

## RESEARCH ARTICLE

# Impact of HLA Class I Antigen, Killer Inhibitory Receptor, and FCGR3A Genotypes on Breast Cancer Susceptibility and Tumor Stage

Angelica Canossi<sup>1,\*</sup>, Anna Aureli<sup>1</sup>, Tiziana Del Beato<sup>1</sup>, Giorgio Novelli<sup>2</sup>, Oreste Buonomo<sup>3</sup>, Piero Rossi<sup>4</sup>, Adriano Venditti<sup>5</sup>, Franco Papola<sup>6</sup> and Giuseppe Sconocchia<sup>1</sup>

<sup>1</sup>Biomedicine, C.N.R. Institute of Translational Pharmacology (IFT), Rome, Italy; <sup>2</sup>Maxillofacial surgery, University of Rome Tor Vergata, Rome, Italy; <sup>3</sup>Surgical Sciences, University of Rome Tor Vergata, Rome, Italy; <sup>4</sup>Breast Surgery, University of Rome Tor Vergata, Rome, Italy; <sup>5</sup>Systemic Pathology, University of Rome Tor Vergata, Roma, Italy; <sup>6</sup>Organs Transplantation and Immunology Institute, Ospedale San Salvatore L'Aquila, Coppito, Italy

**Abstract: Background:** The identification in breast cancer (BC) of novel genetic biomarkers regulating natural killer (NK) cell function, including the HLA, KIR, and CD16A (FCGR3A), may be still a challenge.

**Objective:** We aimed to evaluate whether the combined effect of these polymorphisms has an impact on BC susceptibility and progression.

**Methods:** 47 BC Italian patients and healthy individuals (39 females and 66 males/females) were genotyped by Sanger sequencing (HLA-C exon 2-4 and FCGR3A-158V/F, 48L/R/H) and PCR-SSP typing (KIR genes).

**Results:** HLA-C gene allele analysis showed the group C1, with HLA-C\*07:02:01 allele, to be significantly associated with tumor progression (16.7% vs. 4.0%,  $p=0.04$ , OR=4.867), and instead, group C2, with HLA-C\*05:01:01, was protective against disease susceptibility (0.0% vs. 7.2%,  $p=0.019$ , OR=0.087). In addition, we highlighted a significant reduction of the KIR2DS4ins in BC patients ( $p_{\text{corr.}}=0.022$ ) and an increased combined presence of KIR2DL1 and KIR2DS1 genes in advanced BC patients compared to earlier stages (66.7% vs. 19.2%,  $p=0.002$ ). The concurrent lack of KIR2DL2 and KIR2DS4 genes in the presence of HLA-C2 alleles was significantly associated with increased susceptibility to BC ( $p=0.012$ , OR=5.020) or with lymph node involvement ( $p=0.008$ , OR=6.375). Lastly, we identified different combinations of the FCGR3A-48/158 variants and KIR genes in BC patients compared to controls.

**Conclusion:** Our findings suggest that in the development of BC probably exists a disorder of the NK innate immunity influenced by KIR/HLA-C gene content and FCGR3A-158 polymorphisms and that the combined analysis of these biomarkers might help predict genetic risk scores for tailored screening of BC patients in therapy.

**Keywords:** Breast cancer, killer cell immunoglobulin-like receptors (KIR), HLA-C, immunoglobulin G fragment C receptor (FCGR3A), genetic risk, NK.

## 1. INTRODUCTION

Breast cancer (BC) is the most frequent malignancy in women worldwide and it represents the second most common cause of cancer deaths [1]. Besides genetic mutations, diet, age, environmental contaminants, and steroid hormones are involved in the pathogenesis of the disease. BC is characterized by a strong immune component supported by immune cells, cytokines, and growth factors. A high proportion of NK cells, neutro-

phils, and macrophages, and a lower frequency of cytotoxic T cells and CD4<sup>+</sup> cells were found in estrogen receptor (ER)-positive BC [2]. NK cells represent the first-line defense against transformed cells, and class I human leukocyte antigens (HLA) and killer-cell immunoglobulin-like receptors (KIRs) regulate their function. NK cells' cytotoxic activities are regulated by opposing signals delivered by different surface receptors that can activate or inhibit NK cell cytotoxic responses. KIRs are cell-surface molecules that are able to induce activation or suppression of NK cell response by interaction (REF) [3].

\*Address correspondence to this author at the C.N.R. Institute of Translational Pharmacology (IFT), Biomedicine; E-mail: [angelica.canossi@cnr.it](mailto:angelica.canossi@cnr.it)

Killer immunoglobulin-like receptors (KIRs) are transmembrane proteins encoded by multiple genes mapping to chromosome 19q13.4 [4-5]. Generally, two types of KIRs can be identified: the first inhibitory type has a long intracellular tail (KIRL), while the second stimulatory type is characterized by a short intracellular tail (KIRS). Worldwide population variation in the KIR *loci* and the relationship between KIR genes and their human leukocyte antigen (HLA) ligands were evaluated in the anthropology component of the 15th International Histocompatibility Workshop (IHIWS) [6]. KIR gene profiles are defined on the basis of the presence or absence of some KIR genes in A and B haplotypes. All haplotypes contain some framework genes (KIR2DL4, KIR3DL2, and KIR3DL3). The A haplotype contains several inhibitory KIR genes and only one activating KIR (KIR2DS4). Conversely, the B haplotype displays one or more activating KIR genes (KIR2DS1, KIR2DS2, KIR2DS3, KIR2DS5, and KIR3DS1) [7].

The HLA-C antigens are the best-known KIR ligands. Based on polymorphisms at amino acid positions 77 and 80, in the  $\alpha$ 1-domain of the  $\beta$  chain, they can be divided into two groups: the HLA-C1 that carries a serine residue at position 77 with an asparagine at position 80, and the HLA-C2 that has an asparagine at position 77 and a lysine residue at amino acid 80 [8, 9].

A relationship between KIR genotype and cancer has been recently proposed [10-14]. Inhibitory KIRs, interacting with HLA class I antigens, regulate the NK cell cytotoxicity, including antibody-dependent cellular cytotoxicity (ADCC). The extent of this process relies on the binding affinity of the Fc portion of the IgG antibody to the CD16 receptor.

CD16A (FCGR3A) is a polymorphic activating receptor expressed on the surface of NK cells. It induces molecular signals that lead to the activation of the NK cell-killing machinery, resulting in the elimination of antibody-opsonized target cells [15]. Changes in the monoclonal antibody (mAb) affinity for CD16 polymorphisms can modulate the ADCC response as a function of the different IgG binding affinity of valine or phenylalanine polymorphisms at amino acid position 158 of Fc $\gamma$ R molecule [16-18]. Indeed, the presence of the higher affinity genotype (V/V or V/F) enhances the NK cell binding affinity to human IgG1 antibody more than that of the lower affinity genotype (F/F) [19,20]. FCGR3A-158V/F and 48L/R/H polymorphisms have been extensively studied. The presence of either arginine or histidine enhanced the binding of IgG1, IgG3, and IgG4 to CD16 [21].

The importance of steroid hormones as prognostic markers in BC development is also widely shown. About a fifth of BCs (15-20%) overexpress the human epidermal growth factor receptor 2 (HER2), often associated with a more aggressive profile and decreased survival [22]. However, the use of HER2-mAbs, such as trastuzumab (herceptin), in addition to chemotherapy, has been successfully implemented in the treatment of BC [23-25]. Several publications

indicate that NK and T lymphocytes strongly influence tumor development and response to anti-HER mAbs treatment [26-27]. Given that, the FCGR3A genotype might be a factor contributing to the anti-tumor activity of the antibody. Thus, it would be of interest to analyze FCGR3A-158V/F and the FCGR3A-48L/R/H gene polymorphisms in association with KIR genes and their HLA-C ligands to understand their contribution to BC susceptibility and staging.

## 2. MATERIALS AND METHODS

### 2.1. Population

A cohort of 47 Italian patients with breast cancer (46 females and 1 male, mean age: 64 years, ranging from 45 to 86) were enrolled in the Division of Medical Oncology of Tor Vergata University Hospital in Rome. Tumor specimens consisted histologically of ductal (69.2%) or lobular (15.4%) carcinomas. The histopathological assessment (pTNM) and grading, the expressions of estrogen receptor (ER) and progesterone receptor (PR), and HER2 status were determined by using immunohistochemical detection. The BC patients were mainly categorized according to TNM staging and grouped as early-stage (0-II) or advanced-stage (III-IV). The study was approved by the Internal Review Board (IRB) of the Polyclinic "Tor Vergata" Hospital, Rome, Italy (number 133/10). A written informed consent was obtained from all the subjects. All clinical investigations were conducted according to the Declaration of Helsinki principles. BC patients received adjuvant or neoadjuvant chemotherapy, with trastuzumab in some cases. Hormonal therapy was planned in the case of estrogen receptor (ER) or progesterone receptor (PR) positive tumor.

We used a control group composed of 39 women (FCTRs, mean age: 62.7 years) and a mixed group of 66 male/female healthy subjects (MCTRs: 66.0 years) for KIR and FCGR3A genetic analysis. The reason for this choice follows the assumption that although BC rarely affects men (0.5-1% of all BC patients), its diagnosis is often delayed and it associates with worse outcomes. As regards the case-control analysis of HLA-C allelic contribution, we considered a historical group of 76 healthy individuals already HLA-typed for bone marrow transplantation in our laboratory. All subjects were ethnically matched, unrelated, and randomly selected, with no cancer and no history of any immunological disease.

### 2.2. DNA Extraction and KIR Genotyping

DNA was isolated from 1 ml of cryopreserved peripheral blood cell sample using the DNA Blood Midi kit (Qiagen, Hilden, Germany) by spin columns, according to the manufacturer's protocol. DNA purity and concentration were analyzed using an ultraviolet-visible spectrophotometer (DU530, Beckman Coulter Life Sciences, Brea, CA-US). KIR genotyping was performed using polymerase chain reaction with sequence-specific primers (PCR-SSP) for 16 KIR genes (*KIR2DL1*, *2DL2*, *2DL3*, *2DL4*, *2DL5A*,

2DL5B, 2DS1, 2DS2, 2DS3, 2DS4del and 2DS4ins, 2DS5, 3DL1, 3DL2, 3DL3, and 3DS1) and 2 pseudo-genes (2DP1 and 3DP1) (KIR Typing Kit, MACS Molecular, Miltenyi Biotec, BergischGladbach, Germany), with a genomic DNA control for contamination, a  $\beta$ -actin positive control, and negative control. PCR products were analyzed on a 2% agarose gel electrophoresis containing ethidium bromide and photo-documented ultraviolet transilluminator (Supplemental Fig. 1). KIR genotyping is utilized for the analysis of gene content and the categorization of A/B haplotypes, as well as for the prediction of NK cell reactivity in autologous and allogeneic NK cell-based immunotherapy.

### 2.3. HLA-C Allele Typing by PCR-SBT

HLA-C typing was performed by using sequence-based typing (SBT) with the AlleleSEQR HLA-C Plus sequence-based typing (SBT) kit (Abbot Molecular, Des Plaines, Illinois, USA), which analyzes the allelic polymorphisms in exons 2-4 of HLA-C gene. Allele assignments were evaluated using Assign™ SBT software (Conexio Genomics, Fremantle, Western Australia). These HLA-C alleles were also considered as belonging to C1 (HLA-C\*01,03,07,08,09,10,12,14) and C2 (HLA-C\*02,04,05,06,15) subsets, depending on the presence of asparagine (Asp) or lysine (Lys) at position 80, respectively. The study of the interactions between a specific KIR and subsets of HLA-C allotypes (C1 and C2) was established according to the Campbell KS's review scheme [28].

### 2.4. FCGR3A Genotyping by Sequence-Based Typing (SBT)

The genotyping of FCGR3A-158G/T (V/F) and FCGR3A-48A/T/G (L/R/H) polymorphisms was performed on genomic DNA by polymerase chain reaction (PCR)-SBT using primers previously described [19]. Briefly, PCR reactions were set up with 250 ng of genomic DNA per 50  $\mu$ l reaction, and PCR products were purified and sequenced using Big Dye Terminator v1.1 Cycle Sequencing Kit on an ABI Prism 3130 Genetic Analyzer (PE Applied Biosystems, Foster City, CA). Typing was obtained by alignment of the processed sequences and the reference human CD16A gene on dedicated software.

### 2.5. Statistical Analysis

The frequencies of genes or haplotypes were calculated by direct counting. Individuals considered homozygous for a gene had two identical alleles, while heterozygous ones had two different alleles of a gene. Two-tailed Pearson  $\chi^2$  test or Fisher's exact test were used for comparison of the gene frequencies of the patient group with controls, as appropriate. A  $p$ -value of 0.05 or less was considered to be significant and Bonferroni correction for multiple testing was examined. The odds ratio (OR) and its 95% confidence intervals (CI) were calculated. The association of KIR genes and HLA-C ligands with BC status was assessed using the binary logistic regression analysis.

Comparison between groups and correlation between variables were examined by parametric (t-test/one-way ANOVA, Pearson's correlation), and non-parametric tests (Kruskal Wallis, Friedman test for repeated measures, and Spearman's test), as appropriate. The SPSS statistical package, version 19 for Windows, was used for data and statistical analysis, and graphic representations were obtained by using GraphPad Prism (v.6). FCGR3A allele and haplotype frequencies were assessed by an expectation-maximum (EM) algorithm. For multilocus genotypic data, the maximum likelihood was estimated using an EM algorithm when the gametic phase is not known. The Hardy-Weinberg equilibrium was calculated by the Guo and Thomson exact test. The analysis of molecular polymorphisms within the population was performed using the Arlequin V.3.0 population genetics software.

## 3. RESULTS

### 3.1. Patients

47 BC patients with different histological diagnoses of early or advanced invasive and metastatic cancer were considered for this study. Patients were treated with neoadjuvant chemotherapy (n=5), adjuvant chemotherapy (n=24), hormonal therapy (n=17), and trastuzumab in some cases (n=5). 26 patients were in an early stage of the disease (stage 0-II), while 21 patients were in advanced stages (stage III-IV). 27 patients had lymph node metastases (LNM). The histological classification of the disease mainly included ductal or lobular carcinomas. Overall, 10 patients relapsed and 2 patients died during the follow-up for liver injury and cardiovascular diseases (Table 1).

### 3.2. HLA-C Allele Polymorphisms in BC Patients

We compared the distribution of HLA-C alleles and associated epitopes (C1:C80N and C2:C80K) of BC patients at different tumor stages with that of healthy controls. The incidence of the group C1, with HLA-C\*07:02:01 allele, was higher in more advanced tumors than in female controls (AF%:-16.7% vs. 4.0%,  $p=0.04$ , OR=4.867) or mixed subjects ( $p=0.02$ , OR=4.867). Also the group C2, with HLA-C\*04:01:01 allele, was positively associated with LNM+ tumors compared to mixed controls (28.6% vs. 14.5%,  $p=0.040$ , OR=2.364), while the HLA-C\*05:01:01 in the whole population of BC patients was significantly protective (0% vs. 7.2%,  $p=0.019$ , OR=0.087) to the MCTRs (Table 2). However, the significance of the association of HLA-C alleles was not confirmed after Bonferroni's correction, may be because of the limited number of BC patients and the high HLA-C allele variability.

### 3.3. KIR/HLA Genes Distribution in the Total BC Patients

The analysis of KIR genes distribution between BC patients and controls highlighted a significant reduction of the KIR2DS4ins in BC patients (25.5% vs. 57.6%,  $p=0.001$ , OR=0.253), especially MCTRs, after

**Table 1. Clinical and biological features of the breast cancer (BC) patients.**

Variables	N (%)
<i>Number patients (n=47):</i>	
- males	1 (2.1)
- females	46 (97.9)
<i>Median age, years (range )</i>	62 (45-87)
≥50	30 (63.8)
≤50	17 (36.2)
<i>Tumor Stage:</i>	
- early (0-II )	26 (55.3)
- advanced (III-IV)	21 (44.7)
<i>Hormonal status (n=34):</i>	
ER+	25 (73.5)
PR+	23 (67.6)
ER-/PR-	8 (23.5)
ER+PR+	22 (64.7)
HER2+	5 (14.7)
Triple negative	5 (14.7)
<i>Ki67 index (n=30):</i>	
>20%	17 (56.7)
<20%	13 (43.3)
<i>Chemotherapy and hormonal therapy n=34:</i>	
Adjuvant (anthracyclines /taxanes)	24 (70.6)
Neoadjuvant	5 (14.7)
Hormonal therapy	17 (50.0)
<i>Anti-HER2 (n=5):</i>	
Trastuzumab	4 (11.8)
Trastumab+pertuzumab	1 (2.9)
<i>Metastasis site:</i>	
LNM+	27 (57.4)
LNM-	20 (42.6)
Visceral	6 (12.8)
<i>Tumor size:</i>	
T1-T2	34 (72.3)
T3-T4	8 (17.0)
NA (Tis etc)	5 (10.6)
<i>Relapse:</i>	10 (33.3)
<i>Diagnosis (n=39):</i>	
Ca ductal	27 (69.2)
Ca lobular	6 (15.4)
Other types (apocrine, mucinous etc.)	6 (15.4)

Bonferroni's correction ( $p=0.022$ ) (Table 3). The combined effect of KIR genes with their cognate HLA-C ligands evidenced the frequency of the *KIR2DL2* gene, not licensed by the *HLA-C1(HLA-C2+)*, to be significantly lower in BC patients than FCTRs group (28.6% vs. 57.9%,  $p=0.022$ , OR=0.291). This negative correlation was validated by univariable regression analysis ( $p=0.013$ , OR=0.291, 95%CI: 0.110-0.772). In the presence of *HLA-C2*, the concurrent lack of *KIR2DL2* and *KIR2DS4ins* was significantly higher in

patients than in females (37.1% vs. 10.5%,  $p=0.012$ , OR=5.020) and mixed controls (37.1% vs 12.7%,  $p=0.010$ , OR=3.886), suggesting that this combination may increase the susceptibility to develop BC. Besides, the percentage of the *KIR2DS5* gene (haplotype B), in association with the C2 allele group, was significantly lower in BC patients than in mixed controls (total BCs: 17.1% vs. 63.5%,  $p=0.0001$ , OR=0.119) (Table 4).

### 3.4. Involvement of KIR Genes and HLA-C Epitopes in Tumor Progression

To distinguish the role of different biomarkers in breast malignancy, the influence of variables, such as the invasion type, clinical tumor stage, and the presence of immunohistochemistry markers, including ER, PR, and HER2, was considered. The incidence of the *KIR2DS1* gene in patients with advanced stages of the disease was significantly higher than in patients at early stages (66.7% vs. 26.9%,  $p=0.015$ ; Table 3), and interestingly, an increased coexistence of *KIR2DL1* and *KIR2DS1* genes was evidenced in these advanced BC patients (66.7% vs. 19.2%,  $p=0.002$ ). On the contrary, the presence of *KIR2DL1* without *KIR2DS1* was instead higher in patients at early stages (18/26=69.2% vs. 6/21=28.6%,  $p=0.013$ ). The frequency of the *KIR2DS4ins* gene was lower in patients with advanced cancer than MCTRs (14.3% vs. 57.6%,  $p=0.020$ , OR=0.226). In the context of KIR/HLA-C combinations, we confirmed the negative association of *KIR2DL2/HLA-C2+* (20% vs. 57.9% FCTRs,  $p=0.016$ , OR=0.182) and *KIR2DS5* gene/*HLA-C2+* (20.0% vs. 63.5% MCTRs,  $p=0.003$ , OR=0.144) with advanced disease (Table 4). The positive influence of the *HLA-C2* with *KIR2DL2*<sup>+</sup> NK cells combination is demonstrated by the significant difference in the frequency of the *KIR2DL2* *HLA-C2/C2* and/or *HLA-C1/C2* combinations between patients with advanced tumors with respect to FCTRs (20.0% vs. 55.3%,  $p=0.031$ ). The same relationship was found in BC patients with LN+ metastasis, where the AF of *KIR2DL2* genes in combination with the homozygous *HLA-C1* (*C1/C1*) was significantly higher than that in both the controls (FCTRs,  $p=0.042$ , OR=4.250; MCTRs,  $p=0.042$ , OR=4.000). Always in this subset of LNM+ patients, the absence of *KIR2DL2* and *KIR2DS4ins* genes in the presence of *HLA-C2* was higher (42.9% vs. FCTRs:10.5%,  $p=0.008$ , OR=6.375) (Suppl. Table 1).

### 3.5. Evaluation of KIR Polymorphisms in BC Patients Depending on Steroid Hormone Receptors

Taking into account the presence of specific steroid hormone receptors, ER<sup>+</sup> BC patients (n=25) had a lower frequency of *KIR2DS4ins* gene compared to controls, especially mixed ones (20.0% vs. MCTRs 57.6%,  $p=0.002$ , OR=0.174). All ER<sup>+</sup>, LNM+BC patients (n=16) carried the *KIR2DL3* gene (100.0% vs. FCTRs 76.9%,  $p=0.046$ , OR=10.28). Similar results were obtained when we evaluated the PR+ and LNM+ BC patients group (*KIR2DL3*: 100% vs. FCTRs 76.9%,  $p=0.049$ ; *KIR2DS4ins*: 26.7% vs. MCTRs 57.6%,

**Table 2. HLA-C alleles in breast cancer patients (BC, n=35), female controls (FCTRs, n=38) and mixed controls (MCTRs, n=76).**

HLA-C*	BC tot (2n=70)		BC stage 0-II (2n=40)		BC stage III-IV (2n=30)		LNM+ (2N=42)		FCTRs (2n=76)		P val	OR=	MCTRs (2n=152)		P val	OR=
	n	AF(%)	n	AF(%)	n	AF(%)	n	AF(%)	n	AF(%)			n	AF(%)		
01:02	1	1.4	1	2.5	0	0.0	0	0.0	4	5.3	ns	-	4	2.6	ns	-
02:02	4	5.3	2	5.0	2	6.7	2	4.8	7	9.2	ns	-	6	4.0	ns	-
03:03	1	1.4	1	2.5	0	0.0	0	0.0	1	1.3	ns	-	3	2.0	ns	-
03:04	1	1.4	0	0.0	1	3.3	1	2.4	2	2.6	ns	-	4	2.6	ns	-
04:01:01 (C2)	17	24.3	9	22.5	8	26.7	12	28.6	16	21.1	ns	-	22	14.5	0.040	2.364
05:01:01 (C2)	0	0.00	0	0.0	0	0.0	0	0.0	3	4.0	ns	-	11	7.2	0.019	0.087
06:02:01	7	10.0	4	10.0	3	10.0	3	7.1	8	10.5	ns	-	12	7.9	ns	-
07:01:01	7	10.0	5	12.5	2	6.7	4	9.5	14	18.4	ns	-	28	18.4	ns	-
07:02:01 (C1)	7	10.0	2	5.0	5	16.7	7	16.7*	3	4.0	0.04	4.867	6	4.0	0.020	4.867
07:04:01	3	4.3	3	7.5	0	0.0	1	2.4	1	1.3	ns	-	2	1.3	ns	-
07:43	1	1.4	0	0.0	1	3.3	1	2.4	0	0.0	ns	-	0	0.0	ns	-
08:01	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	ns	-	1	0.7	ns	-
08:02:01	2	2.9	2	5.0	0	0.0	1	2.4	4	5.3	ns	-	7	4.6	ns	-
12:02	1	1.4	1	2.5	1	2.5	0	0.0	2	2.6	ns	-	1	0.7	ns	-
12:03:01	5	7.1	2	5.0	3	10.0	3	7.1	4	5.3	ns	-	12	7.9	ns	-
12:05	1	1.4	1	2.5	0	0.0	1	2.4	0	0.0	ns	-	0	0.0	ns	-
14:02	3	4.3	2	5.0	1	3.3	1	2.4	0	0.0	ns	-	6	4.0	ns	-
15:02:01	1	1.4	0	0.0	1	3.3	1	2.4	3	4.0	ns	-	10	6.6	ns	-
15:05	1	1.4	1	2.5	0	0.0	0	0.0	1	1.3	ns	-	2	1.3	ns	-
15:13	1	1.4	0	0.0	1	3.3	1	2.4	0	0.0	ns	-	0	0.0	ns	-
16:01	3	4.3	3	7.5	0	0.0	2	4.8	2	2.6	ns	-	5	3.3	ns	-
16:02:01	1	1.4	0	0.0	1	3.3	1	2.4	0	0.0	ns	-	2	1.3	ns	-
16:04	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	ns	-	2	1.3	ns	-
17:01,03	2	2.9	1	2.5	1	3.3	1	2.4	1	1.3	ns	-	6	4.0	ns	-
C1 subgr.	25	71.4	15	75.0	10	66.7	20	47.6	28	73.7	ns	-	48	63.2	0.080	-
C2 subgr.	25	71.4	13	65.0	12	80.0	22	52.4	28	73.7	0.14	-	43	56.6	ns	-

**Table 3. KIR allele frequencies (AF) in BC patients (n=47) in comparison with two healthy controls groups (female controls, FCTRs n=39 and mixed controls, MCTRs n=66).**

KIR GENES	BREAST CANCER						HEALTHY CONTROLS				COMPARISONS			
	TOTAL (N=47)		EARLY STAGE (0-II grade, N=26)		ADVANCED STAGE (III-IV grade, N=21)		FCTRs (N=39)		MCTRs (N=66)		TOTAL VS. CONTROLS		ADVANCED VS. CONTROLS	
	N	AF%	N	AF%	N	AF%	N	AF%	N	AF%	P-value	OR=	P-value	OR=
2DL1	45	95.7	25	96.2	21	100.0	39	100.0	64	97.0	NS	NS	NS	NS
2DL2	24	51.1	15	57.7	9	42.9	26	66.7	40	60.6	NS	NS	NS	NS
2DL3	43	91.5	23	88.5	20	95.2	30	76.9	53	80.3	NS	NS	NS	NS

(Table 3) Contd....

KIR GENES	BREAST CANCER						HEALTHY CONTROLS				COMPARISONS			
	TOTAL (N=47)		EARLY STAGE (0-II grade, N=26)		ADVANCED STAGE (III-IV grade, N=21)		FCTRs (N=39)		MCTRs (N=66)		TOTAL VS. CONTROLS		ADVANCED VS. CONTROLS	
	N	AF%	N	AF%	N	AF%	N	AF%	N	AF%	P-value	OR=	P-value	OR=
2DL4	47	100.0	28	100.0	19	100.0	39	100.0	66	100.0	NS	NS	NS	NS
2DL5A	19	40.4	7	26.9	12	57.1	18	46.2	26	39.4	NS	NS	NS	NS
2DL5B	22	46.8	11	42.3	11	52.4	25	64.1	41	62.1	NS	NS	NS	NS
2DS1 §	21	44.7	7	26.9°	14	66.7	18	46.2	37	56.1*	NS	NS	NS	NS
2DS2	22	46.8	14	53.8	8	38.1	21	53.9	35	53.0	NS	NS	NS	NS
2DS3	16	34.0	10	38.5	6	28.6	13	33.3	34	51.5	NS	NS	NS	NS
2DS4del*003	38	80.9	23	88.5	16	76.2	30	76.9	56	84.8	NS	NS	NS	NS
2DS4ins*001-002 *	12	25.5	8	30.8	3	14.3	14	35.9 ^	38	57.6	0.022*	0.253	0.020	0.226
2DS5	16	34.0	7	26.9	10	47.6	15	38.5	22	33.3	NS	NS	NS	NS
3DL1	43	91.5	25	96.2	18	85.7	36	92.3	60	90.9	NS	NS	NS	NS
3DL2	47	100.00	28	100.0	19	100.0	39	100.0	66	100.0	NS	NS	NS	NS
3DL3	47	100.00	28	100.0	19	100.0	39	100.0	66	100.0	NS	NS	NS	NS
3DS1	19	40.43	7	26.9	11	52.4	18	46.2	29	43.9	NS	NS	NS	NS

**Note:** § KIR2DS1: III-IV grade vs. 0-II grade tumors,  $p=0.015$ . \* KIR2S4ins: Total BC pts vs mixed healthy controls ( $p=0.0014$ ), with Bonferroni correction. (in bold). ^Advanced stage vs. Females 14.3% vs. 35.9%,  $p=0.132$  ns; ° KIR2DS1: less advanced tumors 26.9% vs. Mixed controls 54.5%,  $p=0.031$ . KIR2DS1. 40.7% male controls

**Table 4. KIR/HLA-C interaction frequencies in the study groups with comparisons.**

KIR / HLA-C combinations	BREAST CANCER						CONTROLS				COMPARISONS			
	TOTAL (N=35)		EARLY STAGE (N=20)		ADVANCED STAGE (N=15)		FEMALES (N=38)		MIXED (N=63)		TOTAL VS. CONTROLS		ADVANCED VS. CONTROLS	
	N	AF%	N	AF%	N	AF%	N	AF%	N	AF%	P-value	OR=	P-value	OR=
2DL1 HLA-C2+	24	68.6	12	60.0	12	80.0	28	73.7	43	68.3	NS	NS	NS	NS
2DL1 HLA-C1+	24	68.6	14	70.0	10	66.7	28	73.7	46	73.0	NS	NS	NS	NS
2DL2 HLA-C1+	14	40.0	10	50.0	4	26.7	17	44.7	28	44.4	NS	NS	NS	NS
2DL2 HLA-C2+	10	28.6	7	35.0	3	20.0	22	57.9	31	49.2	0.022	0.291	0.016	0.182
2DL2 HLA-C1C1	7	20.0	4	20.0	3	20.0	4	10.5	7	11.1	NS	NS	NS	NS
2DL2 HLA-C2C2 §	3	8.6	2	10.0	1	6.7	8	21.1	11	17.5	NS	NS	NS	NS
2DL2 HLA-C1C2 §	7	20.0	5	25.0	2	13.3	13	34.2	20	31.7	NS	NS	NS	NS
2DL3 HLA-C2	23	65.7	11	55.0	12	80.0	20	52.6	43	68.3	NS	NS	NS	NS
2DS1 HLA-C2*	8	22.9	2	10.0*	6	40.0*	14	36.8	22	34.9	NS	NS	NS	NS
2DS1 HLA-C1	12	34.3	4	20.0	8	53.3	12	31.6	21	33.3	NS	NS	NS	NS
2DS4ins HLA-C2	4	11.4	4	20.0	0	0.00	11	28.9	15	23.8	0.084	NS	0.023	0.077
2DS4ins HLA-C1	8	22.9	6	30.0	2	13.3	10	26.3	15	23.8	NS	NS	NS	NS
2DS5 HLA-C2	6	17.1	3	15.0	3	20.0	12	31.6	40	63.5	0.0001	0.119	0.003	0.144
2DL2' 2DS4INS' C2+	13	37.1	4	20.0	8	53.3	4	10.5	8	12.7	0.012	5.020	0.002	9.71

**Note:** \*KIR2DS1/HLA-C2: early tumors vs. advanced tumors  $p=0.05$ . §The comparison of KIR2DL2 HLA-C2/C2 plus KIR2DL2 HLA-C1C2 frequencies between BC patients with advanced tumors and FCTR was significant ( $p=0.0313$ ).

$p=0.045$ ). Interestingly, the frequency of *KIR2DS4*ins in ER/PR double-positive patients ( $n=22$ ) was significantly lower than that of mixed controls (4/22 18.2% vs. 38/66 57.6%,  $p=0.001$ , OR=0.164), and they showed a lower incidence of B haplotype in homozygosity compared to female controls (1/22 4.5% vs. FCTRs10/39 25.6%,  $p=0.045$ , OR=0.138). Noteworthy, *KIR2DS1* gene was more frequent in HER2<sup>+</sup> patients than in HER2<sup>-</sup> patients (5/5 100% vs. 12/28 42.9%,  $p=0.04$ , OR=14.52).

### 3.6. FCGR3A Polymorphisms in BC Onset and Clinical Outcome

Although no significant difference between FCGR3A 48 and 158 polymorphisms was found in terms of alleles, we observed the prevalent presence of a valine (G) in position 158 and a leucine (T) in position 48 in both BC patients and controls (Suppl. Table 2). We noted a reduced incidence of the 158T/T (FF) homozygous genotype in the whole group of BC patients (2.2% vs. 11.1% in FCTRs), including those with more aggressive tumors (0% vs. 11.1%,  $p=0.13$ ). A significant negative relationship between 48 G/T (LR) genotype and the proliferative index (Ki-67) was observed, as indicated by Spearman's correlation ( $p=0.020$ ,  $rs=-0.439$ ). Besides, the FCGR3A-48,158 haplotype-based analysis showed a different distribution between patients and controls: 48T-158G was the most frequent haplotype in BC patients (HF=44.2%), while the 48T-158T was more commonly identified in FCTRs (HF=44.4%) (Suppl. Table 3).

### 3.7. Correlations Between FCGR3A Haplotypes and KIR Genes

When FCGR3A 48-158 haplotypes were combined with KIR genes by using Spearman's ranks method (Table 5), we made some interesting observations. 1. The FCGR3A48A-158G haplotype was found negatively linked with the *KIR2DL2* gene ( $p=0.034$ ,  $rs=-0.434$ ) in LNM+ BC patients, while it was negatively associated with *KIR2DS4*del ( $p=0.029$ ,  $rs=-0.365$ ) and positively with *KIR2DS5* gene ( $p=0.043$ ,  $rs=0.339$ ) in the female control group; 2. The 48T-158T haplotype was positively correlated either with *KIR2DL2* ( $p=0.006$ ,  $rs=0.542$ ) or with the *KIR2DS2* gene ( $p=0.026$ ,  $rs=0.454$  in LNM+ BC patients), while it was positively associated with *KIR2DS4*ins ( $p=0.038$ ,  $rs=0.348$ ) and negatively with *KIR2DS5* gene ( $p=0.041$ ,  $rs=-0.343$ ) in the controls. It must be noted that there was a significant correlation observed between the 48T-158T haplotype and *KIR2DL2* ( $p=0.035$ ,  $rs=0.500$ ) in advanced stages of the disease, and with *KIR2DL3* ( $p=0.032$ ,  $rs=0.431$ ) in patients with early tumors. It is interesting to note that *KIR2DL2* and *KIR2DL3* genes are alleles of the same locus, which segregates in different haplotypes (B and A, respectively). In contrast, in relapsed BC patients, a positive relationship with the *KIR2DL1* gene ( $p=0.016$ ,  $rs=0.674$ ), on haplotype A, was also observed. 3. The 48T-158G haplotype was the third haplotype detected in the studied patient population. While it was negatively correlated with the *KIR2DL1* gene ( $p=0.046$ ,  $rs=-0.475$ ) in more advanced

tumors, it was positively associated with *KIR2DL5B* ( $p=0.009$ ,  $rs=0.512$ ) and *KIR2DS3* ( $p=0.012$ ,  $rs=0.493$ ) genes (adjacent loci in the B haplotype) in early-stage tumors. In FCTRs, this haplotype was negatively correlated to *KIR2DS4*ins.

## 4. DISCUSSION

Breast cancer is characterized by a microenvironment often infiltrated by immune cells, including tumor-infiltrating T cells (TILs), and to a lesser extent, NK cells [29]. Metastatic dissemination of cancer cells consists of an interplay between cancer cell-intrinsic factors (genetic and epigenetic diversification) and microenvironmental immunosuppressive determinants, such as metabolic, stromal, and immunological factors. Interindividual variability in the NK receptors repertoire is also influenced by KIR genes, HLA class I alleles, and FCGR3A (CD16A) genetic polymorphisms. The presence of steroid hormone receptors, including ER (estradiol E2), mediates immunostimulation or immunosuppression, particularly on NK cells in a time-dependent manner [30-32].

To date, the analysis of gene polymorphisms at the nucleotide level of the HLA-C locus, in combination with those of FCGR3A in breast cancer, has not been rated yet. For this purpose, we carried out a case-control study between BC patients and healthy controls to evaluate the impact of the KIR/HLA-C and FCGR3A genes on the pathogenesis and progression of breast cancer in the Italian population and correlate them with proliferation and some key clinical features of BC, including tumor stage and recurrence.

Based on our observations, first of all, the presence of *HLA-C\*05:01:01* allele could be considered protective against BC, while the presence of *HLA-C\*07:02:01* could play a role in BC progression. We can speculate that a differential expression of these alleles might influence the efficacy of the immune response toward cancer cells given that, as suggested by previous studies [33], different expressions of HLA-C7 and HLA-C5 antigens have been found. Low levels of *HLA-C\*07* allele cell surface expression are due to a more restrictive peptide-binding pocket than the *HLA-C\*05* allele, which has a flatter cleft that allows the binding of a larger range of peptides. This situation can stabilize the HLA-C molecule affecting its expression level on the cell surface. As a consequence, the HLA-C7 and HLA-C5 antigens may negatively and positively regulate T cell immunosurveillance, respectively. Instead, the predisposing effect of the *HLA-C\*04:01:01* allele to lymph node metastasis could be due to its low cell surface expression [34]. These features might cause NK hyporesponsiveness predisposing to tumor invasiveness.

Defective NK cell cytotoxicity has been described in a variety of solid tumors, including breast cancer [29,35], which has been associated with increased frequency of CD56<sup>bright</sup> NK cells in peripheral blood [36]. Thus, while the interaction between inhibitory KIRs and their HLA class I ligands, by the process of

**Table 5. Spearman's test correlation between FCGR3A48-158 haplotypes and KIR genes in BC patients compared to female healthy controls (FCTRs).**

FCGR3A	Grade 0-II BC patients (n=25)	P=	rs	FCTRs (n=36)	P=	rs
<u>48-158 haplotype</u>	<u>KIR gene</u>	-	-	<u>KIR gene</u>	-	-
48G-158G	2DL5B	0.046	-0.411	---	-	-
48T-158T	2DL3	0.032	0.431	2DS4ins	0.038	0.348
-	-	-	-	2DS5	0.041	-0.343
48T-158G	2DL5B	0.009	0.512	2DS4ins	0.000	-0.565
-	2DS3	0.012	0.493	-	-	-
FCGR3A	Grade III-IV BC patients (n=18)	P=	rs	FCTRs (n=36)	P=	rs
48T-158T	2DL2	0.035	0.500	2DS4ins	0.038	0.348
-	-	-	-	2DS5	0.041	-0.343
48T-158G	2DL1	0.046	-0.475	2DS4ins	0.000	-0.565
FCGR3A	LNM* BC patients (n=24)	P=	rs	FCTRs (n=36)	P=	rs
48A-158G	2DL2	0.034	-0.434	2DS4del	0.029	-0.365
-	-	-	-	2DS5	0.043	0.339
48T-158T	2DL2	0.006	0.542	2DS4ins	0.038	0.348
-	2DS2	0.026	0.454	2DS5	0.041	-0.343
-	2DL2/HLA-C1	0.032	0.480	-	-	-
48T-158G	--	-	-	2DS4ins	0.000	-0.565

"licensing" or education, allows NK cells to acquire full effector functions, a mismatch makes NK cells hypo-responsive. Also, the activating KIR-HLA class I licensing may influence NK cells' unresponsiveness to cancer cells. NK cells expressing activating KIR2DS1 in the presence of self-HLA-C2 ligands are poorly responsive toward cancer [37]. Our study indicates that, in BC patients, *KIR2DS4ins* and *KIR2DL2* genes, not licensed by HLA-C1 ligands, seem to be protective from the neoplasm onset. Conversely, the absence of both *KIR2DS4* and *KIR2L2* genes may increase the risk of BC occurrence. The protective role of *KIR2DS4ins* receptor could be due to its inhibitory action on NK response towards bacterial or viral infections, predisposing to a particular tumor microenvironment. Indeed, it is known that this KIR receptor recognizes recA peptides derived by pathogens, mainly in the context of HLA-C5 antigen [38]. Also, the protective role on BC pathogenesis of the inhibitory *KIR2DL2* receptor, unlicensed by C1 ligands, may be due to the NK cells' unresponsiveness to cancer cells. This finding was consistent with what has already been described in a recent study on Saudi women with breast cancer [39]. Our analysis evidenced that a simultaneous absence of the inhibiting *KIR2DL2*, not licensed by its cognate C1 ligand, together with the *KIR2DS4ins* gene, increases the risk of tumor progression by nine-fold.

Furthermore, we can also speculate that the activating *KIR2DS1* in association with the HLA-

*C\*07:02:01* allele might play a role in breast cancer aggressiveness, may be supporting a chronic over-stimulation of NK cells. The association of this gene with increased BC risk had already been highlighted in another investigation on Turkish patients with advanced BC compared to controls [40]. This correlation might depend on the influence on patients with advanced BC of the simultaneous presence of its equivalent inhibitory, *KIR2DL1* gene. On the contrary, the increased presence of *KIR2DL1* in the absence of the *KIR2DS1* counterpart, in advanced tumors, suggests a putative "antitumor role" of the *KIR2DL1* receptor. This information is also supported by an analysis of Ashoury E.[41]. Interestingly, BC patients with lymph node metastasis have shown a significantly higher frequency of the *KIR2DL2* gene in the presence of *HLA-C1/C1* homozygous (OR=4.25) than healthy controls, which, by the effect of the process of "licensing", could favor full pro-inflammatory effector functions.

On the basis of these findings, we could speculate that the activation of inflammation mediated by *KIR2DS1* could be turned off by *KIR2DL2/KIR2DS4*, but only when they are not licensed by the HLA-C1 ligand. Such results suggest that the susceptibility to BC transformation and progression might depend on the type of genotype combinations. Indeed, the involvement of activating KIRs in cancer pathogenesis has also been observed by other studies [in *chronic myeloid leukemia* (CML) and nasopharyngeal



carcinoma, respectively] [42,43]. Increases in the levels of innate immune response stimulation may contribute to an increased risk of some virus-associated cancers, maybe through an amplified inflammatory response triggered by NK cells (or other effector cells) expressing activating KIRs.

Examining the influence of KIR genes on lymph node status, in the context of hormone markers, we did not evidence any difference in the progression of disease between ER or PR-positive patients, even though in these patients, a prevalent frequency of the *KIR2DL3* and a reduction of *KIR2DS4*ins gene were specifically detected. It is possible to hypothesize that breast cancer hormones may influence in a different way some immune responses depending on genetic background (every HER-2+ BC patient in our study carried the *KIR2DS1* gene and showed signs of metastasis).

Fcy receptors are essential for the ADCC pathway, and FCGR3A functional gene polymorphisms may affect the killing function of immune effector cells. *FCGR3A158G/T* polymorphism is the most studied biomarker for ADCC, and several reports have already demonstrated its involvement in enhanced efficacy of monoclonal antibodies (mAb) therapy in solid tumors [17, 44, 45]. Furthermore, it has also been shown that affinity-modulating FCGR3A sequence variants play a major role in the binding of antibodies by CD16-CAR T cells, and can increase their activity [46].

One of the goals of this work was to define the impact of FCGR3A gene polymorphisms together with KIR/HLA-C interaction on BC pathogenesis and malignancy. While FCGR3A gene polymorphisms alone did not show a prominent role in the development of BC, the 48-158 haplotypes in the context of particular KIR genes had specific correlations in BC patients' subsets compared to female controls. A recent study by Zheng *et al.* illustrated another KIR/HLA interaction (HLA-G/KIR2DL4), which could resensitize breast cancer to trastuzumab treatment [47].

Our results suggest a hypothetical synergic effect of particular KIR genes with FCyR 48-158 variants responsible for ADCC efficiency. For instance, in LNM+ BC patients, the inhibitory receptor *KIR2DL2* gene was negatively related to *FCGR3A 48A-158G* with higher ADCC efficiency, but positively to *FCGR3A48T-158T* haplotype (lower ADCC response). This finding had already been shown by Muraro E. on a selected group of HER-2-positive breast cancer [48].

The impact of these HLA-C/KIR and FcyR variants on the immune microenvironment in breast cancer might help to predict the outcome of immunotherapy and provide novel targets for the treatment of this neoplasia.

## CONCLUSION

Although in a relatively small sample size, our study examined the influence of the genetic basis of KIR

receptors, together with their HLA-C ligands, and FCGR3A genes for NK antitumor activity using high-resolution techniques. Our findings suggest that in the development of breast cancer exists a disorder of immune regulation, and that NK cells might represent a promising target for the development of immunotherapeutic strategies for metastatic disease. The KIR-HLA and FCGR3A associations evidenced in this analysis constitute a hypothetical indication of genetic risk scores for tailored screening of BC patients. Further studies involving BC patients with advanced tumors are needed to verify the specific *in vivo* activation of NK cells. These results could potentially guide immunotherapy against breast cancer by specifically targeting NK cell clones with particular KIR-HLA-CD16A patterns favoring antitumor activity.

## LIST OF ABBREVIATIONS

ADCC	=	Antibody-Dependent Cellular Cytotoxicity
AF	=	Allele Frequency
BC	=	Breast Cancer
CML	=	Chronic Myeloid Leukemia
ER	=	Estrogen Receptor
FCTRs	=	Female Controls
HER2	=	Human Epidermal Growth Factor Receptor 2
HLA	=	Human Leukocyte Antigens
KIR	=	Killer-Cell Immunoglobulin-Like Receptor
LNM	=	Lymph Node Metastases
MCTRs	=	Mixed Controls
NK	=	Natural killer
PCR	=	Polymerase Chain Reaction
PR	=	Progesterone Receptor
SBT	=	Sequence-Based Typing
TILs	=	Tumor-Infiltrating T Cells

## AUTHORS' CONTRIBUTIONS

A.C. and A.A. designed the study, analyzed and interpreted the data. A.C. performed KIR and HLA-C genotyping and analyzed the results. T.D.B. performed the FCGR3A SBT method. AC, A.A., and G.S. wrote the manuscript. G.N., O.B., P.R., A.V., and F.P. critically revised the manuscript. G.S. provided funding. All the authors approved the final version.

## ETHICS APPROVAL AND CONSENT TO PARTICIPATE

The study was approved by the Internal Review Board (IRB) of the Polyclinic "Tor Vergata" Hospital, Rome, Italy (number 133/10).

## HUMAN AND ANIMAL RIGHTS

No animals were used in this research. All procedures performed in studies involving human participants were in accordance with the 1975 Declaration of Helsinki, as revised in 2013.

## CONSENT FOR PUBLICATION

A written informed consent was obtained from all the subjects.

## STANDARD OF REPORTING

STROBE guidelines were followed.

## AVAILABILITY OF DATA AND MATERIAL

To protect patients' privacy, datasets generated and analyzed in this study will be available from the corresponding author on request and included as supplementary information files.

## FUNDING

This work was supported by the IG10555 and IG17120 grants from the Italian Association for Cancer Research (AIRC), and partly by CNR funds.

## CONFLICTS OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

## ACKNOWLEDGEMENTS

Declared none.

## SUPPLEMENTARY MATERIAL

Supplementary material is available on the publisher's website along with the published article.

## REFERENCES

- [1] DeSantis CE, Ma J, Gaudet MM, *et al.* Breast cancer statistics, 2019. *CA Cancer J Clin* 2019; 69(6): 438-51. <http://dx.doi.org/10.3322/caac.21583> PMID: 31577379
- [2] Segovia-Mendoza M, Morales-Montor J. Immune tumor microenvironment in breast cancer and the participation of estrogen and its receptors in cancer pathophysiology. *Front Immunol* 2019; 10: 348. <http://dx.doi.org/10.3389/fimmu.2019.00348> PMID: 30881360
- [3] Moretta L, Bottino C, Pende D, Vitale M, Mingari MC, Moretta A. Different checkpoints in human NK-cell activation. *Trends Immunol* 2004; 25(12): 670-6.
- [4] Coppola A, Arriga R, Lauro D, *et al.* NK cell inflammation in the clinical outcome of colorectal carcinoma. *Front Med* 2015; 2: 33.
- [5] Wende H, Colonna M, Ziegler A, Volz A. Organization of the leukocyte receptor cluster (LRC) on human Chromosome 19q13.4. *Mamm Genome* 1999; 10(2): 154-60. <http://dx.doi.org/10.1007/s003359900961> PMID: 9922396
- [6] Hollenbach JA, Meenagh A, Sleator C, *et al.* Report from the killer immunoglobulin-like receptor (KIR) anthropology component of the 15th International Histocompatibility Workshop: Worldwide variation in the KIR loci and further evidence for the co-evolution of KIR and HLA. *Tissue Antigens* 2010; 76(1): 9-17. <http://dx.doi.org/10.1111/j.1399-0039.2010.01459.x> PMID: 20331834
- [7] Pende D, Falco M, Vitale M, *et al.* Killer Ig-like receptors (KIRs): Their role in nk cell modulation and developments leading to their clinical exploitation. *Front Immunol* 2019; 10: 1179. <http://dx.doi.org/10.3389/fimmu.2019.01179> PMID: 31231370
- [8] Biassoni R, Falco M, Cambiaggi A, *et al.* Amino acid substitutions can influence the natural killer (NK)-mediated recognition of HLA-C molecules. Role of serine-77 and lysine-80 in the target cell protection from lysis mediated by "group 2" or "group 1" NK clones. *J Exp Med* 1995; 182(2): 605-6099.
- [9] Al Omar S, Middleton D, Marshall E, *et al.* Associations between genes for killer immunoglobulin-like receptors and their ligands in patients with solid tumors. *Hum Immunol* 2010; 71(10): 976-81. PMID: 15719024
- [10] Parham P, Falco M, Cambiaggi A, *et al.* MHC class I molecules and KIRs in human history, health and survival. *Nat Rev Immunol* 2005; 5(3): 201-14.
- [11] Middleton D, Vilchez JR, Cabrera T, *et al.* Analysis of KIR gene frequencies in HLA class I characterised bladder, colorectal and laryngeal tumours. *Tissue Antigens* 2007; 69(3): 220-6. <http://dx.doi.org/10.1111/j.1399-0039.2006.00792.x> PMID: 17493145
- [12] De Re V, Caggiari L, De Zorzi M, *et al.* Genetic diversity of the KIR/HLA system and outcome of patients with metastatic colorectal cancer treated with chemotherapy. *PLoS One* 2014; 9(1): e84940. <http://dx.doi.org/10.1371/journal.pone.0084940> PMID: 24497922
- [13] Berghella AM, Aureli A, Canossi A, Beato TD, Colanardi A, Pellegrini P. Redox, immune and genetic biomarker system for personalized treatments in colorectal cancer. *World J Gastrointest Oncol* 2019; 11(2): 117-38. <http://dx.doi.org/10.4251/wjgo.v11.i2.117> PMID: 30788039
- [14] Canossi A, Aureli A, Del Beato T, *et al.* Role of KIR and CD16A genotypes in colorectal carcinoma genetic risk and clinical stage. *J Transl Med* 2016; 14(1): 239. <http://dx.doi.org/10.1186/s12967-016-1001-y> PMID: 27519478
- [15] Bhat R, Watzl C. Serial killing of tumor cells by human natural killer cells-enhancement by therapeutic antibodies. *PLoS One* 2007; 2(3): e326. <http://dx.doi.org/10.1371/journal.pone.0000326> PMID: 17389917
- [16] Arriga R, Caratelli S, Lanzilli G, *et al.* CD16 $\alpha$ 158 $\beta$ valine chimeric receptor T cells overcome the resistance of KRAS $\Delta$  mutated colorectal carcinoma cells to cetuximab. *Int J Cancer* 2020; 146(9): 2531-8. <http://dx.doi.org/10.1002/ijc.32618> PMID: 31396956
- [17] D'Aloia MM, Caratelli S, Palumbo C, *et al.* T lymphocytes engineered to express a CD16-chimeric antigen receptor redirect T-cell immune responses against immunoglobulin G-opsonized target cells. *Cytotherapy* 2016; 18(2): 278-90. <http://dx.doi.org/10.1016/j.jcyt.2015.10.014> PMID: 26705740
- [18] Terszowski G, Klein C, Stern M. KIR/HLA interactions negatively affect rituximab- but not GA101 (obinutuzumab)-induced antibody-dependent cellular cytotoxicity. *J Immunol* 2014; 192(12): 5618-24. <http://dx.doi.org/10.14049/jimmunol.1400288> PMID: 24795454
- [19] Caratelli S, Sconocchia T, Arriga R, *et al.* FC $\gamma$  chimeric receptor-engineered T cells: Methodology, advantages, limitations, and clinical relevance. *Front Immunol* 2017; 8: 457. <http://dx.doi.org/10.3389/fimmu.2017.00457> PMID: 28496440
- [20] Koene HR, Kleijer M, Algra J, Roos D, von dem Borne AE, de Haas M. Fc gammaRIIIa-158V/F polymorphism influences the binding of IgG by natural killer cell Fc gammaRIIIa, independently of the Fc gammaRIIIa-48L/R/H phenotype. *Blood* 1997; 90(3): 1109-14. <http://dx.doi.org/10.1182/blood.V90.3.1109> PMID: 9242542

- [21] de Haas M, Koene HR, Kleijer M, *et al.* A triallelic Fc gamma receptor type IIIA polymorphism influences the binding of human IgG by NK cell Fc gamma RIIIA. *J Immunol* 1996; 156(8): 2948-55. <http://dx.doi.org/10.4049/jimmunol.156.8.2948> PMID: 8609432
- [22] Slamon DJ, Clark GM, Wong SG, Levin WJ, Ullrich A, McGuire WL. Human breast cancer: Correlation of relapse and survival with amplification of the HER-2/neu oncogene. *Science* 1987; 235(4785): 177-82. <http://dx.doi.org/10.1126/science.3798106> PMID: 3798106
- [23] Hortobagyi GN. Trastuzumab in the treatment of breast cancer. *N Engl J Med* 2005; 353(16): 1734-6. <http://dx.doi.org/10.1056/NEJMe058196> PMID: 16236745
- [24] Luen S, Virassamy B, Savas P, Salgado R, Loi S. The genomic landscape of breast cancer and its interaction with host immunity. *Breast* 2016; 29: 241-50. <http://dx.doi.org/10.1016/j.breast.2016.07.015> PMID: 27481651
- [25] Savas P, Salgado R, Denkert C, *et al.* Clinical relevance of host immunity in breast cancer: From TILs to the clinic. *Nat Rev Clin Oncol* 2016; 13(4): 228-41. <http://dx.doi.org/10.1038/nrclinonc.2015.215> PMID: 26667975
- [26] Ehlers FAI, Beelen NA, van Gelder M, *et al.* ADCC-inducing antibody trastuzumab and selection of KIR-HLA ligand mismatched donors enhance the NK cell anti-breast cancer response. *Cancers* 2021; 13(13): 3232. <http://dx.doi.org/10.3390/cancers13133232> PMID: 34203549
- [27] Li F, Liu S. Focusing on NK cells and ADCC: A promising immunotherapy approach in targeted therapy for HER-2 positive breast cancer. *Front Immun* 2022; 2022: 1083462. PMID: 21214544
- [28] Campbell KS, Purdy AK. Structure/function of human killer cell immunoglobulin-like receptors: Lessons from polymorphisms, evolution, crystal structures and mutations. *Immunology* 2011; 132(3): 315-25. PMID: 21214544
- [29] Sconocchia G, Arriga R, Tornillo L, Terracciano L, Ferrone S, Spagnoli GC. Melanoma cells inhibit NK cell functions. *Cancer Res* 2012; 72(20): 5428-9. <http://dx.doi.org/10.1158/0008-5472.CAN-12-1181> PMID: 23047870
- [30] Screpanti I, Santoni A, Gulino A, Herberman RB, Frati L. Estrogen and antiestrogen modulation of the levels of mouse natural killer activity and large granular lymphocytes. *Cell Immunol* 1987; 106(2): 191-202. [http://dx.doi.org/10.1016/0008-8749\(87\)90163-8](http://dx.doi.org/10.1016/0008-8749(87)90163-8) PMID: 2882860
- [31] Manukyan G, Martirosyan A, Slavik L, *et al.* 17 beta-estradiol promotes proinflammatory and procoagulatory phenotype of innate immune cells in the presence of antiphospholipid antibodies. *Biomed* 2020; 8(6): 162.
- [32] Nilsson N, Carlsten H. Estrogen induces suppression of natural killer cell cytotoxicity and augmentation of polyclonal B cell activation. *Cell Immunol* 1994; 158(1): 131-9. <http://dx.doi.org/10.1006/cimm.1994.1262> PMID: 8087860
- [33] Kaur G, Gras S, Mobbs JI, *et al.* Structural and regulatory diversity shape HLA-C protein expression levels. *Nat Commun* 2017; 8(1): 15924. <http://dx.doi.org/10.1038/ncomms15924> PMID: 28649982
- [34] Kulkarni S, Savan R, Qi Y, *et al.* Differential microRNA regulation of HLA-C expression and its association with HIV control. *Nature* 2011; 472(7344): 495-8. <http://dx.doi.org/10.1038/nature09914> PMID: 21499264
- [35] Sconocchia G, Spagnoli GC, Del Principe D, *et al.* Defective infiltration of natural killer cells in MICA/B-positive renal cell carcinoma involves beta(2)-integrin-mediated interaction. *Neoplasia* 2009; 11(7): 662-71. <http://dx.doi.org/10.1593/neo.09296> PMID: 19568411
- [36] Verma C, Kaewkangsadan V, Eremin JM, *et al.* Natural killer (NK) cell profiles in blood and tumour in women with large and locally advanced breast cancer (LLABC) and their contribution to a pathological complete response (PCR) in the tumour following neoadjuvant chemotherapy (NAC): Differential restoration of blood profiles by NAC and surgery. *J Transl Med* 2015; 13(1): 180. <http://dx.doi.org/10.1186/s12967-015-0535-8> PMID: 26040463
- [37] Venstrom JM, Pittari G, Gooley TA, *et al.* HLA-C-dependent prevention of leukemia relapse by donor activating KIR2DS1. *N Engl J Med* 2012; 367(9): 805-16.
- [38] Sim MJW, Rajagopalan S, Altmann DM, *et al.* Human NK cell receptor KIR2DS4 detects a conserved bacterial epitope presented by HLA-C. *Proc Natl Acad Sci USA* 2019; 116(26): 12964-73. PMID: 31138701
- [39] Alomar SY, Alkhuriji A, Trayhyrn P, Alhethel A, Al-Jurayyan A, Mansour L. Association of the genetic diversity of killer cell immunoglobulin-like receptor genes and HLA-C ligand in Saudi women with breast cancer. *Immunogenetics* 2017; 69(2): 69-76.
- [40] Ozturk OG, Gun FD, Polat G. Killer cell immunoglobulin-like receptor genes in patients with breast cancer. *Med Oncol* 2012; 29(2): 511-5. PMID: 21479698
- [41] Ashouri E, Rajalingam K, Barani S, Farjadian S, Ghaderi A, Rajalingam R. Coexistence of inhibitory and activating killer-cell immunoglobulin-like receptors to the same cognate HLA-C2 and Bw4 ligands confer breast cancer risk. *Sci Rep* 2021; 11(1): 7932. <http://dx.doi.org/10.1038/s41598-021-86964-y> PMID: 33846431
- [42] Zhang Y, Wang B, Ye S, *et al.* Killer cell immunoglobulin-like receptor gene polymorphisms in patients with leukemia: Possible association with susceptibility to the disease. *Leuk Res* 2010; 34(1): 55-8. <http://dx.doi.org/10.1016/j.leukres.2009.04.022> PMID: 19450876
- [43] Butsch Kovacic M, Martin M, Gao X, *et al.* Variation of the killer cell immunoglobulin-like receptors and HLA-C genes in nasopharyngeal carcinoma. *Cancer Epidemiol Biomarkers Prev* 2005; 14(11): 2673-7. <http://dx.doi.org/10.1158/1055-9965.EPI-05-0229> PMID: 16284396
- [44] Caratelli S, Arriga R, Sconocchia T, *et al.* *In vitro* elimination of epidermal growth factor receptor[overexpressing cancer cells by CD32A[chimeric receptor T cells in combination with cetuximab or panitumumab. *Int J Cancer* 2020; 146(1): 236-47. <http://dx.doi.org/10.1002/ijc.32663> PMID: 31479522
- [45] Gavin PG, Song N, Kim SR, *et al.* Association of polymorphisms in *FCGR2A* and *FCGR3A* with degree of trastuzumab benefit in the adjuvant treatment of ERBB2/HER2-positive breast cancer. *JAMA Oncol* 2017; 3(3): 335-41. <http://dx.doi.org/10.1001/jamaoncol.2016.4884> PMID: 27812689
- [46] Rataj F, Jacobi SJ, Stoiber S, *et al.* High-affinity CD16-polymorphism and Fc-engineered antibodies enable activity of CD16-chimeric antigen receptor-modified T cells for cancer therapy. *Br J Cancer* 2019; 120(1): 79-87. <http://dx.doi.org/10.1038/s41416-018-0341-1> PMID: 30429531
- [47] Zheng G, Jia L, Yang AG. Roles of HLA-G/KIR2DL4 in breast cancer immune microenvironment. *Front Immunol* 2022; 13: 791975. <http://dx.doi.org/10.3389/fimmu.2022.791975> PMID: 35185887
- [48] Muraro E, De Zorzi M, Miolo G, *et al.* KIR-HLA functional repertoire influences trastuzumab efficiency in patients with her2-positive breast cancer. *Front Immunol* 2022; 12: 791958. <http://dx.doi.org/10.3389/fimmu.2021.791958> PMID: 35095867