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# Investigating the nanoparticle-protein interactions with Nanoparticle Enhanced Laser Induced Breakdown Spectroscopy signal enhancement

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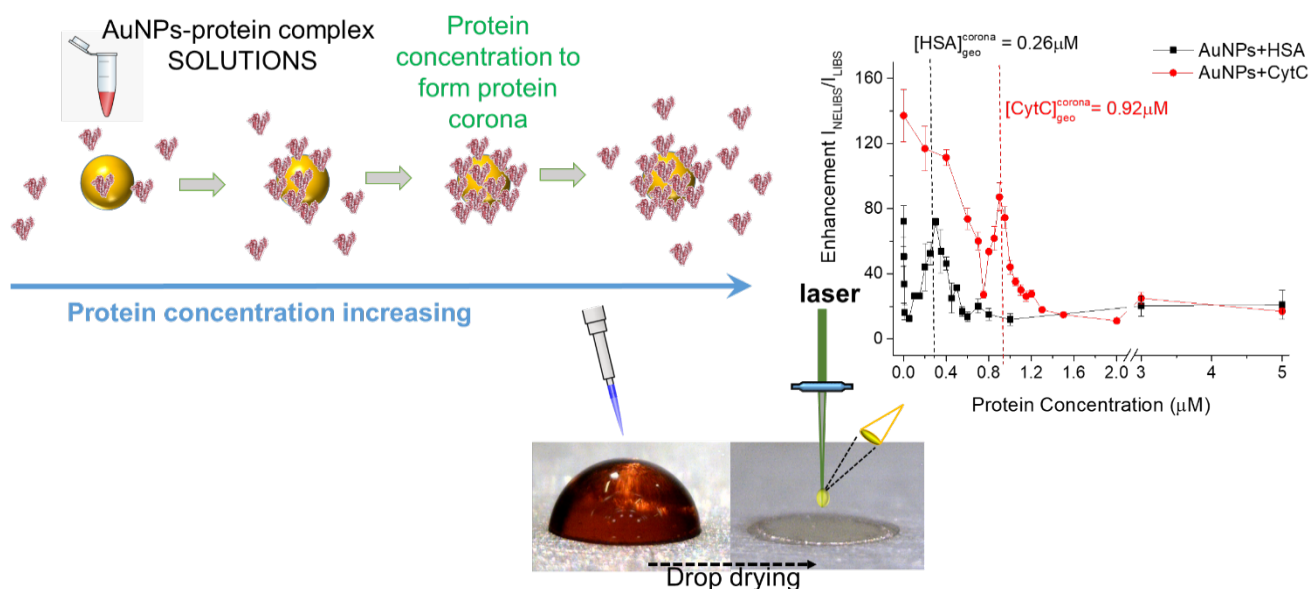
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## Graphical abstract



## Abstract

Recently Nanoparticle Enhanced Laser Induced Breakdown Spectroscopy (NELIBS) is getting a growing interest as an effective alternative method for improving the analytical performance of LIBS. On the other hand, the plasmonic effect during laser ablation may be exploited for different task rather than traditional elemental analysis.

In this paper the dependence of NELIBS emission signal enhancement on nanoparticle-protein complex on a reference substrate (metallic titanium) has been investigated. Two proteins have been studied and they are Human Serum Albumin (HSA) and Cytochrome C (CytC), having both strong affinity for the gold nanoparticles (AuNPs) due to the bonding between the single free exterior thiol (associated with a cysteine residue) and gold surface to form a long term stable protein corona, but with different number of protein units for the same AuNPs size. The NP-protein complex solution has been dropped and dried on the titanium substrate. Then the NELIBS signal enhancement of Ti emission lines has been correlated to the solution characteristics as determined with Dynamic Light

1 Scattering (DLS), Surface Plasmon Resonance (SPR) spectroscopy and Laser Doppler  
2 Electrophoresis (LDE)- for  $\zeta$ -potential determination. Moreover, the dried solutions has been studied  
3 with TEM (Transmission Electron Microscopy) for the inspection of the inter-particle distance. The  
4 structural effect of the NP- protein complex solutions on NELIBS signal reveals that NELIBS can be  
5 used to determine the number of protein unities required to form the corona with good accuracy.  
6 Although the investigated systems of NP-protein complex are simple cases in biological application,  
7 this work demonstrates, for the first time, a different use of NELIBS that is beyond the elemental  
8 analysis and it opens the way for sensing the protein corona.  
9

## 10 11 12 **Keywords**

13 NELIBS, gold nanoparticles, nanoparticle protein corona, Human Serum Albumin, Cytochrome C,  
14 naked AuNPs by PLAL  
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## 20 **1. Introduction**

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23 In the last decade, the use of plasmonic systems based on nanoparticles (NPs) has been  
24 revolutionizing the analytical spectroscopy, opening the way to extremely high sensitivity with  
25 extremely low limits of detection [1]. One of the most recent application of plasmonic system to  
26 analytical chemistry is the NP enhanced laser ablation, that has been exploited mainly for improving  
27 the performance of Laser Induced Breakdown Spectroscopy (LIBS) [2, 3] and lately also for Laser  
28 Ablation Inductively Coupled Plasma Mass Spectrometry (LA-ICP-MS) [4, 5]. NPs enhanced laser  
29 ablation is based on the interaction of the plasmonic system of metallic NPs with the ablated matter  
30 during the laser pulse irradiation with ns-laser pulse. Although several aspects still need to be  
31 elucidated, differently to the Surface Enhanced Raman Scattering (SERS), in the case of NPs  
32 enhanced laser ablation, the high energy laser pulse is used for inducing a plasma, initially at density  
33 very close to the sample/target material. The concentration of the electromagnetic field of the laser  
34 pulse between the NPs, coupling with the high density ablated matter allows to transfer the laser  
35 energy to the sample in a much more efficient way with respect to conventional laser ablation [6],  
36 allowing the enhancement of the analytical signal up to two orders of magnitude. It was already  
37 demonstrated [7, 8] the high efficiency of NP enhanced Laser Induced Breakdown Spectroscopy  
38 (NELIBS) with respect to LIBS when analyses are performed on biological systems. Organic samples  
39 upon laser irradiation tends to produce weak plasmas because of elements with high ionization energy  
40 such as carbon, nitrogen, hydrogen and oxygen, therefore the LIBS trace analysis can be difficult. On  
41 the contrary, the use of a layer of NPs where the organic solution is dropped and dried allows a notable  
42 increase of the plasma duration and of the emission intensity with consequent improvement of the  
43 analytical sensibility.  
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52 In this paper a different investigation of NP enhanced laser ablation is proposed. NELIBS is  
53 performed in presence of proteins. The plasmonic effect due to NPs surface properties, such as  
54 charges, is perturbed by the interaction with proteins and the consequent effect on plasma emission  
55 is studied and correlated to the structure of the NP-protein complex. In this view this paper is aimed  
56 to prove the concept that NELIBS can be exploited as a sensing tool. The NPs used during these  
57 experiments were ultrapure gold NPs (AuNPs), stable without any stabilizer [9], incubated with  
58 proteins in order to form the NP-protein complex solution. It is well known that proteins adsorbed on  
59 gold NPs allow the formation of the protein corona (PC) on their surface [10] inducing a variation of  
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1 the NPs surface properties. Then, after the drying of NP-protein complex solution on the target,  
2 NELIBS was performed. Instead of focusing our attention to the improvement of the analytical signal,  
3 the effect of the NP- protein interaction is investigated with the observation of how the amount of the  
4 adsorbed protein on the NP surface affects the electromagnetic field enhancement of the NPs system  
5 during the ablation process. This effect has been investigated with the study of the dependence of the  
6 signal enhancement on NP-protein interaction, during NELIBS of a titanium sample. This inverse  
7 approach to NELIBS is aimed to demonstrate that NP enhanced laser ablation, although based on  
8 high irradiance laser-matter interaction and to breakdown process, is extremely sensitive to the  
9 employed colloids, especially if the colloid is covered by organic layers. Therefore, this approach  
10 allows the sensing of the structural characteristics of AuNP-protein complexes in terms of ability of  
11 NELIBS to detect the protein surface coverage and in turn it opens the way to potentialities beyond  
12 the elemental analysis, as for example, the sensing of the protein corona formation. Considering that  
13 AuNPs when introduced in a biological medium form the PC immediately, there is a growing interest  
14 about the PC sensing in order to exploit its role in influencing the potential applications of AuNPs in  
15 biomedicine [11-13]. Moreover, the determination of the surface coverage in PC [14] is an important  
16 issue for the quality control of protein-modified nanoparticles surface in different nanotechnology  
17 fields as, for example, nanoparticle-based biosensors and protein-enabled nanomaterials for  
18 biomarker.

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24 On the other hand, this study can be also addressed to the optimization of biological sample analysis  
25 with NELIBS, since it points out how the adsorbed protein content on NPs can affect the LIBS signal  
26 enhancement.

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28 In this frame, NELIBS with two different AuNP-protein complexes has been studied. Human Serum  
29 Albumin (HSA) and Cytochrome C (CytC), having both strong affinity for the gold surface due to  
30 the presence of single free exterior thiol (associated with a cysteine residue) [15], bind to AuNPs  
31 forming a long term stable protein corona. Although the addressed test cases appear simpler than a  
32 real biological application, at this stage where for the first time such approach is proposed, long term  
33 stable protein corona systems allow the investigation of the fundamental aspects of the potential use  
34 of NELIBS as a sensing tool. The colloidal solutions have been characterized with Dynamic Light  
35 Scattering (DLS), Surface Plasmon Resonance (SPR) spectroscopy and Laser Doppler  
36 Electrophoresis (LDE, for  $\zeta$ -potential determination), and then deposited on a titanium metallic  
37 substrate. Transmission Electron Microscopy (TEM) has been finally employed in order to monitor  
38 the shape and the structure of AuNPs and AuNP-protein complexes after drying. The Ti-NELIBS  
39 emission signal has been then recorded at different protein/NP ratios and normalized with respect to  
40 the corresponding emission signal with LIBS. The observation has been then correlated to the  
41 colloidal solution properties.

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43 The results show that the dependence of the NELIBS enhancement is strongly correlated to the  
44 structure of the NP-protein complex as protein content absorbed on the NPs surface, and in turn it  
45 gives specific response on the employed protein depending on the protein size.

## 54 **2. Scientific background on NELIBS.**

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57 Nanoparticle Enhanced Laser Induced Breakdown Spectroscopy is the optical emission signal of laser  
58 induced plasma obtained with laser ablation after the deposition of plasmonic NPs on the surface of  
59 the sample. The fundamental mechanisms allowing the emission enhancement are reported in  
60 previous papers [2, 6]. Briefly, when metallic NPs are deposited on the sample surface, laser  
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1 irradiation is more effective as a consequence of the coupling of the electromagnetic field of the laser  
2 with the one produced by the plasmonic system. In ref. [6] it has been observed that although the laser  
3 irradiance is beyond the breakdown threshold of the sample to form the plasma, the NPs do not  
4 vaporize during the laser pulse and allow the electromagnetic field enhancement effect along the all  
5 pulse duration and in turn the effective interaction of the plasmonic system with the high density  
6 ablated matter in the first stage of expansion. The main characteristics that have been observed are  
7 the following:  
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- 9 a) Same amount of removed matter per laser shot than traditional ablation.
- 10 b) Higher atomization yield of the ablated matter.
- 11 c) Better laser pulse energy distribution on the sample.
- 12 d) Multi ignition point for the plasma induction.
- 13 e) Enhancement of the plasma emission signal up to two orders of magnitude with respect to  
14 conventional LIBS.  
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17 The enhancement of the emission spectra with NELIBS, defined as the ratio between emission line  
18 intensity of NELIBS and LIBS, is strongly related to the efficiency of the plasmonic coupling between  
19 the NPs. This means that 2D deposition with suitable inter-particle distance are required. Generally,  
20 the deposition of the NPs is accomplished by depositing and then drying a drop of colloidal solution  
21 directly on the sample surface. The concentration of the colloidal solution needs to be optimized in  
22 order to achieve a 2D layer of NPs with suitable inter-particle distance [16]. This operation is the  
23 most difficult stage of NP enhanced laser ablation, because during the drying of the droplet  
24 aggregation and double NPs layer should be avoided in order to maximize the NELIBS effect. Since  
25 the NELIBS enhancement of the plasma emission is extremely sensitive to the deposited plasmonic  
26 system, in this paper, this is exploited to correlate the enhancement magnitude to the NP-protein  
27 complex structure.  
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### 34 **3. Materials and methods**

#### 35 **3.1 Experimental set-ups**

36 The NELIBS experiments were performed by using an Nd:YAG laser, Quantel Q-smart 850, with  
37 a pulse duration of 6 ns operating at 1064 nm with energies up to 615 mJ/pulse. During experiments,  
38 the employed laser energies were 360 and 260 mJ with the corresponding laser crater diameters of  
39  $1.7 \pm 0.1$  mm and of  $1.5 \pm 0.1$  mm, respectively in order to obtain similar fluence of  $15 \text{ J/cm}^2$ . The  
40 laser crater was monitored with a digital microscope, Dinolite. The spectrometer used was a Czerny-  
41 Turner (JY Triax 550) coupled with an ICCD (JY 3000) which was synchronized with the laser source  
42 by a pulse generator (Stanford DG 535) for performing emission spectroscopy of the plasma. The  
43 sample, positioned on a micrometric stage, was placed perpendicularly to the laser beam focused with  
44 a 50 mm focal length lens. The plasma emission was finally focused directly on the slit of the  
45 spectrometer by an objective (N4X-PF - 4X Nikon Plan Fluorite Imaging Objective, 0.13 NA, 17.2  
46 mm WD), The monochromator slit was set to 100  $\mu\text{m}$  and the detector gate width was set at 5  $\mu\text{s}$  with  
47 delay of 1  $\mu\text{s}$ . LIBS and NELIBS spectra were acquired by employing one laser shot and four replica  
48 were done for each employed colloidal solution. The experiments consist in firing laser pre-shots, the  
49 last of which was used to acquire the LIBS signal. After the deposition and drying of the colloidal  
50 solution on the formed crater, a further laser shot was fired for NELIBS. Each measurement was  
51 performed on a fresh sample surface by moving the sample with a micrometric stage. Fig. S1 shows  
52 the NELIBS experimental set-up.  
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1 The Vis SPR spectra of both AuNPs and AuNP-protein complexes in the region between 400 and  
2 800 nm were registered using an Agilent 8453 UV-vis diode-array.

3 AuNPs and AuNP-protein complexes were characterized by DLS and by LDE measurements using  
4 a Zetasizer-Nano ZS from Malvern Instruments. All measurements were carried out at 25 °C. DLS  
5 measurements were performed in backscattering at a fixed detector angle of 173°. Three  
6 measurements were acquired for each sample, and each reading was composed of at least 12 runs.  
7 The LDE measurements were performed at the stationary levels of a capillary cell and the scattered  
8 light was collected in forward scattering at a fixed detector angle of 17°; the average electrophoretic  
9 mobility was retrieved with a fast field reversal (FFR) sequence (high frequency alternating electric  
10 field) while the distribution of electrophoretic mobilities has been obtained using slow electric field  
11 reversal (SFR) sequence [17]. The  $\zeta$ -potential was subsequently evaluated from the electrophoretic  
12 mobility according to the Hückel approximation. Each sample was measured 3 times and each  
13 measurement consisted of a variable number of up to 100 acquisitions.  
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18 Transmission electron microscopy (TEM) was performed with an FEI Tecnai *Spirit* 12 (Hillsboro,  
19 OR, USA) instrument (high tension: 120 kV; filament: LaB<sub>6</sub>), by dropping NP suspensions on a  
20 Formvar<sup>®</sup>-coated Cu grid (300 mesh, Agar Scientific, Stansted, UK). The microscope was calibrated  
21 by using the S106 Cross Grating (2160 lines/mm, 3.05 mm) supplied by Agar Scientific. Alignments  
22 and astigmatism correction were carried out following factory settings and fast Fourier transform  
23 processing, respectively. TEM images processing was performed manually, through the ImageJ<sup>®</sup>  
24 (version: Java 1.8.0\_172) free software.  
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### 30 **3.2 NELIBS sample preparation**

31 Titanium target (Ti, 99.995% pure, 2.00" diameter x 0.250" thick,  $\pm$  0.010" all, K.J. Lesker) was  
32 utilized as a sample throughout the whole experiment. The titanium surface was polished with sandy  
33 paper, cleaned with soap and rinsed several times with milliQ water in ultrasonic bath. The solutions  
34 were deposited on crater induced by 8 cleaning laser pre-shots over the titanium surface in the form  
35 of a drop as shown in Fig. S2. The volume of each solution drop was 2  $\mu$ l. Due to difference in surface  
36 hydrophobicity between crater and sample surface, the whole drop was contained, and consequently  
37 get dried, inside the pre-shots crater size. The drop was gently dried with airflow. The single laser  
38 shot employed for the NELIBS led to a complete ablation of the dried drop.  
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### 44 **3.3 Gold nanoparticles preparation**

45 The AuNPs have been produced by Pulsed Laser Ablation in Liquid (PLAL) as described in details  
46 in Ref. [9]. To produce AuNPs, a gold target (99.999%, Kurt J. Lesker Company) immersed in  
47 ultrapure water (Chromasolv<sup>M</sup> Plus, Honeywell) with KCl 100  $\mu$ M was employed. A 8 ns laser,  
48 NdYAG (Quanta System PILS-GIANT) with wavelength of 1064 nm, repetition rate of 10 Hz, a  
49 fluence of 64 J/cm<sup>2</sup> as well as a plano convex lens of 5 cm focal length for laser focusing were used.  
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53 After preparation, the AuNPs have been characterized by means of several techniques and AuNP  
54 concentration was evaluated from the visible spectrum of the surface plasmon resonance (SPR) as  
55 described in [9].  
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58 Before use, AuNPs were suitably diluted with 100  $\mu$ M KCl or with 5 mM Carbonate buffer, pH=  
59 10.5, depending on the experiment to be performed.  
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Fig S3a and Fig S4a show respectively the SPR visible spectra of AuNPs in both 100  $\mu$ M KCl and 5 mM Carbonate buffer, pH= 10.5. A single plasmonic band, centered on 517 nm, denoting well dispersed NPs, is evident. Figs S3b and S4b show TEM images of AuNPs respectively in KCl and in Carbonate buffer, while in Fig S3c and Fig. S4c their distribution sizes obtained from TEM images are reported. In table 1 the AuNPs diameters retrieved from TEM distribution size fitted with a log-normal distribution and from the average of the whole set of measured NPs as well as the hydrodynamic diameter measured with DLS are summarized. For TEM diameter obtained with the LogNormal fitting, the mean is reported with the standard deviation retrieved by the fitting, that takes mainly into account the fitting quality. The  $\zeta$ -potential values of the AuNPs in both KCl and Carbonate buffer are also reported in table 1. The negative  $\zeta$ -potentials measured in both conditions evidences the high colloidal stability of prepared AuNPs.

**Table 1.** Sizes (diameters),  $\zeta$ -potential of AuNPs. The error on diameters and on  $\zeta$ -potential is the standard deviation. For TEM, the mean from LogNormal fitting and the average of whole set of measured AuNPs with their respective standard deviations are presented.

	Size (diameter) /nm			$\zeta$ -pot / mV
	DLS	TEM		
	D	D <sub>LogNormal</sub>	D <sub>Average</sub>	
AuNPs in 100 $\mu$ M KCl	13 $\pm$ 2	9.2 $\pm$ 0.2	10 $\pm$ 3	-57.48 $\pm$ 1.5
AuNPs in 5 mM Carbonate buffer (pH=10.5)	14 $\pm$ 3	8.7 $\pm$ 0.2	10 $\pm$ 4	-55.72 $\pm$ 2.8

### 3.4 Proteins and AuNP-protein complex solutions preparation

Two proteins with high affinity for AuNPs surface have been employed, in order to secure the stability of the formed AuNP-protein complexes. The chosen proteins were Human Serum Albumin (HSA,) and Cytochrome C (CytC). HSA consists of 585 aminoacids with a molecular weight of 66.5 kD and presents single free cysteine residue at position 34 (Cys34) [18]. Cytochrome c, is a low molecular mass protein (ca. 12 kDa) containing a heme group, which is covalently bound to two cysteines of the amino acid sequence. In this work we use the Yeast iso-1-cytochrome c isolated from *Saccharomyces cerevisiae* (YCc), that presents a free cysteine in position 102 (Cys102). In principle, the interaction with NPs could induce changes in the conformation of proteins, affecting their functionality and allowing the formation of complexes in which their nature is altered. In this respect, it has been demonstrated that both proteins HSA and YCc, after the interaction with the spherical AuNPs, undergo only to minor conformational changes in  $\alpha$ -helical [19, 20], which makes these proteins suitable for forming stable AuNP- protein complexes.

HSA (purchased from Sigma-Aldrich, cod A3782, CAS Number: 70024-90-7) was solubilized in 100  $\mu$ M KCl. HSA-AuNP complexes were prepared by mixing an AuNP solution (as prepared in 100  $\mu$ M KCl) with an appropriate amount of a HSA solution to obtain the desired [HSA]/[AuNP] ratio. In the case of HSA the final AuNP concentration was fixed to 10.5 nM or to 28.5 nM. The prepared mixtures were kept at 25°C for 24h before measurements, in order to reach the equilibrium in the solution.

1 Cytochrome C from *Saccharomyces cerevisiae* (purchased from Sigma-Aldrich, cod C2436, CAS  
2 Number: 9007-43-6) was solubilized in 5 mM Carbonate buffer, pH= 10.5. Cyt C-AuNP complexes  
3 were prepared by mixing of an AuNPs solution (with pH adjusted to 10.5 by a proper addition of  
4 Carbonate buffer) with an appropriate amount of a Cyt C solution to obtain the desired [Cyt  
5 C]/[AuNP] ratio, keeping fixed the final AuNP concentration to 10.5 nM. Solution were kept at 25°C  
6 for 24h before measurements for reaching the equilibrium.  
7

8 It should be noted that the Cyt C-AuNP complexes were prepared at pH 10.5, a value to which they  
9 are well dispersed in solution and avoid aggregation. AuNPs produced by PLAL are stable in  
10 solutions in a range of pH between 4 and 11 [21], but in presence of CytC the stability of the formed  
11 complexes has a strong dependence from the solution pH. Ref. [20] demonstrated that Cyt C-AuNP  
12 complexes (prepared with YCc) are stable at pH higher than 10, while at pH below 10 aggregation  
13 occurs. Our experiments, performed at pH= 10.5 and 7.0, confirmed this behaviour (see Fig. S5a for  
14 details). Based on these considerations, in present work the AuNP- CytC complexes have been  
15 prepared in Carbonate buffer at pH 10.5.  
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18 After preparation, AuNP- protein complexes have been characterized by TEM in order to control the  
19 shape and size of AuNPs after their interaction with proteins (Fig.S6, S7). The presence of proteins  
20 does not induce any alterations on AuNPs size distribution.  
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## 26 4. Results and discussion

### 27 4.1 NP- protein corona complex characterization

28 The protein corona formation is a dynamic process depending on the binding affinity and rate of  
29 absorbed protein on NPs. Proteins, depending on their composition, size, surface charge, can adsorb  
30 and desorb to the surface of NPs. The equilibrium between the free proteins in solution and the bound  
31 proteins on the surface is reached when the absorption and desorption rates of proteins become equal  
32 and the continued exchange will not affect the composition of the corona [22]. A long term [15] high  
33 affinity binding of proteins on NPs forms the so called “hard” corona consisting in bound proteins  
34 that do not readily desorb from the surface and that can covalently bound the NPs surface. The number  
35 of proteins needed for the corona formation depends on the size of both NPs and proteins.  
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38 In this paper, the two employed proteins, for the AuNP- protein complexes, HSA and CytC, have  
39 both a free cysteine residue and have an extremely different size. They are therefore chosen for the  
40 NELIBS measurements not only for their strong and similar affinity for the gold surface but also  
41 because at fixed AuNPs concentration a markedly different number of proteins to form a layer of  
42 protein on NPs is needed.  
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45 To have an estimation of the concentration of HSA and CytC needed to form a single protein corona,  
46 the number of proteins required has been estimated theoretically. The number of protein binding sites  
47 on NPs surface depends strictly on the NPs and protein sizes. An ideal maximum number of proteins  
48 adsorbed on the NPs surface can be retrieved by considering, in first approximation, the proteins as  
49 spheres physically in contact with the nanoparticle surface. Therefore the ratio between the NPs  
50 surface and the cross-section of the proteins gives a geometrical prediction [14] on the maximum  
51 number of binding sites:  
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$$N_{geo} = \frac{4\pi R_{AuNPs}^2}{\pi R_g^2} \quad \text{Eq. 1}$$

Where  $R_{AuNPs}$  is the NPs radius and  $R_g$  is the protein radius of gyration calculated from the protein structure. For the geometrical calculation, the protein radius of gyration is chosen because of the approximation of protein shape to a sphere while for the NPs radius, the hydrodynamic radius of AuNPs measured with DLS has been used, since the calculation refers to a protein corona formed in the solution. The determination of the number of binding sites on NPs is a more complex issue with respect to a simple geometrical prediction, since it depends on some variables as the real geometry of the protein (usually globular), the NPs surface reactivity, the protein-protein interaction, and so on. In any case, for the main aim of this paper, this last issue is out of scope and the geometrical calculation can give a fast indicative prediction on the concentration of the employed proteins needed to form a single layer of protein corona. Once  $N_{geo}$  is known, the concentration of proteins needed to form a single layer of proteins corona can be easily determined:

$$[protein]_{geo}^{corona} = N_{geo} \cdot [AuNPs] \quad \text{Eq. 2}$$

Where  $[protein]$  and  $[AuNPs]$  are the molar concentrations of protein and AuNPs, respectively.

The parameters ( $R_g$ ) taken from literature and employed for the geometrical calculation of the concentration of proteins needed for the formation of a single layer of proteins corona are shown in Table 2, which also reports the  $N_{geo}$  calculated for both proteins and the relative concentrations needed to form a single layer of PC.

**Table 2** AuNP size and concentrations, protein gyration radii from literature, geometrical prediction of protein unities and protein concentrations.

	[AuNPs] (nM)	$R_{AuNPs}^{DLS}$ (nm)	$R_g^{HSA}$ (nm) [18]	$R_g^{CytC}$ (nm) [23]	$N_{geo}^{HSA}$	$N_{geo}^{CytC}$	$[HSA]_{geo}^{corona}$ ( $\mu$ M)	$[CytC]_{geo}^{corona}$ ( $\mu$ M)
1 <sup>st</sup> case	10.5	6.5	2.6	1.39	25	87	0.26	0.92
2 <sup>nd</sup> case	28.5	6.5	2.6	1.39	25	87	0.71	-

SPR spectroscopy and DLS have been used to monitor the formation of protein corona [24-25] and its stability as function of time.

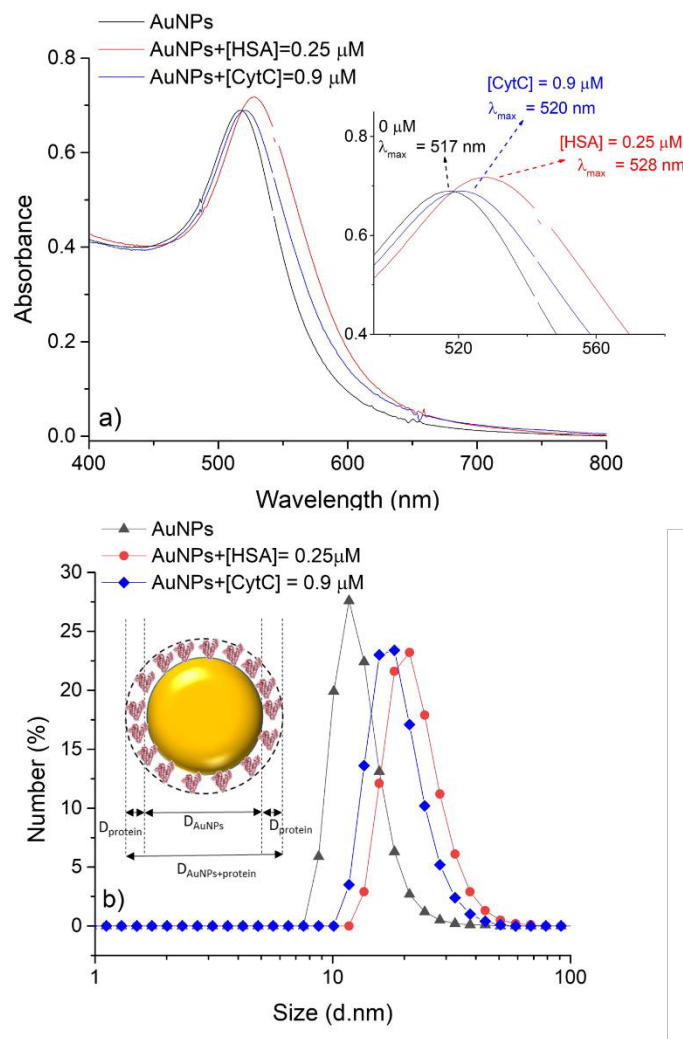
Fig. 1a shows the absorbance spectra of bare AuNPs and AuNP-protein complexes formed in presence of the protein concentration at which the corona is obtained ( $[HSA]=0.25 \mu$ M and  $[CytC]=0.9 \mu$ M, see table 2).

The prepared AuNPs have the peak of the plasmon resonance band at 517 nm. By the inspection of figure it can be observed, for both complexes, a red shift of the wavelength at the maximum of absorbance with respect to the one of bare AuNPs. This shift is due to the variation of the refractive index of the NPs surrounding and is an evidence of the presence of a protein layer [25].

SPR has been used also to follow the stability of both types of complexes over time. For what concerns the AuNP-HSA complex, Fig5b shows the trend of the red shift of the wavelength at the

maximum of absorbance ( $\Delta\lambda_{\max} = \lambda_{\max-\text{AuNPs+HSA}} - \lambda_{\max-\text{AuNPs}}$ ) as function of time after the HSA incubation. It is clearly evident that after a few minutes  $\Delta\lambda_{\max}$  reaches a maximum value and it remains constant for a long time. The inset of Fig. S5a shows the stability of the Cyt C-AuNP complex at pH 10.5 over time. These experiments demonstrate both the formation of the AuNP-protein complexes and their stability in time.

Fig.1b shows the corresponding size distributions of the as prepared AuNPs, and AuNP-protein complexes estimated with DLS measurements. As it can be observed, at  $[\text{HSA}] = 0.25 \mu\text{M}$  and at  $[\text{CytC}] = 0.9 \mu\text{M}$ , an increase of average diameter for both complexes is visible and it can be ascribed to the protein corona formation. An increase of average diameter from  $13 \pm 2 \text{ nm}$  (measured for free AuNPs) to  $21 \pm 2 \text{ nm}$  for AuNP- HSA complex and to  $18 \pm 2 \text{ nm}$  for AuNP- Cyt C complex, is respectively observed. The observed increase of the diameter is reasonable if we take into account the measured hydrodynamic diameters of HSA,  $5.24 \pm 0.08 \text{ nm}$ , and of CytC,  $3.2 \pm 0.3 \text{ nm}$ , which are also closely similar to the gyration diameters reported in table 2. So, the measured sizes of NP- protein complexes are indeed comparable to the sizes obtained on the basis of  $D_{\text{AuNP-protein}}$  shown in the inset of Fig. 1b, which are about 23 nm and 19 nm for AuNP-HSA and AuNP- Cyt C, respectively.



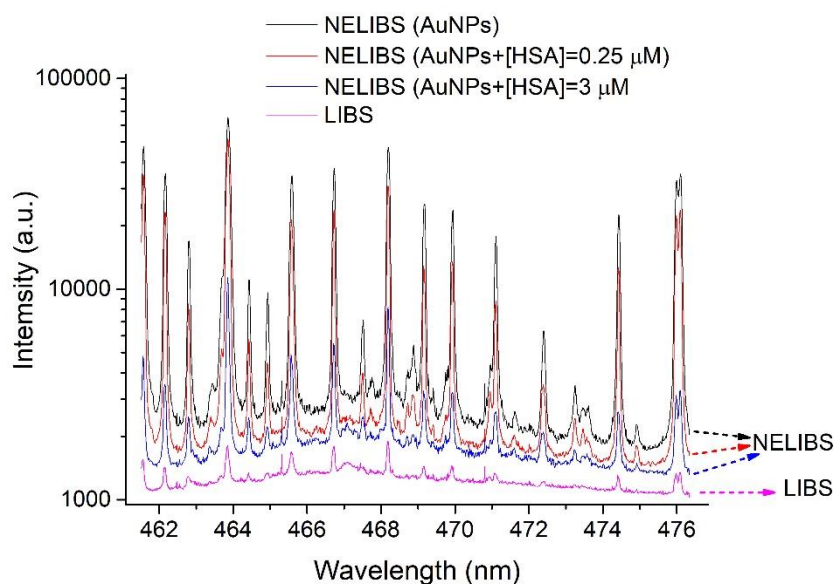
**Fig. 1** a) Absorbance spectra of AuNPs, AuNP-HSA and AuNP- Cyt C complex solutions. Inset reports an enlargement of the spectra in the maximum absorption region. b) DLS hydrodynamic size distributions of AuNPs, AuNP-HSA and

AuNP- Cyt C complexes. The inset shows the conceptual model for the geometrical calculation of the complex diameter. ([HSA] =0.25  $\mu$ M, [CytC] = 0.9  $\mu$ M).

## 4.2 Effect of NP- protein complex on NELIBS enhancement.

Solutions of AuNP- protein complexes obtained at fixed concentration of AuNPs incubated with increasing concentration of protein have been employed for NELIBS experiments, in order to investigate their effect on the enhancement of Ti-substrate emission lines as function of protein concentration. SPR (data not shown), DLS and  $\zeta$ -potential was systematically applied to each colloidal solution employed for the NELIBS measurements. Since the solution was dried on metallic sample to perform NELIBS, also TEM measurements of AuNPs with different concentration of proteins have been performed, to control the shape and structure of the AuNPs after drying.

A frame of the emission spectra of titanium of LIBS and NELIBS with AuNPs and AuNPs with protein is reported in Fig.2 in order to show the improvement of NELIBS intensity with respect to LIBS. All the emission lines in this portion of the plasma emission spectrum belong to Ti I electronic transition. By the inspection of Fig.2 it can be noted that NELIBS has higher emission intensity with respect to LIBS and that the concentration of protein in the colloidal solution affects the intensity of NELIBS spectra. In the following, it will be reported the enhancement as the line intensity ratio of Ti I at 462.31 nm obtained with NELIBS and LIBS. The intensity of an emission line is extracted by the peak area with a Voigt fitting process [26].



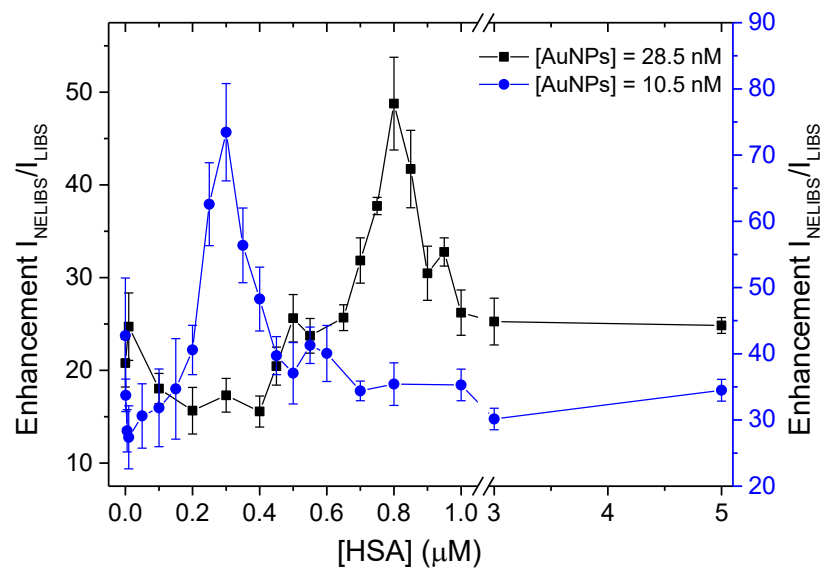
**Fig. 2** Emission spectra during LIBS and NELIBS of Ti target with AuNPs and with AuNPs+HSA at two HSA concentrations, 0.25  $\mu$ M and 3  $\mu$ M, respectively. ( $E_{\text{laser}}= 360$  mJ, laser spot size =  $1.7 \pm 0.1$  mm).

The first NELIBS experiments were performed in presence of HSA. Two different sets of samples were prepared, each containing a fixed concentration of AuNPs (namely 10.5 nM or 28.5 nM) and varying concentrations of protein. Different concentrations of AuNPs require different concentration of proteins to form a single layer of proteins corona, as reported in Table 2, where calculated values are shown.

Fig. 3 shows that the trend of NELIBS enhancement varies as function of the added HSA, for both AuNPs concentrations. The maximum enhancement is obtained at HSA concentrations close to that calculated to form the corona: i.e.  $[HSA]_{geo}^{corona} = 0.26 \mu\text{M}$  for 10.5 nM AuNPs and  $[HSA]_{geo}^{corona} = 0.71 \mu\text{M}$  for 28.5 nM AuNPs.

By plotting the enhancement as function of number of HSA units in Fig.4a it can be observed that the two maxima of enhancement are coincident, determining an experimental number of HSA needed to form the corona, that is  $N_{exp}^{HSA} = 28 \pm 4$ , in good agreement with  $N_{geo}^{HSA} = 25$ .

$\zeta$ -potential measurements have been performed to monitor the surface charge of the AuNP-HSA complex when different concentrations of protein are added to the bare NPs.



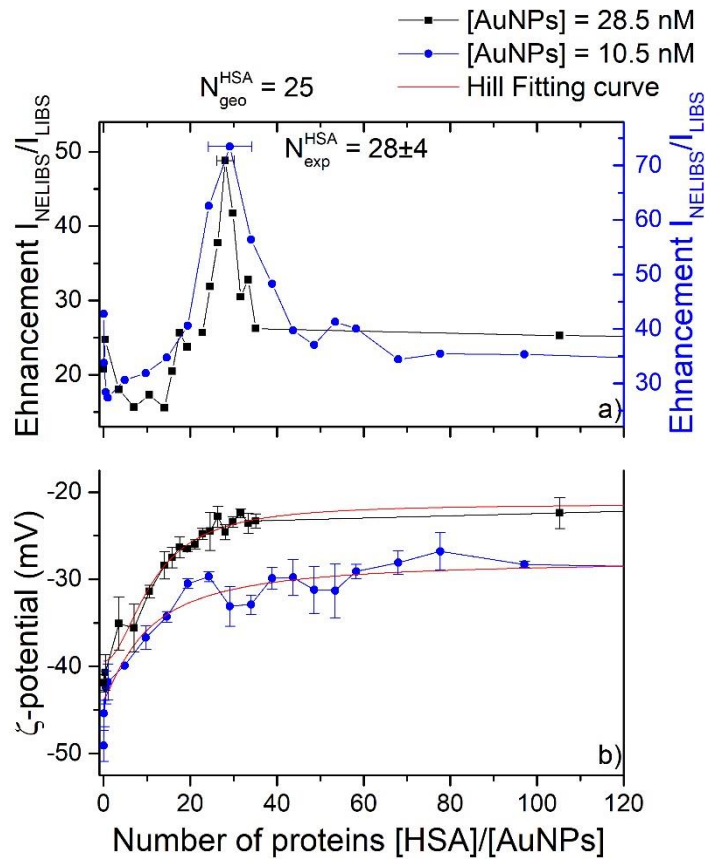
**Fig.3** NELIBS enhancement as function of HSA concentration at two fixed AuNP concentrations: 10.5 nM and 28.5 nM. ( $E_{laser} = 260 \text{ mJ}$ , laser crater diameter =  $1.5 \pm 0.1 \text{ mm}$ )

AuNPs alone in solution present a  $\zeta$ -potential of about -57 mV (see Table 1). Fig.4b reports  $\zeta$ -potential values measured at different HSA/AuNPs ratios and shows as the protein absorption on the NPs surface determines a significant reduction of the overall surface charge of the NP- protein complexes, for both AuNPs concentrations tested. As the protein number increases, the  $\zeta$ -potential of the complexes becomes less negative and it reaches a specific value at the protein number at which corona formation occurs. After the corona formation, the  $\zeta$ -potential holds a constant value, meaning that no other changes occur on the AuNPs surface.

Also the two  $\zeta$ -potential curves as function of number of HSA, fitted with similar Hill equation (Langmuir adsorption isotherm) [27, 28], demonstrate the formation of single corona for the AuNPs size of 13 nm above  $N_{geo}^{HSA} = 25$ . In the supporting information, more details on the fitting parameters can be found.

The dependence of the NELIBS enhancement on protein concentration shown in Fig 3 reveals important informations.

At very low HSA concentrations a decreasing of the enhancement is observed, after which the maximum enhancement is detected in correspondence of the corona formation. At even higher protein concentrations, the enhancement decreases again. This phenomenon, observed for both AuNPs concentrations, could be related to different types of AuNPs deposition on the titanium substrate, obtained during sample drying, for different HSA concentrations.



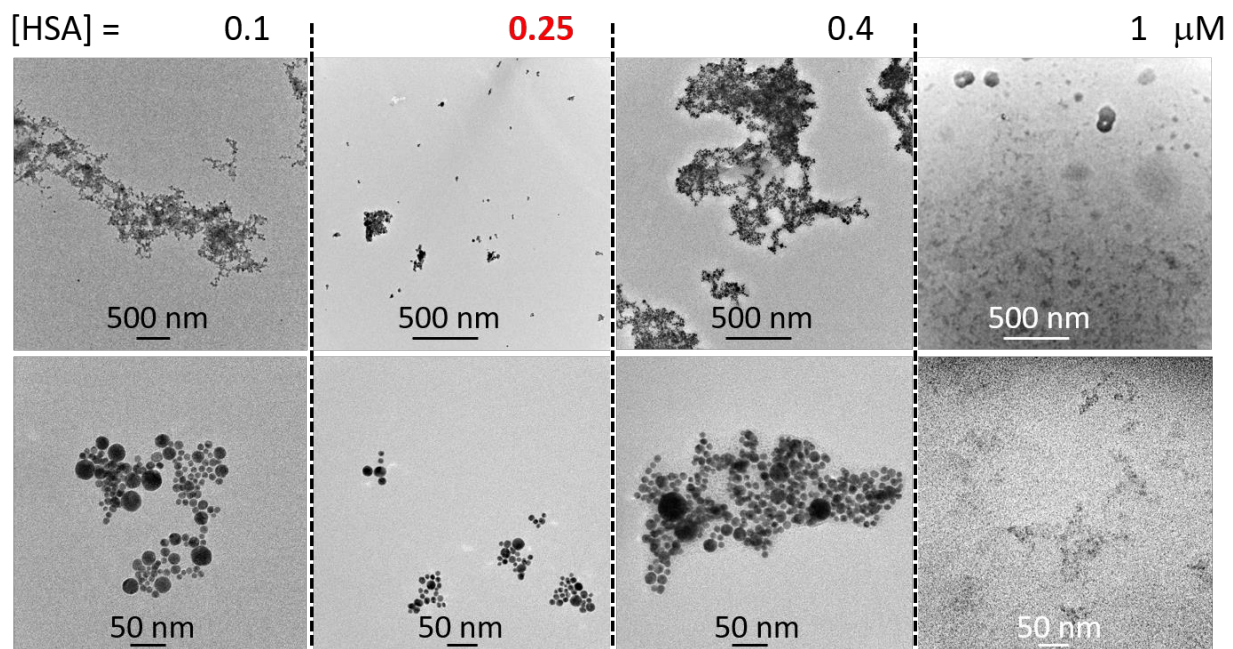
**Fig. 4 a)** NELIBS enhancement and **b)**  $\zeta$ -potential data reported as a function of number of HSA added at two fixed AuNP concentrations: 10.5 nM and 28.5 nM. ( $E_{laser} = 260 \text{ mJ}$ , laser crater diameter =  $1.5 \pm 0.1 \text{ mm}$ ). Red lines represent fits to Langmuir adsorption isotherms. Fitting parameters are reported in SI.

At protein concentrations below the one that allows the corona formation, the increase of the  $\zeta$ -potential values measured for AuNP-protein complexes (see Fig. 4) denotes a partial neutralization of the NP surface charges, due to the bounded proteins, that can induce NPs aggregation. As a result of this, during the droplet drying on the substrate surface, large clusters with random three dimensional shape can be deposited. This is observable in the TEM image shown in Fig.5, for  $0.1 \mu\text{M}$  HSA. If NPs are not deposited in a single layer, defect on plasmon system induces tunnelling effect and degradation of the plasmon resonance and consequently the NELIBS signal decreases.

The situation becomes different when the proteins concentration is in the range of concentration where corona formation occurs. Although the  $\zeta$ -potential shows an even less negative value than the previous scenario, each NPs is surrounded by the protein shell preventing the massive aggregation of the NPs, also during the drying stage. As shown in the corresponding TEM images (at  $0.25 \mu\text{M}$  HSA),

1 after drying the colloidal solution, clusters of NPs well distributed in bi-dimensional layers are  
2 observable on the substrate surface. This NPs distribution allows an optimized coupling of the  
3 plasmonic system. In this view, the enhancement reaches a maximum when the protein corona is  
4 completely formed.

5 When the HSA concentration exceeds the number of proteins needed to form the corona, the  
6 enhancement begins to decrease. The drying of the solution containing an excess of proteins can  
7 affect the organization of AuNPs on the sample surface as proteins can fill the interspace between  
8 NPs. Thus, NPs precipitate quite far from each other and their plasmonic coupling is weakened.  
9 At very high HSA concentration, the enhancement becomes lower since the AuNPs dried on titanium  
10 are completely immersed in the protein matrix that reduces further the signal introducing the classical  
11 effect of the organic matter on plasma formation. This effect is visible in Fig. 5 where TEM image  
12 acquired at 1  $\mu$ M HSA (excess of proteins in solution) clearly shows the presence of organic material  
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42 **Fig.5** TEM images of the AuNP-HSA complex at four HSA concentrations, 0.1, 0.25 , 0.4 and 1  $\mu$ M, respectively. The  
43 two rows of the figure present two different magnifications of the same sample.  
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45 A second set of NELIBS experiments was performed on AuNP- CytC complexes, prepared at fixed  
46 concentration of AuNPs (10.5 nM) and varying CytC concentrations.

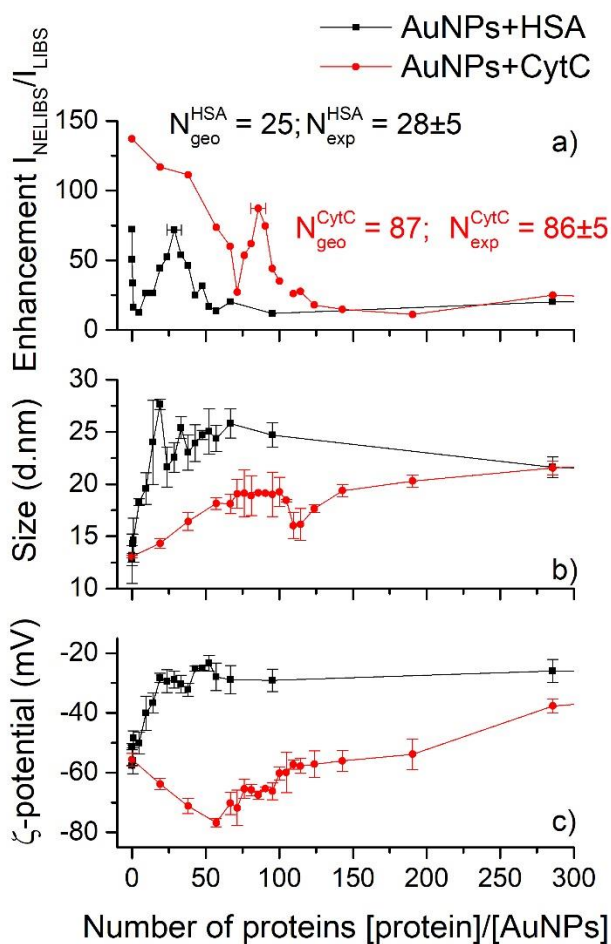
47 In the following, obtained results will be shown in comparison with those obtained for HSA in the  
48 same conditions of AuNPs and protein concentration.  
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50 Fig. S8 shows the comparison of the obtained NELIBS enhancement as a function of the  
51 concentration of both proteins. Also in the case of CytC the enhancement reaches its maximum at a  
52 concentration very close to that calculated to form the corona: i.e. 0.92  $\mu$ M. Fig. 6a summarizes  
53 NELIBS enhancement results obtained for both proteins as function of number of proteins. It can be  
54 observed that the maxima of enhancement is obtained for 86.5 CytC units, allowing the experimental  
55 determination of protein number needed to form the corona, in good agreement with the calculated  
56 one ( $N_{geo}^{CytC} = 87$ ). The CytC protein number needed to form the corona results to be higher than that  
57 required for HSA, due to their different size.  
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Fig. 6 also shows the corresponding DLS and  $\zeta$ -potential data obtained for each solution employed for NELIBS.

Fig.6b reports size values measured at different protein/AuNP ratios for both HSA and CytC. The protein absorption on the NPs surface determines a significant increase of the size, reaching a plateau at around 23 nm and 18 nm for AuNP-HSA and AuNP-CytC complexes, respectively.

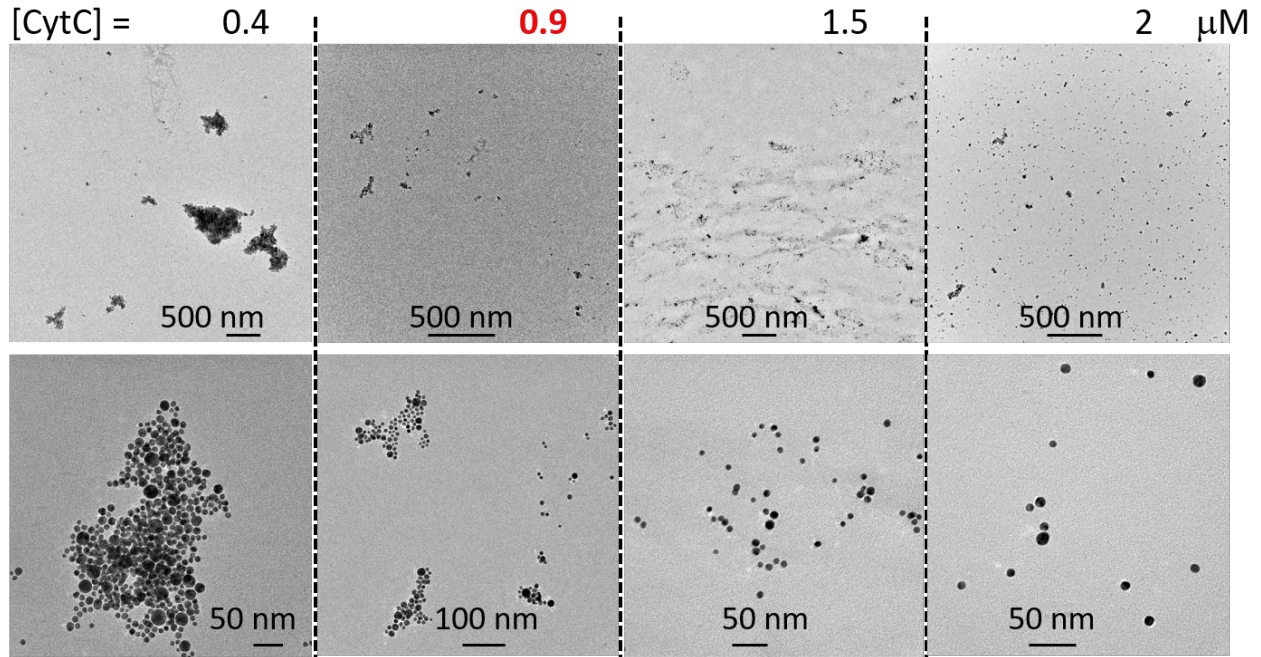
The trend of the complex sizes reveals that the values of the plateaux corresponds to the geometrical sizes that the complexes should have when a single layer of protein corona is formed. The variation of the hydrodynamic radius of the NP- protein complexes as function of the number of the added proteins indicates that, when the proteins begin to be adsorbed on the NPs surface, the size of the NPs increases until the binding absorption sites on the NPs surface are saturated. If the coverage of proteins on the NPs surface corresponds to a monolayer of proteins, the hydrodynamic radius holds a constant value even if an excess of proteins is added with respect to the number of proteins needed for the protein corona formation [27].



**Fig.6 a)** NELIBS enhancement, **b)** hydrodynamic diameter and **c)**  $\zeta$ -potential data reported as a function of number of proteins (HSA and CytC) added at 10.5 nM AuNPs concentrations. ( $E_{laser} = 360$  mJ, laser crater diameter =  $1.7 \pm 0.1$  mm)

The trend of  $\zeta$ -potential as function of number of added proteins, also shows the formation of protein corona (as previously described) for both complexes (Fig. 6c). In the case of AuNP-CytC complex, when the protein concentration is very low, it can be noted an initial decreasing of  $\zeta$ -potential –in terms of negative value) and, only after a certain concentration, the  $\zeta$ -potential increases again for

reaching a plateau. This behaviour is due to the presence of the carbonate in this colloidal solution and the consequent interference between carbonate and protein with the AuNPs: only after a certain number of protein occupies the NPs surface binding sites, the surface charge of the NPs begins to decrease because of the interaction of AuNPs and the protein. Overall, the size and  $\zeta$ -potential data reported as function of number of proteins clearly indicate the protein corona formation in solution around a number of added proteins of  $N_{geo}^{HSA} = 25$  and  $N_{geo}^{CytC} = 87$  for HSA and CytC, respectively. These values are in very good agreement with the ones experimentally found with the NELIBS,  $N_{exp}^{HSA} = 28 \pm 4$  and  $N_{exp}^{CytC} = 86 \pm 5$  for HSA and CytC, respectively.



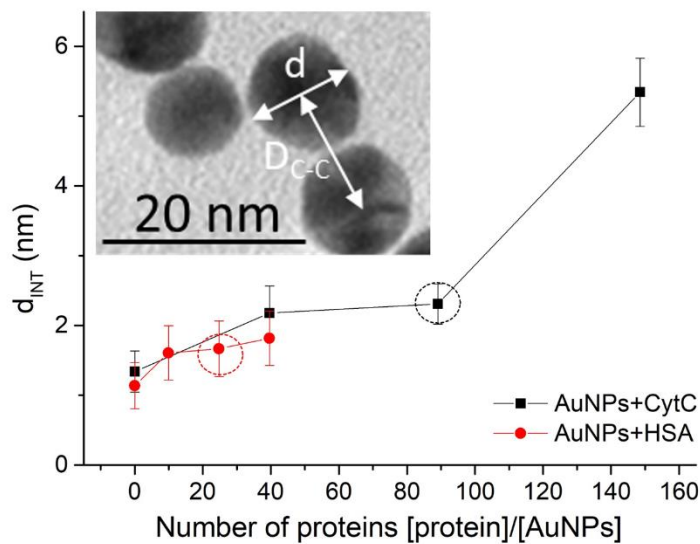
**Fig. 7** TEM images of the AuNP-CytC complex at four HSA concentrations, 0.4, 0.9 , 1.5 and 2  $\mu\text{M}$ , respectively. The two rows of the figure present two different magnifications of the same sample.

As already seen for HSA test case, the dependence of NELIBS enhancement on CytC concentration shown in Fig S8 could be related to different types of AuNPs deposition on the titanium substrate, obtained during sample drying. At protein concentrations lower than that one allowing the corona formation, during the droplet drying on the substrate surface, NPs aggregation occurs. This is shown by TEM images in Fig. 7, for 0.4  $\mu\text{M}$  CytC, where three-dimensional NPs clusters are evident, in agreement with the decrease of NELIBS signal registered. At protein concentrations at which corona formation occurs, after drying, NPs are well distributed in bi-dimensional layers on the substrate surface, as shown in Fig. 7 for 0.9  $\mu\text{M}$  CytC. In this condition, a good coupling of the plasmonic system is obtained, allowing the maximum of enhancement. At high CytC concentration, the presence of an excess of proteins generates dried AuNPs immersed in the organic matrix and far from each other, as evidenced by TEM images for 1.5 and 2  $\mu\text{M}$  CytC. In these conditions, the NPs plasmonic coupling is weakened and the enhancement decreases.

In order to investigate the effect of the plasmonic system arrangement on the surface, the interparticle distance has been estimated as  $d_{INT} = D_{c-c} - d$  [29], where  $D_{c-c}$  is the centre-to-centre distance between two adjacent AuNP-protein complexes whose value is statistically measured from



logNormal distribution of several  $D_{CC}$  measured from TEM images;  $d$  is the AuNPs diameter obtained from logNormal distribution of NP diameters measured from TEM images (table 1). The distance of the deposited NPs on the sample surface is reported in Fig.8. The Fig.8 shows that the distance increases at protein concentration higher than the one of the corona. As the increase of the interparticle distance implies the decreasing of the effective plasmonic electromagnetic field, NELIBS signal decreases. It is interesting that when the corona is formed the absolute value of the enhancement (see Fig.6) is the same within the experimental error as well as the inter-particle distance for both the investigated NP- protein systems, denoting that the typology of protein does not affect notably the enhancement when optimal conditions are reached, because the enhancement of the electromagnetic field, as well as the NELIBS one, mainly depends on the NPs plasmon resonance. On the contrary, as mentioned above, the decreasing of the signal for low protein concentration is due to the formation of aggregate during the drying process. In this case, the effect of the smaller interparticle distances is mitigated by the three-dimensional cluster deposited on the surface that decreases the efficiency of the electromagnetic field on the sample surface.



**Fig.8** AuNPs interparticle distances ( $d_{INT} = D_{c-c} - d$ ) as function of number of added proteins (HSA, CytC), estimated from TEM images of AuNP-protein complexes.

## 5. Conclusions

In this paper NP-protein system mediated NELIBS has been investigated in order to search a correlation between NELIBS enhancement and the structure of the NP- protein complexes. Although LIBS is based on the sample breakdown and atomic plasma induction, the use of NPs for improving the signal has been demonstrated to be very sensitive to the plasmonic interactions with the incoming laser beam. Two different NP- protein systems have been studied and they are HSA and CytC, having both strong affinity for the AuNPs due to the bonding between the single free exterior thiol and gold surface but quite different size. With the present experiment, it has been observed that the Ti-NELIBS enhancement strictly depends by the NP- protein structure and on the plasmonic organization reached after the NP deposition process on the sample. Several techniques including DLS, SPR,  $\zeta$ -potential and TEM, have been employed for the characterization of the NP-protein complex solution and

consequent observations have been correlated to the NELIBS enhancement as function of the NPs/protein concentration ratio. Finally, the NELIBS maximum of enhancement allowed measuring the number of protein units that form the protein corona. This paper for the first time shows a different use of a laser ablation technique in analytical chemistry that is not the elemental analysis, but the sensing of the number of protein in the AuNP-protein corona system.

### Acknowledgements:

The authors would like to thank Prof. Gerardo Palazzo for fruitful discussions and Miss Elena Vaníčková for the collaboration during the experiments.

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## Table and figure Captions:

**Table 1.** Sizes (diameters),  $\zeta$ -potential of AuNPs. The error on diameters and on  $\zeta$ -potential is the standard deviation. For TEM the mean from LogNormal fitting and the average of whole set of measured AuNPs with their respective standard deviations are presented.

**Table 2** AuNPs size and concentrations, protein gyration radii from literature, geometrical prediction of protein unities and protein concentrations.

**Fig. 1** a) Absorbance spectra of AuNPs, AuNP-HSA and AuNP- Cyt C complex solutions. Inset reports an enlargement of the spectra in the maximum absorption region. b) DLS hydrodynamic size distributions of AuNPs, AuNP-HSA and AuNP- Cyt C complexes. ( $[HSA] = 0.25 \mu M$ ,  $[CytC] = 0.9 \mu M$ ). The inset shows the conceptual model for the geometrical calculation of the complex diameter.

**Fig. 2** Emission spectra during LIBS and NELIBS of Ti target with AuNPs and with AuNPs+HSA at two HSA concentrations,  $0.25 \mu M$  and  $3 \mu M$ , respectively. ( $E_{laser} = 360 \text{ mJ}$ , laser crater diameter =  $1.7 \pm 0.1 \text{ mm}$ ).

**Fig. 3** NELIBS enhancement as function of HSA concentration at two fixed AuNP concentrations:  $10.5 \text{ nM}$  and  $28.5 \text{ nM}$ . ( $E_{laser} = 260 \text{ mJ}$ , laser spot size =  $1.5 \pm 0.1 \text{ mm}$ )

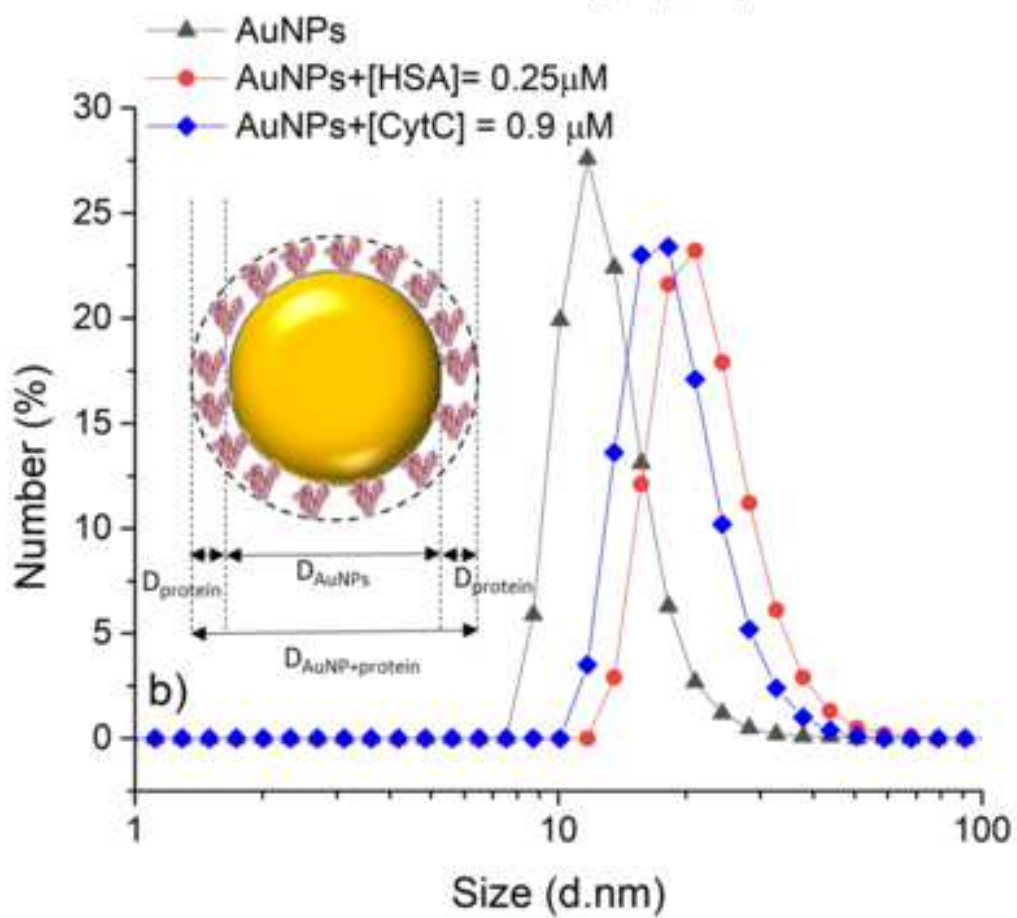
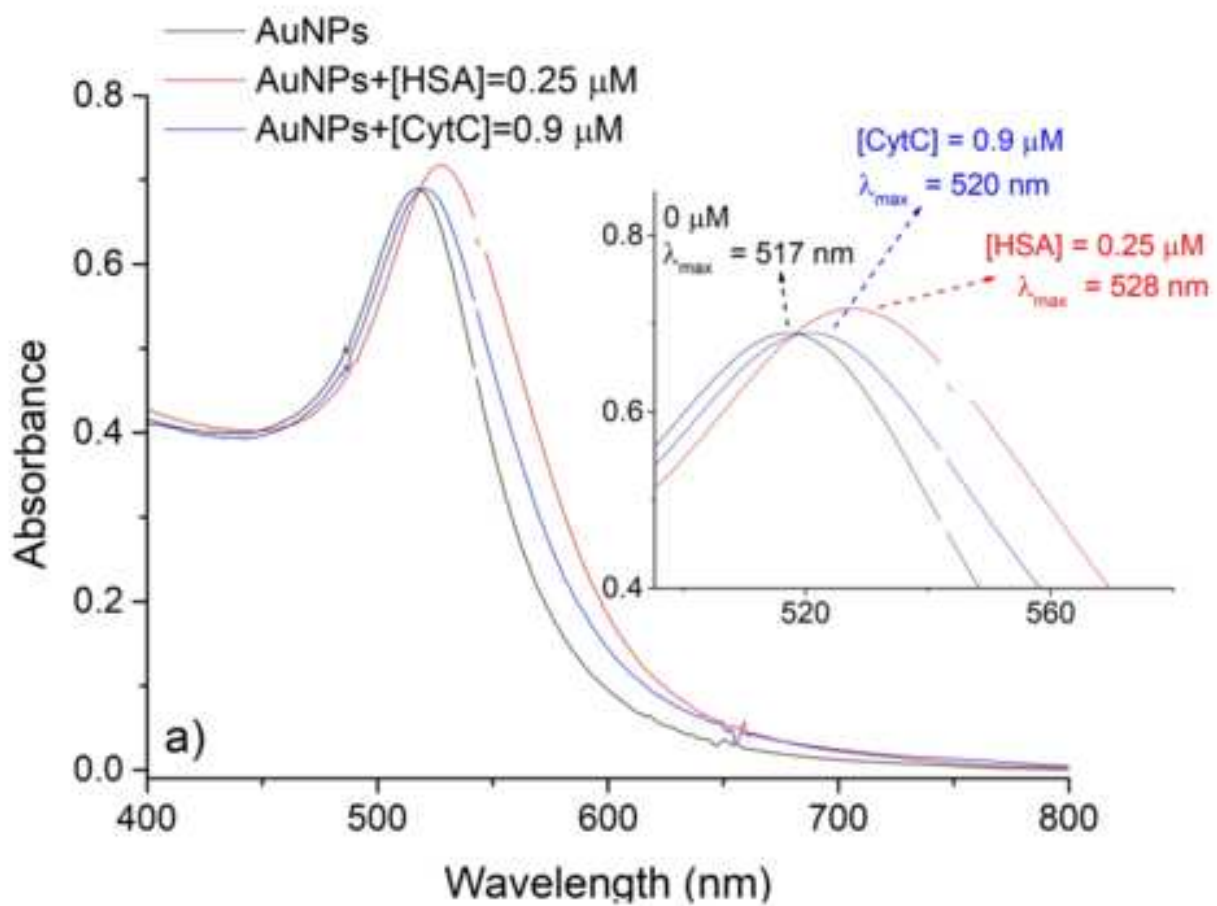
**Fig. 4 a)** NELIBS enhancement and **b)**  $\zeta$ -potential data reported as a function of number of HSA added at two fixed AuNP concentrations:  $10.5 \text{ nM}$  and  $28.5 \text{ nM}$ . ( $E_{laser} = 260 \text{ mJ}$ , laser crater diameter =  $1.5 \pm 0.1 \text{ mm}$ ). Red lines represent fits to Langmuir adsorption isotherms. Fitting parameters are reported in SI.

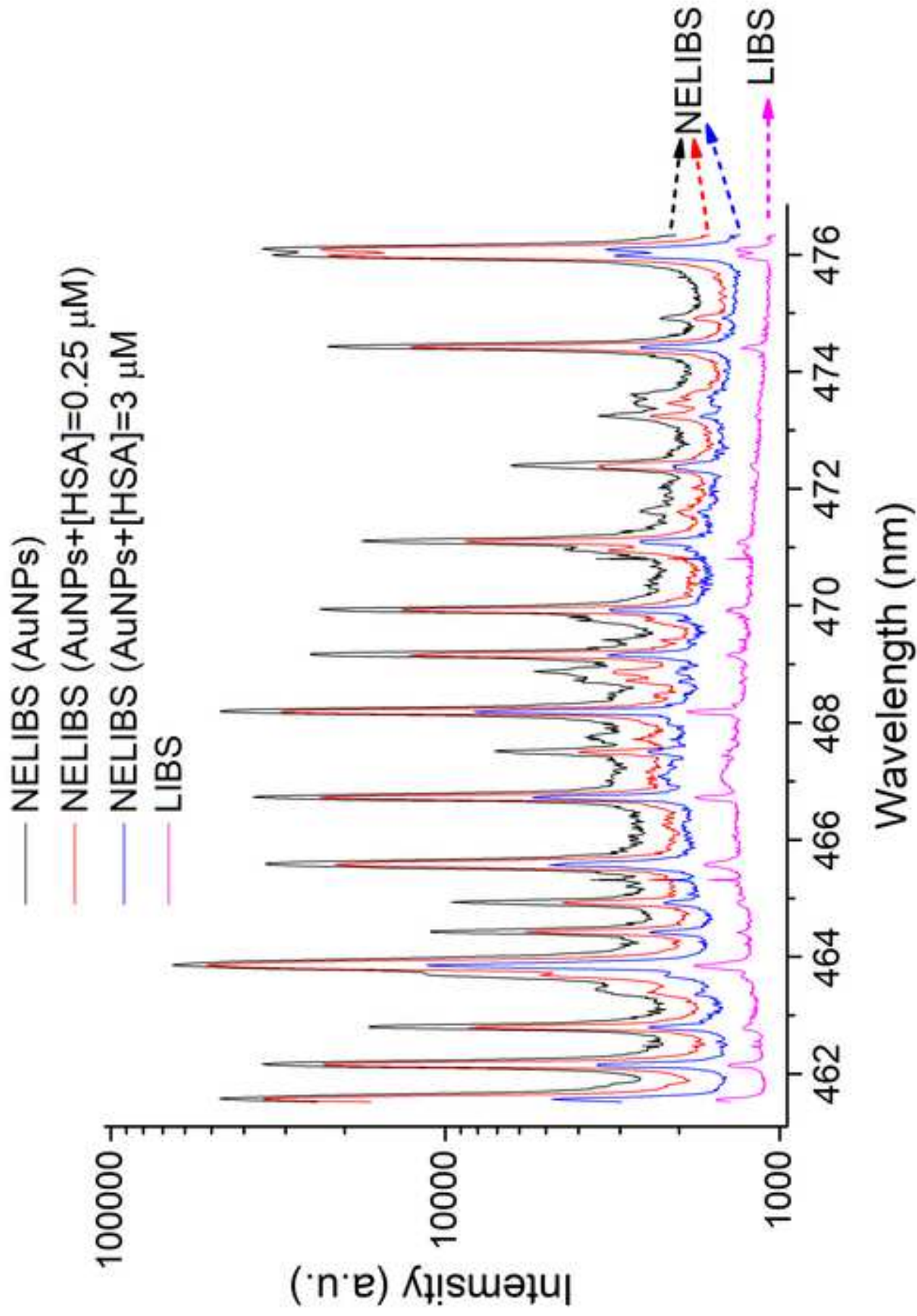
**Fig.5** TEM images of the AuNP-HSA complex at four HSA concentrations,  $0.1$ ,  $0.25$ ,  $0.4$  and  $1 \mu M$ , respectively. The two rows of the figure present two different magnifications of the same sample.

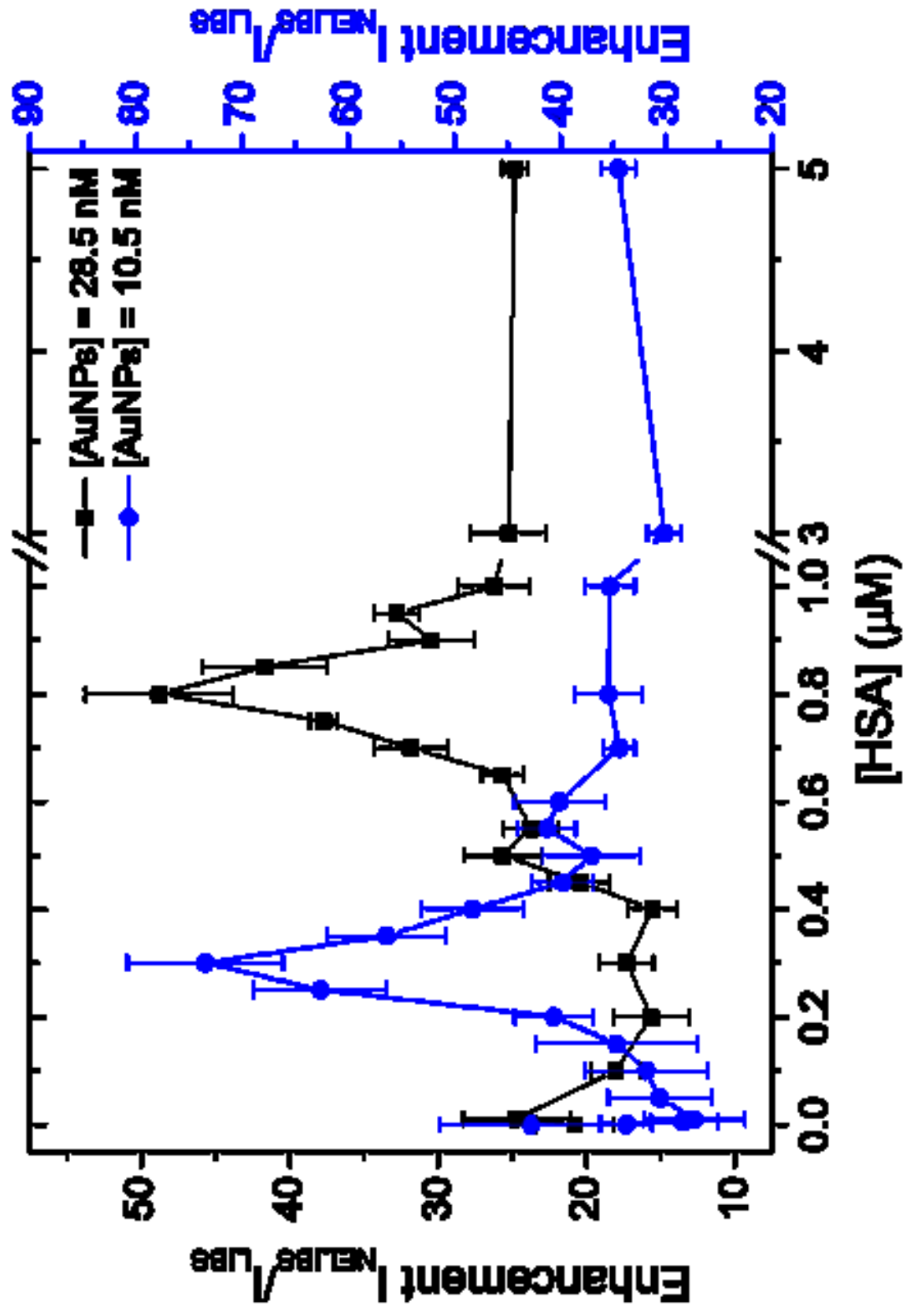
**Fig.6 a)** NELIBS enhancement, **b)** hydrodynamic diameter and **c)**  $\zeta$ -potential data reported as a function of number of proteins (HSA and CytC) added at  $10.5 \text{ nM}$  AuNPs concentrations. ( $E_{laser} = 360 \text{ mJ}$ , laser crater diameter =  $1.7 \pm 0.1 \text{ mm}$ )

**Fig. 7** TEM images of the AuNP-CytC complex at four HSA concentrations,  $0.4$ ,  $0.9$ ,  $1.5$  and  $2 \mu M$ , respectively. The two rows of the figure present two different magnifications of the same sample.

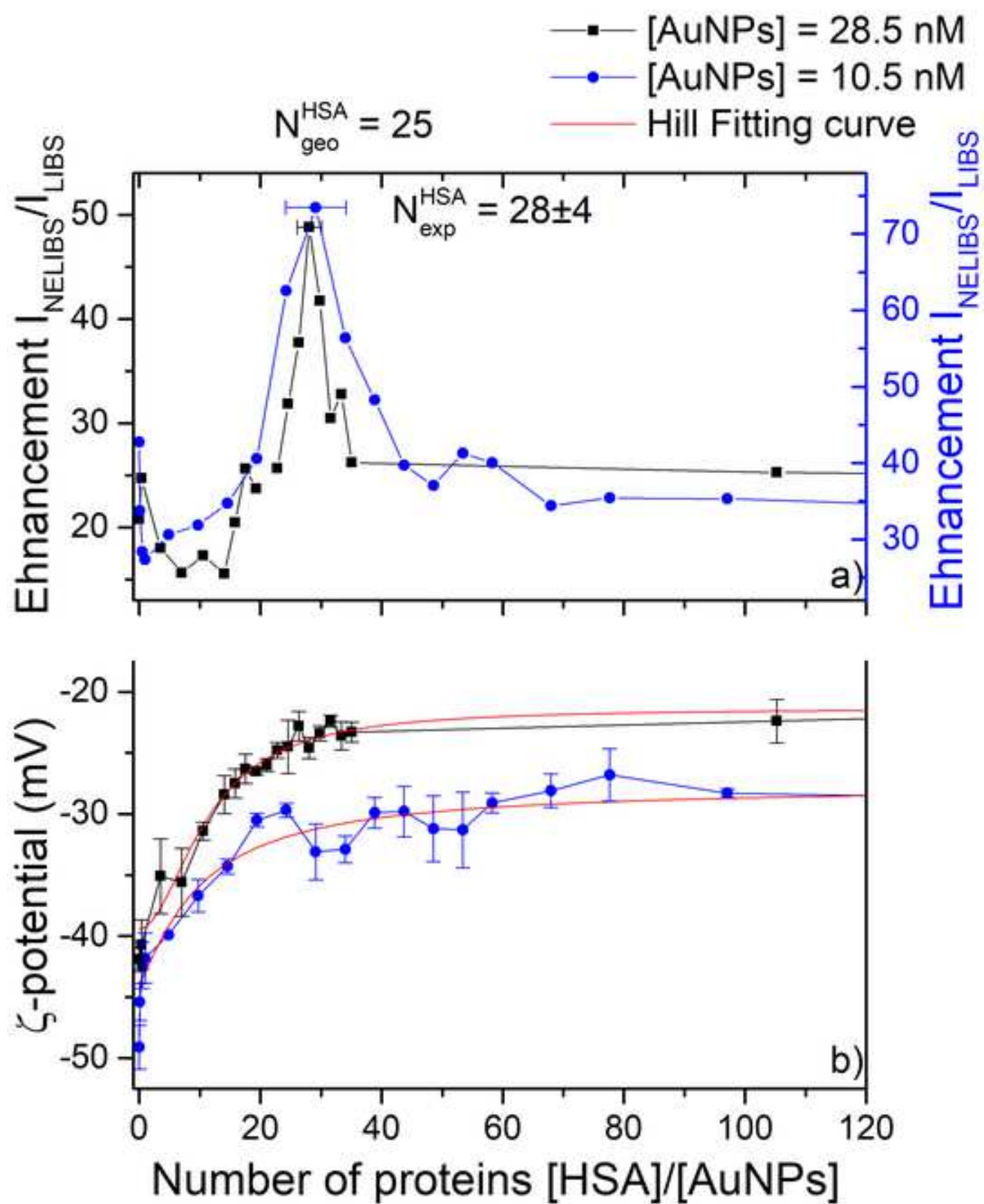
**Fig.8** AuNPs interparticle distances ( $d_{INT} = D_{c-c} - d$ ) as function of number of added proteins (HSA, CytC), estimated from TEM images of AuNP-protein complexes.

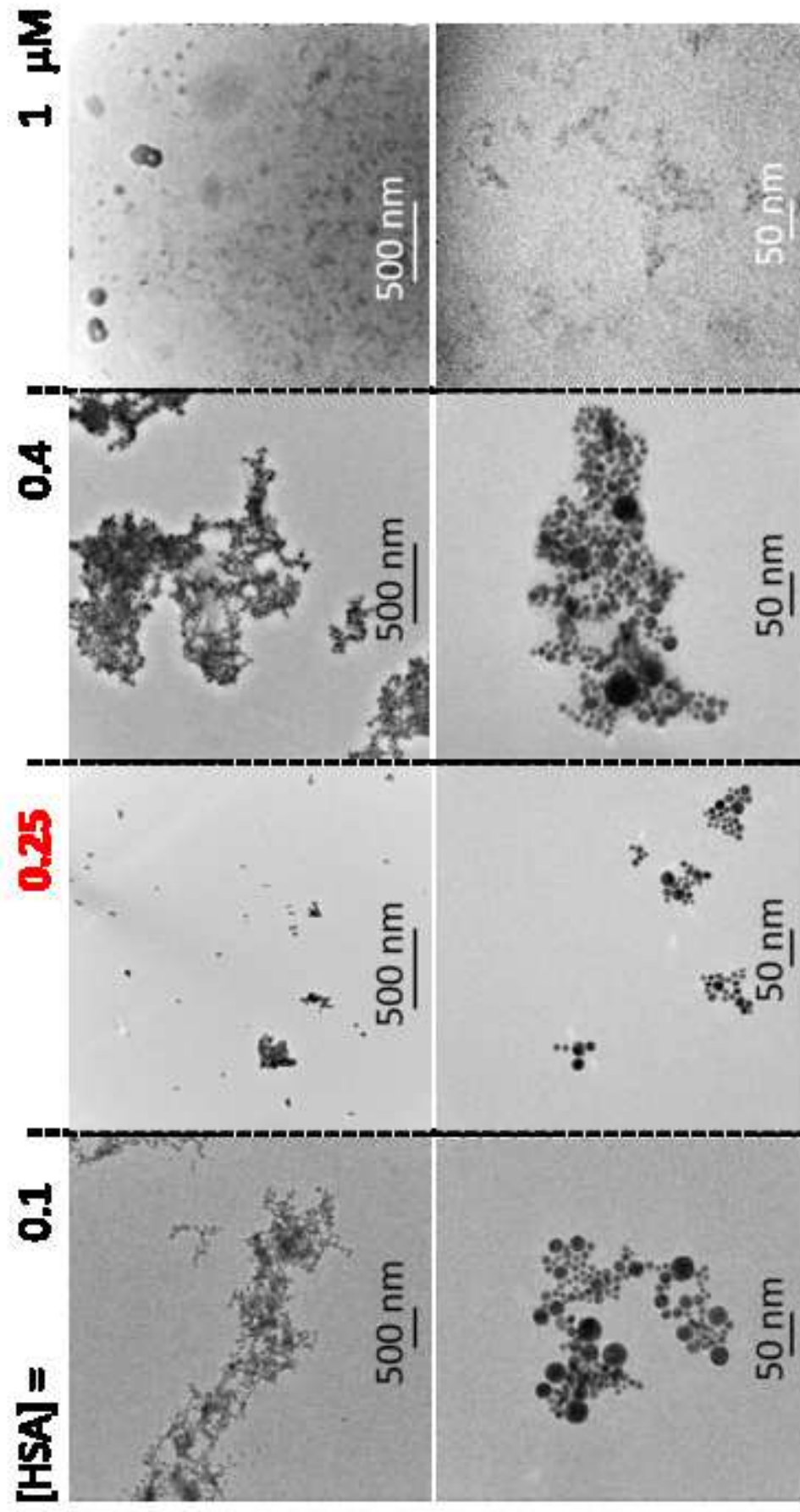


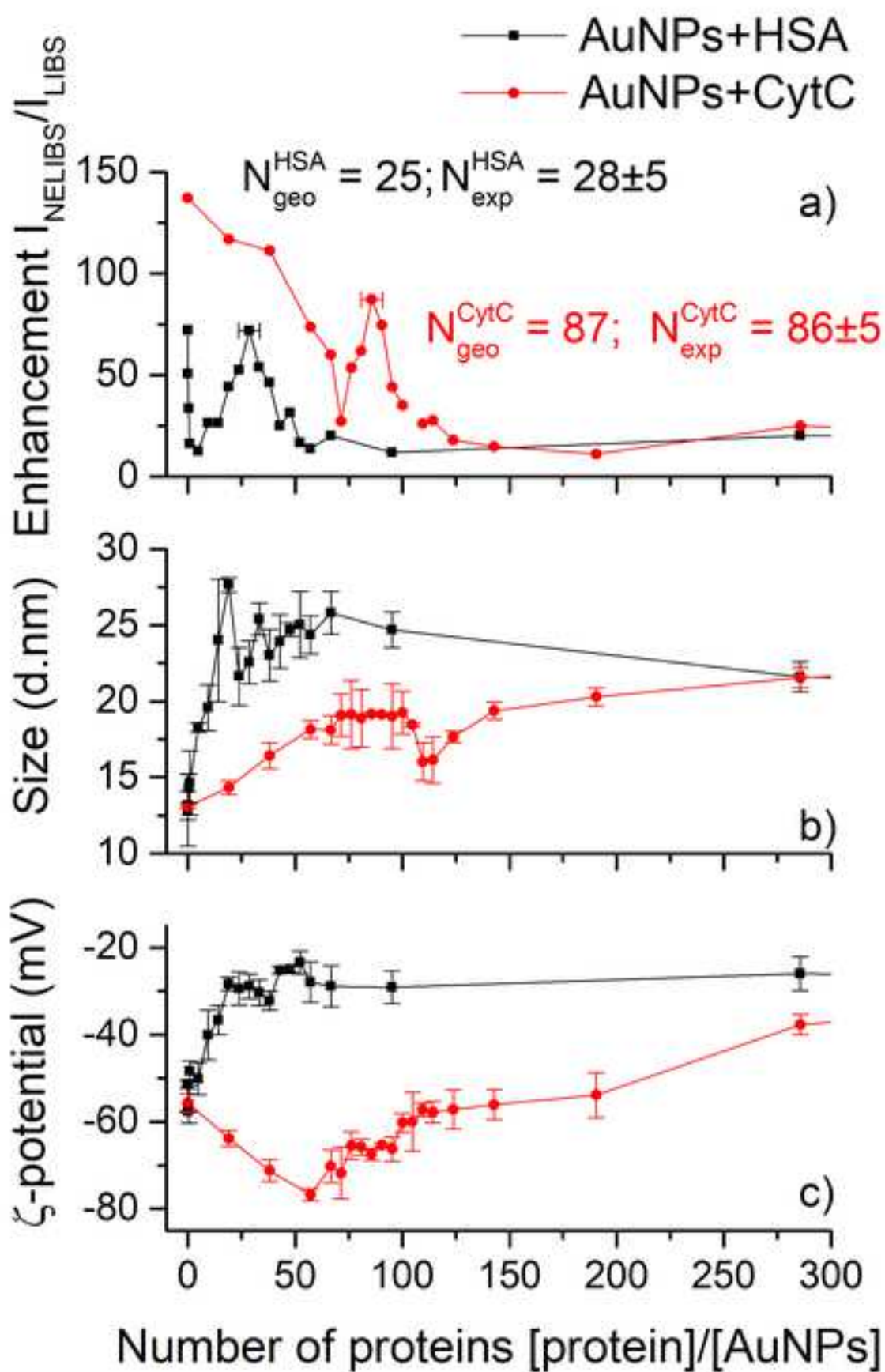


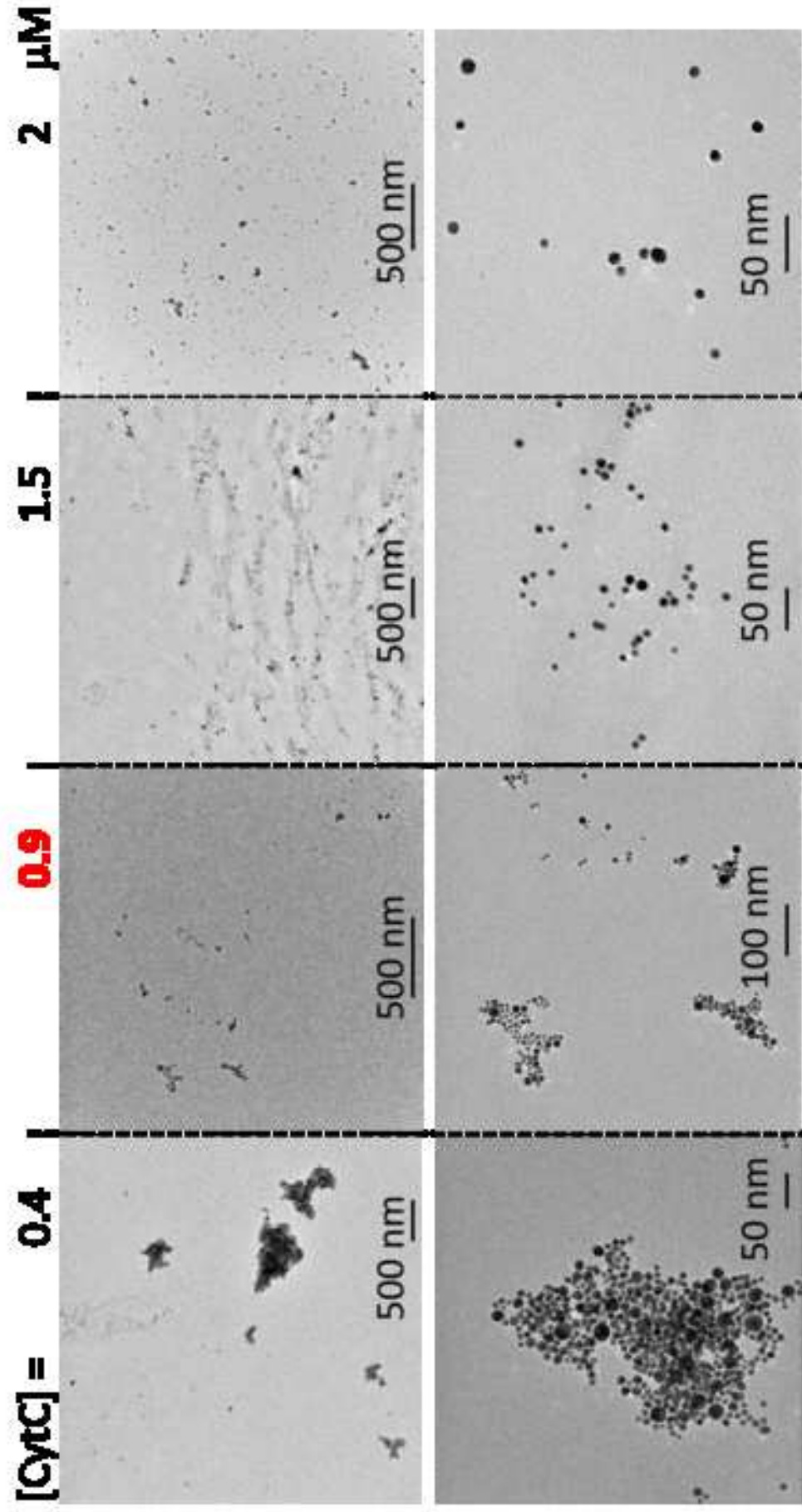


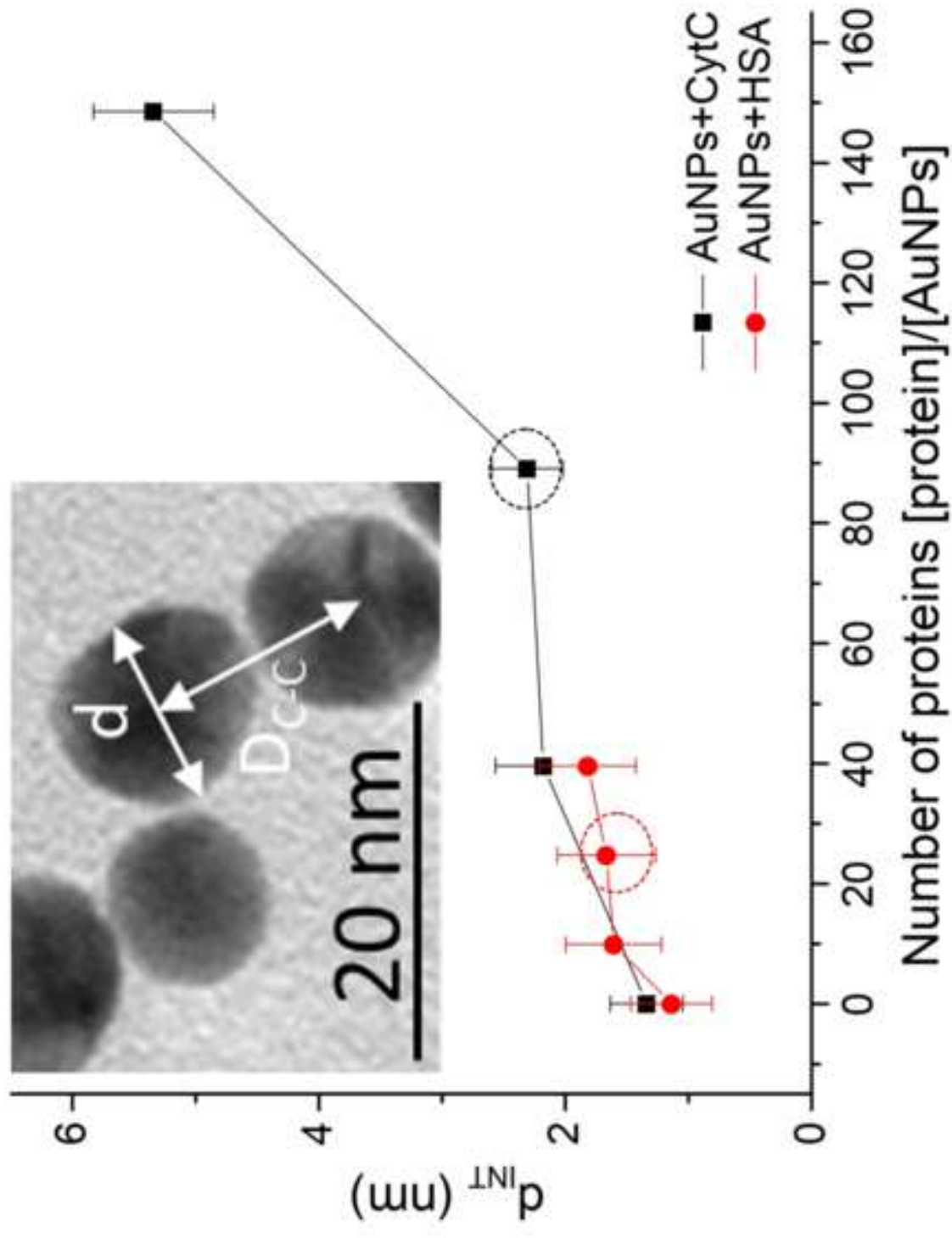












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5) Supplemental file

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7) Novelty statement

8) Declaration of Interest Statement

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.





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