

# 1 Nanomolecular Metallurgy: Transformation from 2 $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$ to $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$

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Cite This: <https://doi.org/10.1021/acs.jpcc.1c04228>



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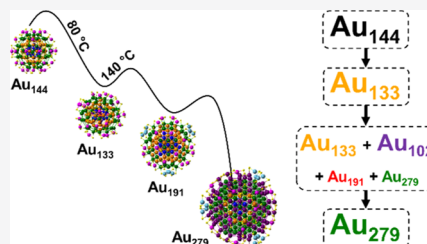


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5 **ABSTRACT:** Transformation brought about by ligand exchange is one of the effective  
 6 methods for the synthesis of gold-thiolate nanomolecules (AuNMs). In this method, the  
 7 AuNMs are treated with an excess exogenous thiol at an elevated temperature. It has  
 8 been found that the ligand exchange is often accompanied by conversion of the metal  
 9 core from a larger size to a smaller size, depending on the type of exogenous capping  
 10 ligand employed. In this work, we present the transformation of a smaller-size AuNM  
 11 (133 Au atoms) to a larger-size AuNM (279 Au atoms). Here, we observe that the  
 12  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  in the presence of 4-*tert*-butylbenzenethiol under *refluxing*  
 13 *conditions* first transforms to  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ , and then with the transformation  
 14 reaction proceeding to form larger-sized AuNMs,  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$  and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$ . The reaction progress was monitored  
 15 with matrix-assisted laser desorption ionization mass spectrometry (MALDI-MS) and UV-vis spectroscopy, and the intermediates  
 16 and AuNMs were identified with electrospray ionization (ESI) MS. In conjunction with the above experiments, theoretical  
 17 explorations using density functional theory calculations have been carried out, probing the energetics and thermodynamic stabilities  
 18 underlying the observed size-changing transformations. It also elucidates the systematic size-dependent trends in the electronic  
 19 structure of the original 144-gold-atoms-capped AuNM and the transformation products, including analysis of formation of  
 20 superatom shells through the use of the core-cluster-shell model.



## 21 ■ INTRODUCTION

22 Gold-thiolate nanomolecules (AuNMs) having a precise  
 23 number of Au metal atoms protected by thiolate ligands  
 24 have been gaining significant attention in biosensing, catalysis,  
 25 energy devices, supramolecular chemistry, and as therapeutic  
 26 agents.<sup>1–14</sup> These AuNMs have been studied extensively and  
 27 were characterized by electrospray ionization mass spectrom-  
 28 etry (ESI-MS), matrix-assisted laser desorption ionization  
 29 time-of-flight mass spectrometry (MALDI-TOF-MS), single-  
 30 crystal X-ray diffraction (sc-XRD), and UV-visible spectros-  
 31 copy.<sup>15–24</sup> AuNMs have been found to exhibit unique  
 32 chemical, physical, and optical properties, governed by their  
 33 size and the thiolate ligand protecting the metal core.<sup>25</sup>

34 The main structural components of the AuNMs are: (i) the  
 35 inner core, made solely of mutually interacting Au atoms,  
 36 which do not bond directly to the capping ligand molecules,  
 37 and core surface Au atoms, which interact with the capping  
 38 ligands; (ii) Au-SR staples, which can be monomeric (SR-Au-  
 39 SR), dimeric (SR-Au-SR-Au-SR), or trimeric (SR-Au-SR-Au-  
 40 SR-Au-SR), etc.; and (iii) the thiolate capping surface.<sup>26</sup> The  
 41 capping ligands (thiolates in this study) contribute to the  
 42 structure, stability, electrochemical, and physicochemical  
 43 properties of the AuNMs.<sup>26–29</sup> Thiolate ligands commonly  
 44 used in the synthesis of AuNMs can be classified into three  
 45 groups, namely, aliphatic (e.g., *n*-butane thiol, phenylethane  
 46 thiol), aromatic (e.g., thiophenol, 4-*tert*-butylbenzenethiol),

and bulky (e.g., tertiary butyl thiol, adamantane thiol).  
 Furthermore, a desired, most stable AuNM composition can  
 be achieved by altering the thiol class being employed, the  
 reaction temperature, the concentration of the reactants, and  
 the synthesis method.<sup>15–19,25–27</sup>

Among the various methods used for the synthesis of  
 AuNMs, ligand exchange is one of the most widely used ones.  
 The AuNMs prepared through the use of a chosen capping  
 thiol ligand are subsequently treated with an exogenous thiol  
 ligand at an elevated temperature.<sup>26,30–32</sup> When the exogenous  
 ligand was reacted with the AuNM, the thermodynamically  
 stable structure corresponding to the existing thiolate ligand  
 gets disrupted. The AuNM reaches a new thermodynamically  
 stable structure upon heating with an exogenous thiol. This  
 transformation occurs with the loss or gain of Au atoms in the  
 course of the ligand exchange process.<sup>30,31,33</sup> The number of  
 ligands may or may not change in the course of conversion.

In 2016, a study was published describing an exchange  
 process where the size of the AuNM, i.e., the number of Au

Received: May 12, 2021

Revised: August 12, 2021

66 and thiol, was not changed, but with the difference in the  
67 ligand shell.<sup>34</sup> In that work, Au<sub>28</sub>(SPh-*t*Bu)<sub>20</sub> was subjected to  
68 80 °C in the presence of excess cyclohexanethiol (HS-*c*-  
69 C<sub>6</sub>H<sub>11</sub>), yielding ligand-exchanged Au<sub>28</sub>(S-*c*-C<sub>6</sub>H<sub>11</sub>)<sub>20</sub>.<sup>34</sup>  
70 Au<sub>28</sub>(SR)<sub>20</sub> (SR = SPh-*t*Bu or S-*c*-C<sub>6</sub>H<sub>11</sub>) NMs have the  
71 same numbers of Au atoms and thiol ligands. When comparing  
72 the structures of the two AuNMs, it was found that they have  
73 the same core structure but different surface structures. In  
74 particular, both AuNMs have an Au<sub>20</sub> core and eight bridging  
75 ligands. However, Au<sub>28</sub>(*c*-C<sub>6</sub>H<sub>11</sub>)<sub>20</sub> has two monomeric and  
76 two trimeric staples,<sup>34</sup> whereas Au<sub>28</sub>(SPh-*t*Bu)<sub>20</sub> has four  
77 dimeric staples.<sup>35</sup> This example illustrates that the structure of  
78 AuNMs may (and often does) depends on the capping ligand  
79 molecules.

80 A number of studies were reported describing ligand  
81 exchange processes where larger AuNMs transform to smaller  
82 AuNMs.<sup>30,31,36–38</sup> The core size conversion of  
83 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> and Au<sub>99</sub>(SPh)<sub>42</sub>  
84 by thermochemical treatment in the presence of exogenous  
85 thiolate ligands has been reported.<sup>30,38</sup> But no other core sizes  
86 were found in these studies.

87 The conversion of smaller AuNMs to larger AuNMs has  
88 been reported in a few studies.<sup>39–43</sup> When HSPH-*t*Bu is  
89 allowed to react with Au<sub>30</sub>(S-*t*Bu)<sub>18</sub> at 80 °C, it transforms to  
90 Au<sub>36</sub>(SPh-*t*Bu)<sub>24</sub>.<sup>39</sup> Interestingly, the reverse of the reaction  
91 also occurs, i.e., when Au<sub>36</sub>(SPh-*t*Bu)<sub>24</sub> is subjected to  
92 thermochemical treatment with HS-*t*Bu, Au<sub>36</sub>(SPh-*t*Bu)<sub>24</sub>  
93 converts to Au<sub>30</sub>(S-*t*Bu)<sub>18</sub>.<sup>39</sup> Moreover, it has been successfully  
94 demonstrated that Au<sub>25</sub>(SR)<sub>18</sub> reacts with itself to form  
95 Au<sub>38</sub>(SR)<sub>24</sub> (SR = SC<sub>3</sub>H<sub>7</sub> and SC<sub>4</sub>H<sub>9</sub>).<sup>40</sup> This fusion reaction  
96 was achieved by dissolving Au<sub>25</sub>(SR)<sub>18</sub> in toluene and  
97 subjected to 65 °C and no extra ligand or Au(I)-SR complex  
98 was added to the reaction mixture. The authors proposed that  
99 the size transformation reaction occurs by the formation of a  
100 precursor complex, where the initial driving force is the van der  
101 Waals interactions between the ligands of the two interacting  
102 clusters.<sup>40</sup> In another study, Au<sub>38</sub>(*p*-MBA)<sub>24</sub> and Au<sub>44</sub>(*p*-  
103 MBA)<sub>26</sub> were obtained from the seed-mediated growth of  
104 Au<sub>25</sub>(*p*-MBA)<sub>18</sub>. The Au<sub>25</sub>(*p*-MBA)<sub>18</sub> was reacted with [Au(I)-  
105 (*p*-MBA)] complex in the presence of CO to provide a mildly  
106 reductive environment where the solution's pH was main-  
107 tained under basic condition, at room temperature.<sup>41</sup> It has  
108 been proposed<sup>41</sup> that a three-stage size hopping mechanism  
109 underlies the formation of Au<sub>44</sub>(*p*-MBA)<sub>26</sub>. First, the pre-  
110 growth step was performed where Au<sub>25</sub>(*p*-MBA)<sub>18</sub> is  
111 accumulated. This step is governed by kinetic factors.  
112 Subsequently, a size-growth step occurs, followed by a “size-  
113 focusing” step, which depends on the adsorption of reductive  
114 species, CO; the latter step is governed by thermodynamic  
115 factors.

116 In the case of Au<sub>23</sub>(S-*c*-C<sub>6</sub>H<sub>11</sub>)<sub>16</sub> conversion to Au<sub>28</sub>(S-*c*-  
117 C<sub>6</sub>H<sub>11</sub>)<sub>20</sub>, the Au<sub>23</sub>(S-*c*-C<sub>6</sub>H<sub>11</sub>)<sub>16</sub> NM was oxidized in the  
118 presence of H<sub>2</sub>O<sub>2</sub> while no extra thiol or (Au-SR) polymer was  
119 added.<sup>42</sup> The transformation of Au<sub>25</sub>(S-*n*Bu)<sub>18</sub> to Au<sub>28</sub>(PPT)<sub>21</sub>  
120 at room temperature in the presence of 2-phenylpropane-1-  
121 thiol (PPT) has been reported.<sup>43</sup> In this case, it was proposed  
122 that the driving force of this process is the van der Waals  
123 interaction within the ligand shell and the bulkiness of the  
124 methyl group in the ligand.<sup>43</sup>

125 We note that all of the aforementioned transformation  
126 chemistry studies were carried out for small AuNMs, leaving  
127 the area of size changes through transformation chemistry  
128 involving larger AuNMs unexplored. Motivated by the above,

we report here joint experimental and theoretical inves-  
tigations, demonstrating the conversion of  
Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> in the presence of  
excess ligand under refluxing conditions (~140 °C), where the  
reaction does not stop at Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> as observed  
previously,<sup>30</sup> but advances forward to form larger sizes, i.e.,  
Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub> and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub>. Briefly, pure  
Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> was prepared according to the liter-  
ature<sup>24</sup> and allowed to reflux with excess 4-*tert*-butylbenzene-  
thiol. Small aliquots of samples were collected every 2 h and  
washed with methanol and water to remove excess thiol and  
impurities. The progress of the reaction was monitored with a  
UV–visible spectrophotometer and MALDI-MS. ESI-MS was  
used to identify the molecular formula of the various AuNMs  
present in the samples.

Subsequent to the presentation of the experimental  
observations, we discuss the results of detailed theoretical  
explorations, using the density functional theory, probing the  
energetics and thermodynamic stabilities underlying the  
observed size-changing transformations. Furthermore, we  
carry out calculations and analyses that aim at elucidation of  
the electronic structures of the original 144-gold-atoms-capped  
AuNM and the sequence of transformation products  
[Au<sub>*n*</sub>(SPh-*t*Bu)<sub>*m*</sub> (*n,m*) = (133,52), (191,66)] including  
analysis of the formation of superatom shells through the use  
of the core-cluster-shell model.<sup>18,19</sup>

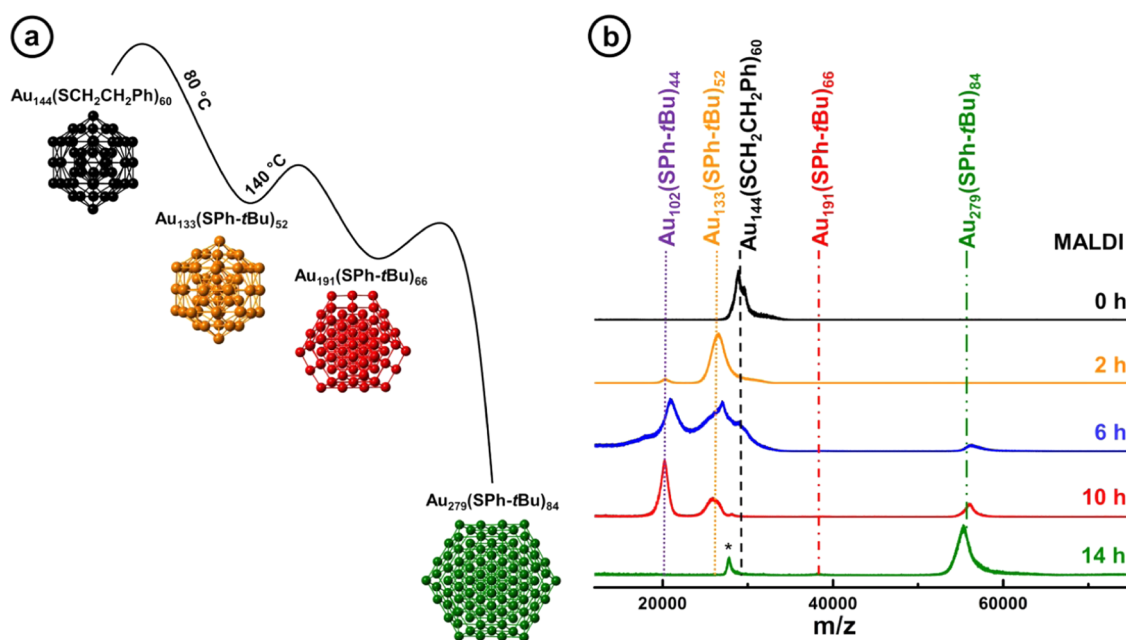
## EXPERIMENTAL SECTION

**Materials.** Tetrachloroauric(III) acid (HAuCl<sub>4</sub>·3H<sub>2</sub>O, Alfa  
Aesar, 99%), phenylethyl mercaptan (Sigma-Aldrich, 99%), 4-  
*tert*-butylbenzenethiol (TCI, 97%), tetraoctylammonium bro-  
mide (TOABr, Sigma-Aldrich, 98%), sodium tetrahydrido-  
borate (NaBH<sub>4</sub>, Acros Organics, 99%), and *trans*-2-[3-(4-  
*tert*-butylphenyl)-2-methyl-2-propenylidene] malononitrile  
(DCTB matrix) (Fluka ≥99%). High-performance liquid  
chromatography (HPLC)-grade solvents tetrahydrofuran  
(THF), THF-butylated hydroxytoluene (THF-BHT), toluene,  
and methanol were used as received. Bio-Rad-SX1 beads (Bio-  
Rad) were used for size exclusion chromatography.

**Instrumentation.** UV–visible absorption spectra were  
collected using a Shimadzu UV-1601 instrument, and samples  
were dissolved in toluene. MALDI mass spectra were acquired  
using Voyager-De PRO MALDI-TOF with the DCTB matrix.  
ESI mass spectra were obtained using Waters Synapt XS  
instrument, and the samples were mixed with a toluene–THF  
ratio of 1:9. For ease of ionization, cesium acetate is added to  
the sample. Baseline correction of the ESI spectra was done by  
polynomial correction (Figures 2, S2, S3, and S4).

**Synthesis of Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>.** The synthesis of  
Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> was done in two steps following the  
previous report.<sup>24</sup> The first step is the synthesis of crude  
products, and the second step is the thermochemical treatment  
followed by size exclusion chromatography to get pure  
Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>.

**Crude Synthesis.** HAuCl<sub>4</sub>·3H<sub>2</sub>O (0.177 g) was dissolved in  
5 mL of distilled water. In a 250 mL round-bottom flask, 0.284  
mg of TOABr was dissolved in 10 mL of toluene. Then, HAuCl<sub>4</sub>  
solution was added to the 250 mL round-bottom flask  
under 1200 rpm of stirring. HAuCl<sub>4</sub> was phase-transferred  
from water to toluene, which is indicated by the color change  
of the organic layer from colorless to orange. The solution was  
stirred for 30 min. After all of the Au salt transfers into the  
organic layer, it was separated from the aqueous layer and



**Figure 1.** (a) Schematic diagram of the transformation of Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub>, Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub>, and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub> and (b) MALDI-MS of the starting Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> and its transformation to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub>, Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub>, and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub> upon reacting with TBBT ligand at ~140 °C for 14 h. The dash (black), dot (purple), dot (orange), dash-dot (red), and dash-dot-dot (green) vertical lines correspond to the core mass of Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>, Au<sub>102</sub>(SPh-*t*Bu)<sub>44</sub>, Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub>, Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub>, and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub> at high laser, respectively. \* [see first left peak in (b), at 14 h] indicates the +2 charge state of Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub>.

191 dipped in an ice bath for another 30 min. Then, phenylethyl  
192 thiol was added (Au:thiol = 1:3) to the solution and stirred for  
193 1 h at 1200 rpm. The orange color of the solution changed to  
194 white color, indicating the formation of the -Au-SR-Au-  
195 polymer.<sup>44</sup> Then, a solution of 0.171 g of NaBH<sub>4</sub> dissolved in 5  
196 mL of ice-cold water is added rapidly to the reaction mixture.  
197 The solution turned black, indicating the formation of  
198 nanoparticles. The reaction was stirred for 18 h since the  
199 NaBH<sub>4</sub> was added to the solution. Then, the reaction mixture  
200 was rotary evaporated to remove excess solvent. The crude  
201 product was then washed with methanol and water to remove  
202 byproducts, and finally, the crude product was extracted to  
203 toluene.

204 **Thermochemical Treatment.** The crude product was  
205 dissolved in toluene and etched in excess phenylethyl thiol at  
206 80 °C with stirring at 600 rpm for 22 h. After that, the excess  
207 solvent was rotary evaporated and washed with methanol and  
208 water to remove byproducts. Pure Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>  
209 was isolated by size exclusion chromatography.

210 **Refluxing of Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> with 4-*tert*-Butylbenze-  
211 nethiol.** Pure Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> was mixed with a 2:1  
212 volume ratio of toluene and 4-*tert*-butylbenzenethiol and  
213 refluxed. Small aliquots of the sample were collected every 2 h,  
214 rotary evaporated to remove excess solvents, washed with  
215 methanol and water to remove excess thiol, and redissolved in  
216 toluene for further analysis.

217 **Computational Thermodynamic Analysis.** Both DFTB-  
218 MD and the subsequent structural relaxations were performed  
219 using the CP2K code. The constrained NVT-MD simulations,  
220 lasting 3 ps at 400 K, were based on DFTB Hamiltonians  
221 generated with the parameters published in ref 45. The time  
222 integration step was 0.5 fs, whereas the temperature was  
223 controlled by Nosé-Hoover chain thermostats.<sup>46</sup> Density  
224 functional theory (DFT) unrestricted calculations were  
225 performed within the Gaussian/plane-wave scheme (GPW).

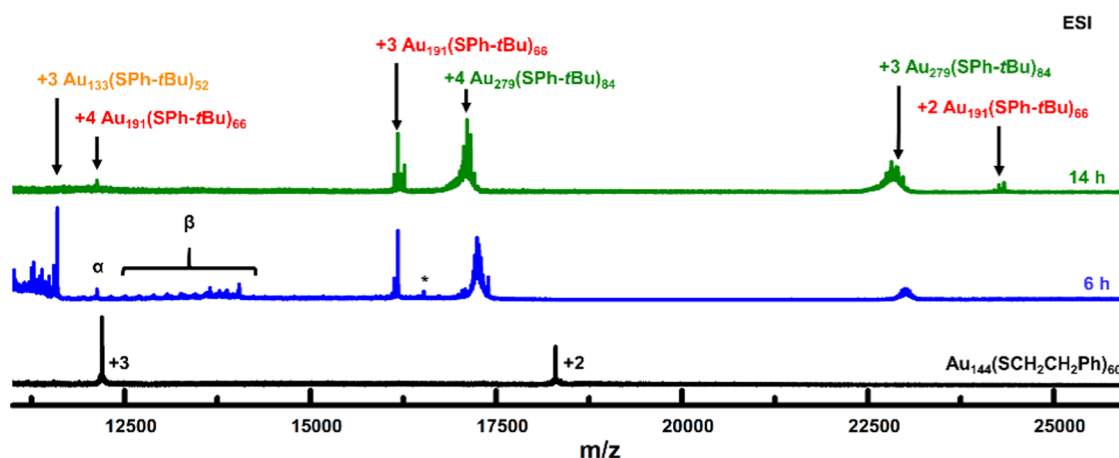
We employed pseudopotentials derived by Goedecker, Teter,  
226 and Hutter<sup>47</sup> for describing the core electrons of all atoms and  
227 DZVP basis sets<sup>48</sup> for representing the Kohn-Sham valence  
228 orbitals. The cutoff for the auxiliary plane-wave representation  
229 of the density was 400 Ry. We took into account dispersive  
230 interactions by adding the semiempirical Grimme-D3  
231 correction<sup>49</sup> to the Perdew-Burke-Ernzerhof (PBE)<sup>50</sup> ex-  
232 change and correlation (xc-) functional.

233 **Electronic Structure Using DFT.** The electronic structures  
234 (including projected densities of states (PDOS)) and atomic  
235 (geometrical) structural relaxations of the thiol-ligand-capped  
236 gold nanomolecules addressed in this study have been  
237 evaluated and analyzed using the density functional theory  
238 (DFT) method, employing the Vienna Ab initio Simulation  
239 Package VASP.<sup>51-55</sup> The calculations employed a cubic  
240 supercell of size 45 Å × 45 Å × 45 Å. For the charged cluster  
241 ions (see charge states in Figures 5-8), a homogeneous  
242 neutralizing background charge has been used. The wave-  
243 functions were expanded in a plane-wave basis with a kinetic  
244 energy cutoff of 400 eV. The interaction between the atom  
245 cores and the valence electrons was described by the projector  
246 augmented-wave (PAW) potential<sup>54</sup> (which includes relativ-  
247 istic corrections), and the exchange-correlation functional was  
248 described by the Perdew-Wang PW91 generalized gradient  
249 approximation (GGA).<sup>56-58</sup> Van der Waals interactions have  
250 been included following ref 59.

## 252 ■ RESULTS AND DISCUSSION

253 The ligand exchange and core size conversion of  
254 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> and then trans-  
255 formation to larger sizes under reflux (Figure 1a) could be  
256 readily observed by the MALDI-MS. Namely, Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>  
257 transforms to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> at 80 °C in the presence of excess  
258 4-*tert*-Butylbenzenethiol (TBBT)<sup>30</sup> and further transformations  
259 were not observed;





**Figure 2.** ESI-MS of the starting  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  at 0 h and its transformation to  $\text{Au}_{133}(\text{SPh-tBu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-tBu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$  upon reacting with 4-*tert*-Butylbenzenethiol (TBBT) ligand at  $\sim 140^\circ\text{C}$  for 14 h.  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  completely transforms to  $\text{Au}_{133}(\text{SPh-tBu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-tBu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ . Within 14 h,  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  is completely transformed to  $\text{Au}_{191}(\text{SPh-tBu})_{66}$  and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ .  $\alpha$  indicates the +4 charge state of  $\text{Au}_{191}(\text{SPh-tBu})_{66}$ , and  $\beta$  contains the +2 charge state of  $\text{Au}_{98-104}(\text{SPh-tBu})_{39-46}$  clusters. \* indicates the unidentified exchange peaks. For more details, see Figures S3, S4, and S5. Baseline correction for the spectra was done by polynomial fitting.

260 see Figure S1 for more details. However, when the reaction  
261 mixture was placed under refluxing conditions, the reaction  
262 was found to advance forward, forming  $\text{Au}_{102}(\text{SPh-tBu})_{44}$ ,  
263  $\text{Au}_{191}(\text{SPh-tBu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ .

264 The key variables for the size transformation by ligand  
265 exchange are temperature, concentration of the reactants, and  
266 reaction time.<sup>60</sup> The reorganization of the AuNMs to yield a  
267 new structure with the exogenous capping thiol entails the  
268 overcoming of an activation energy barrier.<sup>51,60</sup> This energy is  
269 supplied as heat, thereby leading to the formation of different  
270 end products.<sup>35,37</sup>  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  demonstrates this  
271 process by yielding  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  at  $80^\circ\text{C}$  when reacting  
272 with excess 4-*tert*-butylbenzenethiol.<sup>30</sup> Under refluxing con-  
273 ditions ( $\sim 140^\circ\text{C}$ ), larger capped AuNM core sizes,  
274  $\text{Au}_{191}(\text{SPh-tBu})_{66}$  and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ , are formed. The  
275 activation energy barrier is higher for the larger clusters.  
276 Therefore, it requires higher temperatures to transform into  
277 larger core sizes. The conversion of  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  to  
278  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  and then transformations of  $\text{Au}_{133}(\text{SPh-}$   
279  $\text{tBu})_{52}$  to  $\text{Au}_{102}(\text{SPh-tBu})_{44}$ ,  $\text{Au}_{191}(\text{SPh-tBu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-}$   
280  $\text{tBu})_{84}$  were monitored using MALDI-MS and UV-vis  
281 spectrophotometer. ESI-MS was used to determine the  
282 composition of the AuNMs in the mixture.

283 Figures 1b and S2 show the high laser MALDI-MS of the  
284 samples collected at each time interval. These figures clearly  
285 show the core conversion of  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  to  
286  $\text{Au}_{133}(\text{SPh-tBu})_{52}$ , followed by  $\text{Au}_{102}(\text{SPh-tBu})_{44}$ ,  $\text{Au}_{191}(\text{SPh-}$   
287  $\text{tBu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ ; note the undetectability of a  
288 signal corresponding to the ligated  $\text{Au}_{191}$  cluster in the MALSI-  
289 MS data, attributable to ionization-induced fragmentation of  
290 that cluster, thus necessitating identification through the use of  
291 the ESI-MS technique operating under milder ionization  
292 conditions (see Figure 2, and related discussion, below). From  
293 Figures 1 and S2, we observe that, at the start of the process (0  
294 h), the only AuNM species present was  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$ ,  
295 which was confirmed by high laser MALDI-MS at 28.8 kDa  
296 (Figure 1b). After 2 h,  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  is completely  
297 converted into  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  (peak centered at 26.5 kDa at  
298 high laser MALDI-MS), and simultaneously  $\text{Au}_{102}(\text{SPh-tBu})_{44}$   
299 starts to form. After 6 h,  $\text{Au}_{279}(\text{SPh-tBu})_{84}$  starts to form, as  
300 indicated by the MALDI-MS peak at 56.2 kDa. At the same

time, the  $\text{Au}_{102}(\text{SPh-tBu})_{44}$  peak became intense. After 10 h,  
301 the  $\text{Au}_{279}(\text{SPh-tBu})_{84}$  and  $\text{Au}_{102}(\text{SPh-tBu})_{44}$  peaks became  
302 sharper and the  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  peak greatly decreased,  
303 affirming that  $\text{Au}_{279}(\text{SPh-tBu})_{84}$  and  $\text{Au}_{102}(\text{SPh-tBu})_{44}$  were  
304 formed at the expense of  $\text{Au}_{133}(\text{SPh-tBu})_{52}$ . After 14 h, all of  
305 the  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  converted to mainly the  $\text{Au}_{279}(\text{SPh-}$   
306  $\text{tBu})_{84}$ , product, accompanied by a minority of smaller  
307  $\text{Au}_{191}(\text{SPh-tBu})_{66}$  AuNM.  
308

309 When considering the reaction-time variable of the ligand-  
310 exchange-induced size transformation, we observe that at the  
311 early stages (6–10 h), the  $\text{Au}_{102}(\text{SPh-tBu})_{44}$  AuNM that  
312 coexists with other sizes in the reaction mixture (Figure 1b)  
313 disappears at a later time due to its reduced stability compared  
314 to that of the larger product,  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ , particularly at  
315 a high temperature and for longer reaction times. Interestingly,  
316 the reaction did not stop with  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ . Instead, it  
317 advanced, forming larger sizes than  $\text{Au}_{279}(\text{SPh-tBu})_{84}$ . These  
318 are polydisperse species and they have masses greater than 120  
319 kDa. During the first 6 h, the formation of such large sizes is  
320 insignificant (Figure S2).  
320

321 To determine the composition of the intermediates and the  
322 converted AuNMs, with higher precision, ESI-MS analysis was  
323 performed. ESI-MS is a softer ionization technique where  
324 fragmentation is minimized, and thus it does not hinder (or  
325 interfere with) the determination of the AuNM composition in  
326 the reaction mixture. In comparison, MALDI-MS is considered  
327 as a hard ionization technique where fragmentation of the  
328 AuNMs is observed, with the unwanted complication in the  
329 interpretation of the AuNM composition. Figure 2 shows  
330 (from bottom to top) the ESI-MS spectra of the starting  
331 material (at 0 h), followed by an intermediate stage (at 6 h),  
332 and a final stage (at 14 h). The full ESI-MS spectra at each  
333 time interval are shown in Figure S3. Figures S4 and S5 are the  
334 zoom-in views of the ESI-MS results, showing metastable  
335 intermediates of the reaction. Cesium acetate ( $\text{CsOAc}$ ) was  
336 added to the AuNM solution to promote ionization. It forms  
337  $\text{Cs}^+$  adducts with  $\text{Cs}^+$  ions in addition to the molecular peak of  
338 the AuNM.  
338

339 ESI-MS analysis of the starting material shows +2 and +3  
340 charge states of  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  at 18.3 and 12.2 kDa,  
341 respectively. The absence of any other peaks in the MALDI-  
341

342 MS and ESI-MS spectra confirms the purity of the starting  
 343  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$ ,  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$ ,  
 344 and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$  peaks emerge at 2 h and remain there  
 345 for 14 h. But with time, the  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  peak reduces,  
 346 and it disappears at 14 h. The data indicates that the  
 347  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  was consumed to form  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$   
 348 and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$ . At the 6 h mark, there are several  
 349 peaks present in the reaction mixture, which represent the  
 350 AuNMs in the  $\text{Au}_{98-104}(\text{SPh-}t\text{Bu})_{39-46}$  cluster size range  
 351 (Figures 2 and S3). These ESI-MS peaks correlate with the  
 352 sharp peak observed in the MALDI-MS record at  $\sim 20$  kDa. As  
 353 noted above, even though the MALDI-MS signal correspond-  
 354 ing to the  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$  nanomolecule is below the  
 355 MALDI-MS detection limit, the ESI-MS data shows +2 and  
 356 +3 charge state peaks corresponding to  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$ , with  
 357 one and two  $\text{Cs}^+$ -ion adducts (Figure S4 and S5). After 6 h of  
 358 refluxing, two sets of peak envelopes were observed,  
 359 corresponding to the +4 and +3 charge states of  
 360  $\text{Au}_{275-281}(\text{SPh-}t\text{Bu})_{84}$  (see Figures S4 and S5 for details).  
 361 After 10 h of refluxing, the peak envelopes were still present,  
 362 indicating that the reaction does not stop at  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$   
 363 but progresses forward. This progress was also confirmed by  
 364 comparing the MALDI-MS spectra taken at 6, 10, and 14 h.  
 365 However, the reaction was stopped after 14 h, as all of the  
 366  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  was converted to higher sizes.

367 In the MALDI-MS at 10 h, the most prominent peak is that  
 368 corresponding to  $\text{Au}_{102}(\text{SPh-}t\text{Bu})_{44}$ , whereas  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$   
 369 is relatively small. By monitoring the ESI-MS at 10 h, the most  
 370 intense peaks correspond to  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$  and  $\text{Au}_{279}(\text{SPh-}$   
 371  $t\text{Bu})_{84}$ , as these two species ionize well in the ESI-MS  
 372 compared to  $\text{Au}_{102}(\text{SPh-}t\text{Bu})_{44}$  (Figures 1b and S3). At 14 h,  
 373 even though the ESI-MS shows the formation of  $\text{Au}_{191}(\text{SPh-}$   
 374  $t\text{Bu})_{66}$ , the high laser MALDI-MS indicates that  $\text{Au}_{279}(\text{SPh-}$   
 375  $t\text{Bu})_{84}$  is the major product. This is a clear illustration that the  
 376 ESI-MS peak intensity depends on the ionization efficiency of  
 377 the analyte and not necessarily on the amount of the analyte  
 378 present in the sample; this observation serves to caution that  
 379 although the ESI-MS can be used for the identification of  
 380 species that may be hard to detect with the MALDI-MS  
 381 technique (because of the aforementioned fragmentation  
 382 propensity of some of the mixture components under the  
 383 harsher ionization characteristics of the latter technique, as is  
 384 the case for the ligated  $\text{Au}_{191}$  nanomolecule, which is predicted  
 385 to show marginal stability; see the Theoretical Simulations on  
 386 the Thermodynamic Stability of  $\text{Au}_{144}(\text{SPh})_{60}$ ,  $\text{Au}_{133}(\text{SPh})_{52}$ ,  
 387  $\text{Au}_{191}(\text{SPh})_{66}$ , and  $\text{Au}_{279}(\text{SPh})_{84}$  section), direct comparison of  
 388 the amounts of analytes determined directly from the ESI-MS  
 389 data may get complicated due to the differing ionization  
 390 efficiencies of the analytes.

391 The optical properties measured with UV-vis absorption  
 392 spectrum and the calculated photon energy plot (Figure 3a,b,  
 393 respectively) were used to monitor the reaction progress, as  
 394 the starting material  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$ , and the final  
 395 product  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$  has distinct optical properties. The  
 396 UV-vis spectrum displayed in Figure 3 (black curve at 0 h)  
 397 shows characteristic optical features for the starting  
 398  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  at 510 and 700 nm.<sup>24</sup> The sharp  
 399 features indicate the high purity of the starting material. The  
 400 record for  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  shown in Figure S6 exhibits  
 401 features at 510 and 430 nm; the peak at 510 nm is not the  
 402 plasmonic peak as the absorbance was collected for pure  
 403  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  where no larger clusters are present.  
 404 Refluxing  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  with 4-*tert*-Butylbenzenethiol

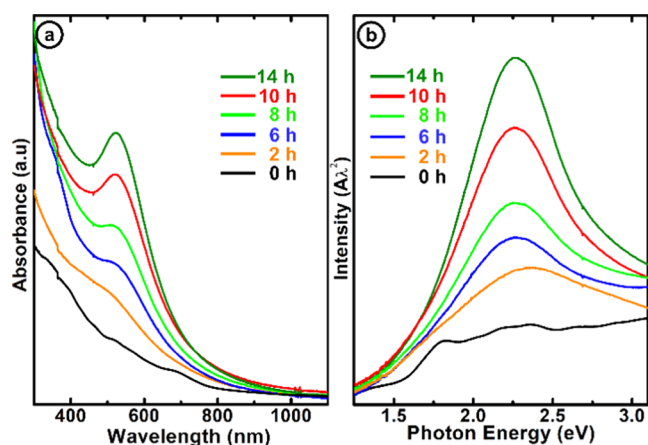


Figure 3. (a) UV-vis absorption spectra and (b) photon energy plot of the starting  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  and its transformation to  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$  at different time intervals. Black at 0 h shows the  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  features, orange at 2 h, blue at 6 h, light green at 8 h, red at 10 h, and dark green at 14 h. The spectra show the development of plasmonic feature with time, which is an indication of formation of  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$ .

for 14 h shows a gradual increase of the characteristic peak  
 corresponding to the surface plasmon resonance at 510 nm.  
 This data provides clear evidence for the formation of larger-  
 size metallic AuNMs from smaller sizes.

**Crystal Structures of  $\text{Au}_{144}(\text{SCH}_2\text{Ph})_{60}$ ,  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$ .** An AuNP crystal structure is composed of a metallic core encapsulated by thiolate ligands. An inner core, where only metallic bonds are found, is followed by core surface where Au-S bonds are present. The Au-S bonds can be staples (monomeric [SR-Au-SR], dimeric [SR-Au-SR-Au-SR], trimeric [SR-Au-SR-Au-SR-Au-SR], etc.), or simply bridging thiolates. The crystal structures of  $\text{Au}_{144}(\text{SCH}_2\text{Ph})_{60}$ ,  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ ,  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$ , and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$  are given in Figure S7.

In 2018, the  $\text{Au}_{144}(\text{SR})_{60}$  crystal structure was published with  $\text{HSCH}_2\text{Ph}$  as the capping ligand.<sup>17</sup> The crystal is highly symmetric, which is not necessarily the case in other AuNM crystals. The first atomic shell is a hollow  $\text{Au}_{12}$  icosahedron, lacking a central Au atom. The second shell is made of 42 Au atoms, which together with the innermost shell gives rise to the 54-atom Mackay icosahedral structure. The next shell is  $\text{Au}_{60}$ , which builds the rhombicosidodecahedron. On this shell rests the 30 monomeric staples, SR-Au-SR, completing the  $\text{Au}_{144}$  structure. Block-like crystals for  $\text{Au}_{144}(\text{SR})_{60}$ , where SR =  $\text{SCH}_2\text{CH}_2\text{Ph}$ , were obtained, but the crystal structure was unresolvable.<sup>17,61</sup> However, according to the powder XRD, both show similar atomic structures.<sup>17</sup>

The  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  nanocluster exhibits a structure similar to that of  $\text{Au}_{144}(\text{SCH}_2\text{Ph})_{60}$  with few differences. The first shell is an  $\text{Au}_{13}$  icosahedron with a central atom unlike the  $\text{Au}_{144}(\text{SCH}_2\text{Ph})_{60}$  structure.<sup>16</sup> The second shell is  $\text{Au}_{42}$  icosahedron, which builds to form the 55-atom Mackay icosahedron. The next shell is  $\text{Au}_{52}$ , which forms the 115-atom dodecahedron. The final shell is made of 26 monomeric staples protecting the  $\text{Au}_{107}$  core.

The  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$  crystalline buildup is a rather contrasting structure to those of  $\text{Au}_{144}(\text{SCH}_2\text{Ph})_{60}$  and  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ . It has 26-atom hexagonal close-packed

444 kernel with three center atoms.<sup>18</sup> Following that, the next  
445 atomic shells are Au<sub>63</sub> and Au<sub>66</sub>; the combined Au<sub>26</sub> and Au<sub>63</sub>  
446 shells constitute a mono-twinned  $D_{3h}$  FCC Au<sub>89</sub> inner core.<sup>18</sup>

447 The Au<sub>155</sub> core is protected by 24 monomeric and 6 dimeric  
448 staples. The monomeric staples are distributed as 12 on the  
449 poles, 6 on the body and 6 on the equatorial position, where  
450 the dimeric staples are exclusively on the trapezoidal facets.<sup>18</sup>

451 The Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub> nanocrystallite is also assembled in  
452 shells. The first shell is an Au<sub>13</sub> cuboctahedron with a central  
453 Au atom.<sup>15</sup> Combined Au<sub>13</sub>, Au<sub>42</sub>, and Au<sub>92</sub> shells form an  
454 Au<sub>147</sub> FCC cuboctahedral inner core. Together with the Au<sub>102</sub>  
455 shell, it forms the TO+249 atom core. This Au<sub>249</sub> core is  
456 protected by 18 monomeric staples, 6 dimeric staples, and 30  
457 bridging ligands which completes the Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub>  
458 structure.

459 When a capped AuNM is subjected to thermochemical  
460 treatment in the presence of an exogenous thiol, the ensuing  
461 transformation starts from the outer ligand shell to the inner  
462 metallic Au core, and it stops when the stress induced by the  
463 tension (strain) associated by the surface ligand exchange  
464 processes can no longer penetrate into the AuNM structure  
465 and bring about a further structural rearrangement.<sup>60</sup> It has  
466 been conjectured that for the transformation to happen the  
467 endogenous ligand (outgoing) and the exogenous ligand  
468 (incoming) should be characterized as having a significant  
469 structural difference.<sup>60</sup> In our study, the transformation of  
470 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> to Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> follows the above  
471 conjectured trend, whereas the transformation from  
472 Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub> to Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub> and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub>  
473 differs.

474 **Theoretical Simulations on the Thermodynamic**  
475 **Stability of Au<sub>144</sub>(SPh)<sub>60</sub>, Au<sub>133</sub>(SPh)<sub>52</sub>, Au<sub>191</sub>(SPh)<sub>66</sub>,**  
476 **and Au<sub>279</sub>(SPh)<sub>84</sub>.** Theoretical simulations were conducted  
477 to obtain information on the thermodynamic stability of the  
478 AuNMs here investigated to shed light on their experimentally  
479 determined interconversion.

480 As a first step, we generated structural models related to the  
481 four AuNMs observed here: Au<sub>133</sub>(SPh)<sub>52</sub>, Au<sub>144</sub>(SPh)<sub>60</sub>,  
482 Au<sub>191</sub>(SPh)<sub>66</sub>, and Au<sub>279</sub>(SPh)<sub>84</sub>, plus two more models of  
483 related AuNMs: Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> and Au<sub>144</sub>(SCH<sub>3</sub>)<sub>60</sub>, that  
484 are used in the Supporting Information (SI) to analyze the  
485 Au<sub>144</sub>(SR)<sub>60</sub> case in more detail. Note that we uniformly  
486 replaced the experimental 4-*tert*-butylbenzenethiol ligands with  
487 simple benzenethiol ligands to reduce the number of atoms in  
488 our models and the computational effort significantly, while the  
489 Au<sub>144</sub>(SCH<sub>3</sub>)<sub>60</sub> system has been included as an idealized model  
490 of the simplest aliphatic Au<sub>144</sub>(SR)<sub>60</sub> NM. These structural  
491 models were generated starting from the experimental X-ray  
492 crystal structures of the corresponding compounds:  
493 Au<sub>133</sub>(SPh)<sub>52</sub>,<sup>16</sup> Au<sub>191</sub>(SPh)<sub>66</sub>,<sup>18</sup> Au<sub>279</sub>(SPh)<sub>84</sub>,<sup>15</sup> and  
494 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub><sup>17</sup>—note that the crystal structure of a  
495 homologous AuNM, Au<sub>144</sub>[S(C=C)-(o-Ph-F)]<sub>60</sub>, is also  
496 available<sup>62</sup> but we do not use it because its ligands are less  
497 similar to ours. For Au<sub>144</sub>(SPh-*t*Bu)<sub>60</sub>, that has not been  
498 isolated so far, and for Au<sub>144</sub>(SCH<sub>3</sub>)<sub>60</sub>, which is an idealized  
499 model, we started from the X-ray coordinates of  
500 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub><sup>17</sup> and modified the ligands accordingly.  
501 Starting from these X-ray coordinates, (i) we modified the  
502 ligands as needed, (ii) we conducted constrained (frozen AuS  
503 fragment) Ab Initio Molecular Dynamics (AIMD) simulations  
504 based on DFTB energy and forces,<sup>63</sup> and finally (iii) we took  
505 the configurations at the end of the AIMD runs and subjected  
506 them to unconstrained local geometry relaxation using the

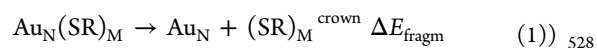
CP2K code<sup>64</sup> as described in more detail in the Computational  
Thermodynamic Analysis section. The final structures after  
geometry relaxation were used in the following step, stability  
analysis.

We quantify the energetics of transformations among  
AuNMs using an analysis framework we proposed previously,  
based on two basic tools: energy decomposition (fragmentation)  
and system comparison.<sup>30</sup> We recall the basic principles  
of our analysis, referring to ref 26 for a review and discussion.

In our fragment decomposition approach, the formation  
energy of an Au<sub>N</sub>(SR)<sub>M</sub> nanomolecule is partitioned into three  
components:<sup>26,65</sup> (1) cluster fragmentation –  $\Delta E_{\text{fragm}}$ ; (2)  
metal atomization –  $\Delta E_{\text{atmz}}$ ; and (3) ligand separation –  
 $\Delta E_{\text{ligsep}}$ . For convenience, we also define corresponding  
normalized quantities:  $\Delta E_{\text{fragm}}/M$ ,  $\Delta E_{\text{atmz}}/N$ , and  $\Delta E_{\text{ligsep}}/M$ .

These quantities correspond to the reaction energies of the  
three sequential disaggregation processes of an AuNM:

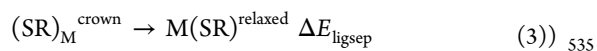
- (1) cluster fragmentation –  $\Delta E_{\text{fragm}}$  separates the cluster into  
two pieces: an Au<sub>N</sub> metal core and a “crown” of thiol  
radicals, (SR)<sub>M</sub>, where both pieces are frozen in the  
geometry of the cluster:



- (2) metal atomization –  $\Delta E_{\text{atmz}}$  process partitions the Au<sub>N</sub>  
metal core into N separated Au atoms:



- (3) ligand separation –  $\Delta E_{\text{ligsep}}$  process transforms the  
crown of ligands into M separated thiyls in their relaxed  
geometry:



and the sum of these quantities gives us the total energy  
of the AuNM as per the formula:

$$E_{\text{total}} = (-\Delta E_{\text{fragm}}/M) \times M + [-\Delta E_{\text{atmz}}/N \\ + E(\text{Au})] \times N + [-\Delta E_{\text{ligsep}}/M \\ + E(\text{ligand})] \times M \quad (4)$$

where  $E(\text{Au})$  is the energy of a single Au atom  
 $E(\text{ligand})$  is the energy of a relaxed isolated ligand,  
SR<sup>relaxed</sup>. Note that we conduct our analysis on relaxed  
and static configurations: this corresponds to modeling  
at  $T = 0$  K, neglecting vibrational and entropic  
contributions to the free energy.

In Table 1, we report the complete set of raw energy data  
and the corresponding derived quantities defined in eqs 1–3.  
This enables a system comparison analysis<sup>26,30,65</sup> of a pair of  
AuNMs to single out the sources of their relative stability.

Let us start with the pair: Au<sub>133</sub>(SPh)<sub>52</sub> vs Au<sub>144</sub>(SPh)<sub>60</sub>. By  
slightly modifying our system comparison protocol, here, we  
use the partitioned energetics of Au<sub>133</sub>(SPh)<sub>52</sub> to construct  
piece by piece the energy of a fictitious Au<sub>144</sub>(SPh)<sub>60</sub> cluster  
corresponding to the transformation of the Au<sub>133</sub> AuNM into  
the Au<sub>144</sub> AuNM



**Table 1. Electronic Energies of the Various Models and Fragments: Blue, bare energies; Bold, Derived Quantities as Defined in Eqs 1–3<sup>a</sup>**

<b>Au<sub>N</sub>(SPh)<sub>M</sub></b>	<b>Au<sub>133</sub>(SPh)<sub>52</sub></b>	<b>Au<sub>144</sub>(SPh)<sub>60</sub></b>	<b>Au<sub>191</sub>(SPh)<sub>66</sub></b>	<b>Au<sub>279</sub>(SPh)<sub>84</sub></b>
<b>total: E<sub>total</sub></b>	-6884.111180	-7628.608652	-9476.037473	-13255.029779
<b>Au-core: Au<sub>N</sub></b>	-4421.041437	-4787.008528	-6349.792185	-9276.3236179
<b>shell: (SR)<sub>M</sub><sup>crown</sup></b>	-2457.667197	-2835.874338	-3119.397034	-3970.1408041
<b>ligand: SR<sup>relaxed</sup></b>	-47.255025	-47.255025	-47.255025	-47.255025
<b>ΔE<sub>fragm</sub></b>	5.402546	5.725786	6.848254	8.565357
<b>ΔE<sub>fragm</sub>/M</b>	0.10390	0.09543	0.10376	0.10200
<b>ΔE<sub>ligsep</sub></b>	0.405897	0.572838	0.565384	0.718704
<b>ΔE<sub>ligsep</sub>/M</b>	0.007806	0.009547	0.008566	0.008556
<b>ΔE<sub>atmz</sub>/N</b>	0.096575	0.098777	0.100647	0.104133

<sup>a</sup>The energy of Au atoms is  $-33.144338$  au.

$$\begin{aligned}
 E_{\text{total}}[\text{Au}_{144}(\text{SPh})_{60}@\text{Au}_{133}(\text{SPh})_{52}] \\
 = (-\Delta E_{\text{fragm}}/52 - \text{Au}_{133}) \times 60 \\
 + [-\Delta E_{\text{atmz}}/144 - \text{Au}_{144} + E(\text{Au})] \times 144 \\
 + [-\Delta E_{\text{ligsep}}/52 - \text{Au}_{133} + E(\text{SPh})] \times 60
 \end{aligned} \quad (5)$$

555

The difference,  $\Delta E$ , (changed in sign) between the energy,  $E_{\text{total}}[\text{Au}_{144}(\text{SPh})_{60}@\text{Au}_{133}(\text{SPh})_{52}]$ , of this fictitious  $\text{Au}_{144}(\text{SPh})_{60}@\text{Au}_{133}(\text{SPh})_{52}$  cluster and the energy of the true  $\text{Au}_{144}(\text{SPh})_{60}$  cluster, i.e., the reaction energy of the transformation from the fictitious to the true cluster, quantitatively assesses the relative stability of these two AuNMs: a negative  $\Delta E$  implies that it is favorable to transform  $\text{Au}_{133}$  into  $\text{Au}_{144}$ , and the opposite for a positive  $\Delta E$ .

For the “ $\text{Au}_{133}(\text{SPh})_{52} \rightarrow \text{Au}_{144}(\text{SPh})_{60}$ ” case by evaluating eq 5, we obtain the reaction energy  $\Delta E = E_{\text{total}}(\text{Au}_{144}(\text{SPh})_{60}) - E_{\text{total}}[\text{Au}_{144}(\text{SPh})_{60}@\text{Au}_{133}(\text{SPh})_{52}] = 10.99$  eV. This  $\Delta E$ , being large and positive, tells us that the fictitious  $\text{Au}_{144}(\text{SPh})_{60}@\text{Au}_{133}(\text{SPh})_{52}$  cluster is appreciably more stable than the true  $\text{Au}_{144}(\text{SPh})_{60}$  cluster, or equivalently that  $\text{Au}_{133}(\text{SPh})_{52}$  is appreciably more stable than  $\text{Au}_{144}(\text{SPh})_{60}$ ; see the SI for a more detailed analysis of the  $\text{Au}_{144}(\text{SR})_{60}$  case. Note that in eq 5, we have used the metal atomization energy of  $\text{Au}_{144}(\text{SPh})_{60}$ ,  $\Delta E_{\text{atmz}}/144\text{-Au}_{144}$ , but we would get a positive  $\Delta E$  of 2.36 eV also using the smaller metal atomization energy of  $\text{Au}_{133}(\text{SPh})_{52}$ ,  $\Delta E_{\text{atmz}}/133\text{-Au}_{133}$ . We thus predict that the transformation from  $\text{Au}_{144}(\text{SPh})_{60}$  to  $\text{Au}_{133}(\text{SPh})_{52}$  is thermodynamically favorable, in perfect agreement with experimental observations. We can add further details to our analysis and observe that  $\text{Au}_{144}(\text{SPh})_{60}$  is superior to  $\text{Au}_{133}(\text{SPh})_{52}$  only in the  $\Delta E_{\text{ligsep}}/M$  component, i.e., in the strength of ligand/ligand interactions. However, ligand/ligand interactions are weakened by an increase in temperature so that the “ $\text{Au}_{144}(\text{SPh})_{60} \rightarrow \text{Au}_{133}(\text{SPh})_{52}$ ” transformation, already favored at  $T = 0$  K, is predicted to become even more favorable by thermal treatment, again in perfect agreement with experimental observations.

Let us now consider the pair:  $\text{Au}_{133}(\text{SPh})_{52}$  vs  $\text{Au}_{191}(\text{SPh})_{66}$ . By employing the above approach (still transforming the smaller into the larger cluster), we evaluate the energy of a fictitious  $\text{Au}_{191}(\text{SPh})_{66}$  cluster built using the energetics of the smaller  $\text{Au}_{133}(\text{SPh})_{52}$

$$\begin{aligned}
 E_{\text{total}}[\text{Au}_{191}(\text{SPh})_{66}@\text{Au}_{133}(\text{SPh})_{52}] \\
 = (-\Delta E_{\text{fragm}}/52 - \text{Au}_{133}) \times 66 \\
 + [-\Delta E_{\text{atmz}}/191 - \text{Au}_{191} + E(\text{Au})] \times 191 \\
 + [-\Delta E_{\text{ligsep}}/52 - \text{Au}_{133} + E(\text{SPh})] \times 66
 \end{aligned} \quad (6)$$

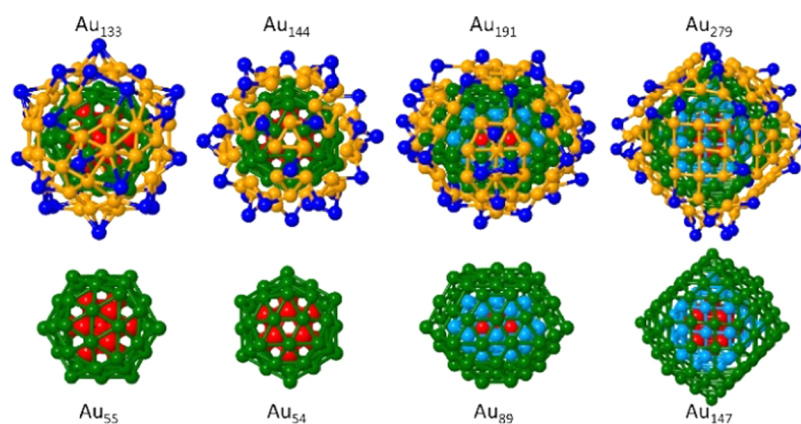
Consequently, we obtain that transforming the fictitious  $\text{Au}_{191}(\text{SPh})_{66}@\text{Au}_{133}(\text{SPh})_{52}$  cluster into the true  $\text{Au}_{191}(\text{SPh})_{66}$  cluster is energetically favorable by  $-1.12$  eV, implying that transforming  $\text{Au}_{133}(\text{SPh})_{52}$  to  $\text{Au}_{191}(\text{SPh})_{66}$  is also predicted to be favorable. The transformation energy  $\Delta E = -1.12$  eV is a modest amount. Indeed, the values of  $\Delta E_{\text{fragm}}/M$  for these two clusters are nearly identical, while only the ligand separation energy  $\Delta E_{\text{ligsep}}/M$  is about 10% larger for  $\text{Au}_{191}(\text{SPh})_{66}$ . In short, we predict that  $\text{Au}_{133}(\text{SPh})_{52}$  transforms to  $\text{Au}_{191}(\text{SPh})_{66}$  as observed experimentally, although the driving force for this transformation is small.

We then consider the pair:  $\text{Au}_{191}(\text{SPh})_{66}$  vs  $\text{Au}_{279}(\text{SPh})_{84}$ , and we evaluate the energy of a fictitious  $\text{Au}_{279}(\text{SPh})_{84}$  built using the energetics of  $\text{Au}_{191}(\text{SPh})_{66}$

$$\begin{aligned}
 E_{\text{total}}[\text{Au}_{279}(\text{SPh})_{84}@\text{Au}_{191}(\text{SPh})_{66}] \\
 = (-\Delta E_{\text{fragm}}/66 - \text{Au}_{191}) \times 84 \\
 + [-\Delta E_{\text{atmz}}/279 - \text{Au}_{279} + E(\text{Au})] \times 279 \\
 + [-\Delta E_{\text{ligsep}}/66 - \text{Au}_{191} + E(\text{SPh})] \times 84
 \end{aligned} \quad (7)$$

From the above, we obtain that transforming the fictitious  $\text{Au}_{279}(\text{SPh})_{84}@\text{Au}_{191}(\text{SPh})_{66}$  cluster into the true  $\text{Au}_{279}(\text{SPh})_{84}$  cluster is energetically unfavorable by  $\Delta E = 4.11$  eV. This  $\Delta E$  is due essentially to the fact that  $\Delta E_{\text{fragm}}/M$  is about 1.7% larger for  $\text{Au}_{191}(\text{SPh})_{66}$  than for  $\text{Au}_{279}(\text{SPh})_{84}$ , whereas the values of  $\Delta E_{\text{ligsep}}/M$  for the two AuNMs are nearly identical. However, it is crucial to observe that, using the metal atomization energy of  $\text{Au}_{191}(\text{SPh})_{66}$ ,  $\Delta E_{\text{atmz}}/191\text{-Au}_{191}$ , in eq 8, the order of stability is reversed in favor of  $\text{Au}_{279}(\text{SPh})_{84}$  by a large and negative  $\Delta E$  of  $-22.36$  eV for transforming the fictitious  $\text{Au}_{279}(\text{SPh})_{84}@\text{Au}_{191}(\text{SPh})_{66}$  into the true  $\text{Au}_{279}(\text{SPh})_{84}$  cluster. We therefore finally predict that  $\text{Au}_{191}(\text{SPh})_{66}$  will transform into  $\text{Au}_{279}(\text{SPh})_{84}$ , in agreement with experiment, and that the reason of this greater energetic stability of  $\text{Au}_{279}(\text{SPh})_{84}$  is associated with its larger and more stable metallic core. Note that this transformation can be further favored by two effects that are neglected in our analysis: (a) as noted above, at high temperatures, vibrational phenomena in general weaken the contribution of  $\Delta E_{\text{fragm}}/M$  relative to that of  $\Delta E_{\text{atmz}}/N$ , and (b) kinetic trapping may favor the more regular  $\text{Au}_{279}(\text{SPh})_{84}$  cluster with respect to the stacking faults of  $\text{Au}_{191}(\text{SPh})_{66}$  (see the discussion of crystal structures above). Finally, we note in passing that the stronger ligand fragmentation (ligand/core interaction, i.e., ligand adhesion) of  $\text{Au}_{191}(\text{SPh})_{66}$  probably contributes to its peculiar optical properties.<sup>18</sup>

In summary, our thermodynamic analysis, as detailed in the Deeper discussion of the  $\text{Au}_{144}(\text{SX})_{60}$  vs  $\text{Au}_{133}(\text{SX})_{52}$  cases section in the SI, shows that  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  transforms into  $\text{Au}_{133}(\text{SPh}-t\text{Bu})_{52}$  because the differential strength of the Au–S bond in aliphatic vs aromatic ligands (discussed in detail in ref 26) and the greater stability of the larger and less defective metal core in  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  are more than compensated by the much stronger bonding of sparser 641



**Figure 4.** Optimized structures of the AuNMs considered in this study. Top row: views of the energy-optimized clusters (starting from the X-ray-determined ones). Only the Au atoms (with the different atomic shells (see the SI), depicted in red, green, and orange) and the S atom (in blue) of the capping thiolate are depicted. Bottom row: relaxed inner cores (ICs) corresponding to the clusters displayed in the top row.

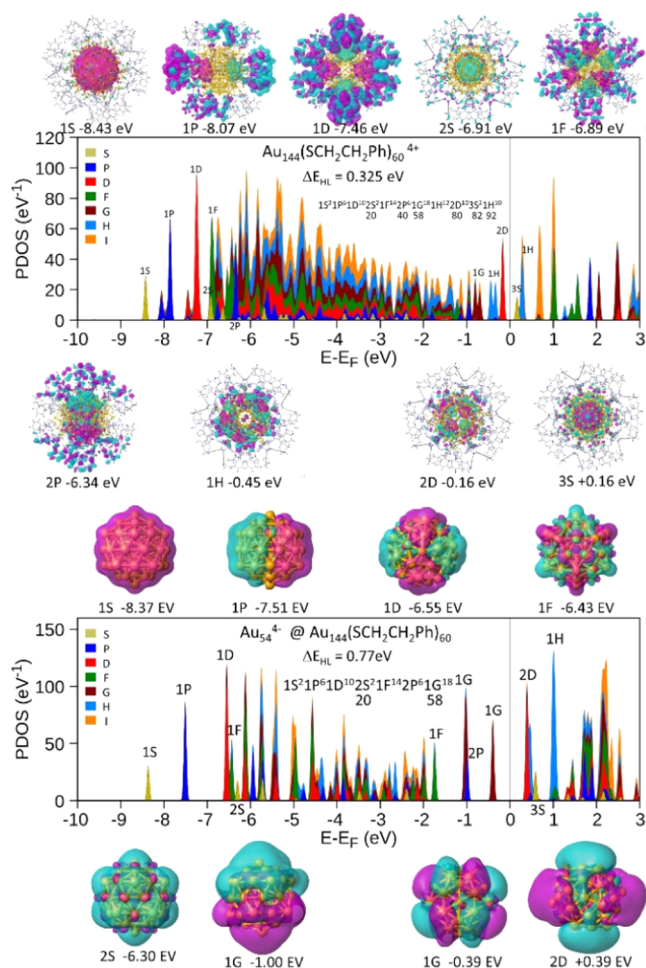
642 conjugated thiyls to a defective metal core in  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$ .  
 643 This initial transformation from  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  into  
 644  $\text{Au}_{133}(\text{SPh-}t\text{Bu})_{52}$  is followed by further transformations into  
 645  $\text{Au}_{191}(\text{SPh-}t\text{Bu})_{66}$  and  $\text{Au}_{279}(\text{SPh-}t\text{Bu})_{84}$  compounds (where  
 646  $\text{Au}_{191}$  is predicted to be only marginally stable due to a  
 647 stronger ligand/core adhesion), with these processes being  
 648 driven primarily by the stability-enhancing effect of the more  
 649 stable metallic cores of these larger species.

650 **Electronic Structure of the Thiolate-Capped Gold**  
 651 **Nanomolecules.** Insights into the electronic structure and  
 652 stability of the thiolate-ligand-capped nanomolecules were  
 653 gained through calculations using the density functional theory  
 654 (DFT) method, employing the Vienna Ab initio Simulation  
 655 Package VASP;<sup>51–53</sup> for further details, see the Method  
 656 section. All of the results shown below were obtained with  
 657 the use of these theoretical simulations, subsequent to  
 658 optimization of the thiolate-capped structures of the gold  
 659 nanomolecule (starting from the X-ray crystal structures  
 660 referenced above and in displayed in Figure S7). A summary  
 661 of the AuNMs' structures (only the metals atoms and the  
 662 thiolate-anchoring sulfur atoms are shown), as well as the  
 663 corresponding inner-core (IC) structures (i.e., those atoms of  
 664 the AuNM that are not bonded directly to the sulfur atoms of  
 665 the thiol ligands) is shown in Figure 4.

666 The projected density of states, PDOS, that is, the electronic  
 667 density of states of the capped AuNMs projected onto the  
 668 angular momentum components,<sup>66</sup> calculated for the  
 669  $\text{Au}_n(\text{SR})_m$  nanomolecule (with SR corresponding to the  
 670 thiolate ligands indicated in the figures)—for  $(n,m;k)$ :  
 671 (144,60;54), (133,52;55), (191,66;89), and (279, 84;147),  
 672 where  $(n,m;k)$  corresponds to the number of Au atoms ( $n$ ),  
 673 number of thiolate ligands ( $m$ ), and number ( $k$ ) of Au atoms  
 674 in the corresponding IC clusters—are shown in Figures 5–8,  
 675 respectively. In the calculations of the PDOS, we have used the  
 676 ligands (-SR) indicated in the figures, whereas for the larger  
 677 sizes ( $\text{Au}_{191}$  and  $\text{Au}_{279}$ ), we have employed (for computational  
 678 convenience) -SPh, rather than S-Ph-*t*Bu. This replacement  
 679 has been shown previously (see Figures S2B and S2C in ref  
 680 19) to have a rather insignificant influence on the PDOS  
 681 results for sufficiently large clusters (e.g.,  $n \sim 100$ ) particularly  
 682 in the region of the gap  $\Delta E_{\text{HL}}$  between the highest (and  
 683 lowest) occupied (unoccupied) molecular orbitals, HOMO  
 684 (LUMO); see the region in Figures 5–8 near the vertical  
 685 dashed line at  $E - E_{\text{F}} = 0$ , where  $E_{\text{F}}$  is the Fermi level.

The PDOS spectra in Figures 5–8 exhibit a series of peaks  
 color coded according to their angular momentum character,  
 determined via spherical-harmonics ( $Y_{lm}$ ) expansion of the  
 DFT-calculated eigenfunctions. The features to the left of the  
 above-mentioned  $E - E_{\text{F}} = 0$  point (marked by a dashed line)  
 correspond to occupied orbitals, and those above it belong to  
 the unoccupied part of the electronic spectrum. The  $\Delta E_{\text{HL}}$  gap  
 is the excitation threshold energy. The opening of such gap  
 (and its magnitude) confers the electronic stability to the  
 studied cluster (see below). The organization of the electronic  
 states is analyzed using the cluster-shell-model<sup>67</sup> (CSM)  
 formulated originally in the investigation of simple (e.g.,  
 alkali) metal clusters, and generalized later to other elements  
 (in particular, coinage metals, e.g., Ag, Au, Pt) in a study of a  
 series of gold nanoclusters, where it has been termed as “the  
 partial jellium model”;<sup>66</sup> this methodology has been sub-  
 sequently used in a number of investigations of ligand-capped  
 metal clusters [see, e.g., refs 68 and 69]. As already elaborated  
 elsewhere<sup>18,19,66</sup> for a wide range of energies (located at the  
 middle of the energy spectrum), the electronic wavefunctions  
 exhibit localized character (associated with Au atomic 5d  
 electrons). The orbitals of states with energies near the bottom  
 of the electronic spectrum, as well as near the top of the  
 spectrum (near the Fermi level), are of delocalized character,  
 associated with the atomic Au 6s<sup>1</sup> electrons (see, e.g., orbital  
 images at the top of Figure 5). The organization (occupation  
 ordering) of these delocalized states follows the CSM, or  
 superatom, aufbau scheme, where the electron filling follows  
 the rule:  $1\text{S}^2 | 1\text{P}^6 | 1\text{D}^{10} | 2\text{S}^2 | 1\text{F}^{14} | 2\text{P}^6 | 1\text{G}^{18} | 2\text{D}^{10} | 1\text{H}^{22} | 3\text{S}^2 |$   
 $1\text{I}^{26} \dots$ , where S, P, D, F, G, H, and I correspond to angular  
 momenta  $L = 0, 1, 2, 3, 4, 5$ , and 6. The shell closures (so-  
 called “magic numbers”) are denoted by vertical lines, and  
 closure near  $E_{\text{F}}$  is often accompanied by opening of a  
 stabilizing energy gap (referred to as  $\Delta_{\text{HL}}$ ). The “magic  
 numbers”, that is, the number of electrons completing the  
 shells, take the following values  $n^* = 2, 8, 18, 20, 34, 58, 90, 92$   
 electrons, and so on; we remark it that clusters with electron  
 occupation close to these magic number are found to also  
 exhibit enhanced electronic stabilization. It should be noted  
 that the above aufbau rule and magic number sequence result  
 from an assumed spherical (or near-spherical) cluster shape.  
 Deviations of the cluster geometry from the assumed spherical  
 shape bring about lifting of the  $g = 2L + 1$  degeneracy of the  
 levels belonging to the  $L$ -th angular momentum. In condensed-

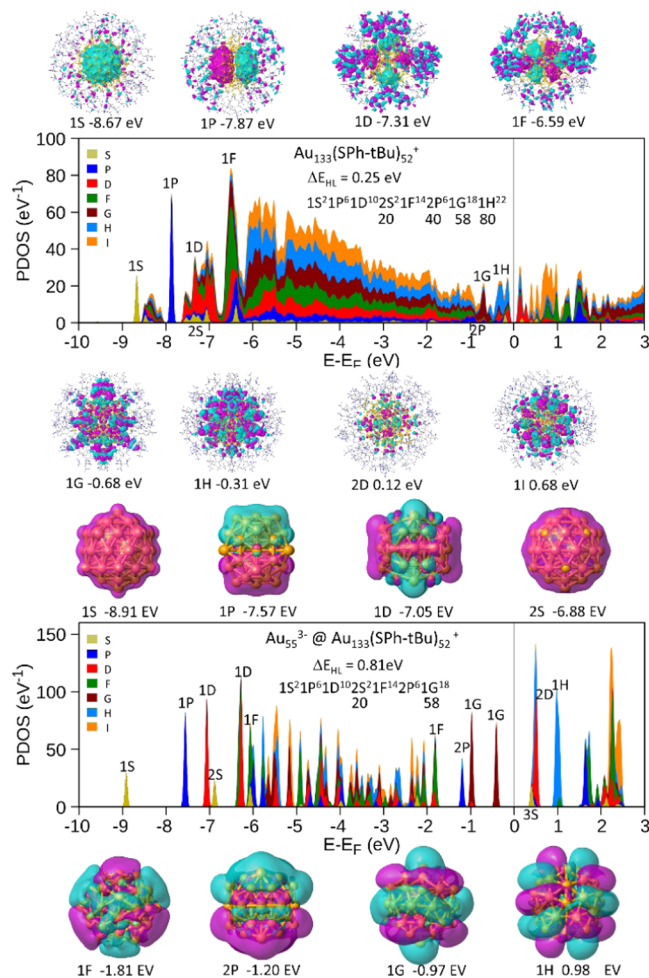




**Figure 5.** Electronic structure of the optimized  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  nanomolecule (in the 4+ charge state, top panel) and for the relaxed inner-core cluster  $\text{Au}_{54} @ (\text{S}-\text{CH}_2\text{CH}_2\text{Ph})_{60}$  in the molecule. Displayed in both panels are the calculated PDOS, with the various angular momenta contributions shown in different colors, as given in the inset in the top panel. Also shown above and below each panel are isosurfaces of selected (delocalized) superatom electronic orbitals corresponding to the indicated angular momenta (e.g., 1S, 1P, 1D, etc.), which make up the electronic shell structure of the cluster; see aufbau list in the top and bottom panels, with the corresponding electron fillings indicated underneath. Note the crystal-field splitting of the 1H level near the Fermi level in the upper panel. The highest occupied molecular orbital (HOMO)–lowest unoccupied molecular orbital (LUMO) gaps for the 144-gold atom AuNM ( $\Delta_{\text{HL}} = 0.325$  eV) and for the 54 Au atom inner core ( $\Delta_{\text{HL}} = 0.77$  eV) are indicated.

reordering of the superatom orbitals, especially near the top of the occupied spectrum; compare, e.g., the ordering of the 1G, 1H, and 2D superatom levels near  $E - E_{\text{F}} = 0$  in the top panels of Figures 5 and 6.

748 f6



**Figure 6.** Electronic structure of the optimized  $\text{Au}_{133}(\text{SPh-tBu})_{52}$  nanomolecule (in the 1+ charge state, top panel) and for the relaxed inner-core cluster  $\text{Au}_{55} @ (\text{SPh-tBu})_{52}$  in the charge state 3-. Displayed in both panels are the calculated PDOS, with the various angular momenta contributions shown in different colors, as given in the inset in the top panel. Also shown above and below each panel are isosurfaces of selected (delocalized) superatom electronic orbitals corresponding to the indicated angular momenta (e.g., 1S, 1P, 1D,....), which make up the electronic shell structure of the cluster; see aufbau list in the top and bottom panels, with the corresponding electron fillings indicated underneath. Note the crystal-field splitting of the 1H level near the Fermi level in the top panel. The HOMO–LUMO gaps for the 133-gold atom AuNM ( $\Delta_{\text{HL}} = 0.25$  eV), and for the 55 Au atom inner core ( $\Delta_{\text{HL}} = 0.81$  eV) are indicated.

730 matter and molecular physics, such degeneracy lifting is  
 731 commonly termed as crystal-field level splitting; in general,  
 732 group theoretical considerations can assist in determining  
 733 which superatom levels (based on a spherical model and thus  
 734 characterized, as described above, by their angular momenta  $L$ )  
 735 may be split by a given point-group symmetry of the atomic  
 736 spatial arrangement in the cluster under study. In an early  
 737 study, such symmetry-breaking (with the influence of the  
 738 point-group symmetry associated with the cluster atomic  
 739 arrangement, treated perturbatively) has been modeled and  
 740 illustrated<sup>70</sup> for the analysis of the electronic spectrum of the  
 741  $\text{C}_{60}$  cluster, and a general discussion of metal-cluster shape  
 742 effects on the electronic shell model can be found in ref 71. We  
 743 remark here that the above effects of the cluster atomic  
 744 geometrical (crystallographic) structure may lead to certain

The PDOS spectrum of the energy-optimized X-ray 749  
 structure exhibited in the top panel of Figure 5 for the starting 750  
 AuNM  $\text{Au}_{144}(\text{SCH}_2\text{CH}_2\text{Ph})_{60}$  shows a relatively small HOMO– 751  
 LUMO gap ( $\Delta_{\text{HL}} = 0.325$  eV). Examination of the electronic 752  
 structure—see in particular the isosurfaces of selected orbitals 753  
 displayed above and below the top panel of Figure 5, 754  
 corresponding to peaks in the PDOS spectrum at the indicated 755  
 energies—provides clear evidence for delocalized (superatom 756  
 states with eigenenergies lying at the bottom and top (near the 757  
 HL gap) of the PDOS spectrum, in agreement with the 758

759 aforementioned “partial jellium” model).<sup>66</sup> We also call  
760 attention to the energy splitting of the 1G and 1H at the top  
761 of the spectrum, caused by the above-mentioned crystal-field  
762 effects and interactions with the ligand capping environment,  
763 with parts of the split orbitals being occupied by the  
764 delocalized electrons and some lying above the gap (in the  
765 unoccupied manifold). The aufbau orbital-filling scheme  
766 shown in Figure 5 indicates shell-closing by 80 electrons  
767 with a fully occupied (10 electrons) 2D HOMO orbital.

768 We devote attention to the computationally predicted  
769 energy splitting of 1G and 1H at the top of the spectrum,  
770 caused by the above-mentioned effects of the crystalline  
771 structure (with the symmetry of the atomic arrangement in the  
772 cluster entering the first-principles DFT calculations through  
773 the electron–metal-ion interaction potential, which reflects the  
774 point-group symmetry of the cluster atomic structure), and  
775 interactions with the ligands capping environment; note that  
776 for the ligated Au<sub>144</sub> cluster (in the crystallographically  
777 determined structure, see the top panel in Figure 5), all of  
778 the split 1G levels lie in the occupied manifold, whereas only  
779 part of the 1H split levels is occupied by the delocalized  
780 electrons and some of the split levels are located above the gap  
781 (in the unoccupied manifold). When modeled, using the  
782 crystal-field perturbative approach,<sup>70</sup> the degree of superatom  
783 degeneracy lifting that underlies level splitting is governed by  
784 matrix elements of the atomic structure perturbing potential  
785 (expressing the point-group symmetry of the atomic arrange-  
786 ment in the cluster) and on the angular momentum symmetry  
787 of the superatom orbital being considered.

788 Further insight into the electronic structure of the cluster is  
789 gained through analysis, which we introduced recently as the  
790 “core-cluster-shell model” (CCSM).<sup>18,19</sup> In this model, one  
791 explores stabilization (reflected by opening of a relatively large  
792  $\Delta_{\text{HL}}$  gap) that results from the organization of the superatom  
793 (shell) electronic energy levels corresponding to delocalized  
794 electrons of the metal atoms (6s<sup>1</sup> electrons for Au) that reside  
795 in the inner-core region of the ligand-capped nanoparticle (i.e.,  
796 metal atoms not bonded directly to the capping thiols). For the  
797 144-gold atom AuNM, this IC is denoted as Au<sub>54</sub><sup>−</sup>@  
798 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>, and the PDOS spectrum for that IC in  
799 a four-charge state is displayed in the bottom panel of Figure 5.  
800 This IC (relaxed) cluster exhibits a large stabilization energy  
801 gap ( $\Delta_{\text{HL}} = 0.77$  eV), with no splittings at the top of the  
802 spectrum. The aufbau orbital-filling scheme shown in the figure  
803 indicates shell closure at a magic number of 58 electrons, with  
804 the HOMO orbital being a full 1G<sup>18</sup> ( $L = 4$ ) orbital (see also  
805 the isosurface shown at the bottom of the lower panel of  
806 Figure 5). As we discussed previously,<sup>18,19</sup> as well as from the  
807 analysis that we present below (particularly for the  $n = 191$  and  
808  $n = 279$  AuNMs), the CCSM methodology proves most useful  
809 for cases where only a small or no  $\Delta_{\text{HL}}$  gap and no delocalized  
810 superatom orbitals near the Fermi level are found in the  
811 density of states of the entire capped nanoparticle. Moreover,  
812 the CCSM model is motivated by considerations relating to  
813 the cluster growth process, where one expects that the  
814 electronic and geometric stability of the earlier-formed  
815 nucleating inner core of the metal nanoparticle (particularly  
816 stabilization of that IC due to its emergent superatom shell  
817 structure) would confer stabilization to the (subsequently  
818 assembling) outer atomic shells that anchor the capping  
819 organic ligands.

Applying such analysis to the calculated PDOS spectra for 820  
the Au<sub>*n*</sub>(SR)<sub>*m*</sub> nanomolecules (with SR = SPh-*t*Bu or SPh, as 821  
indicated), for the AuNM transformation product, Au<sub>*n*</sub>(SR)<sub>*m*</sub> 822  
with (*n,m;k*): (133,52;55), (191,66;89), and (279,84;147), 823  
where *k* corresponds to the number of gold atoms in the IC 824  
Au<sub>*k*</sub>@Au<sub>*n*</sub>(SR)<sub>*m*</sub> (with the charge states as indicated in Figures 825  
6–8 for  $n = 133, 191,$  and  $279,$  respectively), we observe the 826  
following trends: 827

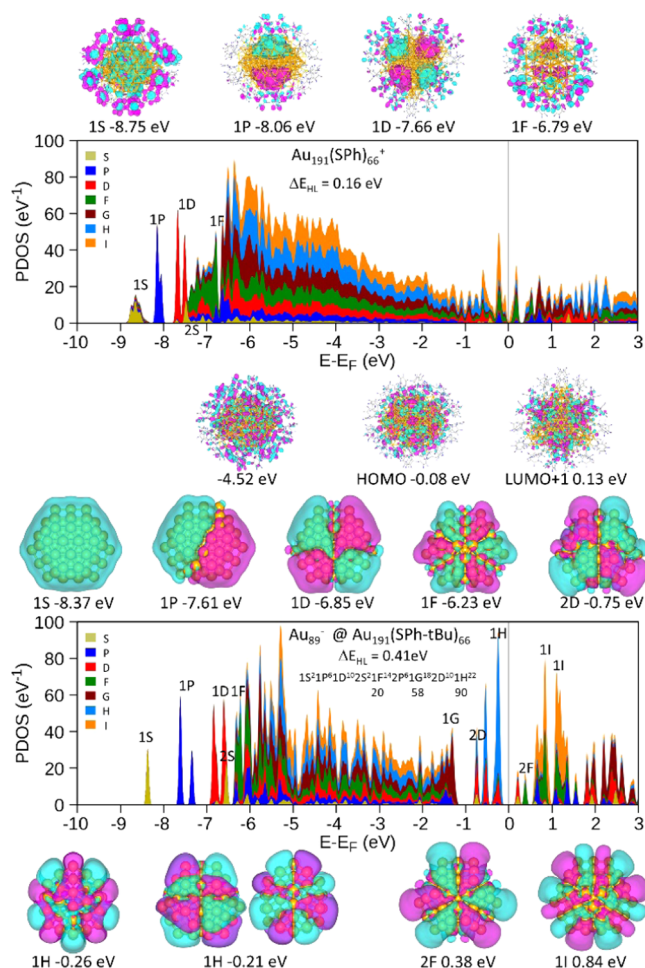
- (1) The stabilization gaps of the transformation products 828  
decrease with cluster size:  $\Delta_{\text{HL}} = 0.25$  eV ( $n = 133$ ); 0.16 829  
eV ( $n = 191$ ); and 0.05 eV ( $n = 279$ ), with the 830  
delocalized superatom orbitals at the top of the occupied 831  
spectrum (near the gap) largely obliterate two larger 832  
clusters (see Figures 7 and 8). 833 834
- (2) A clear stabilization gap characterizes the relaxed ICs 834  
Au<sub>*k*</sub>@Au<sub>*n*</sub>(SR)<sub>*m*</sub> (in the charge states indicated in 835  
Figures 6–8, respectively):  $\Delta_{\text{HL}} = 0.81$  eV ( $k = 55, n$  836  
 $= 133$ ); 0.41 eV ( $k = 89, n = 191$ ); and 0.21 eV ( $k = 147,$  837  
 $n = 279$ ). Added stability of the ICs is of geometric 838  
(packing) origin. Indeed, the multitwinned IC with  $k =$  839  
55 ( $n = 133$ )<sup>16</sup> and the IC with  $k = 54$  of the starting 840  
Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>AuNM, the mono-twinned FCC 841  
 $D_{3h}$  cluster<sup>18</sup> found for  $k = 89$  ( $n = 191$ ), and the 842  
cuboctahedron found for  $k = 147$  ( $n = 279$ )<sup>15</sup> are all 843  
known for their structural packing stability. We conclude 844  
that the electronic and geometrical structural stabilities 845  
of the ICs are likely to serve as contributors to the 846  
continued assembly of the outer atomic shells, which 847  
anchor the capping passivating ligands. 848

## 849 ■ CONCLUSIONS

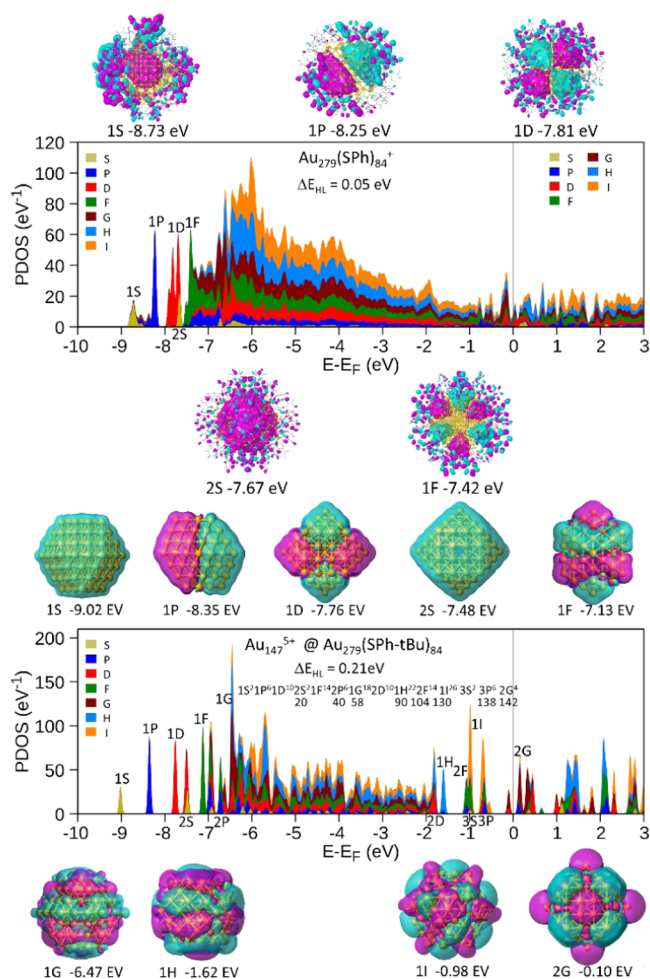
850 Having given full account of our experimental and theoretical  
851 findings that addressed the size and structural transformations  
852 brought about by ligand exchange at a high temperature (140  
853 °C) under reflux conditions, starting with  
854 Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub> and culminating with Au<sub>279</sub>(SPh-  
855 *t*Bu)<sub>84</sub>, we turn now to a discussion of our work and its future  
856 expected impact, in light of the current status of this field of  
857 scientific research.

858 Identification, characterization, and elucidation of the  
859 physical and chemical origins of size-dependent evolution of  
860 the structure and properties of finite clusters of atoms or  
861 molecules are principal goals of cluster science. Coupled to the  
862 above are the experimental challenges entailed in the design  
863 and implementation of methods of synthesis resulting in the  
864 isolation of clusters of specific sizes (i.e., number of atoms), as  
865 well as their superlattice assemblies. Although investigations of  
866 (gas-phase, free) size-selected clusters in beams have been  
867 carried out for close to half a century now, studies on solution-  
868 synthesized well-defined metal clusters of specific sizes started  
869 only later (less than three decades ago).<sup>1,2,72</sup> The methodology  
870 that enabled the emergence and growth of the currently  
871 burgeoning field of organically capped metal-cluster nano-  
872 molecules (MCNM, MC = Au in the present work) utilized  
873 the two-phase colloid preparation method of Faraday<sup>73</sup> in  
874 conjunction with phase transfer, gold-alkanethiolate chemistry,  
875 combined with mass spectrometry (e.g., MALDI-MS) and ESI-  
876 MS, as well as optical (UV–vis) spectroscopy and high-  
877 resolution electron microscopy (HREM), as primary synthesis  
878 and characterization tools. Indeed, the large majority of  
879 MCNMs synthesized to date [e.g., Au<sub>*n*</sub>(SR)<sub>*m*</sub>, where -SR  
880 signifies the capping thiolate ligand] have been obtained using





**Figure 7.** Electronic structures of  $\text{Au}_{191}(\text{S-Ph})_{66}$  nanomolecule (in the charge state  $1+$ , top panel) and the relaxed IC cluster (in the  $1-$  charge state)  $\text{Au}_{89}@\text{Au}_{191}(\text{S-phenyl-}t\text{Bu})_{66}$ . Calculated Projected densities of states (PDOS) are shown in the two panels (color coded as shown in the inset to the top panel). Also displayed above and below the PDOS plots are isosurfaces of orbitals of selected electronic states of the ligand-capped cluster. The  $1\text{S}$ ,  $1\text{P}$ ,  $1\text{D}$ , and  $1\text{F}$  orbitals shown above the top panel (all corresponding to states at the bottom part of the PDOS spectrum) display delocalized, superatom, characteristics. On the other hand, the orbitals shown below the top panel, corresponding to the marked energies, are of localized nature, and any symmetry that they display reflects that of the atomic arrangements, reflecting structural symmetry (note, in particular, the ones near the Fermi level). For the IC, the isosurfaces (above and below the bottom panel) all show delocalized superatom character.  $\Delta_{\text{HL}} = 0.16$  eV for the capped 191 Au cluster (top) and  $0.41$  eV for the IC (bottom). Figure adapted from ref 18.



**Figure 8.** Electronic structure of  $\text{Au}_{279}(\text{S-Ph})_{84}$  nanomolecule (in the charge state  $1+$ , top panel) and of the relaxed IC cluster (in the  $5+$  charge state)  $\text{Au}_{147}@\text{Au}_{279}(\text{S-phenyl-}t\text{Bu})_{84}$ . Calculated projected densities of states (PDOS) are shown in the two panels (color coded as shown in the inset to the upper panel). Also displayed above and below the PDOS plots are isosurfaces of orbitals of selected electronic states of the ligand-capped cluster. The  $1\text{S}$ ,  $1\text{P}$ ,  $1\text{D}$ ,  $2\text{S}$ , and  $1\text{F}$  orbitals shown above the top panel (all corresponding to states at the bottom of the PDOS spectrum) display delocalized, superatom, characteristics. In contrast, delocalized superatom orbitals near the top of the spectrum (in the gap vicinity) were not discernible. For the relaxed IC, the isosurfaces (above and below the bottom panel) all show delocalized superatom character.  $\Delta_{\text{HL}} = 0.05$  eV for the capped 279 Au cluster (top) and  $0.21$  eV for the IC (bottom).

881 this methodology, or variants thereof (see “controlled etching”  
 882 discussed in ref 74, which was dubbed later<sup>24</sup> as “size  
 883 focusing”). Furthermore, aiming at effecting functionalization  
 884 of the metals cores of capped MCNMs, a “place”, or “site  
 885 exchange” strategy<sup>75</sup> has been developed (in analogy to that  
 886 used in self-assembled monolayers, SAMS), on planar metal  
 887 surfaces) where some or all of the  $m$  alkanethiolate ligands  
 888  $(\text{SR})_m$  of an MCNM are exchanged by another alkanethiolate  
 889  $(\text{SR}')_x$  to form  $\text{Au}_n(\text{SR})_{m-x}(\text{SR}')_x$ ; note that the size of the  
 890 inorganic (metal) gold core is maintained throughout.  
 891 Already early theoretical investigations<sup>1,76,77</sup> pertaining to  
 892 the size evolution of the structures of atomic clusters (capped  
 893 metal clusters, in particular) showed clear evidence for the

nonmonotonous pattern traced by the energetics and stability,  
 as well as other properties, of the metal cores of such AuNMs,  
 with respect to their sizes; the nonmonotonous nature of the  
 properties of bare gas-phase clusters, exhibited in their  
 measured and calculated abundances, energetic stability,  
 ionization potentials, electron affinities, chemical properties,  
 and melting temperatures, has been realized even earlier.<sup>67,71</sup>  
 Indeed, for the case of capped AuNMs, the characteristic  
 energy (per atom) of the gold cores corresponding to AuNMs  
 of varying size (number of core Au atoms) was predicted to  
 exhibit a discrete sequence of minima, corresponding to  
 aggregation of the atoms into polyhedra with varying structural  
 motifs (e.g., octahedra, Ino-decahedra, Marks’ decahedra,  
 icosahedra, etc.) with perfect symmetries or variants thereof<sup>77</sup>.  
 This has been summarized in ref 1 as follows: “In summary, 908



through the use of surfactants (self-assembled monolayers) that are capable of etching imperfect structures and of passivating crystal facets in a compact manner compatible with the underlying crystallite surface structure, one is led to highly stable and versatile molecular nanocrystal materials” and “Evidence for the formation of such a discrete sequence of nanocrystal gold molecules, rather than the appearance of a continuous distribution of sizes and structures, has been established and confirmed through independent measurements, including: size-segregation in precipitation of mixed samples; mass spectrometric detection capable of resolving even small (less than 10%) quantities of species differing in size by less than one lattice spacing; invariance of the sequence and observed properties to the details of the preparation and separation procedures, and structural analyses performed on isolated fractions of the sequence, guided by, and correlated with, theoretical predictions. The discrete nature and stability of such nanocrystal materials and the size-evolutionary patterns of their properties, including their propensity to form extended superlattices, suggest ways and means for the design and fabrication of advanced optical, electronic, (photo)catalytic and sensor materials of controlled characteristics”.

The discrete nature of the size evolution and the synthesis method discussed above serve as background of our discussion of the methodology employed and further developed in this work, namely, the ligand-exchange-driven core size and structure transformation chemistry explored in this work. This methodology aims at accessing stable AuNMs with varying precise core sizes, starting from a stable “precursor” characterized by a different number of core Au atoms. This is achieved via thermally activated ligand exchange-induced structural (and/or size) transformation, with the incoming (exogenous) ligand being different from the one capping the precursor AuNM; it is reasonable to assume<sup>60</sup> that, mechanistically, the transformation will propagate from the outer capping ligand shell inward toward to the metallic core [thus bringing about structural change induced by the (surface) ligand-exchange process], and consequently, it is expected (and indeed found) that the activation energy of the structural transformation of the metallic core would increase with the AuNM core size, thus requiring higher operating temperatures for larger ones. As noted in the Introduction section, in this process, the AuNM reaches a new thermodynamically stable structure upon heating with an exogenous thiol. This transformation occurs with the loss or gain of Au atoms in the course of the ligand exchange process.<sup>30,31,33</sup> The number of ligands may or may not change in the course of conversion. We note here that in the initial report on core size transformation driven by a ligand exchange, the reaction of an aromatic ligand (benzene thiolate, SPh) was used as the exogenous ligand acting on a phenylethanethiolate (PET)-protected 15–25 kDa mixture, resulting in an Au<sub>36</sub>(SPh)<sub>23</sub> product.<sup>78</sup> In subsequent work, the first exchange reaction starting from an atomically precise precursor (Au<sub>38</sub>(PET)<sub>24</sub>) and resulting in a high yield (>90%) of Au<sub>36</sub>(TBBT)<sub>24</sub> (TBBT = tetrabutylbenzene thiol) has been reported, and the X-ray structure was determined.<sup>36</sup> Most of the ligand-exchange-driven size transformations performed to date have been limited to AuNMs with less than 100 Au atoms (mostly 20–50 atoms),<sup>60</sup> with only very few exceptions including the transformations of ligated Au<sub>144</sub> to a smaller ligated Au<sub>133</sub> AuNM, in refs 30 and 79.

In light of the above, we set as our goal in this study to explore the ligand-exchange-driven size and structure transformations to sizes that are significantly larger than those that have been realized previously. To this aim, we established first that a size conversion of an atomically precise 144-Au precursor cluster capped by PET, Au<sub>144</sub>(SCH<sub>2</sub>CH<sub>2</sub>Ph)<sub>60</sub>, yields an AuNM with a smaller gold core (Au<sub>133</sub>(SPh-*t*Bu)<sub>52</sub>) when reacted with TBBT at 80 °C. However, when the initial precursor is that with TBBT under reflux conditions (allowing for a much higher temperature of 140 °C), in addition to the previously observed TBBT-capped Au<sub>133</sub> product AuNM, larger capped gold nanomolecular core sizes, i.e., Au<sub>191</sub>(SPh-*t*Bu)<sub>66</sub> and Au<sub>279</sub>(SPh-*t*Bu)<sub>84</sub>, were detected, along with Au<sub>102</sub>(SPh-*t*Bu)<sub>44</sub> that has been observed as an intermediate, disappearing after 10 h of refluxing due to its lesser stability at a high temperature for longer reaction times. These core conversions were monitored (at various times (up to 14 h) during the size and structure conversion process, with the use of MALDI-MS, ESI-MS, and UV–vis spectroscopy.

Concurrent with the experimental findings, we have carried out theoretical explorations, involving DFT-based fragment decomposition analysis<sup>26</sup> of the ligand-capped AuNM formation energies, identifying the energetic contributions (gold metallic core, anchoring Au atoms, and organic ligands, and their intra- and interatomic interactions) to the relative stabilities of the four main AuNMs discussed in this work (see Figure 1a), supporting the thermal accessibility of the experimentally observed size-dependent transformations. Systematic DFT calculations of the initial 144-Au compound and the size-transformed products (i.e., TBBT-capped 133-Au, 191-Au, and 279-Au AuNMs) revealed the formation of delocalized electronic states, which, when analyzed with the use of the recently formulated<sup>18,19</sup> (inner) core-cluster-shell model (CCSM), reveal electron fillings and shell closures following a superatom aufbau scheme, and opening of stabilizing HOMO–LUMO energy gaps for both the starting AuNM and each of the stable transformation product AuNMs recorded in the experiments.

To place the experimental strategy and the theoretical analysis described here in a broader context, it is pertinent to invoke here the concept of energy landscape, which has been developed earlier in the course of investigations of glass formation and the glass transition, and which has been employed and extensively developed in the context of investigations of protein conformations and protein folding.<sup>80</sup> The analogy between the structural problem of relatively large organically capped metal clusters (e.g., composed of >100 Au atoms) and small protein molecules is rather close, regarding their molecular weight and the multitude and diversity of interactions characterizing their bonding modes and energetics, including covalent bonding and nonbonding interactions, i.e., a large number of dispersive (van der Waals interactions) interactions. Additionally, the capped metal clusters exhibit metallic bonding including formation of superatom delocalized electronic shell orbitals, as well as metal–organic interactions. The essential characteristics of the proteins being the essential, dominant, contribution of the very large number of distinct conformations and large number of weak interactions, which necessitates a statistical treatment, which is the essence of the landscape picture. Consequently, it is sufficient for us to invoke here the picture of the potential energy surface (PES), which expresses the energy of a collection of atoms as a function of their coordinates (i.e., as a function of the geometry of that

collection), with minima of the PES, occurring for certain values of the atomic coordinates, corresponding to energy-favorable structural configurations, with the deepest one being the optimal structure. The region of configuration space surrounding a local minimum of the PES, with the gradient of the energy as a function of the atomic coordinates pointing toward the minimum location, constitutes a basin of attraction. From statistical and mechanical points of view, the basin of attraction determines the probability of catchment of the system at the configuration (structure) corresponding to the given energy minimum, or in its vicinity.

We thus use the PES picture for each size of the ligated metal clusters encountered in the course of the transformation reaction and regard the ligand-induced size structure transformation process as a chemical reaction guided by the properties of these PESs. Transitions between the various minima of the PES entail passage over encountered energy barriers separating the various local basins were made possible by the elevated temperature maintained under the prevailing reflux conditions. This picture allows us to grasp the opportunity realized by the experimental protocol employed in this work, resulting in a unique comprehensive uninterrupted survey (bird's-eye view) of the size-evolutionary pattern of optimal gold nanomolecules in a broad size range (here, capped AuNMs with Au core sizes between 133 and 279 gold atoms). In particular, we note that the AuNMs (belonging to a TBBT-capped homologous sequence), identified here through measurements of analytes sampled along the temporal trajectory of the size-structure transformation reaction using mass spectrometry (MALSI-MS) and electron spray ionization (ESI-MS), have all been identified previously in separate experiments and their X-ray measured total structures have been determined. Interestingly, the inner cores of these AuNMs [see Figures 4 and 6–8] belong to a unique sequence that starts with the multitwinned 55-Au-atom icosahedral inner core for the capped Au<sub>133</sub> AuNM,<sup>16</sup> through the 89-Au-atom mono-twinned FCC D<sub>3h</sub> inner core of the “missing link” 191-Au-atom AuNM<sup>18</sup> (characterized by a limited catchment basin due to its unique structure and predicted to have marginal stability; see above), and culminating with the perfect FCC 147 Au-atom cuboctahedron inner core of the capped 279-atom AuNM.<sup>15</sup> This observation suggests future use of the experimental methodology used in the current investigation in further studies. In particular, it would be of interest to repeat this experiment using a sufficiently different capping ligand, with the possibility of finding further optimal structures in this expanded size range.

We close by reiterating that the current work advances the methodology of structure and size transformation chemistry to sizes well beyond those reported in earlier studies. We indeed believe that the ability to induce structural and size transformation for AuNMs containing hundreds of atoms, demonstrated in this study, would provide the impetus for further research pertaining to the microscopic mechanisms that underlie and control these transformations, including the role of the ligands<sup>60</sup> (structure, functionality, bulkiness, interligand and ligand–metal interactions, electronic effects). We also trust that our findings would motivate future growth and application of nanomolecular metallurgy as an important methodology for the synthesis of new materials, as well as for fundamental studies in this exciting research area.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcc.1c04228>.

MALDI-MS; ESI-MS; UV–vis absorption spectra; photon energy plot; crystal structures of Au<sub>144</sub>(SCH<sub>2</sub>Ph)<sub>60</sub>, Au<sub>133</sub>(SPh-tBu)<sub>52</sub>, Au<sub>191</sub>(SPh-tBu)<sub>66</sub>, and Au<sub>279</sub>(SPh-tBu)<sub>84</sub>; computational details; and electronic energies of various models and fragments (PDF)

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### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

K.H.W., N.A.S., and A.D. gratefully acknowledge National Science Foundation Grant CHE-1808138, which supported this work. The work of U.L. and B.Y. was supported by grant FA9550-21-1-0198 from the U.S. Air Force Office of Scientific Research (AFOSR). Calculations and analysis of energetics were carried out at the Cineca Supercomputing Center within the ISCRA programme, which is gratefully acknowledged. The authors acknowledge useful conversations with Prof. R.L. Whetten about the early days of gold nanomolecules synthesis. Calculations of the electronic structure (Figures 4–8) were carried out at the Georgia Institute of Technology Center for Computational Materials Science.

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