

Efficient and Mild Carbon Dioxide Hydrogenation to Formate Catalyzed by Fe(II) Hydrido Carbonyl Complexes Bearing 2,6-(Diaminopyridyl)diphosphine Pincer Ligands

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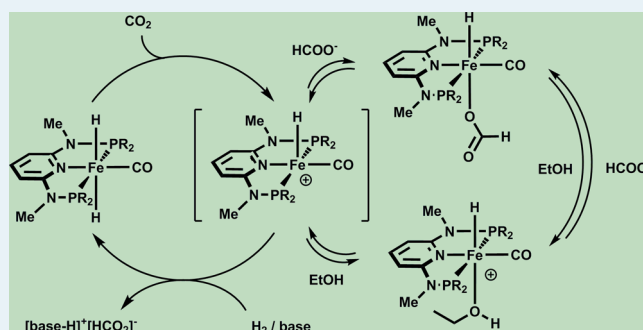
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S Supporting Information

ABSTRACT: Fe(II) hydrido carbonyl complexes supported by PNP pincer ligands based on the 2,6-diaminopyridine scaffold were found to promote the catalytic hydrogenation of CO₂ and NaHCO₃ to formate in protic solvents in the presence of bases, reaching quantitative yields and high TONs under mild reaction conditions, with pressures as low as 8.5 bar and temperatures as low as 25 °C. NMR and DFT studies highlighted the role of dihydrido and hydrido formate complexes in catalysis.

KEYWORDS: CO₂ hydrogenation, iron pincer complexes, homogeneous catalysis, mechanistic studies, DFT calculations

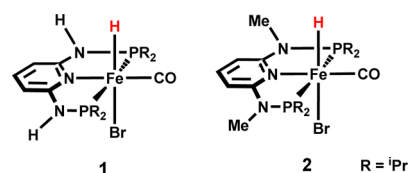


INTRODUCTION

The use of CO₂ as a C1 source is a matter of great interest due to its high abundance, availability, and low cost. In particular, its reduction to HCOOH or derivatives has attracted significant attention in recent years, since it holds the potential for reversible hydrogen storage.¹ The reduction of NaHCO₃ is also of interest, as CO₂ can be easily trapped in basic solutions and reversible hydrogen storage cycles based on bicarbonate and formate have been proposed.² The most efficient catalysts for CO₂ hydrogenation are typically based on expensive noble metals such as ruthenium and iridium.³ In the quest for cheaper alternatives, the preparation of well-defined earth-abundant metal catalysts of comparable activity is highly desirable and important progress has been made recently.⁴ Efficient iron-based catalysts supported by tetraphosphine ligands have been reported by Beller^{4a,b} and some of us,^{4c} whereas Milstein reported that the iron pincer complex [Fe(PNP)(H)₂(CO)] (PNP = 2,6-bis(di-*tert*-butylphosphinomethyl)pyridine) catalyzes CO₂ hydrogenation at low pressure.^{4d} More recently, Hazari and co-workers achieved impressive catalytic activities in Fe-catalyzed CO₂ hydrogenation, reaching turnover numbers (TONs) up to 79600 using iron PNP pincer complexes in the presence of Lewis acid (LA) cocatalysts.^{4e} In recent years, some

of us developed a new class of PNP pincer complexes based on the 2,6-diaminopyridine scaffold where the PR₂ moieties of the PNP ligand are connected to the pyridine ring via NH, N-alkyl, or N-aryl spacers.⁵ Among these, the iron hydrido carbonyl complexes [Fe(PNP^H-iPr)(H)(CO)(Br)] (**1**) and [Fe(PNP^{Me}-iPr)(H)(CO)(Br)] (**2**), shown in Scheme 1, were shown to be active catalysts for hydrogenation reactions.^{5c} Mechanistic studies showed that the N–H spacer of the PNP ligand in **1** can work as a bifunctional catalyst promoting metal–ligand cooperation,^{5c,d} while the N–Me spacer in complex **2** prevents

Scheme 1. Fe-PNP Pincer Complexes 1 and 2



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57 such a possibility. In addition, the presence of a labile bromide
58 and strongly σ donating H and CO ligands could give an ideal
59 donor set suitable for catalytic CO₂ hydrogenation.^{4d} Thus, we
60 investigated the activities of these complexes for CO₂ and
61 NaHCO₃ hydrogenation reactions.

62 ■ RESULTS AND DISCUSSION

63 **Catalytic Studies.** Initially, the catalytic activities of **1** and **2**
64 in NaHCO₃ hydrogenation were tested in different solvents
65 using 0.05 mol % of catalyst at 80 °C, 90 bar of H₂, and 24 h
66 (Table S1 in the Supporting Information). The best results
67 were obtained in H₂O/THF (4/1) mixtures which ensure good
68 solubility of both catalysts and substrate, reaching 98% formate
69 yield and TON = 1964 for **1** and 52% formate yield and TON
70 = 1036 for **2**, respectively. In MeOH, TONs and yields
71 decrease by ca. 50% with both catalysts, whereas the reaction
72 does not proceed in neat THF, indicating the need for a protic
73 solvent. In all cases, **1** performed better than **2** under analogous
74 conditions (see the Supporting Information for details). On the
75 basis of the solvent screening results, the hydrogenation of
76 NaHCO₃ in H₂O/THF was then studied with **1** under different
77 conditions of temperature, pressure, and catalyst loading (Table
78 1). In the presence of only 0.005 mol % of **1**, TONs up to 4560

Table 1. Hydrogenation of NaHCO₃ to NaHCO₂ with **1 at Different Catalyst Loadings, Temperatures, and Pressures^a**

entry ^a	amt of cat. 1 (mol %)	T (°C)	P (bar)	TON ^b	t (h)	yield ^c (%)
1	0.05	80	90	1964	24	98
2	0.005	80	90	4560	24	23
3	0.005	100	90	400	24	2
4	0.005	60	90	2360	24	12
5	0.05	25	90	188	72	9
6	0.005	80	60	640	24	3
7	0.005	80	30	80	24	<1
8	0.1	80	8.5	140	16	14

^aGeneral reaction conditions: 20 mmol of NaHCO₃, 0.01–0.001 mmol of catalyst, 25 mL of H₂O/THF 4/1, 80 °C, 90 bar, 24 h. ^bTON = (mmol of formate)/(mmol of catalyst). ^cYields calculated from the integration of ¹H NMR signals due to NaHCO₂, using DMF as internal standard.

79 could be achieved at 80 °C and 90 bar of H₂ after 24 h (entry
80 2). Either higher or lower temperatures resulted in lower
81 turnover numbers (entries 3 and 4). It is worth noting that the
82 reaction proceeds *even at room temperature*, giving TON = 188
83 after 72 h (entry 5). Reducing the H₂ pressure resulted in a
84 drop of TONs (entries 6 and 7), yet at higher catalyst loadings
85 (0.1 mol %) sodium formate was obtained (14% yield) with a
86 TON of 140 at only 8.5 bar of H₂ (Milstein's conditions)^{4d}
87 after 16 h (entry 8).

88 Next, the hydrogenation of CO₂ to formate in H₂O/THF
89 (4/1) in the presence of **1** and NaOH as base was studied
90 (Table 2), reaching TONs up to 1220 with nearly quantitative
91 yield under the optimized conditions (catalyst/NaOH = 1/
92 1250, CO₂/H₂ = 40/40 bar, 80 °C, 21 h).

93 Higher NaOH/catalyst ratios gave worse results regardless of
94 concentration (Table 2, entries 2–4). We then tested the
95 hydrogenation of CO₂ with **1** in EtOH in the presence of
96 different amine bases. Quite surprisingly, formate was not
97 formed using either DBU (1,8-diazabicyclo[5.4.0]undec-7-ene)
98 or DMOA (*N,N*-dimethylcyclohexylamine), whereas in the presence

Table 2. Hydrogenation of CO₂ to Formate with **1 using Different Solvents and Bases^a**

entry	amt of cat. 1 (mol %)	base	solvent	TON ^c	yield ^f (%)
1	0.08	NaOH	H ₂ O/THF	1220	98
2 ^b	0.04	NaOH	H ₂ O/THF	608	24
3 ^c	0.008	NaOH	H ₂ O/THF	120	1
4 ^d	0.04	NaOH	H ₂ O/THF	656	26
5	0.08	DBU	EtOH	0	0
6	0.08	DMOA	EtOH	0	0
7	0.08	NEt ₃	EtOH	288	23
8	0.08		EtOH	0	0
9	0.08	DBU	THF	0	0

^aGeneral reaction conditions: 12.5 mmol of base, 0.01 mmol of catalyst, 25.0 mL of solvent, 80 °C, 80 bar total pressure, 21 h. ^b25.0 mmol of base. ^c0.001 mmol of catalyst. ^d0.005 mmol of catalyst. ^eTON = (mmol of formate)/(mmol of catalyst). ^fYields calculated from the integration of ¹H NMR signals due to NaHCO₂, using DMF as internal standard.

of NEt₃ formate was obtained only in low yields (entries 5–7).⁹⁹
The observation that complex **1** fails to catalyze the
hydrogenation of CO₂ in EtOH in the presence of amine
bases such as DBU and DMOA may be attributed to the fact
that EtOH appears to prevent the formation of dihydrides,^{5c}
which are expected to be the catalytically active species in this
reaction. No reaction occurred in EtOH in the absence of base
(entry 8) or in THF with DBU as base due to catalyst
decomposition (entry 9).

A complete screening of the effects of catalyst concentration,
nature of base, solvent, and temperature for CO₂ hydrogenation
in the presence of **2** was then carried out (Table 3).^{110 13}

As for NaHCO₃ hydrogenation, catalyst **2** showed poorer
performance in comparison to **1** in the hydrogenation of CO₂
in H₂O/THF (4/1) in the presence of NaOH (Table 3, entries
1 and 2, vs Table 2, entries 1 and 2). Among the alcohols,
reactions in EtOH gave activity comparable to that observed in
H₂O/THF (entry 4), whereas worse performance was achieved
in MeOH (Table 3, entry 3). On the basis of the solvent
screening results, amine screening was then studied for CO₂
hydrogenation with **2** in EtOH. To our delight, using DBU as
base gave nearly quantitative formate yield (>90%) with a TON
of 1153 at 80 °C under 80 bar total pressure (entry 5). Using
either DMOA or NEt₃ instead of DBU resulted in lower TONs
(entries 6 and 7), and no reaction occurred in the absence of
base (entry 8) or with DBU in THF (entry 9) under otherwise
analogous conditions.¹²⁵

The potential of catalyst **2** was then further explored under
milder reaction conditions. At first, the effect of lower total
pressure was determined. In the presence of 0.1 mol % of **2** a
TON of 480 was reached after 21 h at 80 °C under only 8.5 bar
of H₂/CO₂ (1/1) (Table 3, entry 10), an activity comparable
to that of other known iron pincer catalysts.^{4d,f} Then, 131
temperature effects were studied. At 25 °C, catalyst **2**
manifested a remarkable catalytic activity, affording sodium
formate in high yields⁶ with a TON of 856 after 21 h and of
1032 after 72 h under 80 bar initial pressure (entries 11 and 12)
in the presence of 0.1 mol % catalyst. To the best of our
knowledge, these are the highest TONs obtained for Fe-catalyzed
CO₂ hydrogenation at room temperature to date.¹³⁸

Finally, the effect of catalyst loading was studied. At lower
catalyst loading (0.01 mol %) sodium formate was still obtained
in excellent yield (98%) with a TON of 9840 after 21 h at 80
141

Table 3. Hydrogenation of CO₂ to Formate with 2 using Different Solvents and Bases^a

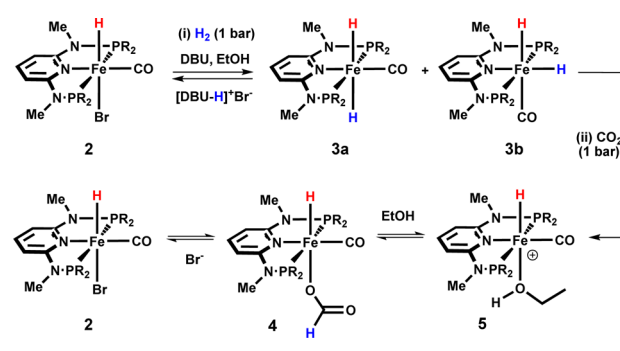
entry	amt of cat. 2 (mol %)	base	solvent	T (°C)	TON ^f	yield ^g (%)
1	0.08	NaOH	H ₂ O/THF	80	680	54
2 ^b	0.04	NaOH	H ₂ O/THF	80	372	15
3	0.08	NaOH	MeOH	80	220	18
4	0.08	NaOH	EtOH	80	654	53
5	0.08	DBU	EtOH	80	1153	92
6	0.08	DMOA	EtOH	80	452	36
7	0.08	NEt ₃	EtOH	80	686	55
8	0.08	EtOH	EtOH	80	0	0
9	0.08	DBU	THF	80	0	0
10 ^{c,d}	0.1	DBU	EtOH	80	480	48
11 ^c	0.1	DBU	EtOH	25	856	86
12 ^{c,e}	0.1	DBU	EtOH	25	1032	103
13 ^{c,f}	0.01	DBU	EtOH	80	9840	98
14	0.01	DBU	EtOH	25	465	5
15	0.005	DBU	EtOH	80	10275	21
16 ^{c,g}	0.001	DBU	EtOH	80	5000	5
17 ^{c,f,h}	0.01	DBU	EtOH	80	620	6
18 ^a		DBU	EtOH	80	0	0
19 ^k	0.08	DBU	EtOH	80	1163	93

^aGeneral reaction conditions: 12.5 mmol of base, 0.01 mmol of catalyst, 25.0 mL of solvent, 21 h. ^b25.0 mmol of base. ^c10.0 mmol of base. ^d8.5 bar (CO₂/H₂ = 1/1) total pressure. ^e72 h. ^f0.001 mmol of catalyst. ^g0.0001 mmol of catalyst. ^hIn the presence of LiOTf as Lewis acid additive, DBU/LiOTf = 7.5. ⁱTON = (mmol of formate)/(mmol of catalyst). ^jYields calculated from the integration of ¹H NMR signals due to NaHCO₃, using DMF as internal standard. ^kAs for footnote a, Hg(0) added.

142 °C (Table 3, entry 13), whereas a TON of 465 was achieved at 143 25 °C after 21 h at 80 bar (entry 14). On further reduction of 144 the catalyst loading to 0.005 mol %, CO₂ formate was still 145 achieved with a high TON of 10275, albeit in low yield with 146 respect to the base (21%, entry 15). Lowering the catalyst 147 amount further (0.001 mol %) under the same conditions 148 resulted in a lower TON of 5000 (entry 16). We also tested the 149 effect of additives at high substrate to catalyst ratios. 150 Surprisingly, in contrast to what was observed by Hazari et 151 al.,^{4c} the use of a LA cocatalyst such as LiOTf negatively 152 affected the performance (entry 17). Colloidal metal catalysis 153 was ruled out by carrying out a Hg poisoning test, which gave 154 results comparable to those observed in the original run (entry 155 19 vs 5).

156 **Mechanistic Studies.** In order to gain insights into the 157 reaction mechanism, the reactivity of complex 2 was 158 investigated in stoichiometric reactions by NMR techniques. 159 Exposure of an EtOH solution of 2 to H₂ (1 bar) in the 160 presence of KO^tBu resulted in the quantitative formation of 161 dihydrides 3a,b (cis and trans isomers).^{5c,7} The ³¹P{¹H} NMR 162 spectrum exhibits two singlets at 187.5 ppm (3a) and 189.9 163 ppm (3b), while the ¹H NMR exhibits a triplet at -9.57 ppm 164 for 3a and a broad resonance at -13.86 ppm for 3b. When the 165 temperature is lowered to -50 °C, the broad signal starts to 166 split into two separate triplets centered at -8.82 and -17.64 167 ppm.⁷ Using DBU as base, NMR analysis revealed that only 168 40% of 2 was converted into the Fe(II) dihydrides 3, even after 169 prolonged standing under an hydrogen atmosphere, suggesting 170 that 2 and 3 are in equilibrium with each other (Scheme 2, step

i). This may be explained by the lower pK_a value of [DBU-H]⁺ 171 in comparison to that of tBuOH.⁸ 172

Scheme 2. Stepwise Reaction of 2 with H₂ (i) and CO₂ (ii) in the Presence of DBU as Base in EtOH

Next, the EtOH/base solution containing in situ formed 3 173 was stirred under an atmosphere of CO₂ for 30 min. Regardless 174 of the base used, we observed the formation of the hydrido 175 formate complex [Fe(PNP^{Me}-iPr)(H)(CO)(η¹-O₂CH)] (4; 176 Scheme 2, step ii) characterized by a triplet at -24.71 ppm 177 for the hydride and a singlet at 7.96 ppm for the proton of the 178 formate ligand, which both integrate to 1 in the ¹H NMR 179 spectrum (see the Supporting Information). 180

Under these reaction conditions, 4 is in equilibrium with 2 181 due to the presence of bromide anions in solution. As a result, a 182 broad signal at 8.65 ppm due to free formate salt appeared in 183 the corresponding ¹H NMR spectrum. In addition, the cationic 184 hydride complex [Fe(PNP^{Me}-iPr)(H)(CO)(EtOH)]⁺ (5) was 185 present (Scheme 2), exhibiting a ¹H NMR triplet resonance at 186 -25.57 ppm. The ³¹P{¹H} NMR chemical shift of 5 is very 187 close to that of formate complex 4. However, no signal for the 188 free formate counteranion could be found in the ¹H spectrum 189 of 5. It is worth noting that complex 5 was independently 190 synthesized by treatment of 2 with silver salts in EtOH.⁷ In a 191 separate experiment, stirring a mixture of 2 and sodium formate 192 (4 equiv) in EtOH for 1 h also affords a mixture of 2, 4, and 5. 193 In another experiment, this time starting from isolated 3, the 194 reaction with CO₂ in EtOH afforded 5 with minor traces of 4. 195 Evidently, the formate ligand is easily displaced by an excess of 196 solvent under these conditions. 197

Single crystals of 4 suitable for X-ray diffraction analysis were 198 obtained by slow diffusion of pentane into a concentrated 199 solution of the complex in THF under an atmosphere of CO₂. 200 The solid-state structure of 4 confirms the geometry proposed 201 on the basis of NMR data. A structural view is depicted in 202 Figure 1 with selected bond distances given in the caption. 203 Complex 4 adopts a distorted-octahedral geometry around the 204 metal center with the formate and hydride ligands trans to each 205 other and in positions cis to the CO ligand. The hydride could 206 be unambiguously located in the difference Fourier maps. The 207 Fe-H distance was refined to 1.46(2) Å. 208

On the basis of the experimental evidence, a catalytic cycle 209 for CO₂ hydrogenation starting from 2 can be proposed, 210 encompassing formation of dihydrides 3 and CO₂ insertion to 211 give the hydrido formate complex 4 followed by hydrogenolysis 212 and formate elimination giving back 3 in the presence of base. 213 Solvent-assisted formate decoordination in 4 may occur to 214 leave a highly reactive, unobserved pentacoordinate cationic 215 Fe(II) hydrido carbonyl species, which can be stabilized by 216 EtOH coordination to give 5, observed by NMR (Scheme 3). 217 53

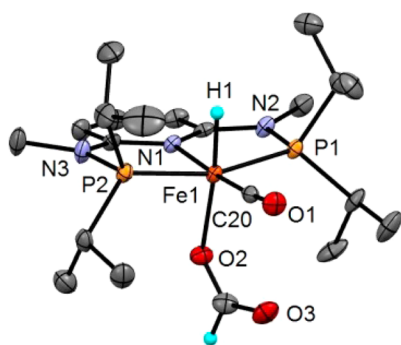
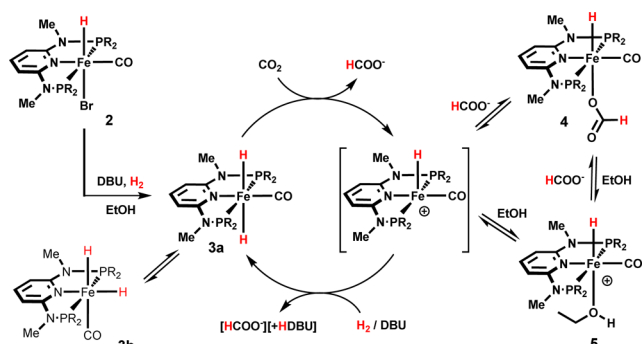


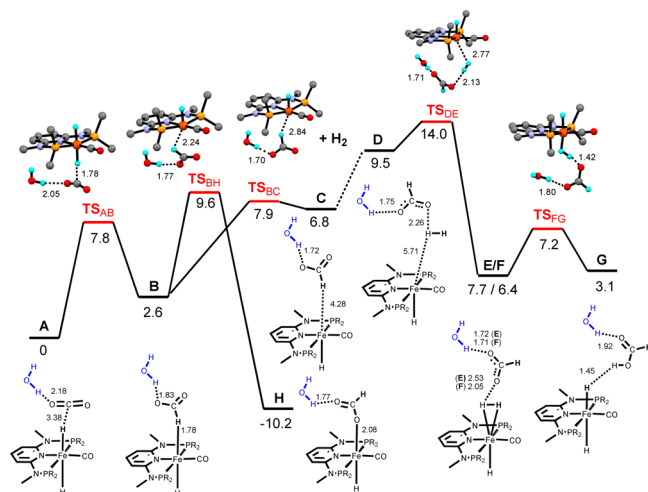
Figure 1. Structural view of **4** showing 50% thermal ellipsoids (most H atoms and a second independent complex omitted for clarity). Selected bond lengths (Å) and angles (deg): Fe1–P1 2.1765(7), Fe1–P2 2.1789(7), Fe1–N1 2.004(2), Fe1–C20 1.737(2), Fe1–O2 2.032(2), Fe1–H1 1.46(2); P1–Fe1–P2 162.57(2), N1–Fe1–C20 175.58(8).

Scheme 3. Proposed Catalytic Cycle for CO₂ Hydrogenation with **3a**



Further mechanistic details on the CO₂ hydrogenation mechanism were obtained by DFT calculations using **3a** as the initial active species. The free energy profile is shown in Scheme 4. Computational details are presented as Supporting Information. The model used in the calculations included one

Scheme 4. Free Energy Profile Calculated (DFT) for the Hydrogenation of CO₂ Catalyzed by **3a** (Denoted as A)^a



^aThe free energy values (kcal/mol) are referenced to the initial reactants, and relevant distances (Å) are indicated.

explicit water molecule that provides H-bond stabilization of the intermediates. The highlights of the calculated mechanism are presented in Scheme 4 with relevant intermediates and the corresponding free energy values.⁹

In the first step of the calculated mechanism, from **A** to **B**, the hydride attack from complex **3a** to a CO₂ molecule results in a H-bonded formate complex. This is a facile process with a barrier of 7.8 kcal/mol. In the resulting intermediate (**B**) the formate ion is stabilized by a H-bond with the water molecule. From **B**, formate can coordinate the metal, giving complex **4** (**H** in Scheme 4), where the formate ligand is bonded through the O atom. This intermediate represents the potential well of the mechanism; thus, it may be viewed as the catalyst resting state. Alternatively, the formate ion dissociates from the metal in **B** to give **C**, opening one coordination position that is occupied by a molecule of H₂ in the following step. Both processes are competitive with barriers within 2 kcal/mol. The reaction pathway proceeds with H₂ addition to **C**, yielding the dihydrogen complex **F**. This process has an energy barrier of 14 kcal/mol, corresponding to the highest barrier of the entire mechanism. In the final step, the formate ion is protonated by **F**, regenerating the initial complex **3a** and producing formic acid. Given the excess of base present in the reaction medium under experimental conditions, the acid formed will then be deprotonated in an acid–base reaction that provides the final driving force for the entire process. Importantly, the free formate ion (the reaction product under the experimental conditions) is stabilized by a H bond with the nearby water molecule in intermediates **E/F**.⁹ This facilitates the opening of the coordination position that will be used by H₂ in the following step of the mechanism, justifying the need for a protic solvent in the catalytic reaction. A similar reaction mechanism was recently proposed for the selective hydrogenation of aldehydes in EtOH with **3** as catalyst⁷ and by other authors on related systems.¹⁰

CONCLUSIONS

In conclusion, selected Fe(II) pincer-type complexes of 2,6-diaminopyridylbis(diisopropylphosphine) gave high activities as catalysts for CO₂ and NaHCO₃ reduction to formate under very mild to moderate conditions, even at room temperature. Mechanistic details were obtained by NMR techniques, highlighting the role of dihydride and hydrido formate complexes. DFT calculations indicate an outer-sphere mechanism with a hydrido formate complex as the catalyst resting state and suggest that the overall reaction is pushed forward by the acid–base reaction between the product (formic acid) and the excess base present in solution. Protic solvents promote catalysis by stabilizing the reaction intermediates and assisting formate elimination from the coordination sphere of the metal.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscatal.6b00416.

General materials and methods, synthetic procedures, NMR experiments and spectra, Cartesian coordinates of the computed structures, crystallographic data for the X-ray structure of **4**, and additional catalytic data (PDF) Crystallographic data for the X-ray structure of **4** (CIF)

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285 Notes

286 The authors declare no competing financial interest.

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