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Ultrasensitive Label- and PCR-free Genome Detection based on Cooperative Hybridization of Silicon Nanowires Optical Biosensors

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Abstract

The realization of an innovative *label-* and *PCR-free* silicon nanowires (NWs) optical biosensor for direct genome detection is demonstrated. The system is based on the cooperative hybridization to selectively capture DNA and on the optical emission of quantum confined carriers in Si NWs whose quenching is used as detection mechanism. The Si NWs platform was tested with Hepatitis B virus (HBV) complete genome and it was able to reach a Limit of Detection (LoD) of 2 copies/reaction for the synthetic genome and 20 copies/reaction for the genome extracted from human blood. These results are even better than those obtained with the gold standard real time PCR method in the genome analysis. The Si NWs sensor showed high sensitivity and specificity, easy detection method and low

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3 manufacturing cost fully compatible with standard silicon process technology. All these points are
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5 key factors for the future development a new class of genetic point of care devices reliable, fast, low
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7 cost, easy to use for self-testing including the developing countries.
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14 **Keywords:** Biosensor, Nanowires, PCR-free, DNA cooperative hybridization, Silicon,
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3 Since its discovery in the early 80s, the Polymerase Chain reaction (PCR) has revolutionized the field
4 of genome diagnostics. In fact, ten years later its technological progress led to the invention of *real-*
5 *time* PCR^{1,2} allowing a sensitive and quantitative detection of DNA target sequences in a totally
6 closed-system, minimizing the risk of cross-contamination issues thanks to the use of fluorescence as
7 transduction method^{3,4}. For these reasons, PCR is nowadays considered the gold standard method for
8 genome analysis as proven by its large use in a plethora of applications, including determination of
9 viral or bacterial loads in clinical samples, identification and titers of germs in food, diagnosis of
10 tumors, gene expression analysis, or forensic analyses.

11 However, this methodology is still quite laborious requiring complex instrumentations, qualified
12 personnel and specialized laboratories. These aspects, combined with the high cost of the analysis,
13 limit the PCR capillary pervasion in a large scale for screening and early diagnosis. More severe
14 limitations are present in the developing countries where the infrastructures of clinical laboratory are
15 very poor, and suffer of limited power supply and cost constraints. In these countries, the availability
16 of easy use and low cost methods for genome diagnostic purposes represents a priority task. In fact,
17 this has been identified by the National Institute of Health (NIH) as one of the major priorities in the
18 “Grand Challenges for Global Health”⁵. Therefore, overcoming the limits of the PCR-based methods
19 would open the routes for new perspectives of great impact in the diagnostic field. In this context, the
20 development of new strategies allowing genome detection without any amplification step (*PCR-free*
21 *methods*) is one of the most challenging research goals that can have a disruptive impact on the
22 reduction of cost, rapidity and bioanalysis complexity⁶⁻⁸.

23 Nanostructured silicon photonics is the ideal platform for high sensitivity and selectivity detection of
24 biological molecules in a complex fluidic environment. In this scenario, light emitting Si-based
25 nanostructures are extremely promising materials due to their huge exposed surface and to their
26 optical properties resulting among the most innovative methods of transduction. Indeed, room
27 temperature light emission from quantum confined carriers in nanostructures, such as porous Si (pSi)
28 and Si nanocrystals (NCs), has been already widely explored in literature as an efficient strategy to
29 extract light from this indirect bandgap semiconductor⁹⁻¹². Although appealing, both pSi and NCs are
30 characterized by poor mechanical resistance and high luminescence instability with time. These
31 drawbacks represent severe limitations for pSi and NCs implementation in applicative optical sensing
32 devices. On the contrary, silicon nanowires (NWs) are proven to be featured by relevant physico-
33 chemical properties making them very appealing in several different areas, such as energy¹³
34 photovoltaics^{14,15}, electronics¹⁶⁻¹⁷, and photonics¹⁸⁻¹⁹.

35 Concerning the DNA detection, Si NWs have been mainly investigated with electrical transduction
36 method based on the conductance changing upon DNA hybridization with specific probes

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3 immobilized on the NWs surface^{20,21}. It has been demonstrated a low limit of detection of 220 attoM
4 (about 6600 DNA target copies per 50 μ L) for complementary ssDNA, by using an array of crystalline
5 Si NWs field effect transistor (FET) having diameters below 20 nm^{22,23}. The same group showed the
6 possibility to implement up to 24 NWs FET onto a single sensing interface by superlattice nanowire
7 pattern transfer (SNAP) for high throughput and multiplexed biomolecule detection in microfluidic
8 circuit²⁴. However, all these DNA electronic sensors require amplification of the DNA target and
9 present the limitations to be cost expensive, time consuming and complex to be integrated in ICs
10 (Integrated Circuits), due to challenges to electronically address individual wires and integrate with
11 conventional manufacturing processes. Moreover, the high background noise of such devices limits
12 their sensitivity. Another very interesting approach is based on the fluorescent labelling of DNA, in
13 particular He's group demonstrated the high sensitivity of Si NWs biochemical sensors for
14 multiplexing detection of different labelled genome sequences pushing the detection limit down to
15 genes^{25,26}. In general, labelled detection provides an indirect evidence for the presence of the analyte
16 and could degrade with time and environment exposure, causing also information loss by
17 photobleaching. Other quenching mechanisms can occur also between labels, limiting the detection
18 efficiency.

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31 Biosensors based on the variation of the luminescence properties of NWs upon the adsorption of the
32 target species are recently receiving considerable interest due to their potential performance in
33 sensitivity²⁷. However, the luminescence quenching of Si NWs for genomic recognition is still
34 unexplored and detailed studies on the sensing mechanism based on the light emission by NWs upon
35 DNA capture are lacking. Over the years we focused our efforts on the realization of room
36 temperature light emitting Si NWs by metal assisted chemical etching, using thin gold layers²⁸⁻²⁹.
37 This growth method is based on a low cost process fully compatible with complementary metal-
38 oxide-semiconductor (CMOS) technology and suitable for mass production. By mastering the process
39 parameters, we demonstrated the realization of high-density array of vertical Si NWs with tunable
40 structural properties.

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48 In this paper, we report the first example of direct genome detection without any amplification step
49 (*PCR-free*) and without any label (*Label-free*) in a Si NWs optical biosensor. The proposed strategy
50 employs a chemical approach combining Si NWs with a cooperative *in situ* hybridization of two
51 specific probes, chemically grafted onto the surface, with the genome double strand.

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60 We tested the performances of the sensor using the Hepatitis B virus (HBV) genome. HBV infects
today over 3 hundred million people worldwide and it is one of the major causes of liver diseases and
liver cancer³⁰⁻³¹. This addresses the choice of HBV as a designed target for testing our Si NWs optical
sensor. The impressive results achieved in sensitivity (2 copies per reactions) are well beyond those

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3 achieved even by PCR and pave the way to future development of a new class of point of care devices,
4 realized at low cost and compatible with Si technology to be used in non-specialized environments.
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9 **Experimental**

10 *Chemicals and Materials*

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12 Commercial n-type doped silicon wafers was purchased by Siegert Wafer. Hydrofluoridric acid (HF)
13 50%, isopropanol, gold etchant solution, phosphate-buffered saline (PBS) buffer tablets, (3-
14 Glycidyloxypropyl)trimethoxysilane and molecular biology reagent-grade water were purchased by
15 Sigma-Aldrich. The hybridization buffer solution is an aqueous solution of 20mM sodium phosphate
16 buffer (PBS), 1M NaCl (considering NaCl also from PBS tablet), 5.2 mM KCl, 0.1% Tween 20, 2x
17 Denhardt's solution, Salmon sperm DNA 2 $\mu\text{g}/\text{ml}$ in molecular reagent-grade water. Hepatitis B virus
18 (HBV) clone complete genome (HBV clone analytical sample) was purchased from Clonit (ref.
19 05960467) and consists in the entire genome 3.2 kbps and a plasmid PBR322 vector 3.8 kbps
20 provided in a TE (Tris 10 mM, EDTA 1 mM, pH = 8) solution. Micobacterium Tuberculosis (MTB)
21 clone complete genome was obtained from Clonit (ref. 05960564) provided in a TE (Tris 10 mM,
22 EDTA 1 mM, pH = 8) solution.
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25 Analytical HBV genome samples (2, 20, 200, 2000, 200000 copies/reaction) were prepared by
26 diluting the starting clone solution (10^6 copies) in deionised water. HBV genome extraction from
27 human blood (extracted real HBV genome sample) was carried out using Qiagen QIAamp DNA Mini
28 Kit (Ref. 51306), following the Instructions for Use. The experiments were realized in compliance
29 with the Guideline MM13-A: Collection, Transport, Preparation, and Storage of Specimens for
30 Molecular Methods, by Clinical and Laboratory Standards Institute (CLSI), recognized as consensus
31 guideline by the U.S. Food and Drug Administration (FDA). The extraction processes were executed
32 in laboratories certified ISO 9001 and ISO 13485. The mock sample (mock HBV sample) were
33 prepared by spiking different amount of HBV clone (20, 200, 2000 cps/reaction) in serum sample.
34 Extracted real HBV genome samples (20, 200, 2000 copies/reaction) were prepared by diluting the
35 starting solution (10^6 copies) in deionised water.
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51 *Silicon Nanowires Synthesis*

52 Silicon NWs are grown by Metal Assisted Chemical Etching (MACE)³². Commercially available
53 silicon wafers were first oxidized by UV ozone treatment for 2 min and then immersed in a 5%
54 hydrofluoric acid watery solution for 5 min in order to obtain an oxide-free surface. Subsequently, a
55 thin discontinuous gold layer of 2 nm was deposited onto the oxide-free silicon surface by electron
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3 beam evaporation (EBE) (Kenosistec apparatus) performed at room temperature in an ultra-high
4 vacuum chamber ($<1 \cdot 10^{-8}$ mbar). After the EBE deposition, the samples present nanometric areas of
5 silicon not covered by gold. When the deposited samples are immersed in an aqueous solution of
6 hydrofluoric acid HF (5 M) and hydrogen peroxide H_2O_2 (0.44 M) the gold acts as catalyst promoting
7 the local oxidization only underneath the metal covered regions. The newly formed Si oxide is
8 selectively etched by the HF causing the sinking of the metal mesh into the wafer and the subsequent
9 formation of Si NWs in the remaining uncovered Si regions. Each step of the process is performed at
10 room temperature preventing the diffusion of the gold catalyst into the nanostructures. Finally, the
11 gold layer is removed by using a selective gold etchant solution for 1 min. Unlike other processes,
12 such as vapour-liquid-solid (VLS) approach, this optimized MACE allows for the realization of
13 quantum confined Si NWs²⁹. Moreover, it is a low cost, fast and industrially compatible approach
14 that allow to process large area wafers with high throughput and a fine control over the doping and
15 structural properties (radius, length, density) of these Si NWs.
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28 *Si NWs Functionalization Protocol*

29 The as-grown Si NWs were treated with by a three process step: (1) cleaning step, (2) vapor phase
30 silanization process and (3) probe anchoring steps.
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32 1) the samples were firstly cleaned for 2 min in an isopropanol bath, rinsed in water for other 2 min,
33 then exposed to UV ozone treatment for 5 min and finally washed in water (2 min) and dried by
34 nitrogen flow at room temperature. This cleaning procedure guarantees the removal of any biological
35 contamination from the Si NWs promoting the uniform formation of an external layer of silicon oxide.
36 Indeed, the SiO_2 layer improves the hydrophilicity of the NWs surface which is an advantage for the
37 functionalization²⁷.
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43 2) the cleaned surface samples were chemically modified by (3-Glycidyloxypropyl)trimethoxysilane
44 (GOPS) layer. At this scope, the samples were exposed to a 10 ml of GOPS at 120 °C for 4 hours in
45 a low vacuum chamber (200 mbar).
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48 3) after cooling at room temperature the silanized samples were immersed for 4 hours in the aqueous
49 probe solution, (each probe P1 and P2 with a concentration value of 20 μ M), in phosphate buffer 150
50 mM at pH 9.2, at temperature of 30 ± 1 °C³³. After that, the samples were washed three times with
51 molecular biology reagent-grade water in order to remove all the unbound probes and dried by
52 nitrogen flow. The samples were stored at room temperature until the use.
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HBV Genome Hybridization

We used a volume of 100 μL of HBV solution applied onto the reaction area of $3 \times 3 \text{ mm}^2$ of the P1/P2-modified-NWs sensor. First of all, we performed the HBV genome denaturation by heating the sample for 4 min at a temperature of 90 $^\circ\text{C}$ with the HBV genome solution containing different genomes copies. The hybridization reaction was performed by heating the samples for 2 hrs at $50 \pm 0.1^\circ\text{C}$. Then the samples were washed three times in molecular biology reagent-grade water and dried with a nitrogen flux. The cross-reactivity of our system assay was investigated by using unspecific target consisting in Mycobacterium tuberculosis clone (MTB complete genome purchased from Clonit (ref. 05960564)) with the same protocol.

Characterization Methods

Morphological and structural characterization of the NWs samples are performed by using a Zeiss Supra 25 field-emission scanning electron microscope. Raman measurements were performed focusing an He laser beam tuned at 633 nm onto the NWs samples through a 50X LWD objective (NA = 0.5) and a 100 objective (NA = 0.9) with powers of about 1.5 mW measured on the sample surface. Attenuated Total Reflectance Infrared (ATR-IR) spectroscopic analysis was carried out on as prepared Si NWs and after each functionalization step. IR spectra were acquired in Transmittance mode on a SpectrumTwo PerkinElmer FT-IR spectrometer equipped with a diamond crystal (wavelength range $4000 - 500 \text{ cm}^{-1}$, resolution 2 cm^{-1} , 16 scans). The PL emission by Si NWs after each step is measured using a HR800 Horiba-Jobin Yvon micro-spectrometer in the back-scattering configuration. The PL spectra are acquired using the 364 nm line of an Ar^+ laser, focused on the samples through a 60xUV Olympus objective (NA = 0.9) and used also to collect the emitted light from the samples surface. The PL were performed on the dried sample and the laser spot has a diameter of about 1 μm . For all the measurements the excitation power was maintained fixed at 100 μW measured onto the sample. To verify the confidence of the obtained results we performed ten PL measurements on the same sensor and repeated them for five different Si NWs sensors with the same HBV concentration to attest the repeatability and stability of the results.

Results and discussion

The Si NWs substrate used in this work was prepared according to the previously described method³⁴, producing an ultra-dense forest of vertically aligned wires (about 10^{12} NWs/ cm^2) with length of 3 μm . The scanning electron microscopy (SEM) cross section image of the Si NWs substrate is shown in Figure 1a.

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3 The scheme of the biochemical strategy used for the cooperative hybridization is sketched in Figure
4 1c-e. More in details, two specific HBV single strand oligonucleotide probes (P1 and P2) were
5 chemically grafted on the Si NWs surface through a treatment with (3-Glycidyloxypropyl)
6 trimethoxysilane (GOPS) producing an epoxy-terminated Si NWs surface able to covalently react
7 with amino-terminated P1 and P2 probes. The surface functionalization with GOPS molecules has
8 been chosen since it is a well known method available in silicon industry which guarantees a stable
9 functionalization³⁵. The two P1 and P2 probes anchored to the Si NWs surface are then able to
10 cooperatively hybridize the two complementary HBV genome strands, according to the sketch
11 depicted in Figure 1d. The final system consists in a Si NWs optical sensor whose genome capture
12 ability is based on cooperative hybridization and whose sensing mechanism is based on the
13 photoluminescence (PL) quenching of Si NWs upon the molecular genome recognition (Figure 1e).
14 In order to investigate the performance of the Si NWs sensor, our study started with the test of
15 analytical samples consisting in HBV clone (7144bps) in a concentration range typically used for the
16 calibration curve in the quantitative real time -PCR analyses (2, 20, 200, 2000, 10⁵ copies/reaction).
17 To confirm the effectiveness of the cooperative hybridization as an appropriate capture mechanism,
18 we first tested the actual presence of the specific HBV genome by Raman measurements. Figure 2a
19 shows the Raman spectra of as prepared Si nanowires (green line), the same NWs sample after the
20 functionalization procedure with P1 and P2 probes (Si NWs sensor, red line) and after HBV
21 adsorption protocol (Si NWs sensor with HBV, blue line), in the spectral range of interest for genomic
22 recognition. The spectroscopic study performed step by step well attests the success of the
23 functionalization protocol and the presence of HBV in the Si NWs sensor. In particular, the
24 characteristic features associated with amide-I band (1560-1600 cm⁻¹), amide III band (1200-1300
25 cm⁻¹) of HBV genome³⁶ and the strong double peak at 1440 cm⁻¹ and 1460 cm⁻¹ due to the C-H
26 deformation typical of biomolecules are distinguishable from the spectrum of Si NWs sensor with
27 HBV. We also compared this vibrational pattern of the NWs sensor with HBV (blue line) to the
28 Raman spectrum of the same DNA drop casted on a Si substrate and then dried (procedure repeated
29 5 times on the same point) shown as black line in Figure 2a. It is worthy to note that we can recognize
30 the same spectroscopic contributions, although the better-defined Raman signal coming from HBV
31 in NWs sensor (blue line in Figure 2b) is affected by small shifts and different relative intensities
32 ratio of the Raman bands which lead to a more evident convolution of the spectroscopic features
33 compared to those revealed in Figure 2a. This is due to the different conformations of HBV copies in
34 the two samples: a less chaotic one assumed when HBV is captured by the Si NWs sensor with respect
35 to those assumed by the same genome when it is drop casted several times on Si substrate, on which
36 it forms a sandwich layer.

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3 To further support the conclusion of the Raman characterization on the DNA capture from our Si
4 NWs sensors we have performed Attenuated Total Reflectance IR (ATR-IR) spectroscopy.

5 IR spectra relevant to as prepared Si NWs (green curve) and functionalized NWs after GOPS
6 silanization (magenta line), Si NWs sensor (red line), Si NWs sensor with HBV (blue line) are shown
7 in Fig. 2c,d,e,f respectively. As prepared Si NWs present a surface oxide layer, as demonstrated by
8 the bands identified at $\approx 1070\text{ cm}^{-1}$ with a small shoulder at $\approx 1200\text{ cm}^{-1}$. These two represent the Si-
9 O asymmetric stretch (AS) modes. As expected, the silanization process led to a remarkable decrease
10 in ATR-IR% of asymmetric Si-O stretching modes (magenta line). Moreover, weak bands relevant
11 to asymmetric and symmetric C-H stretching at ≈ 2945 and 2875 cm^{-1} can be identified and attributed
12 to alkyl chain of GOPS. In the NWs sensor (figure 2e), the anchoring of DNA probes occurs and can
13 be visualized in the IR spectrum (red curve) in terms of the decrease in ATR-IR% of C-H stretching
14 modes and their slight shift to lower wavenumbers as for the formation of a more packed layer.
15 Additionally, the presence of O-H stretching at $\approx 3390\text{ cm}^{-1}$ is indicative of a certain hydration of
16 single stranded DNA. ATR-IR analysis confirmed the successful hybridization of DNA probes in
17 figure 2f for NWs sensor tested with HBV. In fact, the intense bands between 1740 and 1650 cm^{-1}
18 can be undoubtedly ascribed to C=O, C=N stretching, and exocyclic $-\text{NH}_2$ bending vibrations of the
19 DNA bases (orange asterisk). Moreover, purine ring mode was identified at 1460 cm^{-1} (brown
20 asterisk). Unfortunately, the characteristic signals such as the stretching modes of PO_2 group related
21 to the DNA phosphodiester backbone ($1080, 1230\text{ cm}^{-1}$) could not be discriminated due to the Si-O
22 stretching bands. However, the slight shift to lower wavenumber and the significant decrease in ATR-
23 IR% of O-H stretching band indicates the capture of HBV DNA in figure 2f.

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39 Once demonstrated the capture of HBV DNA, we tested the sensors by measuring the variations in
40 optical emission as a function of copies/reaction. Figure 3a shows a comparison of the room
41 temperature photoluminescence (PL) spectra of the Si NWs sensors tested in a buffer solution
42 reported for different numbers of HBV clone copies/reaction (cps) ranging from 2 to 10^5 cps. The
43 spectra are characterized by a broad PL band peaked at 700 nm, which is the typical emission of the
44 Si NWs under the excitation at 364 nm with a laser power of about $100\text{ }\mu\text{W}$ due to the quantum
45 confinement effect³⁷. All the PL spectra were obtained at room temperature and are the average of
46 ten PL spectra in different points of the same sensor achieving the same experimental results within
47 the considered errors. To verify the correctness of the obtained results we performed analogue PL
48 measurements on five Si NWs sensors, attesting the repeatability of the results. The black spectrum
49 is the signal of the sensor (with probe P1 and P2) immersed in a buffer solution without any copy of
50 HBV and it represents our reference signal. The decrease of the PL signal is clearly visible by
51 increasing the number of the HBV cps from 2 to 2000. It is evident that already at 2 cps the signal
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3 shows a clear quenching. Above 2000 copies/reaction and up to 10^5 cps the sensor does not show any
4 more a clearly distinguishable PL variation and so it reaches a saturation state. The interaction
5 between the HBV and the functionalized NWs determines the quenching of the PL signal used as
6 detection mechanism.
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10 The effect of a biological matrix and its possible interferences can be assessed by testing the Si NWs
11 sensor with HBV clone genome dissolved in human serum (Figure 3b) instead of the buffer solution.
12 These spectra are characterized by two multi-peaked bands at 700 nm, due to the Si NWs emission²⁹
13 and in the range 400-600 nm, respectively. By comparing the PL spectra of these Si NWs sensor in
14 the case of the testing in buffer solutions (Figure 3a) with those in human serum solution (Figure 3b),
15 it is reasonable to attribute the emission of the broad multi peaked band at 400-600 nm to the human
16 serum matrix effect. To confirm this hypothesis, a Si wafer (without NWs) was tested with a solution
17 composed by human serum without HBV and the same broad multi peaked band 400-600 nm was
18 observed (data not showed).
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25 Figure 3c reports as blue dots an analysis of the experimental data, i.e. the PL intensities variation of
26 the Si NWs sensor tested in a buffer solution as a function of the HBV copies (Figure 3a), integrated
27 from 550 to 850 nm and normalized to the reference signal in buffer. The red dashed region is for
28 these points the PL signal of the sensor tested in the buffer solution without HBV and it represents
29 therefore the reference sensor signal. From the data reported in Figure 3c, the Si NWs sensor shows
30 a limit of detection (LoD) of 2 HBV cps in buffer detectable without any kind of amplification of the
31 pathogen genome. In fact, the difference of the 2 copies from the reference is of about 19% and hence
32 clearly distinguishable. By increasing the number of HBV copies/reaction by one order of magnitude,
33 a relative PL reduction by a further 20% is noted. Above 2000 cps and up to 10^5 cps a PL variation
34 of only 4% attests that the saturation trend is reached. It is remarkable that the obtained LoD of 2
35 copies per reaction is even lower than that declared by quantitative real time PCR corresponding to
36 10 copies/reaction³⁸⁻³⁹.
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46 In Figure 3c, as orange dots, the integrated PL signals of the NWs sensors tested with HBV dissolved
47 in human serum are reported as a function of the number of HBV clone cps. The PL are normalized
48 to the reference signal obtained in a serum matrix without HBV represented by the red dashed region.
49 The PL integrated signals were calculated by de-convolving the NWs peaks in Figure 3b subtracting
50 the part of the signal coming from serum and considering the Gaussian area ascribed only to the NWs
51 PL emission at around 700 nm (integrated between 550 and 850 nm). The integrated signal obtained
52 by the reference in human serum is a 1.23 factor lower than the signal obtained in buffer. In order to
53 compare the two trends in different fluid matrices the dash red bar in figure 3c is the reference signal
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3 of the sensor obtained in their respective matrix (buffer or human serum) without any copy of DNA
4 plotted to the same value of 1.

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6 This graph clearly attest that the PL signal variation of the NWs sensor as a function of HBV
7 concentration is the same in the two matrices. In fact, as is possible to observe, the orange (test in
8 serum) and blue (test in buffer) dots overlap at the same values. Thus, the PL quenching percentage
9 is identical when the same genome is detected, independently of the fluid matrix.

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11 This is a demonstration of the selectivity which is crucial for a real medical sensor and of the
12 capability of the sensor to correctly operate in a complex real matrix.

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14 A crucial point for a real use in the medical field is the selectivity. To address this point, the Si NWs
15 sensor was hence tested in presence of 2000 cps of unspecific genome consisting in Mycobacterium
16 Tuberculosis (MTB) both in buffer (green square) and human serum (violet triangle), as shown in
17 Figure 3c. The PL signal of 2000 MTB cps measured in buffer and in human serum is about the same
18 of their respective reference signals of the sensors, confirming that no hybridization occurred. This
19 experimental evidence proves that the Si NWs sensor is highly selective for HBV demonstrating huge
20 potentiality for applications.

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22 For real medical use, the performance assessment of the Si NWs sensor with real samples is a
23 crucial point to be addressed. To further investigate this point, we tested the device by using a real
24 HBV genome extracted from a blood sample. The sample was selected to assess the effectiveness of
25 our sensor towards the real HBV genome that differs from the synthetic clone in the length: 7144 bps
26 for HBV clone *versus* 3300 bps for real genome. Figure 4 reports the results obtained for an extracted
27 HBV real sample tested in buffer. The black curve in Fig. 4a is the PL spectrum of the sensor in the
28 buffer without any HBV copy (reference signal of the sensor with P1 and P2). By increasing the HBV
29 copies/reaction number from 20 cps to 2000 cps, a decrease of the PL signals is observed, clearly
30 distinguishable from the reference already from 20 copies/reaction. This value can be considered the
31 LoD of the Si NWs sensor under testing conditions close to the real case. Figure 4b reports the PL
32 intensities of the Si NWs sensor tested with real HBV as a function of the real HBV copies, integrated
33 from 550 to 850 nm and normalized to the reference signal. The reference signal in the buffer is
34 reported as a red dashed region. It is noteworthy that this Si NWs sensor is able to detect the real
35 HBV genome extracted from human blood with an efficiency comparable to the real time PCR (20
36 cps/reaction), even if its length is about half of the analytical sample.

Conclusions

In this work we describe the direct genome detection without any amplification step (*PCR-free*) and without any label (*Label-free*) based on the PL quenching of a Si NWs sensor. The platform, tested with HBV genome, has proven to reach a LoD of 2 copies/reaction for synthetic genome in a buffer solution and 20 copies/reaction for genome in real samples. Particularly relevant is the ability of the Si NWs optical sensor to detect without any label and amplification step the complete genome in a biological matrix at high complexity, like serum, where there is the presence of a huge amount of possible interfering molecules, such as proteins or nucleic acids. The designed strategy based on cooperative hybridization for the DNA capture and on Si NWs quantum confinement luminescence quenching for detection, guarantees both specificity and versatility being considered for general purpose. In fact, by changing the probe type in the functionalization protocol it is possible to realize a sensor for the specific detection of different genomes. The sensitivity, easy detection method and the low manufacturing cost fully compatible with standard silicon process technology permits the development of competitive miniaturised device for genome analysis. All these points are key factors for the future development a new class of genetic point of care devices reliable, fast, low cost, easy to use for self-testing including the developing countries.

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Figures

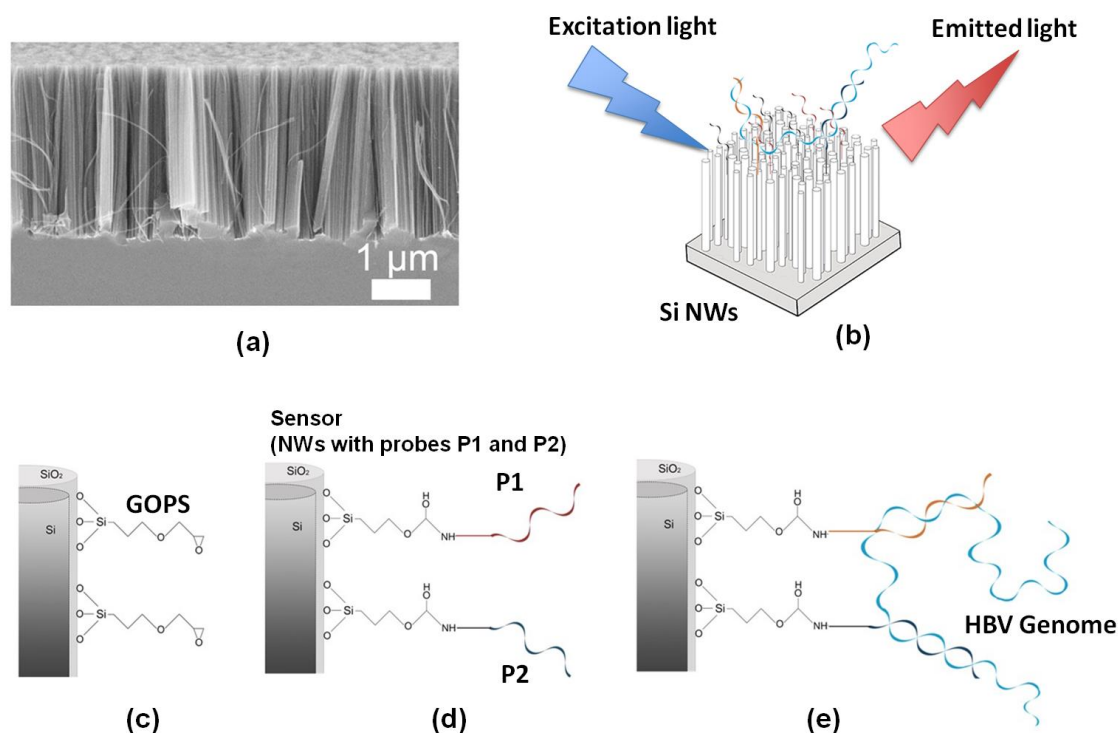


Figure 1: a) Cross section SEM image of a Si NWs vertical array with length of about 3 μm. b) Schematic of the concept of the NWs optical sensor. Schematic illustration of the NWs surface functionalization for HBV cooperative hybridization recognition: c) silanization of the Si NWs surface with GOPS after the cleaning procedure, d) NWs sensor reference obtained after the anchoring of two specific complementary probes for HBV probes P1 and P2 and e) capture of HBV by the probe P1 and P2 due to cooperative hybridization.

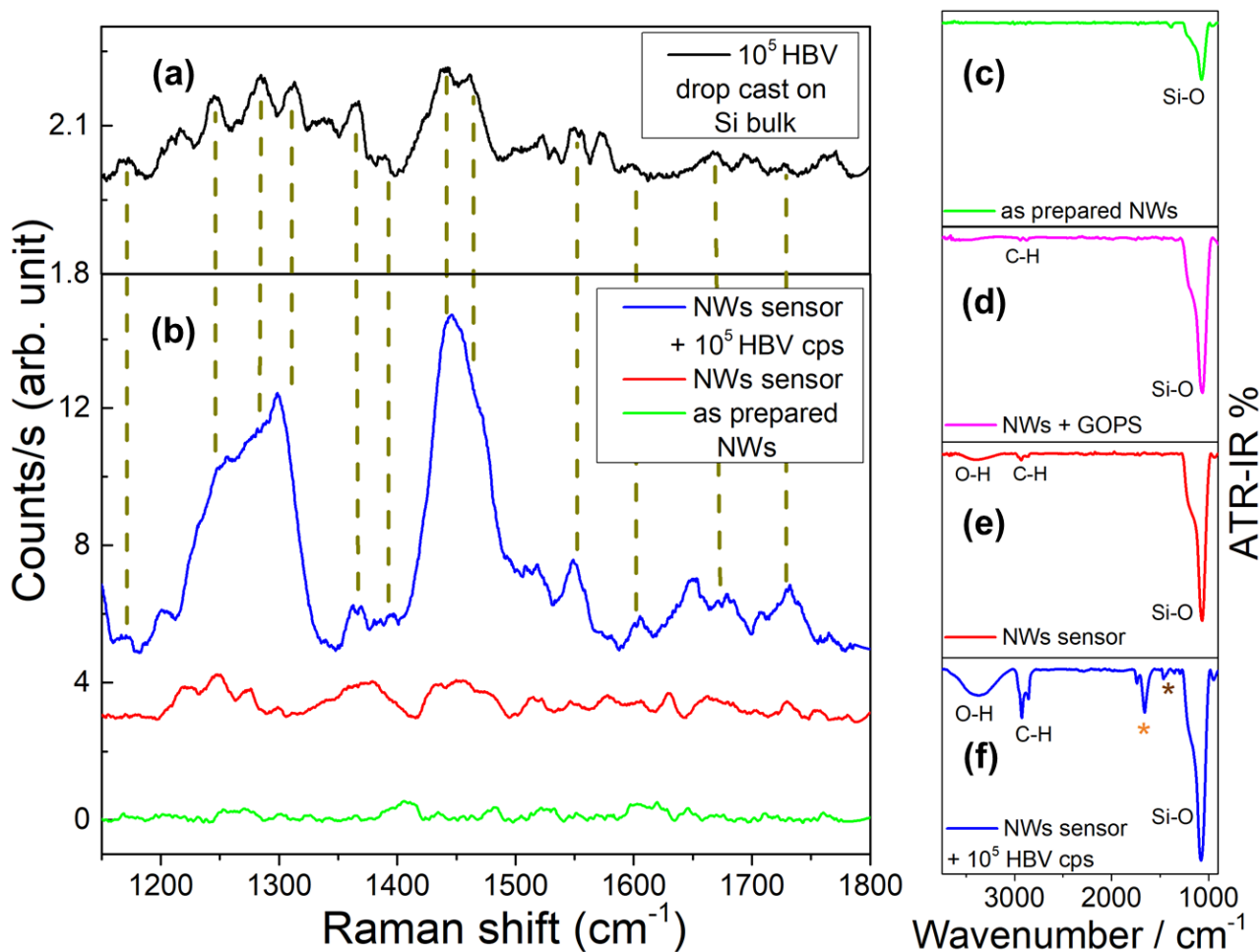


Figure 2: a) The Raman spectrum of 10⁵ HBV cps drop casted (5 times on the same point) onto Si bulk wafer without any functionalization is shown in black as a reference for the Raman peak identification of the presence of HBV DNA. b) Raman spectra of as-prepared Si nanowires (green line), NWs sensor after the functionalization procedure (red line) and after the adsorption protocol with 10⁵ HBV clone cps tested in buffer (blue line) are shown in the spectral range of interest for HBV recognition. In figure 2 are reported the ATR-IR spectra relevant to c) as prepared Si NWs (green curve) and d) functionalized NWs after GOPS silanization (magenta line), e) Si NWs sensor (red line), f) Si NWs sensor with HBV (blue line).

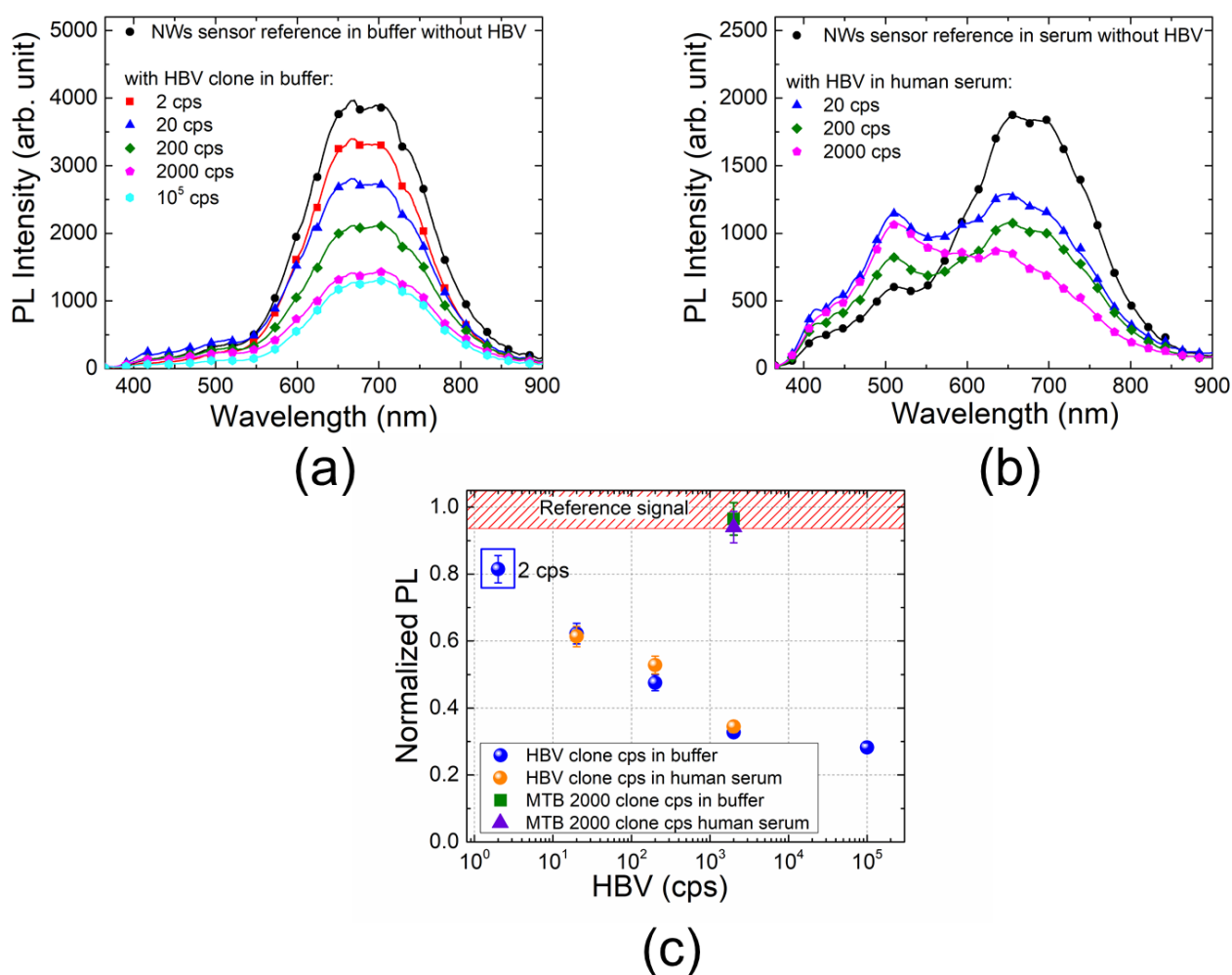


Figure 3: PL spectra of the NWs sensors reported for different HBV clone concentrations tested in buffer solution and human plasma are reported in a) and b), respectively. All PL measurements were performed ten times on the same sensor and repeated them for five different Si NWs sensors with the same HBV concentration to attest the repeatability and stability of the results.

The PL reference spectra of the NWs sensors without any copies of HBV are shown in black for both (a) buffer and (b) human serum solutions. The trend of the PL integrated peaks of the NWs sensors tested as a function of HBV clone concentration in buffer (blue dots) and in serum (orange dots) are shown in figure 3(c). For each HBV concentration, the PL integrated peaks are normalized for each reference signal (red dashed region) of the sensor. The green square shown in figure (c) is the PL integrated peak (normalized to the buffer reference signal) of the NWs sensor tested for 2000 MTB clone cps in buffer, while the violet triangle is the PL integrated peak (normalized to the human serum reference signal) of the NWs sensor tested for 2000 MTB clone cps in human serum.

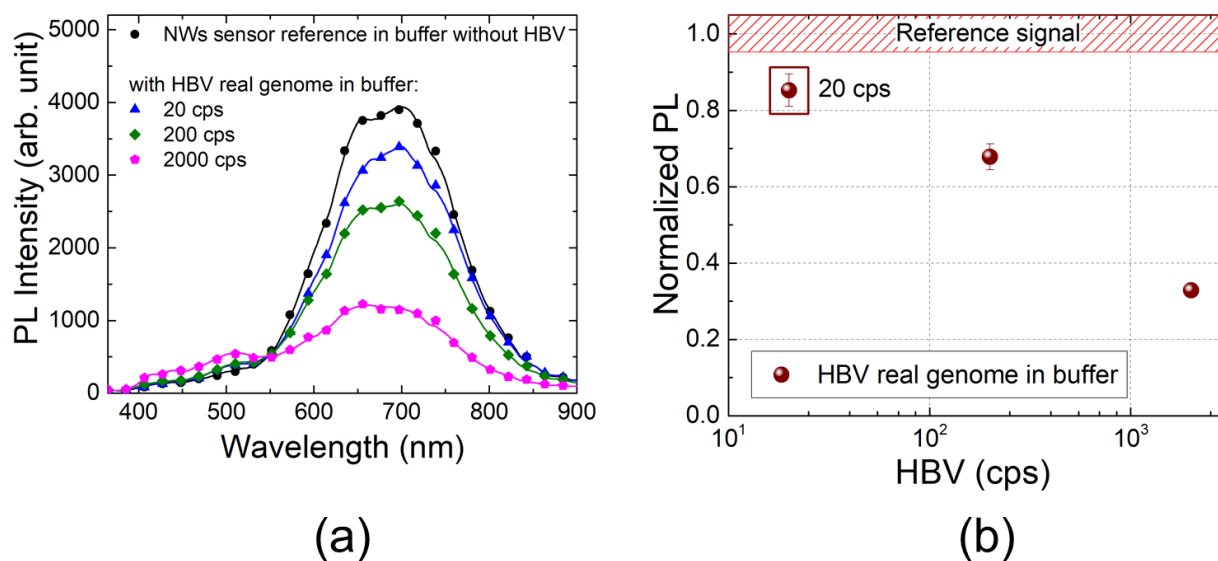


Figure 4: a) PL spectra of the NWs sensor tested in HBV real genome extracted from infected human blood and spiked in buffer reported for different concentrations ranging from 20 cps up to 2000 cps. The PL reference of the sensor without any copies of HBV is shown in black. b) Trend of the PL integrated peak of the deconvolved NWs PL emission as a function of real HBV genome concentration normalized to its reference signal (red bar) obtained by the buffer solution without any real HBV copy.

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