

# 1 **Urban mining of Municipal Solid Waste Incineration (MSWI)** 2 **residues with emphasis on bioleaching technologies: A critical** 3 **review**

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## 14 **Abstract**

15 Biotechnology can be applied in metal recovery from waste streams like fly ashes and bottom ashes of  
16 municipal solid waste incineration (MSWI). They represent huge flows of substance, with roughly 46 million  
17 tons of MSWI ashes produced annually, equivalent in elemental richness to low-grade ores for metal  
18 recovery. Next-generation methods for resource recovery, as in particular bioleaching, gives the opportunity  
19 to recover critical materials and metals, appropriately purified for noble applications, in waste treatment  
20 chains inspired by circular economy thinking. In this critical review, we can identify three main lines of  
21 discussion: 1) MSWI material characterization and related environmental issue; 2) currently available  
22 processes for recycling and metal recovery; 3) microbially-assisted processes for potential recycling and  
23 metal recovery. Research trends are chiefly oriented to the potential exploitation of bioprocesses in the  
24 industry. Biotechnology for resource recovery shows increasing effectiveness especially downstream the  
25 production chains, i.e. in the waste management sector. Therefore, the present review analysis can help to  
26 criticize their application.

27

28 **Key Words:** Circular economy; Waste-to-Energy (WtE) plants; Incineration wastes; Critical raw materials;  
29 Secondary raw materials; Resource recovery

30

## 32 1. Introduction

33 Municipal Solid Waste Incineration (MSWI) is a predominant management practice in many  
34 countries, and it has been increasingly adopted in countries like China (Fan et al., 2021). According to the  
35 World Bank, 11% of the MSW generated globally is incinerated, which corresponds to an estimate of 220  
36 million tonnes (Kaza et al., 2018). In the European Union EU-27, in 2019, 60 million tonnes of municipal solid  
37 waste were incinerated (Eurostat, 2019). Despite reducing the waste volume and recovering energy, MSWI  
38 also produces two main kinds of residues, called bottom (BA) and fly ashes (FA), that need to be sustainably  
39 managed. MSWI residues' features (chemical and mineralogical composition, grain size heterogeneity, etc.)  
40 and their disposal strategy influence their after-use in applications, for example, reuse its mineral fraction in  
41 the construction industry as secondary raw material. MSWI residues can be returned to secondary raw  
42 materials markets after appropriate treatment to enhance production cycles in urban mining actions,  
43 prompted to remove, recover and recycle the mineral resource that may be contained in anthropogenic  
44 materials with high economic potential (e.g. critical raw materials) or environmentally positive balance (e.g.,  
45 producing acceptable secondary raw material with low environmental impacts). Copious research proposed  
46 innovative technologies with simultaneous improvements of environmental and financial drawbacks  
47 associated with MSWI residues, both BA and FA. BA and, to a lesser extent, FA can be recycled to produce  
48 concrete, soil improvers and fillers, glass and ceramics, or used in the production of absorbents, stabilizing  
49 agents, zeolites (Quina et al. 2018; Lam et al. 2010). Urban mining attempts from MSWI residues result, so  
50 far, promising for application in integrated waste management to boost incomes and minimize  
51 environmental impacts, as demonstrated by Life cycle assessment (LCA) (Fellner et al., 2015). Combined  
52 separation, extraction, and recovery processes based on physical-mechanical methods, acid and alkaline  
53 leaching, biorecovery and electroplating, or bioelectrochemical systems seem particularly efficient for  
54 recovering metals from bottom ash and fly ash (Gomes et al., 2020).

55 New options to improve MSWI residues management are needed, especially those capable of the  
56 twofold benefit of metal recovery and quality enhancement of the post-treatment residue. Insights from  
57 chemical and mineralogical data on MSWI residues can inform recovery of secondary raw materials and  
58 marketable metals. For example, it has been suggested that among metals of strategic interest and  
59 potentially mineable from MSWI residues, silver (Ag), antimony (Sb), cerium (Ce), lanthanum (La), niobium  
60 (Nb), nickel (Ni), vanadium (V) are enriched in the fine fractions, while gadolinium (Gd), chromium (Cr),  
61 scandium (Sc), tungsten (W), and yttrium (Y) partition in the coarse fractions (Mantovani et al., 2021).

62 MSWI residues are a potential low-grade urban mine of ore metals thanks to the significant flows of  
63 substance bearing metals downstream the municipal waste incineration process (Funari et al., 2015). For  
64 MSWI-BA, Funari and co-workers estimated a total flow of more than 350 t/a magnesium (Mg), 8.5 t/a Cr,

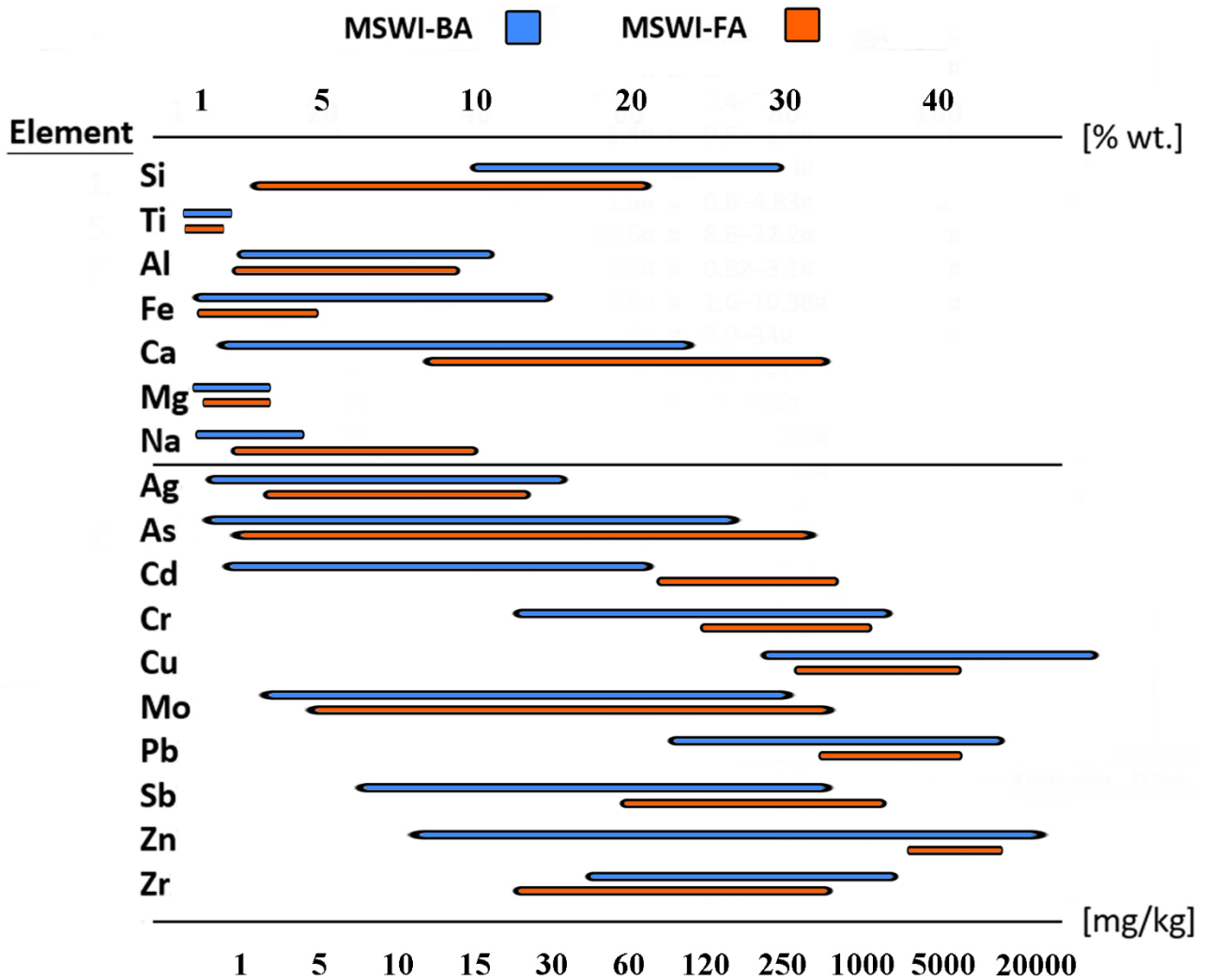
65 4.3 t/a cobalt (Co), and nearly 3 t/a Sb. The overall annual flow of the light rare earth elements (LREE: La, Pr,  
66 Ce, Nd, Gd, Sm, Eu) and Sc and Y reach 2 t/a; while only the flow of heavy REE (HREE: Lu, Tb, Ho, Dy, Tm, Er,  
67 Yb) is about 0.1 t/a. The Substance Flow Analysis (SFA) also shows considerable amount of gallium (Ga) and  
68 Nb (0.3 t/a) and the precious metals gold (Au) silver (Ag) (0.01 t/a and 0.12 t/a, respectively). SFA analysis on  
69 MSWI-FA showed relatively high flow of Mg (79 t/a), Sb (2.4 t/a), Cr (1 t/a), Ce (0.05 t/a), Co (0.04 t/a), and  
70 also volatile elements such as Ag, Zn, and Sn have a considerable output retained in the solid FA. With further  
71 estimates coming from these figures, a total of 4500 tons Cu, 130 tons REEs, and 0.5 tons gold, are potentially  
72 recoverable from all MSWI-BA flowing on a national level. At the same time, the MSWI-FA output is a  
73 promising source of Zn, Sn, Sb, and Pb. Beside the relevance of metal recovery, successful urban mining  
74 strategies favour i) the reduction of the environmental impact, providing less dangerous leachates, ii) more  
75 control over nanoparticle pollution, and iii) high quality of post-treatment residues. In parallel, investigation  
76 on MSWI residues and related environmental media (e.g., topsoil nearby incinerators) would favour the  
77 development of finely tuned methods for urban mining with a close eye on sustainability. Looking ahead, the  
78 quality of MSWI feedstock materials and final solid residues, especially considering the 10-to-20-year life  
79 cycle of MSWI technology, needs continuous improvements from synergistic actions of both private and  
80 public stakeholders and the local communities.

## 81 2. Mineral resources and secondary raw materials from MSWI residues

### 82 2.1. Chemistry and Mineralogy of MSWI residues

83 MSWI residues can be thought of as a mineral matrix mixed with a small fraction of partly combusted  
84 organic matter and secondary organic by-products (approx. 4% by weight) resulting from temperature  
85 changes through the processing line of MSWI technology leading to the establishment of different  
86 thermodynamic equilibria (Guimaraes, et al. 2006). In the work of Eusden and co-authors (1999) a detailed  
87 petrogenesis of the MSWI solid materials sent to incinerators is described. The major elements in MSWI  
88 residues are Ca, Si, Al, Fe, Mg, Na, K and Cl in the form of silicates, aluminosilicates, carbonates (e.g., calcite,  
89 trona), most of their oxides (e.g., calcium oxide, hematite, sodium oxide, titanium dioxide and potassium  
90 oxide) and alkaline salts (e.g., halite, sylvite; preferably present in MSWI-FA). Usually, the most abundant  
91 components are Ca and Si oxides. Cu, Cr, Pb, Cd, Zn, Hg, Sb, and Ni metals are also found in these ashes as  
92 minor and trace elements potentially risky for the environment. Studies of elements fractionation found that  
93 elements with high melting temperature tend to remain in the MSWI-BA, while the volatile ones tend to  
94 break down in the MSWI-FA (Funari et al., 2015). The heterogeneity of the urban waste input feed directly  
95 influences the mineralogical and chemical composition and the physical-mechanical properties of the  
96 incinerator ashes. Different spectrometers (XRF, ICP-MS, ICP-OES) are used for the analytical determination  
97 of major, minor, and trace elements in MSWI residues together with other analytical techniques (e.g., FTIR,

98 TGA) depending on the analyte sought and, in general, from the purpose of the characterization. Figure 1  
 99 shows the compositional range reported in the literature for measured analytes. In MSWI-FA the heavy  
 100 metals content is generally higher than in BA due to the metal vaporization during the combustion and  
 101 adsorption on a higher specific surface area. Harmful compounds such as chlorides and metal oxide  
 102 nanoparticles from MSWI-FA are controlled by wet scrubbers in the Air Pollution Control (APC) system, which  
 103 primarily removes acid gases such as HCl, HF (Sabbas et al. 2003).



104  
 105 *Figure 1. Chemical composition ranges of MSWI-BA and MSWI-FA for selected major, minor and trace elements (Izquierdo et al.,*  
 106 *2002; Sabbas et al., 2003; Bayuseno et al., 2010; Funari et al., 2015; Astrup et al., 2016; Xiaomin et al., 2017; Huber et al., 2019;*  
 107 *Wong et al., 2021; Maldonado-Alameda et al., 2021; Mantovani et al., 2021; Clavier 2021). In the abscissa, major elements content*  
 108 *is expressed in wt. %, minor and trace elements in mg/kg.*

109 Numerous works elaborated the mineralogical composition of MSWI residues, e.g., by scanning  
 110 electron microscope (SEM-EDS) defining morphology, single-point chemical composition and the interaction  
 111 between the different phases present inside the grains (Bayuseno and Schmahl 2010; Bogush et al. 2015; V.  
 112 Funari et al. 2018; De Boom and Degrez 2012). If observed under the microscope, the thin sections show  
 113 structural variability and complexity, moreover it is possible to verify the presence of glassy and crystalline

114 material together with metallic and empty parts (e.g., Mantovani et al., 2021). The presence of wollastonite  
115 ( $\text{CaSiO}_3$ ), with a dendritic crystallization in the glass matrix indicates a fast crystallization is frequent. There  
116 are also evident zoning and evidence of core recrystallization; sometimes, recrystallizations of fresh  
117 structures are observed within a metal matrix (Bogush et al. 2015). Iron, ubiquitous and present as a major  
118 element ( $> 0.1\%$  by weight), undergoes a complex petrogenesis and can form a series of oxides and  
119 hydroxides, but also remain as nuggets of metallic Fe, or Fe-phosphides (e.g., schreibersite, associated with  
120 reducing conditions) and -sulfides (pyrite, pyrrhotite, greigite among many) (Funari et al., 2018; 2020),  
121 making hard to determine minor iron bearing phases. Mineralogical analysis on magnetic separates showed  
122 the presence of small ( $<5 \mu\text{m}$ ) spherules containing Fe in the form of agglomerates of particles or loose  
123 particles that could be attributable to technogenic spheres (*sensu* Magiera et al., 2011) readily dispersible  
124 during handling, being generally MSWI-FA dustier than MSWI-BA. Despite the great uncertainty on the  
125 stoichiometry and quantification of the crystalline phases, the X-ray diffractograms allow for readily detect  
126 various carbonates such as calcite, soluble salts such as halite, silicates such as quartz, solid solutions  
127 gehlenite-akermanite, pyroxenes and feldspars, sulfates and phosphates and oxides of iron. However, the  
128 origin of certain mineralogical phases, i.e., if the minerals observed in MSWI-BA or MSWI-FA are derived from  
129 the incoming waste or freshly formed remains puzzling. This is due to different processing technologies,  
130 chemical composition of the incoming waste and combustion temperatures reached, which, in turn, can  
131 depend on local policies and have seasonality effects. The commonly identified minerals from MSWI-BA and  
132 MSWI-FA by XRD are in Table 1.

133 Identifying complex minerals (especially aluminosilicates) based on XRD and search-matching from  
134 known diffractograms is speculative. This is worth considering when studying anthropogenic materials. The  
135 data reported in the literature also suggested the residues' particle size as a proxy of element enrichment or,  
136 in other words, a tool for mineral beneficiation. Carbonates and sulfatic phases typically occur in the finer  
137 fractions ( $<0.065 \text{ mm}$ ). Analytical determinations show a higher concentration of S, Cl and heavy metals such  
138 as Zn, Pb, Cr, Sr in fine particle sizes ( $<1 \text{ mm}$ ) (Speiser et al., 2000; Chimenos et al., 2003). In the finer fraction,  
139 there is a higher content of heavy metals within mineralogical phases less resistant to weathering (carbonates  
140 and sulfates), that is, more available to environmental leaching. Analyzing the particle size's cumulative  
141 distribution it results that about 60% by total weight of MSWI-BA is composed of particles with a size between  
142 1 and 8 mm (belonging to the category coarse sand or gravel), while 20% has a particle size bigger than 10  
143 mm and the remaining 20% is made up of grains smaller than 1 mm. MSWI-FA is more homogeneous than  
144 MSWI-BA in its particle size which results averagely silty. Both ashes show a minor but significant ultrafine  
145 fraction ( $<1 \mu\text{m}$ ). Overall, the mineralogical data in the scientific literature, although not always agreeing on  
146 the identification of phases, confirm that the MSWI residues contain minerals of potential economic interest.

147 However, the chemical composition can vary significantly according to the particle size, the quality of the  
 148 incoming waste, the combustion process, and the type of residue.

149 *Table 1 -Most common mineralogical phases detected by XRD from MSWI residues (Wan et al., 2006; Liu et al., 2009; Bayuseno and*  
 150 *Schmahl 2010; De Boom and Degrez 2012; Bogush et al. 2015; Funari et al. 2018; Mantovani et al., 2021). O: rare; X: common; XX:*  
 151 *very frequent; n.d.: not detected.*

<b>Mineral phase</b>	<b>Chemical formula</b>	<b>MSWI-FA</b>	<b>MSWI-BA</b>
<i>Silicates, aluminates, and aluminosilicates</i>			
Quartz	SiO <sub>2</sub>	X	XX
Cristobalite	SiO <sub>2</sub>	n.d.	X
Corundum	Al <sub>2</sub> O <sub>3</sub>	X	XX
Alkali Feldspars	(K,Na)(Al,Si) <sub>3</sub> O <sub>8</sub>	n.d.	XX
Plagioclase feldspars	NaAlSi <sub>3</sub> O <sub>8</sub> -CaAl <sub>2</sub> Si <sub>2</sub> O <sub>8</sub>	X	X
	(K, Ca, Na)(Al,Si) <sub>4</sub> O <sub>8</sub>	O	X
Gehlenite	Ca <sub>2</sub> Al <sub>2</sub> SiO <sub>7</sub>	X	XX
Anorthite	CaAl <sub>2</sub> Si <sub>2</sub> O <sub>8</sub>		
Akermanite	Ca <sub>2</sub> MgSi <sub>2</sub> O <sub>7</sub>	X	XX
	Ca <sub>2</sub> (Mg,Fe)Si <sub>2</sub> O <sub>7</sub>	O	X
Calcium Pyroxene	Ca(Mg,Fe)Si <sub>2</sub> O <sub>6</sub>	n.d.	X
	Ca(Mg, Al)(Si,Al) <sub>2</sub> O <sub>6</sub>	O	X
	(Na,Ca)(Fe,Mn)(Si,Al) <sub>2</sub> O <sub>6</sub>	n.d.	X
Wollastonite	CaSiO <sub>3</sub>	X	X
	Ca <sub>2</sub> SiO <sub>4</sub>	X	X
Portlandite	Ca(OH) <sub>2</sub>	X	X
Gibbsite	Al(OH) <sub>3</sub>	O	XX
Ettringite	Ca <sub>6</sub> Al <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub> (OH) <sub>12</sub> 26H <sub>2</sub> O	X	X
<i>Carbonates</i>			
Calcite	CaCO <sub>3</sub>	XX	X
Other	(Pb,Cd,Zn)CO <sub>3</sub>	X	O
<i>Fe-bearing phases</i>			
Magnetite	Fe <sub>3</sub> O <sub>4</sub>	O	XX
Hematite	Fe <sub>2</sub> O <sub>3</sub>	X	O
Wüstite	FeO	X	X
Goethite	FeO(OH)	n.d.	X
	Fe(OH) <sub>3</sub>	X	X
	FeCO <sub>3</sub>	O	O
	Fe(Cr,Ti) <sub>2</sub> O <sub>4</sub>	O	X
	FeSO <sub>4</sub> 7H <sub>2</sub> O	n.d.	X
<i>S-based phases</i>			
Anhydrite	CaSO <sub>4</sub>	XX	X
Gypsum	CaSO <sub>4</sub> 2H <sub>2</sub> O	X	XX
	Ca <sub>6</sub> Al <sub>2</sub> O <sub>6</sub> (SO <sub>3</sub> ) <sub>3</sub> 32H <sub>2</sub> O	n.d.	X
	PbSO <sub>4</sub>	X	n.d.
<i>Other oxides</i>			
Lime	CaO	XX	X
	TiO <sub>2</sub>	X	X
	PbO	X	O

	ZnO	<b>X</b>	<b>X</b>
	Na <sub>2</sub> O	<b>XX</b>	<b>X</b>
	CuO	<b>X</b>	<b>XX</b>
	CaMoO <sub>4</sub>	<b>O</b>	<b>X</b>
	NaAsO <sub>2</sub>	<b>O</b>	<b>X</b>
<i>Cl-based phases</i>			
Friedel's salt	Ca <sub>2</sub> Al(OH) <sub>6</sub> Cl 2H <sub>2</sub> O	<i>n.d.</i>	<b>X</b>
Hydrocalumite	Ca <sub>2</sub> Al(OH)6Cl <sub>1-x</sub> (OH) <sub>x</sub> 3H <sub>2</sub> O	<i>n.d.</i>	<b>X</b>
	Ca <sub>5</sub> (PO <sub>4</sub> ) <sub>3</sub> Cl	<b>O</b>	<b>X</b>
	Ca <sub>2</sub> SiO <sub>3</sub> Cl <sub>2</sub>	<b>O</b>	<b>X</b>
	CaCl <sub>2</sub>	<b>X</b>	<i>n.d.</i>
	KCaCl <sub>3</sub>	<b>X</b>	<i>n.d.</i>
	PbCl <sub>2</sub>	<b>X</b>	<i>n.d.</i>
	ZnCl <sub>2</sub>	<b>X</b>	<i>n.d.</i>
	NaCl	<b>XX</b>	<b>X</b>
	KCl	<b>X</b>	<i>n.d.</i>
<i>Other halides</i>			
fluorides	CaF <sub>2</sub>	<b>X</b>	<i>n.d.</i>
bromides	not specified	<b>O</b>	<b>O</b>
iodides	not specified	<i>n.d.</i>	<i>n.d.</i>
<i>Other compounds</i>			
organometallic compounds	organoarsenic compound	<i>n.d.</i>	<b>O</b>
<i>Native elements</i>			
zinc	Zn(0)	<b>X</b>	<b>X</b>
aluminium	Al(0)	<b>X</b>	<b>X</b>
copper	Cu(0)	<b>O</b>	<b>X</b>
gold	Au (0)	<i>n.d.</i>	<b>X</b>
other elements	Ti(0), Pb(0), Ag (0), Hg(0)	<b>X</b>	<b>O</b>

## 2.2. Hydrometallurgy for MSWI residues Urban Mining

Hydrometallurgical solutions in waste management typically involves the dissolution of the metals present in the mineralogical matrix in acids or bases. During the leaching procedures, minerals dissolve under varying thermodynamic conditions. Metals can be separated in the dissolution step when not soluble in the solvent used, leading to the production of a solid precipitate as a part of the process chain. Hydrometallurgical separation can simply rely on solvent extraction but also on solid ion exchangers and membranes, ionic liquids, and on adsorption capacity of other materials (e.g., carbon). Following the separation of the metals, the single metal can be purified, for instance, by sequential precipitation or electrowinning.

Water, mineral acids (i.e., sulfuric acid, aqua regia), bases (i.e., sodium hydroxide, ammonia), organic acids (such as maleic acid), salt solutions, and combinations of these are common leaching reagents. The process optimization can be achieved playing with pressure, temperature, reaction time, but also by adding oxidizing (e.g., H<sub>2</sub>O<sub>2</sub>, Cl<sub>2</sub>, HClO, NaClO) or reducing (e.g., Fe<sup>2+</sup>, SO<sub>2</sub>) agents. The most used leaching methods

165 include reactor leaching, heap leaching, vat leaching, dump leaching (heap without crushing), in-situ leaching  
166 (extractant pumped directly in the ore deposit) and autoclave leaching (high pressure and temperature).  
167 Galvanic, microwave, and ultrasound-assisted leaching are some other methods investigated to enhance the  
168 efficiency of traditional leaching.

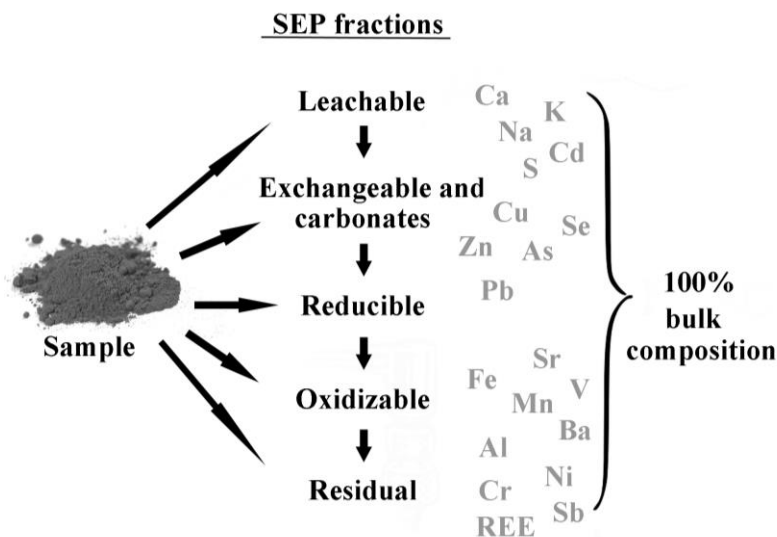
169 A technique mostly used to treat MSWI-BA before the process of metal recovery takes place or the  
170 residue is landfilled, is ageing (or natural weathering). It reduces the water content of the material up to an  
171 optimal humidity (10–15 wt. %) for metal recovery, improves environmental leaching properties and/or  
172 stabilizes the reactive matrix. Ageing occurs naturally during storage, which normally lasts from 4 to 12 weeks  
173 and sporadically up to one year. During ageing, the precipitation of carbonates, degradation of organic  
174 matter, and pH changes can occur (Nørgaard et al., 2019) as well as total or partial immobilization of Cu, Pb,  
175 Zn and chloride can be achieved. Conversely, oxyanion-forming elements (e.g., Cr, Mo, Sb and sulfate) may  
176 become more prone to mobilization (e.g., Arickx et al., 2006; Costa et al., 2007), likely impacting metal  
177 recovery.

178 The primary purpose of hydrometallurgical applications for the management of MSWI residues is  
179 decontamination from harmful metals. In the earliest studies on pH-dependent leaching using HNO<sub>3</sub> (Eighmy  
180 et al., 1995), Ca, Cl, K, Na, and Zn were found to dissolve easily while other elements, including Cr, Pb, Zn, Cu,  
181 and Al, exhibited amphoteric behavior with enhanced solubilization at low pH over a leaching period of 3h.  
182 Nagib and Inoue (2000) reported the recovery of different metals from MSWI-FA using acetic acid, sulfuric  
183 acid, sodium hydroxide, and hydrochloric acid, with fixed L/S ratio of 7 ml/g. They found that most of Zn is  
184 dissolved quickly using sulfuric acid (10 wt. % H<sub>2</sub>SO<sub>4</sub>) leaching, while temperature was mainly affecting Fe and  
185 Mg solubility. Therefore, 30°C temperature and 5 min time were determined to be suitable for Zn acid  
186 leaching to suppress the solubility of Fe and Mg, which is significantly enhanced at 60°C (Nagib and Inoue,  
187 2000). Hydrochloric acid (10 wt. % HCl) leaching dissolves 63% Zn and 40% Pb in 5 minutes, together with  
188 impurities such as Fe, Mg and Ca. Acetic acid (10 wt. % CH<sub>3</sub>COOH) leaching was effective and most of Pb and  
189 Zn were dissolved in 60 minutes (Nagib and Inoue, 2000). Acid leaching is efficient because it can dissolve  
190 nearly all Pb and Zn, but further separation and purification steps may be required since other potential  
191 impurities (e.g., Fe Mg, Al, Ca) are acid soluble. Further implementation was applied to the recovery method  
192 of Al and Fe combining physical and mechanical processes (e.g., Nayak and Panda, 2010). The use of thermal  
193 treatment combined with acidic leaching allows overcoming some limitations (with recovery efficiencies up  
194 to 86 % Al and 94 % Fe using sulfuric acid) and produced sintered pellets suitable as an inert and lightweight  
195 aggregate (Matjie et al., 2005; Li et al., 2007, 2009). However, the high costs for energy to reach the  
196 calcination temperatures (800-1200°C) and the time demand (up to 24h) make these processes uneconomic.  
197 The leaching behavior of antimony (Sb) is particularly chased because of its elevated concentrations in MSWI  
198 residues and environmental relevance tied to its speciation also during natural ageing. Cornelis et al. (2012)



199 investigated the leaching of antimonate ( $Sb^{5+}$ ) and antimonite ( $Sb^{3+}$ ) in MSWI-BA as a function of degree of  
 200 carbonation and pH. Results showed that acidification and carbonation increased  $Sb^{5+}$  leaching and  
 201 decreased  $Sb^{3+}$  leaching, and pointed out that Sb solubility depends on pH and calcium cations availability  
 202 (romeite minerals are found to play an important role on the antimonate leaching) (Cornelis et al., 2012).  
 203 Alkaline leaching, on the other hand, is hampered by the limited solubility of valuable metals (e.g., Zn), but  
 204 can have the advantage of leaving a lesser amount of impurities in the solid residue. Bipp et al. (1998) were  
 205 among the firsts to suggest alkaline leaching for heavy metals extraction. They tested gluconic acid and  
 206 molasses hydrolysate leaching with sugar acid addition (1.8%) in the typical pH range of MSWI residues,  
 207 achieving good recovery performances for Zn, Pb, Cu, Cr, and Cd with the molasses hydrolysate under weak  
 208 alkaline condition. In general, alkaline leaching carried out in pH conditions near to the starting pH of the  
 209 MSWI residue, showed limited performances (Lee and Pandey, 2012).

210 Significant impact onto leaching of MSWI residues comes from selective extractions used in typical  
 211 geochemical investigation to understand metal behaviours under different environmental conditions.  
 212 Although it is not possible to reach complete selectivity in each step, a sequential extraction procedure is  
 213 applied to MSWI residues (Figure 2) and corroborated by experimental and theoretical models (Kirby and  
 214 Rimstidt, 1993; Van Herck and Vandecasteele 2001; Chou et al., 2009; Funatsuki et al., 2012). The sequential  
 215 extraction procedures highlight that metals like Zn, Cu, Pb, and Cd are soluble at low pH (>3.5), but oxidizing  
 216 conditions are necessary to leach additional Zn. While Ca, K, Na, chlorides, and sulfates exhibit high solubility  
 217 in water (step 1), Al and, to a lesser degree, Fe remain in the residual fraction. Most trace elements including  
 218 REE tend to endure in the residue. The desired pH of the extractions and the sample matrix influence the  
 219 chemical species found in the fractions.



220

221 *Figure 2. Generalization and summary of the fractions and analytes interested by Sequential Extraction Procedure (SEP) after Van*  
 222 *Herck et al., 2000; Wan et al., 2006; Huang et al., 2007; Zhao et al., 2008; Chou et al., 2009; Chang et al., 2009.*

223 Several authors investigated the metal extraction using various aminopolycarboxylic acids such as  
224 DTPA, EDTA, NTA (Hong et al. 2000). Hong et al., 2000 underlined that the efficiency is not pH-dependent  
225 and solvent demanding (reagents concentration ranging between 0.5 wt. % and 1.0 wt. %); the extraction  
226 performed well for Pb (80 % recovery) in moderate alkaline condition with EDTA and DTPA, but the  
227 application of such chelating agents is uneconomic at the full scale for their high selling costs. Finally,  
228 experiments using batch extraction under similar conditions have shown that applying electric current can  
229 improve the solubility of some metals. Pedersen et al. (2005) evaluated different assisting agents for  
230 electro-dialytic removals: the best aid in the removal of Cd was an NH<sub>3</sub> solution, perhaps because it helped  
231 build stable tetraamine complexes, while the best aid in the removal of Pb was Na-citrate. The optimum for  
232 the removal of a group of metals (up to 86% Cd, 81% Cu, 62% Zn, 44% Cr and 20% Pb) used 0.25 M ammonium  
233 citrate/1.25 % NH<sub>3</sub> solution (Pedersen et al., 2005).

### 234 2.3. Current Options for Resource/Material Recovery from MSWI 235 residues

236 Since the early 1990s through the research programs known as NITEP (National Incinerator Testing  
237 and Evaluation Program) and WASTE (Waste Analysis, Sampling, Testing, and Evaluation) which were  
238 pioneered by Canada and the USA, MSWI-BA and MSWI-FA have been the focus of years of research efforts  
239 (Chandler et al. 1997). Several processing techniques for MSWI residues have been proposed to recover  
240 metals of economic interest and secondary raw materials, minimize harmful metals releases, and improve  
241 the final residue environmental status. Commonly, MSWI residues are treated initially with separation  
242 techniques, sometimes tailed by thermal treatments or stabilization or solidification processes (Kuboňová et  
243 al. 2013; Sabbas et al. 2003). Separation technologies consisting of physical-mechanical separation have been  
244 the most popular options because of their relative technical and economic feasibility compared to advanced  
245 treatment processes. Physical and mechanical treatments of MSWI residues aim primarily at:

- 246 i. Recovering concentrated stream fractions (e.g., ferrous- and non-ferrous metals)
- 247 ii. Improving the final residue quality for its reuse or inert landfilling
- 248 iii. Achieving mineral beneficiation before hydrometallurgical processes (as a pre-treatment)

249 A plethora of metals, notably aluminum, iron, copper and other base metals, can be obtained at  
250 different levels of purity by simple physical/mechanical separation. Before the MSWI-BA are piled up, often  
251 a drum magnetic separator recovers the biggest magnetic bars/alloys that can be sold to metal refiners.  
252 Various systems can further divide non-ferrous and ferrous fractions of MSWI-BA with rather high efficiency  
253 during the processing of these stockpiles. The non-ferrous part is rich in Au, Ag, Cu, Al, Pb, Zn and Sn and is  
254 commonly preferred for their recovery (Muchova, Bakker, and Rem 2009; Biganzoli and Grosso 2013). To  
255 optimize the recovery of Cu, Ag, Pb, Sn, and Zn from a heavy fraction and an Al-rich product from the light  
256 fraction, separation techniques such magnetic density separation, kinetic gravity separation, and Eddy

257 current separation are frequently employed. A final step of thermal treatment to stabilize inorganic  
258 compounds and destroy organic contaminants could be suitable. However, due to high costs, such in the case  
259 of vitrification by re-melting (1200-1400°C), they are hardly applied although they can suite in post processing  
260 of mineral concentrates or stabilization in dedicated plants.

261 The recovery efficiency likely increases after size reduction steps as well as washing with water is  
262 suitable to remove unwanted compounds such as easily soluble salts and sulfates. As a fact, natural or  
263 accelerated ageing and water washing are most adopted treatment for MSWI residues.

### 264 2.3.1. *Bottom ashes*

265 MSWI-BA depending on the type of discharge system can be usually treated by wet or dry processing.  
266 While the wet discharge is most adopted, leading to the production of typical quench products of MSWI-BA,  
267 dry discharge systems are rare and technically demanding despite demonstrating some advantages like a  
268 minor number of mineralogical phases formed and the low levels of corrosions and inter-mineral reaction  
269 edges, thus higher recovery potential (Chandler et al., 1997; Eusden et al., 1999; Šyc et al., 2020). In the late  
270 90's dry discharge technology raised limited interest likely because the recovery of secondary resources from  
271 waste was believed a less critical issue.

272 Ageing, washing, and limited crushing are the key process for re-using MSWI-BA in the construction  
273 industry. To further promote residue stabilization and reduce leaching, the addition of Al(+3) and Fe(+3) salts,  
274 cement or other bonding agents during ageing is also used. The MSWI-BA treatment trains rely on physical-  
275 mechanical treatments including density separation, sieving, sensor-based sorting, Eddy current separation,  
276 and even hand-picking. The recovery of ferrous (FeF) and non-ferrous (n-FeF) metal fractions by Eddy current  
277 separators is widespread (Smith et al., 2019), favoring the marketability of added-value streams as well as  
278 BA acceptance at smelters or refiners. Dry technologies are more efficient than wet processes in terms of  
279 water consumption and, to some extent, reduced transport costs (due to reduced weight and volume).  
280 However, the main drawback of dry processing is abundant dust formation (Šyc et al., 2020).

281 The first installation of MSWI-BA treatment plant came in 1995. Only two sieved fractions, fine  
282 (<4mm) and coarse (4–45 mm), were designed to undergo stepwise magnetic and Eddy current separators  
283 achieving average outputs of 36 wt. % FeF and 1.9 wt. % n-FeF (Chandler et al., 1997; Sabbas et al., 2003).  
284 However, total Fe content in FeF was only 20–30 wt. % due to agglomeration with other minerals. Similar  
285 treatment trains built after the 2000s suited medium- to low-capacity MSWI plants and showed recovery  
286 efficiency of around 80 wt. % FeF and 9-48 wt. % n-FeF with enhanced Fe concentrations and aluminium  
287 products recovery (Grosso et al., 2011; Šyc et al., 2020). In countries like Switzerland and The Netherlands  
288 the implementation of best available practice is mandatory by legislation (e.g., The Netherlands' Green Deal).

289 Going into the detail of commercially available treatment methods, MSWI-BA are usually sieved using  
290 bar seizers, trommel, vibrating screens, and flip flow screens (the latter only for wet treatments). In advanced

291 plants, tens of fractions can be sorted for enhanced metal recovery. However, sieving can be expensive with  
292 a water content < 10 % because appropriate dust control during the handling of the material must be  
293 assured,, and the crushing changes the size distribution likely precluding residual fraction utilization where  
294 well-sorted materials are required (Hyks and Hjelmar, 2018). Density separation is another effective method  
295 for the recovery of different components such as copper, gold, and brass showing a significant density  
296 contrast compared to MSWI-BA matrix (2700 kg/m<sup>3</sup>). Density separation does not apply for Al recovery  
297 because its density resembles that of bulk MSWI-BA, so it is preferably recovered using magnetic methods.  
298 Belt and drum are the two main devices commercially available. Multi-step magnetic separation is typically  
299 used for sieved fractions in advanced treatment plants before the Eddy current separation stage. Ballistic  
300 separation (patent WO 2009/123452 A1) is a cutting-edge technology used in the advanced dry recovery  
301 processes that mechanically separates the fine particles (< 2 mm) associated with the moisture content. This  
302 device can couple with conventional dry separation processes, improving performances. Sensors-based  
303 separation technologies in MSWI residues processing are quite innovative, mainly used for separating glass  
304 and metal particles (Bunge, 2018). Among these, magnetic induction separation based on electromagnetic  
305 sensors is capable of identifying types of metals and alloys in the fraction coarser than 4 mm. Other types of  
306 sensors include X-ray fluorescence to detect different metals, optical sensors for distinguishing shapes,  
307 colored or transparent materials. Still, they are rarely applied to MSWI residues processing despite some  
308 successful examples (e.g., Binder+Co AG).

309           The most used processing options are dry technologies tailored for wet discharged MSWI-BA (e.g.,  
310 Holm and Simon, 2017), even though dry discharge is experiencing a renaissance tied to its ability of avoiding  
311 or minimizing the negative effects of the formation of reaction by-products after quenching, mainly credited  
312 by Ca(OH)<sub>2</sub>, CaCO<sub>3</sub>, Friedel's salt and hydrocalumite (Inkaew et al., 2016). The KEZO MSWI plant in Switzerland  
313 is one example of dry treatment of dry discharged MSWI-BA that yields around 10 % FeF, 4.5 % n-FeF, and  
314 1.1 % glass, generating a total revenue of 95 CHF/t of dry MSWI-BA with a total consumption of about 16  
315 kWh/t of treated waste (Böni and Morf, 2018). However, an efficient recovery of the heavy n-FeF can increase  
316 the revenues due to its precious metals content. Notably, the fraction with particles < 0.3 mm is sold without  
317 treatment at a likely depreciated value despite of a potentially significant content of marketable metals.

318           On the other hand, fervor is on the development of wet technologies for the treatment of MSWI-BA  
319 that, however, implies a massive use of water as a primary limiting factor. Ageing is typically not included in  
320 the treatment to avoid a detrimental effect from the formation of mineral coatings. The first wet technology  
321 pilot plant for metal recovery came in 2005 in Amsterdam, The Netherlands. The treatment plant allow to  
322 recover inert granulates for building materials and marketable metal fractions of different levels of purity,  
323 equipped with several wet processing stages such as wet gravity separator, the wet eddy current separator,  
324 and the wet magnetic separator (Muchova et al., 2009). Although a recovery efficiency up to 83 % FeF and

325 73 % n-FeF, the plant never went to full scale mainly due to the high water-demand and costs for water  
326 treatment. Another example is the Brantner&Co. plant in operation since 2013, located on an Austrian landfill  
327 site (Stockinger, 2018). With a treatment capacity of about 40,000 Mg/year of MSWI-BA, it counts on two-  
328 step magnetic separation including overbelt magnets, separating iron scraps, and fine (>50mm) and large  
329 (<50 mm) fractions. A wet jig further separates material streams by density: a fraction of carbon-based  
330 materials and floating plastics, the heavy (density < 4,000 kg/m<sup>3</sup>) n-FeF containing copper, brass, stainless  
331 steel, and precious metals, and the light n-FeF mainly composed of Al-bearing materials. One wet technology,  
332 installed in 2016 in Alkmaar, The Netherlands, and firstly developed by the Boskalis Company in response to  
333 the Netherlands' Green Deal, has a treatment capacity of about 240,000 Mg/year of MSWI-BA. This  
334 technology separates different fractions using dry sieving instead of a wet drum sieve, and then each fraction  
335 is washed to remove soluble salts and metals. A bar sizer separates fine (>40mm) and large (<40mm) particles  
336 followed by magnetic separation for large particles which, in turn, removes iron scrap and stainless steel as  
337 a first value-added material. The fine particles fraction undergoes a wet drum sieve and a vibrating screen.  
338 However, the main drawback is represented by the production of large amounts of sludge with a high  
339 concentration of heavy metals according to the mass balance of the Alkmaar plant (Born, 2018).

340 Metal recovery can take place on-site, preferably at big MSWI plants where the flow of residues can  
341 justify the investment leveraging on transportation costs. Another option is to establish centralized or mobile  
342 treatment plants serving several MSWI plants, but they usually demonstrate lower efficiency than on-site  
343 plants (Šyc et al., 2020). Nowadays, seven MSWI plants implemented with dry extraction system for MSWI-  
344 BA are operational in Europe (5 plants in Switzerland and 2 plants in Italy). The main drawbacks of this  
345 technology are tied to the need for further treatments to allow afteruse of MSWI-BA in the construction  
346 industry and control or better recovering the finest fractions that must be safely managed (Böni and Morf,  
347 2018). Especially the numerous stages of crushing lead to abundant dust formation and unfavorable grain-  
348 size distribution curve for residue's reuse in the production of building materials. The high investment  
349 required for upgraded treatment plants stems from the demanding crushing stages, the presence of multi-  
350 step magnetic separation, and sensor-based sorting systems.

351 It is apparent that each treatment plant is unique although the processing methods can be the same.  
352 The recovery rate increases with the number of recovery devices: more than ten eddy-current separators  
353 can be used in series, still influencing the capital costs. According to the 2018 technical report of European  
354 Integrated Pollution Prevention and Control Bureau, the electricity consumption of MSWI treatment plants  
355 averages 3 kWh/t of treated waste, sometimes reaching up to 15 kWh/t (EIPPCB, 2018).

### 356 2.3.2. Fly ashes

357 Disposal of MSWI-FA through backfilling also after packaging in "big bags" made of a resistant  
358 material is viable underground in natural cavities such as salt mines. The most used option is landfilling after

359 an appropriate treatment such as stabilization or solidification using other types of wastes (e.g., co-landfilling  
360 with red mud) or binders (Quina et al., 2018; 2008). The stabilization processes suffer, however, some  
361 limitations such as increased mass and volumes that may result not sustainable in terms of space demand.  
362 Landfilling after thermal stabilization (vitrification, sintering, thermal treatment with mixed wastes) is widely  
363 used as it can reduce leaching of inorganic pollutants and destroy toxic organic components. However, LCA  
364 analysis demonstrated that thermal treatments of MSWI-FA are uneconomic due to the high energy demand  
365 to achieve suitable treatment temperatures (Fruergaard et al., 2010). It is important to note that the very  
366 low magnetic susceptibility of MSWI-FA compared to MSWI-BA, due to lower concentration of Fe and  
367 magnetic minerals (Funari et al., 2020), prevent the use and scalability of magnetic separation methods for  
368 material upgrading and FeF and n-FeF recovery that is rarely attempted.

369 Decontamination/detoxification is the first pathway towards recovery and recycling of MSWI-FA as  
370 secondary raw material for other applications avoiding landfilling(e.g., reuse for preparation of geopolymers;  
371 Sun et al., 2013). Different methods can be performed such as carbonation (Costa et al., 2007; Wang et al.,  
372 2010), washing, leaching or bioleaching (Benassi et al., 2016; Funari et al., 2017), electro dialysis (Parés Viader  
373 et al., 2017), and mechanical methods, e.g., ball milling (Chen et al., 2016). MSWI-FA for the production of  
374 secondary raw materials is well suited for ceramic materials, epoxy composites, glass-ceramics, zeolite-like  
375 materials, low-cost stabilizers and buffering agents, lightweight aggregates and secondary building materials  
376 for geotechnical applications, adsorbents including high capacity materials for energy storage (Quina *et al.*,  
377 2018 and reference therein). Other practical applications include biogas production, CO<sub>2</sub> sequestration  
378 (Bacocchi et al., 2010), filler for embankment and landfill top cover (Brännvall and Kumpiene, 2016). The  
379 primary aim of MSWI-FA washing is the removal of easily soluble salts to improve  
380 decontamination/detoxification treatments. The Solvay Process developed during the 1860s is extensively  
381 used to recycling sodium chloride from MSWI-FA produced by wet or semi-dry APC system (Chandler et al.,  
382 1997), especially from FA collected at the sodic bag filters. Recently, Stena Recycling A/S developed the  
383 HALOSEP® process to remove and recover chlorine salts (mostly CaCl<sub>2</sub>) and a concentrate metal cake from  
384 MSWI-FA. The key application for these salt products is road de-icing in compliance with the criteria CEN TC  
385 337 WG1. The metal filter cake shows an average concentration of around 38-40 wt. % Zn, so it is particularly  
386 suited for Zn recovery at the smelter.

387 Several efforts have been made in recent years for metal recovery from MSWI-FA with commercial  
388 potential such as Zn (Fellner et al., 2015), P (Kalmykova et al., 2013), Cu, and other precious and rare metals  
389 (Morf et al., 2013; Allegrini et al., 2014; Funari et al., 2016). As a fact, the removal or stabilization of hazardous  
390 substances using traditional robust means such as water washing or co-landfilling is preferred over methods  
391 aiming at metal recovery so that, for example, bioleaching and electrocoagulation or eventual landfill mining  
392 strategies are still far from industrial rollout. However, some successful examples exist at the demonstration

393 scale such as co-mixing with rice husks (Benassi et al. 2016) to recover an environmentally compatible  
394 secondary raw material. The FLUWA process dedicated to recovering the Cu and volatile toxic metals such as  
395 Zn, Pb, Cd, and organic substances started in 1997 in Switzerland (Bühler and Schlumberger, 2010). It further  
396 allows metal separation and recovery through multistep acidic and neutral scrubbing and oxidation,  
397 providing a residual MSW-FA less prone to environmental leaching. Organic substances remaining in the filter  
398 cakes again represent a key issue, requiring further incineration cycle in the MSWI plant for complete thermal  
399 destruction. The new FLUWA + FLUERC process allow up to 60–80% Zn, 80–95% Cd and 50–85% Pb and Cu  
400 removal (Quina et al., 2018). The FLUREC implemented in 2012 at MSWI plant Zuchwil, Switzerland, can  
401 recover up to 300 Mg/year Zn, however purification of Zn-rich cake and filtrates is a prerequisite.

402 The use of MSWI-FA for the production of cement is sought because this can limit the enormous  
403 environmental impact of the cement industry tied to massive anthropogenic CO<sub>2</sub> (from calcination) and other  
404 gaseous emissions (NO<sub>x</sub>, organic compounds, and toxic volatile elements), the consumption of energy and  
405 natural resources (Lederer et al., 2017). The main options for MSWI-FA reutilization in the cement industry  
406 include the production of a blended cement and the co-processing in the cement kiln to produce the clinker  
407 (e.g., Bertolini et al., 2004). Co-processing of MSWI-FA containing high amounts of Ca-bearing phases is viable  
408 to substitute a part of the raw material input (Chandler et al., 1997) up to about 40-50% (Saikia et al., 2015).  
409 Earlier studies suggested that the leaching rates of potentially toxic elements are very low in the short-term,  
410 but Lederer et al. (2017) surmised that volatile metals such as Cd and Pb are reincorporated into the cement  
411 during the regular production process. Considering that the chemical composition of MSWI-FA is not stable  
412 over time, particular care should be given to final cement quality and the emissions at the smokestacks.

### 413 3. Biotechnology for MSWI ash management

#### 414 3.1. Brief Overview of Biohydrometallurgy

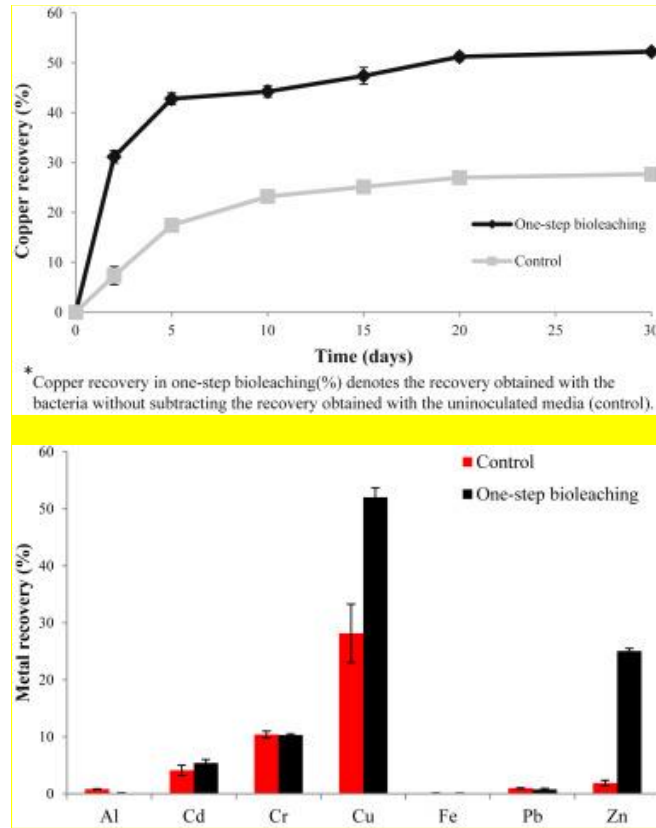
415 Biohydrometallurgy is a branch of metallurgy devoted to hydrometallurgical extraction mediated by  
416 microorganisms. Its use for the recovery of metal from primary ores and in the treatment of mine tailings is  
417 factual while gaining more and more popularity in the treatment of secondary resources.

418 Extremophile microorganisms adapted to thrive under extreme environmental conditions (e.g.  
419 salinity, pH, temperature). In particular, acidophilic bacteria are able to grow, for example, in acid mine  
420 drainage (AMD) at low pH (< 2), high concentrations of sulfate and metals, particularly iron, giving it a deep  
421 red color. Microbiological studies conducted on Rio Tinto water streams demonstrated the occurrence of  
422 precise ecological niches of microbes. It is also not rare to identify new species of microorganisms. Their  
423 metabolism evolved towards the use of available nutrients (e.g., metals) contained in solid minerals (e.g.,  
424 pyrites) for their energy supply, enabling life in extreme environments. Minerals supposedly oxidize without  
425 bacteria or biological interactions, but microorganisms make the process much faster. It was demonstrated

426 in the '70s that the oxidation of ferrous iron operated by *Acidithiobacillus ferrooxidans* was about a million  
427 times faster compared to abiotic chemical oxidation (Lacey and Lawson, 1970). Strains of extremely  
428 thermophilic archaea (*Acidianus sulfidivorans*) are found to withstand pH between 0.35 and 3.0,  
429 temperatures of 45-83°C in the presence of sulfur minerals such as pyrite, chalcopyrite and arsenopyrite  
430 (Brierley and Brierley, 2013). Recently, the phenomenon of improved kinetics of biomineralization and  
431 biodissolution has also been studied for carbon capture and storage observing microbial carbonic anhydrase  
432 catalyses (Bhagat et al., 2018). Thermophilic microorganisms can survive at higher temperatures than  
433 mesophiles and can guarantee faster kinetics and higher yields. However, the use of special catalysts can  
434 make the mesophiles exceptionally performing to bioleach complex minerals: for example, *Acidithiobacillus*  
435 *ferrooxidans* and *Leptospirillum ferrooxidans* strains supplemented with ferrous iron are valuable for the  
436 treatment of chalcopyrite or molybdenite (Brierley and Brierley, 2013). Empirical studies demonstrated that  
437 *Acidithiobacillus thiooxidans* and *Acidithiobacillus caldus* reduce sulfur accumulation and improve process  
438 efficiency, e.g., by enabling bioleaching for sphalerite and arsenopyrite (e.g., Suzuki, 2001; Vera et al., 2013).  
439 Bioleaching and biooxidation processes promoted sustainability in the recovery of base metals (Zn, Cu, Ni,  
440 Mo) and precious metals (Au, Ag) trapped inside sulfur minerals.

441 The knowledge of usable microorganisms has significantly increased over the past decades, with  
442 higher extraction rate of metals even from complex mineralogical assemblages like waste materials, as  
443 demonstrated by the use of extremophiles (e.g., Ramanathan and Ting, 2016), which is illustrated in Figure  
444 X1 for the kinetics and extent of recovery of metals via single-stage bioleaching. However, some criticalities  
445 were promptly highlighted, such as the need to monitor bacterial growth and the difficulty in guaranteeing  
446 the correct and stable functioning of the treatment plants over time. The development of corrosive  
447 conditions inside the reactors evoked investments in special building materials, reactors and propellers  
448 designs. It is necessary to continue contaminating knowledge in bioleaching by encouraging “among  
449 scientists and engineers to enhance development of this very important technology for an industrial sector  
450 whose successful future is increasingly dependent on technological advances”, as postulated by Brierley and  
451 Brierley (2013).

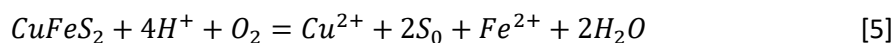
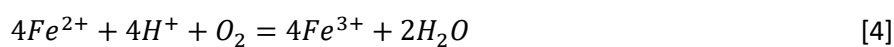
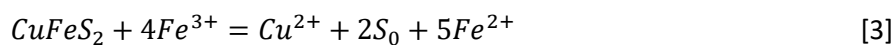
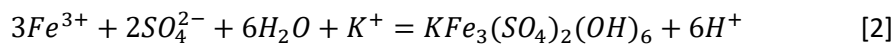


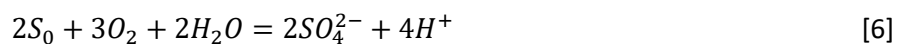


452  
453 **Figure X1. Kinetics of Cu bioleaching (top) and recovery of metals (bottom) from municipal solid waste**  
454 **incineration fly ash by *Alkalibacterium* sp. TRTYP6 (n = 3). Reproduced with permission from Elsevier**  
455 **(5493660184237) (Ramanathan and Ting, 2016).**

456 3.2. Bacterial leaching in sulfidic environments and their industrial applications

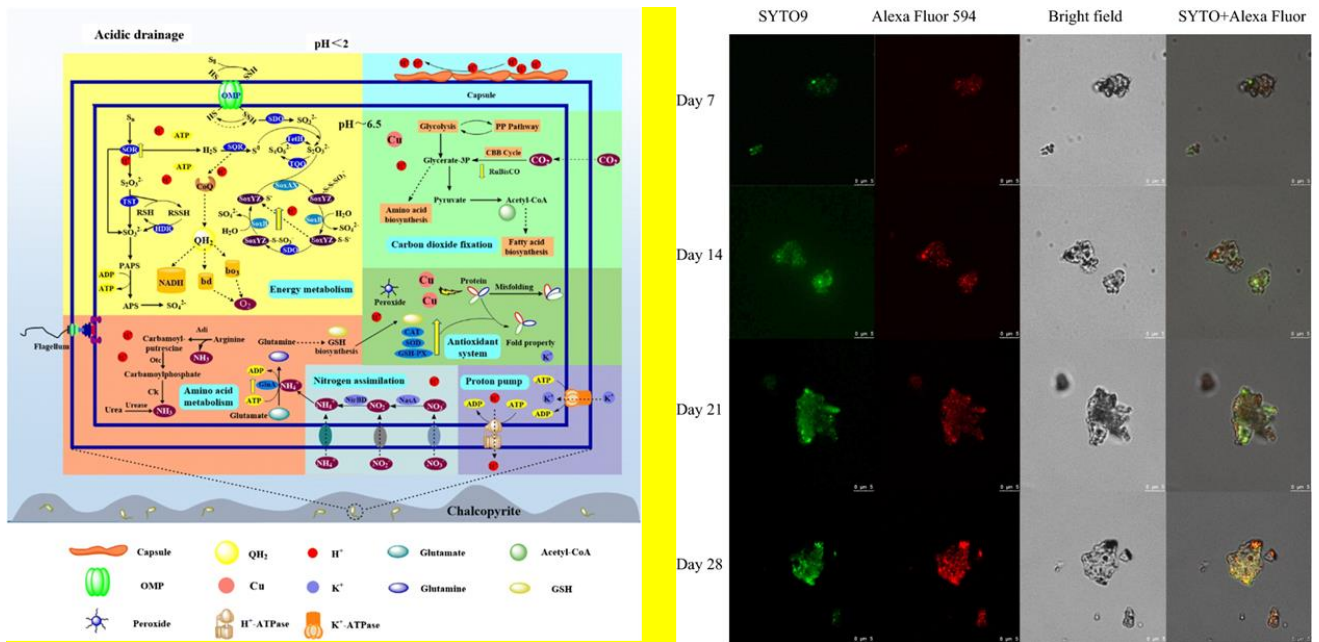
457 The first attempt to understand the mechanism behind bacterial leaching was done studying the  
458 metal sulfides bioleaching reactions. This effort was accomplished with a multi-disciplinary approach,  
459 including mineralogy, chemical bound theory, and biochemistry. In sulfidic environments it is possible to find  
460 many microorganisms sulfur and iron oxidizers such as, *Acidithiobacillus thiooxidans*, *Acidithiobacillus*  
461 *ferrooxidans*, *Leptospirillum ferrooxidans*, *Acidianus/Sulfolobus* spp., *Metallogenium* spp., that can operate  
462 direct and indirect leaching *sensu* Sand et al. (1995). Although debated (Sand et al., 2001; Vera et al., 2013;  
463 Yin et al., 2019), some co-participated reactions can be drawn:





470 Direct or contact bioleaching generally assumes a metal sulfide-attached cell oxidizes the mineral by  
471 an enzyme system with oxygen to sulfate and metal cations. To dissolve a metal sulfide [Eq. 1] the indirect  
472 or non-contact mechanisms grounds on the oxidizing capacity of  $Fe^{3+}$  ions. During this chemical reaction,  $Fe^{2+}$   
473 ions and elemental sulfur ( $S_0$ ) are poly-sourced [Eq. 3, 5], promoting a cyclic reaction where  $Fe^{3+}$  and sulfide  
474 moiety is reduced and oxidized progressively [Eq. 4, 6] thanks to an ancillary engine of S-oxidizers. It is worth  
475 mentioning Extracellular Polymeric Substances (EPS) allow contact and mineral decomposition that  
476 preferably start in crystal defects (Fletcher and Savage, 2013; Gehrke et al., 1998), where the  $Fe^{2+}$  ions are  
477 more accessible (Dziurla et al., 1998). The need of iron is as important as the S-cycle: when the  $Fe^{3+}$  interacts  
478 with the electronic structure and (leach) the surface of the mineral, and sulfur de-bonds from sulfide crystal  
479 lattice, the thiosulfate releases  $Fe^{2+}$  ion and protonation forms  $H_2S$ , which reacts with the oxidative  
480 compounds (e.g.,  $Fe^{3+}$ ,  $O_2$ ). This starts a radical chain reaction that produces  $S_0$  as end-product, which is used  
481 by bacteria (e.g., *Acidithiobacillus thiooxidans*) to produce sulfuric acid.

482 The regulatory strategies of *A. thiooxidans* during bioleaching of low-grade chalcopyrite were studied  
483 in-depth by Yin et al. (2019), illustrated in Figure X2, through physiological observations matched with  
484 transcriptomic approach. The authors observed that during the  $CuFeS_2$  bioleaching process the bacterium  
485 endeavor's three mechanisms to keep the pH homeostasis: i) externalizes  $H^+$  by ATPase activity; ii) the amino  
486 acid metabolism becomes more active lowering cytoplasmic acidification by proton consumption via the  
487 tricarboxylic acid (TCA) cycle (i.e., Krebs cycle); iii) prevents proton invasion increasing the amount of  
488 unsaturated fatty, particularly cyclopropane, and so far the density of the cell membrane. At the  
489 transcriptomic level the genes involved in sulfur metabolism resulted significantly up-regulated while those  
490 associated to the flagellar assembly and carbon metabolism were down-regulated, suggesting a strategy of  
491 alternative energy production from the first and reduction of energy consumption with the second.  
492 Noteworthy, confocal laser scanning microscopy (CLSM) analysis indicated that EPS and biofilm formation  
493 might also improve strain resistance to the stress condition (Figure X2). Niu et al. (2016) studied a real-scale  
494 bioleaching system of the Dexing Copper Mine (Jiangxi, China) to provide insights on their bacterial  
495 community structure and mechanisms involved at three different processing stages. According to  
496 phylogenetic analysis based on 16S rRNA metabarcoding, all three groups shared 259 OTUs (Operation  
497 Taxonomic Unit), but demonstrated a significant microbial shift in the process line. Gene arrays revealed a  
498 difference in functional gene structures of the microbial communities and metabolic pathways potentially  
499 related to bioleaching. Genes involved in carbon fixation, polyphosphate degradation, sulfur oxidation, and  
500 denitrification were abundant in a sample from the heap; while genes related to carbon degradation,  
501 polyphosphate synthesis, sulfite reductase, and nitrification in the spent medium leachate (Niu et al., 2016).



502

503 **Figure X2. (left) Adaptation mechanism model of *A. thiooxidans* in bioleaching of low-grade chalcopyrite;**  
 504 **(right) visualization of EPS and cell attachment on chalcopyrite surface when bioleaching at 7, 14, 21, and**  
 505 **28 days by CLSM. Reproduced with permission from Springer Nature (5493660654399) (Yin et al., 2019).**

506

507 There is a long list of microorganisms used for sulfur-bearing ores bioleaching (Fletcher and Savage,  
 508 2013), but their adaptive mechanism to harsh environments remains disputed. Such acquired knowhow is of  
 509 fundamental importance for the creation of strains with greater stress tolerance, crucial for commercial use  
 510 in industrial bioleaching (Jerez, 2008). A review of bacterial strains possessing unique characteristics critical  
 511 for commercial-scale bio-processing is reported elsewhere (Brierley and Brierley, 2013). The first significant  
 512 biohydrometallurgical operation took place at the Rio Tinto copper mine in Spain from 1950 to 1980, where  
 513 bioleaching was primarily done in heaps and dumps on-site. Several industrial plants for metal recovery  
 514 (especially Cu and Au) have been started in America, South Africa and Uganda, and Australia. The percentages  
 515 of minerals extracted were very high: up to 95% Au was extracted from crude high-graded ores and Cu yields  
 516 were up to 65% from chalcopyrite and up to 98% from some sulfosalts (enargite). Around 85% Mo bioleaching  
 517 is achievable from molybdenite (MoS<sub>2</sub>) using *A. ferrooxidans* and *L. ferrooxidans*, in a six-month timeframe  
 518 (Bosecker 1997; Brombacher et al., 1998). After numerous developments, the BioCOP™ technology owned  
 519 by BHP Billiton was commercialized at the Chuquicamata Mine in Chile, showing a production rate of 20000  
 520 Mg/year Cu using thermophilic microorganisms to leach sulfide mineral concentrates at temperatures up to  
 521 80 °C (Batty and Rorke, 2006). The BioCOP™ technology contributes to yield a high-value copper metal  
 522 product after conventional solvent extraction and electrowinning.

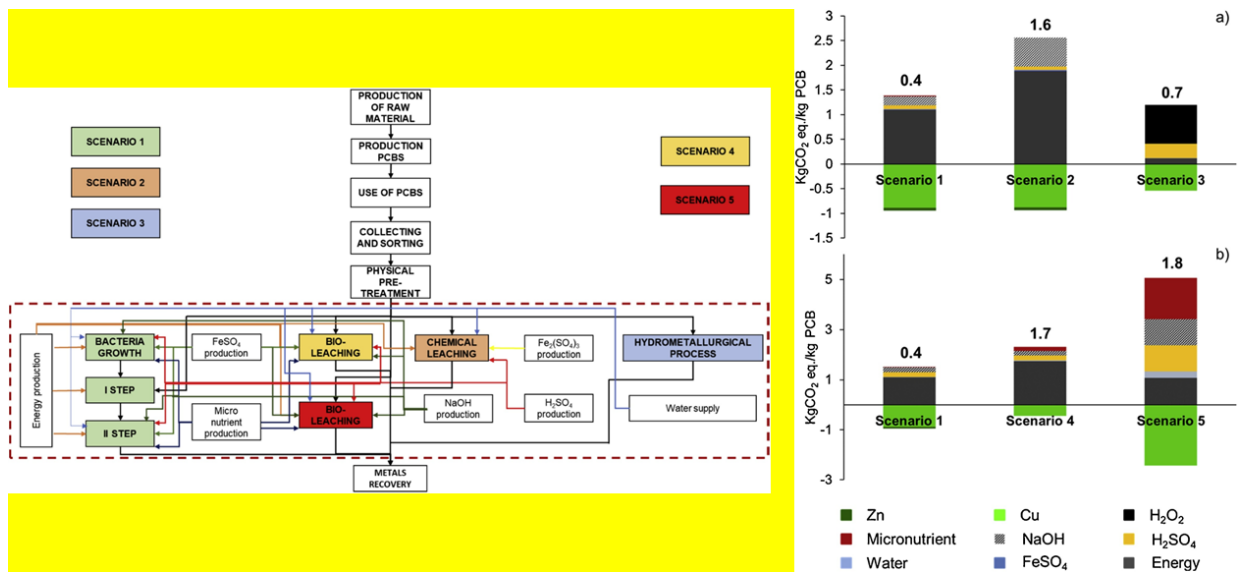
523 In commercial applications, some of the advantages of biohydrometallurgical methods can offset net  
 524 smelter royalties for metals production. For example, mineral beneficiation through bioleaching can decrease

525 refineries and smelters penalty charge associated with high levels of impurity (Gericke et al., 2009).  
526 Moreover, secondary bioleaching or spent medium leaching can be used for on-site acid bioproduction to  
527 replace mineral acid purchases (e.g., Funari et al. 2017) although sulfuric acid costs can be volatile (Moore,  
528 2008). Biohydrometallurgical methods can fit existing infrastructure, such as wet technologies or  
529 electrorefining, avoiding new investments by the companies. Biomining processes are mostly carried out by  
530 stirred-tank and heap reactors, or both combined, especially when a spent medium leaching process is  
531 attempted. In general, they can be divided into irrigation-type and stirred tank-type, as the two main  
532 categories. Irrigation-type processing is primarily deployed *in situ* (e.g., heap, dump, and slope bioleaching  
533 techniques), being slope bioleaching more affordable compared to other techniques, while heap bioreactors  
534 represent the primary option because they are cheaper and easier to operate than stirred-tank reactors  
535 (Gahan et al., 2013; Rawlings, 2004). The latter, however, are less time-consuming and offer more control  
536 and predictable performances. A typical heap bioleaching system operates over a 400–600-day period,  
537 starting with the preconditioning phase of 1–6 weeks. In Chile, the Bala Ley plant for low-grade Cu minerals  
538 ore processing equips a dump bioleaching system, where cycles of preconditioning, irrigation, maturation,  
539 and washing can last years (Rawlings, 2002). At the Denison Mine in Ontario, Canada, several (hybrid)  
540 irrigation-type methods were used to treat low-grade uranium ore. The primary problems associated with  
541 impending leachate loss in the environment were addressed by these procedures (Bosecker 1997; Rawlings  
542 2002; Rawlings et al., 2003; Rawlings, 2004). In South Africa and Australia, pilot-scale plants have  
543 demonstrated technical feasibility for Ni recovery, with Queensland Nickel as a relevant stakeholder (Gahan  
544 et al., 2013). Similarly, the Talvivaara mine in Finland tested heap bioleaching, with operations likely  
545 terminated in 2018. A famous example of biooxidation plant in stirred tank reactors is at the Fairview Mine  
546 in South Africa, which used the BIOX process for pretreatment of gold-bearing sulfide ores (Kaksonen et al.,  
547 2014). Bioleaching as a pretreatment in a multi-stage process increased recovery efficiency, especially for  
548 extraction of precious metals and Co. Gu et al. (2018) provide a new list of pretreatment methods based on  
549 bioleaching. BacTech Mining Company, Canada, can treat refractory Au concentrates with the further aim of  
550 recovering Co, Ni, and Ag, and remediating As-tailings (Rawlings et al., 2003; Gahan et al., 2013). Biooxidation  
551 plants equipped with stirred tank reactors to recover Co from enriched mining waste and tailings can be  
552 found at Sansu, Ghana, Liazhou, Shandong province, China, the Kasese Cobalt Kilembe Mine, Uganda, and  
553 Youanmi Gold Mine, Australia. The latter (Youanmi project) exploits some thermophilic bacteria possessing  
554 an optimum temperature between 45 °C and 55 °C (e.g., *Sulfobacillus thermosulfidooxidans*). Numerous pilot  
555 and demonstration-scale processes of stirred tank bioleaching prove the potential for recovering other  
556 metals from sulfides, including Ni, Co, Zn, and rare metals. Patented processes of Zn bioleaching involving  
557 solvent extraction and electrowinning are also available (Stemson et al., 1994).

### 3.3. Biohydrometallurgical processes for the circular economy

In order to promote a circular economy, biotechnology for metal production should be eco-friendly and cost-effective and adapt to the waste management sector. The continuously increasing high demand for critical raw materials and rare metals for technological development has led not only Europe, but also other industrialized countries, to look at diversified sources of supply such as mining waste, mine tailings, and alternate anthropogenic stocks and flows that frequently exhibit a hidden metal value (Baccini and Brunner, 2012). Biomining is suitable for treating such materials because they are flexible for optimization and can prove beneficial to decontamination, coupling metal recovery with environmental remediation. This has led to the recent advances in fine-tuned methods to treat anthropogenic wastes. Despite the modest and variable ore metal concentrations in anthropogenic flows like MSWI residues, bioleaching methods can allege lower capital costs than other robust technologies in waste management (Funari et al., 2017).

Bioleaching for metal extraction from anthropogenic materials such as electronic scraps, various types of slag and flying ashes, secondary solid wastes, and sludge is largely investigated as an economical and eco-friendly process (Gahan et al., 2013; Meawad et al., 2010; Lee and Pandey, 2012; Srichandan *et al.*, 2019). Bioleaching of metals through the use of thermophilic and acidophilic bacteria has been primarily investigated to recover metals from electronic scrap, especially printed circuit boards (Ilyas et al., 2007). Among the strategies adopted to achieve higher speeds of metal leaching from electronic waste, it was found that a mixed consortium can show the maximum efficiency of leaching, and a pre-washing might be useful to remove easily soluble metals (e.g., Cl, Na) or light fractions (e.g., plastics) toxic for bacteria. Satisfactory leaching yields are achievable with *S. thermosulfidooxidans*, an example of moderate thermophilic bacteria, but the presence of Pb and Sn precipitation complicated separation and purification (Ilyas et al., 2007). Recently, Becci et al. (2020) confirmed that pre-crushing to obtain a granulometry > 0.5 mm is a good strategy to enhance bioleaching of printed circuit boards using iron oxidizers. However, the formation of passivation layers (e.g., jarosite) remains a limiting factor that reduces kinetics dramatically. In their experiments, bioleaching processes using monoclonal cultures of *A. ferrooxidans* and *L. ferrooxidans* were compared, emphasising oxidation of iron species. The latter microorganisms are very sensitive to metals toxicity and perform a slow conversion of Fe<sup>2+</sup> in Fe<sup>3+</sup>, resulting in relatively low recovery of around 40% Cu and 20% Zn, while bioleaching with *A. ferrooxidans* yielded around 95% Cu and 70% Zn and showed high conversion of Fe<sup>3+</sup>. Further comparing different scenarios in terms of carbon footprint (Figure X3), they found the optimum condition with further bioreactor size reduction can achieve four times reduction of the CO<sub>2</sub>-eq per kilogram of treated material compared to the best bioleaching processes reported in the literature (Becci et al., 2020).



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Figure X3. (left) System boundaries considered for the carbon footprint assessment; (right) Carbon footprint of the five considered approaches. (Function unit: 1 kg of shredded PCBs). Reproduced with permission from Elsevier (5493661285952) (Becci et al., 2020).

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The ability of microorganisms to interact with Rare Earth Elements (REE) is suitable for metallurgical separation and environmental technology. The microbial capacity to interact with REE and the REE adsorption sites were investigated in depth using synchrotron-based techniques on genetically engineered strains clarifying the REE adsorption mechanisms. Recognized patterns can be generalized: surface adsorption, adsorption on extracellular biopolymers, cellular absorption, adsorption on extracellular biominerals (Moriwaki and Yamamoto, 2013). The binding sites of the bacterial cell wall suggested to interact the most with REEs and determine the strain selectivity are phosphate and carboxyl groups. In the recovery of metals such as Ni, Co, and Mo from spent catalysts low yield were reported for acidophilic bacteria, however, it could be improved using *Escherichia coli* due to its capacity of producing reducing conditions even in acidic environments (Vyas and Ting, 2020). Vyas and Ting (2020) reported that higher Mo extraction (from 72 to 96 %) was observed in the spent medium leaching when *E. coli* biomass was kept in contact with the pregnant solutions in a two-step bioleaching procedure. The result suggests a possible biosorption or bioaccumulation mechanism operated by *E. coli* using spent medium indicating a significant involvement of active metabolites such as amino acids. Also, recent studies demonstrate that autochthonous bacteria can be present in wastes and that they could be isolated and tailored for bioprocessing (Ramanathan and Ting, 2016) and bioremediation (Piervandi et al., 2020).

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### 3.3.1. Bioleaching of MSWI residues

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Bioleaching of MSWI residues mainly involves ferrous iron and sulfur-oxidizing bacteria (e.g., *A. ferrooxidans*, *A. thiooxidans*), but also several species of fungi (e.g., *Aspergillus niger*), which can grow on and

613 around the waste material. Despite the numerous bench-scale experiments, there aren't commercial  
614 processes for MSWI residues bioleaching available so far. Biohydrometallurgical processing of MSWI residues  
615 suffers considerable limitations when a high pulp density is required to justify the investments in the short  
616 to medium term. The high content of toxic metals, organic materials, and a highly alkaline starting pH can  
617 impede microbial growth and process efficiency. Various leaching organisms show a high tolerance to toxic  
618 metals (i.e., 50 g/l Ni, 55 g/l Cu, 112 g/l Zn), but also the mineralogical composition is of primary importance:  
619 a high calcium carbonate content, such as for several types of MSWI-FA, would be unfavorable because high  
620 alkalinity and the precipitation of gypsum can occur, affecting the overall costs.

621 Bioleaching can extract valuable metals, especially Cu, Zn, Pb, As, Sb, Ni, Mo, Au, Ag and Co, from  
622 MSWI residues with less energy and environmental impact than pyro- or hydro-metallurgical methods.  
623 Ishigaki et al. (2005) studied the bioleaching of Cr, Cu, Zn, Cd, and As from MSWI-FA by sulfur-oxidizing and  
624 iron-oxidizing bacteria, as pure cultures and a mixture of both. The mixed culture showed the best  
625 performance (67% Cu, 78% Zn and 100% for Cr and Cd at 1 % w/v pulp density). Characterization of metal  
626 bioleaching revealed that the acidic and oxidizing conditions remained rather stable throughout the  
627 experiment. The redox mechanisms coupled with the sulfate leaching brought an increase of ferrous iron  
628 enhancing the Cr, Cu, and As leaching. However, they found that at a higher pulp density (3 % w/v) chromium  
629 remained virtually undissolved (4 % Cr yield). The presence of degradable and non-degradable organic  
630 compounds in MSWI residues exerted no significant changes in the leachability of metals other than Zn  
631 (Ishigaki et al., 2005). An earlier study on microbial leaching (Mercier et al., 1999) elucidated that the  
632 following elements can be removed in decreasing order of extraction rate: Cd, Zn, Pb, Cu, using a pure culture  
633 of *A. ferrooxidans*. In the same work, four different leaching tests were conducted for environmental  
634 compliance of the final residues, and the authors concluded that the leachate from Toxicity Characteristic  
635 Leaching Procedure (TCLP) was within the acceptance criteria only if final residue's pH was increased to five  
636 after the biological treatment. Still, Cd releases could be an issue with regard to regulatory limits. The  
637 investigation by Krebs (2001) reported an example of co-treatments of MSWI-FA using a mixture of strains  
638 (*Thiobacillus* genus) in a suspension of water and nutrients (1 % w/v  $S_0$  powder) and 4 % w/v sewage sludge.  
639 The cultivation was compared to pure *A. thiooxidans* or sewage sludge alone, over 1-3 months. The  
640 inoculation with the combination of sewage sludge and bacteria showed a fast decrease in pH and increased  
641 microbial growth. In the final pH of 1, the efficiency of metal leaching was very similar, with pulp density  
642 ranging from 0.5 % to 1 %. More than 80 % Cd, Cu, and Zn, around 60 % Al, up to 30 % Ni and Fe, less than  
643 10% Cr and Pb were mobilized (Krebs, 2001). Autochthonous bacteria can be used for bioleaching as reported  
644 in a study on MSWI-FA produced in Singapore incineration plant (Ramaathan and Ting, 2016). Thirty-eight  
645 different microbes were isolated and characterized to find the most suitable autochthonous microbe with  
646 inherent fly ash tolerance and ability to thrive in alkaline pH (thus avoiding any pre-acidification of the ash).

647 Besides *Firmicutes* (90 % relative abundance), three other phyla were identified: *Bacteroidetes*,  
648 *Actinobacteria*, and *α-Proteobacteria*. Amongst six isolates displaying Cu recovery of about 20% or more,  
649 *Alkalibacterium* sp. resulted tolerant to pH and fly ash making it a suitable candidate for MSWI-FA  
650 bioleaching. Indeed, a one-step bioleaching with *Alkalibacterium* sp. on MSWI-FA showed 52% Cu and 25%  
651 Zn recovery. The high tolerance of *Alkalibacterium* sp. to metals and substantial bioleaching ability can  
652 prompt scaled-up bioleaching with alkaline bacteria that, at present, do not reach acidophile bioleaching in  
653 terms of Cu and Zn removal rates. A clear advantage of alkaline bioleaching is the higher pulp density (more  
654 than 20% w/v), signifying less water-demand. Hong et al. (2000) tested saponin, a biosurfactant produced by  
655 microorganisms and plants, for metal removal from MSWI-FA. They compared the efficiency of saponins with  
656 that of other solvent extraction (HCl and EDTA) in the pH range 4-9. The saponins leaching was more effective  
657 than control acid treatments for Cr, Cu, and Pb with yields up to 45 %, 60 % and 100 %, respectively, whereas  
658 the Fe, Si, Al, and Zn extraction was not significant. Gonzalez et al. (2017) used cementing bacteria for the  
659 stabilization of MSWI-FA. After bacteria cementation assays and the assessment of the ad/absorption of  
660 metals in the cemented fly ash, they concluded that *Sporosarcina pasteurii* and *Myxococcus xanthus* are  
661 suitable for multiple metal stabilization (As, Cd, Cr, Cu, Ni, Pb, Sn, and Zn) with some differences concerning  
662 trace elements mobility, depending on the starting concentrations in the samples (Gonzalez et al., 2017).

663 MSWI-BA bioleaching has received less attention in the scientific literature because of its less  
664 hazardous nature than MSWI-FA, which allows for direct reuse in the construction sector with minimum  
665 pretreatment. Aouad et al. (2008) studied *Pseudomonas aeruginosa* and MSWI-BA interactions foreseeing  
666 real exposition of MSWI residues to halotolerant bacteria at landfill site. Bioleaching experiments using a  
667 pure culture of *P. aeruginosa* was carried out for 133 days at 25 °C using a modified Soxhlet's device and a  
668 culture medium in a closed, unstirred system and resulted in an increase in pH, a greater immobilization of  
669 Pb, Ni and Zn, and weaker alteration rate of treated MSWI-BA compared to the abiotic control. The authors  
670 explained that the biofilms acted as a protective barrier, thus preventing dissolution by promoting  
671 biomineralization (Aouad et al., 2008). Many halotolerant bacteria can be found at MSWI disposal site since  
672 tolerating the salinity of MSWI residues, but little information is available about the interaction between  
673 bacteria and landfill waste (Sun et al., 2016). *Firmicutes*, *Proteobacteria*, and *Bacteroidetes* as the dominant  
674 phyla, with dominant genera as *Halanaerobium*, *Lactococcus*, *Methylohalobius*, *Ignatzschineria*,  
675 *Syntrophomonas*, *Fastidiosipila*, and *Spirochaeta* are characteristic in municipal waste landfills (Wang et al.,  
676 2017)

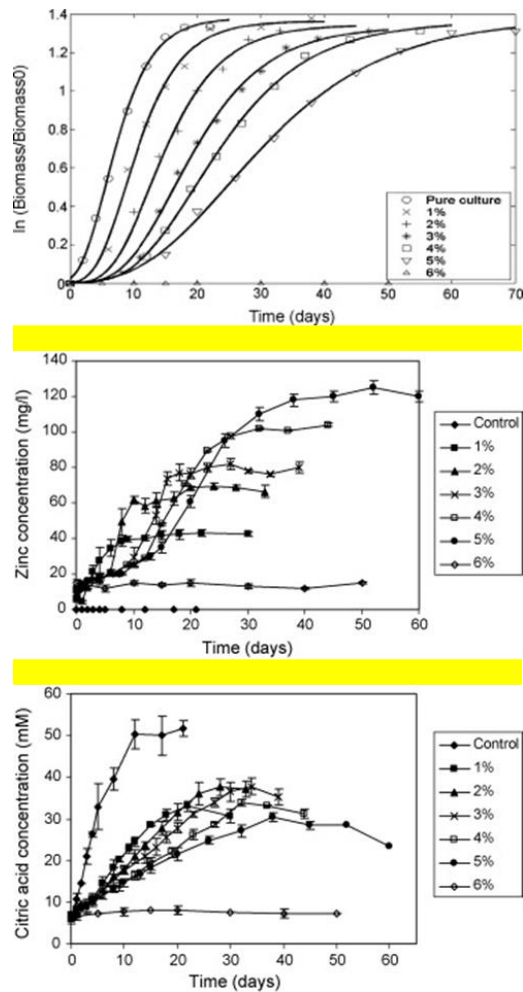
677 The acid bioleaching behavior of both MSWI FA and MSWI-BA was investigated at the bench scale  
678 and compared to abiotic leaching (H<sub>2</sub>SO<sub>4</sub>, 10% pulp density, 30 °C, 150 rpm) looking at a wide range of metals  
679 (Funari et al., 2017). A mixed acidophilic culture composed by iron and sulfur oxidizing bacteria tested on a  
680 one-step bioleaching process yielded >85% Cu, Al, Mn, Mg, and Zn and significant removals of Co, Cr, Pb, Sb,



681 and REE. Unvalued elements like Ca, Si, and Ti showed low mobility with the tendency to remain in the solid  
682 phase, while the solubility of other trace elements might be selectively enhanced by the cyclic supply of Fe<sup>+3</sup>  
683 produced by iron-oxidizing bacteria. Moving ahead, Mäkinen et al. (2019) tested the possibility of heap  
684 bioleaching for the recovery of Zn and Cu from MSWI-BA at the bench scale via column experiments. Leaching  
685 yields varied 18–53% Zn and 6–44% Cu, and they noted that appropriate aeration is the main critical factor  
686 needing further adjustments in future testing. Potentially high Fe and Al, easily dissolved in sulfuric acid  
687 solutions, and different heap behavior due to the heterogeneity of the material can also impede bioleaching  
688 utilization in MSWI residues treatment. However, a balance between bacterial adaptive mechanisms and  
689 nutrient supply can generate savings compared to processes relying on abiotic procedures. Electrochemical  
690 technologies are also promising in the optimization of acidophile bioleaching for MSWI residues (Gomes et  
691 al., 2020), possibly to offset the CO<sub>2</sub> generation of full-scale applications.

692 Fungi have considerable industrial importance in biomining. Several studies demonstrate the  
693 applicability of a bioleaching process to MSWI residues using fungal metabolic substances and reactions.  
694 However, data on a limited spectrum of genera are available (i.e., *Aspergillus* and *Penicillium*). The  
695 bioleaching ability of *Aspergillus* has been primarily ascribed to metal dissolution by organic acid excretion  
696 (e.g., citric acid). Bosshard et al. (1996) compared biological leaching of MSWI-FA by *Aspergillus niger* in batch  
697 cultures 5% pulp density to chemical leaching, and they found that bioleaching was only slightly lower than  
698 chemical leaching with commercial citric acid. They also noted that, in the presence of MSWI-FA, *A. niger*  
699 produced gluconate, whereas, in its absence, citrate. Xu and Ting (2009) investigated the bioleaching kinetics  
700 of *A. niger* with MSWI-FA at various pulp densities (1-6%) in a batch system; Figure X4 illustrates the key  
701 results. A modified Gompertz model was used to evaluate growth and acid production by the fungus, while  
702 a Monod inhibition model served to assess growth kinetics in the presence of toxic and inhibitory  
703 components of the MSWI-FA. The metals present in the MSWI-FA at high concentrations acted as inhibitors,  
704 leading to a decrease in the *A. niger* bioleaching yield. A gradual decrease of the fungal growth rate was  
705 observed with the increase of the pulp density, likely in relation to the primary inhibitory mechanisms that  
706 include inhibition of critical functional groups of enzymes, conformational changes of cell's polymers, and  
707 alteration of the integrity of the cell membrane. Nonetheless, the acid excretion by the fungus played a direct  
708 role in metal solubility (Al, Fe, and Zn) since the concentration of organic acid increases with biomass and  
709 time during fungal leaching of MSWI-FA (Xu and Ting, 2009). It appears that the optimal MSWI-FA  
710 concentration for fungal leaching is up to 10 % (w/v) in the medium (Bosshard et al., 1996). Yang et al. (2009a)  
711 reported bioleaching experiments of MSWI-FA by using single-metal adapted, multi-metal adapted, un-  
712 adapted *A. niger*. The effect of pH and concentration of the extracted metals on the fungus growth was  
713 evaluated by comparing the diameter of the fungal colonies. The authors found that multi-metal adapted  
714 AS3.879 can tolerate the greatest pulp densities and the Al-adapted strain AS3.879 is the best candidate for

715 MSWI-FA decontamination according to the TCLP test on final residues (Yang et al., 2009a). The biosorption  
716 of metals in their ionic form operated by *A. niger* was further elucidated contacting MSWI-FA leachate made  
717 from gluconic acid leaching and the fungus for 120 minutes at 6.5 pH: Al, Fe and Zn fitted a pseudo-first-order  
718 kinetic and Pb a pseudo-second kinetic; regarding the isotherm models, Pb, Zn and Fe fitted the Langmuir  
719 model, while Al Freundlich's (Yang et al., 2009). Moreover, microscope observations revealed that fungal  
720 morphology was significantly affected during both one-step and two-step bioleaching, with precipitation of  
721 calcium oxalate hydrate crystals at the surface of hyphae (Xu et al., 2014), as illustrated in Figure X5, leading  
722 to noteworthy implications for after-use. Metal richness in solution or contact surface can be toxic to  
723 microorganisms, but finely tuned pre-treatment and adaptation strategies would overcome this limitation in  
724 industrial bioprocessing. For example, water washing pre-treatment of MSWI-FA was simultaneously  
725 evaluated in both one-step and two-step bioleaching procedures using *A. niger* (Wang et al., 2009). The  
726 results (under optimum pulp density of 1% w/v) showed that the fungi lag phase (i.e., the timeframe of steady  
727 pH level and after which the pH starts to drop quickly) in the absence of pre-treatment lasts about 260 hours,  
728 while less than 150 hours if water washing is deployed (yielding 96% Cd, 91% Mn, 73% Pb, 68% Zn, 35% Cr,  
729 30% Fe at the end of the experiment; Wang et al., 2009). Water washing pre-treatment improves the  
730 production of organic acids thanks to partial removal of other components, leading to a reduction of the  
731 experiment duration and overall costs.



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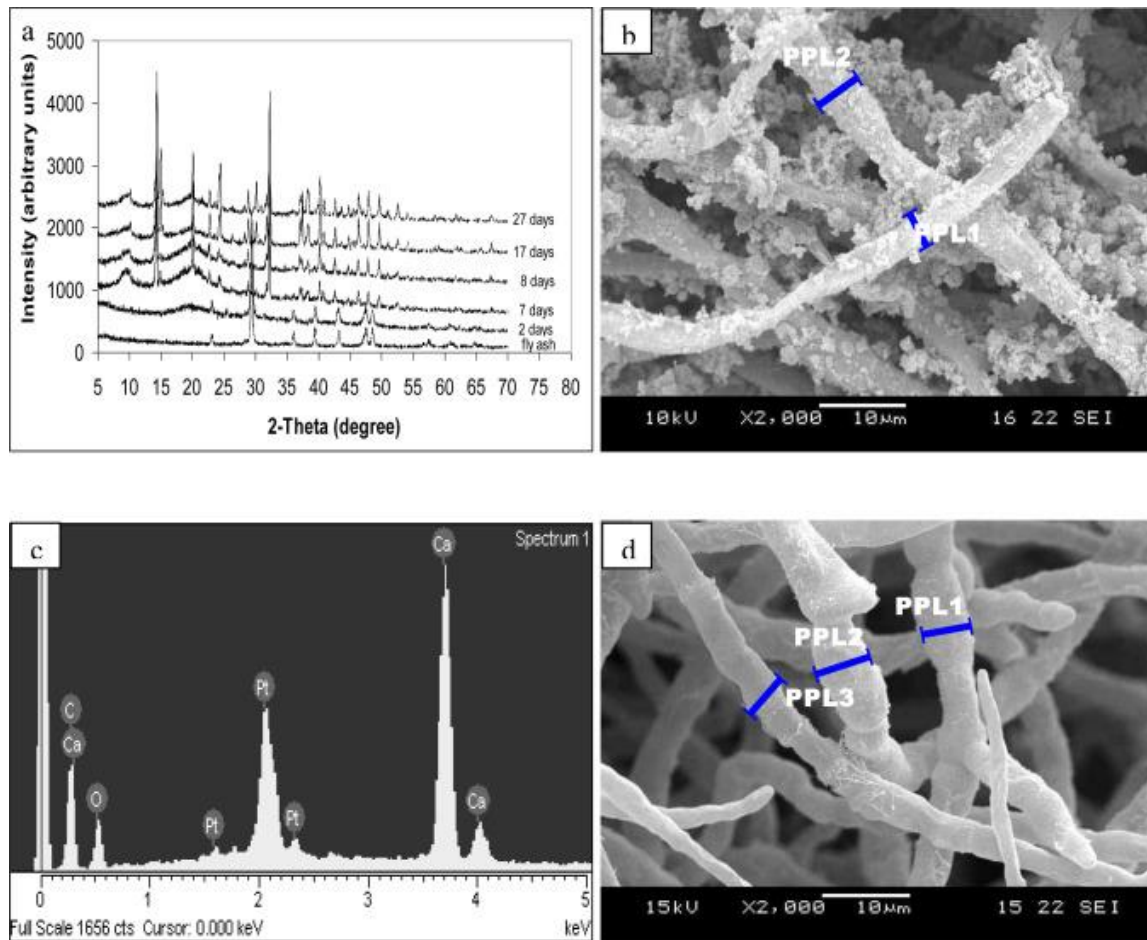
733 Figure X4. (top) Growth of *A. niger* in the presence of fly ash (solid lines are Modified Gompertz model, and

734 no growth was seen at 6% pulp density). Effect of fly ash pulp density (1–6%, w/v) on: (middle) the

735 production of citric acid; (bottom) the bioleaching of zinc. Reproduced with permission from Elsevier

736 (5493670696531) (Xu and Ting, 2009).

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738  
 739 **Figure X5. (a) Undefined XRD analyses of the fungal pellet in two-step bioleaching confirming the formation**  
 740 **of calcium oxalate crystals and dissolution of fly ash particles from Day 7; (b) section of the fungal pellet in**  
 741 **two-step bioleaching on Day 7 showing small particles on the hyphae and increased diameter of hyphae**  
 742 **(PPL1 = 4.45 mm and PPL2 = 5.97mm); (c) EDX analyses of the fungal pellet in two-step bioleaching at Day 7**  
 743 **confirming the presence of calcium oxalate crystals on the fungal surface; (d) surface of the fungal pellet in**  
 744 **two-step bioleaching at Day 8 showing abnormally short, swollen and high-branched hyphae (PPL1 = 5.59**  
 745 **mm, PPL2 = 6.32 mm and PPL3 = 5.32 mm). Reproduced with permission from Elsevier (5493670100485)**  
 746 **(Xu et al., 2014).**

747 **4. Perspectives and Future Developments**

748 Bioleaching applied to metal recovery and other biomining operations (e.g., biooxidation for mineral  
 749 beneficiation, bioremediation of mining waste) can be considered more environmentally friendly than  
 750 traditional methods. The application of bioleaching, which often refers to mimicking natural processes, is one  
 751 of the most prominent methods capable of balancing environmental and economic costs in the waste  
 752 management sector. MSWI residues are mineral assemblage resulting from an elaborated petrogenesis and  
 753 thus after appropriate metallurgical treatments can fit for many potential after-uses. MSWI urban ores show

754 metal concentrations equivalent to low-grade primary ores (Funari et al., 2015), therefore urban mining can  
755 be affordable. Critical raw materials and strategic elements for green and high-tech applications can be  
756 recovered from anthropogenic resources like MSWI residues that are an ever-present flow of loose material  
757 and not natural ores to be drilled/crushed to the detriment of the environment. Many countries with limited  
758 mineral reserves could find urban mines a compelling resource supply and income option. Biotechnologies,  
759 with its relatively low running costs and capital, have a central role in the supply of raw materials and  
760 ecofriendly alternatives and is ideal for remediation and metal recovery from legacy sites and other mining  
761 wastes, even and especially in developing countries (Acevedo, 2002). Some strength points of MSWI residues  
762 bioleaching have been elucidated after about twenty years of laboratory testing at different scales (Rawlings,  
763 2002; Hennebel et al., 2015; Srichandan et al., 2019; Gomes et al., 2020), such as, less energy and solvent  
764 consumption, high boosting potential, easiness to suite existing infrastructures, etc. However, bioleaching of  
765 MSWI BA and FA results slower than chemical leaching, and the state-of-the-art highlights the need of  
766 improvements concerning dissolution kinetics. For industrial rollout, this limiting factor makes the sole  
767 bioprocessing unaffordable and less appealing for MSWI plants than aggressive acid extractions or energy-  
768 demanding physical methods. Despite of the general skepticism in the application of biological process,  
769 feasibility studies ascertain the urgent need to establish process efficiency in appropriate scale reactors or  
770 heaps in order to optimize process, reactor design, and cost-benefit analysis towards cleaner waste  
771 management and minimization of loss of resources in the production chains. The optimization of the  
772 dissolution kinetics to speed up the reaction can be improved by optimizing pH, pulp density, temperature,  
773 pre-treatments, reaction time, and the careful choice of bioleaching bacteria and their nutrients. Certainly, a  
774 profound knowledge of leaching mechanism and behavior of microorganisms is vital for the identification of  
775 new promising species or consortia. Pulp density is another issue that makes the process uneconomic unless  
776 clear water recirculation solutions are developed. Evaluation of the process economics may be properly  
777 examined in the long run after identifying the best metal to recover based on the commercial bioleaching  
778 applications in primary ore mining. Although bioleaching yields are a step below compared to abiotic  
779 leaching, engineered inocula can be tailored to the target materials and express their functionalities to  
780 sustain or prevent metal leaching from the treated waste. Safety measures must be continually adapted to  
781 the desired technology, and fundamental information from basic research is required for process  
782 development. The use of strains from lab collections and indigenous uncultured strains or mixtures from the  
783 natural environments may overcome some limitations, such as the long reaction times to obtain satisfactory  
784 yields. As a fact, it became clearer that mixed cultures instead of monoclonal strain showed synergistic  
785 effects, favoring biomass growth against heavy metals inhibition and maintaining a reasonable trade-off  
786 between microbial community succession and their energy type metabolisms. The use of nutrients, e.g., iron  
787 and sulfur for acidophilic bacteria or organic sources for fungi and cyanobacteria, and the mineral acids/bases

788 to maintain a predetermined pH setpoint can increase overall processing costs (Srichandan et al., 2019).  
789 Moreover, each microorganism must be adapted to the waste material to be treated as its resistance might  
790 strongly depend on the heterogeneity the matrix and standard pH. As in the case of alkaline wastes, such as  
791 MSWI ashes, where acidophile cultures can be unaffordable in bioleaching, additional data on alkaline  
792 bioleaching or fungal bioleaching is required.

793 The reproducibility of MSWI ashes bioprocessing is uncertain due to the lack of pilot-scale treatment  
794 results and considering the significant diversity and obsolescence (lifespan of 20-30 years) of the technologies  
795 used in MSWI management and municipal waste feed heterogeneity. During prototyping phase the  
796 assessment of biological hazards via standardized ecotoxicity tests must be completely developed and  
797 adapted to the proposed technologies. BA and FA contain hazardous substances, such as mobile harmful  
798 elements Pb, As, Mo, Cd, Zn, and Sb, and also organic contaminants such as halides, hormones, prion, ionic  
799 liquids and rare volatile metals like osmium, and other ultrafine particles (Funari et a., 2016; Turner and Filella  
800 2017; Richardson and Kimura 2017; Funari et al., 2020). Target and non-target chemical analyses, as well as  
801 toxicological studies and a number of endpoint metrics (such as antibiotic resistance, genotoxicity, and  
802 superparamagnetism) are necessary to preserve environmental and human health consequences.

803 Reducing carbon footprint could receive attention and leverage the development of bioleaching to  
804 mitigate climate change. For example, carbon sequestration and accelerated carbonation of alkaline wastes  
805 mediated by microorganisms is a promising area (Mayes et al., 2018; Gomes et al., 2020). Further  
806 opportunities are represented by i) fine-tuned bioleaching (enhanced selectivity for specifically chased  
807 metals as Li, Co, Cu, REE); ii) microbial recovery cell (consisting in a combination of galvanic leaching and  
808 bioleaching). In relation to the last case, an electrodynamic in-situ bioleaching can be tested expecting great  
809 results. Several authors argued that some magnetic separates from MSWI residues via electrodynamic  
810 fragmentation (Bluhm et al., 2000; Seifert et al., 2013), can suite as ideal substrate material (e.g., Panda,  
811 2020) which, at some point, could effortlessly combine to electrodynamic bioleaching. In Europe, metal  
812 recovery exploiting ferrous fraction separation has been valued 60-100 € per ton (of MSWI-BA), while the  
813 economic value of the non-ferrous fraction is significantly higher (Šyc et al., 2020). Interestingly, the ferrous  
814 metal fraction >3/4 mm is still virtually unemployed, although it could contain significant amount of precious  
815 metals (Muchova et al., 2009; Holm and Simon, 2017). Since they contain many impurities, these separated  
816 by-products are generally sold to a third party at low cost. Again, fine-tuned bioprocessing can enter the  
817 treatment chains if sufficient trials are available, to achieve better market values. New contractors for MSWI  
818 residues bioprocessing certainly produces new job and business models which, in turn, aside from economic  
819 feasibility, depends on:

- 820 i. geographic location
- 821 ii. desired final quality of recovery

- 822 iii. throughput (i.e., large vs small MSWI plants)
- 823 iv. type of MSWI residue (e.g., MSWI-BA vs MSWI-FA, quenched MSWI-BA vs dry MSWI-BA)
- 824 v. type of treatment plant (e.g., on-site, at the landfill, mobile processing plant)
- 825 vi. space requirements
- 826 vii. proposed technology (e.g., one step vs multistep bioleaching)
- 827 viii. management options for end-products (landfilling vs inert re-use)

828

## 829 Statements

830 -Ethical Approval

831

832 Not applicable

833

834 -Consent to Participate

835

836 Not applicable

837

838 -Consent to Publish

839

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842 -Authors Contributions

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854

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856

857 -Availability of data and materials

858

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