

# Antibacterial Nanoassembled Calix[4]arene Exposing Choline Units Inhibits Biofilm and Motility of Gram Negative Bacteria

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**ABSTRACT:** The high incidence of antibiotic resistance and biofilm associated infections is still a major cause of morbidity and mortality and triggers the need for new antimicrobial drugs and strategies. Nanotechnology is an emerging approach in the search for novel antimicrobial agents. The aim of this study was to investigate the inherent antibacterial effects of a self-assembling amphiphilic choline-calix[4]arene derivative (**Chol-Calix**) against Gram negative bacteria. **Chol-Calix** showed activity against *Escherichia coli* and *Pseudomonas aeruginosa* including antibiotic resistant strains and affected the bacterial biofilm and motility. The activity is likely related to the amphipathicity and cationic surface of **Chol-Calix** nanoassembly that can establish large contact interactions with the bacterial surface. **Chol-Calix** appears a promising candidate in the research of novel nanosized non-conventional antimicrobials.

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**KEYWORDS** Antibacterial, Antibiofilm, Motility Inhibition, Choline-Calix[4]arene, *Escherichia coli*, *Pseudomonas aeruginosa*, Antibiotic Resistance

Diffusion of bacterial infections, low antibiotic turnover, and antibiotic resistance phenomena are serious problems and trigger a strong demand for novel strategies and materials that can manage with these issues. Nanotechnology is emerging as a sound approach to generate novel compounds alternative or complementary to traditional antibiotics.<sup>1</sup> Indeed, nano-antimicrobials might fill the gaps where traditional antibiotics fail and potentially revolutionize antimicrobial therapy. Compared with conventional antimicrobials, nano-antimicrobials offer attractive advantages deriving from their chemical but also physical properties (size, shape) that may lead to fine-tuned interactions with bacterial cells. A nano-antimicrobial may amplify the contact with the bacterial cell surface and might penetrate the bacterium with modalities different from those of small molecules.<sup>2</sup> Moreover, as reported for some ammonium quaternary compounds, the assembly in nanosized aggregates can result in multivalent antibacterial agents with reduced toxicity to eukaryotic cells.<sup>3</sup>

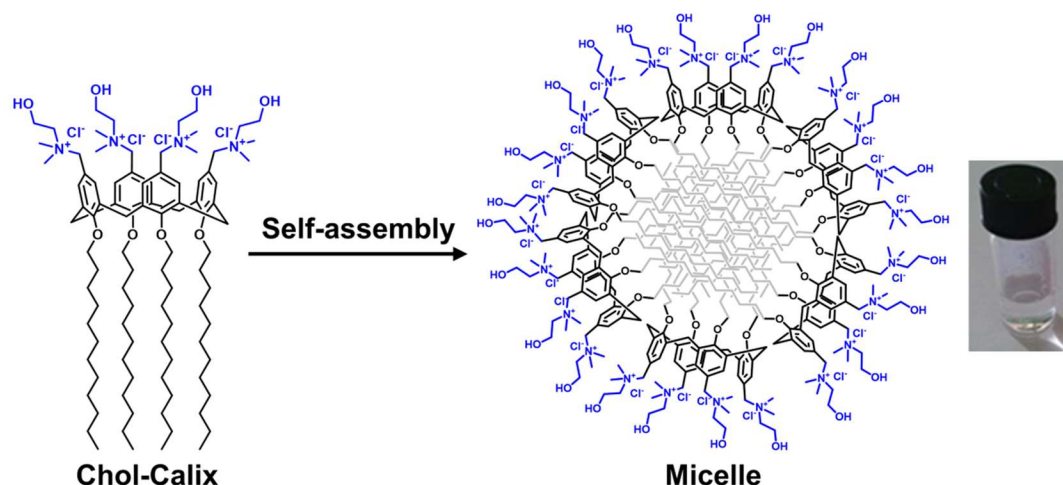
The applications of nanotechnology bases on both inorganic nanoparticles and organic nanoassemblies with intrinsic antibacterial properties or working as nanocarriers for the vehiculation of antibiotics.<sup>4,5</sup>

Calix[n]arenes are a family of macrocyclic polyphenols of great interest in supramolecular chemistry.<sup>6</sup> Due to the presence of a host cavity, synthetic versatility, and ability of amphiphilic derivatives to self-assemble in nanostructured

systems, calix[n]arene oligomers have been proposed as molecular scaffolds in drug discovery<sup>7</sup> and drug delivery<sup>8</sup>. Calixarene derivatives have shown low cytotoxicity and immunogenicity<sup>9</sup> and activity as antitumoral,<sup>10</sup> synthetic vaccine,<sup>11</sup> antimicrobial agents,<sup>12</sup> and more. Antibacterial calixarene derivatives include polycationic,<sup>13</sup> glycosylated<sup>14</sup> and polyanionic<sup>15</sup> derivatives, other than calixarene-coating inorganic nanoparticles.<sup>16,17</sup>

The clustering and spatial organization of multiple bioactive groups on a macrocyclic calix[4]arene platform is a promising approach for developing novel antimicrobial agents that have showed higher antibacterial activity and lower toxicity to eukaryotic cells compared with monomeric analogues<sup>18,19</sup> and known antiseptics.<sup>20</sup> Previously, we demonstrated that the clustering of four *N*-methyl-diethanol ammonium groups on a calix[4]arene scaffold provided a polycationic derivative that showed antibacterial activity against *Staphylococcus aureus* and *S. epidermidis*, including methicillin-resistant strains, and in combination with old antibiotics enhanced the antibiotic effect against a *P. aeruginosa* strain.<sup>21</sup>

Among polycationic calixarenes, a choline-calix[4]arene derivative (**Chol-Calix**), bearing choline groups at the upper rim of a calix[4]arene scaffold and dodecyl alkyl chain at its lower rim (Figure 1), is an amphiphilic compound able to spontaneously self-assemble in stable micellar nanoaggregates.<sup>22,23</sup>



**Figure 1.** Molecular structure of **Chol-Calix**, schematic representation of its self-assembly in a micellar nanoaggregate and picture of the lipid nanoaggregate solution. Adapted with permission from Ref. 28, Copyright 2017, *Molecular Pharmaceutics*.

We previously demonstrated that **Chol-Calix** at low concentration (c.a. 10  $\mu\text{M}$ ) in a biomimetic medium (10 mM PBS, pH 7.4) forms aggregates with diameter around 40 nm, polydispersity index 0.2, and zeta potential +24.7 mV.<sup>22</sup> The **Chol-Calix** micellar nanoassemblies showed to be a promising nanocarrier for poorly water-soluble and easily degradable drugs,<sup>24</sup> old antibiotics (i.e. ofloxacin, chloramphenicol and tetracycline),<sup>25</sup> and photo-responsive molecules eliciting photo-induced antibacterial activity due to the generation of nitric oxide and singlet oxygen radicals.<sup>22,26,27</sup> The potential of **Chol-Calix** as a curcumin delivery system was also successfully proved *in vivo*, in animal models of uveitis<sup>28</sup> and psoriasis.<sup>29,30</sup>

The antibacterial activity reported for polycationic calixarenes and for choline derivatives,<sup>31</sup> suggested that the nanosized **Chol-Calix** might also possess antibacterial properties. The quaternary ammonium and hydroxyl groups of the choline moieties exposed on the surface of the **Chol-Calix** micelle might establish electrostatic and hydrogen bond interactions with the bacterial membrane and as ligands the choline groups might bind choline-transporters expressed on the surface of *E. coli*<sup>32</sup> and *P. aeruginosa*.<sup>33</sup> Amphiphaticity and nanosize of **Chol-Calix** are further advantageous features for larger interactions with the bacterial surface. A limit of cationic antibacterial agents may be the toxicity to human cells and tissues, but previously we observed no significant toxicity of **Chol-Calix** on fibroblasts<sup>22</sup> and corneal cells.<sup>28</sup>

With a view to discovering new antimicrobial compounds, here we investigated whether **Chol-Calix** possesses intrinsic antibacterial activity and affects bacterial biofilm and motility.

The susceptibility of bacteria to **Chol-Calix** was investigated on *E. coli* and *P. aeruginosa* strains by evaluation of the minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC). The values reported in

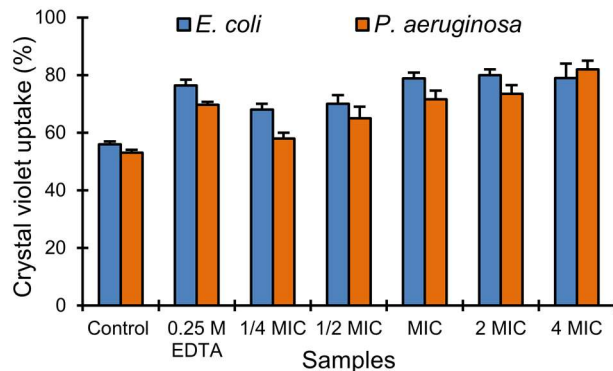
Table 1 were comparable with those of other cationic antibacterial calixarene derivatives<sup>18-21</sup> and showed no significant differences between ATCC and carbapenemase producing *P. aeruginosa* DSM 102273 and ofloxacin-resistant *P. aeruginosa* 1 ocular isolate. The activity on resistant bacteria enhances the appeal of **Chol-Calix** in the search for novel not conventional antimicrobials.

**Table 1.** Antimicrobial activity of **Chol-Calix**.

Strains	MIC ( $\mu\text{g/mL}$ )	MBC ( $\mu\text{g/mL}$ )
<i>E. coli</i> ATCC 10536	18.8	18.8
<i>P. aeruginosa</i> ATCC 9027	9.4	18.8
<i>P. aeruginosa</i> DSM 102273	18.8	18.8
<i>P. aeruginosa</i> 1 ocular isolate	9.4	18.8

The outer membrane of Gram-negative bacteria provides the cell with an effective permeability barrier against external noxious agents but is itself a target for antibacterial agents. It is plausible to hypothesize that, analogously to other polycationic calixarene derivatives,<sup>34</sup> ammonium quaternary compounds,<sup>35</sup> and choline derivatives,<sup>36</sup> **Chol-Calix** elicits its antibacterial action by disturbance of the bacterial membrane. The initial interactions between the positively charged **Chol-Calix** and the negatively charged lipopolysaccharides of the bacterial membrane may be followed by integration of the dodecyl lipophilic tails of **Chol-Calix** into the hydrophobic membrane core with consequent weakening and alteration of the outer membrane integrity. The involvement of the dodecyl chains, that also induce the formation of stable nanoaggregates with enhanced surface contact area, is supported by the higher

antibacterial effect of **Chol-Calix** compared with analogues choline-calix[4]arenes bearing shorter propyl or octyl chains at the calix[4]arene lower rim, against *E. coli* and *P. aeruginosa* ATCC strains.<sup>37</sup>



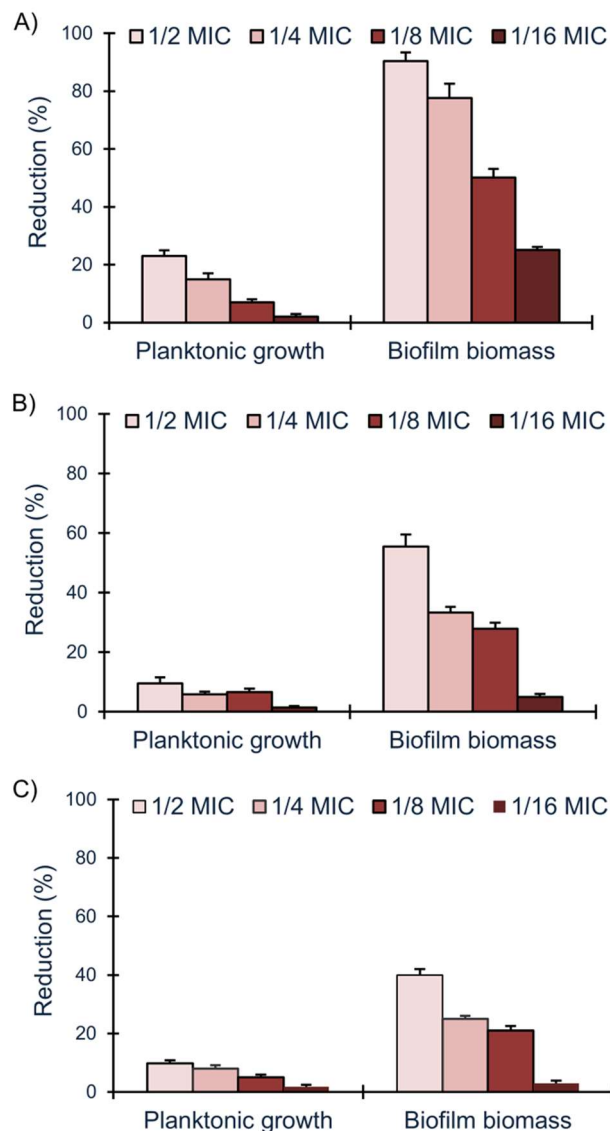
**Figure 2.** Crystal violet uptake assay. Percentage of crystal violet uptake by *E. coli* ATCC 10536 and *P. aeruginosa* ATCC 9027 alone (Control) and after treatment with EDTA and **Chol-Calix** at different concentrations.

To get a preliminary information about the mechanism of action, we performed the crystal violet assay,<sup>38</sup> notoriously used to evaluate the membrane permeabilizing effect of antibacterial agents.<sup>39-41</sup> Data in Figure 2 show that the uptake of crystal violet by *E. coli* and *P. aeruginosa* ATCC strains enhances from 56% and 53% (control) to 76.4% and 69.7% respectively, after treatment with EDTA, a known bacterial outer membrane permeabilizing agent (positive control). Analogously to EDTA, **Chol-Calix** enhanced the uptake of crystal violet in both *E. coli* and *P. aeruginosa* ATCC strains in dose-dependent manner (78.8% and 71.6% respectively at MIC concentration). The uptake values referred to the control were significant ( $p < 0.05$ ) for all samples except for *P. aeruginosa* with **Chol-Calix** at 1/4 MIC. The absence of released intracellular material (data not shown), as indicated by no significant increase of the absorption at 260 nm in the supernatant of the bacterial cells treated with **Chol-Calix** suggested that its activity is confined to the outer bacterial membrane without cell lysis.

Biofilms are microbial communities, held together by a self-produced extracellular matrix, very difficult to eradicate for their poor susceptibility to conventional antimicrobial agents.<sup>42</sup> Having antimicrobial agents that are also active against biofilm is a considerable achievement. Therefore, we investigated the effect of **Chol-Calix** on biofilm formation and preformed biofilm.

Concentrations of **Chol-Calix** lower than the MIC value poorly interfered with the planktonic growth of *E. coli* and *P. aeruginosa*, causing a slight decrease respect to the control, while caused a significant ( $p < 0.05$ ) antibiofilm activity (Figures 3-4 and Table S1). In particular, the antibiofilm effect of **Chol-Calix** was more evident on *E. coli* than *P. aeruginosa*, being the inhibition of biofilm biomass at 1/2 MIC equal to 90.4 % and 40-55% respectively (Figures 3 and 4). Moreover, the results in Figure 3 clearly demonstrated that 1/4 MIC and 1/8 MIC of **Chol-Calix** determined a poor

growth inhibition (14.5 % and 1.8 %) while caused a good biofilm inhibition (77.6 % and 51 %) of *E. coli*. These results were substantiated by observing bacterial biofilms under the light microscope (Figure 4). Direct microscopic observation showed that, after 24 h, in the absence of **Chol-Calix**, bacterial cells formed evident biofilms. In the presence of concentrations of **Chol-Calix** equal to 1/2 and 1/4 MIC, bacterial cells grew as looser colonies and the amount of formed biofilm was reduced, being almost absent at 1/2 MIC for *E. coli* (Figure 4).



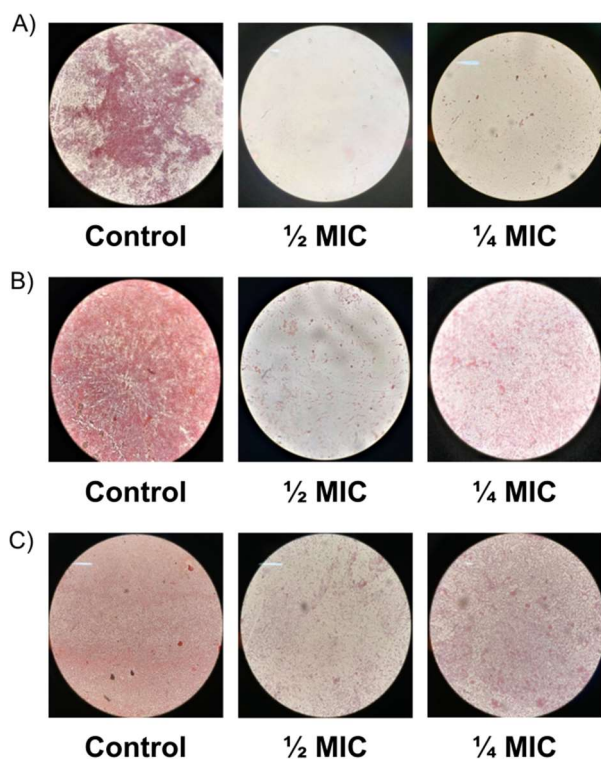
**Figure 3.** Reduction (%) of *E. coli* ATCC 10536 (A), *P. aeruginosa* ATCC 9027 (B) and *P. aeruginosa* 1 ocular isolate (C) planktonic growth and biofilm formation in the presence of various concentrations of **Chol-Calix**.

Cationic compounds with both antibacterial and antibiofilm activity were reported.<sup>43-45</sup> Mechanisms involved in bacterial biofilm formation are multiple and very complex and nowadays are not yet fully understood,<sup>46</sup> therefore to establish the exact antibiofilm mechanism of **Chol-Calix** is not trivial. However, the long dodecyl chains could play a

role in the anti-biofilm activity of **Chol-Calix**, indeed analogue choline-calix[4]arene derivatives, bearing shorter propyl and octyl chains, did not show antibiofilm activity against *E. coli* and *P. aeruginosa* ATCC strains.<sup>36</sup>

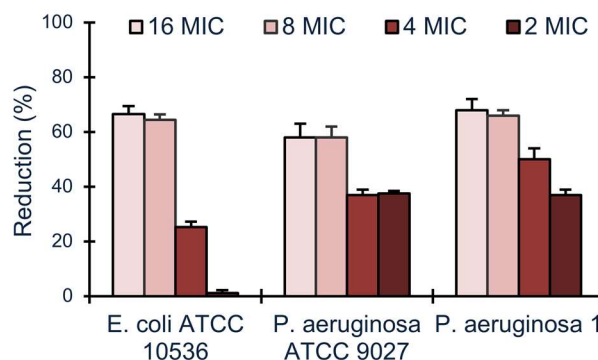
We also evaluated the effect of **Chol-Calix** on preformed biofilms in terms of influence on biofilm biomass. The results documented that **Chol-Calix** was capable to reduce preformed biofilm of both *E. coli* and *P. aeruginosa* ATCC and ofloxacin resistant *P. aeruginosa* 1 ocular isolate (Figure 5, Table S2). For *P. aeruginosa* strains a 37-50% reduction of preformed biofilm was detected at 2 MIC and 4 MIC (18.8 and 37.6 µg/mL) and 58-68% reduction at 8 MIC (75 µg/mL). For *E. coli* a 66.5% reduction was detected at 8 MIC dose corresponding to 150 µg/mL. Compounds reducing preformed biofilm at concentration > 100 µg/mL are present in literature.<sup>47,48</sup> Furthermore, it is remarkable that the potency of **Chol-Calix** may be enhanced by loading an additional antibiofilm agent in the micellar structure, which can entrap bioactive molecules including antibiotics.<sup>25</sup>

The disturbance of the bacterial cell membrane could be responsible for the activity on the biofilm as reported for a choline-based ionic liquid.<sup>49</sup> Moreover, it is plausible thinking that, analogously to other micelles of quaternary ammonium salts,<sup>50</sup> **Chol-Calix** first adsorbs onto the biofilm surface through multicharged interactions, then penetrates the extracellular polymeric matrix, diffuses throughout the biofilm, and reduces the biofilm biomass.



**Figure 4.** Microscopic images of the biofilm formation

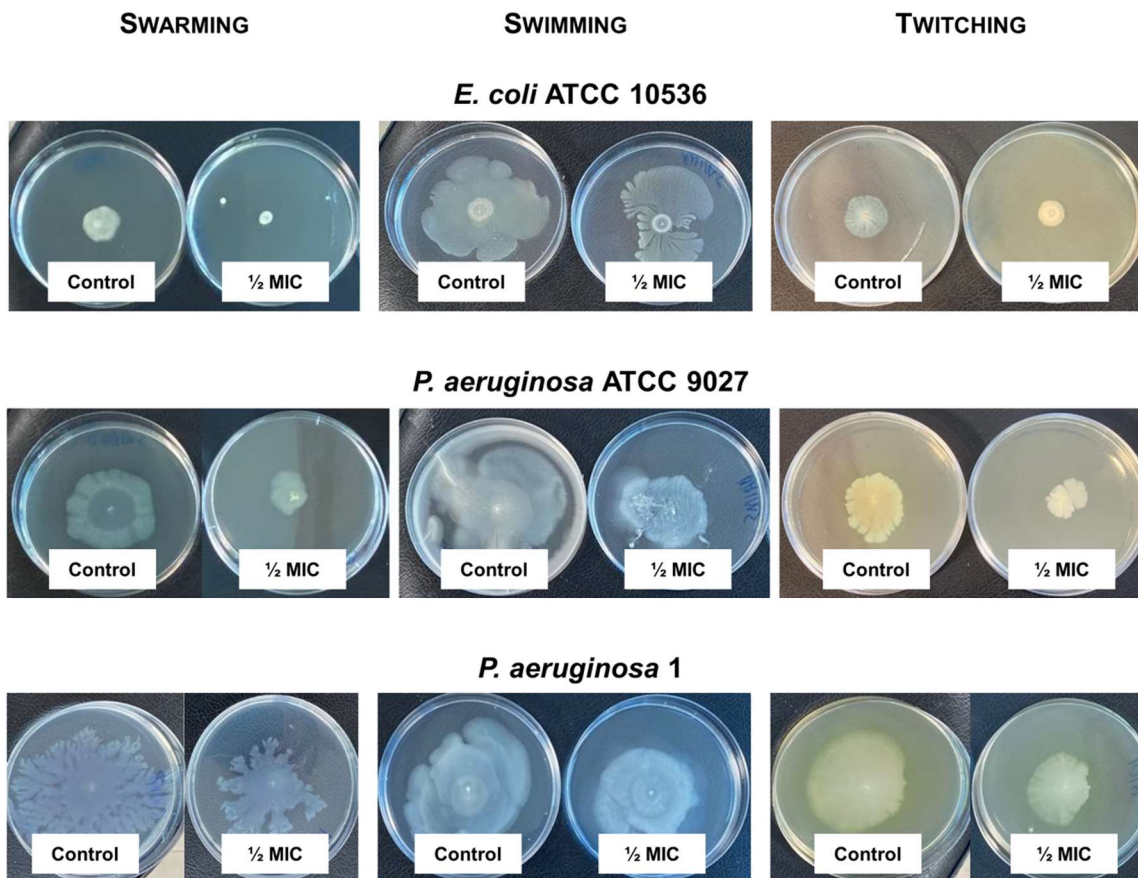
inhibition of *E. coli* (A) ATCC 10536, (B) *P. aeruginosa* ATCC 9027 and (C) *P. aeruginosa* 1 ocular isolate in the presence of various concentrations of **Chol-Calix**.



**Figure 5.** Reduction (%) of preformed biofilm in the presence of various concentrations of **Chol-Calix**.

Motility is important for the survival, dissemination, and virulence of bacteria. Therefore, inhibiting the motility can be a way to attack pathogens.<sup>51</sup> With this in mind, we decided to investigate whether **Chol-Calix** can affect swarming, swimming and twitching motility of *E. coli* and *P. aeruginosa* strains. At the best of our knowledge, this is the first work investigating the effect of an antibacterial candidate built on a calixarene scaffold on bacterial motility.

Swarming is described as a social phenomenon involving the movement of bacteria across a semisolid surface.<sup>52</sup> It is associated with enhanced virulence and antibiotic resistance of various human pathogens, including *P. aeruginosa*.<sup>53</sup> Controlling swarming is of major interest for the development of novel anti-infectives. The pretreatment with **Chol-Calix** at sub-MIC concentrations (1/2 MIC) reduced swarming and twitching of approximately 50 - 60% on *E. coli* and *P. aeruginosa* respectively. A different effect was instead observed on swimming motility, in fact a weaker inhibition was observed for *E. coli* compared to *P. aeruginosa* (Figure 6).



**Figure 6.** Microscopic images showing the swarming, swimming and twitching of *E. coli* ATCC 10536, *P. aeruginosa* ATCC 9027 and *P. aeruginosa* 1, without (control) and with pretreatment with **Chol-Calix** at different sub-MIC concentrations.

The effect of **Chol-Calix** on the biofilm formation and bacterial motility agreed with findings showing that in several Gram-negative bacteria, the motility is critical for both initial surface attachment and subsequent biofilm formation.

In conclusion, in this study we proved that the nanoassembling **Chol-Calix** possesses intrinsic antimicrobial activity against *E. coli* and *P. aeruginosa* strains and affects bacterial biofilm and motility. The effective activity also against antibiotic-resistant strains of *P. aeruginosa*, makes **Chol-Calix** an appealing potential nanosized antibacterial agent. The combination of its intrinsic antibacterial activities with the previously demonstrated advantage as a nanocarrier for drug delivery opens perspectives for application in antibacterial combined multidrug therapy.

#### ASSOCIATED CONTENT

**Supporting Information.** The Supporting Information is available free of charge at.....Materials, Chol-Calix synthesis and characterization (NMR, DLS, TEM), Microbiological methods, Tables reporting the values relative to the effect of Chol-Calix on planktonic growth, biofilm formation and preformed biofilm.

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#### Author Contributions

All authors were involved in the preparation of this manuscript, including the conception and design of the study (G.M.L.C., A.N.), Chol-Calix synthesis and characterization (G.M.L.C., G.Gr.), microbiological studies (G.Gi, A.M, G.T., A.N.),

writing and editing of the manuscript (GML.C., A.N.). All authors have given approval to the final version of the manuscript.

## Notes

The authors declare no competing financial interest.

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## Antibacterial Nanoassembled Calix[4]arene Exposing Choline Units Inhibits Biofilm and Motility of Gram Negative Bacteria

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