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Article The VISIONE Video Search System: Exploiting Off-the-Shelf Text Search Engines for Large-Scale Video Retrieval

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- 1 Abstract: This paper describes in detail VISIONE, a video search system that allows users to
- ² search for videos using textual keywords, the occurrence of objects and their spatial relationships,
- the occurrence of colors and their spatial relationships, and image similarity. These modalities
- a can be combined together to express complex queries and meet users' needs. The peculiarity of
- ⁵ our approach is that we encode all information extracted from the keyframes, such as visual deep
- ⁶ features, tags, color and object locations, using a convenient textual encoding that is indexed in a
- r single text retrieval engine. This offers great flexibility when results corresponding to various parts
- s of the query (visual, text and locations) need to be merged. In addition, we report an extensive
- analysis of the retrieval performance of the system, using the query logs generated during the
- ¹⁰ Video Browser Showdown (VBS) 2019 competition. This allowed us to fine-tune the system by
- 11 choosing the optimal parameters and strategies from those we tested.
- 12 Keywords: Content-based Video Retrieval; Surrogate Text Representation; Known Item Search;
- 13 Ad-hoc Video Search; Multimedia and multimodal retrieval; Multimedia information systems;
- 14 Information systems applications; Video search; Image search; Users and interactive retrieval;
- 15 Retrieval models and ranking; Users and interactive retrieval.

16 1. Introduction

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With the pervasive use of digital cameras and social media platforms, we witness a massive daily production of multimedia content, especially videos and photos. This phenomenon poses several challenges for the management and retrieval of visual archives. On one hand, the use of content-based retrieval systems and automatic data analysis is crucial to deal with visual data that typically are poorly-annotated (think for instance of user-generated content). On the other hand, there is an increasing need for scalable systems and algorithms to handle ever-larger collections of data.

In this work, we present a video search system, named VISIONE, which provides users with various functionalities to easily search for targeted videos. It relies on artificial intelligence techniques to automatically analyze and annotate visual content and employs an efficient and scalable search engine to index and search for videos. A demo of VISIONE running on the V3C1 dataset, described in the following, is publicly available at http://visione.isti.cnr.it/.

VISIONE participated in the Video Browser Showdown (VBS) 2019 challenge [1]. VBS is an international video search competition [1–3] that evaluates the performance of interactive video retrieval systems. Performed annually since 2012, it is becoming increasingly challenging as its video archive grows and new query tasks are introduced in the competition. The V3C1 dataset [4] used in the competition since 2019 consists of 7,475 videos gathered from the web, for a total of about 1,000 hours. The V3C1 dataset is segmented into 1,082,657 non-overlapping video segments, based on the visual content of the videos [4]. The shot segmentation for each video as well as the keyframes

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Figure 1. Examples of KIS, textual KIS and AVS tasks.

and thumbnails per video segment are available within the dataset¹. In our work, we 38 used the video segmentation and the keyframes provided with the V3C1 dataset. The 39 tasks evaluated during the competition are: Known-Item-Search (KIS), textual KIS and 40 Ad-hoc Video Search (AVS). Figure 1 gives an example of each task. The KIS task models 41 the situation in which a user wants to find a particular video clip that he or she has 42 previously seen, assuming that it is contained in a specific data collection. The textual KIS 43 is a variation of the KIS task, where the target video clip is no longer visually presented 44 to the participants of the challenge, but it is rather described in detail by some text. This 45 task simulates situations in which a user wants to find a particular video clip, without 46 having seen it before, but knowing exactly its content. For the AVS task, instead, a 47 general textual description is provided and participants have to find as many correct examples as possible, i.e. video shots that match the given description. 49 VISIONE can be used to solve both It integrates several content-based data analysis 50 and retrieval modules, including a keyword search, a spatial object-based search, a 51 spatial color-based search, and a visual similarity search. The main novelty of our 52 system is that it employs text encodings that we specifically designed for indexing and 53 searching video content. This aspect of our system is crucial: we can exploit the latest text search engine technologies, which nowadays are characterized by high efficiency 55

and scalability, without the need to define a dedicated data structure or even worry
about implementation issues like software maintenance or updates to new hardware
technologies, etc.

In [5] we initially introduced VISIONE by only listing its functionalities and briefly 59 outlining the techniques it employs. In this work, instead, we have two main goals: first, 60 to provide a more detailed description of all the functionalities included in VISIONE 61 and how each of them are implemented and combined together; second, to present an 62 analysis of the system retrieval performance by examining the logs acquired during 63 the VBS2019 challenge. Therefore, this manuscript primarily presents how all the 64 aforementioned search functionalities are implemented and integrated into a unified 65 framework that is based on a full-text search engine, such as Apache Lucene²; secondly, it presents an an experimental analysis for identifying the most suitable text scoring 67 function (ranker) for the proposed textual encoding in the context of video search. 68

The rest of the paper is organized as follows. The next section reviews related works. Section 3 gives an overview of our system and its functionalities. Key notions on our proposed textual encoding and other aspects regarding the indexing and search phases are presented in Section 4. Section 5 presents an experimental evaluation to determine which text scoring function is the best in the context of a . Section 6 draws the

74 conclusions.

² https://lucene.apache.org/

¹ https://www-nlpir.nist.gov/projects/tv2019/data.html

75 2. Related Work

Video search is a challenging problem of great interest in the multimedia retrieval
 community. It employs various information retrieval and extraction techniques, such as
 content-based image and text retrieval, computer vision, speech and sound recognition,
 and so on.

In this context, several approaches for cross-modal retrieval between visual data 80 and text description have been proposed, , to name but a few. Many of them are image-81 text retrieval methods that make use of a projection of the image features and the text 82 features into the same space (visual, textual or a joint space) so that the retrieval is then performed by searching in this latent space (e.g., [6-8]). Other approaches are referred 84 as video-text retrieval methods as they learn embeddings of video and text in the same 85 space by using different multi-modal features (like visual cues, video dynamics, audio 86 inputs, and text) [9–14]. For example, [11] simultaneously utilizes multi-modal features 87 to learn two joint video-text embedding networks: one learns a joint space between text features and visual appearance features, the other learns a joint space between text 89 features and a combination of activity and audio features. 90

Many video retrieval systems are designed in order to support complex human generated queries that may include but are not limited to keywords or natural language sentences. Most of them are interactive tools where the users can dynamically refine their queries in order to better specify their search intent during the search process. The contest provides a live and fair performance assessment of interactive video retrieval systems and therefore in recent years has become a reference point for comparing stateof-the-art video search tools. During the competition, the participants have to perform various KIS and AVS tasks in a limited amount of time (generally within 5-8 minutes for each task). To evaluate the interactive search performance of each video retrieval system, several search sessions are performed by involving both expert and novice users³.

Several video retrieval systems participated at the VBS in the last years [1,3,15, 101 16]. Most of them, including our system, support multimodal search with interactive 102 query formulation. The various systems differ mainly on (i) the search functionalities 103 supported (e.g. query-by-keyword, query-by-example, query-by-sketch, etc.), (ii) the 104 data indexing and search mechanisms used at the core of the system, (iii) the techniques 105 employed during video preprocessing to automatically annotate selected keyframes 106 and extract image features, (iv) the functionalities integrated into the user interface, 107 including advanced visualization and relevance feedback. Among all the systems that 108 participated in VBS, we recall VIRET [17], vitrivr [18], and SOM-Hunter [19], which won 109 the competition in 2018, 2019, and 2020, respectively. 110

VIRET [17,20] is an interactive frame-based video retrieval system that currently 111 provides four main retrieval modules (query by keyword, query by free-form text, 112 queries by color sketch, and query by example). The keyword search relies on automatic 113 annotation of video keyframes. In the latest versions of the system, the annotation is 114 performed using a retrained deep (NasNet [21]) with a custom set of 1243 class labels. 115 A retrained NasNet is also used to extract deep features of the images, which are then 116 employed for similarity search. The free-form text search is implemented by using a variant of the W2VV++ model [22]. An interesting functionality supported by VIRET is 118 the temporal sequence search, which allows a user to describe more than one frame of a 119 target video sequence by also specifying the expected temporal ordering of the searched 120 frames. 121

Vitrivr [23] is an open-source multimedia retrieval system that supports contentbased retrieval of several media types (images, audio, 3D data, and video). For video retrieval, it offers different query modes, including query by sketch (both visual and semantic), query by keywords (concept labels), object instance search, speech transcription

³ Expert users are the developers of the in race retrieval system or people that already know and use the system before the competition. Novices are users who interact with the search system for the first time during the competition.

search, and similarity search. For the query by sketch and query by example, vitrivr uses
several low-level image features and a pixel-wise semantic annotator [24]. The textual
search is based on scene-wise descriptions, structured metadata, OCR, and ASR data

extracted from the videos. Faster-RCNN [25] (pre-trained on the Openimages V4 dataset)
and a ResNet-50 [7] (pre-trained on ImageNet) are used to support object instance search.
The latest version of vitrivr also supports temporal queries.

SOM-Hunter [19] is an open-source video retrieval system that supports keyword
search, free-text search, and temporal search functionalities, which are implemented
as in the VIRET system. The main novelty of SOM-Hunter is that it relies on the
user's relevance feedback to dynamically update the search results displayed using
self-organizing maps (SOMs).

Our system, like almost all current video retrieval systems, relies on artificial intelli-137 gence techniques for automatic video content analysis (including automatic annotation 138 and object recognition). Nowadays, content-based image retrieval systems (CBIR) are 139 possible solution to the problem of retrieving and exploring a large volume of images 140 resulting from the exponential growth of accessible image data. Many of these systems 141 use both visual and textual features of the images, but often most of the images are 142 not annotated or only partially annotated. Since manual annotation for a large volume 143 of images is impractical, Automatic Image Annotation (AIA) techniques aim to bridge 144 this gap. For the most part, AIA approaches are based solely on the visual features of the image using different techniques: one of the most common approaches consists in 146 training a classifier for each concept and obtaining the annotation results by ranking 147 the class probability [26,27]. There are other AIA approaches that aim to improve the 148 quality of image annotation by using the knowledge implicit in a large collection of unstructured text describing images, and are able to label images without having to train 150 a model (Unsupervised Image Annotation approach [28–30]). In particular, the image 151 annotation technique we exploited is an Unsupervised Image Annotation technique 152 originally introduced in [31]. 153

Recently, image features built upon Convolutional Neural Networks (CNN) have 154 been used as an effective alternative to descriptors built using image local features, like 155 SIFT, ORB and BRIEF, to name but a few. CNNs have been used to perform several tasks, 156 including image classification, as well as image retrieval [32–34] and object detection 157 [35]. Moreover, it has been proved that the representations learned by CNNs on specific 158 tasks (typically supervised) can be transferred successfully across tasks [32,36]. The 159 activation of neurons of specific layers, in particular the last ones, can be used as features 160 to semantically describe the visual content of an image. Tolias et al. [37] proposed the 161 Regional Maximum Activations of Convolutions (R-MAC) feature representation, which 162 encodes and aggregates several regions of the image in a dense and compact global 163 image representation. Gordo et al. [38] inserted the R-MAC feature extractor in an 164 end-to-end differentiable pipeline in order to learn a representation optimized for visual 165 instance retrieval through back-propagation. The whole pipeline is composed by a fully convolutional neural network, a region proposal network, the R-MAC extractor and 167 PCA-like dimensionality reduction layers, and it is trained using a ranking loss based on 168 image triplets. In our work, as a feature extractor for video frames, we used a version of 169 R-MAC that uses the ResNet-101 trained model provided by [39] as the core. This model 170 has proven to perform best on standard benchmarks. 171

Object detection and recognition techniques also provide valuable information for 172 semantic understanding of images and videos. In [40] the authors proposed a model 173 for object detection and classification, which integrates Tensor features. The latter 174 are invariant under spatial transformation and together with SIFT features (which are 175 invariant to scaling and rotation) allow improving the classification accuracy of detected 176 objects using a Deep Neural Network. In [41,42], the authors presented a cloud based 177 system that analyses video streams for object detection and classification. The system 178 is based on a scalable and robust cloud computing platform for performing automated 179



Figure 2.

analysis of thousands of recorded video streams. The framework requires a human
operator to specify the analysis criteria and the duration of video streams to analyze.
The streams are then fetched from a cloud storage, decoded and analyzed on the cloud.
The framework executes intensive parts of the analysis on GPU-based servers in the
cloud. Recently, in [43], the authors proposed an approach that combines Deep and
SIFT. In particular, they extract features from the analyzed images with both approaches,
they fuse the features by using a serial-based method that produces a matrix that is fed
to ensemble classifier for recognition.

In our system, we used YOLOv3 [44] as CNN architecture to recognize and locate 188 objects in the video frames. The architecture of YOLOv3 jointly performs a regression of 189 the bounding box coordinates and classification for every proposed region. Unlike other 190 techniques, YOLOv3 performs these tasks in an optimized fully-convolutional pipeline 191 that takes pixels as input and outputs both the bounding boxes and their respective 192 proposed categories. This CNN has the great advantage of being particularly fast and at 193 the same time exhibiting remarkable accuracy. To increase the number of categories of 194 recognizable objects, we used three different variants of the same network trained on 195 different data sets, namely, YOLOv3, YOLO9000 [45], and YOLOv3 OpenImages [46]. 196

One of the main peculiarities of our system, compared to others participating in VBS, 197 is that we decided to employ a full-text search engine to index and search video content, 198 both for the visual and textual parts. Since nowadays text search technologies have 1 9 9 achieved impressive performance in terms of scalability and efficiency VISIONE turns 200 out to be scalable. To take full advantage from these stable search engine technologies, 201 we specifically designed various text encodings for all the features and descriptors 202 extracted from the video keyframes and the user query, and we decided to use the 203 Apache Lucene project. In previous papers, we already exploited the idea of using text 204 encoding, named Surrogate Text Representation [47], to index and search image for deep 205 features [47–50]. In VISIONE, we extend this idea to index also information regarding 206

²⁰⁷ the position of objects and colors that appear in the images.



Figure 3.

3. The VISIONE video search tool

VISIONE is a visual content-based retrieval system designed to support large scale
 video search. It allows a user to search for a video describing the content of a scene by
 formulating textual or visual queries (see Figure 2).

VISIONE, in fact, integrates several search functionalities and exploits deep learning technologies to mitigate the semantic gap between text and image. Specifically it
supports:

- *query by keywords*: the user can specify keywords including scenes, places or concepts
 (e.g. outdoor, building, sport) to search for video scenes;
- *query by object location*: the user can draw on a canvas some simple diagrams to specify the objects that appear in a target scene and their spatial locations;
- *query by color location*: the user can specify some colors present in a target scene and their spatial locations (similarly to object location above);
- *query by visual example*: an image can be used as a query to retrieve video scenes
 that are visually similar to it.

Moreover, the search results can be filtered by indicating whether the keyframes are in color or in b/w, or by specifying its aspect ratio.

225 3.1. The User Interface

The VISIONE user interface is designed to be simple, intuitive and easy to use also for users who interact with it for the first time. As shown in Figure 2, it integrates the *searching* and the *browsing* functionalities in the same window.

The searching part of the interface (Figure 3) provides:

- a *text box*, named *"Scene tags"*, where the user can type keywords describing the target scene (e.g. *"park* sunset tree walk");
- a *color palette* and an *object palette* that can be used to easily drag & drop a desired
 color or object on the canvas (see below);

a *canvas*, where the user can sketch objects and colors that appear in the target scene simply by drawing bounding-boxes that approximately indicate the positions of the desired objects and colors (both selected from the palettes above) in the scene;

- a *text box*, named "*Max obj. number*", where the user can specify the maximum number of instances of the objects appearing in the target scene (e.g.: two glasses);
- two *checkboxes* where the user can filter the type of keyframes to be retrieved (B/W
- or color images, 4:3 or 16:9 aspect ratio).

The canvas is split into a grid of 7×7 cells, where the user can draw the boxes and then move, enlarge, reduce or delete them to refine the search. The user can select the



Figure 4.

desired color from the palette, drag & drop it on the canvas and then resize or move the
corresponding box as desired. There are two options to insert objects in the canvas: (i)
directly draw a box in the canvas using the mouse and then type the name of the object
in a dialog box (auto-complete suggestions are shown to the user), (ii) drag & drop one of
the object icon appearing in the object palette on the canvas. For the user's convenience,
a selection of 38 common (frequently used) objects are included in the object palette.

Note that when objects are inserted in the canvas (e.g. a "person" and a "car"), then the system filters out all the images not containing the specified objects (e.g. all the scenes without a person or without a car). However, images with multiple instances of those objects can be returned in the search results (e.g. images with two or three people and one or more cars). The user can use the "*Max obj. number*" text box to specify the maximum number of instances of an object appearing in the target scene. For example by typing "1 person 3 car 0 dog" the system returns only images containing at most one person, three cars and no dog.

The "Scene tags" text box provides auto-complete suggestions to the users and for 257 each tag also indicates the number of keyframes in the databases that are annotated with 258 it. For example, by typing "music" the system suggests "music (204775); musician (1374); 259 *music hall (290); ..."*, where the numbers indicates how many images in the database are 260 annotated with the corresponding text (e.g. 204775 images for "music", 1374 images for 261 *"musician"*, etcetera). This information can be exploited by the user when formulating the 262 queries. Moreover, the keyword-based search supports wildcard matching. For example, 263 with "*music**" the system searches for any tag that starts with "*music*". 264

Every time the user interacts with the search interface (e.g type some text or add/ move/delete a bounding box) the system automatically updates the list of search results, which are displayed in the browsing interface, immediately below the search panel. In this way the user can interact with the system and gradually compose his query by also taking into account the search results obtained so far to refine the query itself.

The browsing part of the user interface (Figure 4) allows accessing the information associated with the video, every displayed keyframe belongs to it, a keyframe-based



Searching phase

Figure 5. System Architecture: a general overview of the components of the two main phases of the system, the indexing and the browsing.

video summary and playing the video starting from the selected keyframe. In this way, 272 the user can easily check if the selected image belongs to the searched video. The search 273 results can also be grouped together according to the fact that the keyframes belong to 274 the same video. This visualization option can be enabled/disabled by clicking on the 275 "Group by video" checkbox. Moreover, while browsing the results, the user can use one of 276 the displayed images to perform an image Similarity Search and retrieve frames visually similar to the one selected. A Similarity Search is executed by double clicking on an 278

image displayed in the search results. 27

3.2. System Architecture Overview

The general architecture of our system is illustrated in Figure 5. Each component of 281 the system will be described in detail in the following sections; here we give an overview 282 of how it works. To support the search functionalities introduced above, our system 283 exploits deep learning technologies to understand and represent the visual content of the database videos. Specifically, it employs: 285

- an image annotation engine, to extract scene tags (see Sec. 4.1);
- state-of-the-art object detectors, like YOLO⁴, to identify and localize objects in the . 287 video keyframes (see Sec 4.2); 288
- spatial colors histograms, to identify dominant colors and their locations (see Sec 280 4.2); 290
- the R-MAC deep visual descriptors, to support the Similarity Search functionality 291 (see Sec. 4.3) 292

The peculiarity of the approach used in VISIONE is to represent all the differ-203 ent types of descriptors extracted from the keyframes (visual features, scene tags, col-294 ors/object locations) with a textual encoding that is indexed in a single text search engine. 295 This choice allows us to exploit mature and scalable full-text search technologies and 296 platforms for indexing and searching video repository. In particular, VISIONE relies 297 on the Apache Lucene full-text search engine. The text encoding used to represent the 298 various types of information, associated with every keyframe, is discussed in Section 4. 299

Also the queries formulated by the user through the search interface (e.g. the keywords describing the target scene and/or the diagrams depicting objects and the 301 colors locations) are transformed into textual encoding, in order to process them. We 302 designed a specific textual encoding for each typology of data descriptor as well as for 303 the user queries. 304

In the full-text search engine, the information extracted from every keyframe is 305 composed of four textual fields, as shown in Figure 5: 306

- Scene Tags, containing automatically associated tags; 307
- Object&Color BBoxes, containing text encoding of colors and objects locations; 308 .
- Object&Color Classes, containing global information on objects and colors in the 309 keyframe; 310

- *Visual Features,* containing text encoding of extracted visual features.
- These four fields are used to serve the four main search operations of our system:
- Annotation Search, search for keyframes associated with specified annotations;
- BBox Search, search for keyframes having specific spatial relationships among objects/colors;
- OClass Search, search for keyframes containing specified objects/colors;
- Similarity Search, search for keyframes visually similar to a query image

The user query is broken down into In the next section, we will describe the four search operations and further details on the indexing and searching phases.

320 4. Indexing and Searching Implementation

In VISIONE, as already anticipated, content of keyframes is represented and indexed using automatically generated annotations, positions of occurring objects, positions of colors, and deep visual features. In the following we describe how these descriptors are extracted, indexed, and searched.

325 4.1. Image Annotation

One of the most natural ways of searching in a large multimedia data set is using 326 a keyword-based query. To support such kind of queries, we employed our automatic 327 annotation system⁵ that is introduced in [31]. This system is based on an unsupervised 328 image annotation approach that exploits the knowledge implicitly existing in a huge collection of unstructured texts describing images, allowing us to annotate the images 330 without using a specified trained model. The advantage is that the target vocabulary 331 we used for the annotation reflects well the way people actually describe their pictures. 332 Specifically, our system uses the tags and the descriptions contained in the metadata 333 of a large set of media selected from the Yahoo Flickr Creative Commons 100 Million 334 (YFCC100M) dataset [51]. Those tags are validated using WordNet [52], cleaned and 335 then used as the knowledge base for the automatic annotation. 336

The subset of the YFCC100M dataset that we used for building the knowledge base was selected by identifying images with relevant textual descriptions and tags. To this scope, we used a metadata cleaning algorithm that leverages on the semantic similarities between images. Its core idea is that if a tag is contained in the metadata of a group of very similar images, then that tag is likely to be relevant for all these images. The similarity between images was measured by means of visual deep features; specifically, we used the output of the sixth layer of the neural network Hybrid-CNN ⁶ as visual descriptors. ⁷.

As a result of our metadata cleaning algorithm we selected about 16 thousands terms associated with about one million images. The set of deep features extracted from those images were then indexed using the MI-file index [53] in order to allow us to access the data and perform similarity search in a very efficient way.

The annotation engine is based on a k-NN classification algorithm. An image is annotated with the most frequent tags associated with the most similar images in the YFCC100M cleaned subset. The specific definition of the annotation algorithm is out of the scope of this paper and we refer to [31] for further details.

In Figure 6, we show an example of annotation obtained with our system. Please note that our system also provides a relevance score to each tag associated with the image. The bigger the score the more relevant the tag. We used our annotation system to label the video keyframes of the V3C1 dataset. For each keyframe we produce a "tag

- textual encoding" by concatenating all the tags associated with the images. In order to
- represent the relevance of the associated tag, each tag is repeated a number of times

⁵ Demo available at http://mifile.deepfeatures.org

⁶ Publicly available in the Caffe Model Zoo, http://github.com/BVLC/caffe/wiki/Model-Zoo



Figure 6. Example of our image annotation and its representation as single textual document. In the textual document, each tag is repeated a number of times equal to the least integer greater than or equal to the tag relevance

- equal to the relevance score of the tag itself (the relevance of each tag is approximated to
- an integer using the ceiling function). The ordering of the tags in the concatenation is
- not important because what matters are the tag frequencies. In Figure 6 the box named
- *Textual Document* shows an example of concatenation associated with a keyframe.
- 363 Annotation Search.

The annotations, generated as described above, can be used to retrieve videos, by typing keywords in the "*Scene tags*" text box of the user interface (see Figure 3). As already anticipated in Section 3.2, we call *Annotation Search* this searching option. The Annotation Search is executed performing a full-text search. As described in Section 5, during the VBS competition the similarity was used as a text scoring function.

369 4.2. Objects and Colors

Information related to objects and colors in a keyframe are treated in a similar way in our system. Given a keyframe we store both local and global information about objects and colors contained in it. As we discussed in Section 3.2, the positions where objects and colors occur are stored in the *Object&Color BBoxes* field; all objects and colors occurring in a frame are stored in the *Object&Color Classes* field.

375 4.2.1. Objects

We used a combination of three different versions of YOLO to perform object 376 detection: YOLOv3 [44], YOLO9000 [45], and YOLOv3 OpenImages [46], to extend the 377 number of detected objects. The idea of using YOLO to detect objects within video has already been exploited in VBS, e.g. by Truong et al. [54]. The peculiarity of our approach 379 is that we combine and encode the spatial position of the detected objects in a single 380 textual description of the image. The textual encoding of this information is created as 381 follows. For each image, we have a space-separated concatenation of ENCs, one for all 382 the cells (cod_{loc}) in the grid that contains the object (cod_{class}) : for example, for the image 383 in Figure 7 the rightmost car is indexed with the sequence $\{e3car f3car ... g5car\}$ where 384 "car" is the *cod_{class}* of the object *car*, located in cells *e*3, *f*3, *g*3, *e*4, *f*4, *g*4, *e*5, *f*5, *g*5. This 385 information is stored in the Object&Color BBoxes field of the record associated with the 386



Figure 7.

keyframe. In addition to the position of objects, we also maintain global information 387 about the objects contained in a keyframe, in terms of number of occurrences of each 388 object detected in the image (see Figure 7). Occurrences of objects in a keyframe are 389 encoded by repeating the object (*cod_{class}*) as many times as the number of the occurrences 390 (cod_{occ}) of the object itself. This information is stored using an encoding that composes 391 the classes with their occurrences in the image: (*cod*_{class}*cod*_{occ}). For example, in Figure 392 7, YOLO detected 2 persons, 3 cars, which are also classified as vehicle by the detector, 393 and 1 horse, also classified as animal and mammal, and this results in the Object Classes 394 encoding as "person1 person2 vehicle1 vehicle2 vehicle3 car1 car2 car3 mammal1 horse1 395 animal1". This information is stored in the Object&Color Classes field of the record 396 associated with the keyframe.

398 4.2.2. Colors

To represent colors, we use a palette of 32 colors⁸ which represents a good trade-off 399 between the huge miscellany of colors and simplicity of choice for the user at search time. 400 For the creation of the color textual encoding we used the same approach employed to 401 encode the object classes and locations, using the same grid of 7×7 cells. To assign the 402 colors to each cell of the grid we used the following approach. We first evaluate the color 403 of each pixel by using the CIELAB color space. Then, we map the evaluated color of the pixel to our 32-colors palette. To do so, we perform a k-NN similarity search between the 405 evaluated color and our 32 colors to find the colors in our palette that most match the 406 color of the current pixel. The metric used for this search is the Earth Mover's Distance 407 [55]. We take into consideration the first two colors in *k*-NN results. The first color is 408 assigned to that pixel. We then compute the ratio between the scores of the two colors 409 and if it is greater than 0.5 then we also assign the second color to that pixel. This is done 410 to allow matching of very similar colors during searching. We repeat this for each pixel 411 of a cell in the grid and then we sum the occurrences of each color of our palette for all 412 the pixels in the cell. Finally, we assign to that cell all the colors whose occurrence is 413 greater than 7% of the number of pixels contained in the cell. So more than one color 414 may be assigned to a single cell. This redundancy helps reduce misclassified colors from 415 what they appear to the human eye. 416

The colors assigned to all the 7×7 cells are then encoded into two textual docu-417 ments, one for the color locations and one for the global color information, using the 418 same approach employed to encode object classes and locations, and discussed in section 419 4.2.1. Specifically, the textual document associated to the color location is obtained by 420 concatenating textual encodings of the form *cod*_{loc}*cod*_{class}, where *cod*_{loc} is an identifier of 421 a cell and *cod_{class}* is the identifier of a color assigned to the cell. This information is stored 422 in the Object&Color BBoxes field. The textual document for the color classes is obtained 423 by concatenating the text identifiers (cod_{class}) of all the colors assigned to the image. This 424 information is stored in the Object&Color Classes field of the record associated with the 425 keyframe. 426

427 Object and Color Location Search.

At run-time phase, the search functionalities for both the query by object and color location are implemented using two search operations: the bounding box search (*BBox Search*) and the object/color-class search (*OClass Search*).

The user can draw a bounding box in a specific position of the canvas and specify which object/color wants to found in that position, or he/she can drag & drop a particular object/color from the palette in the user interface and resize the corresponding bounding box as desired (as shown in the "Query by object/colors" of Figure 3). All the bounding boxes present in the canvas, both related to objects and colors, are then converted into the two textual encoding described respectively

For the actual search phase, first an instance of the OClass Search operator is 437 executed. This operator tries to find a match between all the objects represented in the 438 canvas and the frames stored in the index that contains these objects. This produces a result set containing a subset of the dataset with all the frames that match the objects 440 in the canvas. After this, the BBox Search operator performs a rescoring of the result 441 set by matching the textual encoding of the Object and Color Bounding Boxes encoding 442 of the query with all the corresponding encodings in the index. The metric used in this 443 case during the VBS competition was BM25. After the execution of these two search 444 operators, the frames that satisfied these two searches ordered by descending score are 445 shown in the browsing part of the user interface. 446

447 4.3. Deep Visual Features

VISIONE also supports content-based visual search functionality, i.e., it allows users 448 to retrieve keyframes visually similar to a query image given by example. In order to 449 represent and compare the visual content of the images, we use the Regional Maximum 450 Activations of Convolutions (R-MAC) [37], which is a state-of-art descriptor for image 451 retrieval. The R-MAC descriptor effectively aggregates several local convolutional 452 features (extracted at multiple positions and scales) into a dense and compact global 453 image representation. We use the ResNet-101 trained model provided by Gordo et al. 454 [38] as an R-MAC feature extractor since it achieved the best performance on standard benchmarks. The used descriptors are 2048-dimensional real-valued vectors. 456

To efficiently index the R-MAC descriptor, we transform the deep features into a 457 textual encoding suitable for being indexed by a standard full-text search engine. We 468 used the Scalar Quantization-based Surrogate Text representation to transform the deep features into a textual encoding, which was proposed in [49]. The idea behind this 460 approach is to map the real-valued vector components of the R-MAC descriptor into a 461 (sparse) integer vector that acts as the term frequencies vector of a synthetic codebook. 462 Then the integer vector is transformed into a text document by simply concatenating some synthetic codewords so that the term frequency of the *i*-th codeword is exactly 464 the *i*-th element of the integer vector. For example, the four-dimensional integer vector 465 [2, 1, 0, 1] is encoded with the text " $\tau_1 \tau_1 \tau_2 \tau_4$ ", where $\{\tau_1, \tau_2, \tau_3, \tau_4\}$ is a codebook of four 466 synthetic alphanumeric terms. 467



Figure 8.

The overall process used to transform an R-MAC descriptors into a textual encod-468 ing is summarized in Figure 8 (for simplicity, the R-MAC descriptor is depicted as a 10-dimensional vector). The mapping of the deep features into the term frequencies 470 vectors is designed (i) to preserve as much as possible the rankings, i.e. similar features should be mapped into similar term frequencies vectors (for effectiveness) and (ii) to 472 produce sparse vectors, since each data object will be stored in as many posting lists 473 as the non-zero elements in its term frequencies vector (for efficiency). To this end, 474 the deep features are first centered using their mean and then rotated using a random 475 orthogonal transformation. The random orthogonal transformation is particularly useful 476 to distribute the variance over all the dimensions of the vector as it provides good bal-477 ancing for high dimensional vectors without the need to search for an optimal balancing 478 transformation. In this way, we try to increase the cases where the dimensional compo-470 nents of the features vectors have the same mean and variance, with mean equal to zero. 480 Moreover the used roto-traslation preserves the rankings according to the dot-product 481 (see [49] for more details). Since search engines, like the one we used, use an inverted 482 file to store the data, as a second step, we have to sparsify the features. Sparsification 483 guarantees the efficiency of these indexes. To achieve this, Scalar Quantization approach 484 maintains components above a certain threshold by zeroing all the others and quantizing 485 the non-zero elements to integer values. To deal with negative values the Concatenated 486 Rectified Linear Unit (CReLU) transformation [56] is applied before the thresholding. 487 Note that the CReLU simply makes an identical copy of vector elements, negates it, concatenates both original vector and its negation, and then zeros out all the negative 489 values. 490 In VISIONE the Surrogate Text Representation of a dataset image is stored in the 491 'Visual Features" field of our index (Figure 5). 492

493 Similarity Search.

VISIONE relies on the Surrogate text encodings of images to perform the Similarity
Search. When the user starts a Similarity Search by selecting a keyframe in the browsing
interface, the system retrieves all the indexed keyframes whose Surrogate Text Representation are similar to the Surrogate Text Representation of the selected keyframe. We used
the dot product over the frequency terms vectors (TF ranker) as text similarity function
since it achieved very good performance for large-scale image retrieval task [49].

500 4.4. Overview of the Search Process

As we described so far, our system relies on four *search operations*: an Annotation Search, a BBox Search, an OClass Search, and a Similarity Search. Every time a user interacts with the VISIONE interface (add/remove/update a bounding box, add/remove a keyword, click on an image, etc...), a new query *Q* is executed, where *Q* is the sequence of the instances of search operations currently active in the interface. The query is then



Figure 9.

split into subqueries, where a *subquery* contains instances of a single search operation. 506 In a nutshell, the system runs all the subqueries using the appropriate search operation 507 and then combines the search results using a sequence of reordering. In particular, 508 we designed the system so the OClass Search operation has the priority: the result set 509 contains all the images which match the given query with taking into account the classes 510 drawn in the canvas (both object and colors), and not their spatial location. If the query 511 includes also some scene tags (text box of the user interface), then the Annotation Search 512 is performed but only on the result set generated by the first OClass Search. So in this 513 case the Annotation Search actually produces only a rescoring of the results obtained at 514 the previous step. Finally, another rescore is performed using the BBox Search. If the 515 user does not issue any annotation keyword in the interface, only the OClass Search and 516 BBox Search are used. If, on the other hand, only one or more keywords are put in the 517 interface, only the Annotation Search is used to find the results. 518

However, we that in future versions of VISIONE it may be interesting to also include the possibility of using Similarity Search to reorder the results obtained from other search operations.

522 5. Evaluation

As already discussed in Sections 3 and 4, a user query is executed as a combination of search operations (Annotation Search, BBox Search, OClass Search, and Similarity Search). The final result set returned to the user highly depends on the results returned by each executed search operation. Each search operation is implemented in Apache Lucene using a specific *ranker* that determines how the textual encoding of the database items are compared with the textual encoding of the query in order to provide the rankedlist of results.

In our first implementation of the system, used at the VBS competition in 2019, we tested for each search operation various rankers, and we estimated the performance of the system using our personal experience and feeling. Specifically, we tested a set of queries with different rankers and we select the ranker that provided us with good results in the top positions of the returned items. However, given the lack of a ground truth, this qualitative analysis was based on a subjective feedback provided by a member of our team who explicitly looked at the top-returned images obtained with the various tested scenarios, and judged how good the results were.

After the competition, we decided to have a more accurate approach to estimate the E 20 performance of the system, and the results of this analysis are discussed in this section. 539 As the choice of the rankers strongly influences the performance of the system, we 540 decided to have a more in-depth and objective analysis based on this part of the system. 541 The final scope of this analysis is finding for our system the best rankers combination. 542 Intuitively, the best combination of rankers is the one that, on average, puts more often good results (that is target results for the search challenge) at the top of the result list. 544 Specifically, we used the query logs acquired during the participation at the challenge. 545 The logs store all the sequences of search operations that were executed as consequence of 546 users interacting with the system. By using these query logs, we were able to re-execute the same user sessions using different rankers. In this way we objectively measured the 548 performance of the system, obtained when the same sequence of operation was executed 549 with different rankers. 550

We focus mainly on the rankers for the BBox Search, OClass Search, and Annotation Search. We do not consider the Similarity Search as it is an independent search operation in our system, and previous work [49] already proved that the dot product (TF ranker) works well with the surrogate text encodings of the R-MAC descriptors, which are the features adopted in our system for the Similarity Search.

556 5.1. Experiment Design and Evaluation Methodology

As anticipated before, our analysis makes use of the log of queries executed during the 2019 VBS competition. The competition was divided in three content search *tasks*: *visual KIS*, *textual KIS* and *AVS*, already described in Section 1. For each task, a series of *runs* is executed. In each run, the users are requested to find one or more target videos. When the user believes that he/she has found the target video, he/she submits the result to the organization team that evaluates the submission.

After the competition, the organizers of VBS provided us with the VBS2019 server dataset that contains all the tasks issued at the competition (target video/textual description, start/end time of target video for KIS tasks, and ground-truth segments for KIS tasks), the client logs for all the systems participating to the competition, and the submissions made by the various teams. We used the ground-truth segments and the log of the queries submitted to our system to evaluate the performance of our system under different settings. We restricted the analysis only to the logs related to textual and visual KIS tasks since ground-truths for AVS tasks were not available⁹.

During the VBS competition a total of four users (two experts and two novices) interacted with our system to solve 23 tasks (15 visual KIS and 8 textual KIS). The total number of queries executed on our system for those tasks was 1600^{10} .

In our analysis, we considered four different rankers to sort the results obtained by each search operation of our system. Specifically we tested the rankers based on the following text scoring function:

⁹ Please note that for the AVS tasks the evaluation of the correctness of the results submitted by each team during the competition was made on site by members of a jury who evaluated the submitted images one by one. For these tasks, in fact, a predefined ground-truth is not available.

¹⁰ We recall that, in our system, a new query is executed at each interaction of a user with the search interface.



Figure 10. Example of the ground-truth keyframes for a 20 second video clip used as a KIS task at VBS2019. During the competition, our team correctly found the target video by formulating a query describing one of the keyframes depicting a lemon. However, note that most of the keyframes in the ground-truth were not relevant for the specific query submitted to our system .

- *BM25*: Lucene's implementation of the well-known similarity function BM25 introduced in [57];
- *TFIDF*: Lucene's implementation of the weighing scheme known as introduced in [58];
- *TF*: implementation of dot product similarity over the frequency terms vector;
- *NormTF*: implementation of cosine similarity (the normalized dot product of the two weight vectors) over the frequency terms vectors.

Since we three search operations and four rankers, we have a total of 64 possible combinations. We denote each combination with a triplet R_{BB} - R_{AN} - R_{OC} where R_{BB} is the ranker used for the BBox Search, R_{AN} is the ranker used for the Annotation Search, and R_{OC} is the ranker used for the OClass Search. In the implementation of VISIONE used at the 2019 VBS competition, we employed the combination BM25-BM25-TF. With the analysis reported in this section, we compare all the different combinations in order to find the one that is most suited for the video search task.

For the analysis reported in this section we went through the logs and automatically re-executed all the queries using the 64 different combinations of rankers in order to find the one that, with the highest probability, finds a relevant result (i.e. a keyframe in the ground-truth) in the top returned results. Each combination was obtained by selecting a specific ranker (among BM25, NormTF, TF, and TFIDF) for each search operation (BBox Search, Annotation Search, and OClass Search).

597 5.1.1. Evaluation Metrics

During the competition the user has to retrieve a video segment from the database using the functionalities of the system. A video segment is composed of various keyframes, which can be significantly different from one another, see Figure 10 as an example.

In our analysis, we assume that the user stops examining the ranked result list as soon as he/she finds one relevant result, that is one of the keyframes belonging to the target video. Therefore, given that relevant keyframes can be significantly different one from the other, we do not take into account the rank position of *all* the keyframes composing the ground-truth of a query, as required for performance measures like *Mean Average Precision* or *Discounted Cumulative Gain*. We want to measure how the system is good at proposing in the top position at least one of the target keyframes.

In this respect, we use the *Mean Reciprocal Rank-MRR* (Equation (5.1.1)) as a quality measure, since it allows us to evaluate how good is the system in returning at least one relevant result (one of the keyframes of the target video) in top position of the result set. Formally, given a set Q of queries, for each $q \in Q$ let $\{I_1^{(q)}, \ldots, I_{n_q}^{(q)}\}$ the groundtruth, i.e., the set of n_q keyframes of the target video-clip searched using the query q; we define:

- $rank(I_j^{(q)})$ as the rank of the image $I_j^{(q)}$ in the ranked results returned by our system after executing the query q
- $r_q = \min_{j=1,...,n_q} rank(I_j^{(q)})$ as the rank of the first correct result in the ranked result list for the query q.

The Mean Reciprocal Rank for the query set *Q* is given by

$$MRR = \frac{1}{|Q|} \sum_{q \in Q} RR(q), \tag{1}$$

where the Reciprocal Rank (RR) for a single query q is defined as

$$RR(q) = \begin{cases} 0 & \text{no relevant results} \\ 1/r_q & \text{otherwise} \end{cases}$$
(2)

We evaluated the MRR for each different combination of rankers. Moreover, as we expect that a user inspects just a small portion of the results returned in the browsing interface, we also evaluate the performance of each combination in finding at least one correct result in the top k positions of the result list (k can be interpreted as the maximum number of images inspected by a user). To this scope we computed the MRR at position k (MRR@k):

$$MRR@k = \frac{1}{|Q|} \sum_{q \in Q} RR@k(q)$$
(3)

where

$$RR@k(q) = \begin{cases} 0 & r_q > k \text{ OR no relevant results} \\ 1/r_q & \text{otherwise} \end{cases}$$
(4)

In the experiments we consider values of k smaller than 1000, with a focus on values between 1 and 100 as we expect cases where a user inspects more than 100 results to be less realistic.

622 5.2. Results

In our analysis, we used |Q| = 521 queries (out of 1600 above mentioned) to calculate both *MRR* and *MRR@k*. In fact the rest of the queries executed on our system during the VBS2019 competition are not eligible for our analysis since they are not informative to choose the best ranker configuration:

about 200 queries involved the execution of a Similarity Search, a video summary
 or a filtering, whose results are independent of the rankers used in the three search
 operations considered in our analysis;

 the search result sets of about 800 queries do not contain any correct result due to the lack of alignment between the text associated with the query and the text associated with images relevant to the target video. For those cases, the system is not able to display the relevant images in the result set regardless of the ranker used. In fact, the effect of using a specific ranker only affects the ordering of the results and not the actual selection of them.

Note that the combination that we used at VBS2019 (indicated with diagonal lines in the graph), and that was chosen according to subjective feelings, has a good performance, but it is not the best. In fact, we noticed that there exist some patterns in the combinations of the rankers used for the OClass Search and the Annotation Search which are particularly effective and some which, instead, provide us with very poor results. For example, the combinations that use *TF* for the OClass Search and *BM25* for the Annotation Search gave



Figure 11.

Table 1: MRR@k for eight combinations of the rankers (the four best, the four worst and thesetting used at VBS2019) varying k.Statistically significant results with two-sided p value lower than 0.05 over the baseline *BM25-BM25-TF* are marked with *.

| | k = 1 | k = 5 | k = 10 | k = 50 | k = 100 | k = 500 | k = 1000 |
|-------------------------|---------|---------|---------|---------|---------|---------|----------|
| NormTF-BM25-TF | 0.015 | 0.017 | 0.019 * | 0.022 * | 0.022 * | 0.023 * | 0.023 * |
| TFIDF-BM25-TF | 0.013 | 0.016 | 0.018 * | 0.021 * | 0.022 * | 0.022 * | 0.022 * |
| TF-BM25-TF | 0.013 | 0.016 | 0.017 | 0.018 * | 0.019 * | 0.019 * | 0.019 * |
| TF-BM25-BM25 | 0.013 | 0.015 | 0.016 | 0.017 | 0.017 * | 0.018 * | 0.018 * |
| TF-BM25-NormTF | 0.013 | 0.015 | 0.016 | 0.017 * | 0.017 * | 0.018 * | 0.018 * |
| BM25-BM25-TF (VBS 2019) | 0.013 | 0.014 | 0.015 | 0.016 | 0.016 | 0.016 | 0.017 |
| NormTF-TF-NormTF | 0.000 * | 0.001 * | 0.003 * | 0.004 * | 0.004 * | 0.005 * | 0.005 * |
| NormTF-NormTF-BM25 | 0.000 * | 0.001 * | 0.002 * | 0.004 * | 0.004 * | 0.005 * | 0.005 * |
| BM25-NormTF-BM25 | 0.002 * | 0.002 * | 0.002 * | 0.003 * | 0.003 * | 0.004 * | 0.004 * |
| TFIDF-NormTF-BM25 | 0.000 * | 0.001 * | 0.001 * | 0.003 * | 0.004 * | 0.004 * | 0.004 * |
| | | | | | | | |

us the overall best results. While the combinations that use *BM25* for the OClass Search
and the *NormTF* for the Annotation Search have the worse performance. Specifically,
we have a MRR of 0.023 for the best (NormTF-BM25-TF) and 0.004 for the worst (BM25NormTF-BM25) a further analysis of the MRR results, it turned out quite clearly that for
the Annotation Search the ranker *BM25* is particularly effective, while the use of the *TF*ranker highly degrades the performance.

Furthermore, to complete the analysis on the performance of the rankers, we analyze the MMR@k, where k is the parameter that controls how many results are shown to the user in the results set.

In conclusion, we identified the combination *NormTF-BM25-TF* as the best one, a relative improvement of 38% in *MRR* and 40% in *MRR*@100 with respect to the setting previously used at the VBS competition.

5.3. Efficiency and Scalability Issues

As we stated in the introduction, the fact that the retrieval system proposed in 655 this article is built on top of a text search engine guarantees in principle efficiency and 656 scalability of queries. This has been practically verified by obtaining average response times of less than a second for all types of queries (even more complex ones). On the 658 scalability of the system, we can make some optimistic assumptions because we have 659 not conducted experiments on it. This optimistic assumption is based on the observation 660 that if the "synthetic" documents generated for visual search by similarity, and for the localization of objects and colors behave as textual documents then the scalability of our 662 system is comparable to that of commercial Web search engines. To this end, with regard 663











Figure 13.

to the scalability of visual similarity as we rely on the technique used to index R-MAC descriptors based on scalar quantization, the reader is referred to the work [49], in which the scalability of this approach is proven. On the other hand, as far as objects and colors are concerned, we have analyzed the sparsity of the inverted index corresponding to synthetic documents and we have seen that it is around 99.78%. Moreover, since the queries are similar in length to those of natural language search scenarios (i.e. they have few terms), the scalability of the system is guaranteed at least as much as that of full-text search engine scenarios.

672 6. Conclusions

In this paper, we described a frame-based interactive video retrieval system, named VISIONE, that participated to the Video Browser Showdown contest in 2019. VISIONE includes several retrieval modules and supports complex multi-modal queries, including query by keywords (tags), query by object/color location, and query by visual example. A demo of VISIONE running on the VBS V3C1 dataset is publicly available at http: //visione.isti.cnr.it/.

VISIONE exploits a combination of artificial intelligence techniques to automatically
analyze the visual content of the video keyframes and extract annotations (tags), information on objects and colors appearing in the keyframes (including the spatial relationship
among them), and deep visual descriptors. A distinct aspect of our system is that all
these extracted features are converted into specifically designed text encodings that are
then indexed using a full-text search engine. The main advantage of this approach is
that VISIONE can exploit the latest search engine technologies, which today guarantee
high efficiency and scalability.

The evaluation reported in this work shows that the effectiveness of the retrieval is highly influenced by the text scoring function (ranker) used to compare the textual encodings of the video features. In fact, by performing an extensive evaluation of the system under several combinations, we observed that an optimal choice of the ranker used to sort the search results can improve the performance in terms of Mean Reciprocal Rank up to an order of magnitude. Specifically, for our system we found out that *TF*, *NormTF*, and *BM25*, are particularly effective for comparing textual representations of object/color classes, object/color bounding boxes, and tags, respectively.

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- download the dataset (which is provided by NIST), please follow the instruction repeorted at
- 711 https://videobrowsershowdown.org/call-for-papers/existing-data-and-tools/
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