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# On the photobehaviour of curcumin in biocompatible hosts: The role of H-abstraction in the photodegradation and photosensitization

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## Abstract

Curcumin (CUR) is a naturally occurring pigment extensively studied due to its therapeutic activity and delivered by suitable nanocarriers to overcome poor solubility in aqueous media. The significant absorption of CUR in the visible blue region has prompted its use as a potential phototherapeutic agent in treating infectious and cancer diseases, although the mechanism underlying the phototoxic effects is still not fully understood. This contribution investigates the photobehaviour of CUR within polymeric micelles, microemulsions, and zein nanoparticles, chosen as biocompatible nanocarriers, and human serum albumin as a representative biomolecule. Spectroscopic studies indicate that in all host systems, the enolic tautomeric form of CUR is converted in a significant amount of the diketo form because of the perturbation of the intramolecular hydrogen bond. This leads to intermolecular H-abstraction from the host components by the lowest excited triplet state of CUR with the formation of the corresponding ketyl radical, detected by nanosecond laser flash photolysis. This radical is oxidized by molecular oxygen, likely generating peroxy and hydroperoxy radical species, unless in Zein, reasonably due to the poor availability of oxygen in the closely packed structure of this nanocarrier. In

35 contrast, no detectable formation of singlet oxygen was revealed in all the  
36 systems. Overall these results highlight the key role of the H-abstraction process  
37 over singlet oxygen sensitization as a primary photochemical pathway strictly  
38 dictated by the specific features of the microenvironment, providing new insights  
39 into the photoreactivity of CUR in biocompatible hosts that can also be useful for  
40 a better understanding of its phototoxicity mechanism.

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42

### 43 **Keywords**

44 Curcumin Light

45 Hydrogen abstraction Drug delivery systems

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## 49 1. Introduction

50 Curcumin (CUR), 1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-hepta- diene-3,5-dione,  
51 is a yellow-orange pigment obtained from the rhizome of *Curcuma longa* [1] and  
52 object massive interest among the scientific community owing to its wide variety of  
53 medicinal properties. CUR has been shown to possess anticancer [2], antioxidant  
54 [3], and wound healing [4] properties, as well as beneficial effects in cardio- vascular  
55 [5] and neurodegenerative diseases [6].

56 One of the most extensively studied effects of CUR is related to its interaction with <sup>2</sup>  
57 visible light due to its intense absorption in the blue region. In this regard, CUR has  
58 been extensively proposed as a suitable photosensitizer (PS) for photodynamic  
59 therapy (PDT) [7–9]. PDT is a promising unconventional treatment for cancer and  
60 bacterial diseases mainly based on the light-induced generation of highly reactive  
61 singlet oxygen (<sup>1</sup>O<sub>2</sub>) [10,11]. This species is produced catalytically by a collisional  
62 energy transfer process between the excited triplet state of a suitable PS and the  
63 nearby molecular oxygen and is accepted to be the main mediator of cytotoxic  
64 reactions in PDT treatments [12,13]. Besides, a less efficient electron transfer  
65 process between the PS and molecular oxygen may lead to the formation of  
66 superoxide anion (O<sup>•-</sup>), which eventually generates other and more cytotoxic  
67 reactive oxygen species (ROS) such as H<sub>2</sub>O<sub>2</sub> and <sup>•</sup>OH. However, phototoxic effects  
68 independent of the presence of oxygen have also been proposed [14].

69 Although extensive literature data report light-induced biological effects of CUR in  
70 many systems, they are not often accompanied by as much data on convincing  
71 evidence regarding the main species responsible for these photoinduced  
72 phenomena. This aspect is quite surprising, taking into account that several studies  
73 carried out in organic solvents and micellar systems have highlighted well that the  
74 photophysical and photochemical properties of CUR are very sensitive to both the  
75 features of the microenvironment (polarity, H-bond, H-donating capability, etc.) [14–  
76 21] and the dominance of either the enolic or diketo form of its tautomeric equilibrium  
77 [22] (Scheme 1).

78 CUR is practically insoluble in water media, and therefore its delivery *via* lipidic or  
79 polymeric vehicles as liposomes, nanoparticles, microspheres, microemulsions,  
80 solid dispersions, and dendrimers has been attempted to increase CUR  
81 bioavailability [23–25]. These approaches, of course, focus on the technological  
82 properties of CUR vehicles but do not consider the fate of CUR upon light irradiation.

83 Therefore, additional efforts to investigate the photochemical properties of CUR in  
84 different host microenvironments can be useful not only for a better under-  
85 standing of its photobehaviour in drug-delivery systems but also for gaining useful insights  
86 into the mechanisms involved in the photobiological effects. To this end, in this  
87 paper, we report a spectroscopic and photochemical investigation on CUR formulated  
88 in Pluronic® polymeric micelles, microemulsions, and zein nanoparticles (NPs),  
89 chosen as biocompatible nanocarriers (Scheme 2), human serum albumin (HSA) as  
90 representative biomolecule and, for the sake of comparison, also in dioxane and  
91 ethanol, chosen as representative solvents with different features.

92

## 93 **2. Results and discussion**

94

### 95 **2.1. Spectroscopic Properties and Steady-State Photolysis of CUR in** 96 **the Host Systems**

97 Mixed micelles of Pluronic®, an oil/water (o/w) microemulsion, and nanoparticles  
98 (NPs) of Zein have been selected as representative nano- carriers able to  
99 incorporate lipophilic molecules with diverse structural characteristics. Pluronic®  
100 micelles are supramolecular structures with a hydrophobic core of polypropylene  
101 oxide able to host hydrophobic molecules and a surrounding shell of  
102 polyoxyethylene. Micelles prepared by a mixture of Pluronic F127 and P123 are  
103 less prone to dissociation in unimers upon dilution in aqueous media due to a lower  
104 critical micelle concentration than the single components [26]. The micro-  
105 emulsion formulation contains Labrasol® and Lauroglycol® FCC, two lipophilic components  
106 with well-known biocompatibility and surfactant properties able to act as a solubilizer  
107 for poorly soluble molecules. The w/o microemulsion is spontaneously formed under  
108 low mixing rates from Labrasol®/Lauroglycol® FCC mixture and water at  
109 appropriate ratios. The lipophilic components allow the solubilization of lipophilic  
110 compounds that remain mainly confined in the oily phase. Both pluronic micelles and  
111 microemulsions share the common feature of being transparent to light [26,27] and  
112 have been proposed as nanocarriers suitable for PDT with CUR [26,27]. Zein, a  
113 hydrophobic protein soluble in volatile organic solvents, gives colloidal dispersions  
114 of NPs with a typical positive surface due to the presence of glutamine loops in the  
115 primary protein structure. Zein NPs incorporate lipophilic molecules in the hy-

116 drophobic pockets, can be diluted in water, and scatter visible light as a function of  
117 their size, like many other polymeric NPs.

118 [Table 1](#) reports the properties of the different biocompatible nanocarriers with CUR.  
119 The amount of CUR in the Pluronic® micelles and the microemulsion was adjusted to  
120 allow photophysical characterization, thus avoiding any sample dilution that could  
121 alter the structure of the nanocarriers and promote CUR precipitation. All the steps  
122 were carried out by protecting the sample from the environmental light. Pluronic®  
123 micelles prepared by the thin-film hydration incorporate CUR quantitatively, are small  
124 with low polydispersity, and are transparent to light. The composition of the  
125 prototype microemulsion containing Labrasol®:Lauroglycol® FCC: water at 20:2.5:77.5  
126 v/v/v was based on the pseudo-ternary phase diagrams described previously [28], and  
127 the solubility of CUR in the oily phase. Remarkably, the microemulsion allowed the  
128 dissolution of CUR up to 1 mg/mL due to the solubility enhancer activity of the oily com-  
129 ponents. The microemulsion is formed by tiny particles with a narrow size distribution  
130 and a slightly negative Z potential ( $\zeta$ ) and is transparent to light. Zein NPs show a larger  
131  $D_H$  with a low polydispersity and a positive  $\zeta$  due to glutamine loops on the NP surface.  
132 The encapsulation efficiency of CUR in NPs was almost complete and the sample could  
133 be diluted in water without any change of size (data not shown).

134 As anticipated in the introduction, CUR may exist in two tautomeric forms whose  
135 abundance is strictly dependent on both microenvironment polarity and H-bond  
136 capability. [Fig. 1A](#) shows the normalized UV-Vis absorption spectra of CUR  
137 encapsulated within Pluronic® polymeric micelles, an o/w microemulsion, and Zein  
138 NPs, associated with HSA and, for the sake of comparison solubilized in dioxane  
139 and ethanol. In dioxane, a solvent with no H-bonding capability, the main absorption  
140 band of CUR is well structured and shows a maximum at 420 nm and two shoulders at  
141 400 and 440 nm, respectively. According to the literature [15–18], these spectral  
142 features are similar to those observed in other aprotic solvents and are due to the  
143 exclusive presence of the enolic form of CUR in the ground state. In ethanol, a more  
144 polar solvent with H-bonding capability, the absorption loses the vibronic structure,  
145 broadens, and significantly shifts to the red. This behaviour results from  
146 intermolecular H-bonds with the solvent, which prevail on the intramolecular one,  
147 shifting the equilibrium of [Scheme 1](#) towards the diketo form [15–18].

148 The absorption spectra of CUR observed in the presence of Pluronic® micelles and the  
149 microemulsion are characterized by a significant broadening and a red shift

150 compared to dioxane but still exhibit some vibronic structure which, in contrast, is  
151 almost completely lost when CUR is encapsulated within zein NPs and HSA and is  
152 accompanied by an absorption extending beyond 500 nm.

153 The normalized fluorescence emission spectra of CUR are reported in [Fig. 1B](#).  
154 Analogously to the absorption, the spectrum in dioxane shows a vibrational structure  
155 that is lost in ethanol, where the emission band is also significantly broadened and  
156 red-shifted. The fluorescence in the Pluronic® micelles and the microemulsion is  
157 quite similar, showing the absence of any vibrational structure, a broadening of the  
158 emission band, and a red shift compared to that in dioxane. The emission band is  
159 even more broadened and red-shifted (ca. 15 nm) when CUR is encapsulated in  
160 zein NPs and HSA. However, in all cases, the effects observed are less pronounced  
161 than those observed in ethanol solution.

162 This spectroscopic scenario is very similar to that found for CUR either solubilized in  
163 dioxane-water mixtures with different water content [21] or encapsulated in surfactant  
164 micelles of TX-100 [18]. It indicates that CUR resides in a quite hydrophobic  
165 microenvironment but can form intermolecular H-bonds more likely with water molecules at  
166 the interface, confined within the host systems, or both. In the case of zein NPs and HSA,  
167 the less structured spectral shape and the larger red shift observed in the absorption spectra  
168 can also be due to the additional participation of H atoms of the protein scaffolds in  
169 intermolecular H-bonds with CUR, according to the well-established binding of CUR with  
170 hydrophobic pockets of albumins [29–31]. In these cases, the additional absorption  
171 extending beyond 500 nm is reasonably due to a small population of deprotonated CUR as  
172 already reported in the literature [18] and, only for zein NPs, also to their typical scattering.  
173 Overall, the spectroscopic results suggest that all the investigated hosts shift the tautomeric  
174 equilibrium of CUR towards the diketo form, which is present in considerable amounts under  
175 these experimental conditions.

176 Steady-state photolysis experiments were then performed under anaerobic conditions by  
177 exciting the host-guest complexes with visible light. The photolysis profile was very similar  
178 in all cases, showing a significant bleaching of the main absorption band in the visible region,  
179 a slight increase at ca. 330 nm, and a not very clear isosbestic point at ca. 360 nm. This  
180 finding accounts for a similar nature of the photodecomposition process. Representative  
181 spectral changes observed in the case of CUR encapsulated within Pluronic® \_micelles are  
182 reported in [Fig. 2A](#). The rate of photobleaching ([Fig. 2B](#)), monitored at the maximum  
183 absorption of each sample and calculated at the early stage of the photoreactions, was

184 comparable in the case of polymeric micelles and microemulsions but was significantly  
185 smaller (ca. 4–5-fold) in the case of zein NPs and HSA. Note that such a result cannot  
186 trivially be attributable to a different amount of photons absorbed by the different samples  
187 since, in all cases, the differences in the absorbances at the excitation wavelength are below  
188 5%. The triplet state of any PS is the key intermediate for its photodynamic action. In the  
189 case of CUR, the triplet behaviour can be drastically different depending on the  
190 predominance of the enolic or diketonic form and the presence of a microenvironment with  
191 abstractable H-atom. In their excellent paper, Ortica and Rodgers well highlighted the  
192 different triplet dynamics of CUR [21]. They elegantly showed that when CUR is in a solvent  
193 with abstractable hydrogens but that does not allow intermolecular H-bonds (i.e., dioxane),  
194 leaving the enolic form dominating, it does not behave like a typical carbonyl compound viz  
195 hydrogen abstraction by the triplet state. On the other hand, when intermolecular H-bonding  
196 to solvent occurs (i.e., adding water to dioxane) and the diketo form is present, CUR  
197 behaves like a typical carbonyl compound and hydrogen abstraction by the triplet state takes  
198 place from solvents with abstractable H atom (i.e., dioxane or alcohols) [21]. The  
199 spectroscopic features illustrated in Fig. 1 and discussed above clearly show that in all the  
200 host systems investigated, the diketo form of CUR is clearly present in good amounts.  
201 Moreover, all the host systems are rich in many easily abstractable H atoms. Therefore,  
202 based on these considerations, we believe that the H-abstraction from the triplet state of  
203 CUR may occur in all cases. As illustrated in Scheme 3, the photoreduction process leads  
204 to the formation of a ketyl radical as a key intermediate in the CUR photodecomposition (see  
205 next section) and subsequent stable photoproducts in which the chromophore conjugation  
206 is lost, in agreement with the photobleaching observed. CUR has been extensively proposed  
207 as a suitable PS for PDT [7–9]. Here the most active cytotoxic species is the  $^1\text{O}_2$ , formed by  
208 collisional energy transfer between the triplet state of the PS and the surrounding molecular  
209 oxygen. However,  $^1\text{O}_2$  generation with acceptable quantum yields  $\Phi_{\Delta} = 0.11$ , has been  
210 reported only in non-polar and not H-bonding solvents [15]. This value drops more than one  
211 order of magnitude down in ethanol [15], whereas an average value of  $\Phi_{\Delta} = 0.04$  has been  
212 recently reported for CUR embedded in liposomes [19]. Thus, we investigated the  
213 photogeneration of  $^1\text{O}_2$  by CUR in the host systems and, for comparison, in dioxane as a  
214 reference solvent. The most suitable method to detect  $^1\text{O}_2$  is direct monitoring by its typical  
215 and diagnostic phosphorescence in the near-IR spectral window, which exhibits a maximum  
216 at 1270 nm [13]. As shown in Fig. 3, a clear  $^1\text{O}_2$  signal was detected for CUR dissolved in  
217 dioxane, where the enolic form dominates, whereas no detectable  $^1\text{O}_2$  luminescence was



218 revealed in any of the host systems. These results suggest that the H-abstraction process  
219 is the more likely deactivation pathway of the triplet state of the diketo form and accords well  
220 with literature data reporting no formation of  $^1\text{O}_2$  by CUR encapsulated in different types of  
221 micellar systems [15]. The lack of  $^1\text{O}_2$  photogeneration can also be the result of a lifetime of  
222 the CUR triplet lifetime in the hosts short enough to make the diffusional quenching by  
223 oxygen not competitive with the triplet decay (see next section).

224

## 225 **2.2. Nanosecond Laser Flash Photolysis**

226 Nanosecond laser flash photolysis is a powerful tool for obtaining spectroscopic and kinetic  
227 information into photochemically generated transient intermediates. Fig. 4 shows the  
228 transient absorption spectra recorded at different delay times after 355 nm pulsed laser  
229 excitation of optically matched samples of CUR in dioxane, used as reference solvent, and  
230 encapsulated in the various host systems dispersed in water. The spectrum in dioxane (Fig.  
231 4A) shows a broad absorption extending beyond 700 nm, whose decay is not accompanied  
232 by the concurrent formation of any further transient. The decay is mono-exponential with a  
233  $k_{\text{obs}} \sim 3.3 \times 10^5 \text{ s}^{-1}$  (inset Fig. 4A) and is significantly quenched by oxygen with a  
234 quenching constant  $k_{\text{q}}(\text{O}_2) \sim 1 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ . According to the literature [20,21],  
235 these spectral and kinetic features are unambiguously assigned to the lowest triplet state of  
236 CUR, which is exclusively present under the enolic form in this solvent. Furthermore, the  
237 relevant quenching by oxygen is in excellent agreement with the observed generation of  
238  $^1\text{O}_2$  reported in Fig. 3. Interestingly, excitation of CUR in host systems leads in all cases to  
239 a transient absorption at the earlier delay time compared with the laser pulse, which is  
240 characterized by both a maximum at ca. 490–500 nm and a broad absorption extending in  
241 the red region (Fig. 4B-E). These spectral features are very similar to those reported by  
242 Ortica and Rodgers for the excitation of CUR in a mixture dioxane water 1:1 (v:v) and  
243 assigned by the authors to the ketyl radical produced after H-abstraction by the diketo form,  
244 dominant in this solvent mixture, of CUR triplet state from dioxane [21]. Based on these  
245 similarities, in our case, the transient observed can be attributed to the ketyl radical  
246 generated after H-abstraction by the triplet state of CUR from the host systems that offer  
247 several promptly abstractable H atoms. This scenario is in good agreement with the large  
248 abundance of the diketo tautomer of CUR demonstrated by the steady-state absorption and  
249 emission data, whose presence is indispensable for the H-abstraction to occur (see Fig. 1).  
250 The lack of the transient absorption of the triplet state of CUR in the host systems observed  
251 at 0.6  $\mu\text{s}$  after the laser pulse accounts for a triplet lifetime shorter than 0.6  $\mu\text{s}$ . This

252 observation accords very well with the inefficiency of CUR in producing  $^1O_2$ . In fact, even  
253 estimating a diffusion-controlled quenching constant of the triplet state by oxygen (ca.  $1 \times$   
254  $10^9 \text{ M}^{-1} \text{ s}^{-1}$ ) and considering an upper limit for the triplet decay of  $0.6 \mu\text{s}$ , the fraction of triplet  
255 quenched by oxygen present in solution (ca.  $2.6 \times 10^{-4} \text{ M}$ ) would be about 10%, resulting in  
256 an almost complete inefficiency of  $^1O_2$  photosensitization. We believe that the short value  
257 of the CUR triplet under our experimental conditions can be the result not only of the H-  
258 abstraction process but also of the solvent effect of water molecules, inevitably present at  
259 the periphery or inside the host systems, on the keto-enolic equilibrium. This hypothesis is  
260 in agreement with what already proposed by Ortica and Rodgers, who observed a constant  
261 decrease of the triplet lifetime of CUR in dioxane:water mixtures upon increasing the water  
262 content up to a limit value below  $0.01 \mu\text{s}$  observed in a 1:1 (v:v) mixture [21]. One interesting  
263 aspect to be highlighted is now related to the intensity of the transient absorption of the ketyl  
264 radical generated. Inspection of the transient spectra of Fig. 4B-E recorded  $0.6 \mu\text{s}$  after the  
265 pulse shows quite similar absorbance values in the case of Pluronic® \_micelles and the  
266 microemulsion and values ca. 4–5 fold smaller in the case of zein NPs and HSA. Since in  
267 all samples, the CUR absorption at the excitation wavelength is very similar, and that  
268 relevant differences in the extinction coefficient of the ketyl radical in the different hosts are  
269 very unlikely, the differences found in the transient absorption can be reasonably attributed  
270 to different amounts of the ketyl radical generated in the different samples. Such differences  
271 match very well the rate of the photobleaching observed in the steady-state photolysis  
272 experiments reported in Fig. 2B, which showed comparable rates for Pluronic® \_micelles  
273 and the microemulsion and values ca. 4–5 fold smaller for zein NPs and HSA. This  
274 comparison provides strong evidence for the H-abstraction being the main  
275 photodeactivation process of the triplet state of CUR encapsulated in the hosts. The different  
276 efficiency in photoreactivity can be tentatively attributed to a reduced yield of intersystem  
277 crossing (ISC) of CUR in the protein-based hosts. This is not surprising if one considers that  
278 the confinement of many drugs in specific hydrophobic pockets with more steric constraints  
279 compared with micelles and microemulsions have shown to favour other deactivation  
280 pathways from the singlet state competitive with ISC (i.e. fluorescence) [32]. For CUR, this  
281 has already been reported when it complexed with albumin [29] and other systems  
282 mimicking the hydrophobic pockets of proteins such as cucurbituril [33] and cyclodextrins  
283 [34], in which a significant increase of fluorescence has been observed. The decays of the  
284 ketyl radical are illustrated in Fig. 5. In all cases, we observed the largest part of the decay  
285 being mono-exponential with lifetimes of ca.  $7.5 \mu\text{s}$  in Pluronic® \_micelles and

286 microemulsions (Fig. 5A,B) and ca. 20  $\mu\text{s}$  in Zein NPs and HSA (Fig. 5C,D). The ketyl radical  
287 decay is quenched by oxygen (traces b in Fig. 5) unless in zein NPs. The bimolecular  
288 constants,  $k_q(\text{O}_2)$ , were estimated by eq. 1:

289

$$290 \quad k_{\text{obs}} = k_0 + k_q (\text{O}_2) [\text{O}_2] \quad (1)$$

291

292 where  $k_{\text{obs}}$  and  $k_0$  are the experimental values for the pseudo-first and first-order decay of  
293 the ketyl radical observed under air-equilibrated and  $\text{N}_2$ -saturated conditions, respectively,  
294 and  $[\text{O}_2]$  is the concentration of oxygen (ca.  $2.6 \times 10^{-4} \text{ M}$ ). We obtained  $k_q(\text{O}_2)$  ca.  $1 \times 10^9$   
295  $\text{M}^{-1} \text{ s}^{-1}$  in the case of Pluronic® micelles and the microemulsion and ca.  $1 \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$  in the  
296 case of HSA. While the latter agrees with those typically expected for a CUR-derived  
297 resonance-stabilized carbon centred radical [21,35], the former is quite surprising. We  
298 believe that such a quenching constant can be overestimated due to the larger oxygen  
299 concentration actually present in the highly hydrophobic environment of the oily phase in  
300 microemulsion and polypropylene oxide polymeric chains, which, of course, affects the  
301 calculation of  $k_q(\text{O}_2)$  by eq. 1. As far as the lack of quenching observed in the case of zein  
302 NPs is concerned, this might be the result of the more densely packed structure of this type  
303 of NPs compared with HSA, which significantly hinders either access or diffusion of oxygen  
304 to the radical centre. Such an effect is not uncommon and has been frequently observed for  
305 oxygen-sensitive transient species confined within very restricted microenvironments [32].  
306 Overall, our results demonstrate that in all the host systems, the well-known general  
307 mechanism typically observed for the aromatic ketone triplets (i.e., benzophenone) confined  
308 in host systems with H-abstractable hydrogens [36–39] may also apply for CUR triplet, as  
309 summarized in Scheme 4 and discussed below. H-abstraction by the triplet state of CUR  
310 takes place inside the host systems and leads to the formation of triplet radical pairs, the  
311 ketyl radical ( $\text{CURH}\cdot$ ), and a host-confined carbon-centered radical ( $\text{R}\cdot$ ). After ISC, they can  
312 lead to intra-host covalent recombination products. Besides, the ketyl radical can escape  
313 the host destroying the germinate nature of the radical pair. According to the literature, the  
314 first-order decay of the ketyl radical observed in our case ( $k_{\text{obs}}$ ) corresponds to the sum of  
315 the  $k_{\text{ISC}}$  and  $k_{\text{esc}}$  processes [38]. This interpretation accords well with the observation that,  
316 despite radical-radical combination reactions in homogeneous solutions are usually  
317 bimolecular and second-order, these decay processes in confined spaces such as micelles,  
318 polymeric matrices, or cyclodextrin cavities are still bimolecular but following first-order  
319 kinetics [38–41]. As reported in the seminal papers by Scaiano et al. [38], although the

320 escape of the ketyl radical destroys the germinate radical pair, it does not destroy the  
321 absorption of the ketyl radical chromophore, which, in principle, can be detectable over  
322 longer time scales. The kinetic traces observed in our cases show only a small residual  
323 absorption after the first-order kinetics were completed. This can be due to either only a  
324 small fraction of ketyl radical actually escaped or to a fast formation of decomposition  
325 products occurring in the bulk solution. When oxygen is present, the quenching on the ketyl  
326 radical decay becomes competitive with the formation of an intra-host recombination  
327 product. In our case, reaction with molecular oxygen leads more likely to the formation of  
328 the peroxy radical CURHOO•, which, in principle, may also eliminate the hydroperoxyl  
329 radical HOO•, according to what has already been observed for other ketyl radical  
330 derivatives [42]. Of course, peroxy radicals are inevitably formed also through the reaction  
331 of oxygen with the host-confined carbon-centred radical R• \_which, in contrast to CURH•  
332 \_has a very low translational mobility.

333

### 334 **3. Conclusions and Remarks**

335 The present study provides intriguing insights into the photobehaviour of CUR encapsulated  
336 in different host systems such as polymeric micelles, microemulsions, zein NPs, and HSA  
337 and further underlines the importance of the microenvironment in dictating the CUR  
338 behaviour under light excitation. In all cases, CUR is largely present under the diketo  
339 tautomeric form, due to favourable intermolecular H-bonding with water molecules present  
340 in the hosts and, in the case of the protein-based systems, probably also with H-bonding  
341 protein components. In contrast to the enolic form, the diketo tautomer exhibits the typical  
342 reactivity of carbonyl compound viz hydrogen abstraction by the triplet state, leading to the  
343 formation of the CUR-derived ketyl radical due to the large presence of easily abstractable  
344 H atoms present in the host systems explored. A large part of this radical recombines with  
345 the counterpart host-confined radical produced after H-abstraction, generating intra-host  
346 covalent products and, in the presence of oxygen, is oxidized by molecular oxygen,  
347 generating more likely peroxy radical species. H-abstraction leads to the loss of the highly  
348 conjugated structure of the CUR chromophore, leading to UV-absorbing stable products  
349 responsible for the photobleaching observed upon steady-state irradiation. The  
350 photobleaching rate is in good agreement with the amount of the ketyl radical detected with  
351 time-resolved experiments indicating that the H-abstraction is the primary process  
352 responsible for CUR photodecomposition. The triplet state of CUR is short-lived because of  
353 a combination of the effective H-abstraction reaction and the solvent effect of water

354 molecules present in the hosts on the keto-enolic equilibrium. This makes the triplet state  
355 quenching by molecular oxygen inefficient, resulting in the lack of  $^1O_2$  photogeneration.  
356 Taking into account that polymeric micelles, microemulsions, and zein NPs can be  
357 considered not only carrier systems to solubilize and deliver CUR but also biological  
358 mimicking media, our findings suggest a scenario in which the photodynamic inactivation of  
359 bacteria or cancer cells induced by CUR, maybe is not mediated by  $^1O_2$ , as extensively  
360 reported without direct evidence on its photochemical generation. Rather, cytotoxic effects  
361 initiated by H-abstraction processes, in which the role of peroxy radical thereafter formed  
362 by the reaction with molecular oxygen can be crucial, need to be considered. The results  
363 obtained also deserve some comments regarding the actual view of CUR as PS. Some of  
364 the ideal pre-requisites for a good PS include i) strong absorption in the red region, ii) high  
365 ISC to triplet and high  $^1O_2$  quantum yields, iii) low tendency to auto-oxidation by  $^1O_2$  and  
366 iv) preferable water solubility [11,12,43]. CUR does not possess any of these features. In  
367 fact, it shows i) absorption confined to the not biologically relevant blue spectral window with  
368 absorption molar coefficient smaller than those exhibited by typical photosensitizers such  
369 as porphyrin and BODIPY derivatives [15]; ii) small, upper limit for ISC and  $^1O_2$  quantum  
370 yields of ca. 0.1 only in organic solvents such as toluene, benzene and acetonitrile, values  
371 that drop significantly down in more polar and H-bonding solvents [16]; iii) high reactivity  
372 with  $^1O_2$ , comparable with that of typical antioxidants [44]; iv) very low solubility in aqueous  
373 media. Based on these considerations, we wonder why CUR continues to attract such a  
374 wide interest as a PS and if it really deserves such a high reputation and attention from the  
375 scientific community. The last aspect we like to highlight is related to using CUR formulations  
376 for topical applications as a remedy for preventing and treating skin aging and disorders,  
377 which raises serious doubts. Accumulation of CUR in the skin leads to direct absorption of  
378 the environmental light and, as recently also emphasized by Becker and coworkers [19],  
379 can lead to a more pronounced phototoxic effect when localized in a lipophilic environment.  
380 Considering that many formulations possess some features common to those of the carrier  
381 systems explored herein, the use of CUR, and the potential of adverse, uncontrolled, and  
382 undesired CUR side effects triggered by light on patients cannot be underestimated.  
383 Therefore the skin application of CUR is advisable to be avoided, or at least one should pay  
384 attention to exposure to environmental light.

385

## 386 **4. Experimental Section**

### 387 **4.1. Materials**

388 CUR (C7727,  $\geq 94\%$  curcuminoid content,  $\geq 80\%$  Curcumin), Pluronic® \_P123 (EO20–  
389 PO70–EO20, MW 5750 g mol<sup>-1</sup>), Pluronic® \_F127 (EO100–PO70–EO100, MW 12,600 g  
390 mol<sup>-1</sup>), and HSA were purchased from Merck KGaA (Germany). Maize zein (Z) (F4400C  
391 non-GMO/food grade) was a kind gift from Flo Chemical Corporation (Ashburnham, MA,  
392 USA). Caprylocaproyl macrogol-8 glycerides (Labrasol®) and propylene glycol monolaurate  
393 (Lauroglycol 90®) were a kind gift from Gattefoss'e (France). Dioxane and ethanol were  
394 purchased from Carlo Erba Reagents and were spectrophotometric grade. Deionized ultra-  
395 filtered water was used throughout this study.

## 396 **4.2. Sample Preparation**

### 397 4.2.1. Pluronic® Micelles

398 Empty and loaded micelles were prepared by the thin-film hydration method [29]. Briefly,  
399 100  $\mu$ L of an ethanolic CUR stock solution (0.2 mg/ mL) and 20 mg of Pluronic® \_ (10 mg of  
400 P123 and 10 mg of F127) were dissolved in absolute ethanol (2 mL) in a round-bottom flask.  
401 Then, the solvent was evaporated by rotary evaporation (45 .C, 25 min) to obtain a film. The  
402 film was left under vacuum overnight to remove residual solvent. After that, the dried film  
403 was hydrated with 2 mL of water, and the material was sonicated (10 min), giving a micellar  
404 solution. This solution was filtered (0.22 mm filters, RC Chemtek, Italy) to remove the  
405 unincorporated drug or possible large cylindrical aggregates formed by P123.

406

### 407 4.2.2. Microemulsion

408 The microemulsion composition was based on the ternary diagram reported previously by  
409 us defining boundaries of the microemulsion domain [28]. CUR was dissolved directly into  
410 the Lauroglycol 90®/ Labrasol® \_oily phase. A preliminary test was carried out to define the  
411 optimal component ratio providing a microemulsion with high curcumin solubilizing capacity  
412 and extended colloidal stability. The best-performing prototype was prepared by mixing  
413 Lauroglycol 90® \_ (0.125 mL) and Labrasol® \_ (1 mL) (300 rpm; 30 min; 40 .C) and then  
414 adding CUR (0.05 mg from 50  $\mu$ L of a stock 1 mg/mL in acetone). After that, 5 mL of water  
415 were added under magnetic stirring (700 rpm), achieving spontaneous emulsification. The  
416 microemulsion was stirred at room temperature for a further 30 min and then left to stabilize  
417 for 12 h.

418

### 419 4.2.3. Zein NPs

420 NPs were fabricated by the modified liquid-liquid dispersion method as previously described  
421 [45]. Briefly, Zein (200 mg) was dissolved in ethanol/water 80% v/v (10 mL) at room  
422 temperature under magnetic stirring. After that, CUR (5 mg) was added to the zein solution  
423 until complete solubilization. NPs were formed by adding 50 mL of water to the  
424 hydroalcoholic solution under stirring for 15 min and then evaporating ethanol under  
425 vacuum. NPs were collected by centrifugation (21,700 g for 30 min), washed thrice with  
426 water, and stored at 4 °C. 4.2.4. *HSA-CUR Complex* 100 µL of an ethanolic CUR stock  
427 solution (0.2 mg/mL) was evaporated under vacuum to obtain a thin film. Then, 2 mL of an  
428 aqueous solution of 5·10<sup>-5</sup> M HSA was added, and the sample stirred for 3 h at room  
429 temperature.

430

### 431 **4.3. Instrumentations**

432 The average hydrodynamic diameter ( $D_H$ ) and polydispersity index (PI) were evaluated on  
433 a Zetasizer® Nano-ZS (Malvern Instruments, UK). The zeta potential was calculated from  
434 the electrophoretic mobility values determined by laser Doppler anemometry (LDA) on the  
435 same apparatus. Measurements were performed at a temperature of 25 °C. UV/Vis  
436 absorption and fluorescence spectra were recorded in a quartz cell 1.0 cm path length, 3  
437 mL capacity, on a Jasco V-560 spectrophotometer and Fluorolog-2 (Model, F-111)  
438 spectrofluorometer, respectively.

439

### 440 **4.4. Sample Characterization**

#### 441 4.4.1. Size and Surface Charge

442 The average hydrodynamic diameter ( $D_H$ ), polydispersity index (PI), and zeta potential ( $\zeta$ ) of  
443 the nanocarriers were determined by Dynamic Light Scattering (DLS) and electrophoretic  
444 mobility, respectively. After preparation, Zein NP were diluted 10 folds in Milli-Q water and  
445 tested at 25 °C, whereas Pluronic® micelles and the microemulsion were analyzed as such.  
446 Results are reported as the mean of three separate measurements on three different  
447 batches  $\pm$  standard deviation (SD).

448

#### 449 4.4.2. CUR Content

450 CUR amount entrapped inside Zein NPs was evaluated by an indirect and a direct method.  
451 For the indirect method, NPs were centrifuged (21,700 g for 15 min), and the supernatant  
452 containing the unloaded drug was collected. An aliquot of supernatant (0.1 mL) was diluted

453 in ethanol/water 80% v/v (1 mL) and analyzed for CUR content. In the direct method, NPs  
454 were freeze-dried, an aliquot (ca. 8 mg) was treated with 1 mL of ethanol/water at 80% v/v  
455 for 1 h, and analyzed for CUR content. CUR amount in the Pluronic® micelles and  
456 microemulsion was The samples were analyzed by UV-vis spectroscopy measuring the  
457 absorption at  $\lambda = 424$  nm using a quartz cell with a 1 cm path length. A calibration curve  
458 of CUR in ethanol was built in the concentration range of 0.3–5  $\mu\text{g mL}^{-1}$  ( $R_2 > 0.99$ ).

459

#### 460 4.4.3. Steady-State Photolysis

461 Irradiation of the samples was performed in a thermostated quartz cell (1 cm path length, 3  
462 mL capacity, 25 °C) by using a 100 mW continuum laser with  $\lambda_{\text{exc}} = 405$  nm after purging  
463 the sample solutions with a flux of  $\text{N}_2$  for 20 min.

464

#### 465 4.4.4. Laser Flash Photolysis

466 All of the samples were excited with the third harmonic of Nd-YAG Continuum Surelite II-  
467 10 laser (355 nm, 6 ns FWHM), using quartz cells with a path length of 1.0 cm. The excited  
468 solutions were analyzed with a Luzchem Research mLFP-111 apparatus with an orthogonal  
469 pump/probe configuration. The probe source was a ceramic xenon lamp coupled to quartz  
470 fibre-optic cables. The laser pulse and the mLFP-111 system were synchronized by a  
471 Tektronix TDS 3032 digitizer, operating in pre-trigger mode. The signals from a compact  
472 Hamamatsu photomultiplier were initially captured by the digitizer and then transferred to a  
473 personal computer, controlled by Luzchem Research software operating in the National  
474 Instruments LabView 5.1 environment. The solutions were deoxygenated *via* bubbling with  
475 a vigorous and constant flux of pure nitrogen (previously saturated with solvent). In all of  
476 these experiments, the solutions were renewed after each laser shot (in a flow cell of 1 cm  
477 optical path), to prevent sample photodegradation. The sample temperature was  $295 \pm 2$   
478 K. The energy of the laser pulse was measured at each shot with a SPHD25 Scientech  
479 pyroelectric meter.

480

#### 481 4.4.5. Singlet Oxygen Detection

482 The NIR luminescence of  $^1\text{O}_2$  at 1.27  $\mu\text{m}$  results from the forbidden transition  $^3\Sigma_g^- \leftarrow ^1\Delta_g$ . This  
483 steady-state emission was registered with the same spectrofluorimeter as above equipped  
484 with a NIR-sensitive liquid nitrogen cooled photomultiplier, exciting the air-equilibrated  
485 samples with a 405 nm continuum laser (100 mW).



486

## 487 **5. Declaration of Competing Interest**

488 No competing interest to declare.

489

## 490 **6. Data availability**

491 Data will be made available on request.

492

## 493 **7. Acknowledgments**

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495 “starting grant” \_and the Programma Operativo Nazionale Ricerca e Innovazione 2014-2020  
496 (CCI 2014IT16M2OP005) Fondo Sociale Europeo, Azione I.1 “Dottorati Innovativi con  
497 Caratterizzazione Industriale”.

498

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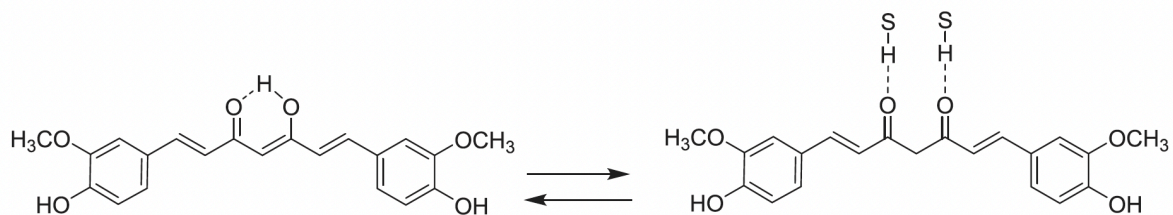
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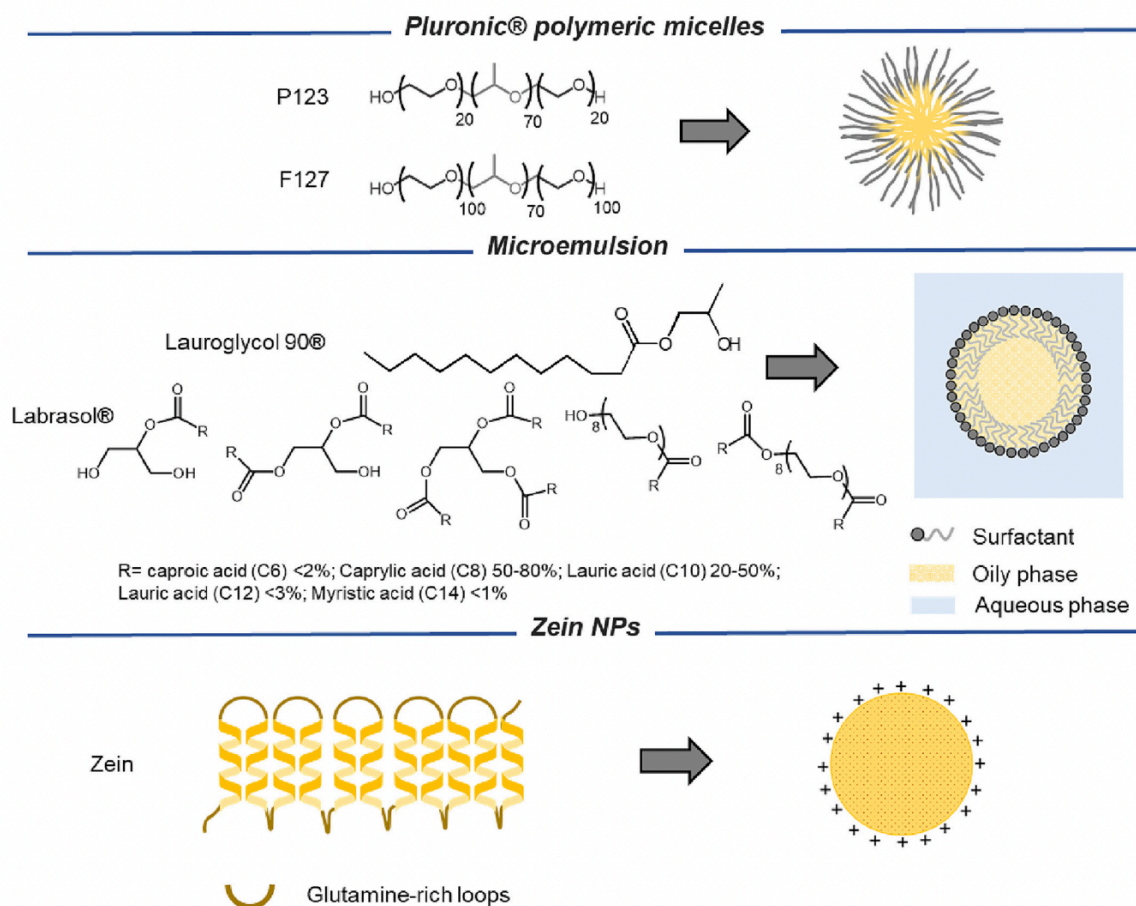
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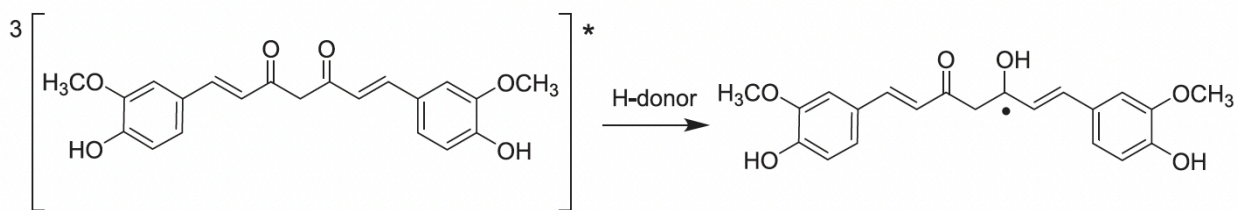
## Figures



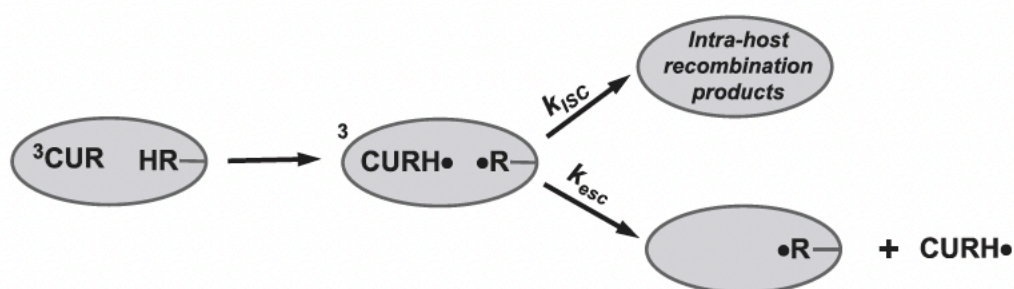
**Scheme 1.** The equilibrium between the enolic (left) and diketo (right) forms of CUR. The latter dominates in the presence of H-bond donating solvents/substrates (SH).



**Scheme 2.** Schematic of the biocompatible nanocarriers used in this work and molecular structures of their components.



**Scheme 3.** Hydrogen abstraction from the host systems by the triplet state of the diketo form of CUR leading to the generation of a ketyl radical.

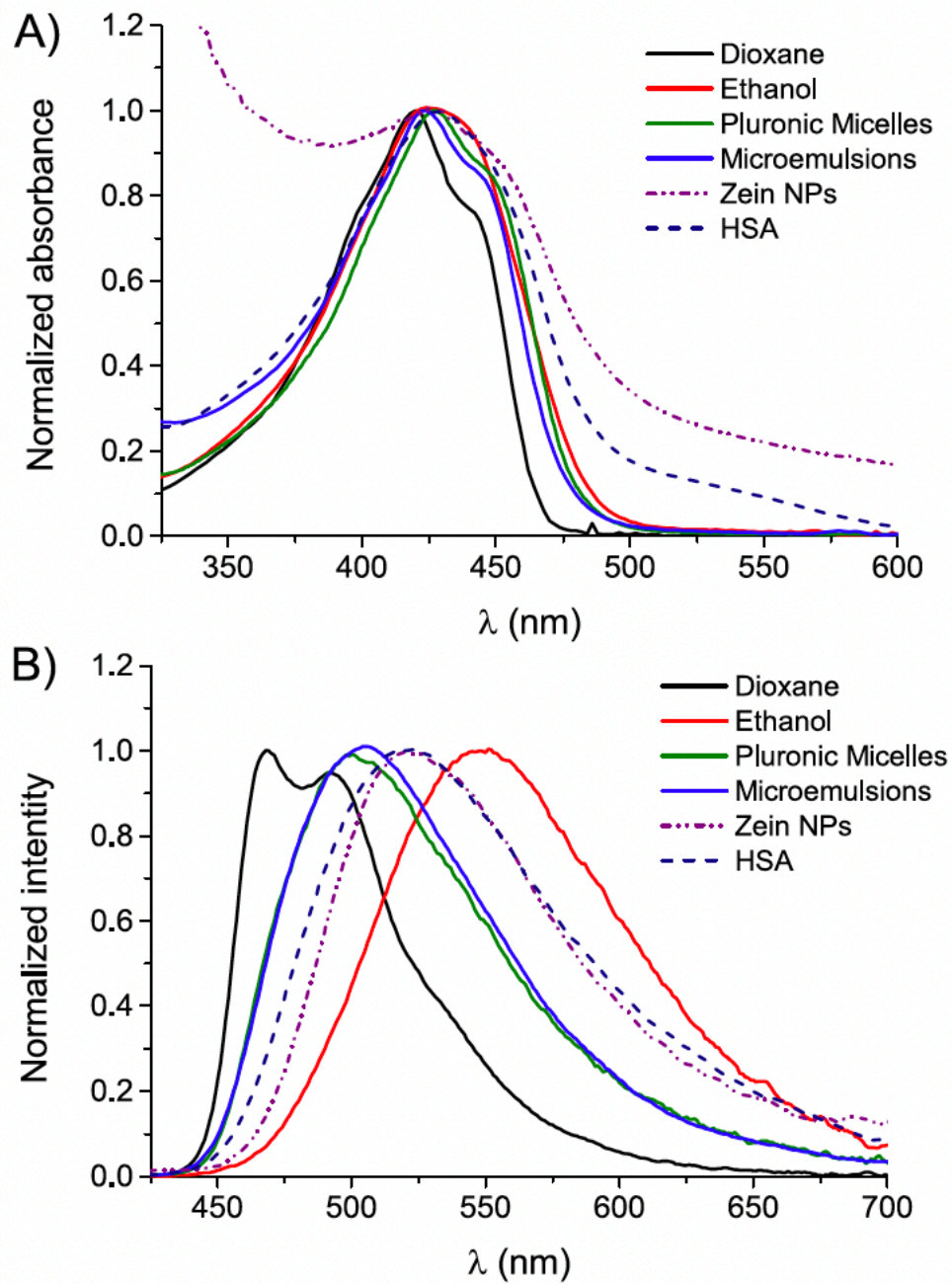


**Scheme 4.** Primary photochemical processes of CUR in the host systems investigated. R is the host component with abstractable H atoms.

**Table 1** Properties of CUR-loaded nanocarriers. Results are reported as the mean of three separate measurements on three different batches  $\pm$  standard deviation (SD).

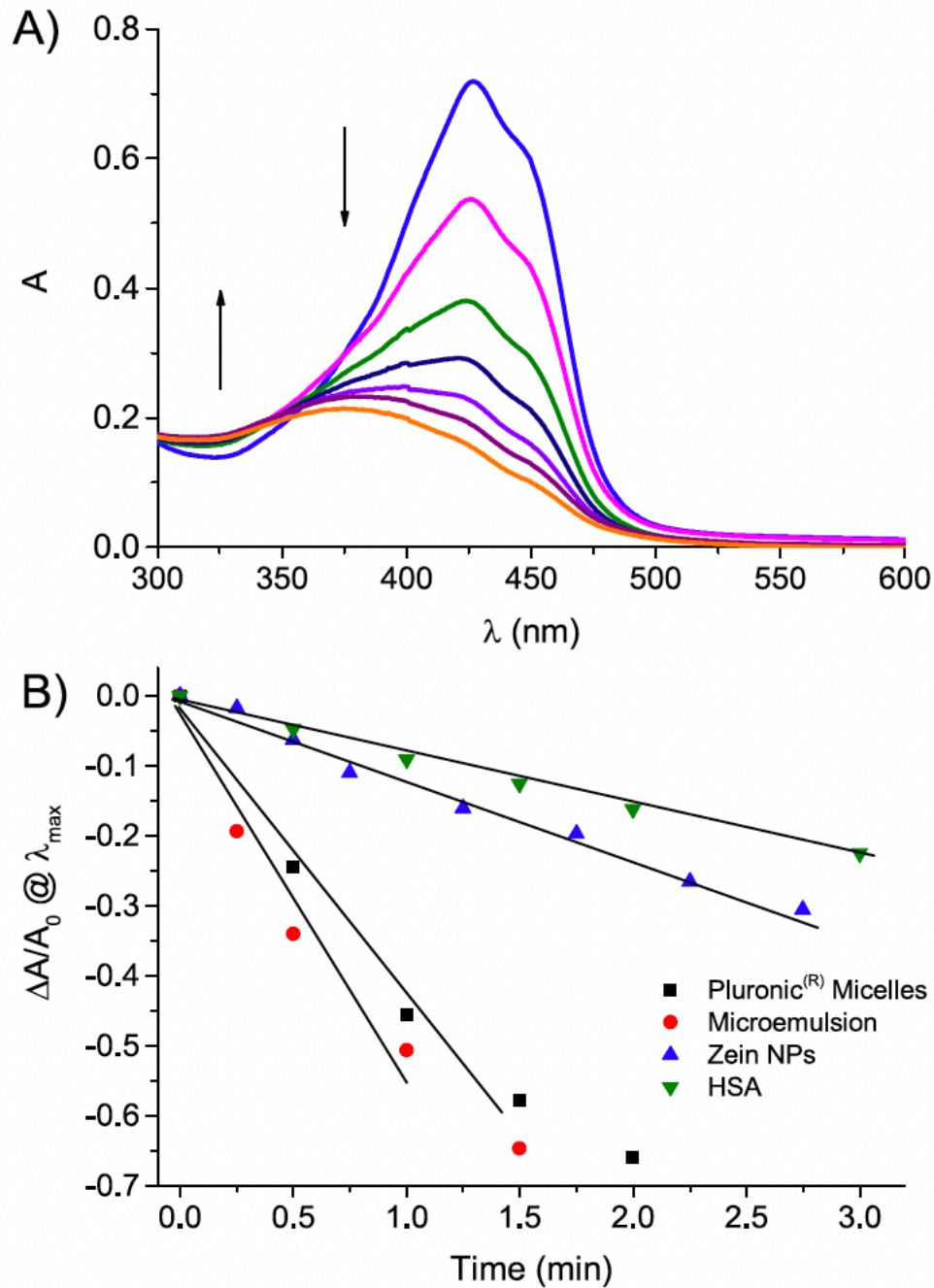
Nanocarriers	$D_H$ (nm)	PI	$\zeta$ (mV)	CUR <sup>a</sup> ( $\mu\text{g mL}^{-1}$ )
Pluronic® micelles	$28 \pm 2.3$	$0.198 \pm 0.05$	$-0.7 \pm 0.9$	10
Microemulsion	$29 \pm 0.2$	$0.264 \pm 0.02$	$-0.1 \pm 0.6$	10
Zein NPs	$124 \pm 11$	$0.174 \pm 0.07$	$+48 \pm 3$	82 <sup>b</sup>

CUR concentration in the as prepared samples. <sup>a</sup>Evaluated by the direct and indirect method as specified in 4.3. SD of CUR amount was  $82 \pm 1 \mu\text{g mL}^{-1}$

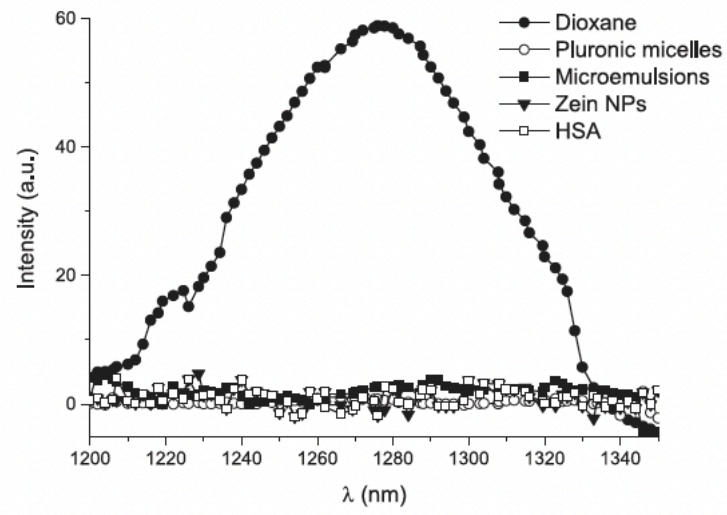


**Fig. 1.** Normalized (A) absorption and (B) fluorescence emission ( $\lambda_{exc} = 400$  nm) spectra of CUR in different solvents and host systems.  $T = 25$  °C.

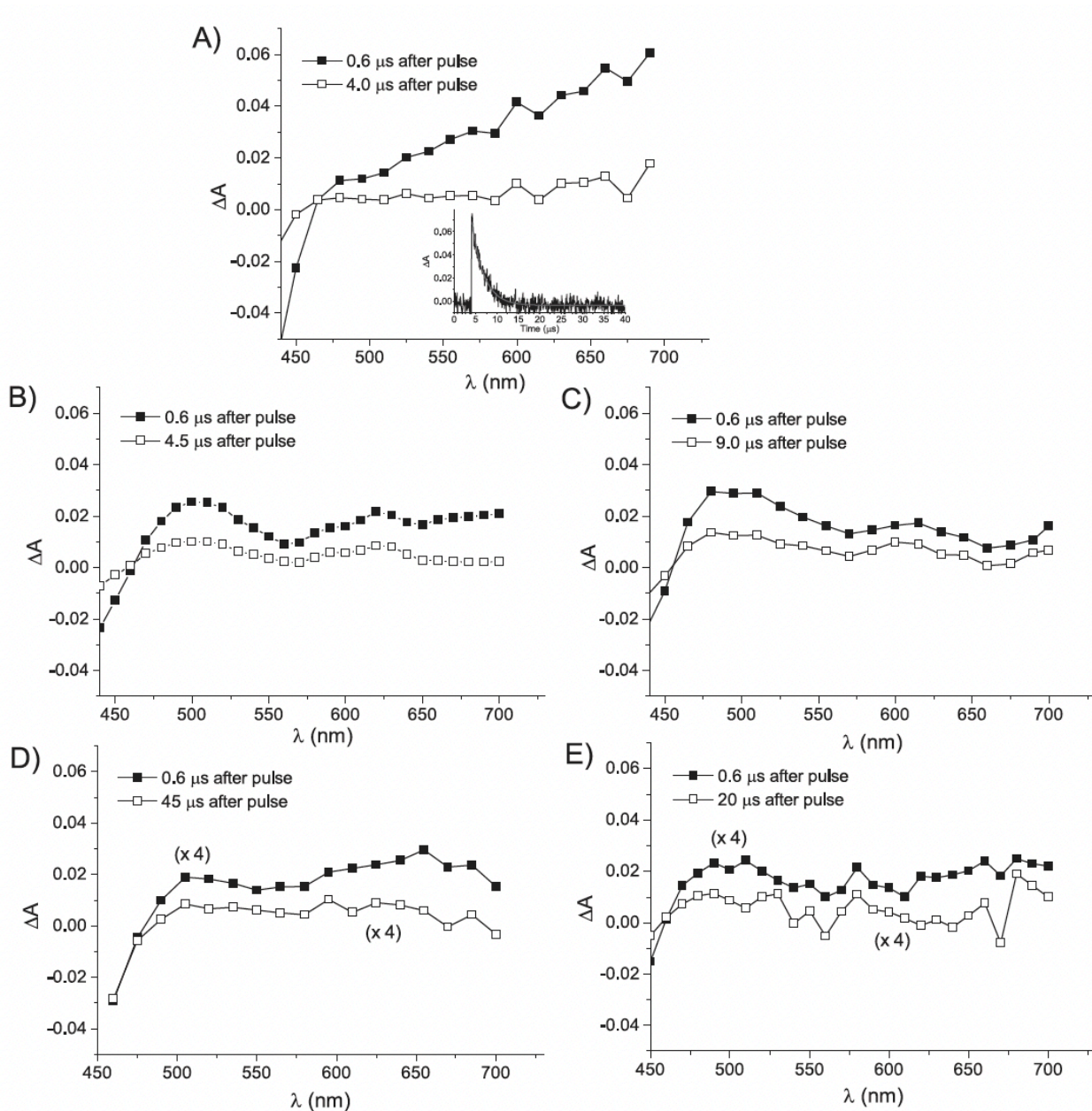




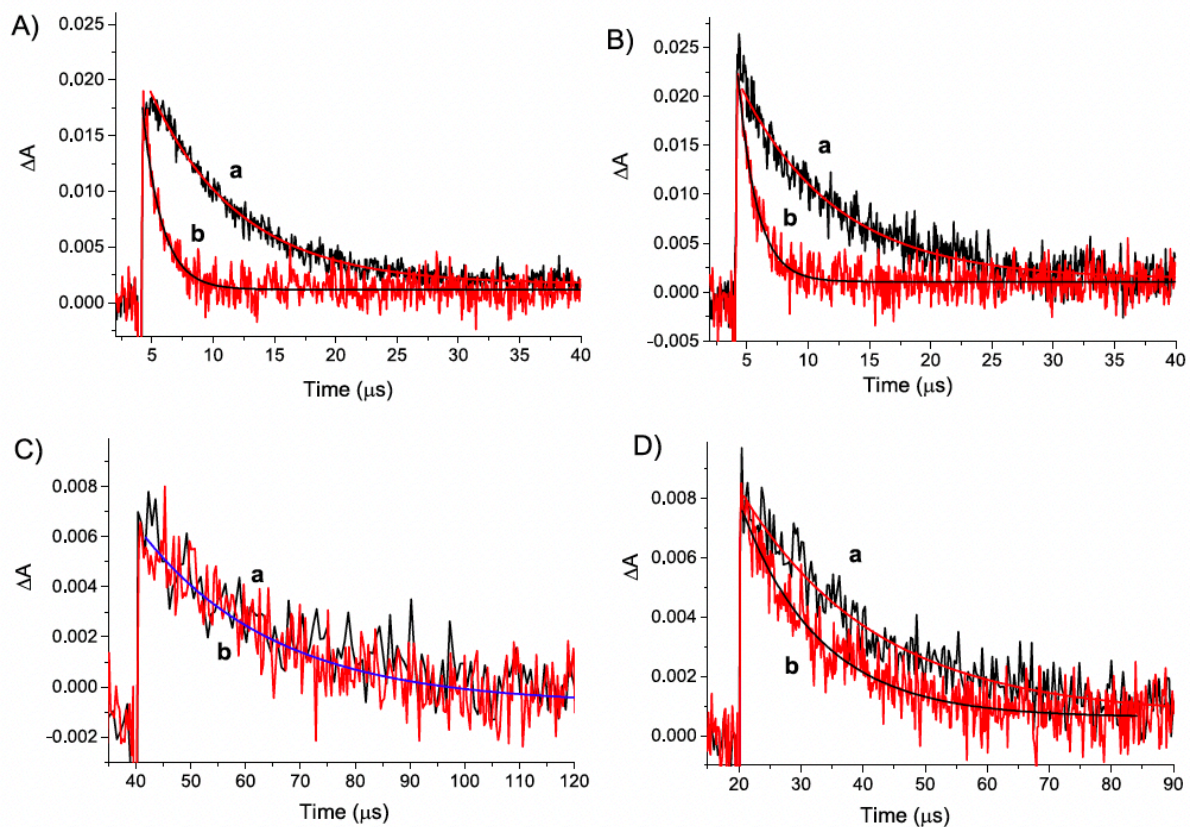
**Fig. 2.** (A) Representative absorption spectral changes observed upon exposure of a  $N_2$ -saturated aqueous solution of CUR ( $10 \mu\text{g mL}^{-1}$ ) loaded in Pluronic<sup>(R)</sup> micelles at  $\lambda_{exc} = 405 \text{ nm}$  (ca.  $100 \text{ mW cm}^{-2}$ ) for time intervals from 0 to 3 min. The arrows indicate the course of the spectral profile with the illumination time.  $T = 25 \text{ }^\circ\text{C}$ . (B) Absorbance differences over initial absorbance as a function of the irradiation time for CUR encapsulated in different host systems. The continuous lines show the linear plot of the photobleaching rate calculated at the early stage of the photoreactions.



**Fig. 3.**  $^1\text{O}_2$  luminescence detected upon 405 nm light excitation of solutions of CUR ( $10 \mu\text{g mL}^{-1}$ ) in dioxane and host systems in  $\text{D}_2\text{O}$ .  $T = 25 \text{ } ^\circ\text{C}$ .



**Fig. 4.** Transient absorption spectra obtained upon 355 nm laser excitation ( $E_{355}$  10 mJ pulse $_{0.1}$ ) of optically matched N<sub>2</sub>-saturated samples of CUR ( $A_{355} \sim 0.3$ ) and recorded at different delay times of the laser pulse. CUR in dioxane; the inset shows the decay trace and the related first-order fitting monitored at 700 nm (A). CUR in Pluronic®\_micelles (B), the microemulsion (C), zein NPs (D) and HSA (E). For clarity, the spectra of samples (D) and (E) have been multiplied by a factor 4.



**Fig. 5.** Decay profiles and related first-order fittings of the ketyl radical generated upon 355 nm laser excitation ( $E_{355}$  10 mJ pulse $_{\square}$ ) of CUR (10  $\mu g$  mL $_{\square}$ ) in Pluronic $^{\text{®}}$  micelles (A), the microemulsion (B), zein NPs (C) and HSA (D) and recorded in  $N_2$ -saturated (a) and air-equilibrated conditions (b).