

1 **RItA: The Italian severe/uncontrolled asthma registry**

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50 pharmacological treatment.

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53 **Short title:** the RItA Italian registry

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98 **Abstract**

99 Background: The Italian severe/uncontrolled asthma (SUA) web-based registry encompasses
100 demographic, clinical, functional, inflammatory data; it aims to raise SUA awareness, identifying
101 specific phenotypes and promoting optimal care.

102 Methods: 493 adult patients from 27 Italian centres (recruited in 2011-2014) were analyzed.

103 Results: Mean age was 53.8yrs. SUA patients were more frequently female (60.6%), with allergic
104 asthma (83.1%). About 30% showed late onset of asthma diagnosis/symptoms (>40yrs); the mean
105 age for asthma symptoms onset was 30.2yrs and for asthma diagnosis 34.4yrs. 97.1% used ICS
106 (dose 2000 BDP), 93.6% LABA in association with ICS, 53.3% LTRAs, 64.1% anti-IgE, 10.7%
107 theophylline, 16.0% oral corticosteroids.

108 Mean FEV₁% pred of 75.1%, median values of 300/mm³ of blood eosinophil count, 323 kU/l of
109 serum total IgE, 24 ppb of FENO were shown.

110 Most common comorbidities were allergic rhinitis (62.4%), gastroesophageal reflux (42.1%),
111 sinusitis (37.9%), nasal polyposis (30.2%), allergic conjunctivitis (30.2%).

112 55.7% of SUA patients had exacerbations in the last 12 months, 9.7% emergency department visits,
113 7.3% hospitalizations.

114 Factors associated with exacerbation risk were: obesity (OR, 95%CI 2.46, 1.11-5.41), psychic
115 disorders (2.87, 0.89-9.30 - borderline), nasal polyps (1.86, 0.88-3.89 - borderline), partial/poor
116 asthma treatment adherence (2.54, 0.97-6.67 - borderline), anti-IgE use in a protective way (0.26,
117 0.12-0.53).

118 Comparisons to severe asthma multicentre studies and available registries showed data consistency
119 across European and American populations.

120 Conclusions: An international effort in the implementation of SUA patients registries could help to
121 better understand the clinical features and to manage severe asthma, representing a non negligible
122 socio-economic burden for health services.

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127 **Introduction**

128 Severe asthma is of remarkable interest for the scientific community, as documented by the
129 work of some research groups in US (1,2) and Europe (3-5).

130 The reasons of this large interest are many. First of all, around 10% of asthmatic patients are
131 uncontrolled or refractory to the treatment. These patients with severe asthma are responsible for a
132 relevant socio-economic burden, in terms of direct costs related to out/in-patient treatments, and
133 indirect costs related to quality of life worsening and work disability. These patients account for
134 50% of asthma total costs (6,7).

135 Another reason lies in the pathogenesis of severe asthma: there is need for a better
136 understanding since most mechanisms underlying asthma severity and therapy resistance are still
137 unclear. To collect elements for characterizing the disease (clinical, epidemiological, functional,
138 inflammatory features) may help to define the severe asthma phenotypes and to improve treatment
139 (8).

140 The third reason is that patients with severe/uncontrolled asthma (SUA) poorly respond to
141 standard therapy and often an acceptable symptom control is achieved only through regular intake
142 of oral corticosteroids (OCS) (9). Introduction of new biological drugs might help controlling the
143 disease, a goal not achievable with the available therapy.

144 In spite of the socio-economic burden, an estimate of the real impact of SUA has been
145 obtained so far only from selected centres in Italy (10) and an evaluation of the disease burden
146 throughout all Italian regions is not available. Few experiences have been developed in other
147 countries. A need remains for a better understanding and management of difficult-to-treat asthmatic
148 patients (1-5).

149 Within this framework, the Italian Medicines Agency (AIFA) funded the AGAVE project
150 (*Severe Asthma: epidemiological and clinical cohorts follow up by registry and questionnaires;*
151 *therapeutic appropriateness and outcome assessment, according to GINA guidelines*) aimed at
152 assessing the effectiveness of therapeutic strategies for SUA patients, according to GINA guidelines
153 (11), in epidemiological and clinical samples, through the implementation of an on-line Registry.

154 RiTA (acronym from the Italian words standing for Italian Registry of SUA) is a database
155 containing information on patients' general characteristics, clinical data, risk factors, asthma
156 exacerbations. Currently, it contains information on 916 SUA adult subjects (n=423 from the
157 epidemiological sample, n=493 from the clinical sample).

158 This manuscript focuses on increasing the knowledge regarding the Italian scenario of SUA
159 patients through statistical analyses of clinical characteristics, drugs use, exacerbations and
160 symptoms' control. For this purpose, only observational data on the clinical cohort have been
161 retrospectively analyzed, as exhaustive functional and inflammatory values were available.

162 The aims are to: a) characterize Italian SUA patients comparing their features with those of
163 other European and US severe asthmatic patients; b) assess factors associated with exacerbations'
164 risk in the last 12 months.

165 166 **Methods**

167 493 SUA adult patients were consecutively enrolled when going to the clinical centers for
168 the specialist visits between 2011 and 2014: 281 patients, from 24 Italian centres, under regular
169 treatment with Omalizumab and 212 patients, from 3 centers, selected from the usual clinical
170 routine.

171 All of them had a severe/uncontrolled asthma according to the World Health Organization
172 Consultation on Severe Asthma (12).

173 The specialists filled in a questionnaire for each selected patient with information about
174 disease severity, exacerbations, current pharmacological treatment, comorbidities, risk factors,
175 health services use and clinical/functional data.

176 In selected patients, investigation of upper airway involvement and measurements of airway
177 inflammation by non invasive methods were performed.

178 Data were inserted in RItA registry, implemented and hosted by the Information Technology
179 Department of the Italian National Health Institute. The Registry is a secured web database that
180 admits password protected anonymized data, after fully informed written consent.

181 The AGAVE study protocol, patient information sheet and consent form were approved by
182 the Pisa University-Hospital Ethics Committee (Prot. n° 17658; March 10, 2011) and by the Ethics
183 Committee of each involved site; a further approval for the statistical analyses of this manuscript
184 was obtained by the Pisa University-Hospital Ethics Committee (Prot. n° 3690; December 17,
185 2015).

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187 **Statistical analyses**

188 Continuous data were presented as mean±standard deviation or as median and interquartile
189 range, for normally or non-normally distributed measures, respectively. One-way analysis of
190 variance and Mann Whitney tests were used when appropriate for the comparison among different
191 groups of patients, while categorical variables were evaluated by chi-square test.

192 Sensitivity analyses were performed after stratifying the sample by kind of patients: those
193 selected from the usual clinical routine (enrolled by 3 clinical centres) and those selected because
194 under regular treatment with Omalizumab (enrolled by 24 clinical centres), to assess potential
195 differences in descriptive and clinical characteristics. Other sensitivity analyses were performed
196 after stratifying the sample between allergic and non allergic patients, according to their doctor's
197 definition. Results were reported in the supporting information section.

198 A multivariate logistic regression analysis, taking as dependent variable the presence of at
199 least one exacerbation in the last year, and as independent variables anthropometric parameters
200 (age, sex, body mass index - BMI) and clinical characteristics (allergic asthma, positive family
201 history of asthma, age at asthma diagnosis, comorbidities, anti-IgE use, antiasthma therapy
202 adherence), chosen on the basis on the results of the bivariate statistical analyses, was performed.

203 The eosinophilic inflammation was evaluated as following: a sputum eosinophil count $\geq 3\%$
204 and/or peripheral blood eosinophil count $\geq 300 \text{ mm}^3$ and/or the fractional exhaled nitric oxide
205 (FENO) $\geq 50 \text{ ppb}$ (13). A cut-off $\geq 49.2\%$ for the sputum neutrophil count, corresponding to the
206 highest value of neutrophil count of Italian healthy subjects, was chosen (14).

207 Statistical analyses were performed using the Statistical Package for the Social Sciences
208 (SPSS), rel. 16.0. A p-value ≤ 0.05 was considered statistically significant, a $0.05 < \text{p-value} < 0.10$ was
209 considered as borderline value.

210

211 **Results**

212 *SUA patients description*

213

214 *General characteristics*

215 493 patients were analyzed. The majority were females (60.6%) and the mean age was 53.8
216 yrs. Educational level was low with only 13% with an academic degree. 48.9% of subjects were
217 employed, 21.9% retired (Table 1).

218 About 30% had late-onset asthma symptoms and adulthood diagnosis (starting after 40 yrs
219 of age). The mean age for asthma symptoms onset was 30.2 yrs and for diagnosis 34.4 yrs. BMI
220 was slightly higher (mean value 27.3 Kg/m^2) and 26.4% had a BMI indicating obesity. 33.2% were
221 ex-smokers, 2.8% current smokers. The frequency of allergic asthma was 83.1% and positive
222 family history of asthma was observed in 39.6%. 40.2% patients lived in a suburban area and 30.7%
223 were exposed to fume/gas/dust at work (Table 1).

224

225 *Lung function and inflammatory characteristics*

226 The ratio between Forced Expiratory Volume in the first second (FEV₁) and Forced Vital
227 Capacity (FVC) (FEV₁/FVC), the FEV₁ percentage predicted (FEV₁% pred) and the FVC% pred
228 showed a mean value of 70.0%, 75.1% and 91.3%, respectively (Table 2). About 60% of patients
229 had a FEV₁/FVC <70% and a FEV₁% pred <80%.

230 Data showed eosinophilic inflammation patterns: 72.2% had a sputum eosinophil count
231 ≥3%, 18.8% a FENO ≥50 ppb, 71.2% a peripheral blood eosinophil count ≥300 mm³ (Table 2).
232 Sputum was induced in 72 patients and the predominant phenotype was eosinophilic asthma
233 (49.2%) (Table 2).

234 A median value of 323 kU/L of total serum IgE was found and 81.9% of patients had
235 positive skin prick test (Table 2).

236
237 *Pharmacological treatment*

238 Median Inhaled Corticosteroids (ICS) dose (beclometasone dipropionate - BDP - equivalent
239 dose) was 2000 µg (mean value 1528.6±784.1); 97.1% of SUA patients used ICS, 93.6% long-
240 acting beta agonists (LABA) in association with ICS, 53.3% anti-leukotrienes (LTRAs), 64.1%
241 anti-IgE, 16.0% OCS and 10.7% theophylline. Most patients were treated according to GINA step 5
242 (60.7%) and step 4 (26.3%). 4.1% of subjects received specific immunotherapy. According to the
243 specialists opinion, 86% had a good adherence to antiasthma therapy and 93.5% had a correct use of
244 inhalers (Table 3).

245
246 *Comorbidities*

247 Several comorbidities were observed: the most commonly reported were allergic rhinitis
248 (62.4%), gastroesophageal reflux (42.1%), sinusitis (37.9%), nasal polyps and allergic conjunctivitis
249 (30.2%) (Figures 1a-1b).

250
251 *Asthma control*

252 55.7% of patients had at least one exacerbation in the last 12 months; of them, 90.3%
253 underwent OCS courses (mean frequency: 2.7 times in the last 12 months). 7.3% had at least one
254 hospitalization during the previous year, 9.7% at least one emergency department (ED) visit (Table
255 3).

256 According to the 2010 WHO definition of severe/uncontrolled asthma (12), 1% of the
257 patients were uncontrolled with difficult-to-treat severe asthma (*group 2*), 84% were asthmatic for
258 which control was not achieved despite the highest level of recommended treatment (*group 3a*),
259 15% were controlled only with the highest level of recommended treatment (*group 3b*) (Table 3).

260 Asthma was uncontrolled in 39.4% of patients according to 2015 GINA guidelines criteria
261 (based on FEV₁ value, daily/nocturnal symptoms, daily activities limitations, rescue medications
262 use) (11); the asthma control questionnaire (ACQ) and the asthma control test (ACT) scores
263 confirmed a poor disease control with a mean value of 2.1 for ACQ and 19.4 for ACT (classifying
264 patients with a score >1.5 for ACQ and <20 for ACT as uncontrolled (15,16)) (Table 3).

265
266 *Sensitivity analysis*

267 A comparison of general and clinical characteristics among patients enrolled by the 3 large
268 centres following the usual clinical routine and those enrolled by the 24 small centres under regular
269 treatment with Omalizumab was performed. The analyses showed some significant differences in
270 the general characteristics: lower mean age (52.1 vs 56.0 yrs), higher level of education (16.1% vs
271 9.4% for university attendance), higher frequency of employed subjects (54.5% vs 41.2%), lower
272 age at symptoms onset (27.8 vs 33.7 yrs) and asthma diagnosis (31.5 vs 38.5 yrs), lower positive
273 family history of asthma (33.8% vs 46.8%), higher frequency of allergic asthma (100.0% vs 60.7%)

274 and higher frequency of non smokers (70.6% vs 55.0%) in patients treated with Omalizumab with
275 respect to the patients treated according to the usual clinical practice (Table e1).

276 Only few differences were found in the clinical data: a higher mean level of FEV₁/FVC
277 (72.7 vs 66.5), a lower mean value of FVC (88.6 vs 94.5), a lower median percentage of blood
278 eosinophils (4.2% vs 5.3%) and a higher frequency of positive skin prick tests (98.1% vs 59.3%) in
279 patients treated with Omalizumab (Table e2).

280 As regards the comparison among allergic and non allergic patients, few differences
281 existed in the pharmacological treatments (Table e3); in particular a higher ICS equivalent dose
282 (2000 vs 1600) in non allergic patients with respect to the allergic ones. A more frequently GINA
283 uncontrolled asthma (63.4% vs 41.7%), a more frequent hospitalization in the last 12 months
284 (14.6% vs 5.6%), a lower value of PEF (72.5% vs 81.7%), a higher median value of blood
285 eosinophils (5.9% vs 3.9%) and a lower total serum IgE (161 vs 346) in non allergic patients with
286 respect to the allergic ones were shown (Tables e3-e4).

287

288 ***Risk factors for exacerbations***

289 Significant differences were found among patients with exacerbations and those without
290 exacerbations. The former were older (55.4 vs 52.8 mean age), more frequently obese (31.1% vs
291 20.0%), had a later asthma symptoms' onset (32.0 vs 28.3 yrs) and asthma diagnosis (36.2 vs 32.9
292 yrs), they reported more frequently positive family history of asthma (44.1% vs 31.4%) and
293 comorbidities, as well as, less frequently allergic asthma (72.5% vs 91.3%) (Table 4).

294 They showed a significantly lower pulmonary function (FEV₁% pred 73.2 vs 77.8), a
295 borderline lower value of total serum IgE (276 vs 377) and a borderline higher value of blood
296 eosinophils count (320 vs 260). Patients with exacerbations had a significantly higher inflammation
297 pattern (26.3% vs 10.4% for FENO \geq 50 ppb) (Table 4).

298 Patients with exacerbations were significantly more treated with GINA step 4b (22.4% vs
299 6.3%), with OCS (22.4% vs 5.3%), less treated with anti-IgE (45.1% vs 78.3%) and received a
300 higher ICS median dose (2000 vs 1500 μ g). Moreover, they had a significantly higher percentage of
301 partial/poor antiasthma therapy adherence (16.3% vs 9.2%) (Table 4).

302 Patients with exacerbations showed a significantly higher frequency of uncontrolled asthma
303 by GINA guidelines (50.9% vs 23.2%), a lower ACT score, a higher ACQ score and a larger use of
304 health services (Table 4).

305 ***Multivariate analysis***

306 Comorbidities were associated with a higher risk of exacerbations [odds ratio (OR) and 95%
307 confidence interval (95% CI) 2.46, 1.11-5.41 for obesity; 2.87, 0.88-9.30, borderline, for psychic
308 disorders; 1.86, 0.89-3.89, borderline, for nasal polyps). A partial/poor adherence to antiasthma
309 therapy was associated with a borderline higher risk of exacerbations (OR, 95% CI 2.54, 0.97-6.67)
310 and patients using anti-IgE were at significantly lower risk (OR, 95% CI 0.26, 0.12-0.53) (Figure
311 2).

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313

314 **Discussion**

315

316 ***Main findings on SUA patients***

317 The majority of SUA patients included in the RItA registry were females, with a late onset
318 asthma, atopy and eosinophilic inflammation. Prevalent comorbidities were allergic rhinitis,
319 gastroesophageal reflux and sinusitis. An important difference between the mean age of symptoms
320 onset and the mean age of diagnosis was found (about 4 yrs). Moreover, patients with comorbidities
321 and with a poor/partial antiasthma therapy adherence had a higher risk of exacerbations in the last
322 12 months, while the use of anti-IgE seemed to have a role in reducing exacerbations.

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SUA patients description

Since the AGAVE project started in 2010, we had to take into account diagnostic criteria published until such year. In particular, in order to select patients to be inserted in the Registry, we considered the criteria reported in the decision-making steps proposed by the experts participating in the World Health Organization Consultation on Severe Asthma (12).

Our data were compared to those observed in the most important multicentre studies and in the available registries on severe asthma: SARP (Severe Asthma Research Program) (1); TENOR (The Epidemiology and Natural History of Asthma: Outcomes and Treatment Regimens Study) (2); ENFUMOSA (European Network for Understanding Mechanisms of Severe Asthma) (3); UK multicentre registry on refractory asthma (4); BSAR (The Belgian Severe Asthma Registry) (5) (table 5).

General characteristics

Overall, the population included in the registries had similar characteristics in terms of gender (majority of women) and BMI (overweight); mean age ranged between 41 and 55 years (1-5).

We found a late onset asthma (starting at age >40 yrs) in 29.8% of patients, as in the Belgian registry (5). Our data showed a mean difference between the age of symptoms onset and the age of diagnosis of about 4 yrs. This diagnostic delay could be due to the communication difficulties between patients and physicians, indeed most patients do not report their bronchial symptoms to the general practitioner remaining undiagnosed. On the other hand, patients with respiratory problems and reduced lung function are not always recognized as such (17), maybe due to a lack of guidelines awareness or implementation (18).

The proportion of current smokers (2.8%) in Italian patients was lower than that observed in the other studies/registries; on the contrary, comparable results were found for past smoking history (about 30%) (2,4-5).

A high proportion of Italian patients had an allergic asthma (83.1%), showing a higher frequency of atopy with respect to the other studies (1,3-5). This may be due to the inclusion of a high percentage of patients under anti-IgE treatment. Moreover, an important percentage of positive family history of asthma was found, as in the UK registry (4).

Lung function and inflammatory characteristics

Overall, there was a moderate obstructive airway pattern, with values (mean FEV₁% pred 75.1%) similar to those of multicentre studies (2-3), but higher than those of the SARP study (1) and severe asthma registries (4-5). The mean value of FEV₁/FVC ratio was 70%, slightly higher than in the other studies/registries (1,4-5), but lower than in the ENFUMOSA study (3).

Italian patients had evidence of eosinophilic inflammation in line with the other registries (4-5).

Data from induced sputum samples, available only from Pisa clinical center, showed a higher proportion of eosinophilic asthma with respect to the other severe asthma studies/registries (3-5), but in line with other findings suggesting that eosinophilic asthma is more frequently encountered in severe asthma than in moderate one (19) and in late-onset asthma (20).

An elevated IgE level was found, higher than in the other studies/registries (1,3-5). Probably, this fact was due to the inclusion of a patients subset under regular treatment with anti-IgE.

Pharmacological treatment.

371 Italian patients received LTRAs (53.3%) more frequently than in UK registry (4) and less
372 frequently than in the Belgian registry (5); theophylline (10.7%) and OCS (16.0%) were less
373 common than in the other studies/registries (1,3-5). Differently, anti-IgE were more frequently used
374 (1,4-5), due to the inclusion of a patients subset under regular treatment with anti-IgE.

375 SUA patients, as expected, were mainly treated according to GINA guidelines step 4 or 5
376 (26.3% and 60.7%, respectively) (11). About 10% of patients in the Italian Registry received a
377 treatment not recommended by GINA guidelines for severe asthma (step 1, 2 or 3) due to the fact
378 that patients using anti-IgE, after reaching high levels of asthma control, were likely prescribed to
379 reduce either the uptake of OCS or the ICS dose. Indeed, a recent meta-analysis showed that anti-
380 IgE treated patients more likely underwent to withdrawal of-corticosteroid therapy (21).

381 Italian patients showed high level of IgE, atopic status and an eosinophilic inflammation
382 pattern both at systemic and airways level, confirming the importance of using biological
383 treatments.

384

385 *Comorbidities*

386 Comorbidities observed among Italian patients were similar to those evidenced in other
387 studies on similar populations (1,4-5), except for polyps and aspirin hypersensitivity that were more
388 frequent in RItA registry.

389

390 *Asthma control*

391 About 40% of Italian patients had a poor asthma control according to GINA guidelines,
392 confirmed by ACT and ACQ scores. These data were in line with the Belgian registry (5). Over
393 50% of patients reported at least one exacerbation in the previous 12 months.

394 7.3% of Italian patients had at least one hospitalization in the previous 12 months and 9.7%
395 at least one ED visit. These data are in line with those reported in the TENOR study, related to the
396 previous 3 months (2), but lower than those reported in the SARP study, related to the previous 12
397 months (1).

398

399 *Risk and protective factors for exacerbations*

400 Multivariate regression analysis results showed that obesity was associated with a
401 significantly higher risk of exacerbations (OR 2.46), while psychic disorders (OR 2.87) and nasal
402 polyps (OR 1.86) showed borderline values.

403 The relevant burden that obesity, upper airway disease and psychiatric disorders may cause
404 on asthma symptoms, pulmonary function and response to treatment has been recently reported in
405 another Italian study (22). Severe asthmatic patients with comorbidities had a higher frequency of
406 poorly controlled asthma compared to those without (22).

407 Obesity is a risk factor for asthma and it is associated with disease severity, a poor response
408 to corticosteroids and worse clinical control (i.e. more symptomatic days, use of rescue medication,
409 direct/indirect costs) (23). Moreover, obesity, central adiposity and metabolic syndrome aspects
410 have been implicated in the pathogenesis of the adult-onset asthma phenotype (24).

411 In Europe, a study on difficult-to-treat asthma showed that psychological disorders were
412 significantly associated with frequent exacerbations (OR 10.8) (25). A more recent US study
413 showed that mental disorders were significantly associated with the increased risk of asthma-
414 specific hospitalizations and exacerbations, with the highest values in the age groups 18-45 yrs
415 (incident rate 2.05 and 1.44, respectively) (26).

416 Asthma and nasal polyps frequently coexist and share similar features of inflammation and
417 remodeling (27). Asthmatic subjects with chronic rhinosinusitis and nasal polyps had more
418 frequently a poor control and increased airway obstruction compared with those without chronic
419 rhinosinusitis and nasal polyps (28).

420 In the present study, notwithstanding the high rate of antiasthma therapy adherence, patients
421 with a partial/poor adherence had a higher exacerbations risk (OR 2.54), in line with a recent review
422 showing that a good adherence was associated with lower risk of severe asthma exacerbations (29).
423 Indeed, adherence to antiasthma controller medications is one of the key drivers to improve
424 persistent asthma management (30).

425 Italian patients in treatment with anti-IgE had a significantly lower risk of exacerbations
426 (OR 0.26, i.e. 4 fold less than subjects not using IgE), after adjusting for comorbidity, allergic
427 asthma and therapy adherence. These data are in line with those reported in a recent review showing
428 the anti-IgE treatment positive effect (31). Moreover, an Italian study on severe asthmatic patients
429 showed a significant reduction in exacerbations and health services use during Omalizumab
430 treatment compared with the period before treatment (22). The anti-IgE effect on the reduction of
431 exacerbation risk, independently of allergic status, is in line with recent data showing that anti-IgE
432 may be beneficial for SUA patients in whom atopy status cannot be identified (32) and in patients
433 with intrinsic asthma (33). Intrinsic asthma can display elevated concentrations of total serum IgE
434 and of allergen-specific IgE antibodies in the airways, despite negative skin prick tests (34). On the
435 other hand, anti-IgE could prevent asthma exacerbations by strengthening innate immunity, rather
436 than by reducing allergy (33).

437 ***Strengths and Limitations***

438 Our study was characterized by an elevated number of enrolled patients, coming from 27
439 centers well distributed over the country, thus they may be considered representative of all the
440 Italian SUA patients.

441 A detailed and thorough questionnaire, based on the main items of the GINA guidelines,
442 was used to obtain an exhaustive patients' characterization. It was reviewed and approved by an
443 internal board comprised of pneumologists, allergists and epidemiologists.

444 A potential bias of this study was the enrollment of about 50% of the sample undergoing
445 anti-IgE treatment, a higher percentage with respect to the clinical practice. These patients have
446 different characteristics from those not using this treatment, indeed the Italian regulation defines the
447 eligible patients for treatment with anti-IgE as follows: SUA patients undergoing LABA+ICS
448 treatment, with a high level of total IgE and positivity to perennial allergens.

449 Nevertheless, our data showed consistency with those of the other registries and multicentre
450 studies on severe asthma.

451 **Conclusions**

452 In summary, this is the first paper presenting demographic and clinical data from a wide
453 Italian population sample of SUA patients. Further information will derive from the ongoing
454 analyses of the 3-12 months follow-up.

455 Comparisons to multicentre studies and available registries on severe asthma showed data
456 consistency across European and American populations (35). An international effort in the
457 implementation and data analyses of registries on SUA patients could be an added value to better
458 understand the clinical features and to better manage difficult-to-treat asthmatic patients, which
459 represent a non negligible socio-economic burden for the health services. Asthma research
460 collaboration was recently promoted and fostered by the European Respiratory Society (36).

461 Italian data showed the need of reducing the diagnostic delay, through an early detection of
462 asthma and a subsequent early treatment, in order to improve the long-term prognosis of these
463 patients, probably preventing the development of long-term modifications, such as remodeling, with
464 a subsequent further impairment in pulmonary function (17).

468 It is to point out that a new Severe Asthma Network in Italy is under development: thus, our
469 RiTA data will provide a basis for future comparisons (37).

470 Moreover, the future availability in Italy of new biologic drugs with different specific targets
471 requires an effort in phenotyping patients with severe asthma, in order to provide them a tailored
472 treatment, as in the ongoing Refractory Asthma Stratification Programme (RASP-UK) aimed at
473 developing a clinical model to assess and phenotype patients with severe asthma (38).

474

475 **Authors contribution:**

476 Conception and design and acquisition of data: SM, SB, MB, MS, ML, NM, FS, MB, LA, BB, PI,
477 PR, CP, PP, GV; Analysis and interpretation of data: SM, SB, MP, MS, LLG, PP, GV; drafting the
478 manuscript for important intellectual concept: SM, SB, MP, LLG, PP, GV; taking responsibility for
479 the integrity of the work as a whole, from inception to published article: GV.

480

481 **Conflicts of interest**

482 Conflicts of interest for the authors: none. The statistical analyses and the content of the manuscript
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641 **Table 1. General characteristics of SUA patients**

	N		642
Female (%)	493	60.6	
Age (mean±SD), yrs	483	53.8±13.4	643
Age range, yrs	483	19-86	644
Race (%):	216		645
Caucasian		98.6	646
African		0.9	647
Hispanic		0.5	648
Educational level (%):	453		649
Elementary		16.1	650
Medium high school		32.9	651
High school		38.0	652
University		13.0	653
Work position (%):	474		654
Employed		48.9	655
Unemployed		6.8	656
Housewife		22.4	657
Retired person		21.9	658
Age at symptoms' onset (mean±SD), yrs	443	30.2±16.8	659
Age at symptoms' onset (%):	443		660
<12 yrs		18.5	661
12-40 yrs		51.7	662
>40 yrs		29.8	663
Age at asthma diagnosis (mean±SD), yrs	452	34.4±16.8	664
Age at asthma diagnosis (%):	452		665
<12 yrs		11.7	666
12-40 yrs		50.7	667
>40 yrs		37.6	668
BMI (mean±SD), Kg/m ²	481	27.3±5.0	669
BMI groups (%):	481		670
Underweight/normal		35.4	671
Overweight		38.2	672
Obese		26.4	673
Smoking habits (%):	469		674
Smokers		2.8	675
Ex-smokers		33.2	676
Non smokers		64.0	677
Pack-years* (mean±SD), n	92	21.6±23.9	678
Positive family history of asthma (%)	381	39.6	679
Allergic asthma (%)	472	83.1	680
Ever exposed to fume/gas/dust at work (%)	387	30.7	681
Area of residence (%):	214		682
City centre		25.2	683
Suburb		40.2	684
Industrial area		1.9	685
Rural area		32.7	686
			687
			688

689 SD: standard deviation; BMI: body mass index

690 * analyzed only in smokers or ex-smokers

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692 **Table 2. Lung function, inflammatory/allergic indices of SUA patients**

	N	Total
FEV ₁ % pred (mean±SD)	477	75.1±20.5
FVC% pred (mean±SD)	459	91.3±19.8
FEV ₁ /FVC % (mean±SD)	478	70.0±31.0
PEF% pred (mean±SD)	300	79.4±25.2
FENO (median, IQR), ppb	176	24.0(15.0-38.0)
FENO level (%):	176	
< 25		51.7
25-49		29.5
≥ 50		18.8
Blood eosinophils (median, IQR) (%)	253	4.4(2.1-7.4)
Blood eosinophils (median, IQR) (mm ³), n	208	300.0(170.0-495.0)
Blood eosinophils level ≥300 (mm ³) (%)	208	71.2
Sputum eosinophils (median, IQR) (%)	72	18.1(2.1-53.1)
Sputum neutrophils (median, IQR) (%)	65	38.3(16.8-69.8)
Sputum eosinophil level ≥3% (%)	72	72.2
Sputum neutrophil level ≥49.2% (%)	65	43.1
Sputum inflammatory phenotypes (%):	65	
Paucigranulocytic		7.7
Eosinophilic (≥3%)		49.2
Neutrophilic (≥49.2%)		23.1
Mixed granulocytic		20.0
Total serum IgE (median, IQR) (kU/l)	330	323.0(152.5-598.5)
Positivity to skin prick test	360	81.9%

693 FEV₁ % pred: percentage of predicted values of forced expiratory volume in the first second; SD:
 694 standard deviation; FVC % pred: percentage of predicted values of forced vital capacity; PEF%
 695 perc pred; percentage of predicted values of peak expiratory flow; FENO: fractional exhaled nitric
 696 oxide; IQR: interquartile range; IgE: Immunoglobulin E
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705 **Table 3. Pharmacological treatment, control and health services use in SUA patients**

	N	Total
ICS (%)	488	97.1
ICS (µg) BDP equivalent dose (median, IQR)	398	2000 (800-2000)
LABA (%)	488	93.6
Ultra LABA (%)	488	0.8
LTRAs (%)	488	53.3
Theophylline (%)	488	10.7
Anti-IgE (%)	488	64.1
Oral corticosteroids (%)	488	16.0
GINA GL step (%)*:	414	
Step 1		0.5
Step 2		0.2
Step 3		9.2
Step 4a**		12.3
Step 4b**		14.0
Step 5		60.7
Not according to GL		3.1
Specific immunotherapy (%)	196	4.1
Antiasthma therapy adherence (%):	478	
Good		86.0
Partial		11.9
Poor		2.1
Correct use of inhalers (%):	476	
verified correct use		93.5
verified uncorrected use		2.1
not verified use		4.4
ACT score (mean±SD), pts	398	19.4±4.6
ACQ score (mean±SD), pts	238	2.1±3.5
GINA control (%):	439	
Well controlled		8.0
Partially controlled		52.6
Uncontrolled		39.4
WHO definition of severe/uncontrolled asthma (12):	488	
group 2		1.0
group 3a		84.0
group 3b		15.0
≥1 exacerbation last 12 months (%)	428	55.7
N° exacerbations last 12 months (mean±SD)	428	1.5±2.4
Patients with hospitalisations last 12 months (%)#	206	7.3
N° hospitalisations last 12 months (mean±SD)##	20	1.3±0.6
Patients with ED visits last 12 months (%)#	236	9.7
N° ED visits last 12 months (mean±SD)##	25	1.5±0.8
Patients with systemic corticosteroids use for exacerbation (%)###	237	90.3
N° times using oral steroids for exacerbation last 12 months (mean±SD)####	219	2.7±2.9

706 ICS: inhaled corticosteroids; BDP: beclometasone dipropionate; IQR: interquartile range; LABA: long-acting beta
707 agonists; LTRAs: leukotriene receptor antagonists; GINA GL: Global INitiative for Asthma guidelines; ACT: asthma
708 control test; SD: standard deviation; ACQ: asthma control questionnaire; ED: emergency department. * 74 subjects had
709 no information about ICS dose; thus, they were not classified according to GINA steps. ** step 4a: subjects using only
710 medium/high dose of combination LABA+ICS. ** step 4b: subjects using medium/high dose of combination LABA+ICS

711 plus a third controller. # analyzed only in subjects having lifetime hospitalization, ED visits .## analyzed only in subjects
 712 having hospitalization, ED visits in the last 12 months. ### analyzed only in subjects having exacerbations in the last 12
 713 months #### analyzed only in subjects using oral steroids for exacerbations in the last 12 months
 714

715 **Table 4. Comparison between SUA patients according to the presence of exacerbations in the**
 716 **last 12 months**

	≥1 exacerbation (N=238)	no exacerbation (N=189)	p-value
General characteristics			
Males (%)	38.2	43.4	0.282
Age (mean±SD), yrs	55.4±13.5	52.8±13.4	0.053
Smoking habits(%):			0.664
Smokers	1.8	2.7	
Ex smokers	35.5	32.2	
No smokers	62.7	65.1	
Educational level (%):			0.540
Elementary	20.0	14.5	
Medium high school	32.0	33.5	
High school	36.4	38.7	
University	11.6	13.3	
BMI groups (%):			0.033
Underweight/normal	31.0	38.4	
Overweight	37.9	41.6	
Obese	31.1	20.0	
Positive family history of asthma (%)	44.1	31.4	0.019
Allergic asthma (%)	72.5	91.3	0.000
Age at asthma symptoms' onset (mean±SD), yrs	32.0±17.1	28.3±16.3	0.030
Age at asthma diagnosis (mean±SD), yrs	36.2±17.2	32.9±16.0	0.050
Comorbidities			
Rhinitis (%):			0.001
Allergic	58.9	71.3	
Non allergic	11.0	2.1	
Sinusitis (%)	43.3	33.3	0.039
Nasal polyps (%)	36.5	23.5	0.004
Aspirin intolerance (%)	26.2	17.7	0.040
GERD (%)	46.8	38.0	0.070
Psychic disorders (%)	11.0	5.5	0.049
Clinical data			
Total serum IgE (kU/l) (median, IQR)	276.0 (123.4-566.0) (n=137)	377.0 (167.0-623.0) (n=143)	0.053
FEV ₁ % pred (%) (mean±SD)	73.2±21.4	77.8±18.3	0.020
Blood eosinophils (mm ³) (median, IQR), n	320.0 (165.0-600.0) (n=89)	260.0 (170.0-415.0) (n=92)	0.081

FENO (median, IQR), ppb	26.5 (16.0-53.1) (n=80)	21.5 (13.6-34.0) (n=77)	0.100
FENO level (%):			0.034
< 25	46.3	59.7	
25-49	27.5	29.9	
≥ 50	26.3	10.4	
<i>Treatment</i>			
GINA step (%):			0.000
Steps 1, 2 or 3	6.7	16.3	
Step 4a*	16.2	10.6	
Step 4b*	22.4	6.3	
Step 5	54.8	65.0	
Not according to GL	0.0	1.9	
ICS (µg) BDP equivalent dose (median, IQR)	2000 (1000-2000)	1500 (800-2000)	0.018
Anti-IgE (%)	45.1	78.3	0.000
Oral steroids (%)	22.4	5.3	0.000
Anti-leukotrienes (%)	51.9	56.6	0.332
Theophylline (%)	10.5	11.1	0.853
Antiasthma therapy adherence (%):			0.034
Good	83.7	90.8	
Partial/poor	16.3	9.2	
<i>Control</i>			
GINA control (%):			0.000
Well controlled	5.9	12.8	
Partially controlled	43.2	64.0	
Uncontrolled	50.9	23.2	
ACT score (mean±SD), pts	18.3±4.6	20.6±4.3	0.000
ACQ score (mean±SD), pts	2.8±4.7	1.5±1.8	0.014
Patients with hospitalization last 12 months (%)	18.6	2.2	0.000
Patients with ED visits last 12 months (%)	11.3	3.0	0.049

717 SD: standard deviation; BMI: body mass index; GERD: gastroesophageal reflux disease; FEV₁ %
718 pred: percentage of predicted values of forced expiratory volume in the first second; FENO:
719 fractional exhaled nitric oxide; GINA: Global INitiative for Asthma guidelines; ICS: inhaled
720 corticosteroids; BDP: beclometasone dipropionate; IQR: interquartile range; IgE: Immunoglobulins
721 E; ACT: asthma control test; ACQ: asthma control questionnaire; ED: emergency department.

722 * step 4a: subjects using only medium/high dose of combination LABA+ICS

723 * step 4b: subjects using medium/high dose of combination LABA+ICS plus a third controller

724 in italic: borderline values; in bold: statistically significant values.

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Table 5. Comparison between severe asthma registries/studies

	European registries/studies				US studies	
	UK (4)	BSAR (5)	RItA	ENFUMOSA (3)	SARP (1)	TENOR (2)
N	382	350	493	163	204	3489
Age (mean±SD)	---	55±0.8	54±13	42±12	41±13	49±15
Female (%)	63	57	61	80	64	71
BMI	28(median)	26(median)	27(mean)	27(mean)	---	30(mean)
Smoking status (%)						
Never	61	57	64	---	---	64
Ex	30	31	33			32
Current	6	12	3			4
Ukn	3					
Atopic status (%)	57	70	83	58	71	94
Positive family history of asthma (%)	51	---	40	---	---	---
Age at onset asthma (%):						
<12	---	32	19	---	---	---
12-40		36	51			
>40		31	30			
FEV ₁ % (mean±SD)	66±24	68±1.2	75±21	72±23	62±22	74±23
FVC% (mean±SD)	82±20	89±1.1	91±20	94±21	77±20	
FEV ₁ /FVC% (mean±SD)	63±15	63±0.7	70±31	80±17	65±13	
FENO (median, IQR)	35 (16-65) (n=133)	26 (4-250) (n=271)	24 (15-38) (n=176)	---	40±38 (mean±SD) (n=135)	---
Sputum eosinophil count (median, IQR) (%)	3 (0-11) (n=123)	7 (0-92) (n=86)	18 (2-53) (n=65)	11 (mean) (n=99)	---	---
Sputum neutrophil count (median, IQR) (%)	---	51 (0-99) (n=86)	38 (17-70) (n=65)	37 (mean) (n=99)	---	---
IgE (median, IQR)	130 (54-292) (n=349)	207 (2-10000) (n=295)	323 (153-599) (n=330)	---	---	---
Blood eosinophil count (median, IQR) (%)	---	3 (0-50) (n=272)	4 (2-7) (n=208)	4±5 (mean±SD)	---	---
Blood eosinophil count (median, IQR)	300 (250-11000) (n=360)	240 (0-3144) (n=272)	300 (170-495) (n=208)	---	---	---

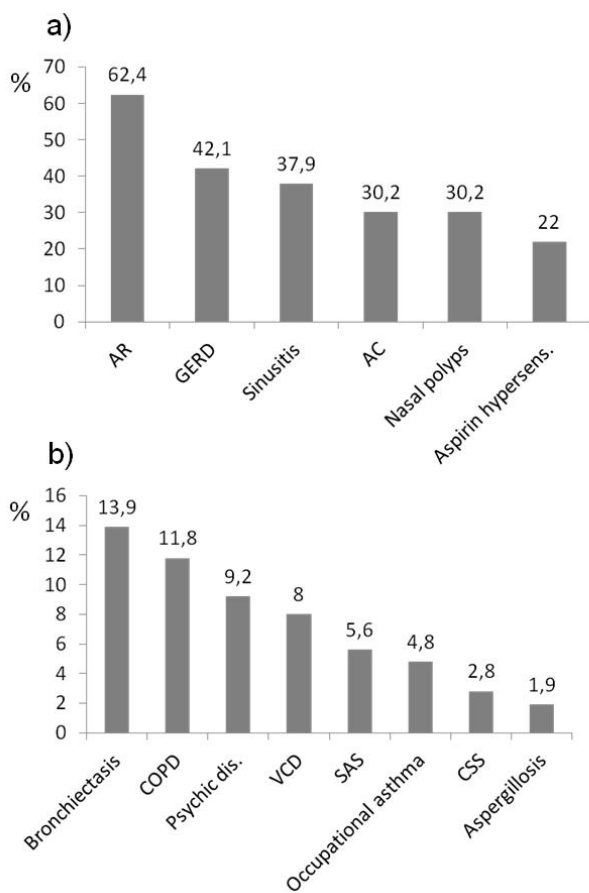
(mm ³)						
ICS, BDP eq dose (median, IQR)	2000 (1000-2000)	2000 (0-6000)	2000 (800-2000) (1528±784, mean±SD)	1676±667 (mean±SD)	---	---
LTRAs (%)	38	65	53	---	51	---
Anti-IgE (%)	0.8	27	64	---	12	---
Theophylline (%)	38	22	11	46	18	---
Oral corticosteroids (%)	42	24	16	33	32	---
Nasal polyps (%)	13	19	30	---	---	---
Rhinosinusitis (%)	37	49	38	---	54	---
Gastroesophageal reflux (%)	41	36	42	---	41	---
Psychic disorders (%)	---	19	9	---	---	---
Bronchiectasis (%)	---	16	14	---	---	---
Churg Strauss syndrome (%)	---	3	3	---	---	---
Occupational asthma (%)	5	4	5	---	---	---
Aspirin-sensitive (%)	10	8	22	---	---	---
ACT (mean±SD), pts	---	13.0±0.4	19.4±4.6	---	---	---
ACQ (mean±SD), pts	---	2.6±1.3	2.1±3.5	---	---	---
Oral steroids courses during last 12 months (median, IQR)	4 (2-6)	2 (0-7)	2 (1-3)	---	---	---
Number of hospitalisations during last 12 months (median, IQR)	0 (0-2)	0.9 (0-7) (n=113)	1 (1-2)	---	---	---
Hospitalization during last 12 months (%)	---	---	7	---	28	5
ED visits during last 12 months (%)	---	---	10	---	40	15

727 SD: standard deviation; BMI: body mass index; IQR: interquartile range; FEV₁% pred: percentage
728 of predicted values of forced expiratory volume in the first second; FVC% pred: percentage of
729 predicted values of forced vital capacity; FENO: fractional exhaled nitric oxide; IgE:

730 Immunoglobulin E; ICS: inhaled corticosteroids; BDP: beclometasonedipropionate; LTRAs:
731 leukotriene receptor antagonists; ACT: asthma control test; ACQ: asthma control questionnaire;
732 ED: emergency department.
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735 **Figure 1. Comorbidities in SUA patients**



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737

738 a) More frequent comorbidities b) Less frequent comorbidities

739 AR: Allergic rhinitis; GERD: Gastroesophageal reflux disease; AC: Allergic conjunctivitis; COPD:
740 Chronic Obstructive Pulmonary Disease; VCD: Vocal cord dysfunction; SAS: sleep apnea
741 syndrome; CSS: Churg Strauss syndrome.

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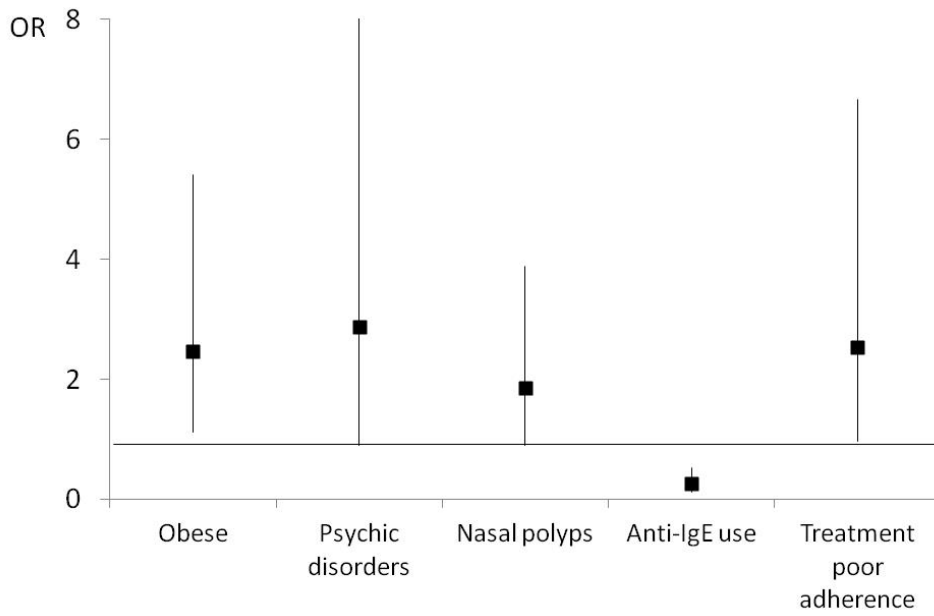
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749 **Figure 2. Significant/borderline risk factors for exacerbations in the last 12 months: OR and**
750 **95% CI**



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752 Adjusted for age, sex, allergic asthma, positive family history of asthma, age at asthma diagnosis,
753 gastroesophageal reflux, rhinitis, sinusitis, aspirin hypersensitivity.

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