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This document describes a product resulting from aerosol lidar measurements of interest for investigating the occurrence and relevance of desert dust outflows from Northern Africa over Italy. The product is connected to activity 4.11 concerning *atmospheric aerosol typing* and it is also linked to activity in task 8.5, where a virtual research environment making use of such product is being developed.

This document is structured in two main parts, one describing the relevance and effects of desert dust in air quality, climate variability, environmental processes and climate change, one describing how the developed desert dust product is obtained starting from the standard aerosol lidar products. An annex reports the designed format of netcdf file for accommodating such a product keeping high the level of traceability and fairness used into ACTRIS aerosol remote sensing data center.

THE IMPORTANCE OF DESERT

Atmospheric desert dust is one of the major contributors to global aerosol loading and is the dominant component of atmospheric aerosols over large areas of the Earth. Mineral dust particles, suspended in the atmosphere from arid and semi-arid regions, can remain in the atmosphere for a time ranging from some days to about a week, depending on their size, e.g. dust particles with an aerodynamic diameter lesser than 10 µm have a relatively longer lifetime in the atmosphere and can be transported downwind over long distances. Eventually, under favorable meteorological conditions large amounts of dust can be transported in the free troposphere over great distances, affecting regions hundreds to thousands of kilometers away (Schepanski et al., 2017; Yu et al., 2021; Nogueira et al., 2021).

The impact of atmospheric dust on the environment, human and animal health, and economies represents a major scientific and societal issue (WHO, 2021; UNCCD, 2022; Monteiro et al., 2022; Mona et al., 2023). Figure 1 represents the main processes and impacts of dust aerosols. Dust aerosols can interact with solar and thermal radiation and with clouds, affecting radiative forcing and precipitation formation and thus influencing Earth's weather and climate. Once the dust is deposited, either by wet or dry deposition, it impacts both aquatic and terrestrial ecological systems through several different biogeochemical processes; e.g., mineral dust contains micronutrients that can act as a fertilizer.

Figure 1: Atmospheric dust process and impacts on environment (https://community.wmo.int/en/sds-about)

For countries in and downwind of arid regions, airborne sand and dust has numerous implications for various socio-economic sectors, such as public health and infrastructures. High concentrations of dust in residential areas degrade air quality and pose a significant threat to public health. Typical pathological responses to dust particles are respiratory problems and cardio-vascular diseases (Gupta et al., 2012; Vergadi et al., 2022). Moreover, the atmospheric transport of dust particles may also enhance the risk of bacterial infections such as meningitis.

Extreme dust events can also cause infrastructure malfunction and significant economic losses, on sectors such as transportation, aviation and solar energy production. More specifically, during intense dusty conditions, low visibility can cause road traffic disruptions and accidents and disturbances in airport operations leading to delays and cancellations of flights. In addition, dust particles can cause mechanical damages to the aircraft, including engine erosion and corrosion. For solar energy plants, the presence of dust in the atmosphere causes attenuation of the incoming solar irradiance and a reduction of the solar panel efficiency upon deposition (soiling), leading to a significant reduction of solar energy production Cordero et al., 2018; Kennedy et al., 2021).

The Sahara Desert in north Africa and the deserts located in the Middle East are the most important dust sources of the planet and dust from these sources is frequently driven to America, Asia and Europe. Due to the proximity to the Sahara and the Arabic deserts, the Mediterranean Basin is affected by dust outbreaks throughout the year (Gkikas et al., 2013, 2016), as mineral particles originating primarily from north African and secondarily from the deserts of the Arabian Peninsula are transported towards the Mediterranean Sea under the prevalence of cyclonic systems (Fig. 2; Flaounas et al., 2015; Gkikas et al., 2015).

Figure 2: Terra satellite image of a huge dust plume crossing the Mediterranean Sea. This image was taken on 16 July 2003 by NASA's Moderate Resolution Imaging Spectroradiometer (MODIS). NASA/Science Photo Library.

The position of the prevailing synoptic systems is subject to an intra-annual variation, inducing a seasonal pattern of dust intrusions over the Mediterranean basin. The Central Mediterranean region and more specifically Italy, are usually affected by dust loads mainly in spring and in summer, whereas between the months of November and January the dust activity is quite weak (Pace et al., 2006; Mona et al., 2006, 2014; Meloni et al., 2007; Boselli et al., 2012; Gkikas et al., 2022). However, regardless of the time of year, high-intensity dust events have been reported across the country that persist in the free troposphere and near the surface for several days, especially in Southern Italy. Eventually, the quantity of the dust burden that arrives in Italy is capable to exert significant impacts on environment and on socio-economic sectors (Mallone et al., 2011; Barnaba et al., 2017; Di Mauro et al., 2019). It is therefore of great social and scientific interest to better understand atmospheric dust processes and study the intensity, the duration, and the variability of the dust events, in order to mitigate their unwanted impacts.

DUST EXTINCTION PROFILES

Among the various observational techniques for desert dust particles detection and characterization (see for a review Mona et al., 2023), aerosol lidar depolarization technique has the unique advantage of allowing the direct identification of desert dust particles, thanks to depolarizing signal coming from aspherical particles like desert dust ones, with a very high precision in terms of vertical distribution, because of the unique capability of lidar of providing information on the particle vertical distribution.

A detailed review of lidar capabilities for mineral dust investigation is reported in Mona et al. (2012). A key element for the investigation of mineral dust is the retrieval of the particle depolarization ratio profiles that can be achieved by using specific detection channel(s) (e.g., Sugimoto et al., 2003). After an accurate calibration of a depolarization lidar system (Freudenthaler et al., 2009), the particle linear depolarization ratio (providing information on the particle shape) allows the discrimination of

mineral dust within an atmospheric volume and the consequent derivation of the pure-dust backscatter coefficient profile (Marenco and Hogan, 2011; Ansmann et al., 2012) and, in case of Raman/ HSRL lidar systems, the dust extinction coefficient profile (Shimizu et al., 2017; Tesche et al., 2009; Yumimoto et al., 2008) and dust optical depth by the integration of the dust extinction profile.

One of the goal of ITINERIS, is to develop specific desert dust lidar datasets to be provided through the ITINERIS Hub (workpackage 2). These datasets will be implemented talking advantage of the 5 active ACTRIS/EARLINET stations in Italy, to be enlarged to other lidar stations whenever available.

EARLINET (European Aerosol Research LIdar NETwork) network is one of the ACTRIS constituting network and nowadays consists of more than 30 operating lidar stations across Europe, providing daytime and night-time measurements of aerosol optical properties, twice per week on average, since 2000. The main products of an EARLINET lidar system are the aerosol backscatter and extinction coefficient profiles as well as the intensive properties that derive from them, namely the Ångström exponent (AE) and the lidar ratio (LR). These latter 2 parameters can provide very useful information for the identification of dust layers in the atmosphere, along with back-trajectory analysis. Anyhow, since 2012, many EARLINET stations started performing depolarization ratio measurements, which are extremely precious for distinguishing non-spherical particles, such as desert dust, from other aerosol types, using a methodology that separates the dust component from the total aerosol profile (Tesche et al., 2009).

In particular, our desert dust products will be Dust Backscatter Coefficient (DBC) and Dust Extinction Coefficient (DEC), that are higher level lidar products which can be obtained by the typical EARLINET's products of Particle Backscatter Coefficient (PBC) and Particle Depolarization Ratio (PDR) profiles. The retrieval is based on the separation of the dust component from the total aerosol PBC profile, using the PDR for distinguishing non-spherical particles, as mineral dust, from other aerosols, following the methodology introduced by Tesche et al. (2009). In order to assure the quality of the final products, only quality assured (Level 2.0) PBC and PDR data according to the latest EARLINET Quality procedure Check will be used (QC 3.0; https://www.earlinet.org/index.php?id=293), downloaded by the EARLINET database. As most lidar systems in the network perform PDR measurements at 532 nm, we only use products at this wavelength; however, the process for retrieving the dust profiles presented here is similar for the 355 nm as well.

Before the DBC retrieval process, an additional check is made on the PBC and PDR to verify that from a technical and physical point of view there are no values that can bias the calculation of DBC. More specifically, as shown in the flowchart of Fig. 3, the two profiles PBC(z) and PDR(z) and their errors, δ PBC(z) and δ PDR(z) respectively, are individually vertically inspected and for each altitude bin (z) the following controls are performed:

1 - the adopted separation methodology requires that all four variables are available; even if only one of them is missing (= NaN), the calculation of the DBC is impossible.

2 - data are considered only if the relative uncertainty (error-to-signal ratio) does not exceed a maximum value of 50%, otherwise data are not considered reliable to be used in the DBC calculation.

3 - the presence of aerosols in the atmosphere is checked. If PBC(z) is lower than a minimum aerosol content limit, it is assumed that the aerosol load at that altitude is very small, and so no dust particles can be identified, i.e., DBC(z) = 0. According to the EARLINET QC procedure this threshold value is set at PBCdect = $5 \cdot 10-7$ m-1 sr-1.

4 - Lastly, the bins where PBC is contaminated by cirrus clouds and where the relative uncertainty of PDR is \geq 50 % are excluded.

Data that passed all these four controls are used to calculate DBC and its error δ DBC. In this process measurements of PDR are used to categorize the shape of the particles into three different types: spherical, mixed type and non-spherical. More specifically, if the value of PDR is less than 0.05, the all particles are considered spherical (i.e., there is no dust at the given height z), whereas if it is greater than 0.31, non-spherical particles (mineral dust) dominate. For intermediate values, a separation equation is applied to calculate the percentage of non-spherical particles in the total aerosols (Tesche et al., 2009). In detail, DBC and δ DBC are calculated as follows:

If
$$PDR(z) \le PDR_{nd} \longrightarrow \begin{cases} DBC(z) = 0\\ \delta DBC(z) = 0 \end{cases}$$

If

If
$$PDR(z) \ge PDR_d \longrightarrow \begin{cases} DBC(z) = PBC(z) \\ \delta DBC(z) = \delta PBC(z) \end{cases}$$

where $PDR_{nd} = 0.05$ and $PDR_d = 0.31$ are the threshold values for non-dust and dust particles respectively. The error δDBC is computed by applying the general formula of error propagation to the separation equation.

Finally, the DEC is estimated by multiplying the DBC by a typical lidar ratio (LR) at 532 nm equal to 55 sr, representative of desert dust particles detected over Europe, mainly originating in northern Africa (Papagiannopoulos et al., 2018).

$$DEC(z) = LR(\lambda) \cdot DBC(z)$$
$$\delta DEC(z) = LR(\lambda) \cdot \delta DBC(z)$$

Figure 3: The profiles of PBC, PDR and their errors are scanned and the values that do not pass the four controls are excluded from the DBC calculation process.

Together to the statistical errors, the method has obviously some uncertainties due to the made assumptions. The accuracy of such dust backscatter and extinction calculation was checked by Tesche et al., 2009 through a sensitivity study, showing that relative errors for the dust components are about 20% and 25% for backscatter and extinction, respectively.

Figure 4 shows an example of how we arrive at the calculation of DEC according to the procedure described above, and concerns an actual measurement made at Potenza station.

Figure 4: Retrieval of the DEC profile (c) from measurements of PDR (a) and PBC (b) made at the EARLINET station of Potenza, on May 7th 2015, between 20:46 and 22:16 UTC.

The total PBC is divided into components dust and non-dust (Fig. 4b), according to the values of PDR (Fig. 4a). Figure 4c shows the final dust profile where, according to the flowchart in Fig. 3, the part between 4 and 7 km has been removed, because the total PBC is too small to be considered reliable, as well as the part above 7 km because there are cirrus clouds.

CONCLUSIONS

As product of the activity 4.11 a desert dust product will be released with the method here described. This will be applied to all the Italian datasets collected within ACTRIS/EARLINET satisfying the technical requirements in terms of collected variables and data quality. The data products, i.e. the vertical profiles of *Dust Backscatter Coefficient* and *Dust Extinction Coefficient*, will be securely stored and provided through the ITINERIS HUB (within WP2) and used in VRE AERO (WP8). At the present time, we expect to provide desert dust profiles for 5 aerosol lidar sites in Italy equipped with depolarization capability. The dataset will span, even if with different data coverage rate, the 2012-2024 period.

The data will be reported in netcdf (Annex 1 reports the designed data format) and will have the same main structure of the optical products provided by ACTRIS/EARLINET, adding new variables which are the dust backscatter and the dust extinction with its own statistical error. Reference to original ACTRIS/EARLINET data product will be reported for traceability and the code for processing ACTRIS/EARLINET data to obtain the dust product will be made available on github. Depending on the amount of data available for each station, it will be decided the specific granularity of each dataset (to which a DOI will be assigned). Additionally, a DOI will be associated to the whole collection of dust product.

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ANNEX 1 – DUST PRODUCT DATA EXAMPLE

```
netcdf Dust Product pot_Lev02_b0532_202109132130_202109132317_v01_qc03 {
dimensions:
       time = 1;
       wavelength = 1;
       altitude = 178;
       nv = 2;
variables:
       double time(time);
              time:axis = T'';
              time:bounds = "time bounds";
              time:calendar = "gregorian" ;
              time:long name = "time";
              time:standard name = "time";
              time:units = "seconds since 1970-01-01T00:00:00Z";
       double time bounds(time, nv);
       double altitude(altitude);
              altitude:axis = "Z";
              altitude:long name = "height above sea level";
              altitude:positive = "up";
              altitude:standard name = "altitude";
              altitude:units = "m";
       float wavelength(wavelength);
              wavelength:long name = "wavelength of the transmitted laser pulse";
              wavelength:units = "nm";
       byte error retrieval method(wavelength);
              error retrieval method:long name = "method used for the retrieval of
uncertainties";
              error retrieval method: FillValue = -127b;
              error retrieval method: flag values = 0b, 1b;
              error retrieval method:flag meanings = "monte carlo
error propagation";
       byte backscatter evaluation method(wavelength);
              backscatter evaluation method:long name = "method used for the
backscatter retrieval";
              backscatter evaluation method: FillValue = -127b;
              backscatter evaluation method: flag values = 0b, 1b;
              backscatter evaluation method:flag meanings = "Raman
elastic backscatter";
       float longitude ;
              longitude:long name = "longitude of station";
              longitude:standard name = "longitude";
              longitude:units = "degrees east";
       float latitude :
              latitude:long name = "latitude of station";
              latitude:standard name = "latitude";
```



```
latitude:units = "degrees north";
       float station altitude;
              station altitude:long name = "station altitude above see level";
              station altitude:units = "m";
              station altitude: FillValue = 9.96921e+36f;
       float zenith angle;
              zenith angle:long name = "laser pointing angle with respect to the
zenith";
              zenith angle:units = "degrees";
              zenith angle: FillValue = 9.96921e+36f;
       int shots(time) ;
              shots:long name = "accumulated laser shots";
              shots:units = "1";
              shots: FillValue = -2147483647;
double backscatter(wavelength, time, altitude);
              backscatter:ancillary variables = "error backscatter vertical resolution";
              backscatter:coordinates = "longitude latitude";
              backscatter:long name = "aerosol backscatter coefficient" ;
              backscatter:plausibility = "parameter passed the EARLINET quality
assurance.";
              backscatter:units = "m-1*sr-1";
              backscatter: FillValue = 9.96920996838687e+36;
       double error backscatter(wavelength, time, altitude);
              error_backscatter:coordinates = "longitude latitude";
              error backscatter:long name = "statistical uncertainty of aerosol
backscatter";
              error backscatter:plausibility = "parameter passed the EARLINET
quality assurance.";
              error backscatter:units = "m-1*sr-1";
              error backscatter: FillValue = 9.96920996838687e+36;
double vertical resolution(wavelength, time, altitude);
              vertical resolution:long name = "effective vertical resolution according
to Pappalardo et al., appl. opt. 2004";
              vertical resolution:units = "m";
              vertical resolution: FillValue = 9.96920996838687e+36;
double particledepolarization(wavelength, time, altitude);
              particledepolarization:coordinates = "longitude latitude";
              particledepolarization:long name = "aerosol linear depolarization ratio";
              particledepolarization:plausibility = "parameter not quality assured by
EARLINET.";
              particledepolarization:units = "1";
              particledepolarization: FillValue = 9.96920996838687e+36;
       double error particledepolarization(wavelength, time, altitude);
              error particledepolarization:coordinates = "longitude latitude";
              error particledepolarization:long name = "statistical uncertainty of
aerosol linear depolarization ratio";
```



```
error particledepolarization:plausibility = "parameter not quality assured
by EARLINET.";
              error particledepolarization:units = "1";
              error particledepolarization: FillValue = 9.96920996838687e+36;
byte cloud mask type;
              cloud mask type:long name = "cloud mask type";
              cloud_mask_type: FillValue = -127b ;
              cloud mask type:valid range = 0b, 3b;
              cloud_mask_type:flag_values = 0b, 1b, 2b ;
              cloud mask type:flag meanings = "no cloudmask available
manual cloudmask automatic cloudmask";
       byte scc product type;
              scc product type:long name = "SCC product type";
              scc product type: FillValue = -127b;
              scc product type:valid range = 1b, 2b;
              scc product type:flag values = 1b, 2b;
              scc product type:flag meanings = "experimental operational";
       byte cloud mask(time, altitude);
              cloud mask:long name = "cloud mask";
              cloud mask: FillValue = -127b;
              cloud mask:valid range = 0b, 7b;
              cloud mask:flag masks = 1b, 2b, 4b;
              cloud mask:flag meanings = "unknown cloud cirrus cloud
water cloud";
double dust backscatter(wavelength, time, altitude);
              dust backscatter:ancillary variables = "error dust backscatter
vertical resolution";
              dust backscatter:coordinates = "longitude latitude";
              dust backscatter:long name = "dust backscatter coefficient";
              dust backscatter:units = "m-1*sr-1";
              dust backscatter: FillValue = 9.96920996838687e+36;
double error dust backscatter(wavelength, time, altitude);
              error dust backscatter:coordinates = "longitude latitude";
              error dust backscatter:long name = "statistical uncertainty of dust
backscatter";
              error dust backscatter:plausibility = " parameter not quality assured by
EARLINET.";
              error dust backscatter:units = "m-1*sr-1";
              error_dust_backscatter:_FillValue = 9.96920996838687e+36;
double vertical resolution(wavelength, time, altitude);
              vertical resolution:long name = "effective vertical resolution according
to Pappalardo et al., appl. opt. 2004";
```


vertical resolution:units = "m"; vertical resolution: FillValue = 9.96920996838687e+36; double dust extinction(wavelength, time, altitude); dust extinction:ancillary variables = "error dust extinction vertical resolution"; dust extinction:coordinates = "longitude latitude"; dust extinction:long name = "dust extinction coefficient"; dust extinction:units = "m-1"; dust extinction: FillValue = 9.96920996838687e+36; double error dust extinction (wavelength, time, altitude); error dust extinction:coordinates = "longitude latitude"; error dust extinction:long name = "statistical uncertainty of dust extinction "; error dust extinction:plausibility = " parameter not quality assured by EARLINET."; error_dust_ extinction:units = "m-1" ; error dust extinction: FillValue = 9.96920996838687e+36; double vertical resolution(wavelength, time, altitude); vertical resolution:long name = "effective vertical resolution according to Pappalardo et al., appl. opt. 2004"; vertical resolution:units = "m"; vertical resolution: FillValue = 9.96920996838687e+36; // global attributes: :Conventions = "CF-1.7"; :title = "Profiles of aerosol optical properties"; :source = "Ground based LIDAR measurements"; :references = "Dust product obtained using ACTRIS/EARLINET data and Tesche et al., 2009 method"; :history = "Created on 2022-02-27T00:10Z "; :station ID = "pot"; :location = "Potenza, Italy"; :system = "MUSA"; :institution = "Consiglio Nazionale delle Ricerche - Istituto di Metodologie per l\'Analisi Ambientale (CNR-IMAA), Potenza - CNR-IMAA"; :comment = ""; :measurement ID = "20210913pot2130"; :measurement_start_datetime = "2021-09-13T21:30:25Z"; :measurement stop datetime = "2021-09-13T23:17:21Z"; :PI = "Aldo Amodeo"; :PI affiliation = "Consiglio Nazionale delle Ricerche - Istituto di Metodologie per l\'Analisi Ambientale "; :PI affiliation acronym = "CNR-IMAA";

	:PI_address = "Contrada S.Loja, Zona Industriale - Tito Scalo I-85050	
Potenza";		
	:PI_phone = "+39 0971 427263";	
	:PI_email = "aldo.amodeo@imaa.cnr.it";	
	:Data_Originator = "pot_uploader";	
	:Data_Originator_affiliation = "Consiglio Nazionale delle Ricerche -	
Istituto di Metodologie per l\'Analisi Ambientale";		
	:Data_Originator_affiliation_acronym = "CNR-IMAA";	
	:Data_Originator_address = "Contrada S.Loja, Zona Industriale - Tito	
Scalo I-85050 Potenza";		
	:Data_Originator_phone = "+39 0971 427263";	
	:Data_Originator_email = "aldo.amodeo@imaa.cnr.it";	
	:data_processing_institution = "Consiglio Nazionale delle Ricerche -	
Istituto di Metodologie per l\'Analisi Ambientale (CNR-IMAA)";		
	:hoi_system_ID = 74 ;	
	:hoi_configuration_ID = 559;	
	:processor_name="DustProfiler";	
	:processor_version = "1.0";	

:input_file = " EARLINET_AerRemSen_pot_Lev02_b0532_202109132130_202109132317_v02_qc0 3.nc ";

:__acknowledgments: "The activities leading to this data product were funded by ITINERIS (Italian Integrated Environmental Research Infrastructures System)", PNRR, Project code IR0000032

data:

```
time = 1631568625; // time(1)
time_bounds =
1631568625, // time_bounds(1,1)
1631575041; // time_bounds(2,1)
altitude = 1030, // altitude(1)
.....
11650; // altitude(178)
wavelength = 532; // wavelength(1)
```

error_retrieval_method = 1; // error_retrieval_method(1)

backscatter_evaluation_method = 0; // backscatter_evaluation_method(1)


```
raman backscatter algorithm = 1; // raman backscatter algorithm(1)
longitude = 15.72; // longitude(1145785199)
latitude = 40.6; // latitude(1147882255)
station altitude = 760; // station altitude(1148275461)
zenith angle = 0; // zenith angle(1144736639)
shots = 3672000; // shots(1)
atmospheric molecular calculation source = 2; //
atmospheric molecular calculation source(1145129845)
cirrus contamination = 2; // cirrus contamination(1145391985)
cirrus contamination source = 2; // cirrus contamination source(1144998779)
quality control level = 2; // quality control level(1146309479)
basic quality control = 7; // basic quality control(1147095835)
advanced quality control = 2027; // advanced quality control(1145981802)
backscatter =
 1.89244926570046e-06, // backscatter(1,1,1)
  9.39888494713363e-08; // backscatter(178,1,1)
error backscatter =
4.59075292690807e-08, // error backscatter(1,1,1)
  9.05585864288758e-08; // error_backscatter(178,1,1)
vertical resolution =
 180, // vertical resolution(1,1,1)
  540; // vertical resolution(178,1,1)
particledepolarization =
0.046053690950082, // particledepolarization(1,1,1)
  ; // particledepolarization(178,1,1)
error particledepolarization =
0.000588184273299376, // error particledepolarization(1,1,1)
• • •
```



```
; // error particledepolarization(178,1,1)
dust backscatter =
 1.89244926570046e-06, // dust backscatter(1,1,1)
. . . . .
  9.39888494713363e-08; // dust backscatter(178,1,1)
error dust backscatter =
 4.59075292690807e-08, // error dust backscatter(1,1,1)
. . . .
  9.05585864288758e-08; // error dust backscatter(178,1,1)
dust extinction =
 1.89244926570046e-04, // dust extinction (1,1,1)
. . . . .
  9.39888494713363e-06; // dust extinction (178,1,1)
error dust extinction =
 4.59075292690807e-05, // error dust extinction (1,1,1)
  9.05585864288758e-07; // error dust extinction (178,1,1)
```

cloud_mask_type = 2; // cloud_mask_type(1145129845)

```
cloud_mask =
    0, // cloud_mask(1,1)
    ....
    0; // cloud_mask(178,1)
    }
```