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# The electronic properties of three popular high spin complexes [TM(acac)<sub>3</sub>, TM=Cr, Mn, and Fe] revisited: an experimental and theoretical study.<sup>†</sup>

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The occupied and unoccupied electronic structure of three high spin TM(acac)<sub>3</sub> (TM = Cr, Mn, and Fe) complexes (I, II, and III, respectively) has been studied by revisiting their literature vapour-phase He(I) and, when available, He(II) photoemission (PE) spectra and by means of original near-edge X-ray absorption fine structure (NEXAFS) spectroscopic data recorded at the OK-edge (<sup>o</sup>K-edge) and TM L<sub>2,3</sub>-edges (<sup>™</sup>L<sub>2,3</sub>-edges). The assignments of the vapour-phase He(I)/He(II) PE spectra have been guided by the results of spin-unrestricted non-relativistic Slater transition state calculations, while the <sup>o</sup>K-edge and <sup>TM</sup>L<sub>2.3</sub>-edges spectroscopic evidences have been analysed by exploiting the results of spin-unrestricted scalar-relativistic time-dependent density funtional theory (DFT) and DFT/ROCIS calculations, respectively. Despite the actual symmetry (D<sub>3</sub>, in the absence of any Jahn-Teller distorsion) of title molecules allows an extensive mixing bet we en TM  $t_{2g}$ -like and  $e_{g}$ -like atomic orbitals, the use of the Nalewajski–Mrozek TM–O bond multiplicity index combined to a thorough analysis of the ground state (GS) outcomes allowed the assessment of the TM–O bond weakening associated to the progressive TM 3d-based eg-like orbitals filling. The experimental information provided by <sup>o</sup>K-edge spectra was rather poor; nevertheless, the combined use of symmetry, orbitals and spectra allowed us: i) to rationalise minor differences characterizing spectral features along the series; ii) to quantify the contribution provided by the ligand-to-metal-chargetranfer (LMCT) excitations to the differet spectral features; iii) to recognize the  $t_{2g}$ -/ $e_g$ -like nature of the TM 3d-based orbitals involved in LMCT transitions. As far as the TML<sub>2,3</sub>-edges spectra and the DFT/ROCIS results are concerned, lowest lying IIL3 spectral features include states having either the GS spin multiplicity (S(I) = 3/2, S(II) = 2) or, at higher excitation energies (*EE*s), states with  $\Delta$ S = ±1. At variance to that, only states with  $\Delta$ S = 0, -1 significantly contribute to the <sup>III</sup>L<sub>3</sub> spectral pattern. Along the whole series, the L<sub>3</sub> higher *EE* side is systematically characterized by states involving  $^{\text{TM}}2p \rightarrow \pi_4$ MLCT excitations; as such, coupled-single excitations with  $\Delta S = 0$  are involved in I and II, while single MLCT TM 2p  $\rightarrow \pi_4$ transitions with  $\Delta S = -1$  are involved in III.

# 1. Introduction

The pentane-2,4-dione, commonly addressed as acetylacetone, is the simplest member of the  $\beta$ -diketone compounds and the precursor of one of the most prominent and versatile ligand (L) in coordination chemistry: the bidentate, negatively charged, acetylacetonato (acac).<sup>1</sup> Acac may bind to transition metal (TM) ions in different ways;<sup>2</sup> nevertheless, the bidentate chelating form, with both O atoms bound to TM to generate a six-membered pseudoaromatic ring,<sup>3</sup> is by far the most common.<sup>2,4</sup> TM(acac)<sub>n</sub> complexes usually imply n = 2 or n = 3, with TM(acac)<sub>2</sub> quite often

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oligomeric to allow the TM coordinative saturation, and TM(acac)<sub>3</sub> characterized by an *octahedral* arrangement of the six O atoms around TM (see Fig. 1).<sup>5-6</sup> Among TM(acac)<sub>3</sub>, the peculiar properties of the high spin (HS) Cr(acac)<sub>3</sub>, Mn(acac)<sub>3</sub> and Fe(acac)<sub>3</sub> (I, II, and III, respectively) make them interesting for both basic and applied research.<sup>7-9</sup> More specifically, besides its *martial* uses,<sup>10</sup> the deep maroon I is extensively used as a precursor in plasma assisted Chemical Vapour Deposition (CVD)<sup>9a</sup> and as a dopant agent in sol-gel silica films.<sup>9b</sup> Moreover, in the last few years, it has been employed as a general precursor for the synthesis of early TM oxide nanomaterials and nanoparticles<sup>11-12</sup> as well as redox species of redox flow batteries.<sup>13-16</sup> Furthermore, its high degree of photostability makes it the prototype Cr<sup>III</sup> complex for ultrafast time-resolved spectroscopic studies.<sup>17</sup>



Fig. 1 Schematic representation of TM(acac)<sub>3</sub>. The central violet sphere corresponds to the TM<sup>III</sup> ion (Cr<sup>III</sup> in I, Mn<sup>III</sup> in II, and Fe<sup>III</sup> in III), while red, grey,

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<sup>†</sup>Electronic supplementary information (ESI) available: Fig. S1: Spin-unrestricted scalar relativistic ZORA TD-DFT 1s<sup>o</sup> excitation spectra of Cr(acac)<sup>3</sup> evaluated with diverse exchange-correlations functionals: SAOP, LB94, PBE0 and M06; Fig. S2: Spin <sup>†</sup> and spin <sup>↓</sup> COOPs between TM<sup>III</sup> eg-like 3d AOs and the e linear combination of the (acac)<sup>3-</sup>based n<sup>-</sup> and n<sup>+</sup> fragment molecular orbitals.; Fig. S3: Superimposed licorice representation of title compounds; Table S1: TM–O Nalewajski–Mrozek index, Hirshfeld/Voronoi charges and bonding energies; Table S2: Comparison between experimental and theoretical ionization energies of the highest occupied molecular orbital in title compounds; Table S3: Selected optimized geometrical parameters for title compounds; Tables S4–S6: DFT-BP86 optimized coordinates of title compounds; Tables S7–S9: Excitation energies and oscillator strengths *f* of the 1s<sup>o</sup> excitation spectra of TM(acac)<sup>3</sup> (TM = Cr, Mn, Fe) from spin-unrestricted SR-ZORA TD-DFT calculations.

and white spheres represent oxygen, carbon, and hydrogen atoms, respectively. In the adopted framework, z and C3 axes coincide.

As far as the dark black-brown II is concerned, it has been the object of several theoretical<sup>8d,18</sup> and experimental<sup>18c,19</sup> studies, which ultimately demonstrated the Mn<sup>III</sup> octahedral local environment<sup>19a,b</sup> as well as its HS state.<sup>7,19c</sup> Moreover, its effectiveness as a radical initiator and a hydroperoxide decomposition catalyst has been exploited in the autoxidation of ethyl linoleate, a model compound for the binder molecule in household alkyd paint.<sup>20</sup> The last TM(acac)<sub>3</sub> herein considered, the bright red HS III,7-8 is widely employed in industry as a catalyst, in particular in the organic synthesis of alkenes<sup>21</sup> and polyurethane.<sup>22</sup> Additionally, it has been also found effective in catalytic processes such as the polymerization of 1,3-benzoxazine,23 the dimerization of isoprene to 1,5-dimethyl-1,5-cyclooctadiene and 2,5-dimethyl-1,5-cyclooctadiene,<sup>24</sup> and the formation of 1,3-oxazolidine products.<sup>25</sup> More recently, III has been also exploited as precursor for nanoparticles' syntheses,26 while an electrolyte based on the neutral/negatively charged (-1) III has been recently developed for p-type dye-sensitized solar cells.<sup>27</sup>

Despite the very large amount of literature concerning title compounds, a comparative assessment of their TM–L bonding scheme by taking advantage of peculiarities such as i) the same octahedral coordinative environment of the TM ion, ii) the same oxidation number of the TM ion, iii) the same number of TMt<sub>2g</sub>-like electrons,<sup>5,7</sup> and iv) the progressive increasing of the TMeg-like electron number,<sup>5,7</sup> is still lacking. Furthermore, it is noteworthy that not only a definitive assignment of the vapour-phase UV photoemission (PE) spectra of  $I - III^{28}$  is still missing, but the same relative energy ordering of corresponding ground state (GS) occupied frontier molecular orbitals (MOS)<sup>8h,29-31</sup> deserves to be reconsidered.

Near edge X-ray absorption fine structure (NEXAFS) spectroscopy is unanimously recognized as a tool able to probe, site-selectively, the empty frontier MOs, the TM coordinative environment, as well as the nature and the strength of the TM-L bonding in TM complexes.32-34 In the recent past, we thoroughly investigated the nature, the localization and the relative position of frontier MOs of three TM(acac)<sub>2</sub> complexes, namely [Co(acac)<sub>2</sub>],<sup>35a</sup> [Mn(acac)<sub>2</sub>],<sup>35</sup> and [Cu(acac)<sub>2</sub>],<sup>36</sup> by modelling their <sup>™</sup>L<sub>2,3</sub>-edges spectra<sup>37</sup> either by employing the current Restricted Open Shell Configuration Interaction with Singles (DFT/ROCIS) method<sup>38</sup> ([Co(acac)<sub>2</sub>] and [Mn(acac)<sub>2</sub>]) or by means of time dependent (TD) density functional theory (DFT) calculations<sup>39</sup> within the Tamm-Dancoff approximation<sup>40</sup> coupled to the relativistic zero<sup>th</sup>order regular approximation (ZORA)<sup>41</sup> including spin-orbit coupling (SOC) effects ([Cu(acac)<sub>2</sub>]). The main aim of these studies was that of looking into the role played by the TM electronic configuration, the TM coordinative geometry, and the TM-L symmetry restricted covalency<sup>42</sup> in determining the <sup>™</sup>L<sub>2,3</sub> spectral patterns. <sup>O</sup>K-edge, <sup>™</sup>L<sub>2,3</sub>-edges data and results theoretical herein reported complement experimental<sup>17,29</sup> and theoretical outcomes<sup>8c-8h,43</sup> appeared in the literature in the last few years about title compounds

shedding, at the same time, new light into the TM–L bonding scheme and suggesting an alternative assignment of the lowest ionization energy (IE) region of their literature vapour-phase He(I) PE spectra.

# 2. Computational and Experimental Details

2.1 Geometry optimizations. Geometrical parameters of I. II. and III have been optimized by using the Amsterdam Density Functional (ADF) suite of programs (version 2014.01).44 Numerical experiments have been carried out by running spinunrestricted non-relativistic (NR) DFT calculations with generalized gradient corrections self-consistently included through the Becke-Perdew formula<sup>45</sup> and by adopting a triple- $\zeta$  with a polarization function (TZP) Slater-type basis set for all the atoms. Moreover, the 1s - 2p TM atomic orbitals (AOs) as well as the 1s AO of C and O atoms have been kept frozen throughout the calculations. The geometry optimization of I and III has been carried out by assuming a D<sub>3</sub> symmetry,<sup>5,46</sup> while no symmetry constraint has been assumed for II. As a matter of fact, X-ray crystallographic data pertaining to II are consistent with the presence of two crystal structures ( $\beta$  and  $\gamma$ ), the former characterized by a moderate (0.05 Å) tetragonal compression,<sup>19</sup>a the latter typified by a significant tetragonal lengthening (0.2 Å).<sup>19<sub>b-c</sub> In this regard, Krzystek *et al.*,<sup>19<sub>c</sub></sup> on the</sup> basis of their EPR measurements, suggested that the elongated  $\gamma$  structure is the natural form of the Jahn-Teller distortion for the octahedral HS 3d4 Mn<sup>III</sup> ions, while the compressed  $\beta$  one could be the consequence of undetermined crystal packing effects. In passing, theoretical calculations confirmed the stabilizing effect associated to the Jahn-Teller elongation or predicted a stable elongated structure.<sup>18</sup> According to that and independently of the starting geometry we assumed (tetragonally elongated or compressed), the optimized structure of II systematically converged toward the same elongated arrangement. More specifically, the observed Jahn-Teller tetragonal distortion generating four short and two long Mn–O bonds ((Mn–O)<sup>s</sup> and (Mn–O)<sup>I</sup>, respectively) with average bond lengths of 1.935 and 2.111 Å, respectively,<sup>19</sup> has been quantitatively reproduced irrespective of the starting geometry. Independently of all this, to facilitate the comparative assessment of the TM-L bonding and to assign <sup>o</sup>K-edge and L<sub>2,3</sub>-edges features as seamless as possible, the electronic properties of title molecules will be discussed within the assumption of a D<sub>3</sub> symmetry and by taking advantage of ΤM local octahedral environment.<sup>5,46</sup> Additional the information about the localization and the bonding/antibonding character of selected MOs over a broad range of energies has been gained by exploiting partial density of states (PDOS) and the overlap population DOS (often referred to as crystal orbital overlap population – COOP).48 Corresponding plots, based on the Mulliken's prescription for partitioning the overlap density,<sup>49</sup> have been obtained by applying a 0.25 eV Lorentzian broadening factor. Finally, the Ziegler transition state (ZTS) scheme<sup>50</sup> has been used to gain further insights into the strength of the TM-L interaction.

2.2 O K-edge NEXAFS calculations.<sup>51</sup> O K-edge NEXAFS spectra of I, II and III have been modelled by evaluating EEs and corresponding oscillator strengths (f) for transitions having the linear combinations of 1s<sup>o</sup> AOs as initial spin orbitals (isos).<sup>62</sup> To this end, all-electron spin-unrestricted scalar relativistic (SR) TD-DFT ZORA calculations<sup>39,41</sup> suitably tailored to treat deep core excitations,63 have been run. SR TD-DFT ZORA numerical experiments have been carried out by adopting all-electron quadruple- $\zeta$  plus four polarization functions (QZ4P) ZORA basis sets for all the atoms;<sup>64</sup> moreover, to adequately describe transitions towards high energy virtual MOs and Rydberg states,63 QZ4P ZORA sets of symmetry-related atoms specifically involved in the excitation processes have been further augmented with two shells of diffuse functions according to the even tempered criterion. The adiabatic local density approximation has been employed to approximate the exchange-correlation (XC) kernel,<sup>65</sup> while diverse functionals (PBE0,<sup>66</sup> SAOP,<sup>67</sup> LB94,<sup>68</sup> and M06<sup>69</sup>) have been preliminary tested on I to approximate the XC potential in the selfconsistent field calculations. The best agreement between theory and experiment has been provided by the PBEO functional (If distributions evaluated with PBE0,66 SAOP,67 LB9468 and M0669 XC functionals are displayed in Fig. S1);70 If only the PBE066 XC functional. Scaled ZORA orbital energies instead of the ZORA orbital energies in the TD-DFT equations have been employed to improve deep core EEs.63c-63e, 63g,71

2.3 TM L2,3-edges NEXAFS calculations.<sup>51</sup> L2,3-edges spectra of I, II and III have been modelled by evaluating EEs and corresponding f for transitions having the <sup>TM</sup>2p-based MOs as isos. To this end, the ORCA program package<sup>38</sup> has been exploited. More specifically,  $L_{2,3}$ -edges  $\frac{1}{11} f(EE)$  distributions have been evaluated by means of the DFT/ROCIS method, 57c, 57g by adopting the hybrid-DFT PBEO XC functional and the def2-TZVP(-f) basis set.<sup>72-73</sup> As a consequence of the strong 2p SOC in the final state manifold, ESs with S<sup>46</sup> different from the GS one had to be considered. The combined use of DFT and CI needs a set of three semi-empirical parameters  $(c_1, c_2, c_3)$ ; we adopted the following values for them:  $c_1 = 0.21$ ,  $c_2 = 0.49$ , and  $c_3 = 0.29.57c$  Throughout the calculations, the resolution of the identity approximation<sup>74</sup> has been used with the def2-TZVP/J basis set.72b,73 Finally, the ZORA has been adopted to treat SR effects. Numerical integrations have been carried out on a dense Lebedev grid (302 points).75 Simulated spectra of I, II and III have been shifted by 3.2, 10.0 and 10.3 eV, respectively, to superimpose the highest intensity feature of the experimental and simulated L<sub>3</sub>-edge, which does not suffer from the extra broadening and the distortion due to the Coster-Kronig Auger decay process.57j,76 Incidentally, this is needed because absolute theoretical EEs carry errors arising from DF deficiencies in the core region, one-particle basis set restrictions and inadequacies in the modelling of spin-free relativistic effects.<sup>57b</sup> A Gaussian broadening factor of 1.0, 1.8 and 1.2 eV has been applied to model L2,3-edge NEXAFS spectra of I, II and III, respectively.

2.4 O K-edge and Cr L<sub>2,3</sub>-edges spectra details. Synchrotron radiation NEXAFS measurements were performed at the CNR-IOM beamline ALOISA (Elettra Synchrotron, Trieste).77 Molecular films were deposited on gold-coated thin glass wafers by thermal evaporation from a homemade crucible of boron nitride in an ultra-high-vacuum environment (base pressure of 10<sup>-10</sup> mbar during measurements). Title complexes have been evaporated at about the same temperature of  $\sim$ 350 K, eventually reaching a max pressure of 3 – 5  $\times$  10  $^{8}$  mbar during evaporation. Along the deposition, we kept the substrate at  $T_s \sim 220$  K, in order to reduce the surface mobility for enhancing dendritic 2D growth, while preventing the sticking of water molecules from the residual gas (whose condensation on Au takes place below 200 K). Finally, we obtained films of ~2.2, ~3.2 and > 6 nm for III, I and II respectively, as estimated from the attenuation of the substrate Au 4f photoemission signal. NEXAFS spectra have been measured keeping the sample at a grazing angle of 6°, while orienting the surface to the magic angle for the incoming linearly polarized X-ray beam. NEXAFS measurements have been performed in partial electron yield by means of a full aperture channeltron placed along the surface normal. The employed channeltron is additionally equipped with a repeller grid in front of it, which is negatively biased to reject secondary electrons and let in the Auger electrons corresponding to the relevant electronic transitions. In particular, we polarized the grid at the voltage of -490 and -550 V for the O 1s and Cr 2p ionization thresholds, respectively. Absolute calibration of the photon energy was obtained for the O 1s and Cr 2p thresholds by simultaneously recording of the drain current on the last mirror of the beamline after the exit slits. The last mirror presents a gold film on top of a Cr and Fe coating, which absorption lines are measurable and used as a reference for the metallic L<sub>3</sub> main peak: Cr at 574.1 eV.77 Absolute calibration of the O 1s ionization threshold was also obtained by the K-edge absorption line in the drain current due to oxygen contamination (mostly CO) on the surface of the last mirror. This absorption feature was previously calibrated by simultaneously measuring the vibrational structure of the O Kedge threshold from CO gas (central peak at 534.21 eV) thanks to a windowless, differentially pumped, in-line gas ionization cell.78

**2.5 I, II** and **III synthesis details.** Title compounds have been prepared as previously reported<sup>79</sup> and purified by means of three recrystallization steps. Compounds purity was confirmed by elemental analysis (EA). IEA, C15H21CrO6: calculated C 51.57 %, H 6.06 %; found: C 51.62%, H 6.08%. IIEA, C15H21MnO6: calculated C 51.14%, H 6.01%; found: C 51.13%, H 5.98%. IIIEA, C15H21FeO6: calculated C 51.01%, H 5.99%; found: C 51.03%, H 6.00%.2.

# 3. Results and discussion

**3.1 Occupied Electronic Structure.** The forthcoming discussion may take advantage of a preliminary qualitative description of title molecules' frontier orbitals<sup>80</sup> simply based on symmetry

arguments and overlap considerations. Frontier MOs of a single  $C_{2v}$  acac fragment may be split in two sets according to their  $\sigma$  or  $\pi$  character.<sup>81</sup> The former set consists of two completely occupied fragment MOs (FMOs): the in-phase  $(n_{+})$ and the out-of-phase (n.) linear combinations of the O lonepairs, both of them lying in the acac plane. As far as the latter set is concerned, it consists of five FMOs ( $\pi_1$ ,  $\pi_2$  and  $\pi_3$  DOMOs and  $\pi_4$  and  $\pi_5$  VMOs),<sup>31</sup> all of them perpendicular to the acac plane. Moreover,  $\pi_j$  FMOs are symmetric or anti-symmetric with respect to the reflection plane perpendicular to the molecular one and passing through the methinic carbon atom (C(H)) if j is odd  $(\pi_o)$  or even  $(\pi_e)$  (see Fig. 4 of ref. 81), respectively. Finally, as far as the bonding nature of  $\pi_4$  and  $\pi_5$ VMOs is concerned, they are both C-O antibonding and mainly localized on the C atoms; additionally, the  $\pi_4$  VMO has a node on the C(H) atom, while the  $\pi_5$  one is also C–C(H) antibonding. When three acac fragments are coordinated about TM to generate a regular D<sub>3</sub> TM(acac)<sub>3</sub> complex (see Fig. 1),<sup>5</sup> linear combinations of  $n_{+}$  and  $\pi_{e}$  FMOs will transform as the D<sub>3</sub> a<sub>1</sub> + e IRs, while those generated by  $n_{-}$  and  $\pi_{o}$  FMOs will transform as the D<sub>3</sub> a<sub>2</sub> + e IRs. Incidentally, literature data pertaining to the free acac fragment indicate that topmost occupied FMOs ( $n_+$ ,  $n_{-}$  and  $\pi_{3}$ ) have the following energy ordering:  $E_{\pi_{3}} > E_{n_{-}} > E_{n_{+}}$ .<sup>81</sup>

As far as the central TM<sup>III</sup> ion is concerned, its *octahedral* environment lifts the five-fold orbital degeneracy of the TM 3d atomic orbitals (AOs) generating three  $t_{2g}$ -like orbitals (a<sub>1</sub> + e in

the actual D<sub>3</sub> symmetry of I and III, and hereafter labelled as  $at_{2g}$  and  $et_{2g}$ , respectively)<sup>5,82</sup> and two  $e_g$ -like MOs (e in the actual D<sub>3</sub> symmetry of I and III).<sup>5,82</sup> Accordingly, the HS TM<sup>III</sup> electronic configuration will be  $a_1^1 e^2 e^0 (GS = {}^4A_2)$  in I,  $a_1^1 e^2 e^1 (GS$ = <sup>5</sup>E) in **II** and  $a_1^1 e^2 e^2$  (<sup>6</sup>A<sub>1</sub>) in **III**.<sup>83</sup> The O<sub>h</sub>  $\rightarrow$  D<sub>3</sub> descending symmetry allows then us to foresee: i) an extensive mixing among linear combinations of  $n_{+/-}$  and  $\pi_{e/o}$  acac-based FMOs of e symmetry; ii) the interaction of these linear combinations with both et<sub>2g</sub> and eg-like TM<sup>III</sup> 3d based orbitals;<sup>82</sup> iii) the unfeasibility of a net distinction between  $\sigma$  and  $\pi$  contributions to the TM-L bonding. Moreover, the relative energy position of frontier acac-based FMOs<sup>81</sup> lets us to predict that the TM-L bonding should be dominated by the interaction between the e TM 3d AOs and the linear combinations of the acac-based  $n_{+/-}$  and  $\pi_3$  FMOs of the same symmetry.<sup>82</sup> Finally, the HS nature of I, II, and III further allows us to expect that: i) the overall interaction between the spin  $\uparrow$  components of the  $n_{+/-}$ and  $\pi_3$  FMOs and the TM  $t_{2g}$ -like 3d AOs will be weakly antibonding in nature for the whole series; ii) the overall interaction between the spin  $\uparrow$  components of  $n_{+/-}$  and  $\pi_3$ FMOs and the TM eg-like 3d AOs will gradually weaken the TM-O bonding upon moving from I to III.

Such a qualitative picture perfectly matches ADF GS results as demonstrated by the inspection of Figs. 2 and 3 where the TM 3d PDOS and the spin  $\uparrow$  COOPs between TM<sup>III</sup> 3d AOs and  $n_{+/-}$  and  $\pi_3$  FMOs are displayed, respectively.



Fig. 2 TM 3d PDOS of the valence states of I, II, and III. Vertical bars correspond to the HOMO (solid lines) and LUMO (dashed lines) energies. Contribution from  ${}^{a}t_{2g}$  ( $z^{2}$ , dotted curves) and  ${}^{e}t_{2g}$  (xy and  $x^{2} - y^{2}$ , dashed curves) PDOS are also displayed.

The first thing to be noted is the different nature and/or localization of the HOMO and LUMO in the three complexes. According to Liu and Conradie,<sup>29b</sup> the topmost occupied MOs of I (the closely spaced 30e(†) <sup>1</sup>HOMO and the 18a<sub>1</sub>(†) <sup>1</sup>HOMO-1, see Fig. 2)<sup>84</sup> correspond to the Cr<sup>III</sup> et<sub>2g</sub>(†) and <sup>a</sup>t<sub>2g</sub>(†) orbitals strongly mixed with the Cr<sup>III</sup> eg-like ones. As suggested on a qualitative ground, the 18a<sub>1</sub>(†) MO is almost completely localized on the Cr 3d z<sup>2</sup> AO (78%), while the <sup>e</sup>t<sub>2g</sub> and the eg-like sets similarly contribute (40% and 27%, respectively) to the <sup>1</sup>HOMO, which accounts for a Cr–O antibonding interaction between et<sub>2g</sub>/eg-like Cr<sup>III</sup> AOs and the e combinations of the acac-based  $\pi_3$  FMO (see Figs. 3 and 4). As a whole, the Cr–O

bonding picture emerging from the BP86 results is consistent with a quite extensive mixing between the Cr<sup>III</sup> 3d AOs and the (acac)<sub>3</sub><sup>3</sup>-based FMOs; nevertheless, the inspection of Fig. 3 ultimately testifies that the TM–L bonding is dominated by the interaction between the e linear combination of the (acac)<sub>3</sub><sup>3</sup>based *n*. FMOs and the empty Cr<sup>III</sup> eg-like 3d AOs.<sup>85</sup> Useful insights into this matter can be further obtained by referring to Fig. S2 of the ESI† where spin † and spin  $\downarrow$  COOPs between the TM<sup>III</sup> eg-like 3d AOs and the e linear combination of the (acac)<sub>3</sub><sup>3</sup>-based *n*. and *n*<sub>+</sub> FMOs are displayed. COOP curves make well evident that, according to Pritchard and Autschbach,<sup>86</sup> both the spin † and the spin  $\downarrow$  component of the  $(acac)_3^{3-}$ -based *n*. FMOs concur to the dative  $L \rightarrow Cr^{III}$ bonding, while the  $n_+$  contribution is negligible for both spins. Data reported in Figs. 2, 3 and S2 of the ESI† allow the identification of the orbital (the 28e DOMO)<sup>87</sup> accounting for the most relevant contribution to the Cr–O bonding (the participation of the Cr<sup>III</sup> eg-like AOs<sup>82</sup> to the 28e(†) orbital amounts to 8%). As a whole, the Cr–O COOPs reported in Figs.

3 and S2 of the ESI† combined to the Cr–O Nalewajski– Mrozek<sup>88</sup> bond multiplicity index (IINM = 0.47)<sup>89</sup> and the comparison of Cr/O Hirshfeld(Q<sub>Hir</sub>)<sup>90</sup>/Voronoi(Q<sub>Vor</sub>)<sup>91</sup> gross atomic charges (see Table S1 of the ESI†) with those consistently computed for the isostructural Al(acac)<sub>3</sub><sup>92</sup> complex concur to stress the leading role played by the ionic contribution in the Cr–O bonding.



Fig. 3 Spin  $\dagger$  COOPs between TM<sup>III</sup> t<sub>2g</sub>-like (dotted lines)/eg-like (solid lines) 3d AOs and (acac)<sup>3-</sup> FMOs in I, II and III. Bonding (antibonding) combinations correspond to positive (negative) peaks in the COOP plot. Vertical bars represent the HOMO energy.

As far as the 18a<sub>1</sub>( $\ddagger$ ) 'LUMO is concerned (see Figs. 2 and 4), it is of some relevance to underline that, differently from the <sup>a</sup>t<sub>2g</sub> 18a<sub>1</sub>( $\dagger$ ) 'HOMO-1, the L participation (64%) involves the a<sub>1</sub> combination of the acac-based  $\pi_4$  FMO (see Fig. 4). As expected, a last look at the left panel of Fig. 2 confirms the presence, among low lying VMOs and rather close to the 'LUMO ( $\Delta E \sim 1$  eV), of the Cr<sup>III</sup>-based eg-like<sup>82</sup> orbitals (the 32e( $\dagger$ ) VMOs) strongly mixed, as expected, with the et<sub>2g</sub> ones.



Fig. 4 3D plots of one component of the 30e(†) <sup>1</sup>HOMO and of the 18a<sub>1</sub>( $\downarrow$ ) <sup>1</sup>LUMO. Displayed isosurfaces correspond to ±0.05 e<sup>1/2</sup>Å<sup>-3/2</sup> values.

It is well known that information about the details of the occupied frontier MOs of volatile compounds may be gained by exploiting the vapour-phase UV PE spectroscopy.<sup>93</sup> He(I) PE spectra of title compounds have been firstly recorded early in the '70s by Evans *et al.*<sup>28a</sup> and, even though Van Dam and Oskam,<sup>28b</sup> the Vovna research group<sup>28c,28f</sup> and Westmore *et al.*<sup>28e</sup> tackled the same problem in the following years, a definitive assignment of title molecules' He(I) lowest lying PE spectral features (*vide infra*), is not yet assessed. In this regard

and before anything else, it has to be underlined that: i) He(I) IPE spectra reported by Akopyan et al., 28c Westmore et al., 28e and by Vovna et al.28f are all consistent with the He(I) IPE spectrum firstly recorded by Evans et al.;28a,94,95 ii) the whole He(I) "PE spectral pattern is only present in ref. 28f, but its resolution is very poor; iii) the He(I) IIPE spectrum recorded by Evans et al.28a is very similar to that collected by Westmore et al.<sup>28e</sup> but significantly different from those published for the same molecule by Akopyan et al.28c and by Vovna et al.28f In particular, neither the British<sup>28a</sup> nor the Canadian<sup>28e</sup> research group revealed any PE feature between 9.22 and 10.16 eV; at variance to that, the He(I) spectra published by the Vovna group<sup>28c,28f</sup> are both characterized by the presence of a peak that Akopyan et al.28c placed at 9.64 eV. Finally, similarly to II, an extremely weak "PE feature seems to characterize the lowest IE region of the IIIHe(I) PE spectrum recorded by Vovna et al.<sup>28f</sup>

Van Dam and Oskam<sup>28b</sup> assigned "without any doubt" the lowest lying peak Y (7.46 eV, see Table S2 of the ESI†) of the He(I) <sup>I</sup>PE spectrum to the ionization from "metal d-orbitals" by exploiting their relative intensity variations upon switching from the He(I) to the He(II) ionizing source (see Fig. 2 of ref. 28b).<sup>97</sup> Actually, in the habit of the Gelius model,<sup>98</sup> the photoionization cross-section  $\sigma_i$  of the *i*<sup>th</sup> MO is

$$S_{i} = \mathop{a}\limits_{n,n!} c_{nl,n}^{i} S_{nl}^{n}$$
(1)

where  $(n\ell)$  sums over the states localized on the atomic centres v and contributing to the *i*th MO,  $S_{a\ell}^{n}$  corresponds to

the atomic subshell photoionization cross-section (ASPCS), and the  $C_{n\ell,n}^{i}$  coefficients account for the MO occupancy. According to Berndtsson *et al.*,<sup>99</sup> the  $C_{n\ell,n}^{i}$  coefficients are often approximated with the net atomic populations; obviously, this implies to neglect the overlap population contributions to the photoionization cross-section. Now, even though  $S_{2p}^{c}$ ,  $S_{2p}^{0}$ , and  $S_{3d}^{cr}$  ASPCS decrease upon the He(I)  $\rightarrow$  He(II) switching,<sup>100-</sup> <sup>101</sup> such a decrease is more pronounced for the 2pC/O AOs than for the 3d<sup>Cr</sup> ones. We then expect that the  $h\nu^{\text{He(I)}} \rightarrow h\nu^{\text{He(II)}}$ changing will be associated to a detectable weakening of the <sup>IPE</sup> features generated by the ionization from L-based MOs.

Spin-unrestricted NR Slater Transition State<sup>102</sup> (STS) calculations for the spin  $\uparrow$  18a<sub>1</sub> and 30e SOMOs have been carried out to approximately take care of the electronic structure relaxation upon ionization. STS outcomes ultimately confirm the assignment of the 'PE Y feature to the ionization from "metal d-orbitals" as proposed by Van Dam and Oskam<sup>28b,103</sup> on the basis of their He(II) measurements. More specifically, the weak and broad Y peak at ~ 7.5 eV appears to be generated by the ionization from the  $30e(\uparrow)$   $et_{2g}$  SOMOs (STS<sub>30e(†)</sub> = 6.84 eV, see Table 2 of the ESI<sup>+</sup>), strongly mixed with the eg-like ones (see Fig. 2), while STS results pertaining to the  $a_{2g}$  18a<sub>1</sub>(†) SOMO (STS<sub>18a1(†)</sub> = 7.47 eV) suggest that its ionization should be hidden under the lower IE side of the following PE feature (the band A centred at 8.06 eV in the He(I) <sup>I</sup>PE spectrum recorded by Evans et al.<sup>28a</sup>) together the ionization from the  $\pi_3$  acac-based  $15a_2$  DOMO whose IE appears to be substantially TM independent<sup>105</sup> (8.06,<sup>28a</sup> 8.14,<sup>28a</sup> 8.10,<sup>28a</sup> and 8.18<sup>28d</sup> eV in I, II, III, and Al(acac)<sub>3</sub>, respectively) as a consequence of the absence of any 3d AO transforming as the a<sub>2</sub> IR in the D<sub>3</sub> symmetry point group.

The electronic structure of II, when compared to I, is characterized by the presence of an extra electron. According to our preliminary qualitative considerations, such an electron will i) asymmetrically occupy the Mn 3d-based eg-like orbitals; ii) induce the genuine Jahn-Teller<sup>47</sup> distortion (see the Computational and Experimental Details) characterizing the  $\gamma$ structure of II;<sup>19</sup><sup>c</sup> iii) lift the degeneracy of the Mn<sup>III</sup> 3d-based  $e_g\text{-like}$  levels. Similarly to  $I,\ ^{\text{I}}\text{GS}$  ADF results confirm our prediction indicating the 94a(<sup>†</sup>) "HOMO and the 95a(<sup>†</sup>) "LUMO (see the central panel of Fig. 2) as the Mn<sup>III</sup> eg-like orbitals (strongly mixed with the  ${}^{\text{e}\text{t}_{2g}}$  ones), which account for the  $\sigma$ Mn-O antibonding interaction with the e linear combination of the acac-based n<sub>-</sub> FMO (see Figs. 3, 5 and S2 of the ESI<sup>+</sup>). Incidentally, the bonding partners of the 94a(1) "HOMO and the 95a(1) "LUMO correspond to the 85a and 86a DOMOs whose spin 1 components lie at -6.98 and -6.88 eV, respectively (see Figs. 2 and 3). Despite the absence of any symmetry element, it is then possible not only to recognize  $t_{2g}$ and eg-like orbitals among the frontier MOs of II but also to assess that the former set appears much more atom-like in II than in I, while the eg-like contribution to the TM-L interaction is rather similar in the Cr<sup>III</sup> and Mn<sup>III</sup> complexes (see Figs. 2, 3 and S2 of the ESI<sup>†</sup>).<sup>106</sup>

Useful information about this matter may be gained by exploiting the Ziegler transition state (ZTS)50 scheme.107 As a matter of fact, "BE is computed less negative than 'BE by 27 kcal/mol (see Table S1 of the ESI<sup>+</sup>), and two contributions oppositely participate to this finding: the  $\Delta E_{ster}$  term, lower in II than in I as a consequence of the Jahn-Teller distortion,<sup>19</sup>c,47 and the  $\Delta E_{int}$  term, much less negative in II than in I (the absence of any symmetry element in II prevents any " $\Delta E_{int}$ partitioning into contributions from distinct IRs). Besides the effects associated to the minor differences characterizing the structural arrangement of the (acac)<sub>3</sub><sup>3-</sup> fragment around the TM<sup>III</sup> ions (see Fig. S3 of the ESI<sup>†</sup>), the  $||\Delta E_{int}| < |\Delta E_{int}|$  result is directly related to the weakening of the TM-O interaction induced by the presence of the extra electron occupying the  $e_g$ -like 94a(†) "HOMO,<sup>106</sup> whose antibonding character with respect to the  $\sigma$  Mn–O interaction has been already stressed. Taking I as a reference once again, it is interesting to note that  $II^{NM} \sim II^{NM}$  when considering the (Mn–O)<sup>s</sup> bonds, while  $II^{NM} \sim \frac{1}{2}$  $II^{NM}$  for the (Mn–O)<sup>I</sup> ones (see Table S1 of the ESI<sup>†</sup>). Such a result may be straightforwardly rationalized by looking at the 94a(<sup>†</sup>) "HOMO and the 95a(<sup>†</sup>) "LUMO 3D plots (see Fig. 5). Similarly to I, "only" the Mn 3d-based  $t_{2g}$ -like orbitals are involved in the four (Mn–O)<sup>s</sup> bonds; while an eg-like antibonding SOMO is also involved when the two (Mn-O)<sup>1</sup> ones are considered.



Fig. 5 3D plots of the 94a(†) "HOMO and 95a(†) "LUMO. Displayed isosurfaces correspond to  $\pm 0.05~e^{1/2} {\AA}^{-3/2}$  values.

Before moving to the analysis of the He(I) "PE features, 28a, 28f, 94, 95 it is worth of note that theoretical outcomes so far considered are perfectly in tune with structural data reported in Table S3 of the ESI<sup>+</sup>, where selected optimized geometrical parameters for title complexes are compared with corresponding X-ray crystallographic results<sup>8c,19b</sup> and the DFT-B3LYP structures reported by Diaz-Acosta et al.8c-8d (optimized coordinates of I, II and III are reported in Tables S4, S5 and S6 of the ESI<sup>+</sup>, respectively). In more detail, the inspection of Table S3 of the ESI<sup>†</sup>, reveals that BP86 structural parameters quantitatively agree with both the X-ray crystallographic results<sup>8c,19b</sup> and DFT–B3LYP literature data.<sup>8c-8d</sup> Incidentally, as already mentioned, the ligand geometrical parameters appear to be negligibly affected along the series (see Fig. S3 of the ESI†).

Similarly to I, the spin-unrestricted NR  ${}^{s}TS$  calculations<sup>102</sup> carried out for the topmost spin  $\uparrow$  MOs prompt us to assign with confidence the very weak He(I) Y feature of the "PE to the ionization from the 94a( $\uparrow$ ) "HOMO ( ${}^{s}TS_{94a(\uparrow)} = 6.34$  eV), i.e., the

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Mn 3d-based eg-like SOMO antibonding in character with respect to the Mn–O  $\sigma$  interaction (see Fig. 5). As far as the broad band A and the evident shoulder on its lower IE side are concerned (see Fig. 1 of ref. 28f), they are together associated to the ionization from the three linear combinations of the  $\pi_3$  acac-based FMOs (the 93a – 91a DOMOs). Two reasons concur to minimize the splitting between the e and the a<sub>2</sub> linear combinations of the  $\pi_3$  acac-based FMOs (the  $\pi_3$  acac-based FMOs revealed in I: i) the absence of any symmetry elements in II allows a similar participation of Mn 3d-based AOs (~11%) to the  $\pi_3$ -based spin  $\uparrow$  MOs; ii) the Mn t<sub>2g</sub>-like SOMOs are more atom-like in II than in I (see Fig. 2), so that their interaction with  $\pi_3$ -based spin  $\uparrow$  MOs is poorer in II than in I (see Fig. 3).

The symmetric occupation of the 31e IIIHOMO prevents any Jahn-Teller<sup>47</sup> distortions of the IIIGS structure and, despite the absence of any doubt about the 31e IIIHOMO nature (strongly antibonding in character with respect to the Fe–O  $\sigma$  interaction, see Figs. 3, 6 and S2 of the ESI†), it deserves to be underlined that the overall participation of the Fe 3d AOs to the 31e IIIHOMO amounts to 33% with a comparable contribution of  $et_{2g}$  (19%) and  $e_g$ -like (14%) levels. It is then at least hasty to label the IIIHOMO as Fe 3d-based SOMOs.<sup>29a</sup>



Fig. 6 3D plots of the 31e(†)  $^{III}HOMO.$  Displayed isosurfaces correspond to  $\pm0.05$   $e^{1/2} \mathring{A}^{-3/2}$  values.

Even more unlikely appears the assessment of a SOMO nature<sup>29a</sup> for the 30e MO, whose localization on the Fe 3d-based AOs appears negligible (see Fig. 6 of ref. 29a). As such, the antibonding nature of the Fe eg-like spin † MOs (see Figs. 3, 6 and S2 of the ESI†) and the "non-bonding" character of the Fe t<sub>2g</sub>-like ones (see Fig. 3), make hard to justify not only the close spacing between et<sub>2g</sub> and eg-like SOMOs but also the rather large  $\Delta E$  (~ 2 eV) between et<sub>2g</sub> and at<sub>2g</sub> SOMOs (see Fig. 6 of ref. 29a).

Similarly to I and II, the BP86 optimized structural parameters of III agree very well with both the X-ray crystallographic results and the DFT–B3LYP structures reported by Diaz-Acosta *et al.*<sup>8c</sup> (see Table S3 of the ESI†). Interestingly, the Fe–O bond distances are intermediate between the Cr–O and the (Mn–O)<sup>1</sup> ones. Such a result, perfectly mirrored by the corresponding <sup>III</sup>INM value, is the consequence of the presence of two electrons in the two eg-like(†) SOMOs (the bonding partners correspond once again to the 28e(†) MOs, whose localization on the Fe<sup>III</sup> eg-like AOs amounts to 48%, see Figs. 2 and 3). Incidentally, the larger I<sup>NM</sup> decrease upon moving from I to III than from I to III is simply due to the different

localization of the 94a( $\uparrow$ ) (in **II**) and 31e( $\uparrow$ ) (in **III**) SOMOs (compare the corresponding 3D plots in Figs. 5 and 6, respectively). According to that, <sup>III</sup>BE is evaluated less negative than <sup>IBE</sup> by 61 kcal/mol (see Table S1 of the ESI $\uparrow$ ), and the major role in determining such an evidence is the reduced e contribution (~100 kcal/mol) to  $\Delta E_{int}$  as a consequence of the antibonding character of the symmetrically occupied 31e( $\uparrow$ ) <sup>III</sup>HOMO.

Before considering the lowest lying He(I) <sup>III</sup>PE spectrum assignment, two further remarks have to be done: i) as qualitatively anticipated by Pritchard and Autschbach,<sup>86</sup> only the spin  $\downarrow$  component of the  $(acac)_3^{3-}$ -based *n*. FMOs concur to the dative L  $\rightarrow$  Fe<sup>III</sup> bonding (see Fig. S2 of the ESI†); ii) even though the Fe–O interaction is certainly the most covalent among the investigated molecules (see the  $Q_{Hir}^{Fe}$  and  $Q_{Vor}^{Fe}$  values in Table S1 of the ESI†, and consider the 48% participation of the Fe<sup>III</sup> eg-like AOs to the 28e(†) MOs), the overall effect of such an increased symmetry-restricted covalency<sup>42</sup> is the Fe–O bond weakening.

Besides the already mentioned differences between the He(I) IIIPE spectra recorded by the British<sup>28a</sup>/Canadian<sup>28e</sup> research groups and that of Vovna<sup>28c,28f</sup> in the IE region ranging from 9.22 to 10.16 eV, it has to be also underlined that the He(I) IIPE spectrum recorded by the Russian group (see Fig. 1 of ref. 28f), similarly to II, seems to be characterized by the presence of an almost imperceptible feature at low IEs. In this context, it is of some relevance to remind the literature debate between the Lloyd and Orchard groups about the "weak lowionisation-energy band in the He(I) photoelectron spectrum of Fe(hfa)<sub>3</sub>", which took place early in the seventies.<sup>108,109</sup> Spin unrestricted NR STS calculations102 carried out for the symmetrically occupied 31e(†) "HOMO provide a theoretical estimate of the lowest IE (STS31e(t) = 6.95 eV) which would prompt us to agree with the Lloyd's assignment,96 even though the presence of a shadow of the prominent PE band A<sup>109</sup> cannot be ruled out.

**3.2 Unoccupied Electronic Structure.** Dipole allowed transitions imply that<sup>52</sup>

$$G_{gs} \ddot{A} G_{m} \ddot{A} G_{es} \acute{E} G_{sym}$$
<sup>(2)</sup>

where  $\Gamma_{GS}$ ,  $\Gamma_{\mu}$ ,  $\Gamma_{ES}$  and  $\Gamma_{Sym}$  correspond to the IRs of the TM(acac)\_3 electronic GS (a\_2 in I, e in II, and a\_1 in III),^{46} the dipole moment operator (a\_2 + e),^{52} the electronic ES ( $\Gamma_{iso} \otimes \Gamma_{GS} \otimes \Gamma_{fso}),^{52}$  and the totally symmetric representation (a\_1) of the idealized TM(acac)\_3 point group (D\_3), respectively. Eqn (2) may then evolve to

$$G_{GS} \ddot{A} a_2 \ddot{A} G_{iso} \ddot{A} G_{GS} \ddot{A} G_{fso} = a_2 \ddot{A} G_{iso} \ddot{A} G_{fso} \acute{E} a_1$$
(3a)

$$G_{GS} \ddot{A} e \ddot{A} G_{iso} \ddot{A} G_{GS} \ddot{A} G_{fso} = e \ddot{A} G_{iso} \ddot{A} G_{fso} \acute{E} a_1$$
(3b)

pointing out that, within the approximation, which reduces the complete one-electron excited configuration space (1h–1p space) to the subspace where only the core electrons are excited, the allowed electric dipole transitions imply

$$G_{iso} \ddot{A} G_{fso} = e$$
(4b)

In the D<sub>3</sub> point group, electric dipole transitions forbidden by symmetry are then limited to the  $a_1 \rightarrow a_1$  and  $a_2 \rightarrow a_2$  ones.<sup>52</sup>

Wang and Ziegler<sup>110</sup> have shown that spin-unrestricted TD-DFT calculations are able to provide a rather accurate evaluation of EEs even when dealing with open shell molecules.36b Lowest lying electronic excitations from the 1s0based DOMOs (the  $3a_1 + 2a_2 + 2e + 3e TM(acac)_3$  orbitals, TM = Cr and Fe)<sup>111</sup> will involve transitions to: i) TM based  ${}^{a}t_{2g}$  and  ${}^{e}t_{2g}$ SOMOs;<sup>7</sup> ii) TM based eg-like VMOs/SOMOs;<sup>7</sup> iii) linear combinations of the acac-based  $\pi_4$  VMO. Along the whole I – III series, transitions from the  $1s^{0}$ -based DOMOs to the  $at_{2g}$  and  $^{e}t_{2g}\ SOMOs^{7}\ cannot\ affect\ the\ GS\ spin\ multiplicities.$  In fact, ESs associated to the  $t_{2g}^4 e_g^0$  (I),  $t_{2g}^4 e_g^1$  (II), and  $t_{2g}^4 e_g^2$  (III) excited electronic configurations will necessarily correspond to quartets in I, quintets in II and sextets in III, if spin contamination is neglected. The same holds for transitions from the 1s<sup>o</sup>-based DOMOs to the eg-like SOMOs<sup>7</sup> in III. The situation is much more complicated when VMOs are involved; i.e., Cr<sup>III</sup>/Mn<sup>III</sup> 3d-based eg-like orbitals or linear combinations of the acac-based  $\pi_{e/o}$  virtual FMOs. For instance, in I the  $3a_1^2 \rightarrow 3a_1^1 \dots 32e^1$  electronic excitation (the 32e MO corresponds to the CrIII eg-like VMOs) may generate one sextet state (<sup>6</sup>E), two quartet states (<sup>4</sup>E), and a doublet state (<sup>2</sup>E). Quartet  $\rightarrow$  sextet and quartet  $\rightarrow$  doublet excitations, implying spin-flip or double excitation processes, will be ignored herein and, similarly to our studies on the CuPc,63e CuTPP63g and CuTPP(F)63g NK-edges, the OK-edge spectra of title compounds will be assigned by limiting our attention to excitations involving the same GS spin multiplicity.7

Upon 2p → 3d one-electron excitation, the TM<sup>III</sup> final electronic configuration is 2p53d4 in I, 2p53d5 in II and 2p53d6 in III. If the whole set of multiplets arising from the 3d<sup>4/6</sup> (3d<sup>5</sup>) configurations is collectively labelled as  $\mathsf{D}^{4/6}$   $(\mathsf{D}^5),^{112}$  the electronic states generated by the 2p<sup>5</sup>3d<sup>4/6</sup> (2p<sup>5</sup>3d<sup>5</sup>) configuration are:  ${}^{2}P \otimes D^{4} = {}^{2,4}S, {}^{2,4,6}P, {}^{2,4,6}D, {}^{2,4,6}F, {}^{2,4}G, {}^{2,4}H, {}^{2,4}I,$  ${}^{2}K$  (2P  $\otimes$  D<sup>5</sup> = 1,3,5S, 1,3,5,7P, 1,3,5D, 1,3,5F, 1,3,5G, 1,3,5H, 1,3I, 1,3K).<sup>112</sup> As a consequence of the ligand-field, covalent interactions and SOC admixture, these states will further split to generate a total of 6  $\times$  210 = 1260 (6  $\times$  252 = 1512) molecular magnetic spin sublevels with  $M_S$  = ±1/2, ±3/2, ±5/2 ( $M_S$  = 0, ±1, ±2, ±3). Reference to the TM<sup>III</sup> electronic configuration in I, II, and III<sup>7</sup> allows us to foresee that the one-electron excitation pattern describing  $Cr^{\scriptscriptstyle[I]}$  and  $Mn^{\scriptscriptstyle[I]}$  final states in the  $D_3$  structure will include states having spin multiplicity either equal to ( $\Delta S = 0$ ) or lower/higher than ( $\Delta S = \pm 1$ ) the GS one ( $^{I}S = 3/2$ ;  $^{II}S = 2$ ), a consequence of the presence of empty 3d-based MOs in both cases.117 At variance to that, the one-electron excitation pattern describing the Fe<sup>III</sup> final states will be dominated by states having a spin multiplicity equal to ( $\Delta S = 0$ ) or lower than  $(\Delta S = -1)$  the GS one (IIIS = 5/2).<sup>117</sup> We showed elsewhere<sup>35</sup> that these excitations involve mainly DOMO → SOMO transitions.

**3.2.1 O K-edge spectra**. The pre-edge *EE* region of the  $^{\circ}$ K-edge spectra of title molecules is displayed in Fig. 7 for the D<sub>3</sub> I and III and in Fig. 8 for the C<sub>1</sub> II.



Fig. 7 Experimental (upper panels) and simulated (lower panels)  $^{\circ}$ K-edge spectra of I (left panels) and III (right panels). Contributions from a<sub>2</sub> and e symmetries in the SR ZORA TD-DFT 1s<sup>o</sup> excitation spectra of I and III are also displayed. 1s<sup>o</sup> SR-ZORA ionization limits evaluated by adopting the LB94 functional<sup>68</sup> are 534.9 eV (I) and 534.6 eV(III). Both simulated spectra have been shifted by 12.2 eV and have a Gaussian broadening of 0.4 eV.

It is well known that the electric dipole allowed<sup>52</sup>  $1s^{L} \rightarrow mp^{L}$ transitions intensity quantifies the participation of the L donor atom mp AOs to the unoccupied frontier MOs.59-61 Unfortunately, data herein reported do not allow to achieve a of comparison the reliable quantitative experimental intensities because of the significantly different thickness of films, which likely the molecular yielded different morphologies.

Along the whole I - III series, the <sup>o</sup>K pre-edge EE region appears as an asymmetric broad and intense band centred at  $\sim$ 531.5 eV followed by a much less intense feature at  $\sim$  535 eV. The main peak (A, in Figs. 7 and 8) has a rather similar shape in I and II, while the details of the spectral pattern of III appear quite different with respect to those of I and II (compare the upper panels of Fig. 7 and the spectrum reported in Fig. 8). In fact, both in I and II a shoulder S (much more evident in I) is present on the higher EE side of A, while an equally evident shoulder is present only on the lower EE side of A in III. All together, it is patent that the experimental information provided by <sup>o</sup>K-edge spectra is not particularly reach. Thus, only the combined use of symmetry, orbitals and spectra appears to be the Hobson's choice to get some information from the experimental evidences. EEs and f values associated with the 1s<sup>o</sup> excitation spectrum as obtained from spinunrestricted SR-ZORA TD-DFT calculations carried for I, II and III are reported in Tables S7 - S9 of the ESI<sup>†</sup>, respectively, while the corresponding 1/IIIf distributions in the EE range 528 -537 eV are displayed in Fig. 7 (lower panels), where e + a2 contributions are also included, and in Fig. 8. The agreement between the 1s<sup>o</sup> excitation spectrum of I and the If distribution is amazing. Theoretical results included in Table S7 of the ESI<sup>+</sup>

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allow us to assign with confidence the main feature A of the <sup>o</sup>K-edge NEXAFS spectrum of I to states generated by the two lowest lying and accidentally degenerate  $1s^{\circ} \rightarrow \pi_4$  transitions. In both cases, the presence of the  $18a_1(\downarrow)$  <sup>I</sup>LUMO (sizably localized on the Cr<sup>III</sup> 3d z<sup>2</sup> AO) among the corresponding fsos deserves to be stressed. As far as the shoulder S on the higher *EE* side of A is concerned, major contributions come from states associated to  $1s^{\circ} \rightarrow e_g$ -like( $\uparrow$ ) LMCT excitations. According to spin-unrestricted SR-ZORA TD-DFT results, high lying VMOs are involved in states contributing to B.



**Fig. 8** Experimental and simulated <sup>o</sup>K-edge spectra of **II**. The 1s<sup>o</sup> SR-ZORA ionization limit evaluated by adopting the LB94 functional<sup>68</sup> is 534.8 eV (mean value). Theoretical *EE*s have been shifted by 12.6 eV and reported as vertical steaks because the optimized structure of **II** has no symmetry.

Even though the absence of any symmetry elements in the optimized structure of II does not allow a straightforward distinction between e and  $a_2$  excitations, the overall agreement between theory and experiment is once again startling (see Fig. 8). Interestingly, the lower *EE* side of the main feature A is generated by states all of them involving  $1s^{\circ} \rightarrow 95a(\uparrow)$  "LUMO LMCT excitations (see Table S8 of the ESI $\uparrow$ ). Similarly to I, two quasi degenerate excitations contribute to A in the  $1s^{\circ}$  NEXAFS spectrum of II. Even though major contributions come in both cases from  $1s^{\circ} \rightarrow \pi_4$  transitions, the inspection of Table S8 of the ESI $\uparrow$  reveals a tiny LMCT participation. At least four transitions are present on the higher *EE* side of A (see Table S8 of the ESI $\uparrow$ ), which, once again, mainly involve  $1s^{\circ} \rightarrow \pi_4$  excitations.

It has been already mentioned that the <sup>o</sup>K-edge NEXAFS spectrum of **III** reveals minor, but well evident, differences with respect to the spectral patterns of **I** and **II**. The agreement between theory and experiment (see Fig. 7, right panels) makes us confident about the possibility of shedding light into this matter. *EEs* and *f* values associated with the 1s<sup>o</sup> excitation spectrum as obtained from spin-unrestricted SR-ZORA TD-DFT calculations carried for **III** are reported in Tables S9 of the ESI† while the <sup>III</sup>*f* distribution in the *EE* range 528 – 537 eV is shown in Fig. 7 together the e + a<sub>2</sub> contributions. Data included in Table S9 of the ESI† allow us to assign the shoulder S on the lower *EE* side of the main feature A to states generated by 1s<sup>o</sup>  $\rightarrow$  <sup>a/e</sup>t<sub>2g</sub>-like( $\downarrow$ ) LMCT transitions. Several, closely spaced excitations contribute to A (see Table S9 of the ESI†); among them, the ones characterized by the largest <sup>III</sup>f values (33.4  $\times 10^{-3}$  and 34.9  $\times 10^{-3}$ ) are accidentally degenerate and purely  $1s^{\circ} \rightarrow \pi_4$  in nature.

**3.2.2 TM**<sup>III</sup> **L**<sub>2,3</sub>-edges spectra. The simulated  $L_{2,3}$ -edges spectrum is compared in Fig. 9 with the experimental one.



**Fig. 9** Simulated (black solid line) and experimental (black dotted line) L<sub>2,3</sub>-edges spectrum of **I**. Blue, green and red lines represent deconvolution of the simulated spectrum in terms of states with different spin multiplicity (S). The simulated spectrum has been shifted by 3.2 eV and has a Gaussian broadening of 1.0 eV.

Despite relative positions and shapes of the L<sub>3</sub> features are correctly reproduced, the opposite is true when the IL31 and <sup>1</sup>L<sub>3</sub><sup>2</sup> relative intensities are considered. As such, it is noteworthy that in the "L-edge X-ray absorption study of mononuclear vanadium complexes and spectral predictions using a restricted open shell configuration interaction ansatz" Maganas et al.57 pointed out that the relative intensity of the simulated lowest lying L<sub>3</sub> feature of the V(acac)<sub>3</sub> NEXAFS spectrum is dramatically influenced by the adopted functional and the selected semi-empirical parameters c1, c2, and c3 (see the panel C of Fig. 5 in ref. 57j). Here, rather than adopting different functionals and/or different c1, c2, and c3 values for different species to obtain the best agreement between theory and experiment, we preferred to adopt, throughout the paper, the same functional and the same set of  $c_1$ ,  $c_2$ , and  $c_3$  values to favour the comparison between homogeneous theoretical results.

The inspection of Fig. 9 testifies that most relevant contributions to the lower 'L<sub>3</sub> *EE* region (below 571 eV) arise from states having either GS (S = 3/2,  $\Delta$ S = 0) or lower (S = 1/2,  $\Delta$ S = -1) spin multiplicity. Moreover, states associated to the 'L<sub>3</sub><sup>1</sup> (S = 3/2, > 95%) and 'L<sub>3</sub><sup>2</sup> (S = 3/2, 62%) features lying at *EE* = 568.6 and 570.2 eV, respectively, mainly involve Cr<sup>III</sup>-based 2p  $\rightarrow a^{i/e}t_{2g}$  single electronic excitations. The successive broad and intense band envelope centred at 572.5 eV consists of two evident features, 'L<sub>3</sub><sup>3</sup> and 'L<sub>3</sub><sup>4</sup> at *EE* = 571.9 and 572.5 eV, respectively. States with  $\Delta$ S = 0 and  $\Delta$ S = ± 1 (28, 34 and 15%, respectively, see Fig. 9) similarly contribute to 'L<sub>3</sub><sup>3</sup>, while 'L<sub>3</sub><sup>4</sup> mainly include (57%) states with  $\Delta$ S = 0. In more detail, states having the GS spin multiplicity and contributing to 'L<sub>3</sub><sup>3</sup> involve Cr<sup>III</sup>-based single (16%) 2p  $\rightarrow e^{t_{2g}}$  transitions and

coupled-single<sup>57</sup> (84%) 2p  $\rightarrow$  <sup>a/e</sup>t<sub>2g</sub> SOMOs and <sup>a/e</sup>t<sub>2g</sub> SOMOs  $\rightarrow$  $e_g$ -like VMOs excitations. At variance to that, states with S = 5/2 ( $\Delta$ S = +1) and S = 1/2 ( $\Delta$ S = -1) mainly involve single 2p  $\rightarrow$  $\pi_4$  metal-to-ligand charge transfer (MLCT) transitions. States with  $\Delta S = 0$  (57%) and contributing to  ${}^{1}L_{3}{}^{4}$  involve the same, just mentioned, 2p  $\rightarrow$  <sup>a/e</sup>t<sub>2g</sub> SOMOs and <sup>a/e</sup>t<sub>2g</sub>  $\rightarrow$  e<sub>g</sub>-like coupled-single excitations. As far as the highest EE peak of the  $L_3$  region is concerned ( ${}^{1}L_3{}^{5}$  at *EE* = 574.6 eV in Fig. 9), it mainly (66%) includes states with  $\Delta S = 0$ , which involve coupled-single electronic excitations. Nevertheless, it has to be underlined that these transitions now correspond to  $2p \rightarrow a/et_{2g}$  SOMOs and  $a/et_{2g}$  SOMOs  $\rightarrow \pi_4$  VMOs MLCT excitations and differently from Mn(acac)<sub>2</sub>,<sup>35</sup> Co(acac)<sub>2</sub>,<sup>35</sup> FePc<sup>119</sup> and FePc( $\eta^2$ -O<sub>2</sub>)<sup>119</sup> do not imply states with  $\Delta S$  = -1. It is well known that main discrepancies between theory and experiment affect the  $L_2$ region;57j nevertheless, it is of some relevance to mention that the DFT/ROCIS  $^{1}L_{2}^{2} - ^{1}L_{3}^{3} \Delta EE$  (7 eV) satisfactorily reproduces the experimental value (7.5 eV). Any further assignment of the  ${}^{I}L_{2}$  feature is herein avoided as this EE region is not unambiguously determined by experiment.<sup>57</sup>

The "L2,3-edges NEXAFS spectrum, firstly recorded by Grush et al., 120 is superimposed to the simulated one in Fig. 10. The overall agreement between theory and experiment is definitely better than that obtained for I. In fact, not only the relative energy positions and the shape of the simulated L<sub>3</sub> features nicely match the experimental evidences, but also their relative intensities are properly reproduced by DFT/ROCIS calculations. As a whole, the  ${}^{\prime\prime}L_3$  spectrum is characterized by the presence of four spectral features. Similarly to I, the lowest lying ones ( ${}^{I}L_{3}{}^{1}$  and  ${}^{I}L_{3}{}^{2}$  at *EE* = 639.5 and 640.8 eV, respectively) are dominated (90 and 69%, respectively) by states having the same GS multiplicity and involving Mn<sup>III</sup>-based 2p → 3d electronic excitations. In more detail, the "L<sub>3</sub>1 shoulder involves only 2p  $\rightarrow$  <sup>a/e</sup>t<sub>2g</sub> SOMOs transitions, while the most intense  ${}^{II}L_{3}{}^{2}$  peak also involves the  $2p \rightarrow e_g$ -like SOMO excitation. Incidentally, states with  $\Delta S = -1$ and involving 2p  $\rightarrow$  VMO MLCT transition faintly (14%) contribute to  ${}^{\shortparallel}L_3{}^2$ . Alike  ${}^{\shortparallel}L_3{}^1$  and  ${}^{\shortparallel}L_3{}^2$ , states with  $\Delta S$  = 0 mainly contribute (51%) to the generation of the  ${}^{I\!I}L_{3}{}^{3}$  feature at 642.7 eV; nevertheless, coupled-single 2p  $\rightarrow$  SOMOs and SOMOs  $\rightarrow$ VMO electronic excitations are predominant (86%). It is worthwhile to mention that, both the SOMOs (a/et2g and eglike) and the VMO (eg-like), are Mn<sup>III</sup> 3d-based MOs. As far as the remaining 14% is concerned, it is generated by the 2p  $\rightarrow$ eg-like SOMO single excitation. Even though tiny, contributions to  $^{II}L_{3}^{3}$  from states with  $\Delta S$  = +1/-1 (15%/11%) cannot be ignored and they mainly involve  $2p \rightarrow VMOs MLCT$  transitions (see Fig. 10). States having the GS spin multiplicity ( $\Delta S = 0$ ) highly contribute (69%) to the highest lying  $"L_{3^4}$  feature at 645.2 eV, and likewise  $^{II}L_{3^{3}}$  both coupled-single (81%) and single (19%) electronic excitations are involved. Nevertheless, similarly to I, VMOs systematically correspond to the linear combinations of the  $\pi_4$  acac-based FMO. In more detail, both the single electronic excitations (2p  $\rightarrow \pi_4$ ) and the coupledsingle 2p  $\rightarrow$   $^{a/e}t_{2g}$  and  $e_g\text{-like}$   $\rightarrow$   $\pi_4$  transitions have a MLCT character. As a final remark, states with  $\Delta S = \pm 1$  contribute to the "L<sub>3</sub><sup>2</sup> and "L<sub>3</sub><sup>3</sup> central peaks (see Fig. 10), represent a 27% of

the total transitions and mainly involve high-lying acac-based VMOs. The theoretical  $\Delta EE$  between  ${}^{II}L_2{}^1$  and  ${}^{II}L_3{}^2$  (10 eV) semiquantitatively reproduce the experimental value (~11eV),^{120\cdot121} but no further assignment of  ${}^{II}L_2$  features is herein attempted as this region is not unambiguously determined by experiment.



**Fig. 10** Experimental (black dotted line) and simulated (black solid line) L<sub>2,3</sub>-edges spectra of **II**. Blue, green and red lines represent deconvolution of the simulated spectrum in terms of states with different spin multiplicity (S). The simulated spectrum has been shifted by 10.0 eV and has a Gaussian broadening 1.8 eV. Experimental spectrum was digitalized from the ref. 120.

The  ${}^{III}L_{2,3}$ -edges NEXAFS spectrum<sup>8h</sup> is superimposed to the simulated one in Fig. 11. The overall agreement is very good; positions, shapes and relative intensities of experimental features are properly reproduced both in the in  ${}^{III}L_3$  and  ${}^{III}L_2$  regions.



**Fig. 11** Experimental (black dotted line) and simulated (black solid line) L<sub>2,3</sub>-edges spectra of **III**. Blue and red lines represent deconvolution of the simulated spectrum in terms of states with different spin multiplicity (S). The simulated spectrum has been shifted by 10.3 eV and has a Gaussian broadening 1.2 eV. Experimental spectrum was digitalized from the ref. 8h.

The well defined, lowest lying  ${}^{III}L_{3^1}$  peak (*EE* = 708.3 eV) mainly includes (94%) states characterized by  $\Delta S = 0$  and generated by  $2p \rightarrow {}^{a/e}t_{2g}$  SOMOs single electronic excitations.

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Even though both states with  $\Delta S = 0$  and  $\Delta S = -1$  contribute to the most intense peak  ${}^{III}L_{3}{}^2$  (*EE* = 710.1 eV), the former (75%) significantly exceed the latter (see Fig. 11) and they are associated to  $2p \rightarrow e_g$ -like single electronic excitations. As far as states with  $\Delta S = -1$  are concerned, they involve MLCT transitions from the whole Fe<sup>III</sup> 2p set to the e linear combination of the acac-based  $\pi_4$  FMO.

On the higher energy side of IIIL<sub>3</sub><sup>2</sup> there are two well evident shoulders (  ${}^{I\!I}L_3{}^3$  and  ${}^{I\!I}L_3{}^4$  at 711.8 and 713.5 eV, respectively). Both states with  $\Delta S = 0$  and  $\Delta S = -1$  contribute to the <sup>III</sup>L<sub>3</sub><sup>3</sup> (51% vs 27%, respectively); moreover, Fe<sup>III</sup>-based single electronic excitations (2p  $\rightarrow$  e<sub>g</sub>-like) are involved in  $\Delta$ S = 0 states, while MLCT 2p  $\rightarrow \pi_4$  transitions contribute to  $\Delta S = -1$ states. Very similar considerations can be done for electronic excitations associated to states generating <sup>III</sup>L<sub>3</sub><sup>4</sup>, the only difference being the higher percentage of states with  $\Delta S$  = -1 (59%) with respect to those characterizes by the GS spin multiplicity (34%). As already mentioned, DFT/ROCIS calculations quantitatively reproduce the  ${}^{III}L_2$  –  ${}^{III}L_3$   $\Delta EE$  (~13 eV, see Fig. 11), but for the same reasons previously underlined we do not attempt any detailed assignment of this EE region.

# Conclusions

Occupied and empty states of HS Cr(acac)<sub>3</sub>, Mn(acac)<sub>3</sub>, and Fe(acac)<sub>3</sub> complexes have been thoroughly investigated. states of the three complexes have Ground been comparatively studied by considering the same octahedral coordinative environment of the TM<sup>III</sup> ion, the same oxidation number of the TM<sup>III</sup> ion, the same number of  $^{TM}t_{2g}$ -like electrons, and the progressive increasing of the <sup>™</sup>e<sub>g</sub>-like electron number. The TM-L bonding scheme of the  $D_3$ Cr(acac)<sub>3</sub> appears to be dominated by ionic interactions, while the  $D_3$  Fe(acac)<sub>3</sub> results the most covalent among the investigated complexes. Despite such an evidence, the use of the Ziegler transition state approach allowed us to state that the Fe(acac)<sub>3</sub> bonding energy is significantly lower than the Cr(acac)<sub>3</sub> one as a consequence of the symmetric occupation of the Fe 3d-based  $e_g$ -like orbitals, Fe–O  $\sigma$  antibonding in nature. Occupied frontier orbitals have been also studied by exploiting vapour-phase He(I) and, when available, He(II) literature PE data. Experimental evidences, nowadays not yet definitely assigned, have been discussed and compared with the outcomes of numerical experiments carried out in the framework of the DFT and by exploiting the Slater transition state method. Insights into the title molecules empty states have been gained by combining NEXAFS data at the <sup>o</sup>K-edge as well as at the <sup>™</sup>L<sub>3,2</sub>-edges with scalar relativistic ZORA TD-DFT and DFT/ROCIS calculations, respectively. Similarly to other TM complexes characterized by the presence of ligands with low lying empty  $\pi^*$  orbitals, the higher *EE* side of the <sup>1/II/III</sup>L<sub>3</sub>-edge systematically includes states, which involve metal-to-ligandcharge-transfer transitions. The good agreement between experiment and theory certainly encourages us to apply both approaches to different ligands and corresponding TM

complexes when interested to look into K-edge and  $L_{3,2}\text{-edges}$  evidences.

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 ${}^{1}G_{\pm}, {}^{1}F, {}^{1}D_{\pm}, {}^{1}S_{\pm}$  (6S, 4G, 4F, 4D, 4P, 2I, 2H, 2  $\times$  2G, 2  $\times$  2F, 2D,  ${}^{2}D_{\pm}, {}^{2}P, {}^{2}S$ ) for a total of 210 (252) microstates.<sup>115</sup> The number of final state pure spin-functions that can be formed from the 2p<sup>5</sup>3d<sup>4/6</sup> and 2p<sup>5</sup>3d<sup>5</sup> configurations are 450 and 600, respectively.

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