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ISTI

# OpenAIRE

**Open Access: Movement to Reality - Putting the Pieces Together”**

**21-22 May 2014, Athens**



# OA EU Pilot Mandate



## FP7 OA Pilot (2008)

- Grant agreement SC39
- 20% programme areas
- Deposit in Repositories
- OA after embargo period (6-12 months)
- Gold payments during project



# OpenAIR

# E

# OPEN ACCESS

# INFRASTRUCTURE

# FOR RESEARCH IN EUROPE



# Major development steps

## OpenAIRE project

- Supporting identification, deposition, access and monitoring of FP7 and ERC funded articles



## OpenAIREPlus Project

- Any OA article
- Any EU and National Funding Scheme
- Publications in context (datasets, projects, funding schemes, people, etc.)

# OpenAIRE infrastructure



**Human Network**



**e-Infrastructure**

# A participatory approach

Capitalizing on previous investment



**REPOSITORIES**  
**TECHNOLOGIES**  
**ORGANIZATIONS**  
**PEOPLE**



- Better sustainability
- Facilitated advocacy and adoption
- Deeper understanding of requirements and constraints

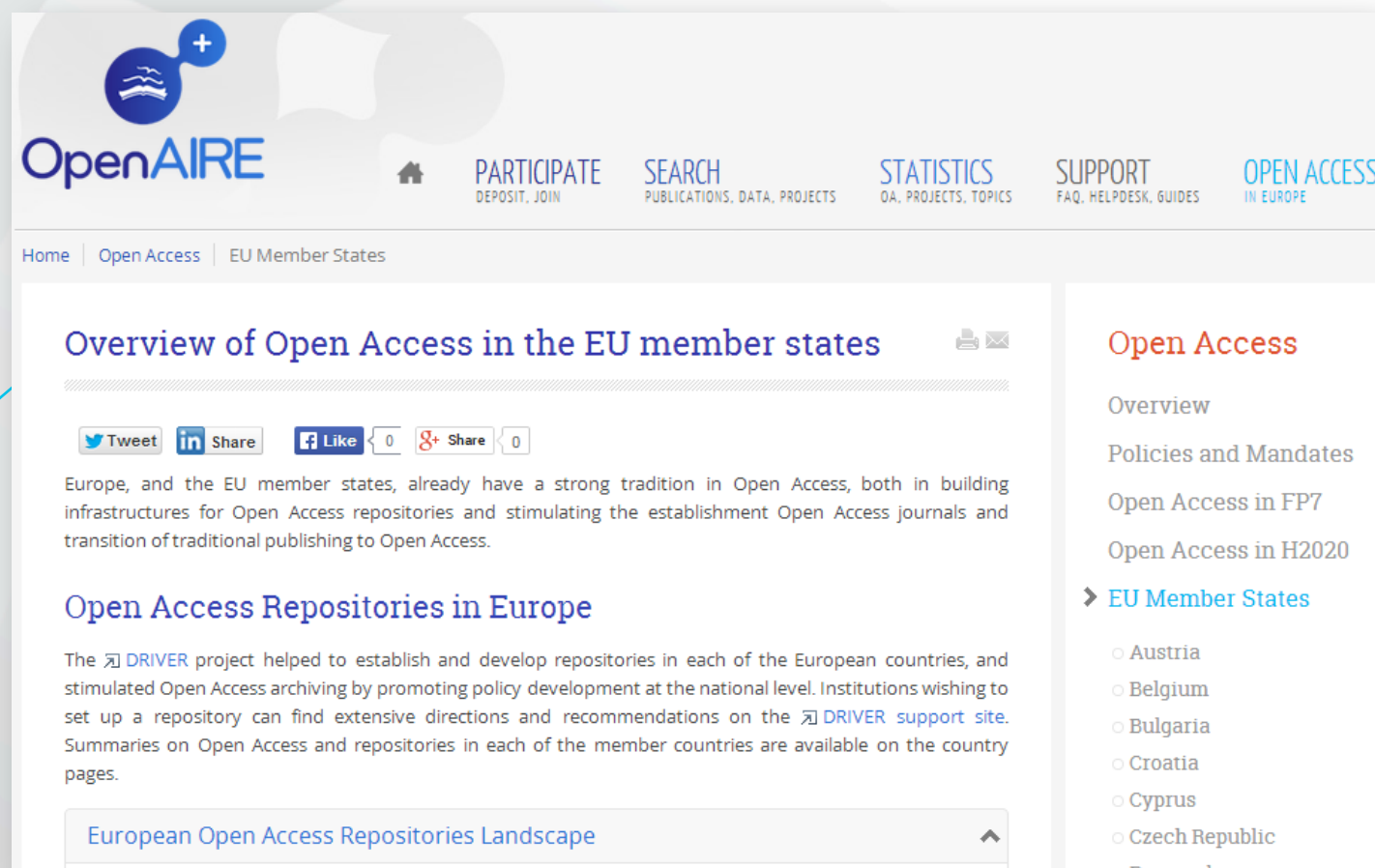
# Many implementation models



- **Strong national distributed repository networks**
- **Strong national repository network integrated with CRIS systems**
- **Nationally centralized solutions**
- **Significant number of repositories and some “strong” ones**

# Flavours of Mandates

Diverse European landscape. Still in the ,Early Stages‘ of Development



The screenshot shows the OpenAIRE website interface. At the top, there is a navigation bar with the OpenAIRE logo and several menu items: Home, Open Access, EU Member States, PARTICIPATE (DEPOSIT, JOIN), SEARCH (PUBLICATIONS, DATA, PROJECTS), STATISTICS (OA, PROJECTS, TOPICS), SUPPORT (FAQ, HELPDESK, GUIDES), and OPEN ACCESS IN EUROPE. Below the navigation bar, the main content area is titled 'Overview of Open Access in the EU member states'. It features social media sharing buttons for Twitter, LinkedIn, Facebook, and Google+. The text below the buttons states: 'Europe, and the EU member states, already have a strong tradition in Open Access, both in building infrastructures for Open Access repositories and stimulating the establishment Open Access journals and transition of traditional publishing to Open Access.' Below this text, there is a section titled 'Open Access Repositories in Europe' which mentions the DRIVER project and provides a link to the DRIVER support site. On the right side of the page, there is a sidebar with a list of links: Open Access, Overview, Policies and Mandates, Open Access in FP7, Open Access in H2020, and EU Member States. Under 'EU Member States', there is a list of countries with radio buttons: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, and Denmark.

- Institutional mandates
  - General agreement on benefits of gathering research output
  - Mandatory vs voluntary
- National/funder mandates
  - Gold vs Green route



# Region East

**EC OA Mandate has stimulated actions and development of repositories**

**Lithuania:** National OA working groups. Raising awareness of OA among ministries and research councils

**Croatia:** Involvement in EU initiative has ,consolidated‘ national repository activities.

**Latvia:** EC Mandate gives a background to align national OA policy. As a result they have set up an institutional repository.



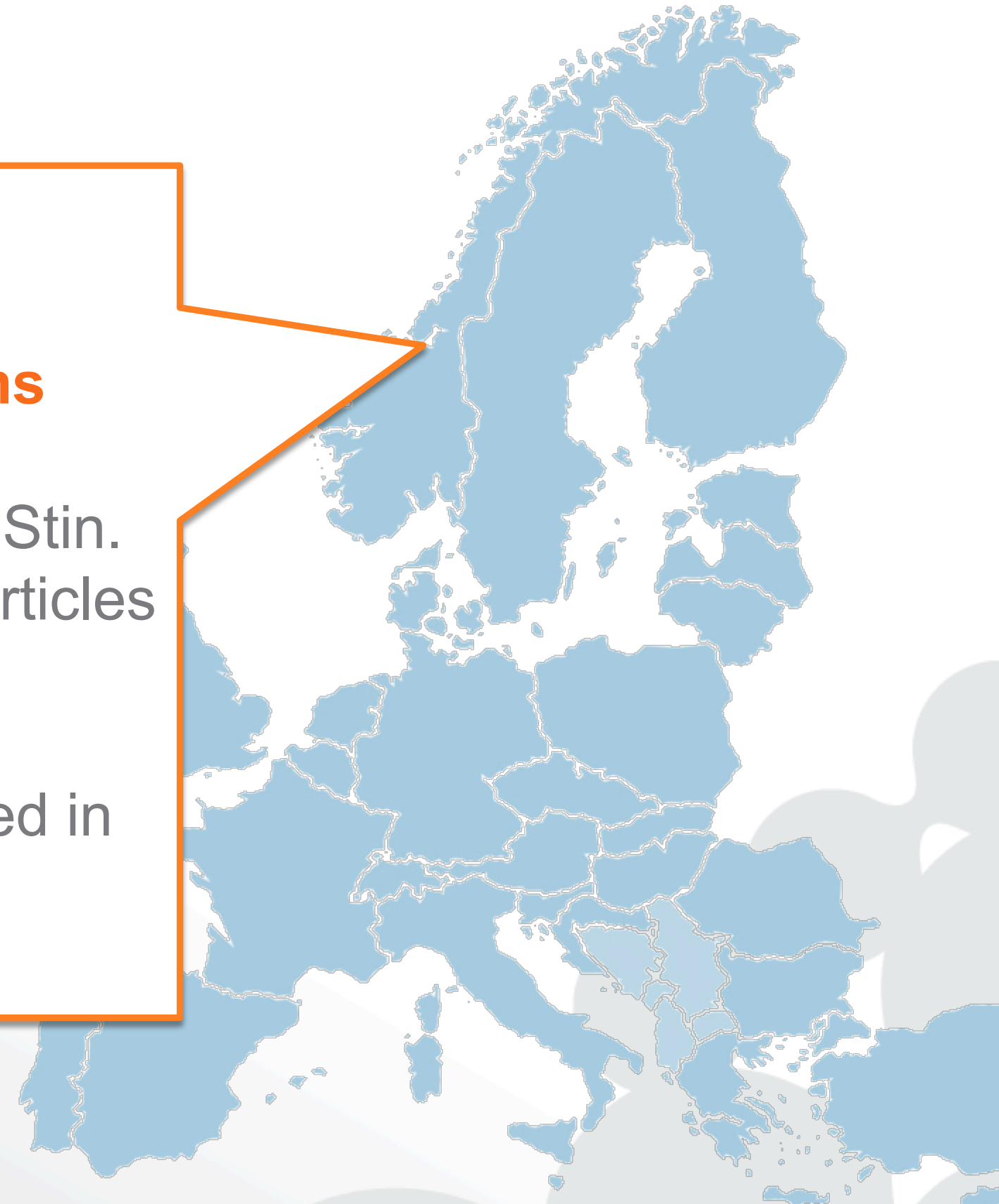


## Region North

### Repositories are integrated with CRIS systems

**Norway:** National OA responsibility lies with CRISStin. Implementation of tool to automatically deposit articles from CRIS into repositories.

**Denmark:** All national research outputs registered in PURE CRIS repositories.



# Region West

Well developed institutional repositories

## Centralised Model

**France:** National Research agencies mandate to deposit in HAL.

**Belgium:** University of Liege successful mandates to deposit research outcomes.

## Significant number of repositories

**Netherlands:** Nationally coordinated, accessible through a single national portal.

**Germany:** Many repositories, different initiatives.

**UK:** Many repositories, different initiatives.

# Region South

## Heterogeneous situation

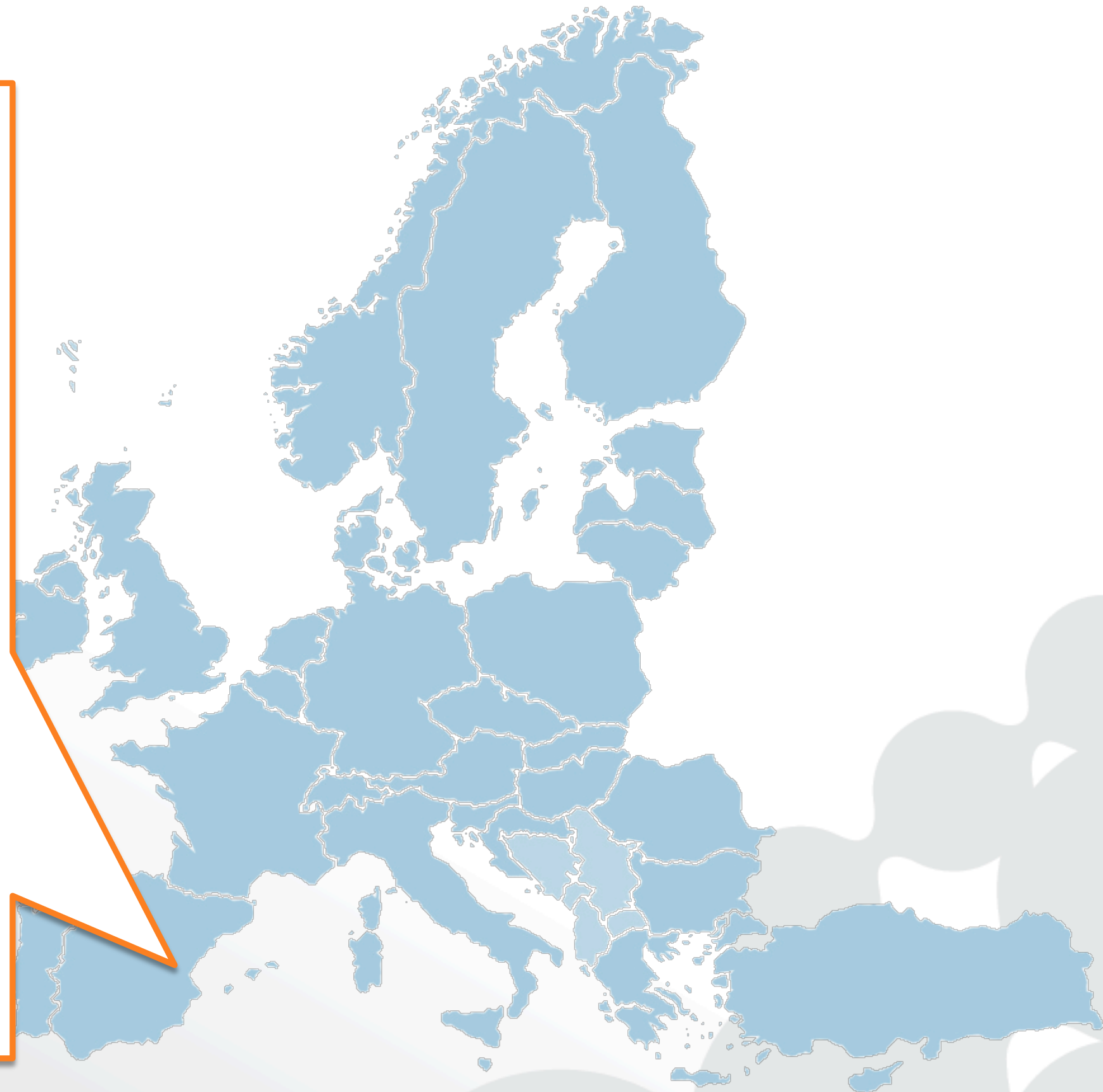
## Distributed repositories & national portal

**Portugal:** National OA repository infrastructure (usage stats, services). Strong coordination and effective mandate

**Spain:** National portal – still evolving

## Significant number of repositories

**Italy, Greece:** Not yet any national aggregating initiative



## Research Data

### Mostly at policy level

**Finland:** Ministry of Education - National Research Data Initiative initiated a policy, to be implemented late 2014

**Italy:** Research centres and universities lobbying Ministries to suggest implementation of data policy

### Practical level

**EC:** Running an Open Data Pilot – eligible projects only – RDM plan required and a workflow for the data

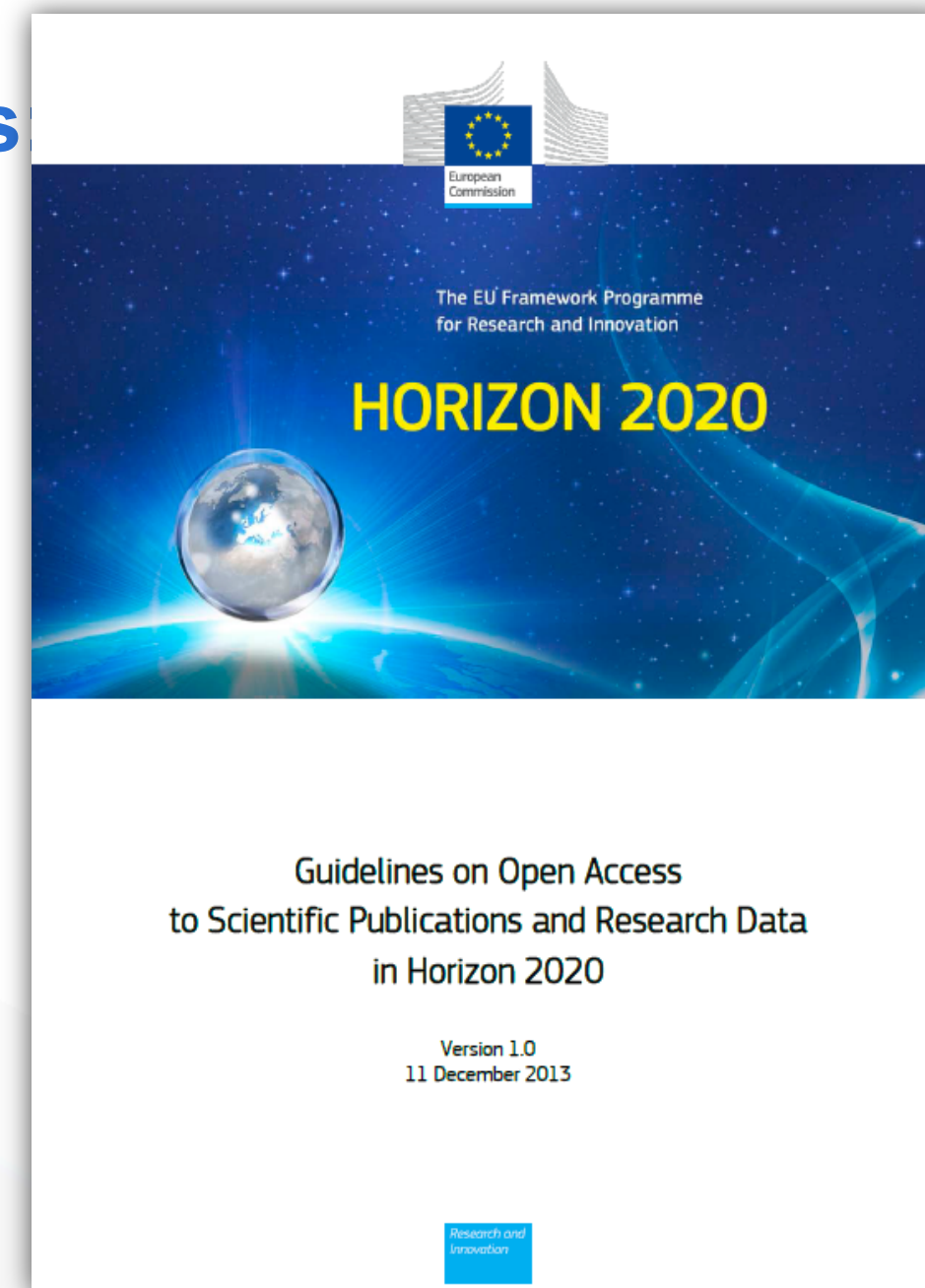
**Netherlands:** Run data repositories DANS and support/Training 3TU

**UK:** DCC for support. A number of data repositories

# Open Access in Horizon 2020

## Four steps to getting open access articles

1. **Submit papers to a journal of choice**, there is no restriction. If a researcher chooses to publish in an OA journal, publishing costs can be reimbursed within the project period and budget.
2. **Deposit** the final manuscript or publisher's PDF in a repository, either institutional or disciplinary.
3. **Acknowledge project funding**, both in the publication and in the metadata: each publication should add the EC grant agreement number.
4. **Each paper** must have a **publication date**, a **date of release in open access** (embargoed if necessary) and a **persistent identifier**.





# What is OpenAIRE

## 1 SUPPORT

Helpdesk. Engaging people and scientific repositories in 27 EU member states and beyond

## 2 PORTAL

24 x 7 Service. Access to Research records. Linking publications to datasets, author information and above all, funding information

## 3 ZENODO

Repository for data and articles that can be stored neither in institutional nor in subject-based/thematic repositories



# Supporting OA in Europe

Strategic Coordination  
(UGOE)

Region 1 North (DTU)	Region 2 South (UMINHO)	Region 3 East (eIFL)	Region 4 West (UGENT)
Denmark	Cyprus	Bulgaria	Austria
Finland	Greece	<b>Croatia</b>	Belgium
<b>Iceland</b>	Italy	Czech Republic	France
Norway	Malta	Estonia	Germany
Sweden	Portugal	Hungary	Ireland
	Spain	Latvia	<b>Luxemburg</b>
	<b>Turkey</b>	Lithuania	Netherlands
		Poland	<b>Switzerland</b>
		Romania	UK

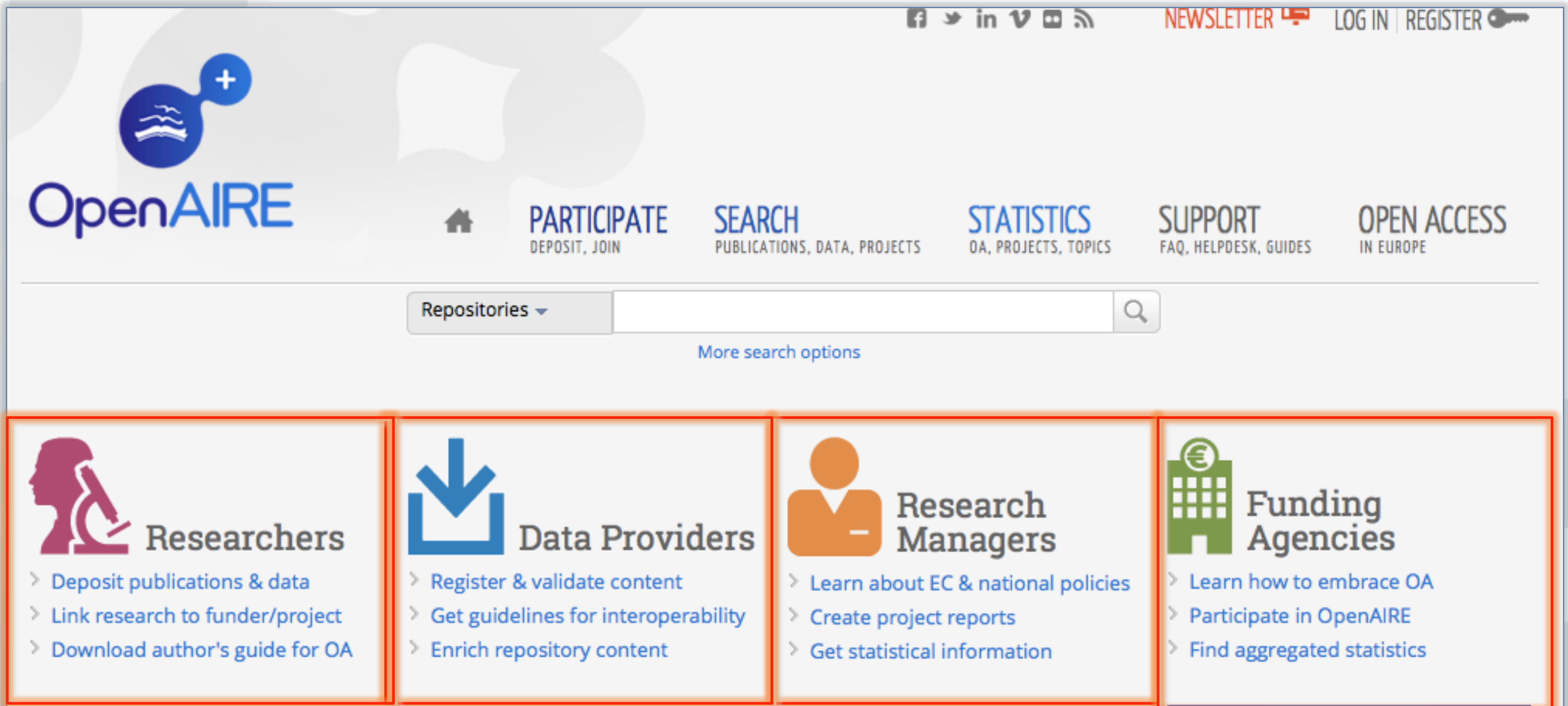
**OpenAIRE representing all Member States**

- **32 National Open Access Desks**
  - Involving the research libraries
  - Diverse national research environments
- **OA advocacy through targeted activities**
  - Liaison with National Reference Points
  - Helpdesk, workshops, training
- **Harmonisation of OA policies**
- **Definition of shared guidelines**





# OpenAIRE Services



The screenshot shows the OpenAIRE website interface. At the top right, there are social media icons for Facebook, Twitter, LinkedIn, YouTube, and RSS, along with links for 'NEWSLETTER', 'LOG IN', and 'REGISTER'. The main navigation bar includes a home icon, 'PARTICIPATE' (DEPOSIT, JOIN), 'SEARCH' (PUBLICATIONS, DATA, PROJECTS), 'STATISTICS' (OA, PROJECTS, TOPICS), 'SUPPORT' (FAQ, HELPDESK, GUIDES), and 'OPEN ACCESS IN EUROPE'. Below the navigation bar is a search bar with a 'Repositories' dropdown and a search icon. A link for 'More search options' is located below the search bar. The bottom section features four highlighted boxes with icons and lists of services:

- Researchers** (Microscope icon):
  - > Deposit publications & data
  - > Link research to funder/project
  - > Download author's guide for OA
- Data Providers** (Download icon):
  - > Register & validate content
  - > Get guidelines for interoperability
  - > Enrich repository content
- Research Managers** (Person icon):
  - > Learn about EC & national policies
  - > Create project reports
  - > Get statistical information
- Funding Agencies** (Building icon):
  - > Learn how to embrace OA
  - > Participate in OpenAIRE
  - > Find aggregated statistics








# Zenodo



“Catch-all” repository: OpenAIRE-CERN joint effort

- **Multiple data types**
  - Publications
  - Long tail of research data
- **Citable data (DOI)**
- **Links to funding, pubs, data,**

software


 Publication	 Poster	 Presentation	 Dataset	 Image	 Video/Audio
 Software					

[www.zenodo.org](http://www.zenodo.org)

-  **API**  
API  
INTEGRATE YOUR APP VIA  
PROGRAMMABLE API.
-  **COMMUNITIES**  
YOUR DIGITAL REPOSITORY  
ON ZENODO.
-  **FUNDING**  
INTEGRATE INTO  
REPORTING FOR RESEARCH  
FUNDED BY EUROPEAN COM-  
MISSION.
-  **FLEXIBLE LICENSING**  
NOT EVERYTHING IS UNDER  
CREATIVE COMMONS.

**OpenAIRE** Get support (NOADs)  

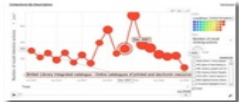

**Deposit Publications & data**  


**Visualize - Manage Enhanced Publications**  


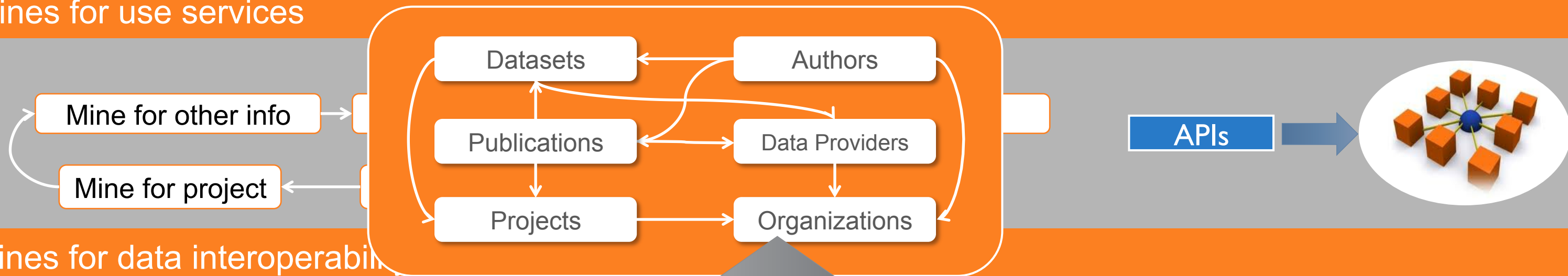
**Search & Browse**  


**Curate & collaborate**  



**Linked Content Statistics**  


**Research impact Citations, usage statistics**  
 +++  


**Guidelines for use services**



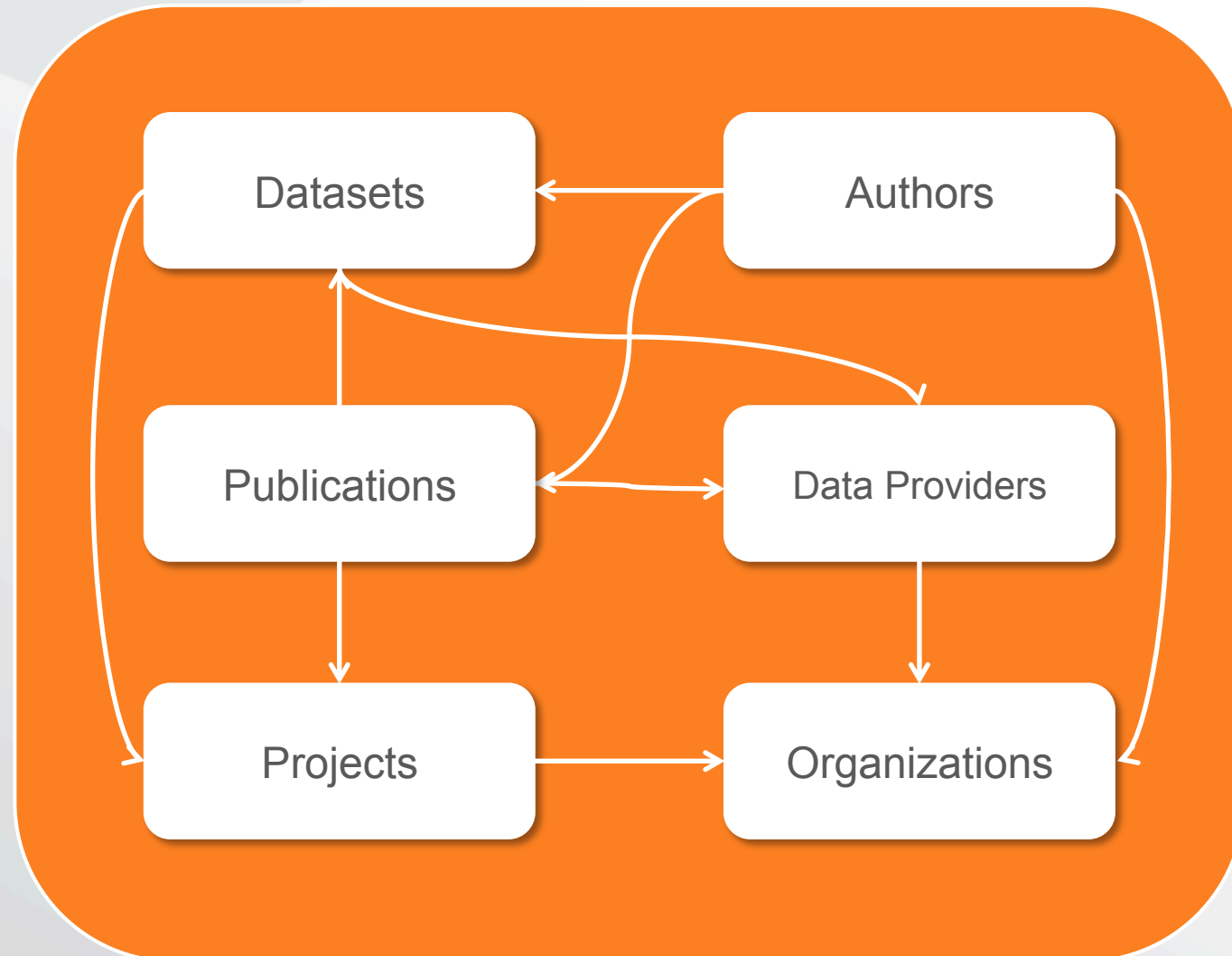
**Guidelines for data interoperability**

**Metadata And pdfs**  
**Usage data**  
  
**Publication repositories**  
*Institutional & Thematic*  
**Open Access Journals**

**Institutional CRIS Systems**  
**zenodo**  
**EC funding**  
**National funding**  
**ResearchID**  
**OpenDOAR**  
**CERN/OpenAIRE "catch-all" repository**

**Metadata on data**  
  
**Data repositories**  
**Data Journals**

# Some numbers (May 20, 2014)



8.4 mi publications  
7 mi authors  
460+ data providers  
Linked to 80K projects from  
2 funders (EC and Wellcome  
Trust)  
Linked to 700 datasets  
33K organizations

# Researchers



- Retrieve and access
- Deposit once
  - Find where to deposit – **publications & data**
  - Local or thematic repository?

## Locate repository via your institution

university of oxford |

## Or locate repository in map

## Repositories for institution: University of Oxford

*Please use the information/contacts shown below to deposit your publications*

### Oxford University Research Archive - ORA

(147518 items - 19/11/2013)

Organizations: University of Oxford

Website URL: <http://ora.ox.ac.uk/>

OAI-PMH URL: <http://ora.ox.ac.uk:8080/fedora/oai>



### First World War Poetry Digital Archive

(7000 items - 19/11/2013)

Organizations: University of Oxford

Website URL: <http://www.oucs.ox.ac.uk/ww1lit/>



zenodo

The place to share your research results

# Pub Claim

1 Identify project    2 Select Publications    3 Set access rights

2 Publications / 2 Projects

Select Project

Select from the following methods to import publications/data

Select from the following methods to import publications/data

Open Access Publications  
Publication DOI

✓ ORCID (resolved via ORCID)    0000-0002-3477-3082    Identify

Type the

< Prev

### Selected Projects

[X] [ECODRIVER](#)

[X] [ELISA](#)

### Selected Publications

[X] [A Survey of Context-Aware Cross-Digital Library Personalization](#)

[X] [A functionality perspective on digital library interoperability](#)

9 documents

A Survey of Context-Aware Cross-Digital Library Personalization  
Manola, Na...

A survey of digital library interoperability  
Manola, Na...

A Functionality Perspective on Digital Library Interoperability  
Manola, Na...

Set access rights

mm/dd/yyyy

✓ Set access rights

Open Access

Closed Access

Embargoed Access

Restricted Access

< Prev    Finish

# Monitor scientific outcomes



## Project: ELISA

Publications | **Data** | Statistics


view all 581

**XAFS IN THE TRACKING OF REACTIONS IN AQUEOUS SOLUTION: A CASE OF REDOX REACTION BETWEEN [AuCl<sub>4</sub>]<sup>(-)</sup> COMPLEX IONS AND ETHANOL**

Paclawski, K.; Sikora, M. (2012)  
Projects: ELISA (226716)

In this work the potential application of synchrotron radiation in the studies of reaction kinetics in aqueous phase were presented. After short introduction describing principles of technique and potential application of XAFS for the structural studies of reacting species, the experimental results of

END DATE	31/08/2011
SPECIAL CLAUSE 39	no
ORGANIZATIONS	INFN, DESY, HZDR, KIT, Helmholtz-Zentrum Be, DESY, Helmholtz-Zentrum Berlin, EMBL, Synchrotron SOLEIL, KIT, FOM, CNRS, Synchrotron SOLEIL, HZDR, CNRS, CELLS, EMBL, INFN
MORE INFORMATION	<a href="#">Detailed project information (CORDIS)</a>



### European light sources activities - synchrotrons and free electron lasers

from 2009-03-01 to 2011-08-31 | ELISA website

Europe has the largest and most advanced system of synchrotrons and free electron lasers (FELs): 17 operating facilities, several under construction, some 300 beamlines, 25,000-30,000 users per year from many disciplines (materials, chemistry, biology, medicine, physics, technology and others); this is also the world's largest experimental network. The system is an invaluable resource for all scientists ...

**Project details**

Project reference: 226716	Programme acronym: FP7-INFRASTRUCTURES
Status: Completed	Subprogramme area: INFRA-2008-1.1.1
Total cost: EUR 11 527 104	Contract type: Combination of CP and CSA
EU contribution: EUR 10 000 000	

**Results**

- Periodic Report Summary - ELISA (European light sources activities - synchrotrons and free electron lasers)

**Documents**

- Periodic Report - ELISA (European light sources activities - synchrotrons and free electron lasers)

**Publications (436)**

- Improved scintillation proportionality and energy resolution of LaBr(3):Ce at 80 K  
Ivan V. Khodyuk - Mikhail S. Alekhin - Johan T. M. de Haas - Pieter Dorenbos
- Structure of the Dimeric Autoinhibited Conformation of DAPK2, a Pro-Apoptotic Protein Kinase  
Ashok K. Patel - Ravi P. Yadav - Viivi Majava - Inari Kursula - Petri Kursula
- Examination of the Coordination Sphere of Al(III) in Trifluoromethyl-Heteroarylalkenolato Complex Ions by Gas-Phase IRMPD Spectroscopy and Computational Modelling  
Lisa Brueckmann - Wieland Tyrra - Sanjay Mathur - Giel Berden - Jos Oomens - Anthony J. H. M. Meijer - Mathias Schaefer

# Project reporting



All project publications in HTML or CSV

## Publications of Project ELISA

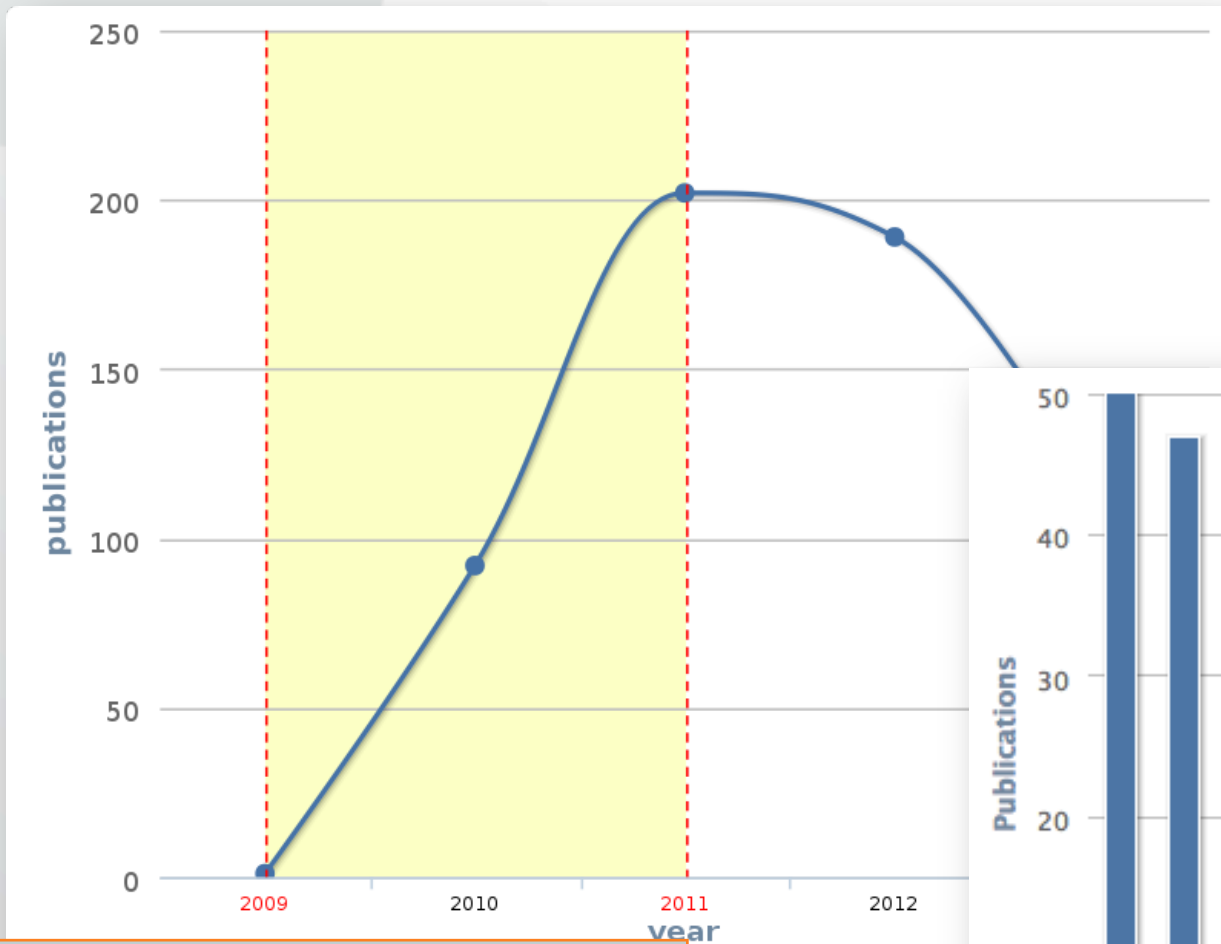
445 publications

Title	Author	Publisher	Publication Date	Permanent Identifier	Access Mode	Embargo End Date
Low-temperature fabrication of layered self organized ge clusters by RF-sputtering	Pinto, S. R. C.	Springer Verlag	2011-04-14	<a href="http://hdl.handle.net/1822/15689">http://hdl.handle.net/1822/15689</a>	OPEN	
The high-resolution structure of the extracellular domain of human CD69 using a novel polymer	Kolenko, Petr	WILEY-BLACKWELL PUBLISHING, INC	2009-01-01	<a href="http://dx.doi.org/10.1107/S1744309109043152">http://dx.doi.org/10.1107/S1744309109043152</a>	CLOSED	
Structural and magnetic study of the annealing of Fe-Co nanoparticles	Desvaux, Celine	ROYAL SOC CHEMISTRY	2010-01-01	<a href="http://dx.doi.org/10.1039/b916294a">http://dx.doi.org/10.1039/b916294a</a>	CLOSED	
Neuroprotective action of FK-506 (tacrolimus) after seizures induced with pilocarpine: quantitative and topographic elemental analysis of brain tissue	Chwiej, Joanna	SPRINGER	2010-01-01	<a href="http://dx.doi.org/10.1007/s00775-009-0597-2">http://dx.doi.org/10.1007/s00775-009-0597-2</a>	CLOSED	
Energy band structure and optical response function of iceohedral B(12)A(2) A spectroscopic ellipsometry and first	Belokobov, S.	AMER PHYSICAL SOC	2010-01-01	<a href="http://dx.doi.org/10.1103/PhysRevB.81.075114">http://dx.doi.org/10.1103/PhysRevB.81.075114</a>	CLOSED	

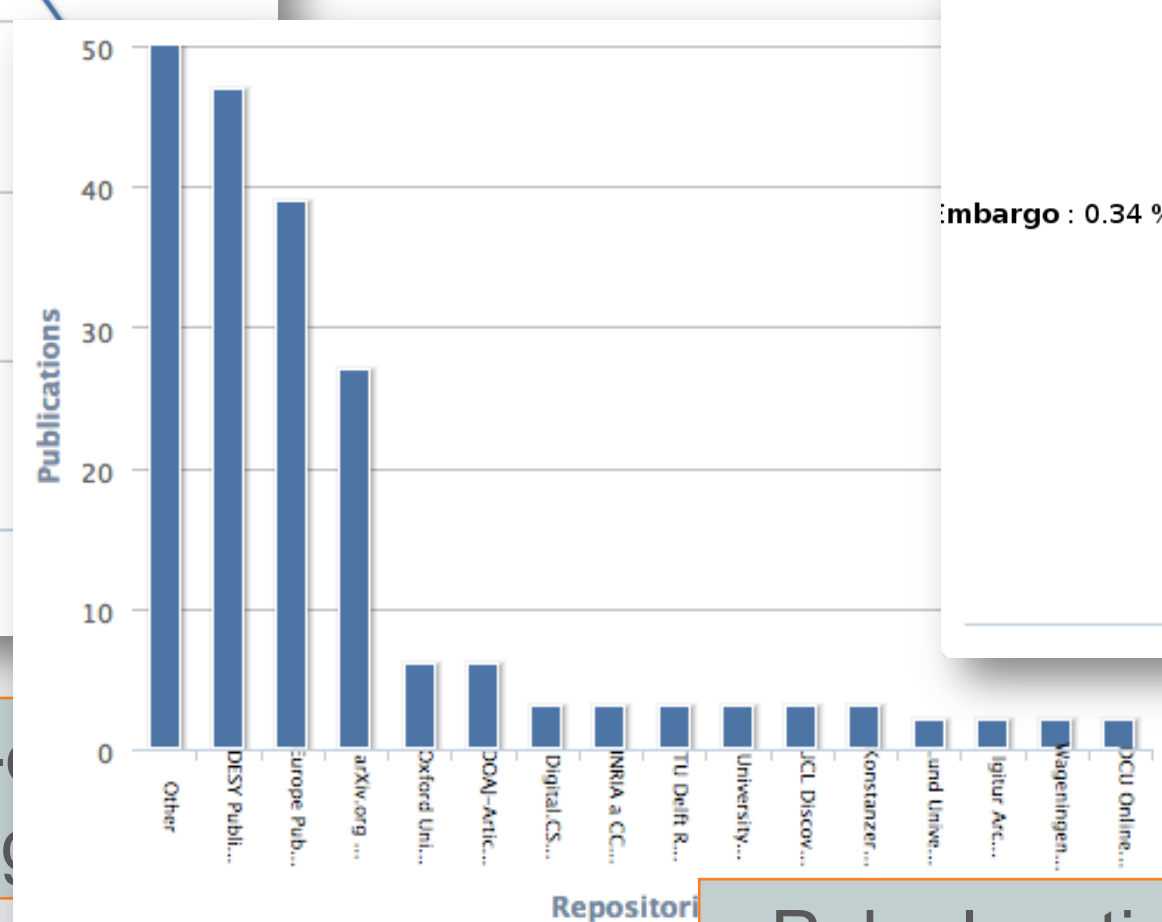
Still missing: **One click away to EC project reporting systems**



# Research managers: project statistics

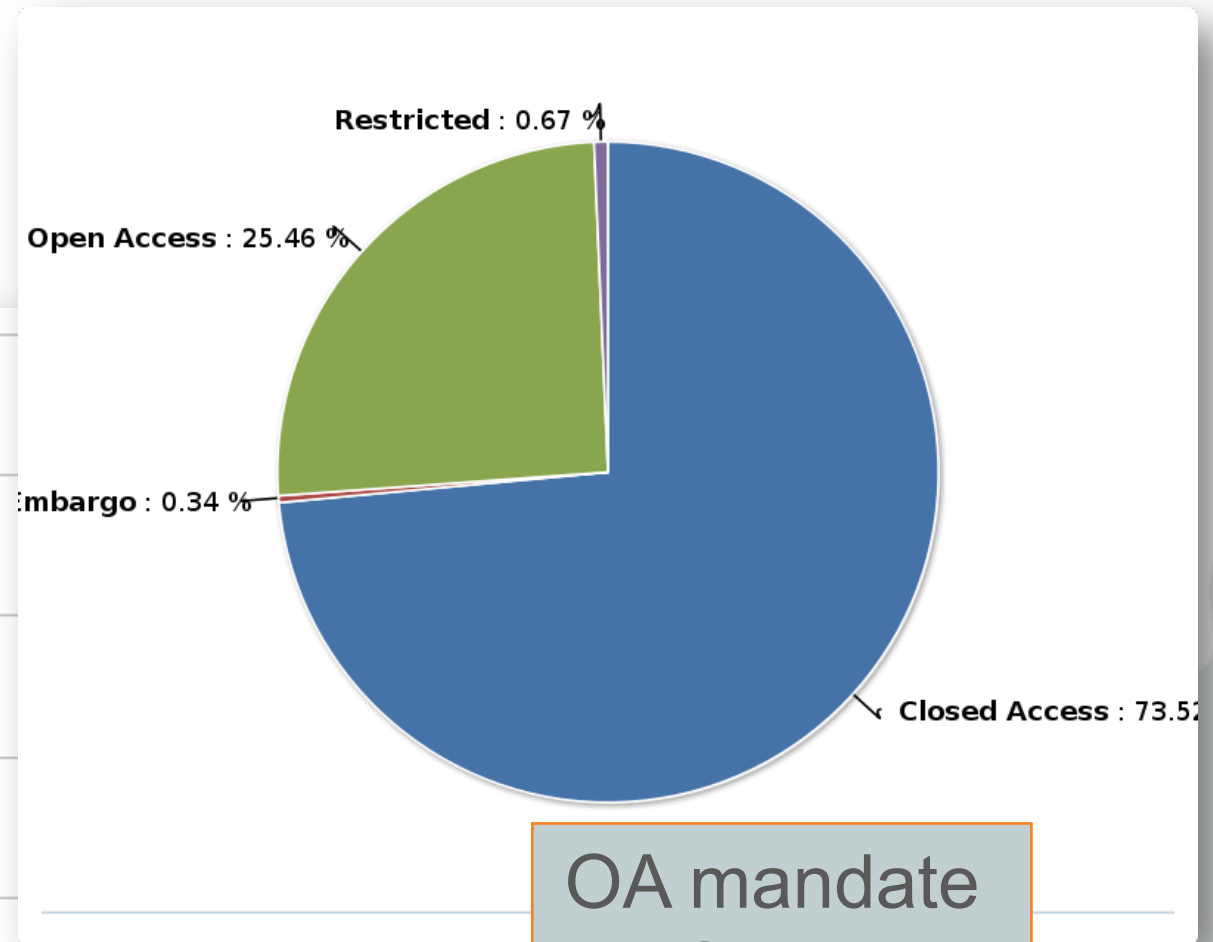


Project productivity over time



Post project-monitoring

Pubs location



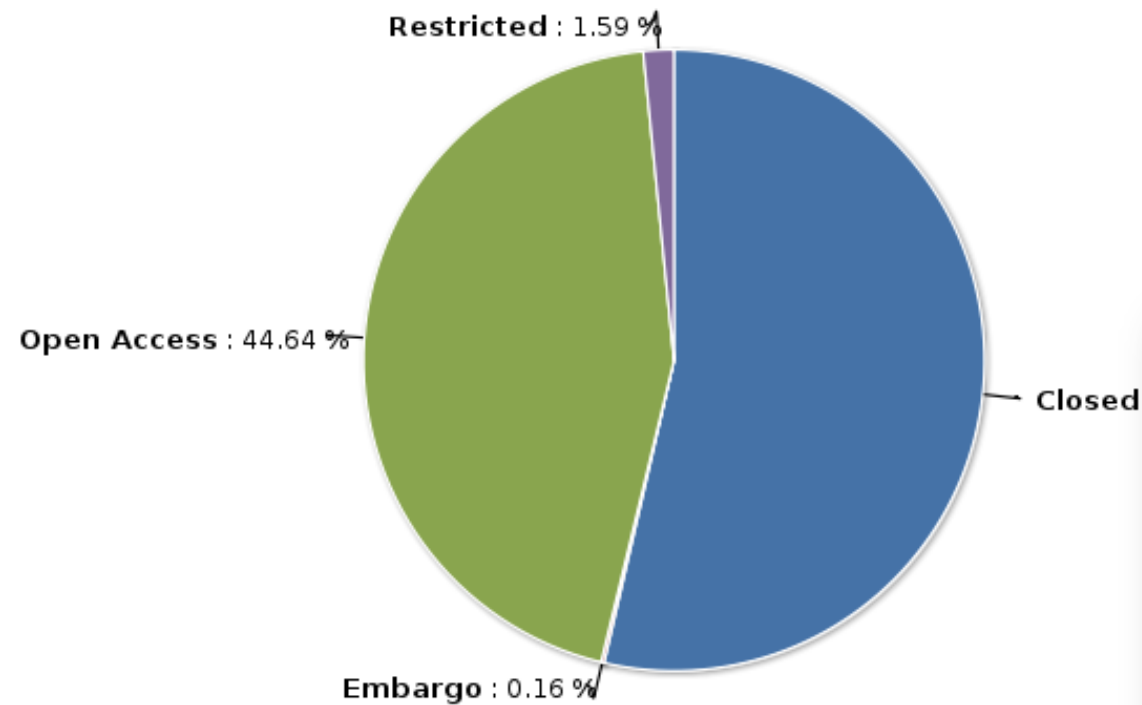
OA mandate conformance



# Funders: Monitoring OA policy



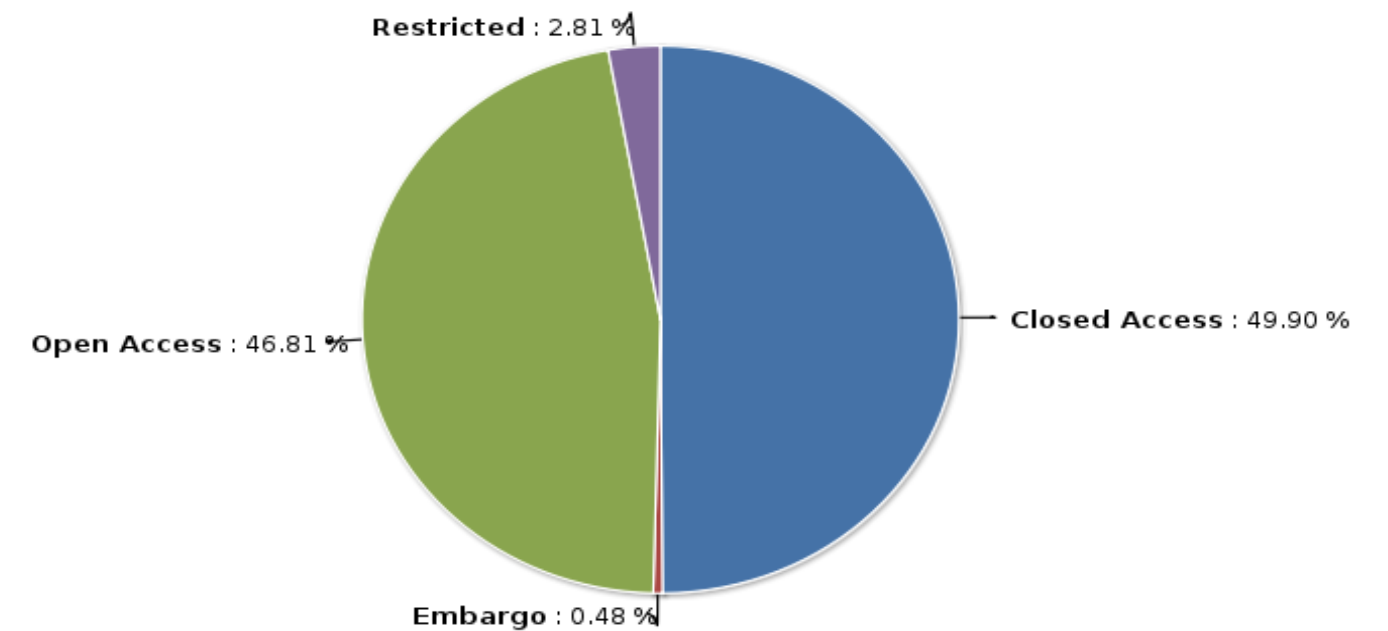
FP7 publications breakdown by access mode



FP7

66K pubs – 7.5K projects

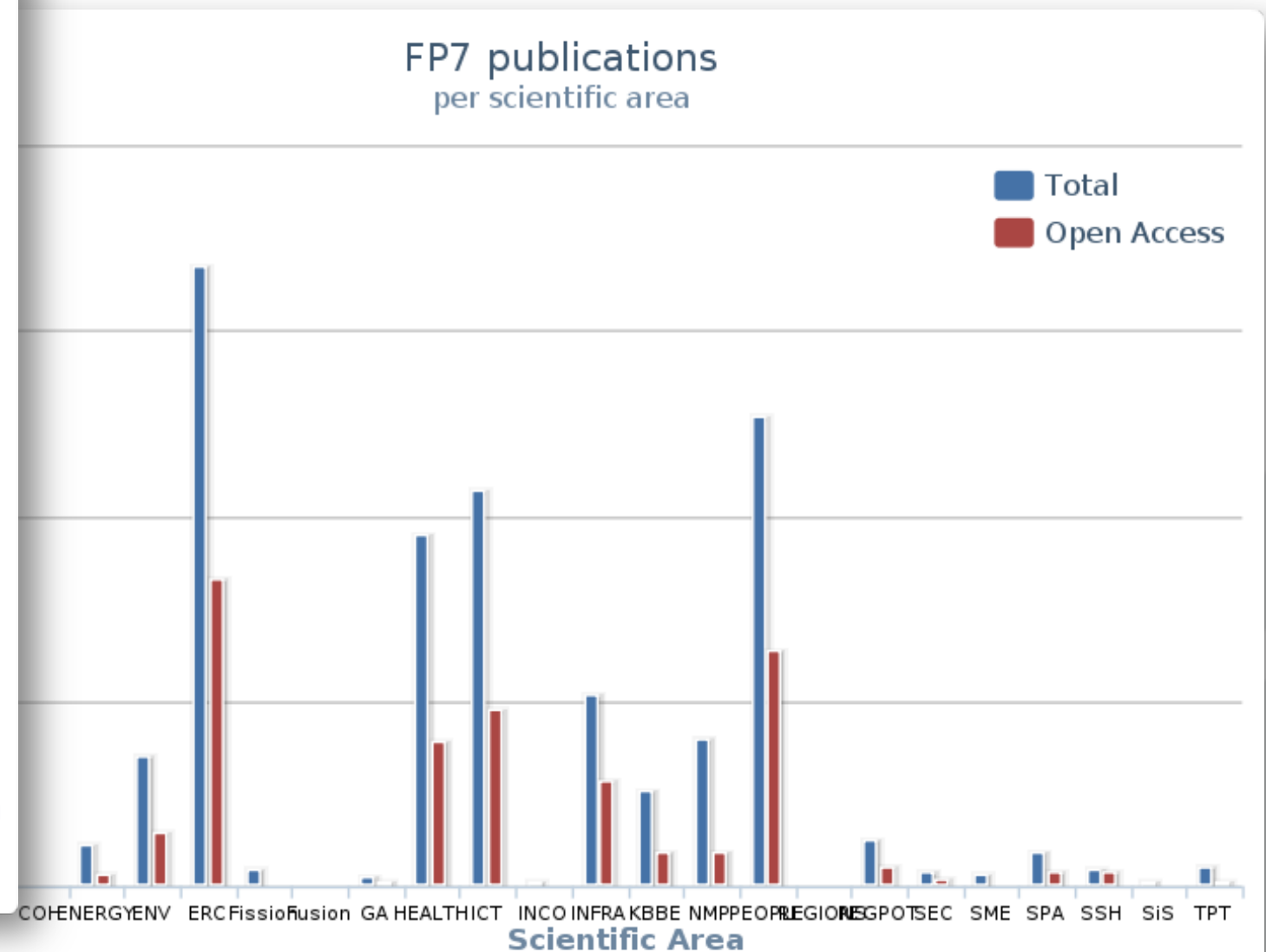
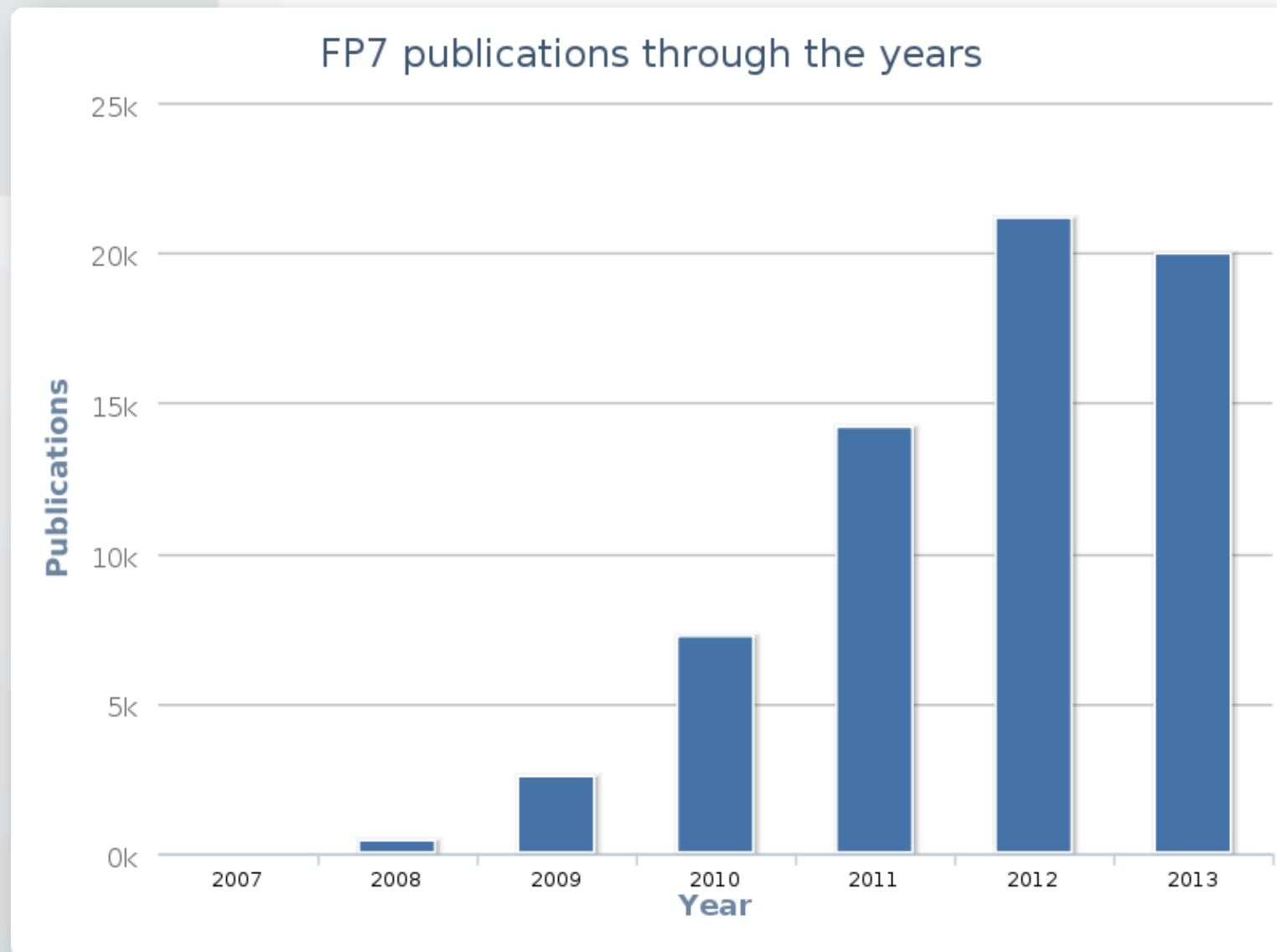
Publications of FP7 projects with SC39 breakdown per access mode



SC39: FP7 OA Pilot

8.5K pubs – 725 projects

# Research Output Measures



FP7 timeline - total

FP7 breakdowns



# EC OA Mandate Progression

## FP7 OA Pilot (2009)

- Grant agreement SC39
- 20% programme areas
- Deposit in Repositories
- OA after embargo period (6-12 months)
- Gold payments during project



## Horizon 2020 (2014)

- All grant agreements
- 100% programme areas
- Deposit in Repositories
- Gold payments *after* project end
- Open Data Pilot for a number of programme areas

# OpenAIRE2020 (1)

- **Support the H2020 vision of open access for scientific publications and the Open Data Pilot for H2020**
  - **Identify and dealing with H2020 “publications”** (articles, datasets, software, ...) through a series of services operating on various sources such as OA publishers, repositories, scholarly societies, etc
  - Involve and engage NOADs in **Research Data Management aspects**
  - Provide **legal guidance for H2020** project participation in the Open Data Pilot
  - Offer **specialized Zenodo** services for researchers to deposit datasets and publications pertaining to their H2020 projects
  - Establish and run a **pilot on FP7 post-grant Article Processing Charges (APC)**



# OpenAIRE2020 (2)

- Build a **pan-European Research Information Management System** to monitor OA research outcomes from the EC and other national funders
  - Engage with national funders to showcase the benefits of a **pan-European CRIS**
  - Integrate with emerging **PID infrastructures** (DataCite, ORCID)
  - Serve research communities that need **quantitative and qualitative monitoring and assessment**
  - Proactively explore the establishment of new multidimensional **performance indicators**
  - Produce **usage and advanced research analytics**





# OpenAIRE2020 (3)

- Create an international **OA repository collaboration platform** to support truly global research and scholarly communication
  - Advance the dialogue started by COAR with **Australia, China, Europe, Latin America, and the USA** on commons issues (e.g. policies, guidelines, usage data, impact, linking and integration to data repositories) through a series of **targeted workshops and pilots**
  - Participate in **international collaboration efforts** (e.g. RD/



# Thank you!

 [www.openaire.eu](http://www.openaire.eu)

 @openaire\_eu

 facebook.com/groups/openaire

 linkedin.com/groups/OpenAIRE-3893548

 [donatella.castelli@isti.cnr.it](mailto:donatella.castelli@isti.cnr.it)