# Private Drivers Identification based on users' routine

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*Abstract*—This paper presents Private Secure Routine (PSR) as a paradigm with two main objectives: i) identify drivers depending on their habits/routine and ii) keep private drivers' data. We implemented PSR exploiting the secure Multi-Party Computation (MPC) technique against a honest-but-curious attacker model. Moreover, we evaluated PSR by establishing its accuracy in combination with other existing research works based on machine learning techniques. Evaluation of PSR is performed on different test-beds, considering single-owner and two-owners identification.

*Keywords*-Driver identification, Privacy, Machine learning

#### I. INTRODUCTION

Intelligent Transport System (ITS) integrates information and communication technologies into road transportation and offers applications [1] to users such as road safety, traffic efficiency and services.

In this paper, we focus on *authorized drivers identification*. An *authorized driver* for us is a family member, or customers of a car sharing company that is licensed to use the car, i.e., he/she signed an agreement or got explicit authorization to use the car. Driver identification may lead several advantages to the driver such as, customized services, possible discounts, e.g., related to insurance, and it can also be exploited to discourage car theft: in 2020 New York City and Los Angeles have seen a soaring of car thefts [2].

This paper proposes Private Secure Routine (PSR) paradigm for drivers identification that distinguishes authorized drivers of a car from the others in a privacy preserving way. PSR identifies drivers using cars' sensors data gathered, for instance, using OBD-II [3] interface or directly from the CAN bus [4] of the vehicle. Through the data sharing within an ITS architecture, PSR is able to build an accurate model of authorized drivers. PSR exploits the secure Multi-Party Computation (MPC) technique to guarantee that drivers' data are exchanged in a privacy preserving way. We experiment the Private Secure Routine paradigm on two different test-beds and the results are quite promising. As far as we know, PSR is the first algorithm that identifies drivers maintaining private the models and the data of vehicles. PSR exploits the computation units of the RUs so vehicles do not need powerful devices on board.

In literature there are other solutions based on machine learning and neural network techniques for driver identification. Martinelli et al. compared different Decision Trees algorithms on dataset  $\Theta$  using all features on the research [5] and using only the six best features in [6]. Authors obtained up to 99,2% of precision and recall using J48. Uvarov et al. [7] verified how accurate can be driver identification models using only public sensors' data available with every OBD-II dongle. Authors best result is 79% of accuracy using RF in multi-driver identification whereas on the owner identification problem authors obtained 99% of accuracy.

*The paper is structured as follows:* Next section presents the background about Neural Network and §III describes the reference architecture on which Private Secure Routine works and depicts the attack models. §IV presents the main steps the Private Secure Routine algorithm at design level while §V at implementation level. §VI reports the results of the PSR experiments and §VII concludes the paper.

## II. NEURAL NETWORK AT A GLANCE

Neural Networks (NNs) [8] are computing systems that tries to resemble a human brain to perform a specific task. They are composed of simple process units, *neurons*, that resembles the human neurons to constitute a network. Each neuron can receive input information as weighted signal from other neurons of the network through links. The weighted inputs of a neuron are combined with an extra element called *bias*. Weights and bias are called *parameters*. The output of the neuron is the result of the *activation function* that limits the range of output values of the neuron.

The structure of a NN is called *network architecture* and describes how the neurons are arranged and linked. Networks are organized in layers and are distinguished by the number of layers in *single-layer network*, i.e., network with only one computational layer, and *multi-layer* that has an input layer, an output layer and one or more intermediate layers known as *hidden layers*. The input layer is not a computational layer because neurons belonging to this layer does not perform any computation.

Hence, to define a NN architecture we need to define the number of layers, number of neurons of each layer, the links between neurons, the combiner function and the

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activation functions of the neurons. Then, the parameters of NN are established through a learning process [9] starting from the definition of a dataset. A dataset is a collection of data, represented by means of a table, that contains samples regarding the task. The rows of the table are called *instances* and the columns are the *features* of each instance. The dataset is split into two partitions: the *training dataset* and the *test dataset*. *Training dataset* represents all instances adopted to make experience and evolve the model. Instead, instances of a *test dataset* is employed to verify how much accurate the model is in doing the predictions.

The learning phase recalls the following five steps: i) The parameters of NN are randomly initialized; ii) The network is run with data of the training set as input; iii) Using a *loss function*, the output of the network, i.e., the predictions are compared with the expected answer, i.e., the labels, obtaining the *loss* value. This indicates how bad the network is to make predictions. iv) The parameters are corrected according to the loss value by using an *optimizer* [10] that searches the best parameters minimizing the loss value. The correction is limited by a *learning rate* multiplier. v) The steps from ii) to iv) are repeated depending on training preferences, e.g., training accuracy.

To make the training faster, the training set is split in *batches*. Each optimization step is executed on instances of a batch: once an optimization step is applied to all training data, an *epoch* is completed. The epochs [11] are the number of times the training data are processed.

#### III. PRIVATE SECURE ROUTINE

Private Secure Routine (PSR) is a paradigm to identify authorized drivers belonging to the same vehicle in a privacypreserving manner. Private Secure Routine is built on top of the Secure Routine (SR) paradigm [12]. The advantages of PSR with respect to SR are twofold:

- PSR distinguishes among several authorised drivers depending on their routine. While SR is able to identify only one authorized driver for a target vehicle, i.e., the owner of the vehicle, PSR identifies more than one authorized driver for a target vehicle.
- PSR guarantees that information about drivers and vehicles are exchanged in a privacy-preserving way.

## *A. Private Secure Routine infrastructure*

The PSR paradigm takes as input all pieces of information about drivers and vehicles circulating in the infrastructure and generates models of each driver in each vehicle using *Secure Multi-Party Computation* (MPC) [13].

Secure Multi-Party Computation is a cryptography technique that involves  $n$  parties, where each party  $i$  holds the input  $x_i$ , and all participants want to compute a function  $f(x_1, x_2, ..., x_n)$  maintaining private each party input. The function  $f$  in a secret sharing scheme is randomly split into n secrets, named *shares*, in such a way that certain subsets of shares can be used to reconstruct the secret and others reveal nothing about it.

We model the transportation infrastructure, which we define as Private Secure Routine infrastructure, on three different layers that communicates one another (Figure 1).



Figure 1: Communication Layers in the PSR infrastructure

The *Ground layer* is composed by *drivers* and *vehicles*. Modern vehicles are equipped with devices such as the *In-Vehicle Infotainment* (IVI) system able to collect, store and communicate information generated by car sensors. While a driver uses the vehicle, this one requests and stores pieces of information about the driver during its usage. Such data are collected via OBD-II [3] or the CAN bus [4] protocol, which transport the data related to vehicle's sensors. Using these data, a *dataset* is generated. It is represented as a table in which all instances referred to a driver are collected. An instance is made of timestamp recorded with the following template: (day, month, year, hour, minute, second and day of the week) and pieces of information about vehicle's components, e.g., oil engine temperature, throttle position, speed and so on. Note that, each vehicle belonging to this layer has an unique identifier "ID", which is represented as a random string, that we employ as data addressing during the model training phase that we show in Section IV.

The *Fog layer* contains all the *Roadside Unit*s (RUs) able to collect and process information coming from the ground layers. RUs are the computational party acting as a bridge between peers of the ground and cloud layer and between vehicles connected to different RUs. In addition, RUs are in charge of share data among entities in a privacy-preserving way by running the MPC technique. Still in this layer, RUs are involved by vehicles to retrieve needed information to train and create drivers' model as described in Section IV. Furthermore, as for vehicles even roadside units have a single identifier "ID" that we use as data addressing during the model training phase.

The *Cloud layer* provides cloud storage resources maintaining a database for the association between drivers and vehicles. Moreover, cloud resources send to RUs the labels for the training of models and also they store roadside units and vehicles public keys.

In this work, we consider the cloud and the vehicles as untrusted entities: their intent is to obtain the data of other vehicles. Instead, RUs are trusted entities, i.e., Trusted Third Party (TTP). If one or more RUs are compromised, the vehicles' data and models are kept private by using MPC. Moreover, we assume that all communications among layers happen through secure channels. This will overcome possible active attacks. Also, we use an asymmetric cryptography protocol [14]. The cloud layer publishes the public keys of all the RUs to the Internet.

# *B. Attack Model*

The cornerstone of Private Secure Routine are the pieces of information about drivers that circulate among the entities belonging to one of the three layers of the depicted scenario. Thus, a possible attacker can play the following attacks:

- Honest-but-Curious (HBC): Also known as Passive Attack; an attacker may exploit the information legitimately gleaned by capturing information exchanged among the three layers of the infrastructure, but he/she will not perform any malicious activity to harvest it.
- Fully Malicious (FM): Also known as Active Attack; an attacker is able to change drivers' information to alter the capability of PSR to identify the drivers. So, the attacker strategy is to succeed in at least one of the following attacks: i) *Impersonation attack* in which attacker forges or alters driver's information that are considered valid by the recipient; II) *Sniffing attack* where the attacker reads the content of any messages exchanged among the layers.

## IV. MODEL GENERATION

To identify drivers in a vehicle, PSR creates a model able to identify each driver of a target vehicle that circulates on the PSR infrastructure. Model generation depends on different situations that can occur and involve both drivers and vehicles. Hereafter, we highlight three different scenarios and for each of them, we explain how PSR identifies drivers in the infrastructure presented above.

In the PSR paradigm we know that drivers' routines are not fixed, they can evolve over time [15], e.g., a mother who takes for the first time her child to school. Also, drivers' style can be different in particular situations, e.g., heavy rain, snowfall, and so on. If driver identification should fail, a vehicle can use a traditional authentication method e.g., password, voice recognition, and so on, as a fallback method to identify the driver. When a fallback method, out of scope of this paper, is used, a vehicle labels the recent sensors' data as belonging to the authenticated driver. Even if traditional authentication methods fail, sensors' data are labelled as belonging to a non-authorized driver. Moreover, the PSR paradigm transparently authenticates the driver, i.e., PSR continuously compares the actual driving style with its model. Each vehicle periodically issues a new training model to improve the learning of new routines and driving styles.

#### *A. A new vehicle joins the PSR infrastructure*

When a driver  $d_i$  with her vehicle  $v_i$  join the PSR infrastructure for the first time, the creation of a new model is needed to identify  $d_i$ . To do this, our PSR requires that the vehicle collects data from its sensors related to driver  $d_i$ . Upon the collection of these data, the vehicle  $v_i$  generates two labels: one label  $l_i$  tied to driver  $d_i$  and another label  $l_{other}$  to consider data for other drivers different from  $d_i$ that may use vehicle  $v_i$ . The collected data will be sent to the RUs that will be in charge to train the model for  $v_i$ .

Hereafter, we detail how data are collected, shared with the PSR infrastructure and how the model is trained.

Model Initialization: A vehicle does not share the labels directly with the cloud layer. So,  $v_i$  first contacts the nearest roadside unit to share its labels and its ID (Step 1 in Figure 2). When the RU receives  $l_i$ ,  $l_{other}$  and the vehicle's ID from  $v_i$ , it may forward the labels and the ID to the cloud layer that can grab sensitive information belonging to  $v_i$ , e.g., the geographical position of the nearest RU may provide indication about the vehicle location. To avoid this, the roadside unit close to  $v_i$  sends the labels and the ID to an intermediary RU randomly chosen (Step 2). Only now, the intermediary forwards the labels and the ID to the server (Step 3) at cloud layer. When labels and the ID are received at the cloud layer, they are stored on a local repository, for instance a database. From now on,  $v_i$  and  $d_i$  are part of the PSR infrastructure. However,  $v_i$  is not yet ready to identify  $d_i$  since the model has not been trained.

The vehicle requests the training of its model: The vehicle  $v_i$  sends a request to train its model to identify  $d_i$ . This step is obtained through a request that is sent to the nearest RU, (Step 4). This one forwards the training request to an intermediary RU, (Step 5). This RU sends the training request at the cloud layer (Step 6). It, then, identifies the label to use, i.e.,  $l_{other}$ . Note that label  $l_{other}$  is identified but not yet sent to RU.

The cloud layer requests the training for  $v_i$ 's model: To train  $v_i$ 's model, the  $l_{other}$  label is sent from the cloud layer to other vehicles involved in the PSR infrastructure. So,  $l_{other}$  is sent, first, to the intermediary roadside unit (Step 7) that forwards the label to the RU closest to  $v_i$  (Step 8) and sends  $l_{other}$  alongside with the  $v_i$ 's ID (Step 11) to other RUs within a certain radius, whose size is not relevant here. Finally, each RU forwards the  $l_{other}$  label to their connected vehicles together with the IDs of the roadside units involved in the training (Step 12). Note that, the label  $l_i$  is not sent because there are no vehicles that have data belonging to the driver  $d_i$ . Hence, the only vehicle that has the label  $l_i$  is the vehicle  $v_i$  itself. At step 12,  $v_i$  receives the IDs of the RUs involved in the training.

Vehicles send their shares to train  $v_i$ 's model: To train  $v_i$ 's model, each vehicle belonging to the PSR infrastructure must share its collected data sensors. First, each vehicle,



Figure 2: The PSR Model Generation Workflow

except  $v_i$ , labels its sensors' data with the  $l_{other}$  label. The sensors' data of vehicle  $v_i$  are already labelled. Then, each vehicle obfuscates its data splitting them in shares. Next, each vehicle sends these shares to the nearest RU. Since a roadside unit may reconstruct the original data with all the shares received, the vehicle must encrypt the shares so that only the right RU can have them in plaintext. In the PSR infrastructure, we keep shares secrecy using an asymmetric cryptography protocol, e.g., OpenPGP [16]. OpenPGP is a protocol that provides confidentiality and authentication of messages and data files [17]. Hence, a vehicle, before sending each share to a RU, must obtain the public key of the recipient roadside unit (Step 13) from the cloud layer. Once the corresponding RU public key is retrieved (Step 14), the vehicle uses the key to encrypt the share before sending it to the nearest RU (Step 15). Always at Step 15, the vehicle  $v_i$  sends its encrypted shares to the nearest RU. Then the vehicle sends all encrypted shares, the nearest RU will forward them to the other RUs belonging to the infrastructure to train the model (Step 16).

**Training**  $v_i$ 's model: All roadside units, involved in the training, decrypt the shares and train  $v_i$ 's model using the data received from all vehicles in the previous steps.

The vehicle  $v_i$  receives the updated model: At the end of training, the roadside units send to  $v_i$  the shares containing the new model of  $d_i$ . To do this, each RU encrypts the shares with the public key of  $v_i$  and sends the resulting shares to the vehicle. From now on,  $v_i$  is able to identify  $d_i$  and distinguishes her from other drivers (Step 17).

# *B. A new driver joins the PSR infrastructure*

This is the case when a new driver  $d_i$  is identified on a vehicle  $v_i$  already present in the PSR infrastructure. The vehicle  $v_i$  has already a model trained for another driver  $d_i$ , with  $d_j \neq d_i$ , and sensors' data used for  $d_j$  are labelled as  $l_j$ . Driver  $d_j$  must authorize the new driver. The procedure to

give  $d_i$  the permission to drive the vehicle  $v_i$  is out of scope. The vehicle  $v_i$  must update the current model to identify also the new driver  $d_i$ . To perform this task, we proceed similarly to the previous scenario and the following steps occur.

**Model Initialization:** The vehicle  $v_i$  generates the label  $l_i$ . Labels  $l_{other}$  and  $l_i$  already exist on vehicle  $v_i$  since they were created for driver  $d_i$ . Then, the vehicle sends the label  $l_i$  and its ID to the nearest RU (Step 1). This one forwards the label  $l_i$  and vehicle's ID to an intermediary RU randomly chosen (Step 2). Finally, the cloud layer receives  $l_i$  and the ID from the intermediary RU and stores them on a database (Step 3). Now, the new driver  $d_i$  is part of the transportation infrastructure. However, the vehicle  $v_i$  is not yet able to identify  $d_i$  since its model has not been trained.

The vehicle requests the training of its model: In this phase, the vehicle  $v_i$  sends to the nearest RU a training request (Step 4). The RU forwards this request to an intermediary RU (Step 5) and, then the intermediary sends the request to the cloud layer (Step 6).

The server request the training for  $v_i$ 's model: The cloud layer sends a training request to all vehicles on the PSR infrastructure within a certain radius. Cloud layer knows the label of driver  $d_i$  from Step 3 and the label  $l_i$  due to past training for driver  $d_i$ . As next step, from the cloud layer the labels  $l_{other}$ ,  $l_i$  and the ID of vehicle  $v_i$  are sent to the intermediary RU, (Step 7) which provides to the closest roadside unit of vehicle  $v_i$  the labels and the ID (Step 8).

Roadside Unit searches vehicles with authorized drivers in common with  $v_i$ : This is a new step with respect to the previous training scenario. We introduce this step since it may happen that driver  $d_i$  may be a driver of another vehicle  $v_i$  different from  $v_i$ . So, we need to consider this situation by collecting also data coming from other vehicles. In this phase, the RU  $r_i$ , to which  $v_i$  is connected, looks for the vehicle  $v_j$  and contacts it through its nearest roadside unit, which we label as  $r_j$ . The PSR infrastructure uses a Distributed Hash Table (DHT) [18] to keep track of the nearest roadside unit of each vehicle present in the PSR infrastructure. A DHT provides a lookup table, distributed between peers of a network, to quickly locate data. The Roadside Units are peers of the network. The lookup algorithm uses a key to locate the peer using {key, value} pairs. In particular, the key is the  $v_j$ 's ID hash and value represents the ID of the nearest Roadside Unit to  $v_i$  (Step 9). The RU  $r_i$  receives the ID of  $r_i$  closest to vehicle  $v_i$  (Step 10). Then,  $r_i$  receives from  $r_i$  the labels  $l_{other}$ ,  $l_j$  and the IDs of  $v_i$  and  $v_j$  (Step 11). Always at Step 11,  $r_i$  sends the label  $l_{other}$  and the ID of  $v_i$  to the other roadside units. The roadside unit  $r_i$  forwards the labels to vehicle  $v_j$  and the IDs of the roadside units involved in the model training (Step 12). Also at the same step, each roadside unit sends the label  $l_{other}$  and the RUs' identifiers to other vehicles of the PSR infrastructure. Finally,  $r_i$  sends only the identifiers to  $v_i$ , at the same Step 12.

Vehicles send their data to train the  $v_i$ 's model: Now, each vehicle shares the data collected from its sensors with the RUs to train  $v_i$ 's model. First, the vehicle  $v_i$  labels with  $l_i$  sensors' data that belong to driver  $d_i$ . All remaining data not belonging to  $d_j$  are labelled as  $l_{other}$ . Vehicle  $v_i$  sensors' data are already correctly labelled. The other vehicles label their sensors' data as  $l_{other}$ . Then, all vehicles get the RUs' public key from the cloud layer (Steps 13 and 14). Then, each vehicle of the PSR infrastructure sends the data, through encrypted shares, to its nearest roadside unit for training (Step 15). Then, these shares will be exchanged among the RUs before the training session (Step 16).

**Training**  $v_i$ 's model: Each roadside unit decrypts the received shares and trains the model.

The vehicle  $v_i$  receives the updated model: When the training is concluded, the roadside units send to  $v_i$  the encrypted shares of the new model exploiting the closest roadside unit  $r_i$  (Step 17). Then, the vehicle decrypts and combines all received shares to obtain the new model. From now on, vehicle  $v_i$  is able to identify  $d_i$  and  $d_j$ .

#### *C. Driver identification on a vehicle of the infrastructure*

This scenario considers the case in which a driver  $d_i$  has already a model in a vehicle  $v_i$  and we wish to identify the driver into a different vehicle  $v_i$ . Since, data related to driver  $d_i$  already exist in the transportation infrastructure, we can directly train her corresponding model. However, even if the model of vehicle  $v_j$  is trained using previously  $d_i$  driving sessions in  $v_i$ , the driver may use a driving style completely different, e.g., a city car is driven in a different way than a sports car. The fallback authentication allows PSR to register the different driving style to later update  $v_j$ 's model.

Model Initialization: To train the new model, RUs use driver  $d_i$ 's past data collected by other vehicles of the platform. The resulting model allows vehicle  $v_j$  to identify driver  $d_i$ . First, vehicle  $v_i$  generates a random label  $l_i$  for driver  $d_i$ . The label  $l_{other}$  already exists so it is not necessary to create a new one. Then, vehicle  $v_i$  shares the new label alongside with its ID using the nearest roadside  $r_i$  (Step 1). Upon label and ID reception, the roadside unit chooses randomly an intermediary RU and forwards the label and the ID to it (Step 2). The intermediary RU forwards the label  $l_i$ and the ID to the cloud layer that stores them (Step 3).

**Request to train**  $v_j$ 's model: The cloud layer knows  $v_j$ has already a model for a driver  $d_j$ , with  $d_j \neq d_i$ . Assuming that driver  $d_i$  is already known on the vehicle  $v_i$  with the label  $l_j$ ,  $v_j$ 's model should include also driver  $d_i$  in addition to  $d_i$ . Driver  $d_i$  could be also known in the vehicle  $v_k$ , with  $v_k \neq v_j$ . Next, a training request for  $v_j$ 's model with the labels  $l_i$ ,  $l_j$ ,  $l_{other}$  and the IDs of vehicles  $v_j$ ,  $v_i$  and  $v_k$  is sent to the intermediary RU (Step 7). This sends the labels and the IDs to nearest RU  $r_j$  (Step 8).

Searching vehicles that already know  $d_i$  and  $d_i$ : The roadside unit close to  $v_j$  looks for those vehicles that already know one or both drivers  $d_i$  and  $d_j$ . In Step 9, the roadside unit  $r_j$  looks for the other RUs that are close to vehicles  $v_i$  and  $v_k$  to be able to contact them. Then, in Step 10 the roadside unit  $r_i$  receives the "IDs" of  $r_i$  and  $r_k$  respectively nearest to  $v_i$  and  $v_k$ . On Step 11,  $r_i$  receives the labels  $l_{other}$ ,  $l_i$  and the "ID" of vehicles  $v_i$  and  $v_j$  while  $r_k$  receives the labels  $l_{other}$ ,  $l_j$  and the "ID" of vehicles  $v_k$  and  $v_j$ . At the same step,  $r_j$  sends to the other RUs the label  $l_{other}$ and the "ID" of vehicle  $v_j$ . At Step 12, the roadside units  $r_i$  and  $r_k$  share the labels respectively with  $v_i$  and  $v_k$  and the identifiers of RUs involved in the training. At the same time, all the roadside units send the label  $l_{other}$  and the IDs to other vehicles of the PSR infrastructure, except  $v_i$  that receives only the identifiers.

Vehicles send their data to train  $v_j$ 's model: Each vehicle sends its data to the RUs involved in the training: vehicle  $v_i$  labels with  $l_i$  sensors' data that belong to driver  $d_i$  and, similarly, vehicle  $v_k$  labels with  $l_i$  sensors' data that belong to driver  $d_j$ . All remaining sensors' data of vehicles  $v_i$  and  $v_k$  get the label  $l_{other}$ . Also, the other remaining vehicles of the infrastructure label their data with  $l_{other}$ . The data of vehicle  $v_i$  are already correctly labelled, hence no changes are needed. Each vehicle retrieves the public keys of the RUs involved in the training (Step 13 and 14). Then, the vehicle splits data in shares, encrypts the shares with the public keys retrieved in the previous step and sends them to the vehicle's nearest roadside unit for training (Step 15). Such shares are then shared among the RUs (Step 16).

**Training**  $v_j$ 's model: All the RUs involved in the training receive the shares, decrypt them and train the model.

Vehicle  $v_i$  receives the updated model: At the end of training, the RUs send to  $v_j$  the encrypted shares of the new model through  $r_j$ , Step 17. Here,  $v_j$  decrypts the shares and combine them to obtain the model. From this moment on, the vehicle  $v_i$  is able to identify  $d_i$ ,  $d_j$ .

## V. PRIVATE SECURE ROUTINE IMPLEMENTATION

The Private Secure Routine paradigm is implemented by using PySyft framework, a Python library that implements the secure Multi-Party Computation (MPC) for private training of Neural Networks [19]. The framework maintains both parameters of the model and the dataset private.

The PySyft implementation of MPC is secure against the honest-but-curious adversaries [19] but can not guarantee security against active attackers. Some parties could exchange their shares and potentially reconstruct the original values.

We aim to evaluate the impact of MPC on the accuracy results. Hence, we simulate the chain of computations of the parties belonging to the PSR infrastructure without the bottleneck of communications over the network. To do this, we simplify the behaviour of both instances of ground and cloud layer. At ground layer, vehicles generate the dataset and label the instances. At cloud layer, the server sends requests to vehicles to trigger the labelling activity. In our implementation, we employ three roadside units, two of them train the neural network, while the third RU acts as the *Crypto-Store*, i.e., it provides the necessary elements for the computation in the MPC environment [20]. All parties are *Virtual Workers*, i.e., they run on the same computer.

#### *A. Dataset Generation Algorithm*

The dataset generation involves the situation in which vehicles generate their dataset to train the vehicle  $v$ 's model in the different training scenarios described in §IV. Once the dataset is created, vehicle labels the dataset instances (ins) depending on the experiment we are going to setup.

Listing 1 shows how the dataset of the experiments are generated. The algorithm uses the label "Other" to every instances with a label not present in drivers, i.e., list of the authorized drivers: if each vehicle is owned by only one driver, d, the list drivers contains only d. In case each vehicle is owned by more than one driver, e.g., two drivers,  $d_1$  and  $d_2$ , the list *drivers* contains these two labels.

Listing 1: Dataset generation

	i function generate_owner_dataset(drivers, ins)
	$\sum_{i}$ ins <sub>labelled</sub> $\leftarrow$ for each instance in ins set label
	"Other" to instances not made by one of the
drivers in <i>driver</i>	
3 return inslabelled	

The generated dataset has to be shared with the RUs to train the models. Each vehicle creates a private dataset by generating the *shares* (Listing 2) of both training set (85% of instances) and test set (the remaining 15%). The function receives in input the workers' references, one for each RU: the virtual workers that train the model and the crypto provider that setups the Function Secret Sharing algorithm.

The training set and test set are split in batches (Listing 2, lines 5 and 8) with size equal to 1024. To better train the

network, the labels are converted into the *one-hot encoding* [10] (Listing 2, lines 6 and 9). A one-hot encoding is a vector of length equal to the number of possible labels, e.g., in case of two owner, the vector size is three:  $d_1, d_2$ and "Other". In Private Secure Routine, the vehicles must create vector of size much bigger than the number of drivers of  $v$ : for instance suppose that the one-hot vector is of size  $number\_owners\_drivers + 1$ , where the first components of the vector represent the owners of the vehicle and the last component represents the other drivers. A malicious vehicle could easily set its past driving instances has belonging to one of the legit  $v$ 's owner, e.g., set all instances in the first component as if they belong to the first owner. In case the vector size is too big and the components that represent the legit owners are chosen randomly, the attacker does not know which components represent the legit owners. Hence, she is not able to create fake instances belonging to a legit owner. Then, vehicle creates the shares of training and test set for each virtual worker (Listing 2, lines 7 and 10).

Listing 2: Generation of dataset shares - Vehicle

$L_{\text{ISHII}}$ $L_{\text{I}}$ . Octiciation of dataset shares - vehicle
i function generate_private_dataset( $ins_{labelled}$ $works, crypto\_provider)$
$\alpha$ (instrain, instest) $\leftarrow$ choose randomly 85% of
instances as training and the remaining as
testing from <i>ins<sub>labelled</sub></i>
$x_{train}$ , $y_{train}$ ) $\leftarrow$ separate features of the $ins_{train}$
instances from the respective label
4 $(x_{test}, y_{test}) \leftarrow$ separate features of the $ins_{test}$
instances from the respective label
s loader <sub>train</sub> $\leftarrow$ split $x_{train}$ and $y_{train}$ in batches.
Data are shuffled
6 loader_one_hot <sub>train</sub> $\leftarrow$ labels in loader <sub>train</sub> are one
hot encoded
<i>n</i> loader_private <sub>train</sub> $\leftarrow$ create shares of labels and
features in <i>loader_one_hot<sub>train</sub></i> , distributing
them between workers with the help of
crypto_provider
s loader <sub>test</sub> $\leftarrow$ split $x_{test}$ and $y_{test}$ in batches
, $loader\_one\_hot_{test} \leftarrow$ labels in $loader_{test}$ are one
hot encoded
10 <i>loader_private<sub>test</sub></i> $\leftarrow$ create shares of labels and
features in <i>loader_one_hot<sub>test</sub></i> . Return the
pointers to the shares
$\mu$ return (loader_private <sub>train</sub> , loader_private <sub>test</sub> )

## *B. Dataset preparation for training*

Once RUs have received the private dataset of each vehicle, they are in charge of running the secure multi-party computation technique. Train dataset of each vehicle are combined in a single dataset (Listing 3, line 2). We concatenate the datasets of different vehicles to run the *average imputation*. The procedure handles the, eventually, missing values with the average value of each feature. Also, neural networks require that training dataset is randomly shuffled for an optimal training [10]. Without the dataset concatenation, the average imputation should be run separately for each vehicle dataset, obtaining different averages. Also, at training phase, the neural network should sequentially train each vehicle training dataset. Finally, managing a single training dataset is simpler than managing multiple training datasets. Similarly, test datasets are combined too (line 3).

The average imputation in the training set replaces missing values with the average value of each feature, whereas in the test set null values are filled with the same averages obtained from the training set [21]. First, we calculate the average value of each feature in  $loader\_private_{train}$  (line 4). Then, we fill null values with the respective average (line 6) and create new feature columns to keep track of the rows that have null values for each feature (line 5) and the columns of the rows with null values and we apply the average imputation in the test set (lines 7 and 8).

Next step is the normalization of training and test set to speed up the process of model training [22]. We use the *Min-Max Normalization* procedure: for each feature  $f$  the dataset values are transformed from the range  $\left[ min_{loader\_private_{train}}^{f}, max_{loader\_private_{train}}^{f} \right]$  to the range  $[min, max]$  arbitrary using the equation:

$$
v_{new} = \frac{v_{old} - min_{loader\_private_{train}}^f}{max_{loader\_private_{train}}^f - min_{loader\_private_{train}}^f} (max-min) + min_{loader\_private_{train}}^f
$$

We choose  $min$  equal to  $-1$  and  $max$  equal to 1. In Listing 3 line 9, we get the minimum and maximum value for each feature in  $loader_{train\_filled}$ . Then, we apply the Equation 1 to normalize the  $loader_{train\_filled}$ . Similarly, we normalize the loadertest filled using the same  $\left[\begin{matrix} m i n_{loader, train, filter}^f \end{matrix}\right]$  $max_{loader_{train\_filled}}^f$ ].

# Listing 3: Preparation of dataset for training - RUs

- function training\_preparation\_dataset(array\_loader\_private*train*, array\_loader\_private*test*)
- <sup>2</sup> loader\_private*train* ← concatenate loaders in array\_loader\_private*train* in a single loader
- <sup>3</sup> loader\_private*test* ← concatenate loaders in array\_loader\_private*test* in a single loader
- $averages \leftarrow$  calculate average of each feature in loader\_private*train*
- <sup>5</sup> loader*train*\_*cols*\_*na* ← add a column for each feature, each row contains  $TRUE$  if the value is null,  $FALSE$  if the value is present
- 6 *loader<sub>train\_filled*  $\leftarrow$  fill null values in</sub> loader*train*\_*cols*\_*na* with the average in averages of the respective feature
- <sup>7</sup> loader*test*\_*cols*\_*na* ← add a column for each feature in loader\_private*test* with null value, each row contains  $TRUE$  if the value is null,  $FALSE$ if the value is present
- <sup>8</sup> loader*test*\_*f illed* ← fill null values in loader*test*\_*cols*\_*na* with the average in averages of the respective feature
- $\textit{scalar} \leftarrow$  for each feature in *loader<sub>train\_filled* get</sub> minimum and maximum values
- $loader_{train\_normalized} \leftarrow \text{normalized }$ *loader<sub>train\_filled*</sub> in range  $(-1, 1)$  according to scaler

11 *loader<sub>test</sub> normalized* ← normalize *loader<sub>test</sub> filled* in range  $(-1, 1)$  according to scaler

<sup>12</sup> return (loader*train*\_*normalized*, loader*test*\_*normalized*)

## *C. Model Generation Algorithm*

Each vehicle v creates a new model as described in Listing 4. As a first step, vehicle creates the initial model, (line 2). To optimize the training speed, we adopt a multilayer Neural Network with three layer architecture: two linear hidden layers with *dropout* [23], as method of regularization, and the *rectified linear unit* (ReLU) [10] as activation function defined as follows:

$$
ReLU(x) = \begin{cases} x & \text{if } x \ge 0 \\ 0 & \text{if } x < 0 \end{cases}
$$
 (2)

The first hidden layer is made of 128 neurons and the second hidden layer contains 64 neurons. The output layer is linear and its size depends on the experiment.

Once the model is created (line 2), the vehicle  $v$  generates the shares for the model (line 3). Such shares are sent to RUs in a privacy preserving way. To train the model is required an optimizer that adjusts the model parameters at each epoch to reduce the loss and to increase the accuracy [10]. Then,  $v$  defines the optimizer and its parameters (line 4). The model and the optimizer parameters are converted from float to *fixed precision* (line 3 and 5) according to PySyft requirements [24]. Fixed precision represents values with two components: an integer, i.e., the coefficient and the position of the radix point, i.e., the exponent. A value is represented as value =  $coefficient * 10^{exponent}$  [25]. Having a low value for the exponent allows RUs to speed up the training but it reduces the accuracy. In the experiments, we keep 3 decimals from the value. Once the model is split into shares and optimizer parameters are converted in fixed precision, the vehicle sends the shares to all the RUs.

Listing 4: Model generation algorithm - Vehicle

I function initialize model shares (workers,
$crypto\_provider, lr)$
$_2 \ model \ \leftarrow \ \texttt{create Neural Network}$
3 model_private $\leftarrow$ create shares of model,
distributing them between workers with the
help of <i>crypto_provider</i>
4 $optim \leftarrow$ define the Stochastic Gradient Descent optimizer with the learning rate lr
s optim_private $\leftarrow$ convert the optimizer parameters
in fixed precision
6 return (model_private, optim_private)

## *D. Model Training Algorithm*

Roadside units are in charge of training the model (Listing 5). As a first step, RUs search the best *Learning Rate* (LR) for the model running a known algorithm [26] that returns shares of the learning rate. The size of the LR influences how much the optimizer adjusts the parameters at each epoch.

(1)

The smaller is the LR the more are the epochs necessary for training. On the other hand, the training may never converge to a good accuracy if LR is too high [10].

Once the LR is found, the roadside units send the learning rate shares to vehicle  $v$ . The vehicle initializes again the model and the optimizer according to the LR found as seen in Listing 4. From line 5 to 14, roadside units train the model. We set the number of epochs of training (equal to 2 in our experiments). For each epoch  $i$ , the parameters of the model change and the accuracy is calculated. Hence, the roadside units must return to the vehicle the most accurate model. First, we define the variables that will contain the best model with the correlated accuracy (lines 5 and 6). For each epoch, we train the model with the training dataset, the optimizer and the loss function MSE (line 8) [27].

$$
MSE = \frac{1}{n} \sum_{i=0}^{n-1} (\hat{y}_i - y_i)^2
$$
 (3)

where: *n* is the number of predictions;  $\hat{y}_i$  is the i-th value predicted by the NN;  $y_i$  is the i-th actual value.

Then, the accuracy of making predictions over the test set is calculated by comparing the results with the correct labels. Next, the stored best accuracy is compared with the last obtained accuracy (line 10). In case there is no best accuracy stored, the current accuracy and the current model are stored (lines 11 and 12). In case the current accuracy is greater than the stored one, the current accuracy and the current model are saved. Otherwise, they are discarded. After the model is trained for all the epochs, the RUs send the shares of the best model and the relative accuracy shares to  $v$  (line 15). The vehicle combines the shares and obtains the model trained and the accuracy. Note that the RUs do not need to disclose the accuracy values to make the comparisons. Only  $v$  will know how well the model performs.

Listing 5: Model training algorithm - RUs

- 1 function find\_lr(workers, crypto\_provider, loader*train*\_*normalized*, model\_private, optim\_private)
- $2 \text{ } lr\_private \leftarrow$  find the best learning rate to train model using the training dataset loader*train*\_*normalized*, the optimizer optim\_private and the loss function MSE
- <sup>3</sup> return lr\_private
- 4 function train\_model(workers, crypto\_provider, loader*train*\_*normalized*, loader*test*\_*normalized*, model\_private, optim\_private)
- <sup>5</sup> best\_accuracy\_private ← NULL
- $\mbox{\textit{6} best\_model\_private}~\leftarrow~\texttt{NULL}$
- $\tau$  for  $epoch = 1$  to 2 then:
- 8 train model\_private using the training dataset loader*train*\_*normalized* and the optimizer optim\_private and the loss function MSE
- <sup>9</sup> accuracy\_private ← make predictions using the values of features in loader*test*\_*normalized* and calculate the accuracy shares using the aforementioned predictions and the label in loader*test*\_*normalized*
- 10 if  $best\_accuracy\_private$  is NULL OR
	- best\_accuracy\_private < accuracy\_private then:
- 11 best\_accuracy\_private  $\leftarrow$  accuracy\_private
- 12 best model private  $\leftarrow$  model private
- <sup>13</sup> end if <sup>14</sup> end for
- <sup>15</sup> return best\_model\_private, best\_accuracy\_private

# VI. PRIVATE SECURE ROUTINE EVALUATION

We compare PSR paradigm with Secure Routine [12] and the work in  $[6]$ , hereafter denoted with M. We experiment PSR on two examples: 1) single owner identification and 2) two owners identification. Then, we evaluate the NN of PSR comparing it alongside an architecture trained without the application of secure multi-party computation. This is because PySyft with MPC is time consuming due to the fact that parties need to exchange several messages within the training phase and that PySyft uses the CPU instead of the GPU that is not yet supported.

#### *A. Experiments*

To evaluate PSR, we performed eight experiments on two datasets:  $\Theta$  [28] and  $\Psi$  [29] that have in common 9 features (Table I). The experiments run on a Virtual Machine with an Intel(R) Xeon(R) Gold 6140M using 8 threads, 32 GB of RAM and Ubuntu 18.04 as OS. Our experiments compare PSR with Secure Routine and M on the *Accuracy* metric.

$$
Accuracy = \frac{CP}{CP + WP}
$$
 (4)

where CP is the number of *Correct Predictions* and WP is the number of the wrong ones.

*1) Single Owner identification (SOI):* We aim at identifying if a target instance belongs to the vehicle's owner.

As first experiment, we compare PSR with Secure Routine and  $M$  on the  $\Psi$  dataset. We select the best feature set in PSR. Since MPC is time consuming, we trained PSR only for two epochs (Listing 5). Secure Routine achieves the best results (Table II(a)). PSR is  $6.05\%$  of accuracy lower in comparison with Secure Routine and 4,67% less than M. Note that  $M$  do not use this dataset in their research, so we replicated their experiments to establish the accuracy. Despite PSR model being trained only two epochs, it scores an high accuracy keeping private the used data.

We repeat the same experiment on  $\Theta$ . We use the same feature selected in [12]. The work of  $M$  adopted the dataset Θ but they do not calculate the accuracy, so we replicates their experiments to retrieve the accuracy. Table II(b) shows that PSR obtains 89,96% of accuracy, SR achieves the best accuracy. PSR obtains 9,87% of less accuracy than SR.

To measure the impact of MPC on the accuracy of PSR, we evaluate the PSR network comparing the results of the same neural network trained for 2 and 4000 epochs but without the support of MPC.

Then we consider the dataset  $\Psi$ . Table III(a) shows that PSR without MPC scores 99,91% of accuracy while PSR with MPC has lost 2,58% of accuracy in comparison with

Table I: Common features description

Feature	<b>Description</b>	<b>Example</b> Unit	
Throttle pos	Percentage of throttle opening	25%	$\%$
Short_term_fuel_trim_bank_1	Percentage of ratio air/fuel in the first bank of cylinders instantaneous	$-3.00\%$	$\%$
<b>MAF</b>	The air flow mass in the engine	8.12g/s	g/s
Engine RPM	Number of revolutions per minute crankshaft makes	2100RPM	RPM
Speed	Speed of the vehicle	55km/h	km/h
Timing_advance	Percentage of crankshaft rotation when spark plug fires in advance	$0.423\%$	$\%$
Engine coolant temp	Temperature of the coolant/antifreeze liquid mix of engine	90C	C
engine runtime minutes	Minutes elapsed from engine start	39 <sub>m</sub>	minutes
engine runtime second	Seconds elapsed from engine start	10s	-S



(a) TOI in Ψ PSR | SR 87,51% 99,69% (b) TOI in Θ PSR SR 80,08% 99,71%

Table IV: Accuracy comparison of Driver Identification paradigms for TOI test-bed

Table II: Accuracy comparison of Driver Identification paradigms for SOI test bed

the model trained in plain for 2 epochs. This loss of accuracy may be caused by the conversion of input data and model parameters in fixed precision required by PySyft.

Moving on Θ, Table III(b) shows PSR scores slightly lower (0,04%) than the PSR without MPC with two epochs.

(a) Single Owner Identification in Ψ.

<b>PSR 2 epochs</b>	<b>PSR 2 epochs</b>	PSR 4000 epochs
	(no MPC)	$(no \, MPC)$
93.79%	96,37%	99.91%





Table III: Accuracy comparison of PSR for SOI test-bed

*2) Two Owners Identification (TOI):* Here, we test PSR in case a vehicle is owned by two drivers. Neither Secure Routine nor M were designed and work with two owners identification. Since we are not able to replicate the work in M to test this scenario, we compare PSR only with a slightly modified version of SR able to manage also this case. Once again SR achieves the best result, i.e., 99,69% (Table IV(a)). PSR obtains a respectable 87,51%, i.e., 12,18% less than the same model trained 2 epochs with plain data.

Then, we repeat the experiment also on the other dataset. Table IV(b) indicates that SR obtained an average precision of 99,71%. In comparison, PSR loss 19,63% of accuracy. This results depends on the NN poorly trained, i.e., trained



(a) TOI in  $\Psi$ .

$(0)$ TOT III $\heartsuit$ .						
<b>PSR 2 epochs</b>	<b>PSR 2 epochs</b> $(no \, MPC)$	PSR 4000 epochs (no MPC)				
80,08%	80.04%	95.21%				

Table V: Accuracy comparison of PSR on TOI test-bed

for only 2 epochs. An higher number of epochs will increase the accuracy as shows the table  $V(b)$ , but more on that later.

Also in this case, we evaluate the impact of MPC on PSR. Let us consider the dataset Ψ. Again PSR without MPC achieves an high score, (99,88%), Table V(a). With MPC, PSR loses 4,86% comparing with the same model trained two epochs with plain data.

Moving on the dataset Θ, PSR obtained a better result than the public trained on 2 epochs, i.e., 0,04% more accurate. The best result is obtained by the PSR without MPC fully trained (95,21%).

# VII. CONCLUSION

In this paper, we have presented the Private Secure Routine (PSR) as a novel privacy-preserving paradigm able to identify drivers in an ITS infrastructure. PSR is based on secure multy-party computation. In particular, the PSR paradigm aims to provide a solution to identify more than one driver into the same vehicle and to do it in a privacy preserving way. We evaluated the accuracy of PSR in comparison with two research works present in literature. Although the goal of PSR is on privacy-preserving and multi-owner identification, it obtained elevate accuracy values when compared with the other two research works.

As future work, we will aim to extend PSR to improve its accuracy considering also other privacy preserving techniques.

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