

Review



# Molecular Therapies for Myotonic Dystrophy Type 1: From Small Drugs to Gene Editing

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**Abstract:** Myotonic dystrophy type 1 (DM1) is the most common muscular dystrophy affecting many different body tissues, predominantly skeletal and cardiac muscles and the central nervous system. The expansion of CTG repeats in the DM1 protein-kinase (*DMPK*) gene is the genetic cause of the disease. The pathogenetic mechanisms are mainly mediated by the production of a toxic expanded CUG transcript from the *DMPK* gene. With the availability of new knowledge, disease models, and technical tools, much progress has been made in the discovery of altered pathways and in the potential of therapeutic intervention, making the path to the clinic a closer reality. In this review, we describe and discuss the molecular therapeutic strategies for DM1, which are designed to directly target the CTG genomic tract, the expanded CUG transcript or downstream signaling molecules.

**Keywords:** myotonic dystrophy; trinucleotide-expansion disease; DM1 mice; antisense oligonucleotides; molecular therapy; gene editing

## 1. Introduction

Myotonic dystrophy type 1 (DM1) is caused by an unstable expanded CTG repeat located within the 3' untranslated region (3' UTR) of the *DMPK* gene. The molecular mechanisms of DM1 are mainly the consequence of accumulation of mutant *DMPK* transcripts into ribonuclear foci leading to the impairment of alternative splicing and normal gene expression. Cell and animal models of DM1 have been crucial to providing insight into disease mechanisms and to revealing new therapeutic targets. This review outlines the clinical features and pathogenetic mechanisms of DM1 and provides an updated description of the many different therapeutic approaches for DM1, ranging from antisense- and small-drug-mediated strategies to gene therapy, with a focus on those under preclinical and clinical evaluation.

# 2. Myotonic Dystrophy Type 1: Clinical Features and Pathogenetic Mechanisms

DM1 is the most common dystrophy in adults, having an estimated worldwide prevalence of 1:20,000, with a recent report of 4.76:10,000 for *DMPK* CTG expansion  $\geq$ 50 CTG repeats in a newborn screening program in New York State, USA [1]. Clinical features of DM1 (Steinert's disease, OMIM# 160900) include muscle weakness, dysphagia, neuromuscular respiratory insufficiency, cardiac complications and cognitive, intellectual or behavioral impairment as well as sleep disorders. In the most severe forms, life quality and expectancy are seriously compromised [2–4]. DM1 results from CTG-repeat expansions in the 3' UTR of the *DMPK* gene on chromosome 19 [5,6]. The disease severity

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Copyright: © 2022 by the author. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https://creativecommons.org/licenses/by/4.0/). and age of onset are broadly correlated with the number of CTG repeats, with the highest (over 750) in the congenital form, while in non-affected individuals the number of repeats is up to 35 [2]. The number of repeats is usually unstable and tends to increase in some body tissues during lifetime (somatic instability) as well as in successive generations, leading to the phenomenon called "anticipation", where children of DM1 patients have a higher repeat number and more severe phenotypes compared to their parents [7]. Interestingly, in DM1 families with variant repeats, where GGC, CCG and CTC interruptions are present within the CTG-repeat array, the repeats are stabilized and the disease phenotypes are milder [8,9]. Several pathogenic mechanisms likely contribute to disease in DM1 (Figure 1) [4,10]. At the DNA level, epigenetic modifications may impact the development or severity of the phenotype in DM1 patients [11]. In DM1 patientderived cells and in a DM1 mouse model, the hairpin-like structures of the repeats can induce chromatin changes, such as CpG methylation, resulting in haploinsufficiency of DMPK and neighboring genes, or cause replication-fork stalling during DNA duplication, leading to cell stress [12–14]. Large experimental evidence supports the hypothesis of an RNA gain-of-function mechanism of the mutated DMPK transcript. CUG-containing RNAs sequester crucial nuclear factors of the muscleblind-like (MBNL) family into ribonuclear foci, thus preventing their normal functions that are mainly associated with the regulation of alternative splicing [15]. Splicing regulation is required for the proper development and maintenance of tissues in which the DMPK gene is highly expressed, such as in muscle and the nervous system [16]. The MBNL family and the CUGBP Elavlike family (CELF) are among the most important splicing regulators in skeletal and cardiac muscle, and act antagonistically on several pre-mRNA targets [17,18]. Nuclear retention of MBNL proteins in nuclear foci prevents pre-mRNA processing and export to the cytoplasm, leading to a decrease in protein translation, and the loss of functional MBNL1 is accompanied by CELF1 upregulation [19]. The increase in CELF1 levels is induced by protein-kinase-C (PKC)-mediated hyperphosphorylation, leading to protein stabilization [20]. Both sense and antisense repeated RNAs have been shown to contribute to the clinical phenotype of nucleotide-expansion diseases [21]. An antisense transcript emanating from the DMPK-adjacent SIX5 regulatory region spanning the CTG expansion was first identified in DM1 patient-derived cells. The transcript was shown to be converted into siRNAs, which are able to recruit DNA and histone methyltransferases, leading to heterochromatin formation [22]. Interestingly, in transgenic mice carrying the human DMPK locus, in addition to CUG-containing transcripts, CAG-containing transcripts were also found to form distinct ribonuclear foci [23].





**DM1 PATHOGENESIS** 

**Figure 1.** DM1 pathogenetic mechanisms and therapeutic strategies. The actions of molecular therapies for DM1 at different pathogenetic levels are illustrated: (1) at DMPK gene, drugs can inhibit CTG-repeat transcription and induce repeat contraction; ZFN, TALEN or CRISPR/Cas9 nucleases can modify gene sequence by inducing CTG-repeat contractions or deletions, or by inserting premature polyadenylation signals; (2) mutated DMPK mRNA can be functionally inactivated by drugs inducing degradation or binding to CUG repeats; (3) MBNL can be released from CUG repeats by disruption of MBNL:CUG interaction through competitive binding, and CELF levels can be regulated by protein kinase C and glycogen synthase kinase  $3\beta$ ; (4) altered signaling pathways downstream of DMPK transcript can be rescued by modulation of splicing and miRNAs; circRNAs and RAN translation could also be targets of future therapies.

Other mechanisms involved in DM1 pathogenesis are repeat-associated non-ATG (RAN) translation (reviewed in [24]), which results in the production of toxic protein aggregates containing polyglutamine from antisense CAG-repeated transcripts [25,26], microRNA (miRNA) dysregulation [27–30], and upregulation of circular RNA (circRNA) expression [31–33]. In addition to the ones described above, other signaling cascades are affected by the toxic *DMPK* RNA and may play important roles in DM1 pathogenesis. For example, MBNL and CELF regulators, besides being key splicing regulators, are likely involved in cytoplasmic pathogenetic processes altering proteostasis and sarcomere structure (reviewed in [34]). Omics studies have added new information to the previous knowledge, revealing several alterations in gene expression, alternative splicing, CpG methylation and proteins levels that potentially contribute to DM1 pathogenesis. In perspective, these new approaches can be crucial to evaluate the degree of therapeutic rescue and the off-target effects of drug candidates (reviewed in [35]).

## 3. DM1 Cell and Animal Models

In vitro models of DM1 have greatly contributed to clarifying the pathogenetic mechanisms of the disease. Among these, there are engineered cell lines with CTG repeats of different lengths inserted in minigenes [36,37], DM patient-derived primary myoblasts, immortalized myoblasts or MYOD1-converted fibroblasts [38–41], and embryonic stem cells [42]. Additionally, induced pluripotent stem cells (iPSCs) and iPSC-derived distinct cell types were used to study tissue-specific DM1 pathological alterations [43–46]. Recently, the first 3D in vitro human-muscle model of DM1 was developed by encapsulating patient-derived MYOD1-converted fibroblasts in hydrogels [47]. All of these cell models reproduce molecular alterations typical of DM1 and have been very useful for discovering crucial molecules and cellular pathways involved in the disease and for testing therapeutic strategies.

Drosophila models have also been used by several groups to study DM1. Interrupted CTG repeats of various lengths driven by either constitutive or inducible promoters were expressed in flies and DM1-related molecular as well as phenotypic alterations were observed in flies carrying more than 480 repeats [48–50]. Although DM1 modeling is complicated by the multifaceted impact of the DM1 mutation, many DM1 mouse models have been generated over time through the silencing of the *Dmpk* gene or the *Mbnl* family genes; alternatively, mouse models expressing CELF proteins or toxic CTG repeats in various tissues were produced, in order to mimic the different aspects of the disease and to discover therapeutic molecules (Table 1). It is unclear whether the DMPK haploinsufficiency observed in DM1 patients may affect functions of the tissues in which the gene is normally highly expressed, such as muscles and the central nervous system (CNS). To address this question, different Dmpk-knockout (KO) mouse models have been generated and characterized through the years with different results. Initial reports on Dmpk-KO mice described cardiac conduction defects [51] and mild myopathy [52]. Since these mice models were characterized by a mixed genetic background possibly leading to confounding effects, more recently a Dmpk-KO model backcrossed to two different pure genetic backgrounds was generated. This model did not confirm the previous observations, but showed that Dmpk gene deletion does not compromise cardiac or skeletal-muscle function [53]. Additionally, DMPK transcript silencing through antisense oligonucleotides (ASOs) was well tolerated in mice, rats and monkeys [54]. These findings suggest that reduction in DMPK expression should not be a prominent cause of the disease. Given the crucial regulatory role of MBNL proteins in DM1, different Mbnl KO models were generated to elucidate the role of each MBNL protein in the disease. Mbnl1 and Mbnl2 loss of function resulted in muscular and CNS symptom manifestation, respectively [55-57], while Mbnl3 KO caused a progressive delay in muscle regeneration and embryonic muscle differentiation abnormalities, in agreement with their expression profiles during development [58]. Mice with double *Mbnl1/Mbnl2* KO or *Mbnl1/Mbnl3* KO exhibited more severe phenotypes compared with the single KO [59,60] and the triple Mbnl1/2/3 KO in muscle tissues recapitulated the severe phenotype observed in congenital DM1, in both newborn and adult mice [61], supporting the idea of a prominent role of MBNL proteins and alternative splicing dysregulation in DM1 pathogenesis. To determine the role of CELF proteins in DM1 pathogenesis, mouse models overexpressing CELF1 and CELF2 in skeletal and/or cardiac muscle were generated [62-65]. Overexpression of CELF1 was shown to reproduce DM1associated histopathological and functional changes [63]. Notably, CELF1/2 overexpressing mouse models have revealed a strong pattern of antagonistic regulation of mRNA levels by CELF and MBNL proteins through competitive binding to 3' UTR regions [64] (Table 1A).

**Table 1.** DM1 mouse models used for studying pathogenetic mechanisms and/or molecular therapies.

|  |  | (A) Knockout and Ov  | verexpressing Models  |  |         |
|--|--|--|---|--|---------|
| Mouse Model  | Generation Strat-<br>egy   | DM1-Like Features  | Limitations   | Research Application   | Ref     |
| DMPK-/-  | Dmpk KO via replace-<br>ment of 5'-UTR and<br>exons 1-7 with hygro-<br>mycin cassette                              | Late-onset mild myopathy and<br>altered Ca++ homeostasis   | Mild phenotype; possible con-<br>founding insertional effects on<br>flanking genes; mixed genetic<br>background | Relevance of absence of<br>DMPK protein to DM1<br>phenotype                            | [52,66] |
| DMPK-/-  | Dmpk KO via replace-<br>ment of 5'-UTR and<br>exons 1-7 with neo-<br>mycin cassette                                | Late-onset mild myopathy; de-<br>creased force generation; altered<br>Na <sup>+</sup> currents in skeletal muscles;<br>cardiac conduction defects                          | Mild phenotype; possible con-<br>founding insertional effects on<br>flanking genes; mixed genetic<br>background | Relevance of absence of<br>DMPK protein to DM1<br>phenotype                            | [51,67] |
| DMPK-/-  | Dmpk KO via replace-<br>ment of 5'-UTR and<br>exons 1-7 with hygro-<br>mycin cassette                              | No phenotype   | Failure to replicate the DM1 phenotype  | Relevance of absence of<br>DMPK protein to DM1<br>phenotype                            | [53]    |
| Mbnl1 <sup>de3/de3</sup>   | Mbnl1 KO via tar-<br>geted deletion of<br>Mbnl1 exon 3   | Mild myotonia and myopathy<br>(centralized nuclei, split fibers);<br>heart conduction defects; pro-<br>gressive cataracts; AS alterations<br>Development of several CNS al | Mild muscle phenotype; mild<br>brain alterations; limited<br>spliceopathy                                       | Evaluation of MBNL1<br>splicing regulation to DM1<br>phenotype                         | [56,57] |
| Mbnl2 <sup>4E2/4E2</sup>   | <i>Mbnl2</i> KO via tar-<br>geted deletion of<br><i>Mbnl2</i> exon 2   | terations (REM sleep propensity,<br>deficit in spatial memory, de-<br>creased synaptic plasticity), AS<br>alterations  | Failure to replicate the DM1 muscular phenotype   | Evaluation of MBNL2<br>splicing regulation to DM1<br>phenotype                         | [55]    |
| Mbnl3 <sup>4E2</sup>   | <i>Mbnl3</i> KO via tar-<br>geted deletion of<br><i>Mbnl3</i> exon 2 (X-<br>linked)                                | Progressive delay in muscle re-<br>generation; abnormalities in em-<br>bryonic muscle differentiation<br>leading to neonatal hypotonia                                     | Possible compensation by<br>MBNL3 truncated isoform or<br>other MBNI family members                             | Evaluation of MBNL3 con-<br>tribution to DM1 pheno-<br>type                            | [58]    |
| Mbnl1 <sup>ΔE3/ΔE3</sup> ;<br>Mbnl2 <sup>C/C</sup> ;<br>Myo-Cre <sup>+/-</sup>                         | <i>Mbnl1</i> KO; skeletal-<br>muscle specific Cre-<br>mediated <i>Mbnl2</i> KO                                     | small size at birth and skeletal<br>abnormalities; myopathy and se-<br>vere motor deficits; AS altera-<br>tions also in brain tissues                                      | - High neonatal mortality and reduced lifespan  | Evaluation of MBNL1 and<br>MBNL2 contribution to<br>DM1 muscular phenotype             | [60]    |
| Mbnl1 <sup>4E3/AE3</sup> ;<br>Mbnl3 <sup>4E2</sup>   | Mbnl1 and Mbnl3 KO<br>via targeted deletion<br>of Mbnl1 exon 3 and<br>Mbnl3 exon 2                                 | Myotonia and myopathy; reduc-<br>tion in muscle strength; chloride<br>currents alteration; AS altera-<br>tions; translation defects  | AS alterations similar to <i>Mbnl1</i> single knock out; lack of brain alterations                              | Evaluation of MBNL1 and<br>MBNL3 contribution to<br>DM1 phenotype                      | [59]    |
| Mbnl1 <sup>ΔE3/ΔE3</sup> ;<br>Mbnl2 <sup>C/C</sup> ;<br>Mbnl3 <sup>C</sup> ;<br>Myo-Cre <sup>+/-</sup> | Mbnl1 KO; muscle-<br>specific Cre-mediated<br>Mbnl2 and Mbnl3 KO   | Severe congenital myopathy and<br>spliceopathy, severe respiratory<br>difficulties and muscle wasting<br>in adults; gene expression<br>changes                             | High neonatal mortality and reduced lifespan  | Evaluation of all MBNL<br>proteins loss contribution<br>to DM1 muscular pheno-<br>type | [61]    |
| MCKCUGBP1  | Insertion of human<br>CELF1 transgene un-<br>der striated-muscle-<br>specific MCK mouse<br>promoter                | Chains of central nuclei in myo-<br>fibers, increased NADH reactiv-<br>ity, degenerating fibers and AS<br>alterations  | Neonatal lethality in mice expressing high levels of CELF1  | Contribution of CELF1<br>overexpression to DM1<br>muscular phenotype                   | [62]    |
| TRECUGBP1  | Insertion of Tet-re-<br>sponsive human<br><i>CELF1</i> transgene;<br>heart-specific rtTA ex-<br>pression           | Left ventricular systolic dysfunc-<br>tion and dilatation, AS altera-<br>tions   | DM1-like phenotype limited<br>to heart defects  | Contribution of CELF1<br>overexpression to DM1<br>heart phenotype                      | [63]    |
| TRECUGBP1  | Insertion of Tet-re-<br>sponsive human<br><i>CELF1</i> transgene;<br>skeletal-muscle-spe-<br>cific rtTA expression | Myofibers containing central nu-<br>clei, decreased muscle weight,<br>impaired muscle function, AS al-<br>terations  | DM1-like phenotype limited<br>- to skeletal-muscle defects  | Contribution of CELF1<br>overexpression to DM1<br>skeletal-muscle phenotype            | [65]    |
| TRECUGBP2  | Insertion of Tet-re-<br>sponsive human<br><i>CELF2</i> transgene;<br>heart-specific rtTA ex-<br>pression           | No observed heart pathology;<br>AS alterations similar to those<br>observed in TRECUBP1 mice   | Mild heart phenotype  | Contribution of CELF2<br>overexpression to DM1<br>heart phenotype                      | [64]    |

| (B) Transgenic Models with Repeat Expansion |  |                                     |   |  |   |                |  |
|---|--|-------------------------------------|---|--|---|----------------|--|
| Mouse<br>Model                              | Generation Strat-  | (CTG)n                              | DM1-Like Features   | Limitations  | Research Applica-<br>tion   | Ref            |  |
| DM200                                       | Insertion of a Tet-re-<br>sponsive expanded<br><i>DMPK</i> transgene<br>where <i>DMPK</i> cod-<br>ing region is re-<br>placed by GFP                               | 200                                 | Ribonuclear foci; MBNL1 seques-<br>tration; AS alterations; myotonia,<br>progressive cardiac conduction<br>abnormalities  | Splicing altera-<br>tions in the<br>heart have not<br>been described   | Study of DM1 pheno-<br>type associated with<br>toxic CUG repeats;<br>modeling muscle re-<br>generation; test of<br>therapeutic strategies     | [68–70]        |  |
| DM300                                       | Insertion of a 45Kb<br>human genomic<br>fragment containing<br><i>DMWD, DMPK</i> and<br><i>SIX5</i> genes from a<br>DM1 patient                                    | ~300                                | Ribonuclear foci (skeletal muscle,<br>heart and brain); myotonia; mus-<br>cle atrophy; morphological abnor-<br>malities; changes in the distribu-<br>tion of MAPT/Tau protein iso-<br>form; defect in glucose metabo-<br>lism | High mortal-<br>ity; mild splic-<br>ing alterations;<br>intergenera-<br>tional instabil-<br>ity of CTG-re-<br>peat numbers<br>High mortal-                     | Evaluation of <i>DMPK</i><br>transcript toxicity in<br>different tissues  | [71,72]        |  |
| DMSXL                                       | Insertion of a 45Kb<br>human genomic<br>fragment containing<br><i>DMWD, DMPK</i> and<br><i>SIX5</i> genes from a<br>DM1 patient                                    | >1000                               | Ribonuclear foci; MBNL1 seques-<br>tration; AS alterations; deficits in<br>motor performance; behavioral ab-<br>normalities; synaptic dysfunction;<br>inhibition of exploratory activity<br>and cerebellar glial dysfunction  | ity; severe<br>body-weight<br>reduction; in-<br>terindividual<br>variability; de-<br>creased<br>transgene ex-<br>pression with<br>aging; mild<br>muscular phe- | Evaluation of DMPK<br>transcript toxicity in<br>different tissues and<br>in multiple brain cell<br>types; test of thera-<br>peutic strategies | [23,73,7<br>4] |  |
| HSA <sup>LR</sup>                           | Insertion of the hu-<br>man skeletal actin<br>( <i>HSA</i> ) gene includ-<br>ing CTG repeats in<br>the 3' UTR  | ~250                                | Ribonuclear foci; AS alterations;<br>myotonia and muscle histopathol-<br>ogy abnormalities (increase in cen-<br>tral nuclei and variability in fiber<br>size) after six months of age   | Limited to<br>skeletal mus-<br>cle; does not<br>contain <i>DMPK</i><br>gene sequence;<br>absence of<br>muscle weak-<br>ness                                    | Investigation of ex-<br>panded-CUG-repeat<br>toxicity<br>in muscle fibers; test<br>of therapeutic strate-<br>gies                             | [75,76]        |  |
| LC15  | Insertion of CTG ex-<br>panded DMPK 3'<br>UTR downstream<br>Luciferase gene<br>driven by CMV-βA<br>promoter  | 250-400                             | Ribonuclear foci, AS alteration<br>and MBNL2 upregulation in the<br>heart; reduced Na <sup>*</sup> and K <sup>*</sup> channel<br>activity; ventricular arrhythmias  | DM1-like phe-<br>notype limited<br>to heart defects  | Evaluation of bio-<br>physical mechanisms<br>reproducing DM1-<br>like electrocardio-<br>graph abnormalities                                   | [77]           |  |
| EpA960/<br>α-MHC-Cre                        | Insertion of CTG ex-<br>panded <i>DMPK</i> exon<br>15 transgene con-<br>taining Cre-respon-<br>sive loxP sequences;<br>heart-specific myo-<br>sin Cre expression   | 960<br>(CTCGA-<br>inter-<br>rupted) | Ribonuclear foci; MBNL1 seques-<br>tration; CELF1 protein upregula-<br>tion; AS alterations;<br>cardiomyopathy, arrhythmias;<br>systolic and diastolic dysfunction  | Does not re-<br>produce CTG-<br>repeat continu-<br>ity; mouse<br>model no<br>longer availa-<br>ble   | Evaluation of <i>DMPK</i><br>transcript toxicity and<br>CELF1 overexpres-<br>sion in heart tissue   | [78]           |  |
| EpA960/<br>HSA-Cre                          | Insertion of CTG ex-<br>panded <i>DMPK</i> exon<br>15 transgene con-<br>taining Cre-respon-<br>sive loxP sequence;<br>skeletal-muscle-spe-<br>cific Cre expression | 960<br>(CTCGA-<br>inter-<br>rupted) | Ribonuclear foci; MBNL1 seques-<br>tration; CELF1 protein upregula-<br>tion; AS defects; myotonia and<br>progressive muscle wasting, defi-<br>cits in muscle performance and<br>histopathological abnormalities               | Does not re-<br>produce CTG-<br>repeat continu-<br>ity; mouse<br>model no<br>longer availa-<br>ble   | Evaluation of <i>DMPK</i><br>transcript toxicity and<br>CELF1 overexpres-<br>sion in skeletal tissue  | [79]           |  |
| EpA960/<br>CamKII-Cre                       | Insertion of CTG ex-<br>panded <i>DMPK</i> exon<br>15 transgene con-<br>taining Cre-respon-<br>sive loxP sequence;<br>brain-specific Cre<br>expression             | 960<br>(CTCGA-<br>inter-<br>rupted) | Ribonuclear foci; MBNL1 seques-<br>tration; AS alterations; learning<br>disability; neurotransmission dys-<br>function; brain atrophy and aging   | Does not re-<br>produce CTG-<br>repeat continu-<br>ity; mouse<br>model no<br>longer availa-<br>ble   | Identify mechanisms<br>involved in CTG-de-<br>pendent neuronal de-<br>generation  | [80]           |  |

| TREDT960Ι/<br>α-MHC-rtTA | Insertion of Tet-re-<br>sponsive expanded<br>DMPK exons 11–15<br>transgene; heart-<br>specific rtTA expres-<br>sion         | 960<br>(CTCGA-<br>inter-<br>rupted) | Ribonuclear foci; MBNL1 seques-<br>tration; CELF1 protein upregula-<br>tion; AS alterations ; arrhythmias                     | Does not re-<br>produce CTG-<br>repeat continu-<br>ity | Study of alteration of<br>ion transport and ac-<br>tion potential in car-<br>diomyocytes express-<br>ing toxic CUG | [81,82] |
|--------------------------|---|-------------------------------------|---|--|--|---------|
| TREDT960I/<br>MDAF-rtTA  | Insertion of Tet-re-<br>sponsive expanded<br>DMPK exons 11–15<br>transgene; skeletal-<br>muscle-specific rtTA<br>expression | 960<br>(CTCGA-<br>inter-<br>rupted) | Ribonuclear foci; MBNL1 seques-<br>tration; CELF1 protein upregula-<br>tion; AS alterations; muscle wast-<br>ing and myopathy | Does not re-<br>produce CTG-<br>repeat continu-<br>ity | Study the mecha-<br>nisms of CUG-repeat-<br>induced muscle tissue<br>loss  | [83]    |

Abbreviations: AS = alternative splicing; ChP = brain choroid plexus; CMV $\beta$ A = cytomegalovirus enhancer/ $\beta$ -actin; GFP = green fluorescent protein; KO = knockout; MDAF = expression vector carrying regulatory sequences for the rat myosin light chain 1/3 gene; MHC = myosin heavy chain; Myo = myogenin; NADH = nicotinamide adenine dinucleotide; polyA = polyadenylation; rtTA = reverse tet transactivator.

Based on the assumption that the expanded DMPK transcript is the main cause of DM1 disease, many different mouse models expressing expanded CUG transcripts either ubiquitously or in specific tissues were generated to model the disease mechanisms (Table 1B). The multisystemic impact of CUG expansions is well recapitulated in DM200, DM300 and in DMSXL transgenic mice carrying the 3' UTR portion or the entire human DMPK gene with CTG repeats of different lengths, the phenotype being more severe in mice with larger expansion [23,68,71]. In DM300 and DMSXL mice, transgene expression resulted in the accumulation of ribonuclear foci in various tissues and in the development of muscle weakness, behavioral abnormalities, growth retardation and perinatal mortality [23,71– 74]. Recently, a mouse model constitutively expressing CTG repeats within the DMPK context was generated, which exhibited particularly high CUG expression in the heart (LC15). These mice reproduced DM1-like cardiac defects [77]. Skeletal-muscle-specific, heart-specific and brain-specific DM1-like features have been reproduced in mouse models expressing the repeat expansion in the respective tissues, either constitutively (HSALR) [76] or inducibly (EpA960 and TREDT960I mice strains) [75,78-83]. The tissue-specific phenotypes are usually strong and suitable for testing therapeutic molecules. However, at the same time, they do not recapitulate the multisystemic DM1 phenotype. In the inducible models, CTG repeats interrupted with stretches of CTCGA have been inserted in a portion of the DMPK human transgene. Interrupted repeats have the advantage of being more stable than CTG repeats, but may not exactly reproduce the human DM1 disease condition. Each of these mouse models exhibits advantages and limitations mostly depending on the temporal and spatial control of the transgene expression (detailed in Table 1). Taken together, transgenic mouse models have been crucial to understanding DM1 pathogenetic mechanisms and to testing therapeutic approaches.

## 4. Molecular Therapies Acting on DMPK Gene Expression

A number of therapeutic approaches have been tested for DM1, and some of them are in the preclinical stage of development in animal models and more recently in human clinical trials [84]. They have been designed to specifically target the mutant allele, its RNA product, or its downstream signaling pathways (Figure 1). Currently, the small-molecule approach is one of the preferred pharmacological strategies to treat diseases due to its robustness and cost-effectiveness. In the last few years, some of these compounds have shown significant activity against DM1 pathogenetic repeats through various mechanisms such as the inhibition of DNA transcription by binding CTG repeats, degradation of the toxic mutant transcript, release of MBNL from the ribonuclear foci through inhibition of MBNL:CUG transcript interaction, and lastly modulation of signaling pathways of downstream repeated RNA expression [85].

#### 4.1. Induction of Repeat Contractions and Transcription Inhibition at DMPK Gene

A variety of approaches have been used in past and recent years to identify factors that can modify the instability of CAG/CTG repeats. These include reagents that directly interact with the repeat tract and DNA-repair proteins that act in pathways to enhance or suppress instability. Certain DNA-damaging agents, including UV light, alkylating and intercalating drugs, and anticancer agents such as cisplatin and X-rays were shown to increase the rate of deletion or induce suppression of somatic expansion of CTG/CAG repeats [86,87]. Other drugs such as caffein and DNA-replication inhibitors, on the contrary, increased the rate of expansion [86,88,89]. These pioneer studies have demonstrated that exposure to exogenously added compounds can specifically alter the genetic instability of the expanded CTG tract at the DM1 chromosomal locus in patient cells. In addition, they have highlighted that interference with DNA-replication and DNA-repair mechanisms is crucial to determining repeat expansion or contraction. An updated overview of modifiers of CAG/CTG-repeat instability in mammalian models can be found in [90]. Unfortunately, most of the tested drugs are effective at doses that are not therapeutically relevant and often lack sufficient specificity. Among the small molecules, the compound naphthyridine-azaquinolone (NA) has recently been shown to directly engage the repeat tract at the DNA level. NA can bind to slipped CAG DNA intermediates of expansion mutations of Huntington disease (HD) and efficiently induces repeat contraction and/or prevent expansion in HD patient cells and in the HD mouse model. NA specifically binds to CAG but not to CTG repeats and induces contractions only in the expanded allele in a transcription-dependent but replication-independent fashion [91]. Interestingly, an ASO-binding CUG-expanded RNA was also shown to reduce repeat instability in DM1 cell and mouse models, although the mechanism is not known [92].

Transcription elongation is a promising therapeutic target for repeat-expansion mutations including CTG repeats. For example, the transcription elongation factor Spt4 was shown to be required for transcription of expanded repeats [93]. Silencing of its human ortholog SUPT4H1 inhibits the expression of expanded repeats in cultured cells derived from patients affected by repeat-expansion diseases [94,95]. Since SUPT4H1 is involved in transcription of different types of repeats in both sense and antisense directions, this gene may be considered as a shared therapeutic target for repeat-expansion diseases. The antimicrobial drug pentamidine and related antibiotics were shown to bind CTG/CAG repeats, reduce the expression of expanded CUG RNA and rescue splicing defects in Hela DM1 cells expressing CTG repeats and in HLA<sup>LR</sup> mice. However, toxicity was observed in treated mice, possibly due to insufficient binding specificity [96]. Additionally, actinomycin D, a DNA intercalator that preferentially inserts at GpC dinucleotides, was reported to reduce the expression of expanded CUG RNA at doses below those necessary for general transcription inhibition [97]. Selective modulation of toxic CUG RNA by transcription inhibition was recently obtained by using microtubule inhibitors such as colchicine, possibly via regulation of chromosome dynamics by microtubules through the linker-of-nucleoskeleton-and-cytoskeleton (LINC) complex [98].

## 4.2. Targeting Expanded CUG RNA

In the last decade, a number of therapeutic approaches aimed at neutralizing the toxic effect of CUG expansion in DM1 have been developed. The properties and delivery strategies of nucleic-acid-based therapeutic molecules are summarized in Table 2. A widely studied group of drugs belongs to the class of ASOs [99]. Since unmodified ASOs can be degraded by nucleases in blood serum and eliminated via renal filtration [100], chemical modifications of ASOs have been introduced in order to increase the stability and efficacy of in vivo applications. Thanks to these features, modified ASOs can be directly administered without carriers, thus reducing the manufacturing costs [101]. Common changes to ASOs include backbone modifications (phosphorothioate and phosphorodiamidate, instead of phosphodiester) and/or sugar-ring modifications (2'-O-methyl (2'OMe), 2'-O-

methoxyethyl (MOE), 2',4' carbon link (LNA, locked nucleic acid), 2',4' constrained ethyl (c-Et) and morpholino rings (PMO)) [99,102]. ASOs were designed with two different aims: (i) to block MBNL1 interaction by binding to CUG repeats or (ii) to induce the degradation of CUG-expansion-containing *DMPK* mRNA.

| Molecule<br>Class | Target                         | Therapeutic Mole-<br>cule                                    | Mechanism                               | DDS          | Admin.<br>Route | Study Phase                | Ref                 |
|-------------------|--------------------------------|--|---|--------------|-----------------|----------------------------|---------------------|
|                   | DMPK CUGexp                    | PMO-CAG25, 2'-OMe-<br>CAG, LNA-CAG mix-<br>mers, all-LNA-CAG | MBNL1 binding block                     | Naked        | IM              | Preclinical                | [92,103–105]        |
|                   | DMPK CUGexp                    | РРМО-В, РРМО-К;<br>Рір6а-РМО                                 | MBNL1 binding block                     | CPP-conj     | IM, IV          | Preclinical                | [106,107]           |
|                   | DMPK CUGexp                    | miniPEG-γ PNA  | MBNL1 binding block                     | Polymer-conj | SC              | Preclinical                | [108,109]           |
|                   | DMPK 3'UTR                     | MOE gapmers, c-Et gap-<br>mers, LNA gapmers                  | DMPK mRNA degradation                   | Naked        | IM, SC, ICV     | Preclinical                | [54,70,110–<br>112] |
| ASOs              | DMPK CUGexp                    | LNA gapmers, MOE<br>gapmers                                  | Mutated DMPK mRNA deg-<br>radation      | Naked        | IM              | Preclinical                | [113]               |
|                   | DMPK 3'UTR                     | IONIS-DMPKRx   | DMPK mRNA degradation                   | Naked        | SC              | Clinical<br>(completed)    | [114]               |
|                   | DMPK 3'UTR                     | palmitoyl-c-Et gapmers                                       | DMPK mRNA degradation                   | Lipid-conj   | SC              | Preclinical                | [69]                |
|                   | miRNAs targeting<br>Mbnl1 mRNA | cholesterol-2'OMe-ASOs                                       | AntagomiR                               | Lipid-conj   | SC, IV          | Preclinical                | [115,116]           |
|                   | Mbnl1 3'UTR                    | Pip9b2-PMO   | BlockmiR                                | CPP-conj     | IV              | Preclinical                | [117]               |
| siRNA             | DMPK CUGexp                    | siRNA-CAG  | Mutated DMPK mRNA deg-<br>radation      | Nacked       | IM              | Preclinical                | [118]               |
|                   | DMPK mRNA                      | AOC 1001   | DMPK mRNA degradation                   | Ab-conj      | IV              | Clinical (recruit-<br>ing) | [119]               |
| rAAV              | DMPK downstream pathway        | MBNL1  | MBNL1 overexpression                    | rAAV1        | IM              | Preclinical                | [120]               |
|                   | DMPK downstream<br>pathway     | MBNL1  | Competition for CUGexp in-<br>teraction | rAAV9        | IM              | Preclinical                | [121]               |
|                   | DMPK CTG span-<br>ning region  | Sa/eSpCas9-sgRNAs  | CTGexp removal                          | rAAV9        | IM              | Preclinical                | [122,123]           |
|                   | DMPK CTGexp                    | dSaCas9-sgRNA  | Transcription inhibition                | rAAV6, rAAV9 | IV              | Preclinical                | [124]               |
|                   | DMPK CUGexp                    | RCas9-sgRNA  | DMPK mRNA degradation                   | rAAV9        | IV, TA          | Preclinical                | [125]               |

Table 2. Nucleic-acid-based molecules in preclinical studies and clinical trials for DM1.

Abbreviations: Ab-conj = antibody-conjugated; Admin. Route = administration route; CPP = cellpenetrating peptide; CTGexp = CTG expansion; CUGexp = CUG expansion; DDS = drug delivery system; dSaCas9 = deactivated *Staphylococcus aureus* Cas9; ICV = intracerebroventricular; IM = intramuscular; IV = intravenous; Naked = not conjugated ASOs; rAAV = recombinant adeno-associated virus; RCas9 = RNA targeting Cas9; RO = retro orbital; eSpCas9-sgRNAs = enhanced *Streptococcus pyogenes Cas9*-single guide.

## 4.2.1. ASOs Blocking MBNL1/2 Binding

Different types of molecules with structures that are incompatible with RNase H degradation and that target CUG repeats to block interaction with MBNL1 have been used: PMO-CAG25 [104], 2'-OMe-CAG ASOs [103,126], LNA-CAG mixmers [92], all-LNA-CAG ASOs [105]. The effects of these molecules have been tested following intramuscular injection in either an HSA<sup>LR</sup> mouse model or in mice expressing a full *DMPK* transgene containing 500 triplets derived from the DM300 mouse line [103]. In all cases, a reduction in the number of foci, redistribution of MBNL1 protein and efficient correction of abnormal RNA splicing were obtained [103–105]. In particular, in the HSA<sup>LR</sup> model recovery of the alternative splicing of the muscle chloride-channel (*Clcn1*) transcript, which is involved in myotonia, was associated to a marked reduction in the defect [104]. Interestingly, a PMO-ASO-mediated approach was applied to correct defective splicing of *Clcn1* and led to amelioration of myotonia in HSA<sup>LR</sup> [127]. Additionally, intramuscular injection of an optimized ASO sequence targeting *Clcn1* pre-mRNA, followed by ultrasound-mediated bubble liposome exposure, proved to be an effective strategy for ASO delivery into muscle tissues [128]. The penetration of ASOs in DM1 muscle tissue is challenging since, unlike in other muscle diseases, the fiber membrane integrity is not compromised [129]. Delivery strategies have been progressively adapted to the properties of the therapeutic molecules and of the target cell/tissue to be reached. PMO-CAG molecules have been conjugated to cell-penetrating peptides (PPMO-B, PPMO-K and Pip6a-PMO) and administered systemically. Besides foci reduction and splicing correction, myotonia was completely abolished in HSA<sup>LR</sup> mice [106,107]. Recently, new relatively short miniPEG- $\gamma$  peptide nucleic-acid probes, two triplet repeats in length, for greater ease of cellular delivery, have been described to discriminate the pathogenic CUG-expanded transcript from the wild-type transcript [109]. These molecules are currently undergoing preclinical testing (NT-0200) in the HSALR model [108]. Although designed to block CUG repeats and prevent MBNL1 binding without altering RNA target levels, modified ASOs were also shown to induce transcript degradation via an RNase-H-independent pathway [103–105]. A general observation concerning ASOs that hybridize directly with the repeated CUG sequence is that, since DM1 patients have a highly variable number of repeated sequences, personalized doses should be considered in order to neutralize the toxic CUG expansion.

#### 4.2.2. ASOs Silencing Expanded CUG Transcript

The therapeutic antisense molecules designed to induce a reduction in CUG-expansion-containing DMPK mRNA exploit the RNase-H-degradation pathway. To allow RNase-H binding and activity, a gap of unmodified DNA nucleotides is added in between the modified 2'-OMe, 2'-MOE, c-Et or LNA nucleotides placed at both ends (gapmer) [102]. The gapmers target regions downstream or upstream of the CTG repeats [54,70,110– 112,130], except those used by Lee and colleagues that directly targeted the repeat sequence [113]. The gapmers, delivered either locally in skeletal muscles or systemically via subcutaneous injection in different mouse models and nonhuman primates, induce the degradation of the target mRNA, foci number reduction, splicing correction [54,70,110-113,130], amelioration of myotonia, muscle strength and fatigue symptoms [54,111,112,130], and improvement of cardiac conduction defects [70]. Recently, a c-Et gapmer was delivered via intracerebroventricular injection in DMSXL mice brains where it efficiently reduced DMPK mRNA expression and corrected behavioral abnormalities in homozygous mice [110]. IONIS-DMPKRx, a c-Et-modified version of a MOE gapmer previously studied [112], was used in a clinical trial (NCT02312011) for the treatment of DM1 [114,131]. However, since the drug levels in muscle tissue were not sufficient to obtain the desired therapeutic benefit, the trial was interrupted [132]. In an effort to increase potency and drug uptake into target tissues [133], palmitoyl-conjugated c-Et gapmers have been used to enhance delivery to skeletal and heart muscle in preclinical studies [69,134,135].

#### 4.2.3. Other Strategies

In addition to ASO approaches, other strategies for targeting CUG expansion have been tried. Engineered hU7-snRNAs containing a poly-CAG antisense sequence were used to induce the degradation of the expanded CUG repeats of mutant *DMPK* transcripts in DM1 patient-derived cells [136]. RNA interference (RNAi) mediated by either intramuscular injection of siRNAs or systemic delivery of miRNA based RNAi hairpins was induced in HSA<sup>LR</sup> mice, leading to the downregulation of the toxic transcript and significant reversal of DM1-like hallmarks [118,137]. Recently, therapeutic siRNAs conjugated to an antibody against the transferrin receptor 1 (AOC 1001), targeting the *DMPK* transcript, have shown efficient uptake in skeletal- and cardiac-muscle tissues. A clinical trial (NCT05027269) using these modified oligonucleotides is ongoing [119].

## 4.3. Targeting MBNL1/2

Due to the limitation of ASO delivery to all body tissues, particularly to the CNS, alternative approaches have been investigated in order to identify small molecules that

upregulate MBNL proteins or inhibit the MBNL:CUG-repeat interaction and disperse RNA foci. In order to increase the functional pool of MBNL in DM1 cells/tissues and alleviate pathogenesis of DM1 by the reversal of aberrant alternative splicing, the expression of MBNL1/2proteins has been increased by using nonsteroidal anti-inflammatory drugs such as phenylbutazone (PBZ) and ketoprofen [138], and antiautophagic drugs such as chloroquine [139], or by delivery of recombinant-adeno-associated-virus (rAAV) vectors carrying MBNL1 [120] (Table 2). In all cases, splicing correction and reversion of myotonia was obtained in HSALR mice, showing that the increased expression of MBNL proteins could be an alternative therapeutic option. Interestingly, in PBZ-treated mice, the expression of CELF1 remained unchanged and no splicing correction was found for CELF1-regulated exons. In addition, although PBZ elevated the MBNL1 expression levels in HSALR mouse muscles, the colocalization of the protein with CUG RNA foci was markedly attenuated, suggesting that PBZ inhibits the interaction between CUG RNA foci and MBNL1 and reduces the ratio of MBNL1 to CUG in the mutant transcript [138]. Amelioration of the DM1-like phenotype by increasing MBNL1 expression was also obtained with miRNA sponge constructs and antagomiRs (cholesterol-2'OMe-ASOs) specific to microRNAs targeting the 3' UTR of MBNL1/2 mRNAs in a Drosophila model of DM1 and in the HSALR mouse model, respectively [115,116,140,141]. More recently, a peptide-linked blockmiR (Pip9b2-PMO) that binds specifically to the miRNA binding site of the MBNL1 transcript was designed to restrict mis-splicing rescue to disease-relevant alterations, leaving the miRNA available to other targets [117] (Table 2). Furthermore, other drugs such as histone-deacetylase inhibitors induce de-repression of MBNL1 expression in DM1 patientderived cells [142].

#### 4.4. Targeting MBNL:CUG-Repeat Interactions

The recent development of robust high-throughput screens and molecular-design software have facilitated the rapid assessment of hundreds of compounds that disrupt MBNL:CUG binding in vitro. Moreover, expanded CUG repeats have intrinsic biophysical properties favorable to drug development [143]. The rational basis in searching for compounds with therapeutic potential is that the active molecules must specifically interact with the target mutant RNA and release the sequestered MBNL proteins [144]. In addition, these molecules must have a higher affinity for RNA than for DNA, and must show optimal cellular permeability and bioactivity [145,146]. On these bases, a number of groups have pursued the search for new therapeutic molecules. Most candidate compounds compete with MBNL1 for binding to CUG repeats and release the sequestered protein, whereas other molecules unfold or tighten the hairpin structure [147–153]. The small molecules cugamycin and its more selective and less toxic variant deglyco-cugamycin specifically cleave the expanded CUG repeats, recognizing the tridimensional structure rather than the sequence, and leave short repeats untouched [154,155]. An interesting paper describes the identification of two distinct molecules: the thiophene-containing small molecule that binds MBNL1 in the Zn-finger domain and inhibits the interaction of MBNL1 with its natural pre-mRNA substrates, and a substituted naphthyridine that binds CUG repeats and displaces MBNL1. While the second molecule is effective in reversing DM1-associated splicing alterations, the first molecule that targets MBNL1 causes the dysregulation of alternative splicing, suggesting that MBNL1 is not a suitable therapeutic target for the treatment of DM1 [156]. Potential drug candidates were validated by a variety of functional assays, from in vitro studies to utilization of DM1 animal models. The release of MBNL proteins from CUG repeats restores MBNL activity and rescues splicing defects in DM1 model systems (reviewed in [157]). The widely used rRNA-binding antibiotic erythromycin has been shown to reduce RNA toxicity and improve splicing abnormalities in HSALR mice by inhibiting the interaction between MBNL1 and CUG expansions [158]. Accordingly, erythromycin is currently being used in a clinical trial (JPRNjRCT2051190069) in adult DM1 patients [159]. A recent report describes an alternative RNA-binding-protein-mediated strategy to disrupt the MBNL1 interaction with CUG

repeats: a truncated MBNL1 (MBNL1Δ) protein maintaining CUG-repeat binding but lacking the C-terminal domain that is implicated in splicing activity, cellular localization and oligomerization, was expressed in DM1 patient-derived cells and in HSA<sup>LR</sup> mice and led to the long-lasting correction of molecular and phenotypical DM1-associated alterations. Interestingly, in contrast with overexpression of the wt MBNL1 protein, the truncated mutant did not produce toxic effects in treated animals [121] (Table 2). Importantly, it should be considered that releasing MBNL proteins from CUG repeats could lead to side effects such as RAN translation from the cytoplasmic expanded transcript, resulting in the production of toxic RAN peptides or, for the drugs that bind CUG repeats with high affinity, a delay in toxic RNA degradation.

## 4.5. Targeting CELF1 and Signaling Molecules Downstream of CUG-Repeat RNA

Other therapeutic strategies have been used to target signaling pathways downstream of CUG-repeat RNA expression, mainly involving CELF1 regulation. PKC inhibitors, reversing CELF1 hyperphosphorylation and upregulation, were shown to rescue some CELF1-dependent splicing defects in DM1 patient-derived fibroblast and myoblast cell lines [160], and to improve contractile dysfunction and mortality in the heart-specific EpA960 mouse model [161]. Additionally, in muscle biopsy samples of DM1 patients and in the HSALR mouse model showing increased expression of CELF1, reduced cyclin D3 levels and increased levels of glycogen synthase kinase 3  $\beta$  (GSK3 $\beta$ ), which is a known negative regulator of cyclin D3, were detected [162]. The treatment of mice with the GSK3β inhibitors, lithium and 4-benzyl-2-methyl-1,2,4-thiadiazolidine-3,5-dione (TDZD-8), restored the balance of cyclin D3-CELF1 and reversed myotonia and muscle strength in treated HSA<sup>LR</sup> mice, showing promise for targeting GSK3 $\beta$  as a muscle therapy for DM1 [162]. More recently, an orally available GSK3 $\beta$  inhibitor, Tideglusib (TG), was tested in both the HSALR and DMSXL mouse models with positive effects on survival, growth, and muscle function. Notably, the correction of GSK3 $\beta$  with TG also reduces the levels of CUG-containing RNA, normalizing a number of CELF1- and MBNL1-regulated mRNA targets [163]. This drug has already been used in a phase-two study in patients with congenital DM1 and improvement of neuromuscular symptoms has been obtained [164]. The AMP-activated protein-kinase (AMPK)/mTOR complex 1 (mTORC1) pathways are affected in HSALR mice and the activation of AMPK signaling in muscle is impaired under starvation conditions, while mTOR signaling remains active. Short-term treatment with 5-aminoimidazole-4-carboxamide riboside (AICAR), a direct AMPK activator, or with an mTORC1 inhibitor, rapamycin, improved muscle relaxation in mice [165]. Chronic treatment of HSALR mice using only the AICAR compound led to the correction of muscle histology as well as alternative splicing and toxic transcript aggregation in foci [166]. Apoptosis and autophagy processes have been implicated in the degenerative loss of muscle tissue and regeneration impairment in DM1 [167]. The downregulation of miR-7 was reported in both a Drosophila model and in DM1 biopsies [168] and, recently, a link between miR-7 modulation and autophagy and the ubiquitin-proteasome system was demonstrated in DM1 [169]. In addition, it was reported that replenishment of miR-7 improves atrophy-related phenotypes independently of MBNL1, thus suggesting that miR-7 acts downstream of or in parallel to MBNL1 [169]. The biogenesis of miR-7 was shown to be repressed by the RNA-binding protein Musashi-2 (MSI2) and a reduction in MSI2 expression by ASOs enhances miR-7 expression, decreases excessive autophagy and downregulates atrophy-related genes [170]. Altogether, these results suggest that the modulation of miR-7 and/or MSI2 levels could be a therapeutic approach to muscle atrophy in DM1.

## 4.6. Advantages and Limitations of DMPK-Expression Targeting Therapies

The above-described therapeutic molecules have been tested in either in vitro or in vivo models, many in both. Despite the encouraging results obtained in preclinical studies, all of these approaches have some limitations: (i) they require repeated administration;

(ii) in general, they only target some aspects/tissues of the disease; (iii) they do not eliminate the disease-causing mutation. Moreover, a considerable challenge associated with recognition specificity and/or selectivity, as well as cellular uptake, still remains for many agents targeting the repeated *DMPK* transcript. Some clinical trials using ASOs on DM1 patients are ongoing and one has been completed. Unfortunately, this first ASO application has obtained limited success [132]. Importantly, among the therapeutic molecules for DM1, some (i.e., erythromycin and tideglusib) are repurposed molecules. Drug repurposing deserves special attention because it offers a number of advantages for new therapies, such as the speed of translation to the clinical setting, safety and cost.

## 5. DMPK Gene Editing

#### 5.1. Zinc Finger and TALEN Nucleases

The potential of therapeutic CAG/CTG genome editing for monogenic-expansion diseases was initially tested with engineered DNA-cleaving enzymes, including zinc-finger nucleases (ZFNs) and transcription-activator-like effector nucleases (TALENs). The creation of DNA double-strand breaks (DSBs) by ZFNs in regions of CAG/CTG repeats was shown to induce deletions of repeats in yeast [171]. However, the expression of ZFNs targeting CAG-repeat tracts in mammalian cells led to duplications of repeats when breaks were produced, suggesting that targeting the regions flanking the repeats would be preferable [172]. TALEN-induced DSBs were shown to be very efficient at contracting expanded CTG repeats in yeast [173,174]. In human DM1 patient-derived iPSCs, the TALEN system was used to insert of a polyadenylation (polyA) signal upstream of *DMPK* CTG repeats, leading to premature termination of transcription and elimination of toxic mutant transcripts. However, due to TALEN target-sequence constraints, a shorter protein was produced from both wt and mutant *DMPK* edited alleles, and the CTG repeats were retained at the genomic level [43,175] (Table 3).

 Table 3. Gene-editing strategies.

| Nuclease       | Mechanism  | Effect  | DM1 Model   | Advantages  | Limitations   | Ref       |
|----------------|--|---|---|---|---|-----------|
| ZNF            | Induction of DNA<br>double strand breaks<br>at CAG/CTG repeats | Repeat contractions   | Yeast cells carrying<br>CTG repeats                     | Permanent reduction in<br>CTG repeats; good<br>cleavage efficiency                  | Repeat rearrangements   | [171]     |
| ZNF            | Induction of DNA<br>double strand breaks<br>at CAG/CTG repeats | Repeat contrac-<br>tions and duplica-<br>tions                  | Mammalian cells car-<br>rying CTG repeats               | Permanent reduction in<br>CTG repeats; good<br>cleavage efficiency                  | Repeat duplications   | [172]     |
| TALEN          | Induction of DNA<br>double strand breaks<br>at CAG/CTG repeats | Repeat contrac-<br>tions  | Yeast cells carrying<br>CTG repeats                     | Permanent reduction in<br>CTG repeats; good<br>cleavage efficiency, no<br>mutations | Application limited to yeast cells  | [173,174] |
| TALEN          | Insertion of a polyA<br>signal upstream<br>CTG repeats         | Production of<br>shorter <i>DMPK</i><br>transcripts (no<br>CUG) | DM1-patient-derived<br>iPSCs                            | Elimination of toxic<br>CUG repeats from<br>DMPK transcript                         | Production of truncated<br>DMPK protein; retention of<br>CTG at <i>DMPK</i> locus                             | [43,175]  |
| SpCas9<br>D10A | Induction of DNA<br>single strand breaks<br>at CAG/CTG repeats | Repeat contrac-<br>tions  | Human cells carrying<br>CAG/CTG repeats                 | Permanent reduction in<br>CTG repeats   | Cell-type dependent effi-<br>ciency   | [176]     |
| dCas9          | Block DNA tran-<br>scription at CTG re-<br>peats               | DMPK transcrip-<br>tion inhibition                              | DM1-patient-derived<br>cells;<br>HSA <sup>LR</sup> mice | Suppression of CUG-re-<br>peat-transcript produc-<br>tion                           | Decreased DMPK protein<br>production; retention of<br>CTG at <i>DMPK</i> locus; need of<br>repeated treatment | [124]     |
| RCas9          | Cleaving single-<br>strand RNA at CUG t<br>repeats             | CUG-repeated<br>ranscript degrada-<br>tion                      | DM1-patient-derived<br>cells;<br>HSA <sup>LR</sup> mice | Elimination of CUG-re-<br>peat transcript   | Decreased DMPK protein<br>production; retention of<br>CTG at DMPK locus; need of<br>repeated treatment        | [125,177] |
| SpCas9<br>D10A | Insertion of a polyA<br>signal upstream<br>CTG repeats         | Production of shorter DMPK                                      | DM1-patient-derived<br>iPSCs                            | Elimination of toxic<br>CUG repeats from<br>DMPK transcript                         | Production of truncated<br>DMPK protein; retention of<br>CTG at DMPK locus                                    | [178]     |

|                     |   | transcripts (no                                 |   |   |  |       |
|---------------------|---|---|---|---|--|-------|
| SpCas9<br>or SaCas9 | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Deletion of CTG<br>expanded region              | DM1-patient-derived<br>iPSCs  | Permanent elimination<br>of toxic CTG repeats; no<br>off-targets                                    | Low efficiency using<br>SpCas9; higher efficiency but<br>frequent inversions using<br>SaCas9 | [178] |
| SpCas9              | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Deletion of CTG<br>expanded region              | DM1-mouse-derived<br>myoblasts;<br>DM1-patient derived<br>myoblasts       | Permanent elimination<br>of CTG repeats; no off-<br>targets   | On-target indels, inversions,<br>large deletions   | [179] |
| SpCas9              | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Deletion of CTG<br>expanded region              | DM1-patient-derived<br>MYOD1-converted fi-<br>broblasts                   | Permanent elimination<br>of CTG repeats; no off-<br>targets   | On-target indels, inversions   | [40]  |
| SpCas9              | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Deletion of CTG<br>expanded region              | DM1-patient-derived<br>primary myoblasts;<br>DM1-patient-derived<br>iPSCs | Permanent elimination<br>of CTG repeats; good<br>editing efficiency in iP-<br>SCs; no off-targets   | On-target indels, partial de-<br>letions   | [180] |
| SaCas9              | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Deletion of CTG<br>expanded region              | DM1-patient-derived<br>myoblasts;<br>DMSXL mice                           | Permanent elimination<br>of CTG repeats; good<br>editing efficiency in<br>DM1 cells; no off-targets | On-target indels; low editing<br>efficiency in mice skeletal<br>muscle                       | [123] |
| eSpCas9             | Induction of two<br>DNA double strand<br>breaks at CTG-re-<br>peats flanking re-<br>gions | Inducible deletion<br>of CTG expanded<br>region | DM1-patient-derived<br>MYOD1-converted fi-<br>broblasts;<br>DMSXL mice    | Permanent elimination<br>of CTG repeats; well-<br>regulated editing induc-<br>tion; no off-targets  | On-target indels, inversions,<br>large deletions   | [122] |

Abbreviations. dCas9 = deactivated Cas9; eSpCas9 = enhanced *Streptococcus pyogenes* Cas9; RCas9 = RNA-targeting Cas9; SaCas9 = *Staphylococcus aureus* Cas9; SpCas9 = *Streptococcus pyogenes* Cas9; SpCas9 D10A = SpCas9 nickase.

#### 5.2. CRISPR/Cas9 Gene-Editing Approaches

More recently, the development and application of the prokaryotic CRISPR (clustered regularly interspaced short palindromic repeats)/Cas9 (CRISPR-associated protein 9) system for genome editing has offered a simpler and more flexible technology [181,182]. The system utilizes the cleaving capacity of the *Streptococcus pyogenes* Cas9 (SpCas9) or the Staphylococcus aureus Cas9 (SaCas9) endonucleases, which can be directed to specific DNA or RNA sequences in virtually any genome by engineered single-guide RNAs (sgR-NAs)[183,184]. The resultant DNA DSBs are usually repaired by non-homologous endjoining (NHEJ), but other repair mechanisms may also be employed [185,186]. A growing collection of natural and engineered Cas9 homologues and other CRISPR/Cas RNAguided enzymes is further expanding the manipulation tool in order to modify or interfere with both DNA and RNA [187] (Table 3). In human cells, Cinesi et al. reported that while DSBs induced by either ZFN or Cas9 nuclease within the repeat tract caused instability by inducing both contractions and expansions, the mutant CRISPR/Cas9 D10A nickase mainly induced contractions, independently of single-strand break repair, and no detectable off-target mutations. The authors proposed that DNA gaps lead to contractions and that the type of DNA damage present within the repeat tract dictates the level and the direction of the CAG/CTG-repeat instability [176]. Different editing strategies have been used to contrast the toxic effect of the mutant DMPK transcript in DM1: (i) blocking transcription using deactivated Cas9 (dCas9) along with sgRNAs targeting CTG repeats [124]; (ii) degradation of single-stranded CUG-repeat RNA using RNA-targeting Cas9 (RCas9) [177]; (iii) insertion of a premature polyA signal in the DMPK gene [178]. In all cases suppression of the mutant RNA transcript, rescue of splicing defects, and reduction in nuclear

foci were obtained in DM1 patient-derived cells and iPSCs [188]. In vivo expression of enzymatically inactive Cas9 and RNA-targeting Cas9 was also shown to rescue the muscle phenotype in HSALR mice [124,125]. While these approaches are very efficient in selectively reducing mutant DMPK mRNA, they require persistent expression of the CRISPR elements in order to maintain suppression and do not exclude the pathogenetic impact of CTG-repeat tract at the DMPK locus [11–14]. For therapeutic application, the induction of a permanent correction of the genetic defect would be preferable. To this aim, CRISPR/Cas9-mediated technology was also exploited for excision of DM1 expansion through simultaneous double cuts upstream and downstream of CTG repeats. Successful expansion deletion was obtained using many different cell models, such as DM1 patientderived immortalized myoblasts and MYOD1-convertible fibroblasts and immortalized myoblasts from DM1 transgenic animals [40,123,179]. Additionally, stem cells such as iP-SCs and iPSC-derived skeletal-muscle cells, cardiomyocytes and neural stem cells as well as human embryonic stem cells were used to test the editing of CTG repeats [178,180,188,189]. In all these studies, the removal of the CTG expansion was accompanied by a reduction in nuclear foci and a reversal of aberrant splicing patterns. Repeat deletion and a decrease in ribonuclear foci was also achieved in skeletal muscle of DMSXL mice following injection of rAAV vectors expressing CRISPR/SaCas9 [123]. Interestingly, a recent study described the use of a tetracyclin-repressor-based CRISPR/enhanced SpCas9 (eSpCas9) to obtain a time-restricted and muscle-specific CTG-repeat deletion in DM1 patient-derived myogenic cells and in skeletal muscle of DMSXL mice, highlighting the possibility of exerting temporal control on gene editing [122]. Importantly, transcriptomic, proteomic, and morphological studies performed on DM1 myoblasts subjected to CRISPR/Cas9-mediated excision of the expanded repeats revealed that the reversion of DM1-specific features is maintained over time [190]. In all the above-described studies, delivery of the CRISPR/Cas9-complex components to DM1 mice was achieved through rAAVs that represent, at the moment, the most effective transduction system for therapy in both mouse and human (Table 2). Of note, the recently generated myotropic [191,192] and CNS-tropic AAV variants [193] show enhanced expression in these tissues, holding great potential for gene therapy.

## 5.3. Specificity and Safety of Gene-Editing Approaches

An important concern in using the CRISPR/Cas9 gene-editing approach for DM1 is the occurrence of unintended on-target events during DNA DSB repair and off-target events in undesired loci. While off-target events have rarely been described in published papers, short indels are frequently detected at the joining sites (Table 3). However, these are less likely to be harmful since they occur in a non-coding region of the DMPK gene [40,122,179,180]. In contrast, other events such as large deletions and inversions may affect the function of DMPK and neighboring genes. On-target deletions following Cas9-induced DSB have been described in different genomic regions and within the CTG repeats, and appear to be cell-context dependent and correlated with the sgRNA target sequence [173,194–196]. DSBs elicited by Cas9 nucleases are mainly repaired by the NHEJ, which is a fairly accurate repair system active in all cell-cycle phases including the post-mitotic state. This is important for in vivo CRISPR/Cas9 applications, since most DM1-affected tissues, such as striated muscles and CNS, are post-mitotic. In addition to the efficiency of the DNA-repair system, the cell-cycle state, and the chromatin condition of the target sites, successful and precise editing also depends on the properties of the nuclease and the design of the utilized guide RNAs [197]. Tissue-specific and time-restricted Cas9 activity is a promising tool for reducing the risk of unintended genomic effects [122]. Available software can predict, to some extent, adverse on-target events, and can be used to design accurate guide RNAs [196,198]. Recently, a high-throughput platform using flow-based imaging was developed for the detection of DNA damage in CRISPR/Cas-treated primary stem cells and for the screening of sgRNAs having a single on-target site [199]. Clinical trials relying on the use of genome-editing tools have been initiated for the treatment of both genetic and non-genetic diseases, but not yet for DM1, and have shown promising early results. It is critical to define the potential genotoxic events caused by each specific genome-editing strategy and to develop new tools and define criteria to identify genomeediting-induced events and, importantly, to distinguish them from pre-existing genomic aberrations.

# 6. Concluding Remarks

Although much progress has been made in the treatment of DM1, many issues still remain unsolved. Due to the multisystemic involvement of DM1 disease manifestation, a challenging issue is the efficient delivery of therapeutic molecules to body tissues, particularly to muscle and the CNS, which represent the majority of the body mass. Indeed, the delivery of small molecules such as antisense oligonucleotides or small drugs to these tissues is a major impairment in ongoing clinical trials, while also taking into account that they require repeated administrations. Repurposed drugs have shown good therapeutic properties in DM1 preclinical models, and some of them are being tested in clinical trials. These compounds can be a good temporary solution while waiting for the development of more specific and definitive therapies, since information on methods of delivery and possible side effects are already available. Genome-editing tools hold promise for future therapy and are particularly attractive because they act to permanently remove the cause of the disease. However, they pose a number of questions for application to DM1 patients, mainly concerning the safety and the elicitation of an immune response to CRISPR/Cas9complex components, particularly when multiple treatments are required [200]. Efficient delivery of the editing molecules via rAAVs with enhanced muscle/nerve-tissue tropism and spatiotemporal control of gene editing through the use of inducible promoters are recently developed tools that can help improve the therapeutic outcome of CRISPR/Cas9based technologies.

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