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A global hydrothermal reactor triggered prebiotic synthesis on Earth

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1 **A global hydrothermal reactor triggered prebiotic synthesis on Earth**

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8

9 **Biosignatures in the rock record limit the time available for life to start on Earth to 600-**
10 **800 million years¹ (4.5-3.7 Ga; Hadean-Archean). Whether the conditions for the**
11 **synthesis of complex organic molecules were unique to this time or remain present today**
12 **is unclear, but understanding these conditions is essential for the search of life on other**
13 **planets. The outer portion of the Hadean Earth consisted of a thick mafic crust^{2,3} and the**
14 **upper mantle from which the crust was extracted⁴. Here we show that the recycling of**
15 **the Earth's initial crust to produce the first continental crust⁵⁻⁸, resulted in extreme**
16 **thinning of the initial mafic crust allowing the interaction between ocean water and the**
17 **upper mantle at a global scale. This global hydrothermal reactor was similar to the**
18 **present-day active “Lost City Hydrothermal Field”⁹, but extended on a planetary scale.**
19 **The geological record indicates that the interaction between H₂O and olivine-rich rocks**
20 **resulted in the production of 5-20 vol.% brucite¹⁰⁻¹³, a key catalytic mineral for high**
21 **temperature stabilisation, selection and phosphorylation of ribose^{14,15}. The secular**
22 **cooling of our planet¹⁶⁻¹⁸, the accretion of continental crust, and deposition of sediments**
23 **progressively shut down the global reactor. These processes dramatically reduced the**
24 **production of brucite and the probability of synthesizing prebiotic molecules. Our results**
25 **suggest that the geodynamic evolution of planets should be considered when searching**
26 **for life in the wider Universe.**

27 The period during which life originated on Earth is limited by the onset of habitability and the
28 appearance of the first documented lifeforms¹. In the most favourable scenario, the Earth could
29 have been habitable as early as 4.5-4.3 Ga (Refs.^{4,19}). The first lifeforms are described in the
30 geological record at 3.7 Ga (Ref. ²⁰), which implies that pre-biotic molecules (PBM) must have
31 been available and possibly abundant in this 600–800 million year time-window. Discounting
32 an external input of life by asteroids, hydrothermal systems in an oceanic environment^{9,21} or
33 on continents, driven by magmatic activity²² or by natural nuclear reactors²³, are potential
34 niches for the synthesis of PBM. These systems provide the ingredients for the synthesis of the
35 building blocks of life in the early Earth: liquid water (oceans or continental pools), a variety
36 of gases (atmosphere and degassing) and minerals acting as catalysers^{24–28}.

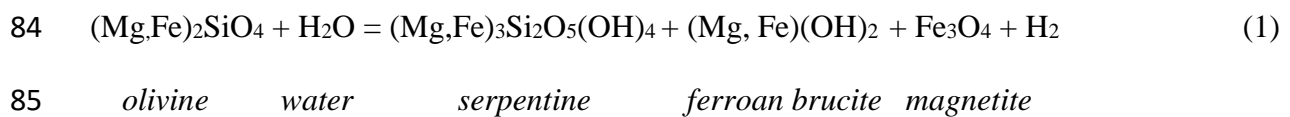
37 The discovery of submarine hydrothermal vents around Galapagos²⁹ lead to the first hypothesis
38 for the synthesis of PBM in high-temperature mafic-hosted hydrothermal systems²¹. This idea
39 was later transferred to the low-temperature Lost City Hydrothermal Field (LCHF), an
40 ultramafic-hosted alkaline hydrothermal system discovered in 2000 near the slow-spreading
41 Mid-Atlantic Ridge⁹ (Fig. 1). Low temperature (~100 °C)³⁰ as well as reduced and alkaline
42 conditions^{9,31} are essential for the formose reaction, and have removed some of the theoretical
43 obstacles for a hydrothermal origin of life. Moreover, the production of a significant amount
44 of H₂ and CH₄ and formate vital for supporting life, were considered a significant improvement
45 with respect to the hypothesis of Ref.²¹. However, the formation of the building blocks of life
46 is *per se* insufficient and any hypothesis for the synthesis of PBM should include selection,
47 stabilization and phosphorylation of ribose in a natural environment^{32,33}.

48 Here, instead of starting from a defined set of chemical reactions, we take a different approach
49 and assess which environments are potentially capable of synthesising PBM³⁴ in the presence
50 of well-known catalytic minerals^{26,35}, were available in the early Earth. We consider that the
51 probability of synthesizing PBM increases with the proportion of the planet in which all the

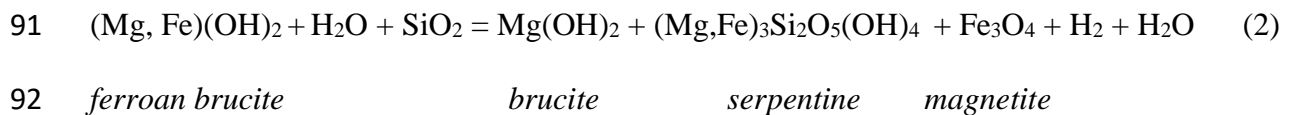
52 essential requirements are met. Natural nuclear reactors would be punctual features as they
53 require high-grade U ore deposits that are unlikely to be abundant in a chemically
54 undifferentiated Hadean crust³⁶. Continental hydrothermal systems are also punctual features
55 as the supply of heat is associated with volcanic systems and distributed along belts in a
56 discontinuous fashion. Hydrothermal systems associated with mid-ocean ridges occur along
57 linear features. Thus, all these environments would be active on a rather limited portion of the
58 planet. Looking back to the early Earth, after Theia's impact and the formation of the Moon at
59 about 4.51 Ga (Ref. ³⁷), a magma ocean was established that cooled and degassed³⁸ to produce
60 the early atmosphere in a few million years¹⁹. Gravitational instability of the outermost portion
61 of the solidified magma ocean (50 vol.% olivine, 25 vol. % cpx, 20 vol.% opx, 5 vol.% plg)
62 eventually resulted in its wholesale or incremental removal¹⁹ accommodated by mantle ascent,
63 its partial melting and the construction of a thick (20-40 km) crust^{2,16,39}. The high degree of
64 partial melting that formed this initial crust left a residual, olivine-rich upper mantle^{2,3,16}. The
65 geological investigation of Archean terrains suggest that the initial mafic/ultramafic crust was
66 recycled into the mantle². During recycling, the hydrated mafic/ultramafic crust would partially
67 melt and a 10-30% (Ref.⁴⁰) fraction of the removed material would have resurfaced as the early
68 continental crust (Tonalite-Trondhjemite-Granodiorite; TTG)^{8,41,42}. The newly formed crust
69 was a fraction of the recycled mafic crust and the ocean floor must have been covered by much
70 less sediments than today⁴³. Thus, during this period of crustal regeneration, the potential
71 exposure and interaction between the depleted upper mantle with water would have been more
72 significant than at present. This, in turn, would have increased the potential for systems similar
73 to the LCHF to develop^{9,31,44-46}.

74 At LCHF, the hydrothermal modification of the ultramafic mantle produces mainly serpentine
75 and magnetite and, where alkaline hydrothermal fluids discharged into the ocean, additional
76 brucite^{44,45} and carbonate (Fig. 1). These hydrothermal systems (LCHF, as well as hybrid

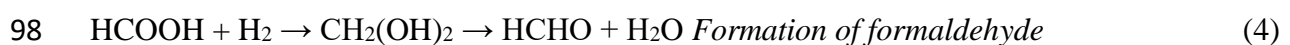
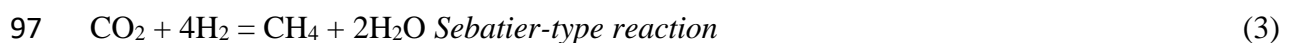
77 Logatchev⁴⁷ and Rainbow⁴⁸ systems) are extremely dynamic. Multistage serpentinization
 78 generate a wide variety of niches characterised by specific pH, redox potential, temperatures,
 79 and activities of elements critical for prebiotic synthesis, distributed in space and changing with
 80 time^{49–53}. Moreover, abiotic hydrocarbons and carboxylic acids have been described in
 81 hydrothermally altered mantle rocks of LCHF⁵⁴. The following are some of the fundamental
 82 reactions occurring in hydrothermal systems hosted by mantle lithologies^{55,56}. The
 83 serpentinization of olivine produces ferroan brucite, serpentine, and magnetite^{12,13,44}:



86 The formation of hydrogen is related to the amount of ferric iron in serpentine and to the moles
 87 of magnetite produced (Eq. 1)^{49,55,56}. Eventual increase in temperature (e.g. magma injection)
 88 or changes in other thermodynamic variables (e.g. oxygen fugacity) destabilises ferroan brucite
 89 leading to the massive precipitation of magnetite, associated with abundant production of
 90 hydrogen:



93 Fundamentally, the reaction between H₂ produced by hydrothermal circulation in ultramafic
 94 rocks, and CO₂ released from the mantle or magma degassing, produces CH₄ as a final product
 95 with methanediol as an intermediate reaction product (Eq. 3). The reaction of methanediol and
 96 H₂, produces formaldehyde (Eq. 4), the building block of life:



99 Starting with formaldehyde and glycolaldehyde, under alkaline conditions and in the presence
 100 of cation catalysts like Mg²⁺ and Ca²⁺, the formose reaction produces a variety of pentoses
 101 (ribose, arabinose, xylose, lyxose, ribulose, xylulose⁵⁷). Ribose, the essential constituent of

102 RNA and DNA, is the least stable of the pentoses and rapidly decomposes to generate
103 polymeric tar mixtures¹⁴. Selection, stabilization and accumulation of ribose, and its
104 phosphorylation to form RNA units, are key factors to unravel prebiotic chemistry and the
105 origin of life^{33,58}. Borates and boric acid have been experimentally demonstrated to have this
106 stabilizing effect on pentoses and to select ribose^{59,60}. Moreover, phosphorus is necessary for
107 phosphorylation, which is also assisted by borates and boric acid⁶¹. Because of the important
108 role of borates, various authors have proposed different models for the accumulation of large
109 quantities of these minerals⁶², which requires differentiated and evolved continental crust and
110 subaerial ponds undergoing desiccation. The presence of such regions with high concentrations
111 of B and P cannot be determined with any certainty as almost all of the Hadean rock record
112 simply does not exist. Here we propose that prebiotic chemistry did not take place in borate
113 deposits enriched in P but was mediated by the key catalyser brucite. This mineral adsorbs
114 large quantities of B and P (Refs.^{14,63-65}), while being stable in an environment characterised
115 by high and variable pH, a range of redox conditions, and participates in the synthesis of
116 formaldehyde. Additionally, the reactions involving ferroan brucite and brucite modulate the
117 release of H₂ and the availability of Mg²⁺. These elements and conditions are all required to
118 select ribose from the other pentoses, stabilise it to relatively high temperatures and facilitate
119 phosphorylation which is key for the transition to self-replicating macro-molecules^{14,65} (Eq. 2).
120 While other potential catalysers could have been stabilised by the interaction between mafic
121 crust and ocean water⁶⁶, their abundance would have been extremely limited with respect to
122 the amount of brucite produced by interaction between water and mantle lithologies (Fig. 2).
123 This is especially true for the olivine-rich mantle produced by high degrees of melting during
124 the production of the early mafic crust.

125 We propose that during the period of crust regeneration in the Hadean-Archean, a global
126 ultramafic reactor was active, producing copious amounts of brucite and thus PBM.

127 Fundamental for the plausibility of a global ultramafic reactor is the assumption that the
128 oceanic crust was sufficiently thin to allow oceanic water to penetrate into the upper mantle
129 and trigger the formation of brucite. To estimate the evolution of crustal thickness in time, once
130 clement conditions were established on Earth (4.5-4.3 Ga; Refs.^{19,67}), we performed mass
131 balance calculations using a Monte Carlo approach. We rely on geological^{5,68} and experimental
132 petrological⁴⁰ constraints to define a plausible range of the parameters (Methods). The results
133 show that due to recycling the Hadean crust progressively thins and for the largest number of
134 simulations, reaches a minimum after around 600-700 Myrs (~3.9 Ga; Fig. 3a, b). At this time
135 the average thickness of the oceanic crust is about 2000 m, which is significantly thinner than
136 today's average oceanic crust (6000 m; Ref.⁶⁹; Fig. 3c). This is in agreement with the
137 decreasing thickness of the oceanic crust observed when large portions of the Earth crust
138 disaggregate increasing the cooling rate of the mantle⁷⁰. It should be noted that because we do
139 not consider recycling of newly formed mafic and TTG-type crust, all thicknesses presented
140 are maximum estimates. Additionally, the rate of production of new crust was likely
141 heterogeneous, as also shown by numerical modelling^{6,7}. Thus, our mass balance suggests that
142 at around 4.2-3.9 Ga, large portions of the upper mantle were either covered by a thin oceanic
143 crust or exposed on the ocean floor. This would have allowed the interaction between ocean
144 water and the upper mantle⁷¹ at a global scale, triggering the production of substantial amounts
145 of brucite both above and below sea level (Fig. 1) and boosting the production of PBM. As
146 these conditions were never reproduced on Earth, any process that would have isolated the
147 mantle from the interaction with water within this optimal time window might have left the
148 Earth a lifeless planet. At present, the upper mantle is exposed to the interaction with water
149 only in very limited portions of our planet (0.29% of the surface; Methods). Hence, the
150 probability of today's Earth to synthesis PBM is vanishingly small in comparison to the
151 Hadean-Archean. Brucite is absent on the surface of Mars, which may indicate that life as we

152 know it on Earth may never have existed on the ‘red planet’. As a result of the intimate
153 relationship between life and the evolution of planets, the rate and sequence of geological
154 processes should be considered when searching for life in the Universe.

155 **Methods**

156 We consider that the recycling of the Earth’s crust once clement conditions were established
157 (4.4-4.3 Ga; Refs.^{19,67}) results in the production of TTGs^{2,8,18,72}. We make no inference on the
158 actual geodynamic process responsible for crustal recycling. We consider that the degree of
159 partial melting of a mafic/ultramafic protolith required to produce TTGs varies between 0.1
160 and 0.3 (*dpm*; Ref.⁴⁰). Estimates for the crustal thickness in the Hadean (*Th_H*) varies between
161 20 and 40 km as inferred from non-arc basalts and cratonic peridotite residues (Herzberg et al.,
162 2010; Herzberg and Rudnick, 2012; Arndt et al., 2009). Thus, we selected this range for our
163 calculations. On the base of these considerations, we can calculate the rate of recycling of
164 mafic/ultramafic crust (*dRR_m/dt*) as a function of the rate of production of TTGs (*dTTG/dt*) and
165 the degree of partial melting of mafic/ultramafic lithologies required to produce TTG type
166 magmas as:

$$167 \frac{dRR_m}{dt} = \frac{\left[\frac{dTTG}{dt} (1-dpm) \right]}{dpm} \quad (1)$$

168 The fraction of volcanic rocks in Greenstone belts (*V_{GB}*) varies between 0.2 and 0.8, of which
169 a fraction of 0.5 to 0.9 is represented by mafic rocks (*V_m*)². We consider that the same
170 proportions also apply to intrusive rocks (intrusive mafic=gabbros; *I_g*), but the repartition does
171 not change the results of our calculations. On the base of these estimates, we calculate the
172 production rate of mafic magmas produced by partial melting of the mantle (*dM_m/dt*) as:

$$173 \frac{dM_m}{dt} = \frac{dTTG}{dt} V_{GB} V_m + \frac{dTTG}{dt} (1 - V_{GB}) I_g \quad (2)$$

174 To compare the amount of upper mantle rocks potentially interacting with seawater in the
175 Hadean and nowadays, we estimate the mantle presently exposed on the seafloor. Mantle-

176 derived ultramafic rocks occur along the axial valley of slow spreading ridges (spreading rates
177 <4 cm/yr), most commonly near axial discontinuities. Ref.⁷³ estimated that mantle lithologies
178 represent about 23% of the newly formed oceanic crust along slow spreading ridges. Moving
179 off-axis (>100 km), the oceanic crust is rapidly blanketed by sediments⁴³ hampering the
180 interaction between seawater and mantle rocks. Considering the total length of slow spreading
181 ridges (31880 km), 100 km distance on each side of the ridge, and the percentage of mantle
182 rocks exposed at the seafloor (23%), the ultramafic reactive surface represents 0.29% of the
183 total Earth's surface.

184

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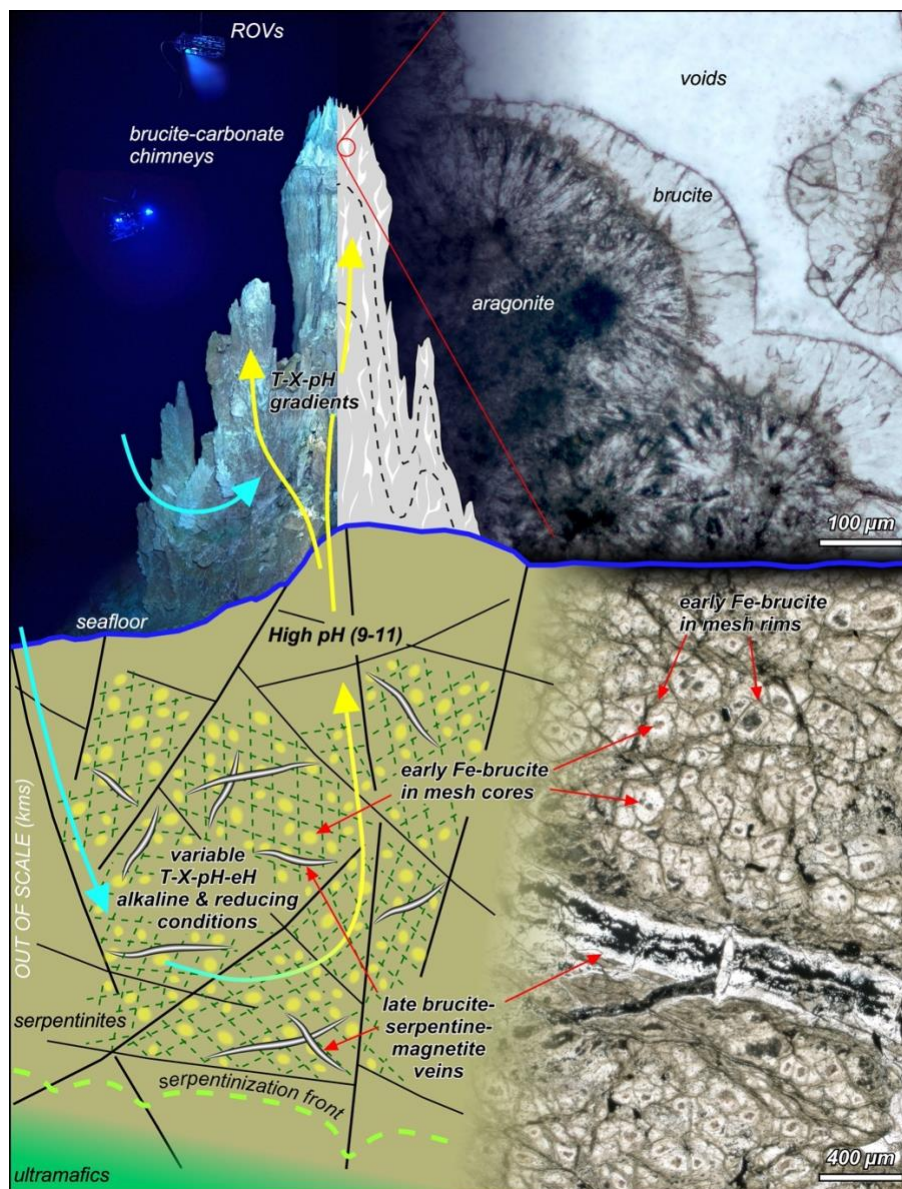
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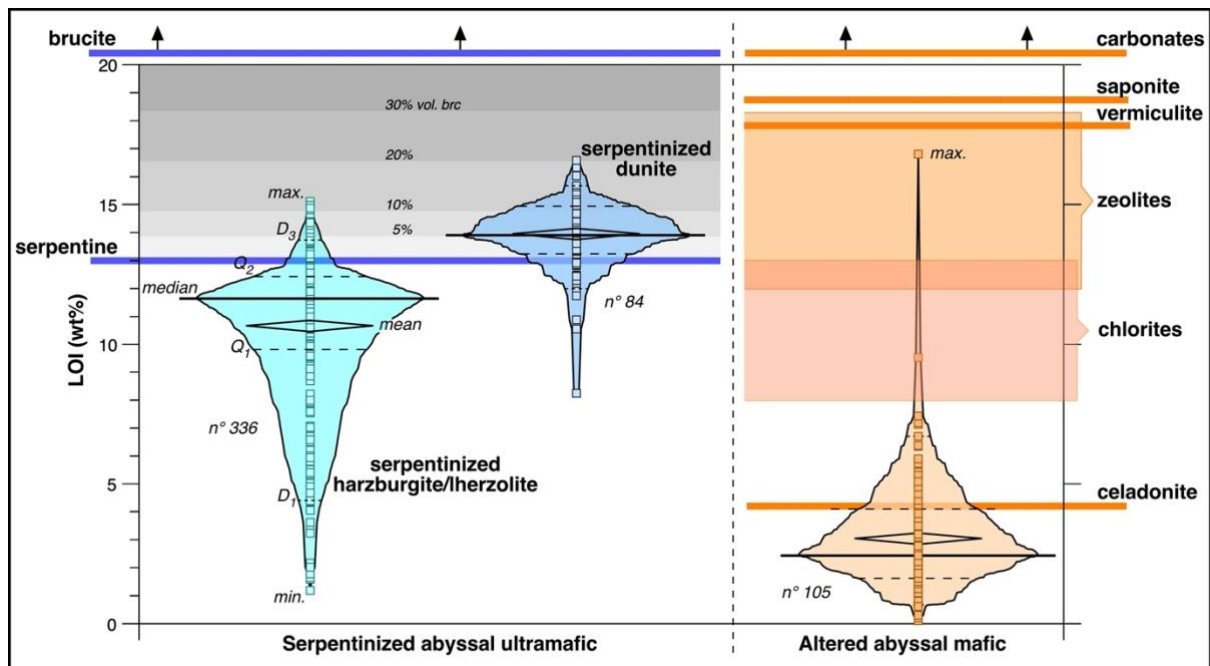
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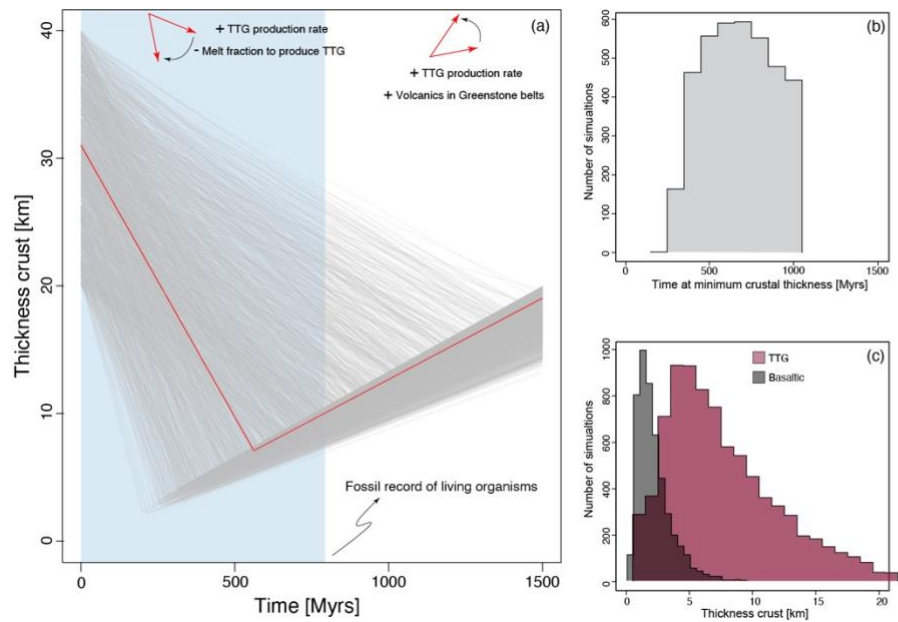
356 **Figure 1: An idealised sketch of one of the hydrothermal systems that constituted the**
 357 **global hydrothermal reactor in the Hadean-Archean.** The sketch is based on samples and
 358 geological evidence collected at the Lost City Hydrothermal Field. The widespread availability
 359 of seawater-mantle interfaces in the early Earth triggered the diffusion of brucite in a large
 360 variety of environments (subterranean, submarine and even subaerial) dominated by alkaline,
 361 reduced conditions. The residual character of Hadean mantle rocks maximised the diffusion of
 362 brucite, with its unique catalytic properties, providing an unrepeatable global scenario for
 363 prebiotic synthesis.



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366 **Figure 2:** Box-percentile plots for abyssal serpentinized upper mantle rocks and altered basalts
 367 showing a distinct degree of alteration (visualised by loss on ignition; LOI). Mean and median
 368 of serpentinite LOI roughly overlap the LOI of serpentine minerals (with a variable brucite
 369 content) indicating the extreme efficiency of the hydration process. On the contrary, the mean
 370 and the median of altered basalt LOI are much lower than the LOI of the alteration assemblage
 371 (smectites, chlorites, zeolites and celadonite) indicating a less efficient reaction process.
 372 Furthermore, box-percentile plots for serpentinized lherzolite-harzburgite and dunites indicate
 373 that olivine-dominated systems, characteristic of the residual Hadean-Archean mantle, produce
 374 larger amounts of brucite. Data collected from Refs.^{50,69,74-76}.

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377 **Figure 3: Results of the mass balance calculations.** a) Grey lines show the evolution of the
 378 crust thickness in time. The red line is only to highlight one of the possible thickness-time
 379 paths. The arrows on top of the figure show the impact of the different parameters used in the
 380 Monte Carlo simulations on the rate of decrease and increase of crust thickness. b) Distribution
 381 of times at which the thickness of the crust reaches minimum values. c) distributions of the
 382 thickness of mafic and TTG crust once the total crustal thickness is at its minimum.