

1 **A PCR method to identify Ochratoxin A-producing *Aspergillus westerdijkiae* strains on dried**  
2 **and aged foods**

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- 16       • **Highlights**
- 17       • Two OTA chemotypes were identified among *A. westerdijkiae* isolates from cheese.
- 18       • A natural deletion of *otaR* biosynthetic gene was identified in *A. westerdijkiae*
- 19       • A PCR assay targeting the *otaR* distinguishes between OTA producers and nonproducers

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21       **Abstract**

22       Ochratoxins are a group of mycotoxins that frequently occur as contaminants in agricultural

23       commodities and foods, including dry-cured meats and cheeses. The fungus *Aspergillus westerdijkiae*

24       is frequently isolated from aged foods and can produce ochratoxin A (OTA). However, individual

25       strains of the fungus can have one of two OTA production phenotypes (chemotypes): OTA

26       production and OTA nonproduction. Monitoring and early detection of OTA-producing fungi in food

27       are the most effective strategies to manage OTA contamination. Therefore, we examined genome

28       sequence data from five *A. westerdijkiae* strains isolated from the surface of cheese from southern

29       Italy to identify genetic markers indicative of the two OTA chemotypes. This analysis revealed a

30       naturally occurring deletion of the OTA regulatory gene, *otaR*, in an OTA-nonproducing isolate. We

31       used this information to design a polymerase chain reaction (PCR) method that could identify *A.*

32       *westerdijkiae* and distinguish between the two OTA chemotypes. In this method, the PCR primers

33       were complementary to conserved sequences flanking *otaR* and yielded different-sized amplicons

34       from strains with the different chemotypes. The primers did not yield *ota*-region-specific amplicons

35       from other OTA-producing species. Because the method is specific to *A. westerdijkiae* and can

36       distinguish between the two OTA chemotypes, it has potential to significantly improve OTA

37       monitoring programs.

38

39       **Keywords**

40       Primers; cheese; fungi; preserved meat; surface; mycotoxin

41

## 42 **1. Introduction**

43 Ochratoxins are a group of mycotoxins that occur commonly as contaminants in a variety of  
44 agricultural commodities and foods, including dry-cured meats and cheese (Anelli et al., 2019;  
45 Biancardi et al., 2013; Dall'Asta et al., 2010; Pattono et al., 2013; Ramos-Pereira et al., 2019; Sakin et  
46 al., 2018). Certain species of the filamentous fungi *Aspergillus* and *Penicillium* that produce the  
47 toxins are the primary causes of these contamination issues. The ochratoxigenic species *Aspergillus*  
48 *ochraceus*, *A. steynii* and *A. westerdijkiae* are closely related based on phylogenetic and  
49 morphological data, and they are frequently isolated from dried and stored foods. However, the latter  
50 two species were reported to produce consistently higher levels of ochratoxin A (OTA) than *A.*  
51 *ochraceus* (Gil-Serna et al., 2015; Samson et al., 2014; Sartori et al., 2014).

52 Most OTA contamination in food was originally attributed to *A. ochraceus*, but since their  
53 descriptions as species, *A. westerdijkiae* and *A. steynii* have been acknowledged as the predominant  
54 causes of OTA contamination in dried foods. Both species have been recovered from multiple food  
55 sources, including coffee (Leitão et al., 2019; Noonim et al., 2008), grapes (Díaz et al., 2009; Gil-  
56 Serna et al., 2009), paprika (Santos et al., 2011), barley (Mateo et al., 2011), and recently, also  
57 ripened cheese (Anelli et al., 2019) and meat (Merla et al., 2018; Parussolo et al. 2019). *Aspergillus*  
58 *westerdijkiae* has been reported as the cause of OTA contamination of cheese, salami and dry-cured  
59 ham, where OTA can diffuse into these foods from the surface, where fungi grow during ripening  
60 (Anelli et al. 2019; Berni et al., 2017; Dall'Asta et al., 2010; Iacumin et al., 2009; Parussolo et al.,  
61 2019; Rodríguez et al., 2012; Sørensen et al., 2008, Vipotnik et al., 2017). Furthermore, the  
62 occurrence of *A. westerdijkiae* and *A. ochraceus* has been reported to occur in Argentina (Canel et  
63 al., 2013; Castellari et al., 2010; Vila et al., 2019), Brazil (Parussolo et al., 2019), Italy (Iacumin et  
64 al., 2009; 2013), as well as on surfaces of meat products from several other countries (Comi et al.,  
65 2004; Huerta et al., 1987; Nunez et al., 1996; Parussolo et al., 2019; Rojas et al., 1991; Strzelecki and

66 Badura, 1972; Sutic et al., 1972; Wang et al., 2006). Due to the worldwide distribution and previous  
67 misidentification of *A. westerdijkiae* and *A. ochraceus*, the occurrence of these two species in and on  
68 food is gaining attention. During some ripening processes, the surface colonization of food by  
69 microorganisms from the ambient environment is allowed and even desired to impart organoleptic  
70 qualities that are distinctive to particular producers and locations. In some cases, *A. westerdijkiae* and  
71 *A. ochraceus* are among the colonizing microorganisms and could present a mycotoxicological risk  
72 to consumers due to their ability to produce OTA.

73 Because removing OTA from food is currently not feasible, monitoring and early detection of  
74 ochratoxigenic mould growth in and on food is still the most effective strategy to prevent human  
75 consumption of OTA-contaminated food products (Gil-Serna et al., 2011; 2015). A polymerase chain  
76 reaction (PCR)-based method would be more rapid than a morphological assay, which require  
77 culturing the strains in order to identify them, and less expensive than some chemistry-based assays  
78 for OTA. A rapid and specific PCR-based assay for ochratoxigenic *A. westerdijkiae* strains would  
79 enhance detection and monitoring programs for this fungus. PCR assays have been developed based  
80 on the *otaA* (polyketide synthase) and *otaB* (nonribosomal peptide synthase) genes in multiple OTA-  
81 producing fungi (Bogs et al., 2006; Castellá and Cabañes, 2011; Geisen et al., 2004; Luque et al.,  
82 2013a, 2013b). However, there are no assays specific for *A. westerdijkiae* or that can distinguish  
83 between OTA-producing and nonproducing strains of the fungus.

84 PCR assays for detection of mycotoxigenic fungi rely on knowledge of genes involved in  
85 biosynthesis of the target mycotoxin. Comparative sequence, gene-function and biochemical analyses  
86 indicate that a cluster of five genes designated *otaA–otaD* and *otaR*. The *otaR* gene encodes a bZIP  
87 transcription factor that regulates ochratoxin production by regulating expression of the other *ota*  
88 genes, which encode biosynthetic enzymes (Chakraborti et al., 2016; Han et al., 2016; Wang et al.,  
89 2018).

90 The *ota* genes are located adjacent to one another in a gene cluster in at least seven  
91 ochratoxigenic fungal species, including *A. ochraceus*, *A. steynii* and *A. westerdijkiae* (Han et al.,  
92 2016; Susca et al. 2016; Wang et al. 2018). Wang et al. (2018) demonstrated that deletion of any of  
93 the *ota* genes in *A. ochraceus* prevents formation of OTA. The *ota* gene cluster has also been  
94 described in *A. westerdijkiae*, where the functions of the genes in OTA biosynthesis are presumed to  
95 be the same (Han et al., 2016).

96 Anelli et al. (2019) showed that among *A. westerdijkiae* strains isolated from cave cheese in  
97 the Apulia region of southern Italy, there were two different OTA production phenotypes  
98 (chemotypes): OTA production and OTA nonproduction. The aim of the current study was to  
99 determine whether there are nucleotide differences in the OTA biosynthetic gene cluster in strains of  
100 *A. westerdijkiae* with the different OTA chemotypes and to develop an OTA monitoring method  
101 based on any differences that are identified. We identified a naturally occurring deletion of the *otaR*  
102 gene (*Awe04185* in Han et al., 2016) in an OTA-nonproducing cheese isolate of *A. westerdijkiae*  
103 identified in the Anelli et al. (2019) study. We used the deletion to develop a PCR assay to detect *A.*  
104 *westerdijkiae* and distinguish between OTA-producing and nonproducing strains of the fungus.

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106

## 107 **2. Material and methods**

### 108 **2.1 Strains and culture conditions**

109 In this study, we examined 34 strains of *A. westerdijkiae*, isolated from the surface of cave cheese  
110 (Anelli et al., 2019), and 17 fungal strains from other ochratoxigenic species. All strains are available  
111 in the Agri-Food Toxigenic Fungi Culture Collection at the Institute of Sciences of Food Production  
112 (ISPA-CNR, Bari, Italy, <http://server.ispa.cnr.it/ITEM/Collection/>).

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### 114 **2.2 DNA Isolation**

115 Each strain was grown as pure culture at 25 °C on Potato Dextrose Agar (PDA), on a cellophane film,  
 116 for 5-7 days, and then the resulting mycelia were scraped and frozen prior to the DNA isolation  
 117 procedure. DNA was isolated from 30 to 40 mg of frozen mycelium using the Wizard® Magnetic  
 118 Purification System for Food kit (Promega, USA) following the manufacturers protocol. Quality and  
 119 yield of resulting DNA were evaluated by agarose gel electrophoresis.

120

### 121 2.3 Evaluation of OTA production

122 OTA production abilities of the 34 cave-cheese isolates of *A. westerdijkae* were previously  
 123 determined by Anelli et al. (2019) using the culture medium yeast extract sucrose agar (YES).The  
 124 OTA production ability of strains of other species were retrieved from literature (Lippolis et al.,  
 125 2016; Susca et al., 2016) or from ITEM collection metadata (Table 1).

126

127 **Table 1 - Fungal strains included in the study**

128

Strain ID	Strain ID	Species	OTA	Host	Strain ID	Strain ID	Species	OTA	Host
ITEM 18000	A101	<i>A. westerdijkae</i>	+	C	ITEM 18022	N81	<i>A. westerdijkae</i>	+	C
ITEM 18001	A111	<i>A. westerdijkae</i>	+	C	ITEM 18023	N82	<i>A. westerdijkae</i>	+	C
ITEM 18002	A112	<i>A. westerdijkae</i>	+	C	ITEM 18024	N91	<i>A. westerdijkae</i>	+	C
ITEM 18003	A121	<i>A. westerdijkae</i>	+	C	ITEM 17444	N31	<i>A. westerdijkae</i>	+	C
ITEM 18004	A122	<i>A. westerdijkae</i>	+	C	ITEM 18015	O11	<i>A. westerdijkae</i>	+	C
ITEM 18005	A211	<i>A. westerdijkae</i>	+	C	ITEM 18016	O21	<i>A. westerdijkae</i>	+	C
ITEM 18006	A221	<i>A. westerdijkae</i>	+	C	ITEM 17448	P11	<i>A. westerdijkae</i>	+	C
ITEM 18007	A301	<i>A. westerdijkae</i>	+	C	ITEM 18028	T31	<i>A. westerdijkae</i>	+	C
ITEM 18008	A302	<i>A. westerdijkae</i>	+	C	ITEM 4549	n.a.	<i>A. ochraceus</i>	+	G
ITEM 18009	A311	<i>A. westerdijkae</i>	+	C	ITEM 7043	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 18010	A321	<i>A. westerdijkae</i>	+	C	ITEM 8007	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 18011	B101	<i>A. westerdijkae</i>	+	C	ITEM 11738	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 18012	B201	<i>A. westerdijkae</i>	+	C	ITEM 11740	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 18013	B301	<i>A. westerdijkae</i>	+	C	ITEM 10997	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 18018	K51	<i>A. westerdijkae</i>	+	C	ITEM 10998	n.a.	<i>A. ochraceus</i>	+	n.a.
ITEM 17441	K61	<i>A. westerdijkae</i>	+	C	ITEM 9634	n.a.	<i>P. nordicum</i>	+	n.a.
ITEM 18518	K7A2	<i>A. westerdijkae</i>	+	C	ITEM 13080	n.a.	<i>P. nordicum</i>	+	S
ITEM 17414	L112	<i>A. westerdijkae</i>	-	C	ITEM 18025	T111	<i>A. steynii</i>	+	C
ITEM 18032	M22	<i>A. westerdijkae</i>	+	C	ITEM 18026	T112	<i>A. steynii</i>	+	C
ITEM 17418	M31	<i>A. westerdijkae</i>	-	C	ITEM 9568	n.a.	<i>A. niger</i>	+	n.a.

<b>ITEM 17419</b>	M51	<i>A. westerdijkae</i>	-	C	<b>ITEM 10335</b>	n.a.	<i>A. niger</i>	+	n.a.
<b>ITEM 18019</b>	N11	<i>A. westerdijkae</i>	+	C	<b>ITEM 9582</b>	n.a.	<i>P. verrucosum</i>	+	R
<b>ITEM 18020</b>	N21	<i>A. westerdijkae</i>	+	C	<b>ITEM 15065</b>	n.a.	<i>A. carbonarius</i>	+	Co
<b>ITEM 18519</b>	N62	<i>A. westerdijkae</i>	+	C	<b>ITEM 11937</b>	n.a.	<i>A. carbonarius</i>	+	V
<b>ITEM 18021</b>	N71	<i>A. westerdijkae</i>	+	C	<b>ITEM 11988</b>	n.a.	<i>A. carbonarius</i>	+	V
<b>ITEM 18520</b>	N72	<i>A. westerdijkae</i>	+	C					

129 C = cheese; G = grapes; S = salami; R = rye; Co = corn; V = dried vine fruit sultana; - = OTA no-  
 130 producing strain; += OTA producing strain; LOD = 2 µg/kg; n.a. = not available.

131

132

133 Strains ITEM 17414, ITEM 17419 and ITEM 18008 were selected for additional OTA production  
 134 analysis based on their OTA chemotypes, which were determined previously by Anelli et al. (2019),  
 135 and the gene content of their *ota* cluster, which was determined in the current study. To assess OTA  
 136 production, each *A. westerdijkae* strain was cultured on five growth media: 1) basal medium (CIT 5)  
 137 (Navaratnam et al., 1998);2) czapek's medium with autolysate yeast (CYA 6.5, pH 6.5; Gil-Serna et  
 138 al., 2018);3) malt extract agar (MEA; Raper and Thom, 1949);4) YES(Frisvad and Samson, 2004);  
 139 and 5) dichloran glycerol 18% (DG 18; Hocking and Pitt, 1980). To prepare the cultures, a  
 140 suspension of conidia [ $10^6$  conidia/100µL water] was first prepared from one-week-old potato  
 141 dextrose agar cultures. A100-µL aliquot of the suspension was spread over one side of a cellophane  
 142 film, previously laid on the surface of 20 mL of growth medium in a Petri dish. Triplicate cultures  
 143 were prepared for each strain on each growth medium, and cultures were incubated for 7 days at 25  
 144 °C in the dark.

145 OTA were analyzed according to Susca et al. (2016) with slight modifications. One gram of culture  
 146 was extract with 5 mL of acetonitrile/ methanol/ water (90: 90: 80, v/v/v) on an orbital shaker for 60  
 147 minutes. One milliliter was evaporated to dryness under a stream of nitrogen at 50°C. The residue  
 148 was dissolved with 1 mL of acetonitrile/ water/ glacial acetic acid (99:99:2, v/v/v) and filtered using  
 149 RC through 0.20 µm regenerated cellulose filter. Fifty microliter of extract was injected into to  
 150 HPLC apparatus (Agilent 1260 Series, Agilent Technology, Santa Clara, CA, USA). The analytical

151 column was a Zorbax SB-C18 (4.6 x 150 mm, 5  $\mu$ m) with a guard column inlet filter (0.5  $\mu$ m, 3 mm  
152 diameter; Rheodyne Inc., Rohnert Park, CA) and the mobile phase consisted of a mixture of  
153 acetonitrile/ water/ glacial acetic acid (99:99:2, v/v/v) at a flow rate of 1 mL/min. The fluorometric  
154 detection was set at wavelengths of 333 nm (excitation) and 460 nm (emission).OTA was measured  
155 by comparing peak areas with calibration curve obtained with OTA standard solution. The detection  
156 limit was 2  $\mu$ g/kg based on a signal to noise ratio of 3:1.

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158

#### 159 2.4 Examination of *ota* cluster regions in genome sequences

160 Each isolate was grown in YEDP medium (0.1 yeast extract, 0.1 peptone, 2% dextrose) for 2 days at  
161 room temperature with shaking at 200 rpm. Mycelia were harvested by filtration, lyophilized, ground  
162 to a powder, and genomic DNA was extracted using the method described by Raeder and Broda  
163 (1985). The resulting DNA was further purified with the UltraClean DNA purification kit according  
164 to the specifications of the manufacturer (MoBio Laboratories, Inc.). DNA libraries were prepared  
165 using a NExtera XT DNA library Preparation Kit, and sequence data were generated with an Illumina  
166 MiSeq sequencing platform as specified by the manufacturer (Illumina, San Diego, California). CLC  
167 Genomics Workbench (CLC bio, Qiagen, Aarhus, Denmark) was used to process the resulting  
168 sequence reads and obtain a *de novo* assembly of each genome.

169 Sequences of the *ota* cluster regions (GenBank accessions: MW526246, MW526247, MW526248,  
170 MW526249, MW526250) were retrieved from genome sequences of five *A. westerdijkiae* strains that  
171 were selected based on their previously tested (Anelli et al., 2019) OTA production: ITEM 18008  
172 (high levels of OTA detected), ITEM 17441 and ITEM 17448 (low levels of OTA detected), and  
173 ITEM 17414 and ITEM 17419 (no OTA detected). Cluster sequences were retrieved using OTA  
174 biosynthetic gene sequences from *A. westerdijkiae* strain CBS 112803 and *A. steynii* strain IBT  
175 23096, which are available at the Joint Genome Institute (JGI) Genome Portal website  
176 (<http://genome.jgi.doe.gov/>).

177

#### 178 2.5 Species-specific primer design

179 Oligonucleotide primers for PCR were designed to target conserved sequences in the 5' and 3'  
180 flanking regions of the *otaR* gene in *A. westerdijkiae* (Han et al., 2016). The primers were designed  
181 using Primer Express software v3.0 (Applied Biosystems, CA, USA) and were based on an alignment

182 of the *otaR* region (*otaC-otaD* intergenic region) from six strains of *A. westerdijkiae*: the species  
183 type strain CBS 112803 and the cave-cheese strains ITEM 18008, ITEM 17419, ITEM 17414, ITEM  
184 17441 and ITEM 17448.

185

#### 186 2.6 PCR assay for ochratoxigenic *A. westerdijkiae* identification

187 The PCR was conducted according to the following conditions in 15  $\mu$ L reaction volume: template  
188 DNA 20-30 ng, sterile milliQ water (balanced with DNA template), reaction buffer 1.5  $\mu$ L [10x],  
189 dNTP 2  $\mu$ L [10mM], primers 0.45  $\mu$ L [10 $\mu$ M] each, Taq DNA polymerase 0.075  $\mu$ L [5U/ $\mu$ L]. The  
190 amplification profile was optimized as follows, carried out in  $T_a$  gradient (ranging from 56 to 60  $^{\circ}$ C,  
191 with 1  $^{\circ}$ C increment along 12 columns): 95  $^{\circ}$ C, 120 s, 40  $\times$  (95  $^{\circ}$ C, 30 s; 56  $^{\circ}$ C, 50 s; 72  $^{\circ}$ C, 180 s),  
192 72  $^{\circ}$ C, 300 s. Beta-tubulin gene was used as internal control of PCR reactions, using BT2a/BT2b  
193 primer pair (Glass et Donaldson, 1995).

194 Specificity of amplified products was confirmed by DNA sequencing: The expected size according to  
195 genome data were 2890 bp in ITEM 18008, ITEM 17414, ITEM 17441, ITEM 17448 and 1169 bp in  
196 ITEM 17419. Amplicons, obtained with M51\_5F/M51\_6R primers, were purified with the enzymatic  
197 mixture EXO/FastAP (Exonuclease I, FastAP thermosensitive alkaline phosphatase, Thermo  
198 Scientific, Lithuania, Europe) and used as template for bidirectional DNA sequencing of amplicons.  
199 Nucleotide sequencing was performed with the BigDye v3.1 terminator kit (Applied Biosystems,  
200 Foster City, CA, USA) following the manufacturer's instruction and sequences were analysed on an  
201 ABI 3730 Genetic Analyzer (Applied Biosystems, Foster City, CA, USA). Alignment of the two  
202 strands was performed using the software package BioNumerics 5.1 (AppliedMaths, Sint-Martens-  
203 Latem, Belgium), with manual adjustments where necessary. *otaR* gene sequence from type strain *A.*  
204 *westerdijkiae* CBS 112803 (MG701896.1, CECT 2948= CBS 112803) was used as reference  
205 sequence.

206

207 **3. Results**3.1 *OTA production*

208 In a previous study (Anelli et al. 2019), ochratoxin A production was examined in isolates of *A.*  
209 *westerdijkiae* recovered from cave cheese produced in the Apulia region of southern Italy. Of 34  
210 isolates examined, 31 produced and 3 (ITEM 17414, ITEM 17418 and ITEM 17419) did not produce  
211 OTA under the culture conditions used in that study. Because ITEM 17418 and ITEM 17419 were  
212 isolated from the same cheese sample and, therefore, were potentially clonal, we excluded ITEM  
213 17418 from OTA-production analyses. To determine whether the lack of OTA production in ITEM  
214 17414 and ITEM 17419 was dependent on culture conditions, we grew the two strains under  
215 conditions that differed from those used by Anelli et al. (2019). That is, we grew the two strains on  
216 five culture media that differed in type and/or concentration of carbon source, nitrogen source, and  
217 mineral elements. Strain ITEM 18008 was included in the analysis as an OTA-producing reference  
218 strain.

219

220 **Table 2 – OTA production for ITEM 18008, ITEM17414 and ITEM 17419 strains on different**  
221 **media (µg/g).**

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233 The level of OTA produced in ITEM 18008 cultures varied with growth medium. Production ranged  
234 from below the LOD on DG18 medium to 2301 µg/gon YES medium, confirming that growth  
235 medium can impact ochratoxin production in *A. westerdijkiae* as has been reported previously for

		<i>A. westerdijkiae</i>		
		ITEM 18008	ITEM 17414	ITEM 17419
medium	DG18	n.d.	0.21	n.d.
	CYA6.5	11.05	n.d.	n.d.
	CIT5	5317	n.d.	n.d.
	MEA	583.68	2.85	n.d.
	YES	2301.21	n.d.	n.d.

LOD (OTA) = 0.002 µg/g; n.d. = not detected

236 other on secondary metabolites in other fungi (Brakhage, 2013; Hautbergue et al., 2018; Keller,  
237 2019; Wiemann and Keller, 2014). Strain ITEM 17419 did not produce detectable levels of OTA on  
238 any media included in this study. In contrast, strain ITEM 17414 produced low levels of OTA on  
239 MEA and DG18, but no detectable OTA on the other media (Table 2). The level of OTA produced  
240 by ITEM 17414 on MEA was approximately 200 times less than the levels produced by ITEM 18008  
241 under the same conditions.

242

### 243 3.2 Examination of *ota* cluster region in genome sequences.

244 The presence and sequences of the OTA biosynthetic genes *otaA*–*otaD* and *otaR* were examined in  
245 genome sequences of five *A. westerdijkiae* strains isolated from cheese. The three strains (ITEM  
246 18008, ITEM 17441 and ITEM 17448) that produced OTA (Anelli et al. 2019) had the five *ota* genes  
247 located adjacent to one another in the same order and orientation as previously described for the *ota*  
248 cluster orthologs in *A. ochraceus*, *A. steynii* and *A. westerdijkiae* (Figure 1) (Han et al 2016; Wang et  
249 al. 2018). The fourth strain (ITEM 17414), which produced low levels of OTA under some  
250 conditions (Table 2), also had all five *ota* genes. The fifth strain (ITEM 17419), which did not  
251 produce OTA under any conditions examined, had *otaA*–*otaD* but lacked *otaR* because of an 1800-  
252 bp deletion between *otaC* and *otaD* (Figure 1). Hereafter, we will refer to the intact five-gene *ota*  
253 cluster as the Type 1 cluster allele, and the four-gene *ota* cluster as the Type 2 allele.

254 Different start codons have been predicted for the *A. westerdijkiae otaR* gene in the JGI  
255 MycoCosm and GenBank databases. In MycoCosm, the *otaR* start codon in strain CBS 112803  
256 (protein ID 245987) begins 75 bases upstream of the start codon in strain CECT 2948 in GenBank  
257 (accession AUS29497). Both start codons were present in the four ITEM strains examined here. We  
258 selected the start codon in GenBank, because it was also present in the *A. steynii otaR* ortholog  
259 (GenBank Accession XP\_024705321), but the alternative start codon was not.

260 Analysis of the coding regions of the other four *ota* genes (*otaA–otaD*) in ITEM 17414 did not  
261 reveal any mutation(s) that would account for the lack or low levels of OTA production by this strain  
262 under some conditions. All four genes were predicted to yield full-length proteins that were identical  
263 or nearly identical to the predicted proteins encoded by homologs of the genes in the three OTA-  
264 producing ITEM strains and CBS 112803.

265

### 266 3.3 Primer design

267 We used the results of the comparative sequence analysis of the *ota* cluster (Figure 1) to develop a  
268 PCR assay to distinguish between the Type 1 and Type 2 *ota* cluster alleles in *A. westerdijkiae*. The  
269 assay exploited the difference in length of the *otaC–otaD* intergenic region resulting from the  
270 absence of *otaR* in the Type 2 allele and its presence in the Type 1 allele. The two primers used in the  
271 assay were designed from an alignment of the *otaC–otaD* intergenic region in the *A. westerdijkiae*  
272 strains CBS112803, ITEM 18008, ITEM 17419, ITEM 17414, ITEM 17441 and ITEM 17448. The  
273 primers were complementary to the regions upstream (M51\_5F, 5'-CCAGGTA CTTTTGTTTTTCGT-  
274 3') and downstream (M51\_6R, 5'-AGCCCTTCAGCTTGATTT-3') of the *otaR* coding region in the  
275 Type 1 allele and on either side of the 18-bp deletion in the Type 2 allele. The M51\_5F/M51\_6R  
276 primer pair was expected to amplify a 2890 – 2943-bp fragment from *A. westerdijkiae* strains with a  
277 Type 1 *ota* cluster and a 1169-bp fragment from strains with a Type 2 *ota* cluster.

278

### 279 3.4 Specificity of primer pair

280 Specificity of primers was tested *in silico* using BLASTn tool at NCBI, limiting to fungi and yeast, in  
281 order to detect any cross-reactivity with other species (Table 3). The M51\_5F primer had the best  
282 combination of max score (40.1), total score (40.1), query cover (100%), E-value (0.026) and identity  
283 percentage (100%) values for *Aspergillus westerdijkiae* CECT 2948 in a region related to the  
284 biosynthetic cluster of OTA (Gil-Serna et al., 2018) (= CBS 112803, ATCC 22947, IBT 10738,

285 MUCL 39539, NRRL 3174; Mycobank search, Westerdijk Institute web site). Further results were  
 286 *Zygo saccharomyces parabailii*, *Vavraiaculicis subsp. floridensis* and *Aspergillus oryzae*, which are  
 287 fungal species not reported on cheese, and *Penicillium chrysogenum*, also detected on cheese (Anelli  
 288 et al., 2019), but it is a non-ochratoxigenic specie (Bogs et al., 2006). The M51\_6R primer had the  
 289 best combination of Max score (36.2), Total score (36.2), Query cover (100%), E value (0.27) and  
 290 Identity percentage (100 %) values for *A. westerdijkiae* CECT 2948 in a downstream region, matched  
 291 with primer M51\_5F (Gil-Serna et al., 2018) (= CBS 112803, ATCC 22947, IBT 10738, MUCL  
 292 39539, NRRL 3174; Mycobank search, Westerdijk Institute website). The following 4 results were  
 293 *Ascoidea rubescens*, *Trichoderma reesei*, *Thielavia terrestris*, non-ochratoxigenic fungal species and  
 294 not even reported on cheese.

295 **Table 3– BLAST results searching M51\_5F and M51\_6R**

	Description	Max Score	Total Score	Query Cover	E value	Per. Ident	GenBank Acc. N°
M51_5F	<i>Aspergillus westerdijkiae</i> <i>CECT:2948</i>	40.1	40.1	100%	0.026	100.00%	MG701896.1
	<i>Zygosaccharomyces parabailii</i>	34.2	62.4	85%	1.6	100.00%	CP019502.1
	<i>Vavraiaculicis subsp. floridensis</i>	34.2	34.2	85%	1.6	100.00%	XM_008076152.1
	<i>Aspergillus oryzae</i>	34.2	116	100%	1.6	100.00%	AP007151.1
	<i>Penicillium chrysogenum</i>	34.2	60.5	85%	1.6	100.00%	AM920437.1
M51_6R	<i>Aspergillus westerdijkiae</i> <i>CECT:2948</i>	36.2	36.2	100%	0.27	100.00%	MG701896.1
	<i>Ascoidearubescens DSM 1968</i>	34.2	34.2	94%	1.1	100.00%	XM_020192451.1
	<i>Trichoderma reesei QM6a</i>	34.2	34.2	94%	1.1	100.00%	XM_006968504.1
	<i>Thielaviaterrestris NRRL 8126</i>	34.2	167	94%	1.1	100.00%	CP003014.1
	<i>Trichoderma reesei QM6a</i>	34.2	60.5	94%	1.1	100.00%	CP016238.1

296  
297

298 The results of BLAST indicated that *A. westerdijkiae* was the only species showing DNA target  
 299 regions suitable for amplicon production by PCR with primerpiarM51\_5F/M51\_6R.

300 Specificity of primers was also tested by PCR using genomic DNA isolated from pure cultures of 34  
 301 *A. westerdijkiae* that were examined for OTA production in previous study (Anelli et al. 2019). In  
 302 the PCR assay, genomic DNA from each *A. westerdijkiae* strain yielded an amplicon of

303 approximately 2900 bp (Figure 1). The exceptions were DNA from ITEM 17418 (data not shown)  
304 and ITEM 17419 (Figure 2), which yielded a 1169 bp amplicon.

305 Sanger sequence analysis of selected amplicons generated with M51\_5F/M51\_6R primers confirmed  
306 that the amplicons from OTA-producing strains were 100% identical to the *otaC-otaD* intergenic  
307 region in *A. westerdijkiae* CBS 112803 and included *otaR* (GenBank Accession number:  
308 MG701896.1).

309 We also assessed the specificity of primers in PCR with other OTA-producing species of fungi: *A.*  
310 *ochraceus* (7), *A. niger* (2), *A. steynii* (2), *A. carbonarius* (3), *Penicillium nordicum* (2) and *P.*  
311 *verrucosum* (1) (Figure 3).

312  
313 PCR with genomic DNA from these six fungal species did not yield the same sized bands that were  
314 amplified from genomic DNA of *A. westerdijkiae* strains. But, genomic DNA from some of the other  
315 species yielded a faint band or multiple bands. When these bands were subjected to Sanger  
316 sequencing, and the resulting sequences were used as queries in BLAST analysis against GenBank's  
317 nonredundant fungal database, there were no significant matches.

318

#### 319 *4. Discussion*

320 OTA is produced by some species of *Penicillium* and *Aspergillus* and is among the mycotoxins of  
321 most concern to food and feed safety because of its toxicity and its occurrence in a wide range of  
322 food and feed. For decades, researchers have reported that grapes, cereals, spices and coffee are  
323 frequently contaminated with OTA. The European Commission has set OTA limits of 0.5–10 µg/kg  
324 (EC, 1881/2006) and 10–250 µg/kg (EC, 2016/1319) for food and feed, respectively. Recently, the  
325 Commission asked EFSA (EFSA, 2020) to update the 2006 opinion on OTA in food, based on recent  
326 studies showing OTA contamination in a broader range of food and feed, including eggs, dry-cured

327 meat, and dairy products (Bozzo et al 2011; Dall'Asta et al 2008, 2010; Denli et al 2010, Pattono et  
328 al 2013; Becker-Algeri et al 2016, Persi et al 2014, Anelli et al 2019).

329 Previous studies have demonstrated that *A. westerdijikiae* is an ochratoxigenic species that  
330 frequently occurs on the surface of cheese (Anelli et al., 2019; Berni et al., 2017; Dall'Asta et al.,  
331 2010; Iacumin et al., 2009; Rodríguez et al., 2012; Parussolo et al., 2019; Sørensen et al., 2008).  
332 Therefore, a rapid method for detection of OTA-producing strains of *A. westerdijikiae* would be  
333 useful to assess risk of OTA contamination in cheese and to aid OTA monitoring programs.

334 Traditional morphology-based fungal identification methods are time-consuming, involve  
335 multiple steps, and require mycological expertise (Dao et al., 2005). By contrast, DNA-based  
336 methods, such as PCR, are usually rapid, sensitive, and allow for accurate species identification with  
337 less time and expertise than morphology-based methods (Geisen et al., 2004; Borman et al., 2008).  
338 However, DNA-based methods require preliminary analyses of DNA sequences of the mycotoxin-  
339 producing fungi.

340 In the current study, therefore, we generated and compared DNA sequences for the *ota* cluster  
341 in five strains of *A. westerdijikiae* isolated from cheese. The comparison revealed that one of the  
342 cluster genes, *otaR*, was present in OTA-producing strains but absent in a nonproducing strains. A  
343 previous gene-function analysis indicates that *otaR* is essential for OTA production (Han et al.,  
344 2016). Presumably, it is essential because the bZIP transcription factor, that it encodes, regulates  
345 expression of the enzyme-encoding genes in the *ota* cluster. Thus, the absence of *otaR* is consistent  
346 with and predictive of the lack of OTA production in the nonproducing strains. The variation in the  
347 *ota* cluster sequence identified in the current study provided a basis for a diagnostic PCR method to  
348 distinguish between OTA-producing and nonproducing strains of *A. westerdijikiae*.

349 The primers used in the PCR method (M51\_5F and M51\_6R) were complementary to  
350 conserved sequences in the upstream and downstream regions of *otaR* and, as a result, yielded  
351 different sized amplification products for OTA-producing strains, which have an intact *otaR*, versus

352 OTA-nonproducing strains, which lack *otaR*. In addition, these primers were specific to *A.*  
353 *westerdijkiae* in that they did not amplify *ota* cluster-specific bands from the ocratoxigenic species *A.*  
354 *ochraceus*, *A. steynii*, *A. niger*, *A. carbonarius*, *P. nordicum*, and *P. verrucosum*. Given the results  
355 reported in the current study, the PCR method has multiple potential applications. For example, it  
356 could be used to determine whether a fungal isolate is *A. westerdijkiae*; determination of whether an  
357 isolate is OTA-producing or nonproducing strain; and whether food samples are contaminated with  
358 OTA-producing strains, an OTA-nonproducing strains, or both types of strains of the fungus. With  
359 respect to the latter application, the ability to determine the chemotype of *A. westerdijkiae* present in  
360 food would allow implementation of appropriate control measures to prevent humans from eating  
361 OTA-contaminated products.

362 Previous studies have demonstrated that OTA production is dependent on culture conditions  
363 (Brakhage, 2013; Hautbergue et al., 2018; Keller, 2019; Wiemann and Keller, 2014). The OTA-  
364 production assays done in the current study, using multiple media and conditions for the fungal  
365 culture growth, provide further evidence that *otaR* is essential for OTA production. That is, while  
366 variation of some culture conditions caused fluctuations in OTA production in ITEM 18008 and  
367 ITEM 17414, ITEM 17419 did not produce detectable levels of OTA under any of the culture  
368 conditions examined. The ability to induce low level OTA production in ITEM 17414 by  
369 manipulating the composition of the growth medium, highlights the important role that the  
370 composition likely has on production of secondary metabolites in substrates in which fungi occur  
371 naturally (e.g., crops and food) (Brakhage, 2013; Keller, 2019).

372 The PCR diagnostic method developed in the current study predicted that strain ITEM 17414  
373 produces OTA even though this strain did not produce or produced low levels of OTA under  
374 laboratory conditions. The PCR result with ITEM 17414 is informative, because the low levels of  
375 OTA that ITEM 17414 produces constitute a potential risk. It remains to be determined whether  
376 strains with an ITEM 17414-like OTA chemotype occur at a substantial frequency on food and

377 whether they contribute significantly to OTA contamination despite their limited OTA production  
378 ability. Therefore, future studies should address the frequency of occurrence of such strains and  
379 whether they contribute significantly to OTA contamination in cheese and other food. If the strains  
380 contribute significantly to the contamination, then the PCR method developed in the current study  
381 would be an accurate predictor of their OTA contamination potential. If their contribution is not  
382 significant, then additional analyses would be required to identify genetic markers that can be used to  
383 distinguish them from strains that cause significant contamination. Such markers could then be used  
384 to refine the PCR method. Comparative genomic analyses of multiple strains with each of the three  
385 known OTA chemotypes (no production, no or low-level production, and high-level production)  
386 would facilitate such an effort. To the best of our knowledge, the current study is the first report of a  
387 naturally occurring deletion in the *ota* cluster in *A. westerdijkiae* that blocks OTA biosynthesis. All  
388 the strains examined in the study were isolated from the same region of southern Italy and from the  
389 same substrate, cheese. Our results do not exclude the possibility for the existence of other nucleotide  
390 variation that blocks OTA production in *A. westerdijkiae* strains from other regions and/or substrates.  
391 If such strains exist, the PCR method developed in the current study could yield inaccurate  
392 predictions of their OTA chemotype. However, the PCR method could be used in combination with  
393 chemical analysis to screen OTA-nonproducing strains of *A. westerdijkiae* for novel mutation in the  
394 *ota* cluster or other regions of the genome. If novel mutations are identified, the PCR method could  
395 be used in combination with chemical analysis to identify OTA-nonproducing strains of *A.*  
396 *westerdijkiae* with novel mutation in the *ota* cluster or other regions of the genome.

397         The surface of cheese is nutritionally rich and as a result can have complex microbial  
398 communities (Dugat-Bony et al. 2015; Montel et al. 2014). Although it was among the 24 fungal  
399 species isolated from the surface of Apulian cave cheese, it remains to be determined whether *A.*  
400 *westerdijkiae* contributes to the organoleptic properties of the cheese (Anelli et al. 2019). Therefore,  
401 future studies should address the effect of *A. westerdijkiae* on the aroma, flavour, and texture of

402 cheese. Because OTA contamination constitutes a significant food safety concern, future studies  
403 should also address whether *A. westerdijkiae* strains that have lost the genetic potential to produce  
404 OTA can be applied to the surface of cheese or other aged food in order to prevent OTA  
405 contamination by excluding colonization by OTA-producing strains during ripening. The OTA-  
406 nonproducing strains characterized in the current study (ITEM 17418 and ITEM 17419) are  
407 appealing candidates for such applications because not only have they lost the genetic potential to  
408 produce OTA, but they occur naturally in the same environment as OTA-producing strains. Thus if  
409 *A. westerdijkiae* contributes to the organoleptic qualities of cheese, strains ITEM 17418 and ITEM  
410 17419 might impart similar properties as other *A. westerdijkiae* strains from the same environment.  
411 Biological control efforts to reduce OTA contamination using OTA-nonproducing strains should also  
412 address whether OTA-nonproducing strains can regain the ability to produce OTA by reacquisition of  
413 functional *ota* genes. Such knowledge would aid in the evaluation of the potential effectiveness of  
414 OTA-nonproducing strains as biological control agents and as microorganisms that are safe for use in  
415 food production.

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421

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606

607 **Captations**

608

609 **Figure 1.**

610 **Two types of the OTA biosynthetic gene (*ota*)cluster alleles in *A. westerdijkiae* strains.** Type 1,  
611 intact cluster with five previously described *otagenes* (*otaA*– *otaD* and *otaR*); Type 2, cluster that  
612 lacks *otaR* due to 1800-bp deletion between *otaC* and *otaD*. The previously described *ota* cluster in  
613 strain CBS 112803 served as a reference (Han et al 2016). All other strains shown are cave-cheese  
614 isolates with ITEM strain designations (e.g., 18008 = ITEM 18008). Strains 18008, 17441, 17448  
615 produced OTA (Table 1), whereas strains strain 17414 produced low levels of OTA under some  
616 conditions, and 17419 did not produce OTA under any conditions examined (Table 2).

617

618 **Figure 2**

619 **PCR amplicons obtained with primer pairs M51\_5F/M51\_6R from genomic DNA from *A.***  
620 ***westerdijkiae* strains.**

621 Lane 1: OTA producing strain of *A. westerdijkiae* (2890-2943 bp). Lane 2: OTA non-producing  
622 strain of *A. westerdijkiae* (1169 bp). Lane 3: DNA ladder “GeneRuler 1 kb DNA Ladder” (Thermo  
623 Fisher). Lane 4: not template control (NTC). Lanes 5-19: *A. westerdijkiae* OTA-producing strains.  
624 The figure shows results for 17 of 34 *A. westerdijkiae* strains that were examined by PCR. Numbers  
625 above each lane refer to ITEM accessions. The low molecular weight band in most lanes corresponds  
626 to primer dimers.

627

628 **Figure 3**

629 **PCR amplicons obtained with M51\_5F/M51\_6R primers against 17 ochratoxigenic isolates of 6**  
630 **fungi species other than *A. westerdijkiae*.**

631 Lane 1 = “GeneRuler 1 kb DNA Ladder” (Thermo Fisher); lane 2 = positive control *A. westerdijkiae*  
632 ITEM 18008; lanes 3-8 = *A. ochraceus*; lanes 9-11 = *A. niger*; lanes 12-13 = *A. steynii*; lanes 14-15 =  
633 *P. nordicum*; lane 16 = *P. verrucosum*; lanes 17-19 = *A. carbonarius*. Numbers above each lane  
634 refer to ITEM accessions. The low molecular weight band in most lanes corresponds to primer  
635 dimers.

636

637