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# An adaptive protocol to assess physiological responses as a function of task demand in speech-in-noise testing

Edo[a](#page-0-0)rdo Maria Polo ª, Davide Simeone ª<sup>,[b](#page-0-1)</sup>®, Maximiliano Mollura ª®, Alessia Paglialonga <sup>b</sup>®<sup>,[1](#page-0-2),</sup>\*, Ricc[a](#page-0-0)rdo Barbieri<sup>a (D,[1](#page-0-2)</sup>)

<span id="page-0-1"></span><span id="page-0-0"></span><sup>a</sup> *Politecnico di Milano, Piazza Leonardo da Vinci, 32, Milan, 20133, Italy* <sup>b</sup> *Cnr-Istituto di Elettronica e di Ingegneria dell'Informazione e delle Telecomunicazioni (CNR-IEIIT), Piazza Leonardo da Vinci, 32, Milan, 20133, Italy*



## **1. Introduction**

Everyday's life activities may pose listening challenges when the conditions are acoustically adverse (e.g., noisy environments, multiple talkers) or when the hearing ability of the listener is decreased due to elevated pure-tone thresholds or decline in suprathreshold auditory processing abilities ([Pichora-Fuller](#page-13-0) et al., [2016](#page-13-0)). Listening in challenging conditions requires the allocation of executive cognitive resources that may vary as a function of the acoustic, linguistic, and cognitive demand of the task, as well as with varying listeners' abilities [\(Peelle](#page-13-1), [2018\)](#page-13-1). The recruitment of cognitive resources required in challenging listening tasks is called listening effort (LE) [\(Pichora-Fuller](#page-13-0) et al., [2016](#page-13-0)). To date, there are no standardized protocols to assess the individual LE required during real-world listening tasks. Since a wide range of factors may affect LE measured in experimental settings, especially in terms of speech stimuli (e.g., vowel consonant vowel (VCV), disyllabic words, digits, sentences) and experimental protocols (e.g., noise levels, type of signals recorded, type of task), the investigation of LE requires the observation of multiple and different domains. Moreover, the relationship between LE and listener's performance is complex. In LE conditions, a semantic message can be understood with appropriate recruitment of cognitive resources, but a constant increased cognitive load can lead to stress and fatigue, influencing individual performance [\(Hétu](#page-12-0) et al., [1988;](#page-12-0) [Pichora-Fuller](#page-13-0) et al., [2016\)](#page-13-0)

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<span id="page-0-3"></span><sup>∗</sup> Correspondence to: Alessia Paglialonga, Istituto di Elettronica e di Ingegneria dell'Informazione e delle Telecomunicazioni, Consiglio Nazionale delle Ricerche (CNR-IEIIT), c/o DEIB (Ed. 21), Politecnico di Milano, Piazza Leonardo da Vinci, 32, I-20133 Milano, Italy.

*E-mail addresses:* [edoardomaria.polo@polimi.it](mailto:edoardomaria.polo@polimi.it) (E.M. Polo), [davidesimeone@cnr.it](mailto:davidesimeone@cnr.it) (D. Simeone), [maximiliano.mollura@polimi.it](mailto:maximiliano.mollura@polimi.it) (M. Mollura),

[alessia.paglialonga@cnr.it](mailto:alessia.paglialonga@cnr.it) (A. Paglialonga), [riccardo.barbieri@polimi.it](mailto:riccardo.barbieri@polimi.it) (R. Barbieri).

<span id="page-0-2"></span><sup>&</sup>lt;sup>1</sup> Alessia Paglialonga and Riccardo Barbieri contributed equally to this work.

LE can be quantified using self-report, behavioral and physiological measures. The latter are particularly promising to monitor LE in realworld settings and are investigated in this paper. Since the mental effort is usually expressed as autonomic nervous system (ANS) activation, some physiological signals such as skin conductance, pupil diameter and heart-related features are commonly used to measure effort investment during listening. Also, some neuroanatomical markers associated to LE have been addressed in literature. Higher activation of the prefrontal cortex, premotor cortex and cingulo opercular network was observed with degraded speech [\(Peelle](#page-13-1), [2018\)](#page-13-1). For example, in the study reported in [Seeman](#page-13-2) and Sims [\(2015](#page-13-2)) sentences were presented at four different fixed signal-to-noise ratio (SNR) levels, resulting in smaller heart-rate variability for smaller SNRs and for increased task complexity (diotic vs dichotic listening) during a key word recognition speech-in-noise test (SNT), while galvanic skin response (GSR) was elevated for greater task complexity only. In [Plain](#page-13-3) et al. ([2020\)](#page-13-3), it was found that cardiac pre-ejection period (PEP) reactivity varied linearly with poorer SNRs during a speech-in-noise task including short Dutch sentences, which was completed at six fixed SNRs between −1 and −21 dB SNR, distributed in 4 dB steps. In [McMahon](#page-13-4) et al. ([2016\)](#page-13-4), sentences spoken by a native Australian-English female were presented in the presence of four-talker babble noise at 15 different levels between −7 and +7 dB SNR, during two different sessions that used 16-channel (highly intelligible) an 6-channel (moderately intelligible) vocoded material, respectively. Pupil size was measured while alpha band activity from three parietal electrodes was extracted from electroencephalographic (EEG) data, and both measures significantly decreased with higher SNRs for the 16-channel vocoding, while this relationship was not observed for the moderately intelligible condition.

While most studies analyzed the response of physiological signals at pre-determined SNR levels, a tailored assessment of physiological responses in LE conditions would require the adoption of an adaptive approach to assess how LE changes depending on the individual auditory performance. For example, in [Petersen](#page-13-5) et al. ([2015\)](#page-13-5) two, four or six monosyllabic Swedish digits spoken by a female talker were presented at −4, 0 and +4 dB with respect to the speech reception threshold of each subject, previously found through an adaptive tracking procedure targeting 80% intelligibility. In the least intelligible condition, average alpha power across 31 centro-parietal electrodes resulted to increase with hearing loss in the low and intermediate memory load conditions, while for the high memory load it dropped for the mild and moderate hearing loss subjects. In [Zekveld](#page-13-6) et al. ([2013\)](#page-13-6), everyday Dutch sentences were presented in background-interfering speech at 29% and 71% speech recognition thresholds (SRTs), after two adaptive procedures were applied to find the SRTs. Peak and mean pupil dilation compared to baseline were higher in the 29% condition than in the 71% condition, indicating higher processing load. While the overall evidence summarized above suggests that physiological signals may help assess LE associated with speech recognition tasks, inconsistent relationships between and within subjective, behavioral and physiological measures of LE during auditory tasks at different demands were reported in literature (e.g., [Strand](#page-13-7) et al., [2018](#page-13-7); [Alhanbali](#page-12-1) et al., [2019](#page-12-1)). This phenomenon was explained in previous studies with the idea of a "multi-dimensional" model of LE [\(Shields](#page-13-8) et al., [2023\)](#page-13-8), which indicates that different measures (both between and within groups) may capture different aspects of LE, spatially and temporally. First, the degree of agreement between measures and their sensitivity to detect LE are dependent from the experimental conditions ([Shields](#page-13-8) et al., [2023\)](#page-13-8). Second, different physiological measures can capture different aspects of LE and different processing stages, such as attending to, processing, or adapting to auditory stimuli. The abovementioned temporal and spatial stratification of LE measures would therefore explain the absence of strong and consistent correlations between the examined measures and the lack of an optimal measure of LE. These assumptions would require to move towards more ecological studies outside the laboratory and try different approaches from the ones commonly adopted.

The aim of this study is to investigate multi-domain physiological indicators linked to task demand during an adaptive SNT, carefully designed to reduce task complexity, cognitive load, and testing time [\(Zanet](#page-13-9) et al., [2021;](#page-13-9) [Paglialonga](#page-13-10) et al., [2020\)](#page-13-10). The rationale of the adaptive approach here used is to personalize the SNR of stimuli around the individual SRT and reduce the likelihood of trials becoming overly challenging over time, thus minimizing the risk of disengagement that may alter the physiological responses [\(Pichora-](#page-13-0)[Fuller](#page-13-0) et al., [2016\)](#page-13-0), and at the same time enabling the assessment of task demand as a function of the individual SRT. The preliminary findings of this research, as outlined in [Polo](#page-13-11) et al. ([2022](#page-13-11)), pertain solely to the cardiovascular aspect. In this study, to comprehensively assess physiological indicators of LE, six physiological signals are recorded, overall covering the cardiovascular, central, and autonomic domains. The primary research questions are: (1) Is it possible to observe changes in physiological responses as a function of task demand during an adaptive speech-in-noise test? and (2) How do different physiological indicators—across cardiovascular, central, and autonomic domains—correlate with task demand during the adaptive SNT?

#### **2. Materials and methods**

#### *2.1. Speech-in-noise test*

<span id="page-1-0"></span>The adaptive SNT used in this study was recently developed and validated on a population of 417 individuals with varying degrees of hearing sensitivity [\(Paglialonga](#page-13-10) et al., [2020,](#page-13-10) [2023](#page-13-12); [Polo](#page-13-13) et al., [2023](#page-13-13)). The test employs a corpus of 12 nonsense VCV stimuli containing spoken consonants (/b, d, f, g, k, l, m, n, p, r, s, t/) in the context of the vowel /a/ (e.g., aba, ada) recorded from a male professional native English speaker. A three-alternative forced-choice (3AFC) task is employed, with response options defined using a maximal opposition criterion to maximize perceptual distinctions in manner, voicing, and place of articulation ([Paglialonga](#page-13-14) et al., [2013](#page-13-14), [2014;](#page-13-15) [Vaez](#page-13-16) et al., [2014](#page-13-16)). The rationale behind this specific test design was to reduce reliance on higher-level cognitive processing, mitigate the impact of factors like subjects' educational background, literacy, or native language on test results, and limit possible state anxiety related to task execution ([Mattys](#page-13-17) et [al.,](#page-13-17) [2009;](#page-13-17) [Cooke](#page-12-2) et al., [2010;](#page-12-2) [Roup](#page-13-18) et al., [2020\)](#page-13-18). VCVs were presented in filtered speech-shaped noise (onset: 500 ms; offset: 100 ms). The noise was computed by filtering a Gaussian white noise by using the international long-term average speech spectrum [\(Byrne](#page-12-3) et al., [1994\)](#page-12-3) and a low-pass filter with a cut-off frequency of 1.4 kHz and a roll-off slope of 100 dB/octave. This noise was then attenuated by 15 dB to create a noise floor as suggested in [Leensen](#page-12-4) et al. ([2011\)](#page-12-4).

The SNT uses a one-up/three-down (1U3D) adaptive procedure to maximize effectiveness, precision, and convergence to the SRT target at 79.4% intelligibility ([Schlauch](#page-13-19) and Rose, [1990](#page-13-19); [Shelton](#page-13-20) and [Scarrow](#page-13-20), [1984](#page-13-20); [Leek](#page-12-5), [2001\)](#page-12-5). The test consists of a single block, and at each trial one VCV from the whole corpus is randomly picked and presented, while the order of the three alternatives displayed on the screen is randomly determined. The test employs a recently validated, optimized staircase procedure with varying upward and downward steps in SNR computed from the predicted intelligibility of each VCV in the set ([Zanet](#page-13-21) et al., [2019](#page-13-21); [Paglialonga](#page-13-10) et al., [2020](#page-13-10); [Zanet](#page-13-9) et [al.,](#page-13-9) [2021](#page-13-9)). This approach uses the Short-Time Objective Intelligibility (STOI) values to predict VCV intelligibility [\(Taal](#page-13-22) et al., [2010](#page-13-22)) - a computational metric highly correlated with, but distinct from, actual speech intelligibility ([Rocco](#page-13-23) et al., [2023](#page-13-23)). During each trial:

- 1. The adaptive algorithm selects a target STOI value (for the first trial, a STOI close to 100% is used)
- 2. A VCV stimulus is randomly chosen and presented at the SNR level corresponding to the current STOI value on its STOIderived psychometric function
- 3. Following the 1U3D rule:
	- After each incorrect response, the STOI value increases
	- After three consecutive correct responses, the STOI value decreases

The obtained STOI value is used as the target STOI value defined in (1). The ratio between downward and upward steps in STOI values is set in a way that the ratio between downward and upward steps in SNR is close to 0.7393 as recommended by [García-Pérez](#page-12-6) ([1998\)](#page-12-6). This design is particularly efficient because, while the STOI value governs the adaptive procedure, the actual SNR at which each stimulus is presented may differ due to their individual psychometric functions. The test continues until 12 reversals are achieved, with SRT calculated as the mean SNR of the stimuli presented during the last four ascending runs of STOI values, as recommended by [García-Pérez](#page-12-6) [\(1998](#page-12-6)).

Participants had the option to fine-tune the stimulus volume at a comfortable level during an initial training phase before starting the test [\(Zanet](#page-13-9) et al., [2021](#page-13-9); [Paglialonga](#page-13-10) et al., [2020](#page-13-10); [Zanet](#page-13-21) et al., [2019](#page-13-21)). The features extracted from the SNT include the SRT, the correctness of the responses given at each trial and the reaction time for each trial, measured as the time elapsed between the onset of the stimulus and the subject's click on one of the three alternative buttons displayed on the screen.

#### *2.2. Experimental protocol*

Participants were 21 healthy young adults (13 female, 8 male; mean age =  $26.2 \pm 1.47$  years) with normal hearing (pure-tone average thresholds across 500, 1000, 2000, and 4000 Hz *<* 20 dB HL). To mitigate the potential influence of caffeine and nicotine on physiological signals, participants were instructed to abstain from drinking coffee and from smoking for a minimum of two hours before the experiment. Sensors for acquiring electrocardiographic (ECG), blood volume pulse (BVP), GSR, pupil dilation (PUPIL), EEG, and respiration (RESP) signals were affixed to the subjects. Initially, a two-minute monitoring period was conducted while the subjects were instructed to fix their gaze on a gray screen to establish baseline values for the physiological signals. Following the baseline measurement, the SNT described in Section [2.1](#page-1-0) was administered and signals were monitored throughout the test execution.

In the adaptive staircase procedure, trials were categorized as either low or high demand based on their SNR relative to the subject's SRT. Trials with an SNR higher than the  $SRT + 2$  dB were labeled as low demand, while trials with an SNR equal to or lower than SRT + 2 dB were labeled as high demand. The cut-off SNR of  $SRT + 2$  dB was chosen to balance two objectives: (i) Ensuring a sufficient number of high demand trials for the analysis, and (ii) Keeping the difference between the cut-off SNR and the SRT below 3 dB SNR, which is the average difference in SNR that leads to measurable changes in speech intelligibility [\(McShefferty](#page-13-24) et al., [2015\)](#page-13-24). To ensure the stability and comparability of physiological signal analysis between the two task demand levels, we focused on time segments that contained consecutive trials of the same difficulty level (i.e., low (L) or high (H) demand). We considered all segments with consecutive trials at the same difficulty level and identified the longest segment for each level of demand. Then, we extracted the longest available segment at each of the two difficulty levels and, by considering the lower duration between the two, we truncated the longer segment to match the duration of the shorter one, ensuring equal duration for the selected time segments at the two task demand levels. In both demand phases, we prioritized time segments from the later part of the phase. This choice was made to minimize the influence of the initial familiarization period, as the staircase procedure begins at an easy level for the subject. Most consecutive low demand trials occur at the beginning of the test, during the initial descent before the SNR settles near the SRT. By selecting the last consecutive low demand trials before the subject's performance reached the cut-off SNR of SRT + 2 dB, we aimed to capture the most representative and stable

low demand time segments for analysis. Moreover, we have likewise chosen a duration equivalent to that of the test phases (i.e., L and H task demand) for the baseline, enabling a comparison across the three components. During the experiment, two minutes of baseline data were initially acquired before the SNT. The baseline window was defined as the segment of time immediately preceding the appearance of the test screen and the duration of the baseline segment was chosen to match the duration of the L and H phases in each subject. [Fig.](#page-3-0) [1](#page-3-0) illustrates an example of an adaptive procedure from a single participant and highlights the identified low and high task demand windows. The solid gray line depicts the target STOI value, whereas the red line represents the SNR in dB used at each trial, as reported in [Rocco](#page-13-23) et al. ([2023](#page-13-23)). Regarding the task demand conditions, in the example four consecutive windows for low (i.e., 1st L and 2nd L) and high task demand (i.e., 1st H and 2nd H) are identified, and two windows of equal duration are selected for the analysis (i.e., the final part of the 1st L window and the 2nd H window).

#### *2.3. Apparatus*

Pure tone audiometry was measured using a clinical audiometer (Amplaid 177+, Amplifon with TDH49 headphones). The SNT was administered through a desktop computer using UXD CT887 headphones and participants responded using a mouse. The ECG, BVP, GSR, and RESP signals were acquired using the Procomp Infinity device. EEG data were collected using a DSI 24 headset equipped with 19 dry electrodes positioned according to the international 10–20 system. The headset featured a 300 Hz sampling rate and integrated a 16 bit analog-to-digital (A/D) converter for precise signal conversion. For PUPIL data acquisition, a Tobii Pro X2 Compact eye-tracker, operating at a sampling frequency of 60 Hz, was employed. In relation to visual aspects, particularly the pupillary signal, we followed established guidelines (Laeng and [Endestad](#page-12-7), [2012\)](#page-12-7). To minimize external light interference, laboratory windows were darkened, and uniform artificial lighting was maintained throughout the recording sessions. The screen brightness was consistently maintained at 3/4 of the maximum brightness for all subjects. The experiment was conducted at the SpinLab of Politecnico di Milano, with all participants providing informed consent prior to participation. The protocol was approved by the Politecnico di Milano Research Ethics Committee (Opinion No. 29/2021).

## *2.4. Signal processing and feature extraction*

The signal processing and feature extraction for each physiological signal are reported here below.

#### *2.4.1. ECG*

The ECG signal, sampled at 2048 Hz, was pre-processed using a fourth-order zero-phase low-pass Butterworth filter and down-sampled to 250 Hz. The ECG signal was analyzed to extract HRV features, which provide information about the ANS activity. The R peaks, representing the depolarization of the ventricles and the most prominent feature of the ECG signal, were detected using the Pan–Tompkins algorithm [\(Sedghamiz](#page-13-25), [2014\)](#page-13-25). The RR interval, defined as the time between two consecutive R peaks, was then calculated. Any errors in R peak detection were manually corrected using an in-house software. To ensure precise HRV measurements, we adopted the Point process framework, which is especially suited for short time intervals such as the task demand phases here defined, that are substantially shorter than the conventional 5-minute windows typically used for HRV analysis, as suggested by [Sassi](#page-13-26) et al. ([2015\)](#page-13-26). The RR series served as input for the Point process framework, which models heartbeats as a stochastic point process. This approach allows continuous estimation of the average inter-beat interval and the associated spectral indices, providing realtime assessments of various HRV parameters [\(Chen](#page-12-8) et al., [2009,](#page-12-8) [2010](#page-12-9); [Barbieri](#page-12-10) et al., [2005](#page-12-10)).



<span id="page-3-0"></span>**Fig. 1.** Example of adaptive trial results and corresponding low and high task demand windows (in yellow) from one participant. The gray continuous line represents STOI (Short-Time Objective Intelligibility) values. The continuous red line tracks the SNR values, with stars indicating correct responses and squares indicating errors. The solid markers denote the values of SNRs in the last 4 ascending runs of the black continuous line. The SRT (horizontal dashed red line) is computed as the average of the SNRs of the solid markers. The figure also highlights four consecutive trial windows for low (1st L and 2nd L) and high task demand (1st H and 2nd H). (color online; b/w in print).

From the modeled RR signal, the following features were extracted, sampled at 10 Hz, and subsequently averaged within the temporal windows of interest:

- $\mu_{RR}$ : Average of RR interval.
- $\sigma_{RR}^2$ : Variance of RR interval.
- RRTOT: Total power of the continuous RR interval spectrum.
- Very Low (*<*0.04 Hz), Low (0.04–0.15 Hz), and High (0.15– 0.4 Hz) frequency power of the continuous RR interval spectrum (RRVLF, RRLF, RRHF), the normalized values RRLFn and RRHFn, computed relative to total power, excluding very low-frequency power, and the ratio RRLF/RRHF (RRLFtoRRHF).

These metrics were selected due to their capacity to provide comprehensive insights into ANS activity. Mean RR ( $\mu_{RR}$ ) and variance  $\sigma_{RR}^2$  reflect overall HRV, while LF and HF powers are indicative of sympathetic and parasympathetic influences, respectively. The LF/HF ratio serves as a measure of sympathovagal balance [\(Sassi](#page-13-26) et al., [2015](#page-13-26)).

#### *2.4.2. EEG*

EEG signals were preprocessed using the EEGLAB toolbox in MAT-LAB [\(Delorme](#page-12-11) and Makeig, [2004](#page-12-11)). This involved filtering the data within the 1 Hz to 45 Hz range using a finite impulse response, zerophase filter, and applying a notch filter at 50 Hz to eliminate power line interference. Data from the problematic Pz electrode were temporarily excluded and later interpolated. Subsequently, Independent Component Analysis (ICA) with the Extended Infomax algorithm was employed ([Delorme](#page-12-12) et al., [2012](#page-12-12)).

The quality of the extracted components was thoroughly evaluated using the IClabel plugin ([Pion-Tonachini](#page-13-27) et al., [2019](#page-13-27)), and any components identified as artifacts were removed based on default threshold values. The Common-Average Referencing (CAR) method was employed to reduce common noise in the recorded signals. The selection of this EEG signal processing pipeline was based on comparisons detailed in [Cassani](#page-12-13) et al. ([2022\)](#page-12-13).

In this study, straightforward and easily interpretable features were extracted:

- The Power Spectral Density (PSD) in various frequency bands (i.e.,  $\alpha$ ,  $\beta$  and  $\theta$ ) for the frontal (F) and parietal (P) regions were computed and normalized by the total power spectral density (1–45 Hz).
- The attention index, computed as the ratio between the PSD in the  $\beta$  frequency band and the PSD in the  $\theta$  frequency band for the frontal and parietal regions. This ratio tends to increase during attentive states, providing a measure of attention throughout the trials [\(Cómez](#page-12-14) et al., [1998](#page-12-14); Farabbi and [Mainardi](#page-12-15), [2022](#page-12-15)).
- The engagement index, the ratio between the PSD in the  $\beta$  frequency band and the PSD in the  $\alpha$  frequency band for the frontal and parietal regions. This ratio is useful to investigate whether the change in task demand impacted not only attention but also the degree of involvement ([Coelli](#page-12-16) et al., [2017](#page-12-16)).

The EEG features selected, such as PSD in alpha, beta, and theta bands, as well as attention and engagement indices, are widely used to assess cognitive states during various tasks [\(Klimesch](#page-12-17), [1999](#page-12-17); [Berka](#page-12-18) et [al.,](#page-12-18) [2007](#page-12-18)). By focusing on frontal and parietal regions, we aimed to capture the most relevant EEG correlates of LE [\(Clayton](#page-12-19) et al., [2015\)](#page-12-19).

## *2.4.3. GSR*

To isolate the Phasic Component of the GSR signal (sampled at 256 Hz), we applied a fourth order low-pass Butterworth filter with a cutoff frequency of 2 Hz. The signal was then downsampled to 5 Hz. We employed a median filter within a 4-second window around each sample, following the methods outlined in [Bakker](#page-12-20) et al. [\(2011\)](#page-12-20) and [Greco](#page-12-21) et al. ([2016\)](#page-12-21). This process yielded a median signal, which we subtracted from the filtered signal to obtain the Phasic Component. GSR peaks, indicating spikes in eccrine gland activity, were identified by locating local maxima in the filtered signal between the onset (amplitude  $> 0.01 \mu S$ ) and offset (amplitude  $< 0.01 \mu S$ ) of the Phasic Component, as reported in [Braithwaite](#page-12-22) et al. [\(2013](#page-12-22)). The following features were extracted from the GSR signal as suggested by [Picard](#page-13-28) et [al.](#page-13-28) ([2001](#page-13-28)), Kim and [Andre](#page-12-23) ([2008\)](#page-12-23), [Fleureau](#page-12-24) et al. [\(2012](#page-12-24)), [Lisetti](#page-12-25) and [Nasoz](#page-12-25) [\(2004](#page-12-25)) and [Frantzidis](#page-12-26) et al. ([2010\)](#page-12-26):

• Avg amplitude peaks: Average amplitude over identified peaks and their standard deviation (Sd amplitude peaks).

- Avg rise time: Average time between onsets and peaks.
- Avg recovery time: Average time between peaks and offsets.
- $\cdot$  *N* peaks: Number of identified peaks in the time window.
- Mean of the low-pass filtered GSR, considering both tonic and phasic components (Avg GSR) and its standard deviation (Sd GSR).
- Env: Mean of the envelope of the phasic component.

The GSR features, including amplitude, rise time, recovery time, and number of peaks, as well as the mean and standard deviation of the filtered GSR signal, are commonly used to assess sympathetic nervous system activity and emotional arousal ([Boucsein](#page-12-27), [2012;](#page-12-27) [Critchley](#page-12-28), [2002\)](#page-12-28). They were selected to investigate how sympathetic arousal varies with task demand during the SNT. An increase in the amplitude and number of GSR peaks suggests a higher level of sympathetic activation and emotional arousal. Shorter rise times and recovery times indicate a more rapid and intense physiological response to stimuli, which is often associated with increased stress and cognitive workload. Additionally, an increase in the mean and standard deviation of the filtered GSR signal reflects an overall increase in skin conductance level and its variability, which can be indicative of heightened sympathetic arousal and emotional reactivity.

#### *2.4.4. BVP*

The BVP signal (sampled at 2048 Hz) was filtered using a fourthorder low-pass Butterworth filter with a 25 Hz cutoff frequency and downsampled to 250 Hz. By synchronizing the BVP signal with the ECG signal, we successfully extracted systolic, diastolic, and onset amplitudes. The systolic and diastolic values were discerned from the peaks and troughs between R-peaks, while the onset values were identified at the inflection points. This analysis yielded two key features:

- Mean Volume Amplitude Index (VA)
- Mean Pulse Arrival Time (PAT), computed with respect to onsets relative to diastoles or systoles for enhanced reliability in turbulent signal segments.

VA and PAT derived from the BVP signal are indicators of peripheral blood flow and vascular tone, respectively. These parameters have been correlated with various psychophysiological states, including emotional arousal and mental stress [\(Parreira](#page-13-29) et al., [2023;](#page-13-29) [Chakraborty](#page-12-29) et al., [2024\)](#page-12-29). An increase in VA suggests enhanced peripheral blood volume, implying reduced peripheral blood pressure, which may indicate elevated parasympathetic activity and low arousal. Conversely, a decrease in PAT signifies increased pulse wave velocity, often associated with heightened vascular tone and sympathetic activation.

## *2.4.5. RESP*

The respiration signal, sampled at 256 Hz, was processed using the Parks–McClellan algorithm ([Rabiner](#page-13-30) et al., [1978](#page-13-30)) by applying a zerophase digital low-pass filter with a 1 Hz cutoff frequency to isolate the desired frequency components in the signal. After filtering, similar to the univariate approach for the RR series of the ECG, we employed a bivariate autoregressive point process model to estimate the autonomic regulation of heartbeat influenced by respiratory changes ([Chen](#page-12-8) et al., [2009\)](#page-12-8). This model separated the self-regulatory process from the effects of Respiratory Sinus Arrhythmia (RSA) on the Autonomic Nervous System's (ANS) feedback branch. The modeling enabled us to create time and frequency representations of the RR and RESP series, along with their corresponding cross-spectrum. Additionally, we calculated the Coherence in Time and Frequency (COH(t,f)) between the RR and RESP series, serving as an indicator of the robustness of the coupling between these two time series.

The following features were computed from RESP signals through the bivariate point process:

- $\sigma^2$ RESP: Variance amplitude of the resp series.
- $RESPHF$ : High-frequency (0.15–0.4 Hz) power in the continuous resp spectrum.
- $RSA_{HF}$ : RSA gain in the high-frequency range.
- $COH_{HF}$ : Coherence of the two time series calculated in the high-frequency range.
- $f$ max $_{HF}$ : RESP frequency computed at the point of maximum coherence in the high-frequency band.

These features reflect the depth and variability of breathing, the strength of RSA, and the degree of cardiorespiratory coupling. RSA, in particular, has been extensively studied as an index of vagal tone and has been shown to be sensitive to various types of stressors and mental effort ([Houtveen](#page-12-30) et al., [2002\)](#page-12-30).

#### *2.4.6. PUPIL*

In the initial data analysis, we identified blinks based on sample diameters (*<*2 mm or *>*8 mm) and artifacts (abrupt changes *>* 0.375 mm within 20 ms intervals) in accordance with Partala and [Surakka](#page-13-31) ([2003\)](#page-13-31) and Pong and [Fuchs](#page-13-32) ([2000\)](#page-13-32). We estimated missing data and/or blinks using cubic spline interpolation. To eliminate high-frequency noise, a fourth-order zero-phase low-pass Butterworth anti-aliasing filter with a 5 Hz cutoff frequency was applied, preserving relevant signal information. Subsequently, we downsampled the signal to 10 Hz, an appropriate rate for pupillometric data analysis. Spectral analysis was conducted by computing Welch's periodogram on the detrended signal using a 1.875-second Hamming window with a 50% overlap. After processing the signals from each eye, we averaged the samples to obtain a signal representing the mean diameter of both eyes, which was then used to compute the following features:

- Mean diameter (AVD) and its standard deviation (SDD).
- PSD of diameter in low (0.05–0.15 Hz) (DLF), high (0.15–0.45 Hz) (DHF), and very high (0.45–1.5 Hz) (DVHF) frequency ranges, along with the balance index (DLF/DHF).

Pupillometric features, including mean diameter (AVD), its standard deviation (SDD), and PSD in different frequency ranges, are widely used as indicators of cognitive workload, attention, and arousal [\(Beatty](#page-12-31), [1982;](#page-12-31) [Zekveld](#page-13-33) et al., [2018](#page-13-33); [Duchowski](#page-12-32) et al., [2018\)](#page-12-32). These features were selected to characterize the pupillary response to changes in task demand and gain insights into cognitive workload and arousal associated with LE. An increase in pupil diameter is generally associated with increased cognitive workload, attentional allocation, and arousal. The standard deviation of the pupil diameter reflects the variability in pupil size, which can be indicative of changes in cognitive processing and fluctuations in arousal. The PSD of the pupil diameter signal in different frequency ranges provides information about the temporal dynamics of the pupillary response.

## *2.5. Statistical analysis*

The SNT performance variables, i.e., average reaction time and percentage of correct responses, were analyzed within each task demand window. The average reaction time was computed as the mean value of the reaction time of all trials in each task demand window. The Shapiro–Wilk test was employed to assess the normality of data distributions for the low and high task demand phases. The Wilcoxon signed-rank test was then applied since the distributions were not normal.

Concerning the physiological features, as they needed to accommodate not only the two high and low task demand windows but also the baseline, the Shapiro–Wilk test was used to assess normality of data. For normal distributions, an ANOVA test was employed, while for not normal distributions, the Friedman's test was performed. Specifically, if a comparison yielded statistical significance, multiple comparisons were conducted to evaluate possible pairwise differences. Tukey's correction

 $\cdot$   $\mu_{RESP}$ : Average amplitude of the modeled resp series.



<span id="page-5-0"></span>**Fig. 2.** Boxplots of the average reaction time and percentage of correct responses in the low (L) and high (H) task demand phases. In each plot, the average values for the L and H windows are also reported. Dotted lines connect data points from individual subjects. The up and down arrows next to the boxplots indicate whether the trend for each feature is increasing or decreasing in either of the task demands. Expected trends highlighted in black and opposite trends shown in red for the minority of subjects. Statistically significant differences are marked with \* (p *<* 0.05) and \*\* (p *<* 0.01) (color online; b/w in print).

was applied to all comparisons.

Furthermore, to provide an intuitive visualization of how physiological variables change across different task demand levels, 3D boxes were generated using the most informative features in terms of physiological patterns, by considering both the features showing statistically significant differences and features extracted from the three domains here considered, cardiovascular, central, and autonomic. 3D boxes are constructed with the feature median as the center of each box, where the box's sides represent the median absolute deviation.

## **3. Results**

<span id="page-5-1"></span>[Fig.](#page-5-0) [2](#page-5-0) displays the distributions of the SNT features (i.e., average reaction time and percentage of correct responses) observed in the low and high task demand (mean window duration:  $64.41 \pm 17.49$  s) windows. In the low task demand phase, a lower average reaction time and a higher percentage of correct responses are observed compared to the high task demand window. All the observed differences were statistically significant (average reaction time: $p = 0.011$ ; percentage of correct responses:p = 5.88e−5). These trends are consistent with an increased task difficulty in the high task demand window (lower SNR) that is associated with longer reaction times (in 15/21 subjects) and lower speech recognition performance, as reflected by a lower percentage of correct responses (in 21/21 subjects). [Table](#page-10-0) [A.1](#page-10-0) in the [Appendix](#page-12-33) provides detailed information for each subject, including the number of trials, mean SNR, mean STOI values for the low and high task demand windows as well as individual SRT.

[Table](#page-6-0) [1](#page-6-0) shows the distributions (median values and median absolute deviations) of the features extracted from all the physiological signals at the baseline (B) and in the low (L) and high (H) task demand phases.

[Fig.](#page-7-0) [3](#page-7-0) shows the boxplots of the six features that exhibit statistically significant differences between the low and high task demand phases, as reported in the right-most column in [Table](#page-6-0) [1](#page-6-0) (i.e.,  $\mu$ RR, Env, PAT,

Avg GSR, VA and  $\mu$ RESP), along with the trends observed for each subject. The results observed for each physiological signal are summarized here below:

- ECG: By assessing the cardiac characteristics obtained through point process, we observed that the mean RR interval ( $\mu_{RR}$ ) emerges as a significant metric as it effectively distinguishes between the low and high task demand phases [\(Fig.](#page-7-0) [3,](#page-7-0) [Table](#page-6-0) [1](#page-6-0)). Notably, in the high task demand phase an increase in heart rate (lower  $\mu_{RR}$ ) is observed, even when compared with the initial baseline phase, although statistical significance is not reached. Similar trends are observed for  $\sigma_{RR}^2$ , although the observed differences are not statistically significant. Nonetheless, this describes a more consistent and stable pattern of RR interval distribution during phases of increased task demand, as indicated by the narrower range depicted in the boxplots in [Fig.](#page-7-0) [3.](#page-7-0) Furthermore, the RR spectral power in the whole band (RRTOT) and in the very low frequency range (RRVLF) demonstrate a statistically significant decrease in the high demand phase compared to the baseline [\(Table](#page-6-0) [1](#page-6-0)). No statistically significant differences were observed in the other ECG spectral features.
- EEG: As shown in [Table](#page-6-0) [1,](#page-6-0) the spectral power of the more relevant frequency bands (i.e.,  $\alpha$ ,  $\beta$ ,  $\theta$ ) demonstrates a pronounced increase during the two task demand phases compared to the baseline, within both parietal (P) and frontal (F) regions. The attention index  $\beta/\theta$  displays a significant increase during the low task demand phase compared to the baseline in both regions, whereas it significantly increases in the high task demand phase compared to the baseline only in the parietal region. Furthermore, the measure of cognitive engagement  $\beta/\alpha$  experiences a substantial increase in both low and high task demand phases compared to the baseline ([Table](#page-6-0) [1\)](#page-6-0). However, no statistically significant differences in EEG features between the two task demand phases are observed.

## **Table 1**

<span id="page-6-0"></span>Median and median absolute deviation of the features extracted from physiological signals in the Baseline (B), low (L), and high (H) task demand windows. Statistically significant differences are reported in the last column. Further details on the statistical analysis are reported in [Table](#page-11-0) [A.2](#page-11-0) in the [Appendix.](#page-12-33)

	B	L	Н	$\star$
ECG				
$\mu_{RR}$ [s]10 <sup>-2</sup>	84.60 (9.44)	83.60 (8.81)	81.80 (8.53)	L-H
$\sigma^2_{RR}$ [s <sup>2</sup> ] * 10 <sup>-3</sup>	0.88(0.79)	0.80(0.63)	0.62(0.61)	$\qquad \qquad -$
RRTOT $[s^2]$ * 10 <sup>-2</sup>	0.46(0.63)	0.36(0.19)	0.21(0.17)	B-H
RRVLF $[s^2]$ * $10^{-2}$	0.13(0.56)	0.15(0.14)	0.07(0.09)	B-H
RRLF $[s^2]$ * $10^{-3}$	0.90(1.40)	0.62(0.97)	0.79(0.78)	$\overline{\phantom{0}}$
RRHF $[s^2]$ * $10^{-3}$	0.41(0.60)	0.42(0.32)	0.31(0.37)	
RRLFn	0.63(0.15)	0.57(0.12)	0.54(0.17)	
RRHFn	0.37(0.15)	0.43(0.12)	0.34(0.13)	
RRLFtoHF	2.45(3.31)	1.71 (1.62)	2.67 (2.06)	$\overline{\phantom{m}}$
EEG				
PSD $\alpha$ F * 10 <sup>-2</sup>	2.07(2.35)	4.97 (3.21)	4.25 (2.81)	$B-L,B-H$
PSD $\beta$ F * 10 <sup>-2</sup>	2.03(3.45)	14.07 (7.92)	7.55 (7.84)	$B-L,B-H$
PSD $\theta$ F $*$ 10 <sup>-2</sup>	7.80 (3.32)	11.40 (2.84)	11.04 (3.57)	B-L
PSD $\alpha$ P $*$ 10 <sup>-2</sup>	2.48 (3.27)	6.41 (4.28)	4.84 (4.09)	$B-L,B-H$
PSD $\beta$ P $*$ 10 <sup>-2</sup>	2.48 (4.39)	11.98 (7.54)	10.99 (7.53)	$B-L,B-H$
PSD $\theta$ P $*$ 10 <sup>-2</sup>	8.89 (3.55)	11.97 (3.21)	11.18 (3.72)	$\qquad \qquad -$
$\beta/\theta$ F	0.11(0.74)	1.01(0.81)	0.97(1.51)	B-L
$\beta/\theta$ P	0.20(0.48)	0.94(0.60)	0.50(0.69)	$B-L,B-H$
$\beta/\alpha$ F	0.59(1.79)	1.75 (1.24)	1.56 (2.70)	$B-L,B-H$
$\beta/\alpha$ P	0.56(0.88)	1.56 (0.56)	1.41 (0.86)	$B-L,B-H$
GSR				
Avg amplitude peaks [ $\mu$ S] * 10 <sup>-2</sup>	1.73 (4.85)	2.51(5.41)	0.36(5.69)	
Sd Amplitude peaks $[\mu S]$ * 10 <sup>-2</sup>	1.50(5.83)	2.21 (6.79)	0(8.61)	
Avg rise time [s]	0.82(0.57)	0.82(0.44)	0.63(0.59)	
Avg recovery time [s]	2.38 (3.13)	3.17 (5.27)	1.95 (2.43)	
N peaks	2(2.60)	2(3.30)	1(2.77)	
Avg GSR $[\mu S]$	1.81(2.13)	2.12 (2.22)	1.40 (2.32)	$B-L,L-H$
Env [ $\mu$ S] * 10 <sup>-2</sup>	1.88 (3.78)	2.27 (4.25)	0.67(4.97)	L-H
<b>BVP</b>				
VA [a.u.]	4.88 (1.89)	5.82 (2.11)	3.99 (1.73)	$B-H,L-H$
PAT [s] * $10^{-2}$	29.96 (1.93)	30.21 (1.95)	29.13 (1.93)	L-H
<b>RESP</b>				
$\mu_{RESP}$ [a.u.]	31.07 (3.25)	31.30 (3.39)	31.39 (3.54)	$B-H,L-H$
$RSA_{HF}$ [a.u./ms]	1.85(2.60)	4.25(3.03)	2.78 (2.03)	
$RESP_{HF}$ [a.u. <sup>2</sup> ] * 10 <sup>-3</sup>	0.35(1.65)	0.48(12.20)	0.45(0.93)	
$COH_{HF}$	0.55(0.11)	0.60(0.11)	0.68(0.10)	
$f$ max <sub>HF</sub> [Hz]	0.31(0.05)	0.30(0.04)	0.32(0.04)	$\overline{\phantom{a}}$
<b>PUPIL</b>				
AVD [mm]	2.94(0.36)	2.99 (0.30)	3.04 (0.26)	$\overline{\phantom{0}}$
SDD [mm]	0.22(0.10)	0.17(0.03)	0.17(0.07)	$B-L,B-H$
$DLF$ [mm <sup>2</sup> ]	0.92(3.33)	0.41(0.23)	0.28(0.10)	$B-L,B-H$
DHF $\text{[mm]}$	0.78(1.25)	0.55(0.37)	0.50(0.38)	
DVHF $\lceil mm^2 \rceil$	0.49(0.50)	0.28(0.18)	0.29(0.34)	B-L
<b>DLFtoHF</b>	0.98(1.20)	0.63(0.48)	0.58(0.29)	$B-L,B-H$

- GSR: For most of the features extracted from the GSR signal, no statistically significant differences are observed across the three phases. A noteworthy exception lies in the mean value of the signal (Avg GSR) within the three time windows. Specifically, this value is markedly higher during the low task demand phase compared to the other two phases, and lower in the high task demand phase compared to the baseline. A similar pattern is observed in the Env feature, portraying the envelope of the phasic component of the signal. In general, more conventional GSR features, such as the number and amplitude of peaks (e.g.,  $N$ peaks and Avg amplitude peaks) show trends that are aligned with those of Avg GSR and Env, collectively indicating an increased GSR during the low task demand phase compared to the high task demand phase.
- BVP: Notably, the two features (i.e., VA and PAT) extracted from the BVP signal show statistically significant differences between the low and high task demand phases. Specifically, lower values of VA and PAT during the high task demand phase are observed compared to the baseline and to the low task demand phase. VA

is especially noteworthy, as the majority of subjects (18 out of 21) exhibit a consistent trend between the low and high task demand phases, as illustrated in [Fig.](#page-7-0) [3](#page-7-0).

• RESP: The average breath amplitude ( $\mu$ RESP) exhibits a significant increase during the high task demand phase. Specifically, this feature, following VA and Avg GSR (3 out of 21 subjects each), stands out as having one of the smallest numbers of subjects (4 out of 21) displaying a trend opposite to the average pattern. This emphasizes its ability to discriminate physiological responses across different task demand phases. Specifically, at high task demand, subjects tend to engage in more intense and more closely spaced breaths. However, the observed interindividual changes are very small ([Fig.](#page-7-0) [3](#page-7-0)). The remaining RESP features (i.e.,  $RESP_{HF}$ ,  $COH_{HF}$  and  $fmax_{HF}$ ) do not demonstrate remarkable differences across all three phases, except for  $RSA<sub>HF</sub>$ . This parameter, representing an estimate of the vagal tone (i.e., respiratory sinus arrhythmia), displays a higher median value during the low and high task demand phases, although the observed differences are not statistically significant.



<span id="page-7-0"></span>Fig. 3. Boxplots of the features that showed statistically significant differences between low task demand (L) and high task demand (H) phases ( $\mu$ RR, Env, PAT, Avg GSR, VA and µRESP). In each plot above, the means for the features divided by L and H are reported. Dotted lines connect data points from the same subjects. The up and down arrows next to the boxplots indicate whether the trend for each feature is increasing or decreasing in either of the task demands. The expected trends are highlighted in black, while opposite trends are shown in red. In the bottom right, the number of subjects out of a total of 21 who follow the trend is indicated. Statistically significant differences are marked with \* (p *<* 0.05) and \*\* (p *<* 0.01) (color online; b/w in print).

• PUPIL: The PUPIL-amplitude related metrics (i.e.,AVD and SDD) seem to be related to no significant distinction between the two task demand phases ([Table](#page-6-0) [1\)](#page-6-0), with SDD showing a trend towards lower values during SNT execution compared to the baseline. Regarding frequency-related metrics (i.e.,  $DLF$ ,  $DHF$ ,  $DVHF$ and  $DLF to HF$ ), spectral power consistently shows lower levels during the two test phases compared to the baseline, with no significant differences between the two task demand phases.

[Fig.](#page-8-0) [4](#page-8-0) panel (a) depicts the features that demonstrate statistically significant differences between high and low task demand phases, with the fewest number of subjects exhibiting opposite trends, using 3D boxes. These features include one cardiovascular measure (VA) and two autonomic measures ( $\mu$ RESP and AVG GSR). In panel (b), 3D boxes are presented to illustrate one representative feature from each domain: cardiovascular (VA) and autonomic (AVG GSR), and central  $(\beta/\theta)$  P). VA and AVG GSR showed statistically significant differences between low and high task demand. Regarding the central domain,  $\beta/\theta$  P was selected because it showed significant differences between baseline and each of the two task demand conditions and because it is an interpretable measure of attention. [Fig.](#page-8-0) [4](#page-8-0) clearly shows that the two examined test phases exhibit distinct physiological patterns in all the three domains. Specifically, during the low task demand phase, several distinct physiological changes can be observed compared to the high task demand phase. Firstly, heightened attention in the parietal region is evident, as indicated by the increased  $\beta/\theta$  P ratio in the parietal EEG signal (panel (b)). Secondly, elevated autonomic arousal is observed, as measured by the increased average galvanic skin response (AVG GSR) feature (panels (a) and (b)). Finally, an increase in peripheral blood volume amplitude is observed, as evidenced by the higher VA value (panels (a) and (b)).

#### **4. Discussion**

This study aimed at developing a novel adaptive, multi-domain protocol to assess physiological variables associated with LE as a function of task demand in the context of a speech-in-noise recognition task. Specifically, a validated adaptive SNT was employed and two levels of task demand (i.e., low and high) were defined based on the individual SRT. A large set of physiological variables reflecting the cardiovascular, autonomic, and central domains were extracted from the ECG, EEG, GSR, BVP, RESP, and PUPIL signals and used to address differences in physiological responses during high and low task demand phases in a sample of healthy normal-hearing participants.

## *4.1. Protocol design*

The literature extensively discusses LE, defined as the conscious allocation of mental resources to overcome challenges and achieve goals during auditory tasks [\(Pichora-Fuller](#page-13-0) et al., [2016](#page-13-0)). As task demand increases, the corresponding LE is expected to increase, reaching a certain upper limit that is determined by different factors, including the individual's capability and the perceived importance of the task ([Brehm](#page-12-34) and [Self,](#page-12-34) [1989](#page-12-34)). Moreover, LE is influenced by various aspects including, but not limited to psychosocial considerations ([Pichora-Fuller](#page-13-0) et al., [2016\)](#page-13-0) and fatigue ([Hornsby](#page-12-35) et al., [2016](#page-12-35)), that is a result of sustained effort during challenging listening tasks. Our protocol design is focused on task demand and is inherently kept short to limit the effects of possible fatigue and task complexity. The stimuli used in the SNT (i.e., VCVs) consist of nonsense words and are presented in alternativechoice task, minimizing the involvement of cognitive processes, as opposed to more complex stimuli and tasks, e.g. open set sentence recognition [\(Zekveld](#page-13-6) et al., [2013](#page-13-6)). Furthermore, the adaptive nature



<span id="page-8-0"></span>Fig. 4. 3D boxes display features associated with low task demand (L, in yellow) and high task demand (H, in red) phases. Panel (a) presents the features showing the most consistent trends across subjects: cardiovascular (VA) and autonomic (RESP and AVG GSR), which demonstrated significant differences between demand levels with minimal individual variability. Panel (b) illustrates one representative feature from each physiological domain: cardiovascular (VA), central  $(\beta/\theta P)$ , and autonomic (AVG GSR). The boxes are constructed by centering the rectangles around the coordinates of the corresponding median values, with the length of the sides of the rectangles set equal to the median absolute deviation. (color online; b/w in print).

of the protocol, including both SNT execution based on individual responses and task demand definition based on the individual SRT, ensures that it aligns with the subject's speech recognition performance, preventing it from becoming overly challenging and prone to errors, which may be observed when the task becomes too demanding in relation to the individual's motivation to engage in the test. The distributions in [Fig.](#page-3-0) [1](#page-3-0) are consistent with the increase in task difficulty in the high task demand window compared to the low task demand window as the individual performance is substantially worse (lower percentage of correct responses observed in all participants) and that participants need more time to execute the task (higher reaction times in most of the participants, which may be considered an indirect indicator of possibly increased LE).

## *4.2. Physiological responses*

The outcomes presented in Section [3](#page-5-1) reveal a consistent pattern across signals and features indicating a substantial rise in sympathetic activation (heightened physiological arousal) during the high task demand phase as shown, e.g., by an increase in GSR amplitude-related features and heart acceleration and demonstrate distinct physiological responses that can be differentiated based on each subject's SRT, even across a range of SNRs within both low and high demand time windows. While the previous observation answers to the first research question of this study, the analysis of the indicators that can help better discriminate LE in different task demand windows, as measured through the proposed protocol, are discussed in detail in the following sections.

## *4.2.1. ECG and RESP*

Looking at the cardiovascular assessment, time-domain features such as  $\mu$ RR, VA, and PAT were effective in distinguishing high from low task demand. Specifically,  $\mu$ RR, representing the average interval of the modeled RR series, significantly decreased during the high task demand phase, indicating an acceleration in heartbeat during the most challenging phase of the test. A similar trend is evident in the PAT feature, representing the time between an R-peak and the onset of the corresponding BVP signal, signifying an acceleration of the pressure wave from the heart to the periphery—a reflection of sympathetic activation related to high task demand. The VA feature showed a similar pattern and decreased in 18 out of 21 participants in the high task demand phase, highlighting its role as a robust physiological variable describing task demand in auditory tasks. VA denotes the amplitude modulation of the BVP signal, representing the volume

of blood on the periphery. A lower BVP amplitude value is linked to greater arterial blood pressure ([Tusman](#page-13-34) et al., [2018](#page-13-34)), associated with vasoconstriction. The frequency-domain features extracted from the ECG signals show trends that are overall aligned with the increased sympathetic activation suggested by the time-domain features (e.g., lower RRVLF, lower RRTOT, and higher RRLFtoHF), although, no statistically significant differences were observed. Specifically, total spectral power (RRTOT) can be considered indicative of heart rate variability, generally associated with healthy parasympathetic driven activation, and RRLFtoHF is related to the sympathovagal cardiac balance whereas the interpretation of RR VLF remains a subject of debate, requiring further clarification. As seen in our study, certain research connects RR VLF power with parasympathetic activity ([Taylor](#page-13-35) et [al.,](#page-13-35) [1998\)](#page-13-35). Interestingly, here we observe a decrease in RR VLF power during the high task demand phase, akin to RRTOT. Overall, from a cardiovascular standpoint, these findings consistently suggest that the high task demand phase is characterized by heightened sympathetic activation compared to the low task demand phase.

A peculiar aspect of this study is the introduction of the point process paradigm, a novel approach in the field of LE research. The point process enables real-time tracking of HRV indices, overcoming the usual limitations associated with their calculation using conventional algorithms in windows shorter than 5 min ([Sassi](#page-13-26) et al., [2015](#page-13-26)). Prior studies have demonstrated that time- and frequency-domain characteristics derived from ECG and BVP signals through point process analysis can proficiently differentiate physiological reactions during brief auditory and auditory/visual tasks based on arousal and valence ([Polo](#page-13-36) et al., [2024b](#page-13-36)), within time frames shorter than those investigated in this study (e.g., 45 s). Granting the opportunity to devise shorter protocols is essential to avoid conflating effort with fatigue and to ensure real-time assessment of physiological responses, for example using the adaptive SNT here used. Citing existing literature, the use of HRV measures in investigating LE is commonly limited and frequently falls short in distinguishing between HRV variables and levels of task demand [\(Mackersie](#page-12-36) and Cones, [2011](#page-12-36); [Francis](#page-12-37) et al., [2021;](#page-12-37) [Cvijanović](#page-12-38) et al., [2017\)](#page-12-38). In literature, the predominant feature often employed is the average heart rate of a small number of beats. The cardiovascular analysis conducted in our study emphasizes the significance of addressing features that are typically not addressed in literature. For example, the VA is sensitive to changes in task demand and requires straightforward instrumentation (a photoplethysmograph) and, as such, it can be used as a plausible alternative to the more extensively validated Pre-Ejection Period [\(Slade](#page-13-37) et al., [2021](#page-13-37); [Richter](#page-13-38), [2016\)](#page-13-38), a measure well-documented in literature but more intrusive

in terms of instrumentation. Moreover, features related to respiratory and cardiorespiratory coupling indicated a trend towards a slight increase in breath amplitude (as indicated by  $\mu$ RESP) and an increase in respiratory frequency (as indicated by  $fmax_{HF}$ ) with increased task demand, suggesting that participants demonstrated a tendency to take larger and more closely spaced breaths in high task demand scenarios. In addition, the analysis of RSA using the bivariate point process framework indicated a trend towards a higher median value in the low task demand phase suggesting an augmented vagal activation ([Bernston](#page-12-39) et [al.,](#page-12-39) [1997](#page-12-39)). Respiration is commonly overlooked as a biomarker for assessing listening effort. Among the few studies that do investigate the influence of breathing, significant outcomes are seldom achieved ([Slade](#page-13-37) et [al.,](#page-13-37) [2021\)](#page-13-37). Furthermore, the respiratory signal itself is frequently not directly examined. Instead, attention is directed towards the power of respiration within the RR signal spectrum, which has been demonstrated to be a pertinent feature reflecting parasympathetic nervous system withdrawal during increased speaking rates, albeit exclusively in subjects with hearing impairment ([Mackersie](#page-12-40) et al., [2015;](#page-12-40) [Mackersie](#page-12-41) and [Calderon-Moultrie](#page-12-41), [2016](#page-12-41)). Through the bivariate point process here used, it became feasible to sample the respiratory signal alongside the cardiac rhythm and perform real-time tracking of its amplitude and frequency in short time windows, highlighting the ability of the related features to assess LE as a function of task demand.

#### *4.2.2. GSR and EEG*

Concerning GSR, the literature suggests its utility as a measure of arousal, even at the auditory level, as features related to GSR amplitude tend to exhibit an increase with increasing task demand [\(Mackersie](#page-12-36) and [Cones,](#page-12-36) [2011;](#page-12-36) Mackersie and [Calderon-Moultrie,](#page-12-41) [2016\)](#page-12-41). Nonetheless, inconsistent findings were reported in literature, for example variability in the behavior of GSR between sessions and limited sensitivity to changes in task demand were observed [\(Giuliani](#page-12-42) et al., [2020;](#page-12-42) [Holube](#page-12-43) et [al.,](#page-12-43) [2016](#page-12-43)). In our study, the findings observed by analyzing the GSR signal diverge from those observed from the analysis of other signals, indicating less consistency in defining heightened sympathetic activation during high task demand phases. Interestingly, both Avg GSR and Env, (representing the average amplitude of the GSR signal and the envelope of the GSR phasic component, respectively), are significantly higher during the low task demand phase. This observation gains elucidation through the analysis of features derived from EEG. Despite the reported association of the alpha band with heightened LE ([Obleser](#page-13-39) et [al.,](#page-13-39) [2012;](#page-13-39) [Seifi](#page-13-40) Ala et al., [2020](#page-13-40)), EEG features proved unable to distinguish between the two test phases in this study. However, the spectral power of the more relevant frequency bands (i.e.,  $\alpha$ ,  $\beta$ ,  $\theta$ ) demonstrates a pronounced increase during the two task demand phases compared to the baseline, suggesting higher activation during the SNT task compared to the baseline. Nevertheless, as shown in [Table](#page-6-0) [1](#page-6-0) and [Fig.](#page-8-0) [4](#page-8-0), higher attention and engagement indices are observed during the low task demand phase. This physiological response pattern aligns with attention theory, particularly Lacey's theory ([Lacey](#page-12-44) et al., [1963\)](#page-12-44). According to this theory, tasks demanding focused attention typically result in a decrease in heart rate (as indicated by  $\mu$ RR in [Table](#page-6-0) [1](#page-6-0)), often accompanied by an increase in GSR, as consistently observed in [Table](#page-6-0) [1.](#page-6-0) This is evident, for instance, in features such as Avg Amplitude peaks, Avg GSR, and ENV, which exhibit higher values during periods of low task demand. This heart rate deceleration is a response to environmental stimuli demanding attention, such as the perception of visual or auditory stimuli [\(Lacey](#page-12-45) and Lacey, [1970](#page-12-45)). The elevated attention and engagement indices observed during the low task demand phase indicate heightened attentiveness and involvement. This may be related to a superior comprehension of stimuli compared to the high task demand phase, where understanding stimuli in noise becomes more challenging.

#### *4.2.3. PUPIL*

The pupillary signal stands out as one of the most accepted measures for studying LE, with pupillary dilation associated with task demand [\(Koelewijn](#page-12-46) et al., [2012](#page-12-46); [Haro](#page-12-47) et al., [2022\)](#page-12-47). In this case our study did not yield statistically significant changes in features derived from the PUPIL signal, but revealed a gradual increase in median values with increasing task demand as shown in [Table](#page-6-0) [1](#page-6-0). Compared to the literature, this unexpected result can be explained considering that the pupillary data analysis employed a window-based approach, which included both the listening and response phases, rather than the trial-by-trial analysis commonly used in pupillary studies. Also, despite task-evoked changes in pupil size for intermediate tonic levels are independent of baseline pupil size, it remains standard practice to use a baseline-subtracted absolute pupil size as effort indicator, choosing as baseline a time period that goes from 100 ms to 2 s before the stimulus onset [\(Winn](#page-13-41) et al., [2018](#page-13-41)). These choices were made because the duration of SNT trials is insufficient to observe both a pupillary peak and a return to baseline, and to maintain consistency with the analysis of other physiological signals. However, this approach may limit the direct comparability of our pupillary findings with some previous studies.

In this particular context, the observation becomes relevant as we delve into the efficacy of less intrusive variables derived from BVP and GSR signals, which have contributed significantly to a more nuanced assessment of LE and can be measured with simpler sensors than more widely used measures found in literature, such as EEG and pupillary signals. Unfortunately, the intricate nature of LE in complex auditory tasks likely contributes to the absence of consensus in literature regarding the optimal selection of physiological measures. What sets this study apart is the exploration of two distinct levels of task demand, systematically monitoring a diverse array of physiological signals to discern their patterns. Nevertheless, the complex interplay between task demand, physiological responses, and cognitive engagement introduces an additional layer of complexity. While heightened task demand is conventionally associated with increased sympathetic activation, it may simultaneously lead to diminished attention and engagement, initiating a trade-off phase as delineated by motivation theory ([Pichora-Fuller](#page-13-0) et [al.,](#page-13-0) [2016\)](#page-13-0). Subjects in this phase strategically evaluate their cognitive resources to determine whether to escalate effort or concede due to the perceived demand of the task. This dynamic process may, in turn, result in reduced attention when subjects do not fully comprehend all presented stimuli.

## *4.3. Implications, limitations, and future research*

BVP and GSR are recorded in continuous time and non invasively, therefore any setup using features extracted from these signals can be easily translated into simple setups (using, for example, wearable sensors) that assess and monitor LE as a function of task demand. The use of an adaptive protocol such as the one introduced here allows for LE monitoring in real time, so that task demand can be defined quickly and in a personalized way as a function of individual auditory ability. As such, the adaptive protocol here employed can help limiting the number of stimuli that become too easy or too difficult to recognize and ensures that the task remains challenging but not overly difficult, reducing the likelihood of participants becoming overwhelmed or disengaged. This can be particularly helpful when individuals with varying speech recognition performance are tested including, for example, individuals with hearing loss. Anchoring the physiological analysis windows to each subject's individual SRT allows for a fair comparison of low vs. high demand listening across conditions and individuals. This approach can be applied to compare different acoustic backgrounds or other experimental manipulations in future studies, as long as an adaptive procedure is used to estimate SRT in each condition. By comparing the physiological effects of high vs. low demand listening relative to the condition-specific SRT, researchers can investigate how

## **Table A.1**

<span id="page-10-0"></span>



different conditions influence physiological processes associated with LE while accounting for individual differences in performance. However, it is important to acknowledge that the observed physiological responses may vary depending on the specific manipulations employed, and future research should explore the generalizability of this protocol across different experimental contexts.

Notwithstanding the encouraging results, this study has some limitations. For example, in this study the slope of the speech recognition function was not considered when defining task demand conditions due to difficulties in estimating slope from short adaptive procedures such as the one here used. However, slope is a factor that potentially influences LE. While this likely does not impact comparisons among normal-hearing participants, it limits our ability to generalize findings across studies and prevents a full assessment of how auditory stimuli located different points along the psychometric curve may affect LE. This gap could be explored in future studies through the analysis of physiological responses on multiple SNR levels that could allow a reliable computation of slope and a deeper investigation on its influence on the relationship between task demand and LE. Moreover, only individuals with normal hearing were involved. Further research involving a larger sample of participants, including both individuals with normal hearing and with varying degrees of hearing impairment, would be important to address more specifically the ability of the proposed protocol to capture different levels of task demand on an individual basis, further validating the features here identified. Moreover, in this study LE was assessed only during an adaptive SNT using VCV stimuli, and task demand was defined based on SNR criteria only, thus lacking to simulate an ecological condition e.g., conversational stimuli, real-world LE conditions that would be more appropriate for LE characterization. Specifically, other strategies for manipulating LE, including both task demand manipulation (e.g., speech distortions, competing speech, simulated three-dimensional challenging listening conditions) and motivation (e.g., inclusion of reward mechanisms) need to be further investigated in order to more accurately assess the ability of features extracted from physiological signals to characterize LE in varying listening conditions and in individuals with varying hearing abilities. Also, different kinds of stimuli, such as sentences or words, should be used in future studies to acquire responses that better reflect ecological, real-world speech processing. In addition, in this study the EEG signal was analyzed only using spectral features summarizing brain activity in the whole temporal window. Further investigation of the EEG signal, using features able to capture the time-varying nature and the spatial distribution of cortical responses, may help define further,

more specific, real-time measures of LE, potentially reaching a lower temporal resolution and thus potentially addressing the responses to single stimulus presentation trials. Regarding pupillary response, future research could explore alternative analysis approaches that combine trial-by-trial pupillary analysis with window-based analysis of slower physiological signals to further refine our understanding of listening effort.

Moreover, the current protocol involves a degree of ''a posteriori'' processing, as the physiological comparison windows are selected after the individual's SRT is measured and the adaptive procedure is completed. This introduces a slight delay compared to a fully real-time system. Future work should strive towards developing real-time processing methods that can assess listening effort on a moment-by-moment basis, without the need for post-hoc analysis. Such advancements would enable researchers and clinicians to monitor and respond to changes in listening effort more quickly and effectively. Nevertheless, the key principles demonstrated in this study, such as using individualized performance metrics and strategic window selection, provide valuable insights that could inform the development of real-time systems in the near future. In addition, the ability of exploring physiological responses in short time windows, aided by smart advanced algorithms like point process modeling, enables the application of adaptive machine learning models that can differentiate LE levels, aligning with other assessments used to investigate stress ([Xu](#page-13-42) et al., [2015](#page-13-42)), emotions ([Polo](#page-13-43) et al., [2024a\)](#page-13-43), and cognitive load ([Liu](#page-12-48) et al., [2023\)](#page-12-48). Overall, this fusion of signals from wearable sensors with machine learning holds significant promise for scientific advancements in practical applications, for example for future real-time optimization of human–machine interaction or for devising novel strategies for adapting the hearing aid to the individual LE, in addition to the individual auditory profile. Towards the development of systems for physiologically-driven control of human–machine interfaces, further analysis of rapid responses (e.g., EEG, pupillary response) on a trial-by-trial basis will be important to identify measures able to monitor LE in real time.

Another peculiar aspect of the study lies in the non-randomized nature of the task demand phases due to the adaptive SNT design, with the low task demand phase typically preceding the high task demand phase. This choice was aimed at limiting the possible influence of increased arousal in the high difficulty phase on physiological responses in low difficulty phases . The adaptive and continuous nature of the test, designed to be as short as possible, does not include a baseline phase separating the two difficulty windows and the same baseline was used to identify changes in physiological features in the low and

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#### **Table A.2**

Median and median absolute deviation of the statistically significant features extracted from physiological signals in the Baseline (B), low (L), and high (H) task demand windows. Statistical significance is determined at the 0.05 level. Statistically significant differences are reported in the third column. The fourth column shows the p-values related to the comparisons, and the fifth describes the test performed: 0 if ANOVA and 1 if the Friedman's test.

<span id="page-11-0"></span>

	В	L	Н	$\star$	p	ANOVA/Friedman
ECG						
$\mu_{RR}$ [s] $10^{-2}$	84.60 (9.44)	83.60 (8.81)	81.80 (8.53)	L-H	0.03	$\mathbf{0}$
RRTOT $[s^2]$ * $10^{-2}$	0.46(0.63)	0.36(0.19)	0.21(0.17)	$B-H$	0.01	1
RRVLF $[s^2]$ * $10^{-2}$	0.13(0.56)	0.15(0.14)	0.07(0.09)	B-H	0.02	$\mathbf{1}$
<b>EEG</b>						
PSD $\alpha$ F * 10 <sup>-2</sup>	2.07(2.35)	4.97(3.21)	4.25(2.81)	$B-L,B-H$	$e-4,0.04$	$\mathbf{1}$
PSD $\beta$ F * 10 <sup>-2</sup>	2.03(3.45)	14.07 (7.92)	7.55(7.84)	$B-L,B-H$	e-4,0.001	1
PSD $\theta$ F $*$ 10 <sup>-2</sup>	7.80 (3.32)	11.40 (2.84)	11.04 (3.57)	$B-L$	0.03	0
PSD $\alpha$ P $*$ 10 <sup>-2</sup>	2.48 (3.27)	6.41(4.28)	4.84 (4.09)	$B-L,B-H$	0.002, 0.02	$\mathbf{0}$
PSD $\beta$ P * 10 <sup>-2</sup>	2.48 (4.39)	11.98 (7.54)	10.99 (7.53)	$B-L,B-H$	0.001,0.03	1
$\beta/\theta$ F	0.11(0.74)	1.01(0.81)	0.97(1.51)	$B-L$	0.01	1
$\beta/\theta$ P	0.20(0.48)	0.94(0.60)	0.50(0.69)	$B-L,B-H$	0.002,0.006	1
$\beta/\alpha$ F	0.59(1.79)	1.75(1.24)	1.56(2.70)	$B-L,B-H$	0.03,0.01	$\mathbf{1}$
$\beta/\alpha$ P	0.56(0.88)	1.56(0.56)	1.41(0.86)	$B-L,B-H$	0.01,0.04	0
GSR						
Avg GSR $[\mu S]$	1.81(2.13)	2.12 (2.22)	1.40(2.32)	$B-L,L-H$	0.01,0.003	$\mathbf{1}$
Env [ $\mu$ S] * 10 <sup>-2</sup>	1.88 (3.78)	2.27 (4.25)	0.67(4.97)	L-H	0.009	$\mathbf{1}$
<b>BVP</b>						
VA $[a.u.]$	4.88 (1.89)	5.82(2.11)	3.99 (1.73)	$B-H,L-H$	$0.01,e-4$	$\bf{0}$
PAT [s] $* 10^{-2}$	29.96 (1.93)	30.21 (1.95)	29.13 (1.93)	L-H	0.003	0
<b>RESP</b>						
$\mu_{RESP}$ [a.u.]	31.07 (3.25)	31.30 (3.39)	31.39 (3.54)	$B-H,L-H$	$e-5,0.01$	$\mathbf{1}$
<b>PUPIL</b>						
SDD [mm]	0.22(0.10)	0.17(0.03)	0.17(0.07)	$B-L,B-H$	$e-4,0.02$	0
DLF [mm <sup>2</sup> ]	0.92(3.33)	0.41(0.23)	0.28(0.10)	$B-L,B-H$	0.003,0.003	1
DVHF $\text{[mm}^2$	0.49(0.50)	0.28(0.18)	0.29(0.34)	$B-L$	0.03	0
<b>DLFtoHF</b>	0.98(1.20)	0.63(0.48)	0.58(0.29)	$B-L$ , $B-H$	$0.003,e-4$	0

high task demand windows. As such, the current design helped limit the effect of the test sequence on physiological responses by presenting the low task demand phase first, as the experience of struggling with speech understanding is undoubtedly stimulating and would likely influence the subsequent low demand phase if presented in reverse order. Future investigations should explore randomized task demand conditions, including baseline phases between the two difficulty levels, and assess the potential impact of the fixed sequence on specific physiological features to ensure the robustness and generalizability of the results. It should be clarified that the protocol's design, specifically its focus on task demands and VCV stimuli, resulted in the exclusion of certain factors from the experimental evaluation, such as cognitive load and motivation. These factors are important for a comprehensive understanding of listening effort responses and should be addressed in future research.

## **5. Conclusion**

The present study delves into six distinct autonomous and central physiological responses whose information is carried by specific signals such as EEG, GSR, ECG, RESP, PUPIL, and BVP. The objective is to construct a physiological framework tied to variations in task demand within an adaptive speech-in-noise test. The fast execution and simplicity of the test sought to alleviate the onset of fatigue and focus solely on the examination of the task demand component. Notably, there was a marked consistency among physiological signals indicating an increase in sympathetic response during the high task demand phase evident, for example, in heightened heart rate, blood pressure, and breath amplitude. In summary, BVP volume amplitude and point processderived breath amplitude, emerge as the most discriminative features between the two test phases, whereas the GSR signal, typically linked to arousal, points at heightened attention during the low task demand phase, complemented by increased engagement, also supported by the analysis of spectral features extracted from EEG signals. As a final overarching message, this investigation highlights the centrality of having to assess a person's attentive state in order to understand physiological responses, particularly when exploring effort exertion during listening.

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#### **CRediT authorship contribution statement**

**Edoardo Maria Polo:** Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Davide Simeone:** Writing – review & editing, Writing – original draft, Validation, Software, Methodology, Formal analysis, Data curation. **Maximiliano Mollura:** Writing – review & editing, Validation, Software, Methodology, Formal analysis, Data curation, Conceptualization. **Alessia Paglialonga:** Writing – review & editing, Writing – original draft, Validation, Supervision, Resources, Project administration, Methodology, Funding acquisition, Conceptualization. **Riccardo Barbieri:** Writing – review & editing, Validation, Supervision, Resources, Project administration, Methodology, Funding acquisition, Conceptualization.

## **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### **Appendix**

<span id="page-12-33"></span>See [Tables](#page-10-0) [A.1](#page-10-0) and [A.2](#page-11-0).

## **Data availability**

Data will be made available on request.

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