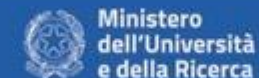


Modelling, Verifying and Testing the Contract Automata Runtime Environment with UPPAAL

Davide Basile

(COORDINATION 2024)

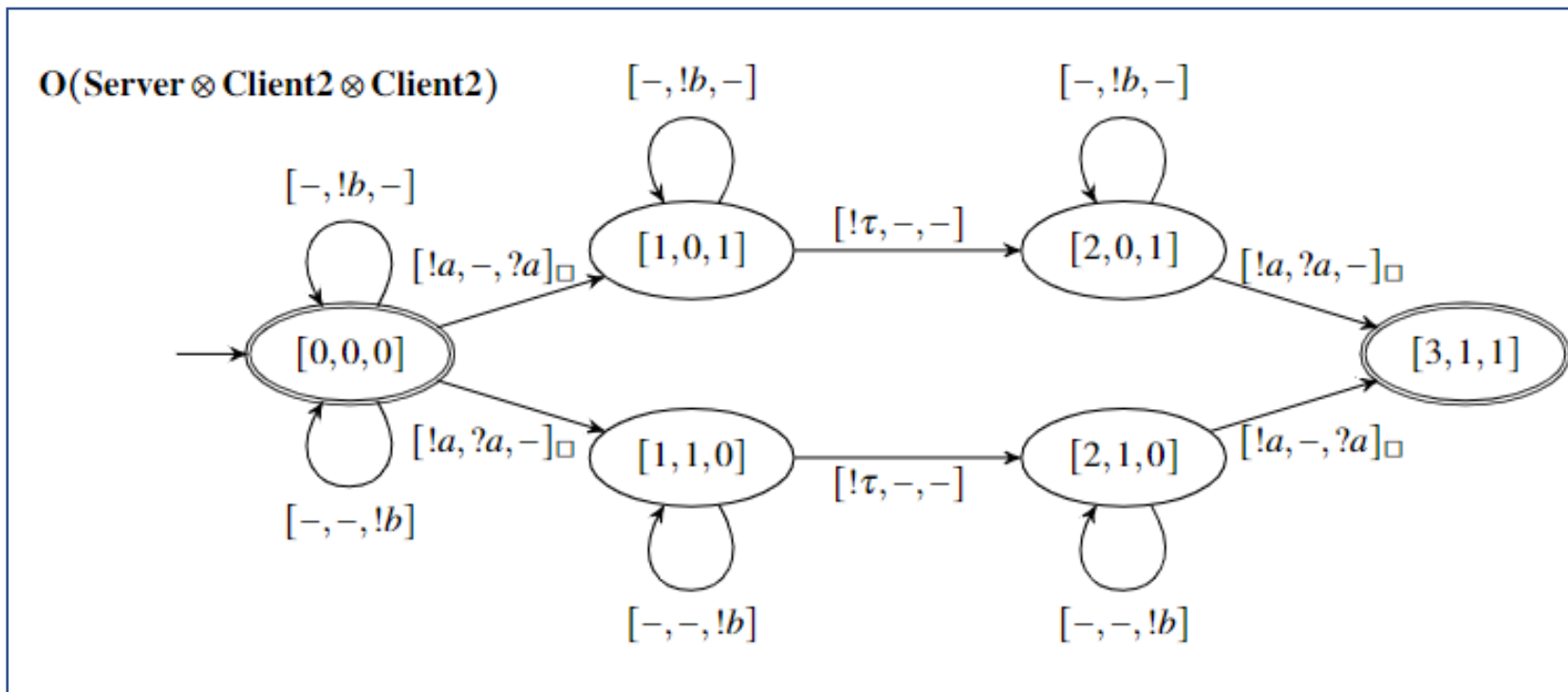


Overview

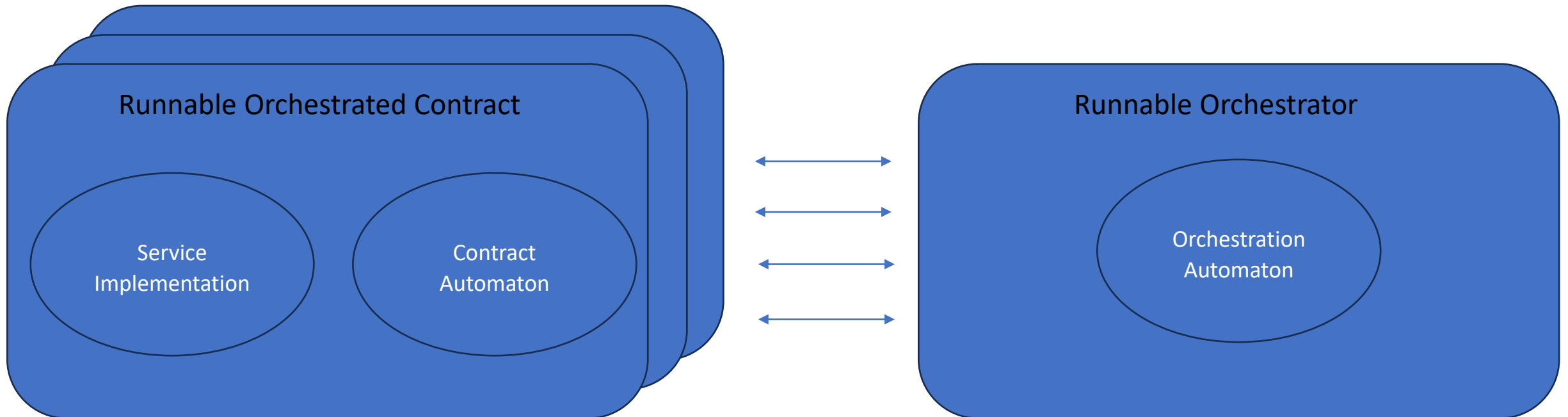
- Background: Contract Automata Runtime Environment, UPPAAL
- Formal model: abstractions, adequacy
- Formal analysis:
 - parameters tuning, statistical / exhaustive model checking
- Conclusion

Contract Automata

- Contract automata are FSA enhanced with:
 - Partitioned alphabet of actions:
 - offers $!a$ (A^o) and requests $?a$ (A^r)
 - special idle action $-$ not in $A^o \cup A^r$
 - rank : the number of services in the contract
 - States are list of basic states
 - Labels are list of actions and are constrained to be:
 - offers: $(-, -, -, !a)$
 - requests: $(-, ?a, -, -)$
 - matches: $(-, ?a, -, !a)$
(only between two)
 - $\text{size}(\text{list}) = \text{rank}$
 - Orchestrator abstracted away



Contract Automata Runtime Environment

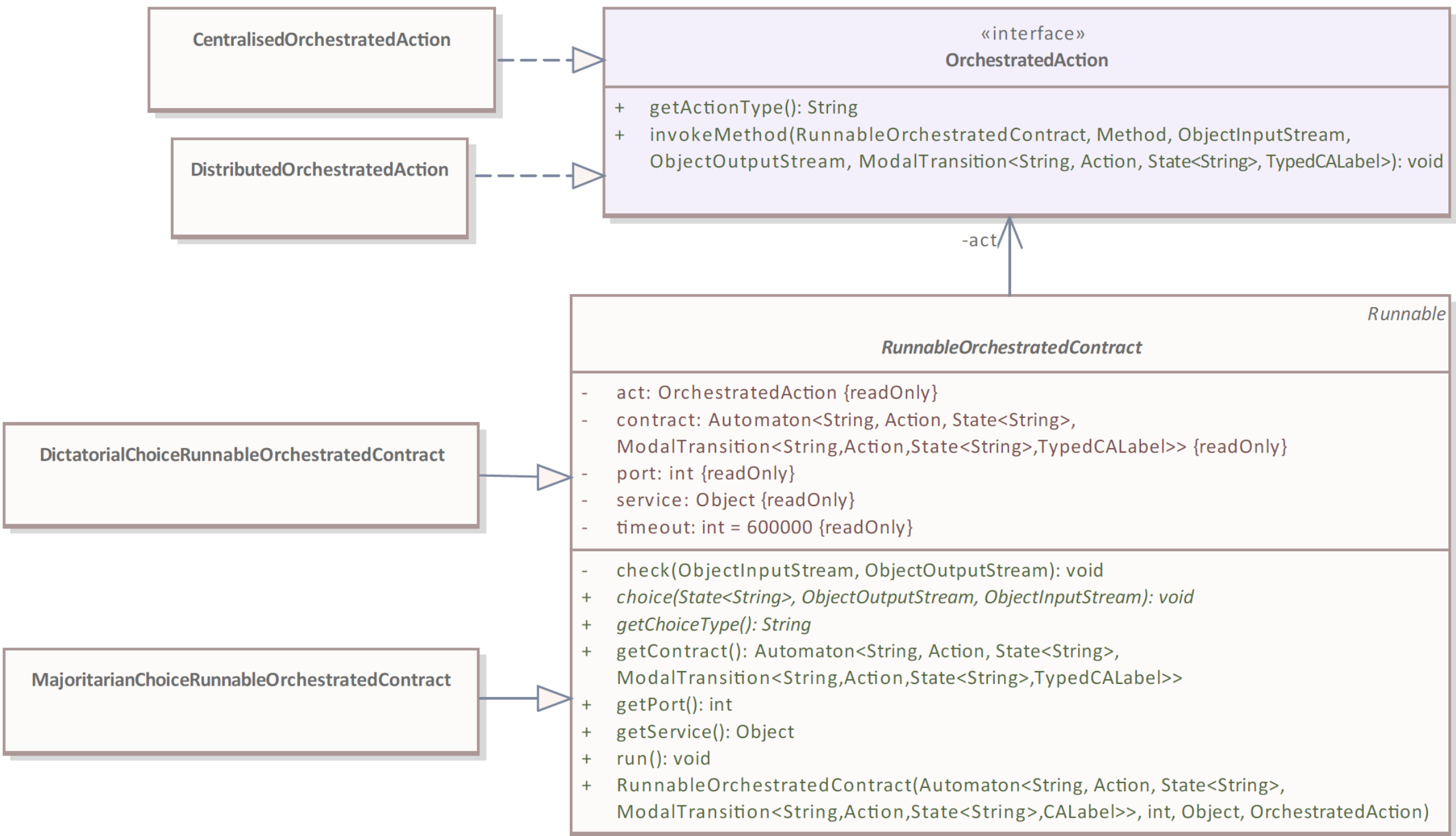


Basile, D. and ter Beek, M.H. A runtime environment for contract automata. In *FM 2023*

<https://github.com/contractautomataproject/CARE>

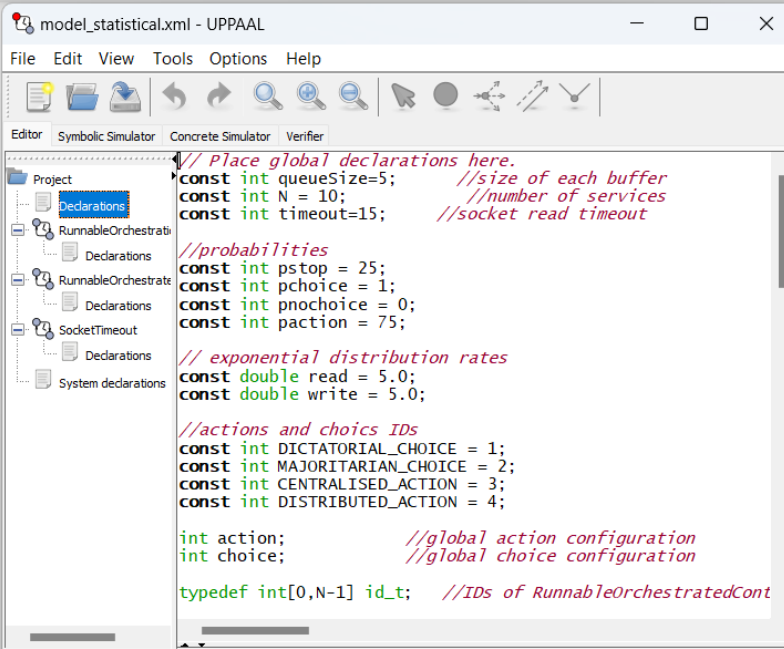
<https://github.com/contractautomataproject/CARE/tree/master/src/spec/uppaal>

UPPAAL formally verified



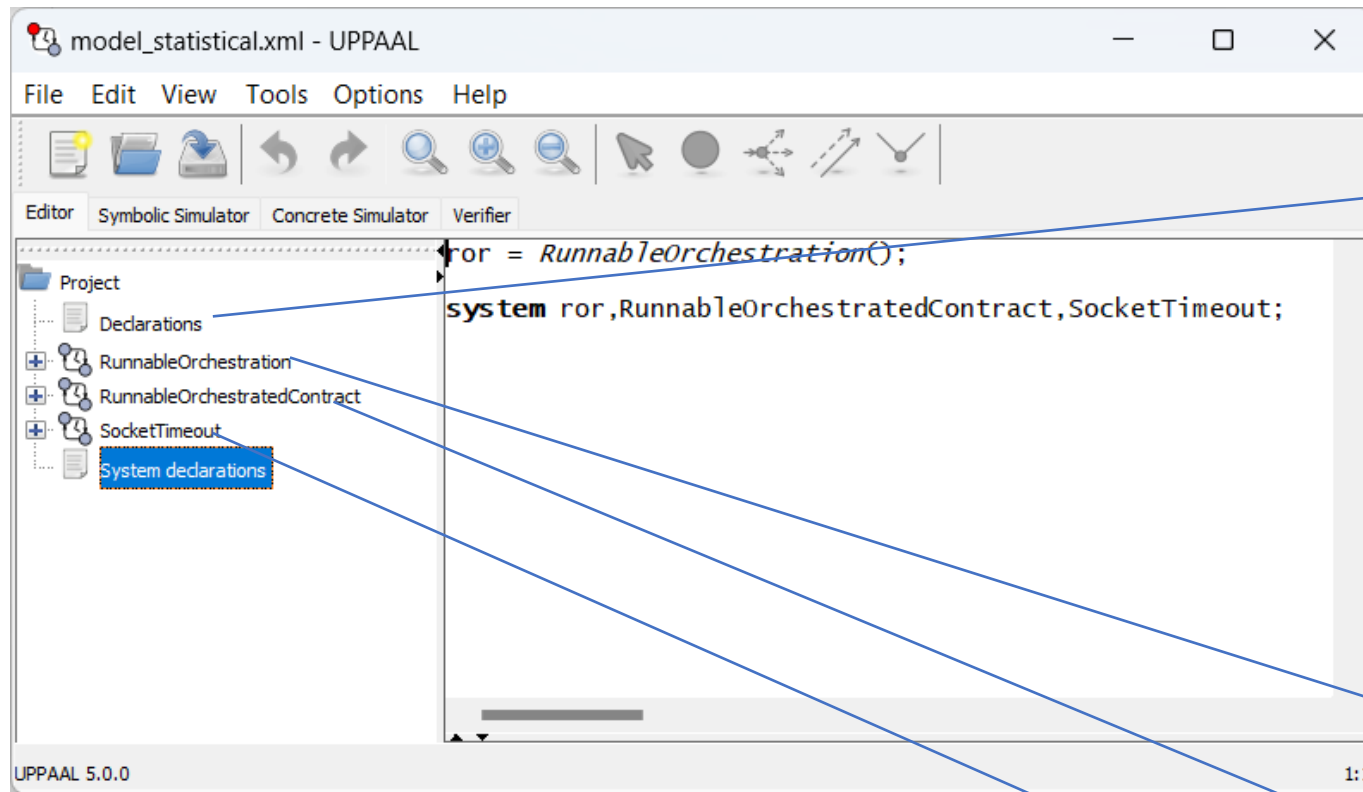
UPPAAL

- Toolbox for the verification of real-time systems
 - Dialect of stochastic priced timed automata (probabilistic choices, probability distributions for delays)
 - Communications: broadcast channels, shared variables
 - Exhaustive model checking of a dialect of CTL properties
- Statistical model checking:
 - statistically estimate the probability of a formula
 - to hold by running a sufficient number of simulations, based on parameters (precision, confidence)
 - Independent of the size of the state-space
- Templates, Test generation, Simulation, etc...



```
model_statistical.xml - UPPAAL
File Edit View Tools Options Help
Editor Symbolic Simulator Concrete Simulator Verifier
Project
  Declarations
  RunnableOrchestrati
    Declarations
  RunnableOrchestrati
    Declarations
  SocketTimeout
    Declarations
  System declarations
// Place global declarations here.
const int queueSize=5; //size of each buffer
const int N = 10; //number of services
const int timeout=15; //socket read timeout
//probabilities
const int pstop = 25;
const int pchoice = 1;
const int pnochoice = 0;
const int paction = 75;
// exponential distribution rates
const double read = 5.0;
const double write = 5.0;
//actions and choices IDs
const int DICTATORIAL_CHOICE = 1;
const int MAJORITARIAN_CHOICE = 2;
const int CENTRALISED_ACTION = 3;
const int DISTRIBUTED_ACTION = 4;
int action; //global action configuration
int choice; //global choice configuration
typedef int[0,N-1] id_t; //IDs of RunnableOrchestratedCont
UPPAAL 5.0.0
```

Formal model: Network of UPPAAL Automata



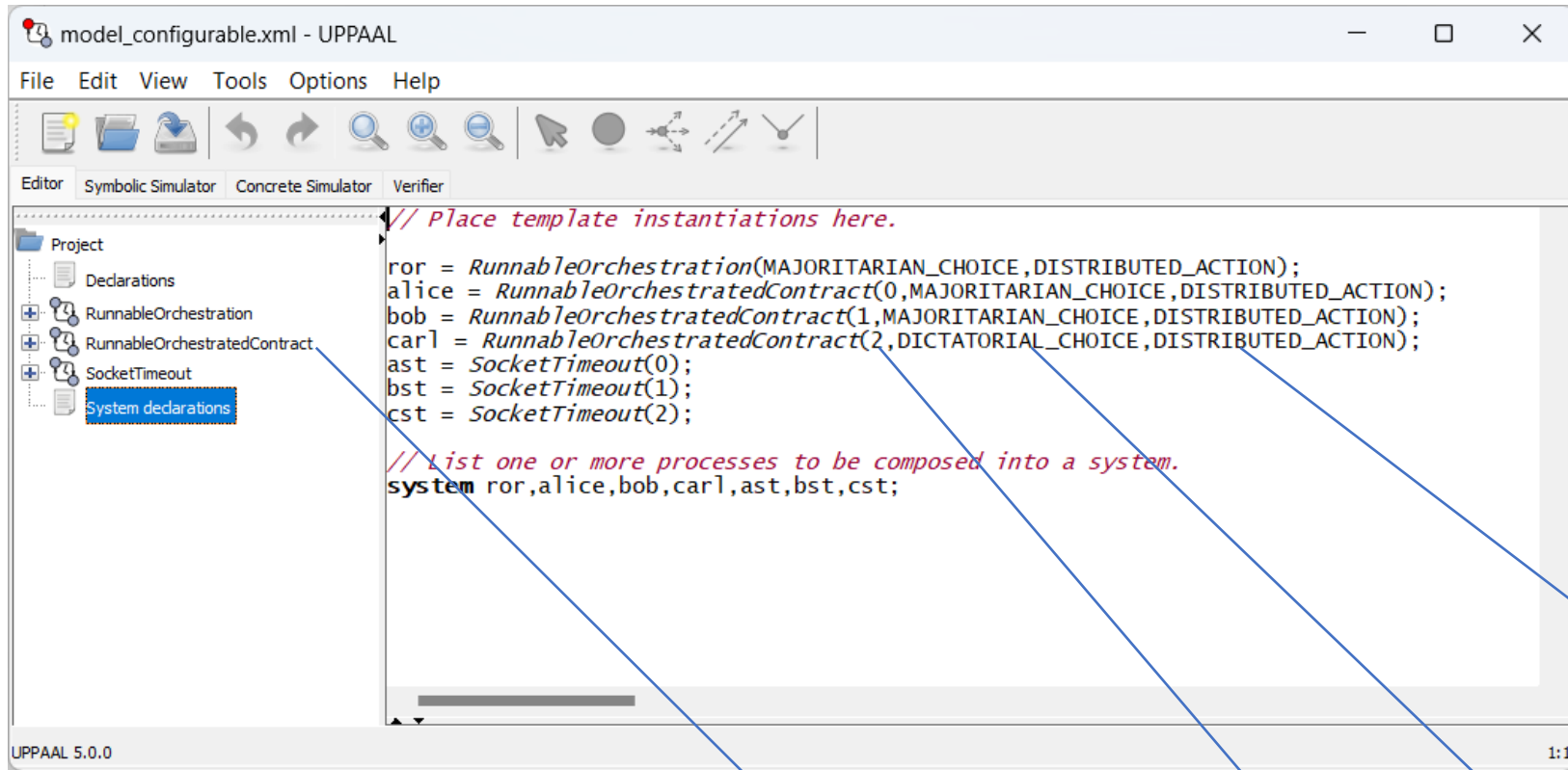
```
// Place global declarations here.  
const int queueSize=3; //size of each buffer  
const int N = 3; //number of services  
const int timeout=15; //socket read timeout  
  
int action; //global action configuration  
int choice; //global choice configuration  
  
typedef int[0,N-1] id_t; //IDs of RunnableOrchestratedContract
```

Name: Parameters:

Name: Parameters:

Name: Parameters:

Formal model: Network of UPPAAL Automata



Name: Parameters:

Formal Model: Java TCP/IP Sockets

- Asynchronous with FIFO buffers, blocking

Global Declarations

```
int orc2services[N][queueSize];
int services2orc[N][queueSize];

//signals IDs
const int ORC_CHECK=1;
const int ORC_STOP=2;
const int ORC_CHOICE=3;
const int CHOICE_STOP=4;
const int ACK=5;
const int ERROR=6;
const int SKIP=7;
const int CHOICES=8;
const int SERVICE_CHOICE=9;
const int ACTION=10;
const int REQUEST=11;
const int OFFER=12;
const int TYPEOFFER=13;
const int TYPEMATCH=14;
const int PORT=15;
const int NOPAYLOAD=16;
const int ADDRESS=17;
```

Runnable Orchestrated Contract

```
void enqueue(int ide_sig)
{
    int i;
    int s=ide_sig;
    for (i:=0;i<queueSize-1;i++)
    {
        services2orc[id][i]:=services2orc[id][i+1];
    }
    services2orc[id][queueSize-1]:=s;
}

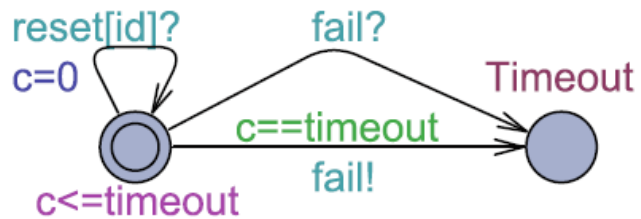
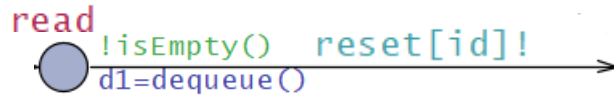
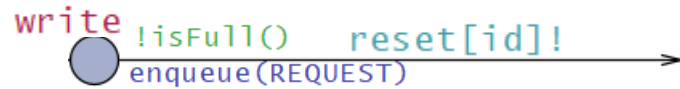
int dequeue()
{
    int i;
    for (i:=0;i<queueSize;i++)
    {
        if (orc2services[id][i]!=nil)
        {
            int e=orc2services[id][i];
            orc2services[id][i]=nil;
            return e;
        }
    }
    return nil;
}
```

Runnable Orchestrator

```
void enqueue(int id,int ide_sig)
{
    int i;
    int s=ide_sig;
    for (i:=0;i<queueSize-1;i++)
    {
        orc2services[id][i]:=orc2services[id][i+1];
    }
    orc2services[id][queueSize-1]:=s;
}

int dequeue(int id)
{
    int i;
    for (i:=0;i<queueSize;i++)
    {
        if (services2orc[id][i]!=nil)
        {
            int e=services2orc[id][i];
            services2orc[id][i]=nil;
            return e;
        }
    }
    return nil;
}
```

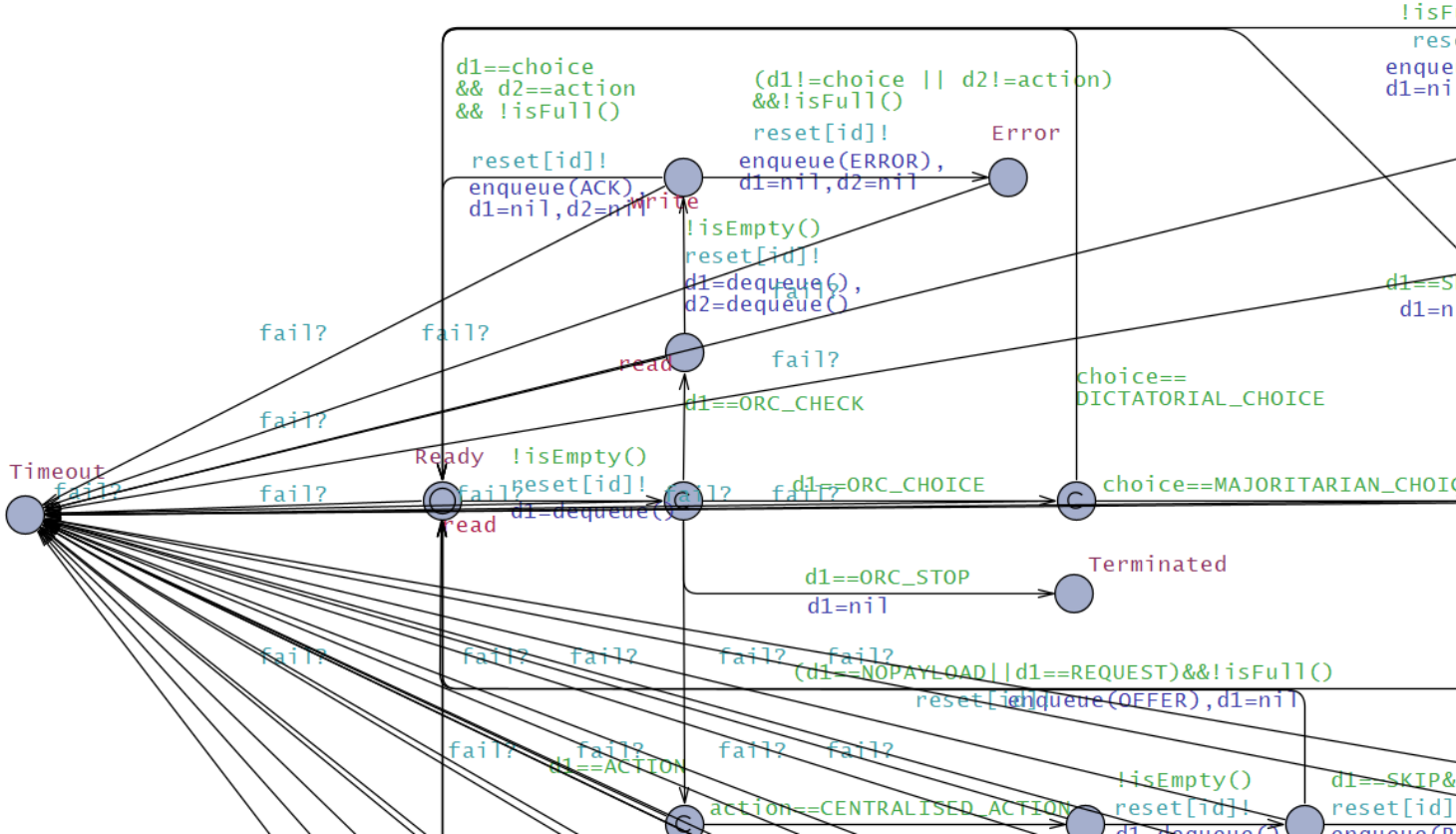
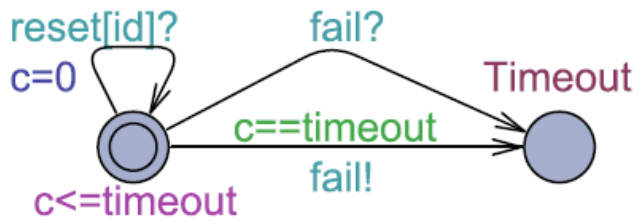
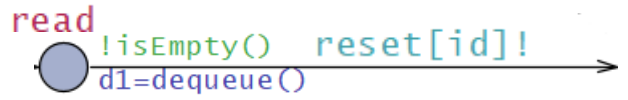
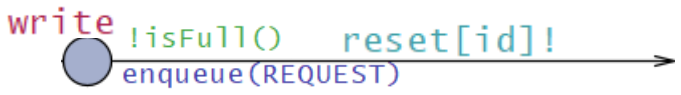
Formal Model: Java TCP/IP Sockets



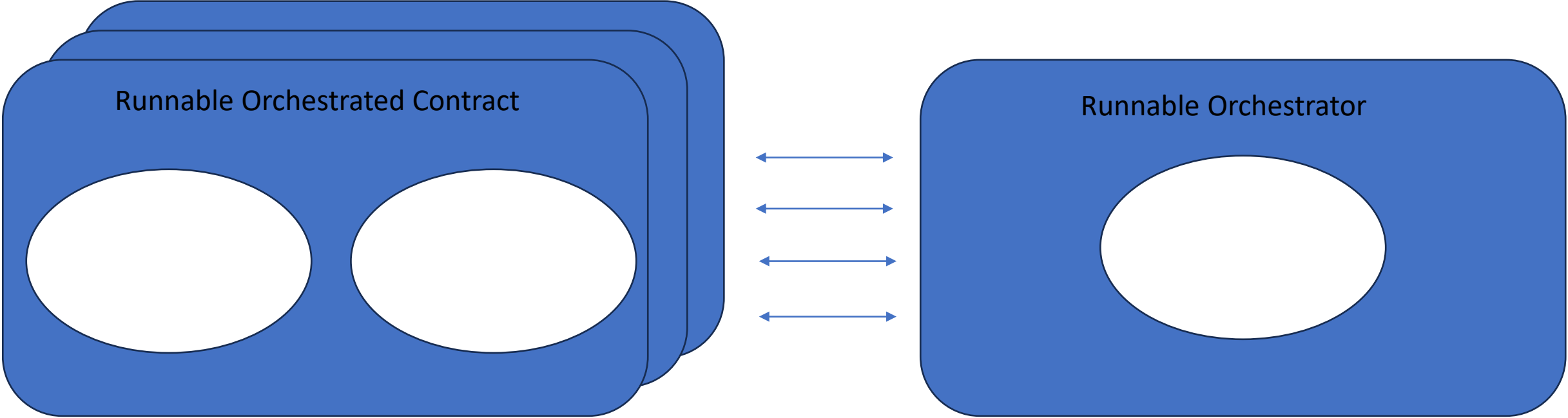
- Source locations: neither urgent nor committed
 - otherwise, there could be deadlocks
- Unbounded delays: timeout model

Formal Model: Java TCP/IP Sockets

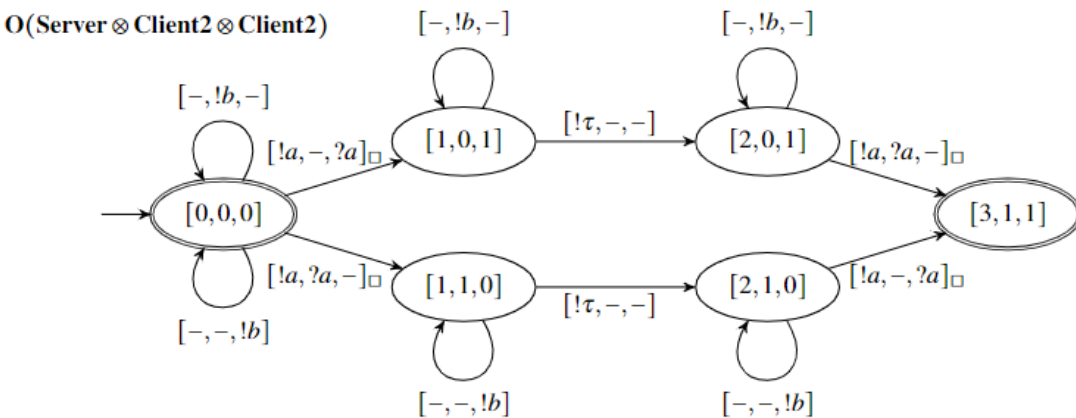
- Source locations: neither urgent nor committed
 - otherwise, there could be deadlocks
- Unbounded delays: timeout model



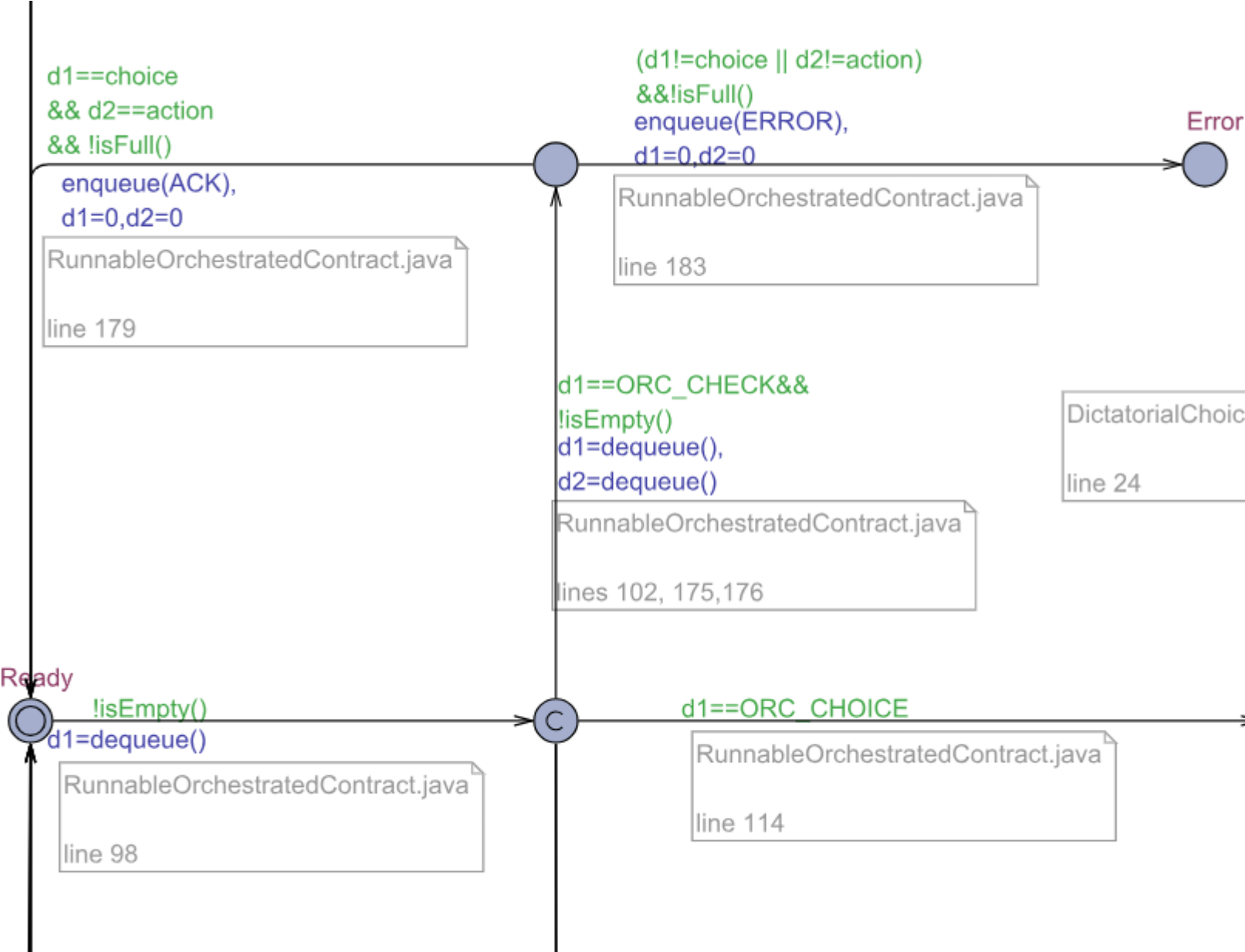
CARE Model: abstractions



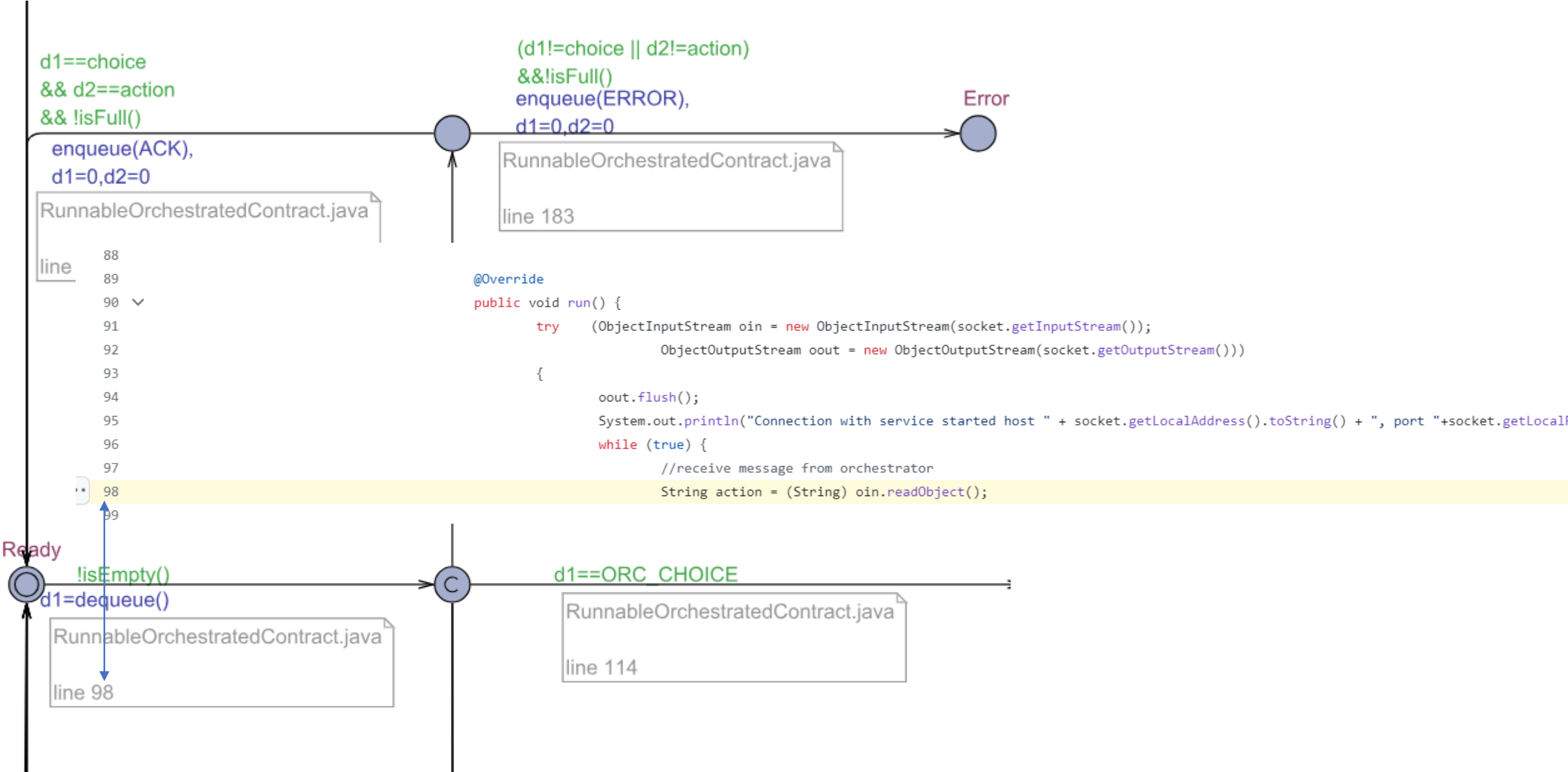
- Other abstracted aspects:
- payload of communications,
 - conditionals,
 - match/offer



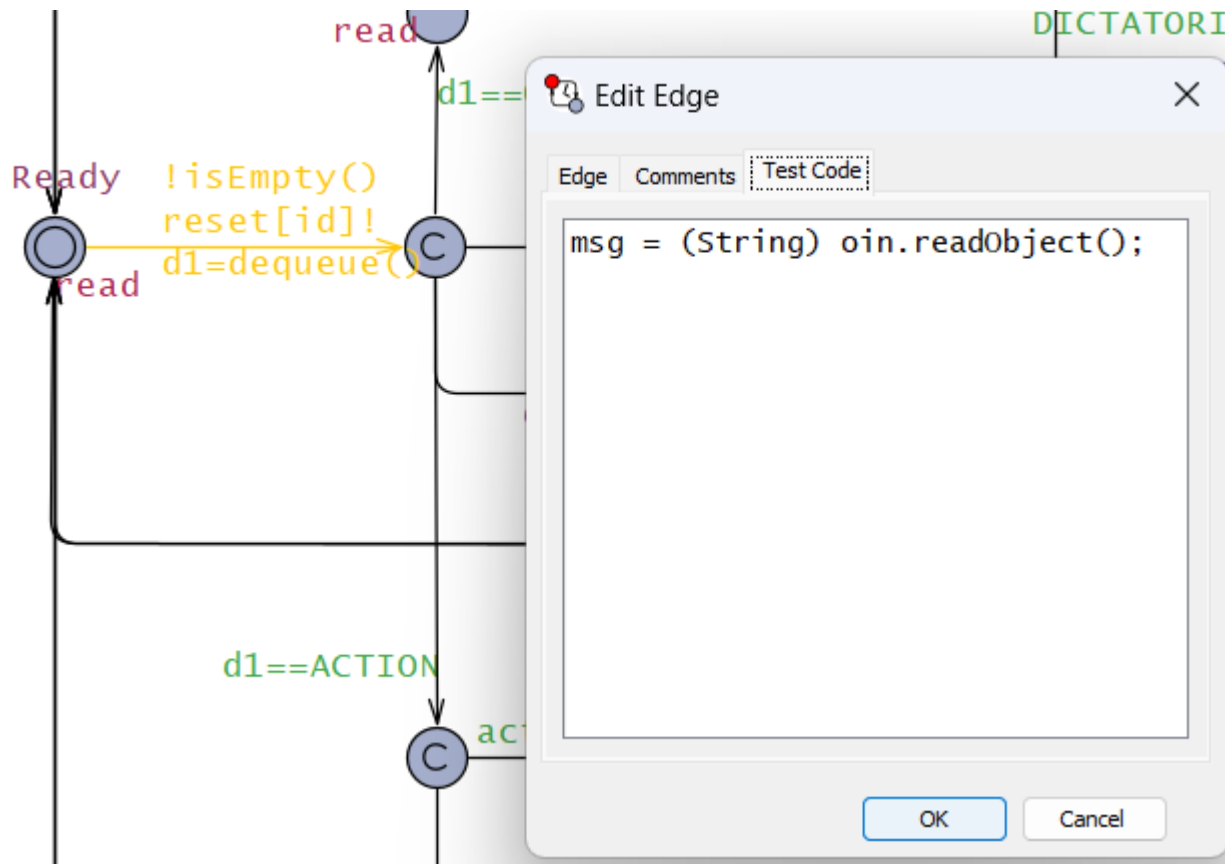
Adequacy: Traceability



Adequacy: Traceability



Adequacy: Testing



- each transition that involves enqueueing or dequeuing messages produces test code for writing to or reading from a socket, respectively,
- when running a simulation, whenever a transition is fired, the corresponding test code is appended to the abstract test case being generated.

model_testing_orc.xml - UPPAAL

File Edit View Tools Options Help

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Options

Queries: E<>(alice.steps[0]==ORC_CHECK&& bob.steps[1]==ORC_CHECK&& a... Search: Brea... Trace: Shor... Add

Depth: 20 Search: Ran... Trace: Some Add

Process: bob Edge: All non-covered edges Search: Brea... Trace: Shor... Add

Traces

-- Query --
Trace coverage: 56/132
Trace coverage: 70/132
Trace coverage: 58/132
Trace coverage: 6/132
-- Query --
Trace coverage: 56/132
-- Query --
Trace coverage: 56/132

Trace statistics

bob.steps[4]: 0(1) 5(1)
bob.steps[5]: 0(1)
bob.steps[6]: 0(1) 1(1)
bob.steps[7]: 0(1)
bob.steps[8]: 0(1)
bob.steps[9]: 0(1) 1(1)
bob.steps[10]:
bob.steps[11]:
bob.steps[12]: 184
bob.steps[13]: 185
bob.steps[14]: 186
Trace quality: 4
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201

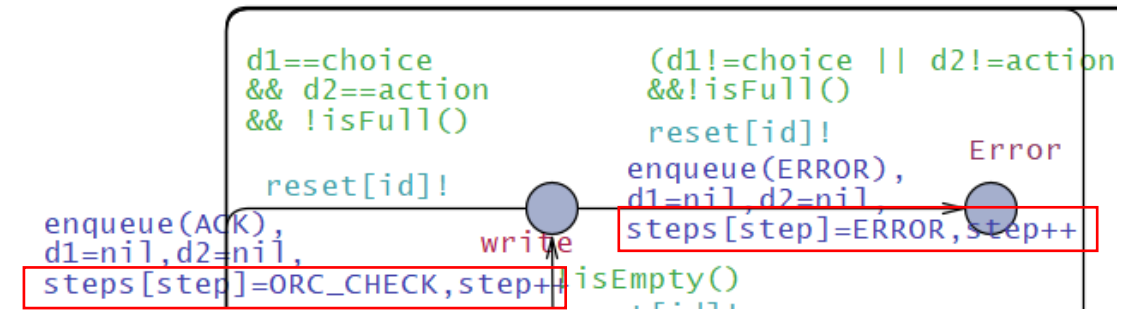
Total Coverage Save Test Cases

Abstract test

```
testcase-005.java x
//matching offerer: 1
msg = (String) oin.readObject();
assertEquals(msg, expected action);
msg = (String) oin.readObject(); // reading payload centralise
assertEquals(msg, expectedPayload);
out.writeObject(INsert OFFER);
out.flush();
```


Adequacy: Testing

- Test generation from queries of the form $E \leftrightarrow$
 - encode specific simulation traces that are relevant to the specific orchestration employed in the tests
 - Additional variables utilized to encode the desired simulation in the query



Query

```
E ↔ (
alice.steps[0]==ORC_CHECK&&
bob.steps[1]==ORC_CHECK&&
alice.steps[2]==CENTRALISED_OFFER&&
alice.steps[3]==CENTRALISED_MATCH&&
bob.steps[4]==CENTRALISED_OFFER&&
alice.steps[5]==DICTATORIAL_CHOICE&&
bob.steps[6]==DICTATORIAL_CHOICE&&
alice.steps[7]==CENTRALISED_OFFER&&
alice.steps[8]==DICTATORIAL_CHOICE&&
bob.steps[9]==DICTATORIAL_CHOICE&&
alice.steps[10]==ORC_STOP&&
bob.steps[11]==ORC_STOP)
```

Comment

```
testing the dictatorial centralised orchestration
AlicexBob
the executed trace is (!euro,-)(?coffee,!coffee)[(!euro,-)^*]
steps 7-9 may repeat 0 or more time at runtime, the trace needs to be adjusted for this

concrete test file : DictatorialCentralisedRunnableOrchestrationTest.java
```

Models

- model_testing_orc.xml
- model_testing_roc.xml
- model_testing_roc_distributed_offerer.xml
- model_testing_roc_distributed_requester.xml



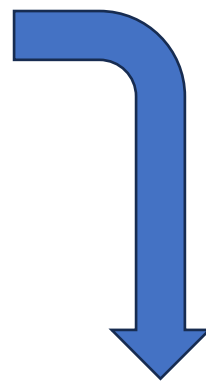
Concrete tests

- test
 - java/io/github/contractautomata/care/runnableOrchestration
 - Alice.java
 - Bob.java
 - DictatorialCentralisedRunnableOrchestratedContractTest.java
 - DictatorialCentralisedRunnableOrchestrationTest.java
 - DictatorialDistributedRunnableOrchestratedContractTest.java
 - DictatorialDistributedRunnableOrchestratedContract_DistributedMatchOfferer_Test.java
 - DictatorialDistributedRunnableOrchestratedContract_DistributedMatchRequester_Test.java
 - MajoritarianCentralisedRunnableOrchestratedContractTest.java
 - MajoritarianCentralisedRunnableOrchestrationTest.java
 - MajoritarianDistributedRunnableOrchestrationTest.java
 - resources
 - Alice.data
 - Alice2.data
 - Bob.data
 - Bob2.data
 - Orchestration.data
 - Orchestration2.data

- model_testing_orc.xml* used for testing the RunnableOrchestration
 - the runnable contracts are the testers
- model_testing_roc.xml* used for testing the RunnableOrchestratedContract
 - the tester is only the orchestrator

```
testcase-005.java x
184
185 //matching offerer: 1
186
187
188
189 msg = (String) oin.readObject();
190 assertEquals(msg, expected action);
191
192
193 msg = (String) oin.readObject(); // reading payload centralised action
194 assertEquals(msg, expectedPayload);
195
196
197
198 out.writeObject(INsert OFFER);
199 out.flush();
200
201
```

Abstract test



Concrete test

```
23 public class DictatorialCentralisedRunnableOrchestrationTest {
89
90 private void uppaal_bob(ObjectInputStream oin, ObjectOutputStream oout) throws IOException, ClassNotFoundException {
91     String msg;
92
93     //matching offerer: 1
94     msg = (String) oin.readObject();
95     assertEquals(msg, "coffee");
96     msg = (String) oin.readObject(); // reading payload centralised action
97     assertEquals(msg, "request payload");
98     oout.writeObject("offer payload");
99     oout.flush();

```

Adequacy: Testing

- the generated tests cover all transitions of the model and all interactions between the orchestrator and the services
- the code coverage indicates that the tests derived from the model cover a significant portion of the source code
- the model is not excessively abstract compared to the actual implementation.

coverage

Element ▲	Class, %	Method, %	Line, %
▼ io.github.contractautomata.care.runnable	100% (12/12)	84% (39/46)	83% (292/351)
▼ actions	100% (4/4)	100% (8/8)	92% (91/98)
CentralisedOrchestratedAction	100% (1/1)	100% (2/2)	100% (8/8)
CentralisedOrchestratorAction	100% (1/1)	100% (2/2)	95% (22/23)
DistributedOrchestratedAction	100% (1/1)	100% (2/2)	88% (39/44)
DistributedOrchestratorAction	100% (1/1)	100% (2/2)	95% (22/23)
OrchestratedAction	100% (0/0)	100% (0/0)	100% (0/0)
OrchestratorAction	100% (0/0)	100% (0/0)	100% (0/0)
▼ choice	100% (4/4)	81% (13/16)	90% (57/63)
DictatorialChoiceRunnableOrchest	100% (1/1)	100% (3/3)	100% (3/3)
DictatorialChoiceRunnableOrchest	100% (1/1)	75% (3/4)	83% (10/12)
MajoritarianChoiceRunnableOrche	100% (1/1)	100% (4/4)	94% (16/17)
MajoritarianChoiceRunnableOrche	100% (1/1)	60% (3/5)	90% (28/31)
AutoCloseableList	100% (1/1)	100% (2/2)	71% (5/7)
RunnableOrchestratedContract	100% (2/2)	80% (8/10)	80% (69/86)
RunnableOrchestration	100% (1/1)	80% (8/10)	72% (70/97)

Analysis: modelling phase

- Validation through modelling:
 - an undetected issue in the source code was identified during the modelling phase, related to the majoritarian choice
 - The orchestrator was waiting for a message also from the services not involved in the choice
 - The issue was undetected because in all tests all services were involved in a choice

Analysis: parameters tuning

- Delays in reading and writing, timeout, buffer size, probability weights
- Goals:
 - realistic modelling: low probability of filling the buffers, timeout, excessive delays
 - improved verification performances: reducing the state-space of the model for the exhaustive verification
- Probability weights (e.g., p_{choice} , p_{action} ,..) can be fine tuned to model an orchestration or a set of orchestrations

Parameters tuning: buffer size

```
E[<=500; 10000] (max: sum(i:int[0,N-1]) (sum(j:int[0,queueSize-1]) (orc2services[i][j] != nil)))
```

- Goal: prevent unnecessary growth in the state space whilst reducing the probability of filling the buffers
 - Default size of Java TCP/IP Sockets is 8 KB
- With buffer size=10, the formula evaluates to ~ 4.5
 - The buffer size can be safely reduced in the model

```
Pr[<=500] (<>(exists (i:id_t) ror.isFull(i)))
```

- Evaluates to [0,0.00996915] with buffer size set to 5
 - The buffer size is set to 5 for the subsequent experiments

Parameters tuning: timeout

- Selected configuration of rates and timeout
- Goals: low probability of timeout, high probability of terminating within a given timeframe, lower timeout threshold

```
Pr[<=500] (<> ror.Timeout)
```

```
Pr[<=500] (<>ror.Terminated&&(forall (i:id_t) ROC(i).Terminated))
```

- Exhaustive model checking: (#services, buffer size) either set to (4,5) or (5,3). The configuration (5,4) remained inconclusive.

Formal verification

- Termination

```
ror.Stop-->((ror.Terminated&&(forall(i:id_t)ROC(i).Terminated))  
            ||(exists(i:id_t)SocketTimeout(i).Timeout))
```

- $P \dashrightarrow Q$ is a shortcut for $A[](p \text{ imply } A\langle\rangle q)$

- Absence of deadlocks

```
A[](not deadlock || (exists(i:id_t) SocketTimeout(i).Timeout) ||  
    (ror.Terminated && (forall (i:id_t) ROC(i).Terminated)))
```

- no error state is ever reached
- an error in the model has been detected and fixed by model checking this formula

Formal verification

- Absence of orphan messages

```
Pr [≤500] (⟨⟩!allEmpty() && ror.Terminated && (forall (i:id_t) ROC(i).Terminated))
```

```
A [] ((ror.Terminated && (forall (i:id_t) ROC(i).Terminated)) imply allEmpty())
```

- No dummy execution

```
E [] (allEmpty() && !ror.Timeout)
```

Formal Verification

- No interference in matches

```
Pr[<=500] (<>exists(i:id_t) (i<N-1&&(ROC(i).d1==TYPEMATCH||ROC(i).d1==ADDRESS||ROC(i).d1==PORT))
    &&((ROC(i+1).d1==TYPEMATCH|| ROC(i+1).d1==ADDRESS||ROC(i+1).d1==PORT))&&
    (exists(j:id_t) (j!=i&&j!=i+1&&(ROC(j).d1==TYPEMATCH||ROC(j).d1==ADDRESS||ROC(j).d1==PORT))))
```

Formal Verification

- Mismatching configurations

```
ror = RunnableOrchestration(MAJORITARIAN_CHOICE, DISTRIBUTED_ACTION);  
alice = RunnableOrchestratedContract(0, MAJORITARIAN_CHOICE, DISTRIBUTED_ACTION);  
bob = RunnableOrchestratedContract(1, MAJORITARIAN_CHOICE, DISTRIBUTED_ACTION);  
carl = RunnableOrchestratedContract(2, DICTATORIAL_CHOICE, DISTRIBUTED_ACTION);  
ast = SocketTimeout(0); bst = SocketTimeout(1); cst = SocketTimeout(2);  
system ror, alice, bob, carl, ast, bst, cst;
```

```
A<>((ror.Error && carl.Error) || ror.Timeout)
```

```
A[](!ror.Start)
```

Conclusion

- Modelling, Verification and Testing of CARE
 - model-based testing and traceability for validating the adequacy of the model
 - Statistical model checking and exhaustive model checking for fine-tuning the parameters and perform the verification
- Future work:
 - automatic alignment of artifacts,
 - managing configurations (UPPEX).

Conclusion

- Modelling, Verification and Testing of CARE
 - model-based testing and traceability for validating the adequacy of the model
 - Statistical model checking and exhaustive model checking for fine-tuning the parameters and perform the verification
- Future work:
 - automatic alignment of artifacts,
 - managing configurations (UPPEX).
- Thanks for your attention

