vesicles in water [131]. The assembled host encapsulates
760 organophosphonates, their entrapment prompting a phase transformation of the vesicular basket into
761 nanoparticles or larger vesicles as a function of the shape of the host–guest complex. Recently, other
762 photoresponsive materials able to transform molecular baskets into organic nanoparticles have been also
763 explored by the group [132-134]. Other recent applications of bridged-featured molecular baskets
764 developed by Badjić and co-authors can be grouped in the following classes:

765 *a) molecular capsules* – In 2018, the authors reported the preparation, conformational dynamics, and
766 recognition characteristics of a novel molecular capsule **138** formed by a bowl-shaped framework
767 conjugated to a tris(2-pyridylmethyl)amine (TPA) lid (Fig. 18a) [135]. By integrating ¹H NMR
768 experiments and theoretical calculations (MM and DFT), *C*₃ symmetric **138** was found to be poorly
769 preorganized with three pyridines at the rim adopting a propeller-like orientation and undergoing *P*-to-*M*
770 (or *vice versa*) stereoisomerization ($\Delta G^\ddagger < 8$ kcal/mol). Capsule **138** was also shown to bind small
771 molecules such as CH₄, CH₃Cl, CH₂Cl₂, CHCl₃, and CCl₄ with $K_a < 7$ M⁻¹. Protonation of **138** with HCl,
772 however, gives [**138**·H]⁺–Cl⁻, with the solid-state structure showing the TPA lid being “flattened” and the
773 ⁺N–H···Cl hydrogen bonded group residing outside. It was noted that the *P*-to-*M* stereoisomerization
774 occurs for [**138**·H]⁺–Cl⁻ with $\Delta G^\ddagger = 11$ kcal/mol. The less dynamic and more preorganized [**138**·H]⁺–Cl⁻
775 basket was shown to bind CH₄, CH₃Cl, CH₂Cl₂, CHCl₃, and CCl₄ with a greater affinity ($K_a = 100$ – 400
776 M⁻¹) than **138**. Very recently, covalent capsule **139** was designed by the group to include two molecular
777 baskets linked with three mobile pyridines tucked into its inner space (Fig. 18b) [41]. On the basis of
778 both theoretical calculations (DFT) and experiments (NMR and X-ray crystallography), it was found
779 that the pyridine “doors” split the chamber (380 Å³) of **139**, so that two equally sizeable compartments
780 (190 Å³) became joined through a conformationally flexible aromatic barrier.

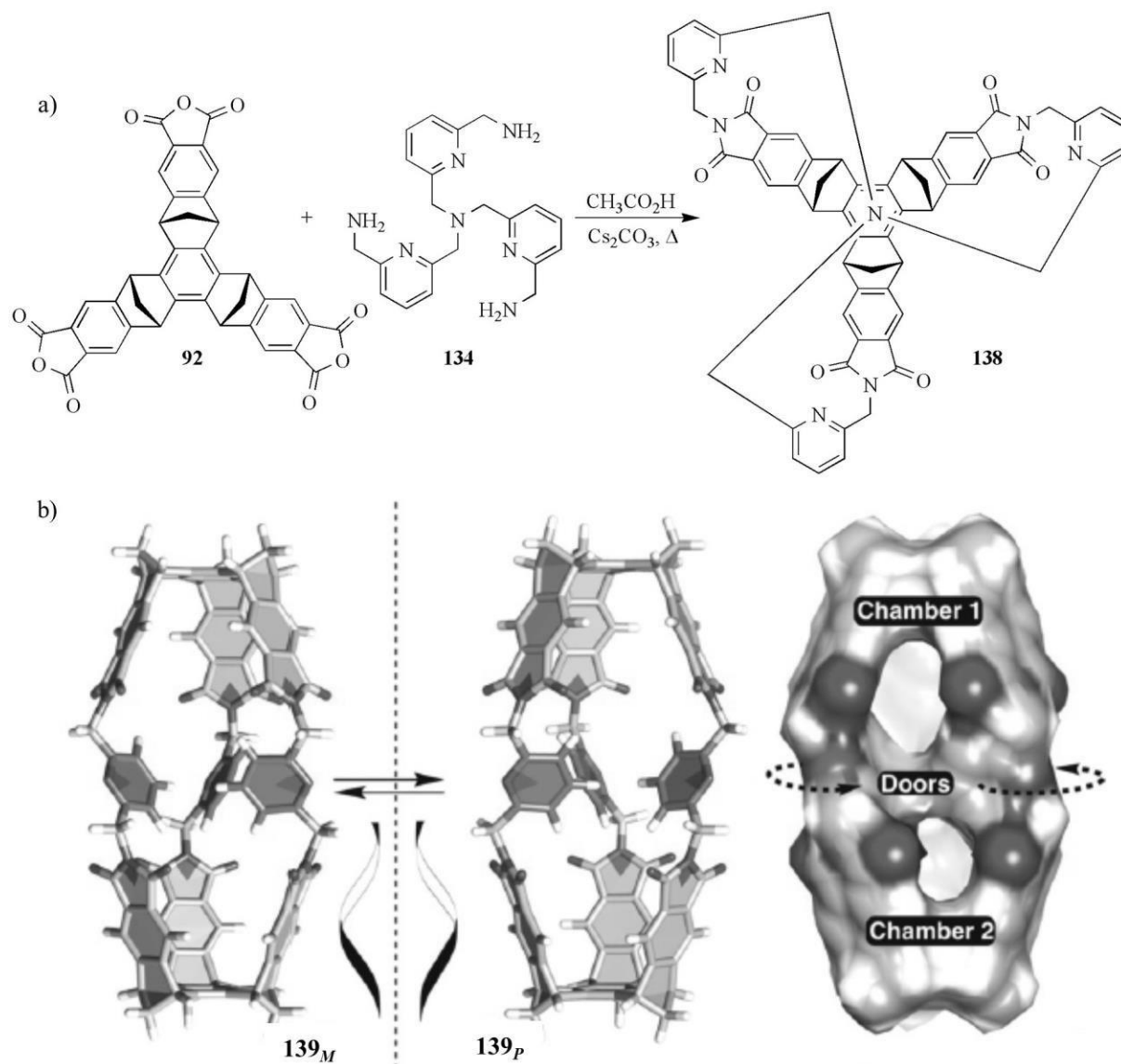


Fig. 18. a) Preparation of molecular capsule **138**, and b) energy-minimized structures (DFT/M06-2X: 6-311++G**) of enantiomeric **139_{M/P}** capsules and their van der Waals surface (adapted with permission from ref. 41).

b) *baskets based on the bicyclo[3.2.1] platform* – Along with baskets featured by the bicyclo[2.2.1] motif, Badjić's group prepared and studied molecular basket **140** bearing amidopyridine gates, as in **128** (Fig. 15), but attached to a larger bicyclo[3.2.1] platform possessing either *P* or *M* chirality (Fig. 19) [136, 137]. In organic solvents, racemic (*P/M*)-**140** showed to form a sizeable C_3 -symmetric capsule with a unidirectional seam of three N–H···N HBs. Later, chiral cavitands featured by the bicyclo[3.2.1] platform and bearing quinoline gates, at the rim of their twisted platform, were synthesized and studied [138]. These compounds showed to fold the quinoline gates in acetonitrile, giving molecular capsules

that assemble into large unilamellar vesicles. Otherwise, in the less polar dichloromethane, the cup-shaped compounds packed into vesicles but with the quinoline gates in an unfolded orientation. Finally, recently a capsule comprises a static, but twisted, bicyclo[3.2.1] cage that is linked to a dynamic tris(2-pyridylmethyl)amine (TPA) lid at its top was also explored by the group [139].

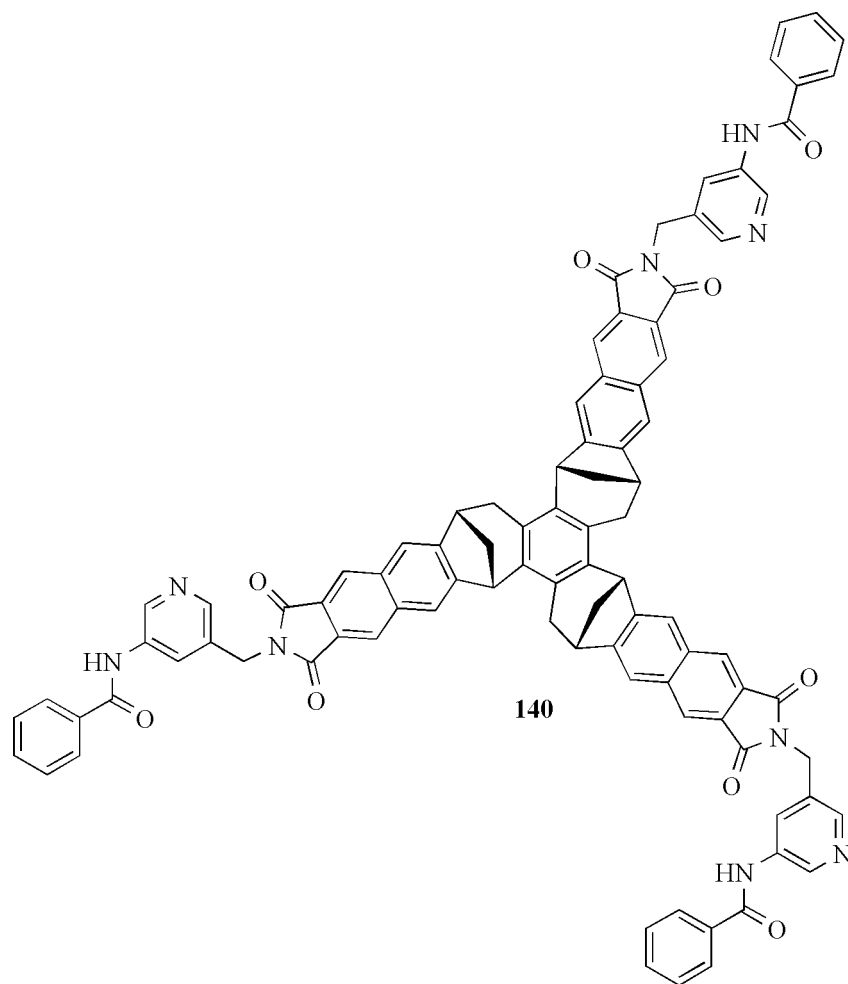


Fig. 19. Structure of bicyclo[3.2.1] cage **140**.

c) dual cavity baskets – In 2015, dual-cavity basket **141** was developed, which is characterized by six (*S*)-alanine residues at the entrance of its two juxtaposed cavities (289 \AA^3) (Fig. 20) [140]. Through ^1H NMR spectroscopy and calorimetry, **141** was found to trap a single molecule of an adamantane 800 derivative similar in size (241 \AA^3) and polar characteristics to nerve agent VX (289 \AA^3). Also in this 801 case, integrating results of DFT calculations (M06-2X/6-31G*) and ^1H NMR experiments suggested that the negative homotropic allosterism arises from the guest forming $\text{C-H}\cdots\pi$ contacts with all three of the

aromatic walls of the occupied basket's cavity. In response, the other cavity increases its size and turns rigid to prevent the formation of the ternary complex, this dynamics being evaluated by comparing the values of the torsion angles χ_1 and χ_2 .

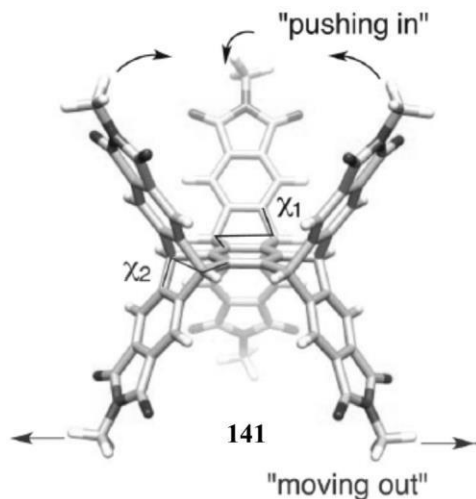


Fig. 20. Structure and dynamics of dual-cavity basket **141** (adapted with permission from ref. 140).

D_3 symmetric and chiral basket **142** (Fig. 21), containing six (*S*)-alanine residues at its termini, showed bolaamphiphilic properties as synthetic host [141]. This dual-cavity basket form large unilamellar vesicles $[\mathbf{142}]_n$ in water by placing its hydrophobic framework inside the vesicular monolayer, while keeping six carboxylates at the interface with bulk water. Upon the addition of divalent paraquat **143** to $[\mathbf{142}]_n$, the formation of equimolar $[\mathbf{142-143}]_n$ resulted in the changing of the ζ -potential of the assembly. Thus, the guests were postulated to cover the vesicular surface, with each populating the inner space of two adjacent baskets thereby giving rise to a two-dimensional supramolecular polymer [142]. To test the encapsulation features of $[\mathbf{142}]_n$, the authors treated it with a solution of rhodamine (RhB), as a biocompatible fluorophore, observing that a passive transport of cationic RhB molecules across negatively charged vesicular membrane of $[\mathbf{142}]_n$ could be tuned with paraquat **143**. Indeed, this guest covering the curved surface of $[\mathbf{142}]_n$, the resulting $[\mathbf{142-143}]_n$ vesicles become impermeable to cationic RhB dyes. However, a conversion of the

complexed paraquat into its radical cation, forces the vesicular membrane to facilitate the passage of dye molecules.

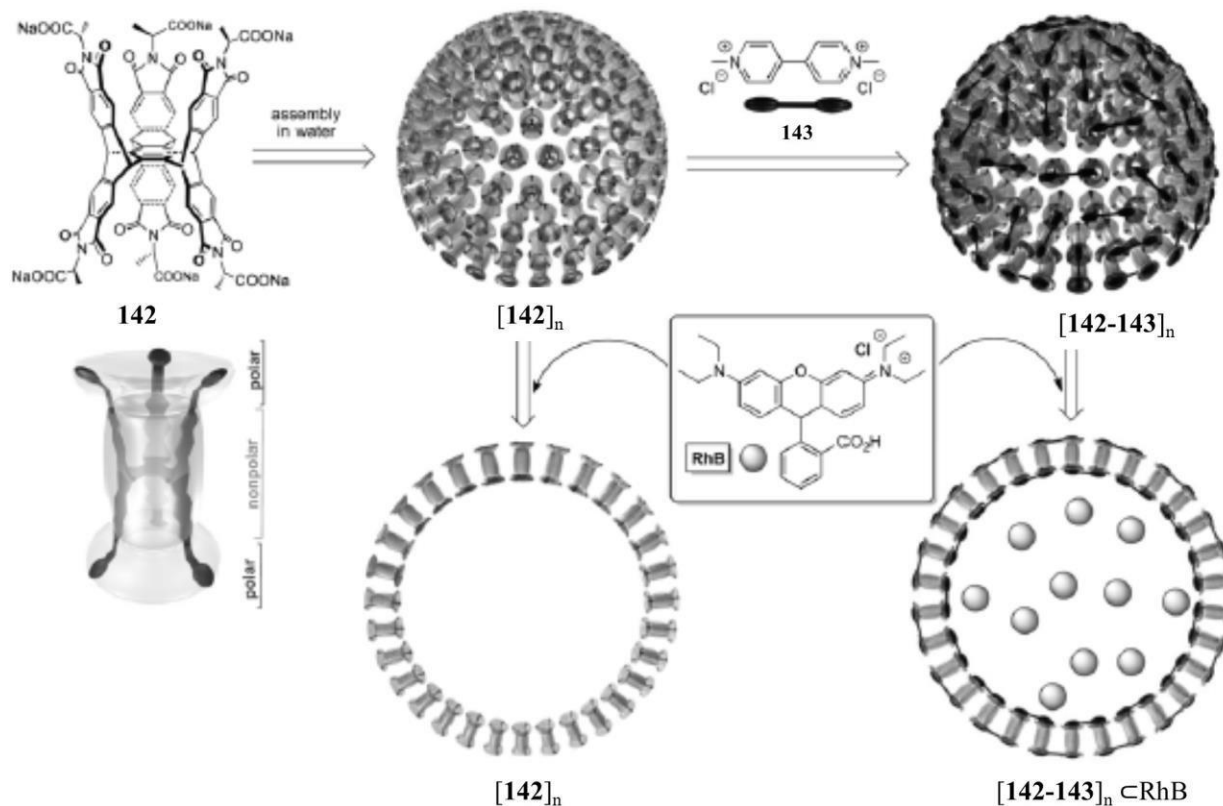


Fig. 21. Bolaamphiphilic **142** assembles into unilamellar vesicles $[142]_n$, which in the presence of paraquat **143** give 824 vesicular $[142-143]_n$ with divalent guests covering their curved polyvalent surface in a lateral fashion. Encapsulation of **142** toward RhB (adapted with permission from ref. 141).

Dynamic and possible applications of structured dual-cavity baskets were explored in the last few years by Badjić's group [143, 144], more recently focusing on encapsulation and delivery of anticancer agents [145, 146] for developing cooperative nano-antidotes. Recently, Sakurai's group reported preparation and characterization of chiral cyclic trilactams (+)-**144** and (–)-**144** with C_3 symmetry (Fig. 22a) [147]. Single X-ray analysis, NMR experiments, and computational calculations revealed that BCT (–)-**144** forms hydrophobic capsule-like structures through self-complementary $\text{NH}\cdots\text{O}$ intermolecular HBs with a small inner cavity, in both solid and solution phases (Fig. 22b). Moreover, these BCTs were investigated as liquid phase extraction materials of volatile compounds [148]. Perfume samples, involving a range of chiral odor active terpenoids such as *l*-menthol (LMT) (**145**) and methyl dihydrojasmonate (Kharismal, KRM) (**146**), were applied, and each sample before and after the liquid phase extraction was analyzed by solid phase microextraction (SPME)-gas chromatography hyphenated with mass spectrometry. On this basis, it was found that (+)-**144** exhibited significantly higher enrichment factors for several terpenoids, while (–)-**144** did not. The mode of interactions between each enantiomer and *l*-menthol and Kharismal was further investigated by molecular dynamics (MD) simulations and DFT calculations (Fig. 22c), showing the favourable interactions of enriched

substrates with (+)-**144** through noncovalent interactions, either HBs or electrostatic interactions.

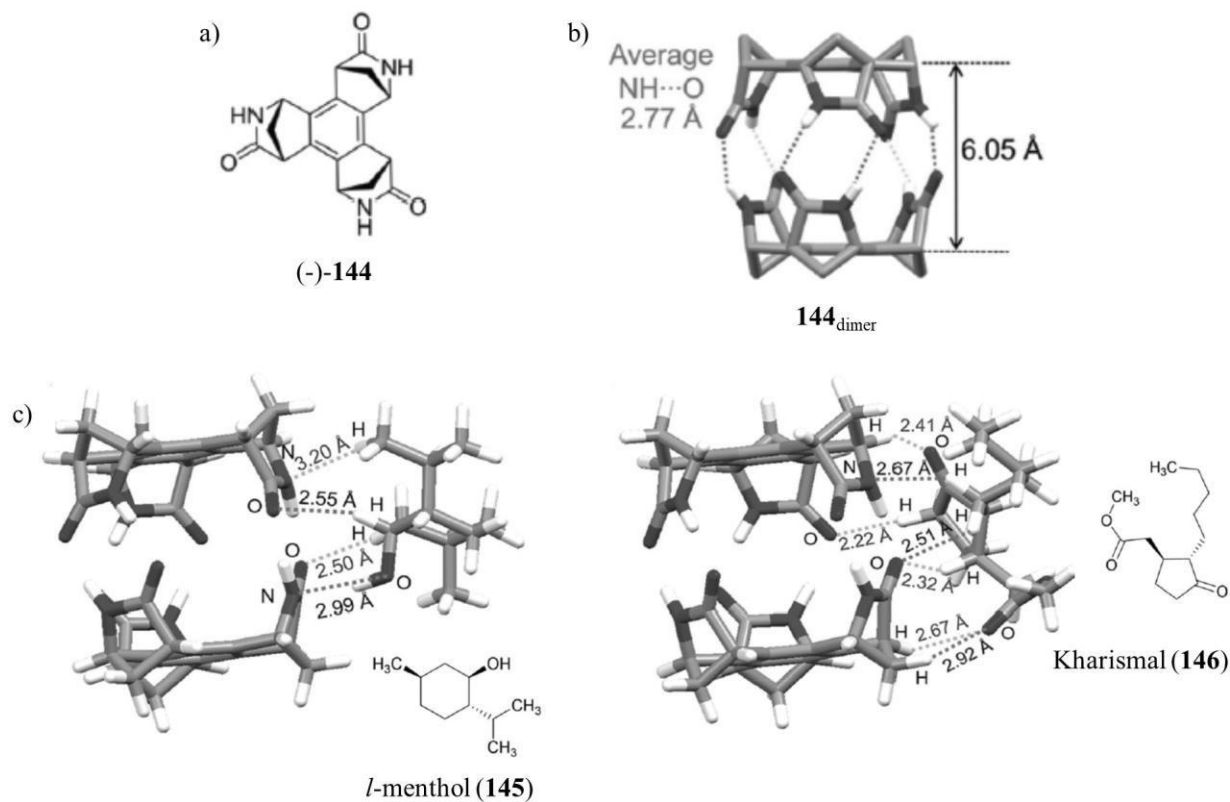


Fig. 22. a) Molecular chiral structure of (-)-**144**, b) crystal structure showing a hydrophobic capsule-like dimer consisting of two (-)-**144** molecules (**144**_{dimer}) via three self-complementary NH...O intermolecular HBs, and c) DFT optimized complexes (+)-**144**_{dimer}-LMT and (+)-**144**_{dimer}-KRM structures at the B3LYP-D3/6-31+G(d,p) level of theory (adapted with permission from ref. 148).

Fabris *et al.* reported about the complexation ability of *syn-79* toward water [149], and chiral ammonium ions [150]. In this regard, Fornili *et al.* performed analyses of 20-ns simulations of aqueous solutions of *syn-79*, showing that this molecule binds a single water molecule within its hydrophilic cavity for an average time interval of ca. 750 ps that is 370 times longer than the permanence time of the water around borneol [151]. Moreover, this time becomes three times longer in a 99.8% chloroform–

water solution, while it decreases for the methylether derivative of *syn-79* in water, becoming 279, 36 or 119 ps when one, two or all three hydroxyl hydrogen atoms are replaced by methyl groups, respectively. Finally, the oxime derivative of *syn-79* was shown to spontaneously bind atmospheric gases with the generation of a chiral enantiopure self-assembled dimeric capsules [152, 153].

CONCLUDING REMARKS

This review summarizes the history and evolution of bridged BCTs over five decades, highlighting results, findings, and concepts which have allowed this field to evolve from the pioneering low-yield syntheses of BCTs to advanced design and preparation of vesicular and nanostructured baskets and capsules for drug entrapment and delivery. Despite the fact that these applications mainly require the availability of *syn*-BCTs, recently the *anti*-isomers of BCTs based on the bicyclo[2.2.1] framework and bearing amino acid moieties at the terminations have attracted interest as stackable molecular chairs to increase the multivalency of peptides and create novel soft materials [154]. For the sake of completeness, it is worth mentioning that the bicyclo[2.2.1] framework and its natural curvature have also been fruitfully exploited in other containers such as molecular tweezers [155] and buckycatchers [156, 157], which are characterized by different topologies compared to the BCTs, but are similarly able to function as synthetic receptors in the chemical and biological environment [156-159].

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ABBREVIATIONS

BCT	Benzocyclotrimer
BuLi	Butyllithium
CuTC	Copper(I) thiophen-2-carboxylate
DDQ	Dichlorodicyanoquinone
DFT	Density functional theory
DMAD	Dimethyl acetylenedicarboxylate
DMAP	4-(Dimethylamino)pyridine
DMDPE	<i>rac</i> -1,2-Dimethoxy-1,2-diphenylethane
DME	1,2-Dimethoxyethane
DMF	<i>N,N</i> -Dimethylformamide
DMPHEN	Neocuproine
dppe	1,2-Bis(diphenylphosphino)ethane
ECD	Electronic circular dichroism
ee	Enantiomeric excess
EPS	Electrostatic potential surfaces
FVP	Flash vacuum pyrolysis
HB	Hydrogen bond
HF	Hartree-Fock
KRM	Kharismal
LMT	<i>l</i> -menthol
MD	Molecular dynamics
m.p.	Melting point
MM	Molecular mechanics
NMP	<i>N</i> -Methylpyrrolidinone
NMR	Nuclear magnetic resonance

PTAD	4-Phenyl-1,2,4-triazoline-3,5-dione
RCM	Ring-closing metathesis
RhB	Rhodamine
ROM	Ring opening metathesis
TEM	Transmission electron microscopy
THF	Tetrahydrofuran
TPA	Tris(2-pyridylmethyl)amine
Ts	Tosyl (<i>p</i> -toluensulfonyl)

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