



Surface Plasmon Resonance in the Development of TGF- β Activators for Cosmeceutical Use

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ABSTRACT

Research on new compounds that can improve the skin's condition is no longer focused exclusively on drugs, but is also adequate for cosmeceuticals – cosmetic products with thoroughly tested and scientifically proven biological activity. One of the most important stimulators of collagen biosynthesis is the so-called Transforming Growth Factor- β (TGF- β). Measuring the activation of latent TGF- β and quantification of its efficacy using the Surface Plasmon Resonance (SPR) technique is a great alternative to the currently used Enzyme-linked Immunosorbent Assay (ELISA) method. In this article, the complex process of TGF- β activation and the methods of its quantification are described. SPR was investigated as a relevant method for the TGF- β activity detection. Optimization of measurement conditions is presented, comparing results of antibody immobilization efficacy in different buffers. Two types of antibodies were immobilized onto the SPR chip, and after choosing one of them the selectivity of capturing between active and inactive TGF- β was confirmed. SPR is discussed as a technique with great potential in cosmeceutical design, in comparison to currently applied quantification methods.

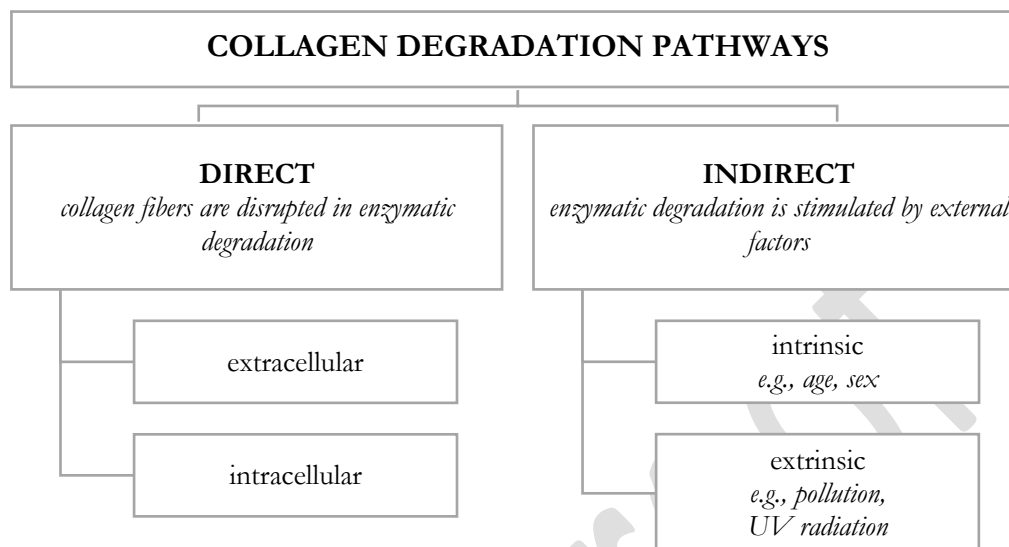
Keywords: Surface Plasmon Resonance, TGF-beta, Cosmeceuticals

1 Introduction

In recent years, outward appearance and healthy and young-looking skin have drawn attention from a number of scientific studies. Research on new compounds that can improve the skin's condition is no longer required only for drugs, but also for cosmeceuticals. Compared to conventional cosmetics found in drugstores, cosmeceuticals are more efficient and of superior quality due to their biological activity, which has been scientifically demonstrated and extensively investigated. Recently, the growth of the skin-care products market is visible and thus the requirement for new cosmeceutical agents becomes significant as well [1]. The substantial part of active ingredients found in commercially available products stands for peptides and their conjugates [2]. Peptides are a key component of the cosmeceutical industry because of their diverse range of potential structural modifications, limited possible toxic side effects, and ease of synthesis. [3]. However, peptides and mini-proteins, due to their similarity to *in vitro* occurring large proteins, may be highly susceptible to enzymatic degradation and provide impediments in bioavailability and stability [4]. To overcome these obstacles, manifold techniques and synthetic approaches have been described in the literature [5]. A proper balance between structural protein synthesis and degradation is necessary to control the skin's biological processes. Among diverse proteins in the human body, collagen is one of the



42 most essential and most abundant ones. Collagen type III acts as the linchpin of type I fibers and supports
 43 skin elasticity [6], [7], [8]. Considering this, collagen turnover regulation has a potential interest as a target
 44 during the design of new compounds relevant in the cosmeceutical area. Consequently, a wide area for
 45 promising new research is provided by the acceleration of collagen biosynthesis as well as the
 46 downregulation of its degradation. [9]. Figure 1 presents the collagen turnover in cells.



47 **Figure 1:** Direct and indirect collagen degradation pathways with a noteworthy influence on skin aging.

48 The group of transforming growth factors β (TGFs- β) consists of five isoforms, namely TGF- β_{1-5} [10].
 49 TGFs- β function is regulated by their activation, as they exist in active or latent form (LAP-TGF- β). TGFs-
 50 β are activated by a number of intracellular processes that occur prior to the secretion by the cell. Since
 51 LAP-TGFs are secreted in the latent form and are unable to interact with the receptor, they are biologically
 52 inert. This dimer protein's C-terminal segment is known as mature TGF- β (112 amino acids long), and its
 53 N-terminal portion is known as the latency-associated peptide (LAP, 390 amino acids long). Noncovalently
 54 bound LAP can be removed *in vitro* e.g., by radical pH or temperature changes. In addition to these, a variety
 55 of physiological substances, including plasmin, proteases, cathepsins, calpain, and the glycoprotein
 56 thrombospondin-1 (TSP-1), are known to activate the latent form of TGFs. [10], [11]. Figure 2 illustrates
 57 the LAP-TGF- β activation process involving TSP-1 [12]. TGFs- β were found to play a pivotal role in the
 58 natural wound healing and remodeling process [13]. Through complicated signaling pathways in fibroblasts,
 59 TGF- β can stimulate the synthesis of fibrillar collagens I and III. Furthermore, TGF- β inhibits the function
 60 of matrix metalloproteinases (MMPs), which are crucial in the breakdown of collagen fibers [9], [13]. TSP-
 61 1 was found to be the major TGF- β_1 activator *in vivo* [14]. It is a homotrimeric protein secreted by many
 62 cell types. In the 1990s, Schultz-Cherry *et al.* investigated and described in a detailed way the mechanism of
 63 TGF- β_1 activation by TSP-1 [15], [16], [17], [18]. It was shown that TSP activates the LAP-TGF- β_1 *in vitro*
 64 and *in vivo*, and thus can influence the collagen biosynthesis process [19], [20].

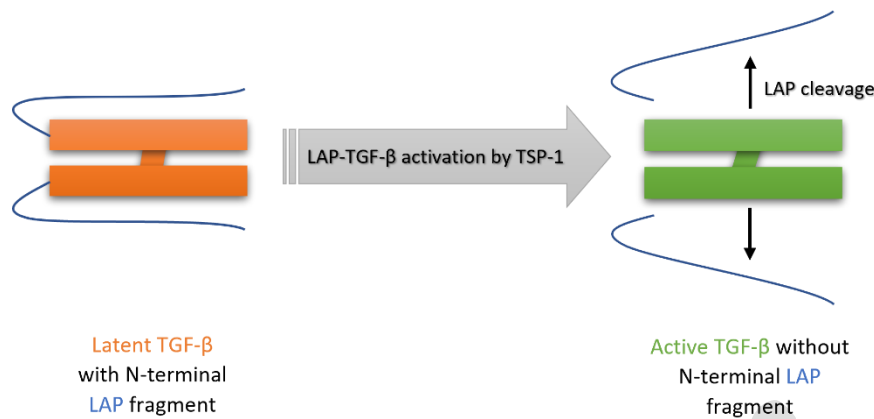


Figure 2: Latent TGF- β (LAP-TGF- β) activation process by TSP-1.

65

66 2 Up-to-now used techniques for quantification of LAP-TGF- β activation

67 The first attempts to determine the efficacy of LAP-TGF- β activation are described in papers by Schultz-
 68 Cherry and Murphy-Urlich in their diverse papers from the 1990s [15], [16], [17]. Hereby the Authors
 69 mention the use of Normal Rat Kidney (NRK) colony formation as an indicator of TGF- β_1 presence in the
 70 sample. Briefly, various concentrations of stripped TSP (sTSP; free of associated TGF- β) were incubated
 71 with latent TGF- β_1 in PBS. HCl was used as a positive control of the activation. Samples were then
 72 examined for TGF- β_1 activity by evaluating NRK colony formation in soft agar. Together with the NRK
 73 colony formation technique, Enzyme-linked Immunosorbent Assay (ELISA) was introduced as another
 74 method for the evaluation of TGF- β_1 presence. Commercially available KIT (a set of reagents with
 75 analytical protocol provided by the supplier) was used in this investigation. Currently, there are other
 76 commercially available KITs based on ELISA for active human TGF- β_1 quantification. Other methods not
 77 involving KITs for ELISA can be found in the literature. Kropf *et al.* in 1997 published the procedure of
 78 ELISA-based assay for TGF- β_1 quantification, compared with four different commercially available (at the
 79 moment) KITs [21]. It included the use of recombinant human TGF- β_1 , chicken anti-TGF- β_1 , and
 80 monoclonal specific mouse anti-TGF- $\beta_{1,3}$ antibodies. At the end, a biotinylated anti-mouse IgG antibody
 81 was added, and the color was developed using a peroxidase substrate solution. Another two different
 82 attempts were published in 2012. While Areström and co-authors based again on more complex ELISA
 83 [22], in the paper by Khan *et al.*, mink lung epithelial cells (MLEC) were used [23]. The bioactive TGF- β
 84 level was represented by luciferase activity. In the solid organs, such as the kidney, liver, and heart, the levels
 85 of both total and active TGF- β were evaluated.

86 In this fervent environment of new LAP-TGF- β activation modulators for cosmeceutical use, reliable
 87 quantification of the activation process is mandatory. Up to now, it is mainly performed via ELISA,
 88 however, this method is relatively simple to complete but time-consuming, as it requires several washing-
 89 binding cycles and cannot be easily automated [24]. In the current literature, there is no description of the
 90 Surface Plasmon Resonance (SPR) technique used for quantification of the bioactive TGF- β in the sample.
 91 While this method is already known for various biosensor applications [25], there are no scientific reports
 92 regarding the use of SPR for cosmeceuticals development. For this reason, we decided to evaluate the
 93 potential applicability of SPR in LAP-TGF- β activation measurements. In this article, we would like to
 94 spotlight this innovative technique as a potential tool to measure the activation of latent TGF- β . Using
 95 different buffers and antibodies immobilized on the chip surface, we have put an effort into optimizing the
 96 measurement conditions and demonstrating the method's applicability in the quantification of active TGF-
 97 β .

98 3 Surface Plasmon Resonance (SPR) technique

99 In simple words, SPR is an optical method that permits the observation of changes in the refractive index
 100 values in the proximity of the gold surface [26]. When this factor fluctuates the resonance angle shifts,

101 making SPR suitable for monitoring interactions in real-time recording results in the *sensogram*. To perform
102 the SPR experiments, firstly the ligand (a capturing element) must be immobilized, permanently or
103 temporarily, on the sensor surface. Each cycle of experiments starts with sensor conditioning with a buffer
104 solution. Afterward, the solution containing the analyte (a target component in the solution) is injected, and
105 the capturing by the ligand occurs. A non-specific binding may also take place. To get rid of unspecifically
106 bonded molecules, a reference channel is also recorded and used as blank. After analyte injection, the buffer
107 is flowed again to flush non-covalently bonded molecules during the dissociation phase. Finally, a
108 regeneration solution (e.g., a low-pH buffer) is injected and the specific binding between the analyte and
109 the ligand is broken. If the immobilization of the ligand was correctly performed, during the regeneration
110 solution flushing only the bonded analyte is removed, without destabilizing the immobilized ligand. After
111 the regeneration step, the cycle is completed and the chip with immobilized ligand can be reused. Due to
112 its automatization and repeatability, the SPR technique has a broad spectrum of applications. SPR-coupled
113 bioimaging in cells, viruses, and bacteria has been recently developed [27], [28]. This technique can be used
114 e.g., to observe protein-protein [29], [30] or protein-carbohydrate interactions [31]. In recent years, SPR
115 was applied as a tool to detect antibiotics [32], cardiac [33], [34], or cancer biomarkers [35], and for COVID-
116 19 disease diagnostic purposes [36], [37]. Although Surface Plasmon Resonance is an innovative technique
117 and shows a variety of applications, it also has limitations. For example, high system stability is required but
118 not always possible to achieve; non-specific adsorption can also occur [38]. Description of currently used
119 instruments, chips, and immobilization strategies were broadly described in the recent review [39].

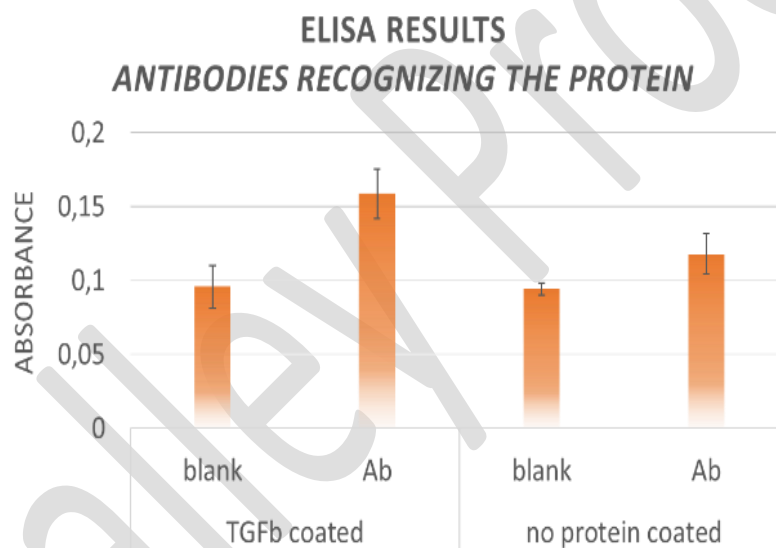
120 **4 Materials and Methods**

121 ELISA assays were performed with NUNC® Maxisorp™ microplates. SPR measurements were performed
122 with Biacore™ X100 system. Sensor chips CM5-type, amine coupling kit, glycine 10 mM pH 2.5, and
123 running buffer HBS-EP+ 10 \times (0.1 mol/L HEPES, 1.5 mol/L NaCl, 30 mmol/L EDTA, 0.5 % v/v
124 surfactant P20) were purchased by Cytiva (Uppsala, Sweden). Sodium acetate was purchased by Carlo Erba
125 (Milano). Sodium hydroxide was purchased by Honeywell-Riedel deHaen (Seelze, Germany). Sodium
126 chloride was purchased by VWR International (Radnor, PE, US). The activation of the chip surface was
127 performed using 1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide (EDC)/N-Hydroxysuccinimide (NHS)
128 solutions following the standard Amide Coupling protocol. Amide Coupling Kit, running buffer (HBS-
129 EP+ Buffer), regeneration buffer, and Sensor Chip CM5 (a matrix of carboxymethylated dextran covalently
130 bonded to a gold surface) were purchased from Cytiva. The appropriate activity of the anti-TGF- β_{1-3}
131 antibody (MAb Clone 1D11, BioTechne) was verified by ELISA assays. In order to do this, 100 ng/mL of
132 active TGF- β_1 protein was coated on the NUNC® microplate. Following a saline solution wash, wells were
133 blocked for 1.5 hours at room temperature using a 5% Bovine Serum Albumin (BSA) in phosphate-
134 buffered saline (PBS) solution. Subsequently, a 5 μ g/mL anti-TGF- β_{1-3} antibody solution was added to the
135 2.5% BSA buffer, and the mixture was incubated for 2.5 hours with continuous agitation. The secondary
136 antibody (anti-mouse IgG-AP, Jackson) was added and incubated for 2 hours under agitation, following
137 three washing cycles with saline solution. The microplate was developed with a substrate solution containing
138 1 mg/mL of *p*-nitrophenylphosphate (*p*-NPP) in buffer carbonate at pH 9.8 in order to assess the binding
139 of anti-TGF- β_{1-3} to the protein. The absorbance in each well was measured at 405 nm. In the SPR
140 measurements, the standard amine coupling strategy has been chosen for the immobilization of anti-TGF-
141 β_{1-3} antibody. Sodium acetate buffer has been selected as an immobilization buffer using the *pH scouting*
142 protocol to adjust the optimal pH conditions for the immobilization. This depends on the isoelectric point
143 (pI) of the antigen that must be immobilized. Before the immobilization, the chip was activated with
144 NHS:EDC (50:50) injection for 480 s. Then, the activated chip was treated with a solution of anti-TGF- β_{1-3}
145 antibody ($C_M=10$ μ g/mL in the selected immobilization buffer 10 mM acetate pH 4.5), by flowing it over
146 the sensor surface for 420 s at a flow rate of 10 μ L/min. After immobilization two 60 sec pulses of
147 ethanolamine solution were flowed over the sensor chip to deactivate non-reacted sites on the surface. A
148 standard amine coupling strategy has been selected also for the LAP (TGF- β_1) Affinity Purified Polyclonal

149 Ab immobilization. The chip activation was performed as described above using acetate buffer (10 mM,
150 different concentrations).

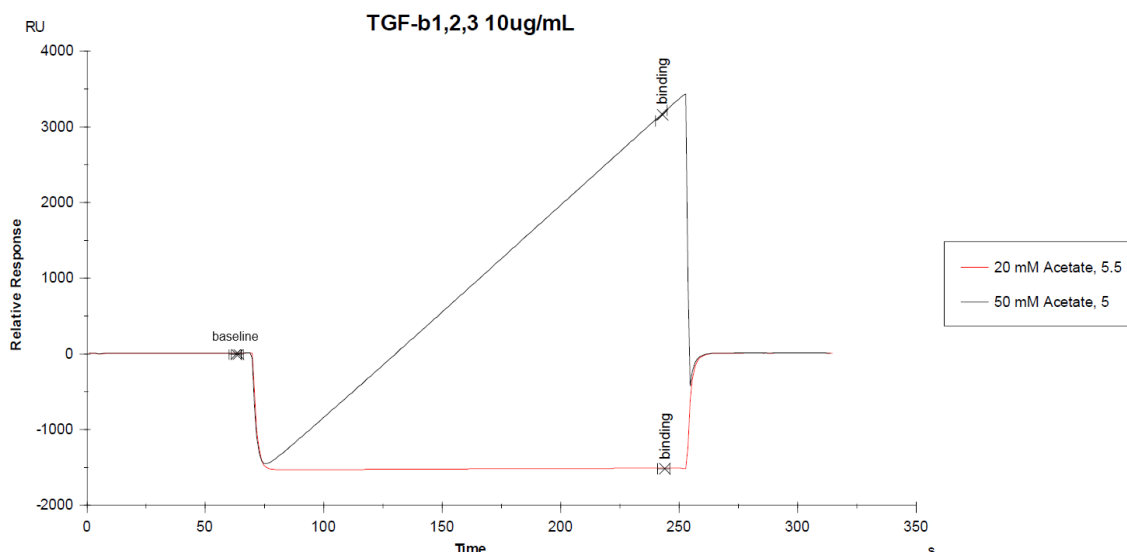
151 5 Results and Discussion

152 Considering the lack of any innovative analytical methods in the field of LAP-TGF- β activation
153 measurement, SPR appears as an interesting alternative to classic ELISA. To check whether the SPR
154 method is suitable for such experiments, varied factors were investigated. To evaluate the feasibility of
155 antibody immobilization onto the SPR chip, the conditions of the experiment were optimized. Two
156 different antibodies were used in this measurement: anti-TGF- β_{1-3} and anti-hLAP-TGF- β_1 . To check the
157 difference between their immobilization efficacy on the chip, different buffers, and various pH values were
158 evaluated. After the efficient and stable immobilization of one of the antibodies, their selectivity had to be
159 confirmed to define whether this system could be used to detect the active form of TGF- β . Therefore, the
160 binding experiment was performed using both active and latent forms of the protein. The ELISA
161 experiments were performed to confirm the activity of the commercial anti-TGF- $\beta_{1,2,3}$ Ab also in the
162 currently used technique, besides the SPR. The results of ELISA analyses are presented in Figure 3. Among
163 all tested samples, in the presence and absence of LAP TGF-beta, anti-TGF- $\beta_{1,2,3}$ antibody addition showed
164 higher signals when TGF- β_1 is coated on the well. It confirmed the proper activity of the commercial
165 antibodies.

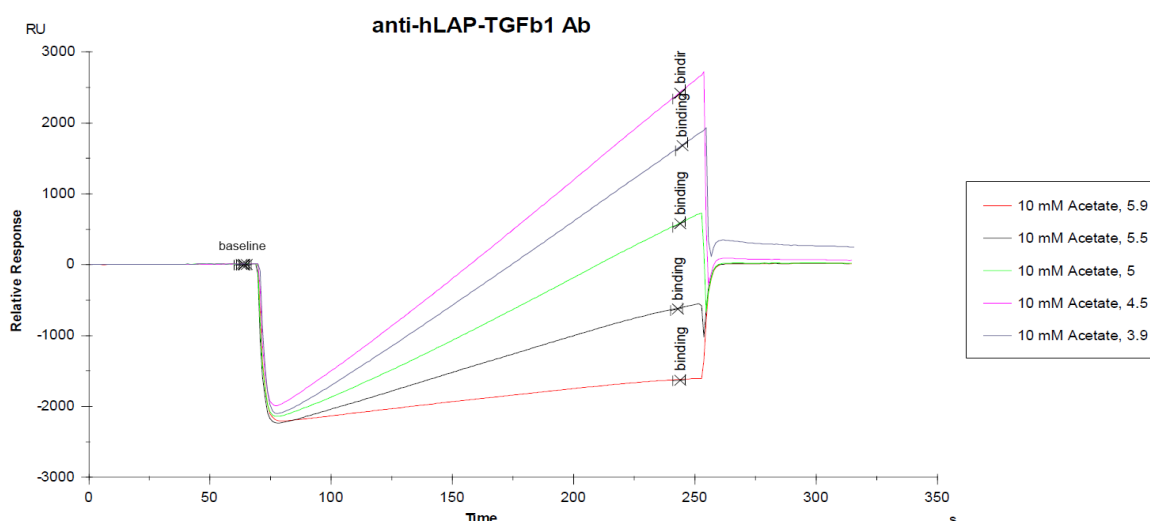


166 **Figure 3:** Results of ELISA assay confirming the anti-TGF- β_{1-3} activity. The bar with increased absorbance
167 corresponds to TGF- β_1 -coated wells treated with anti-TGF- β_{1-3} antibodies.

168 Using the pH scouting protocol we optimized the conditions for antibodies immobilization onto the chip.
169 Anti-TGF- β_{1-3} and anti-hLAP-TGF- β_1 were immobilized separately. The former captures only the active
170 form of TGF- β_{1-3} , while the latter interacts only with free LAP fragments, dissociated from inactive protein
171 upon its activation. Both TGF- β_{1-3} and free hLAP-TGF- β_1 are present in the sample if the protein
172 activation took place. For anti-TGF- β_{1-3} , optimal conditions were reached at 50 mM acetate buffer,
173 pH=5.0 (Figure 4). For anti-hLAP-TGF- β_1 , the acetate buffer 10 mM at pH 4.5 was found to be the more
174 suitable for the immobilization protocol (Figure 5).



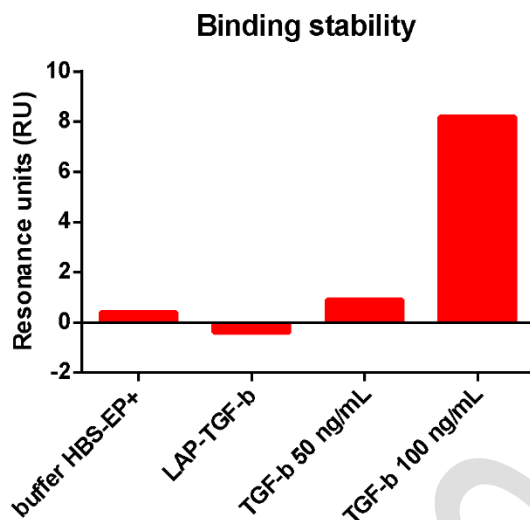
175 **Figure 4:** pH scouting of anti-TGF- $\beta_{1,2,3}$ antibody onto the chip in two immobilization acetate buffers: 20 mM,
176 pH=5.5 and 50 mM, pH=5.0. Relative response in resonance or response unit (RU).



177 **Figure 5:** pH scouting of anti-hLAP-TGF- β_1 antibody onto the chip in various immobilization acetate buffers:
178 10 mM, pH ranges from 3.9 to 5.9. Relative response in resonance or response unit (RU).

179 Both types of antibodies (anti-TGF- $\beta_{1,2,3}$ and hLAP-TGF- β_1) were successfully immobilized to flow channel
180 2 of two different CM5 sensor chips, while flow channel 1 was used as a reference channel. Considering
181 the observation that binding efficacy was higher for the anti-TGF- $\beta_{1,2,3}$ Ab (2800 vs. 3800 RU), we decided
182 to proceed with this type of antibody. Once the anti-TGF- $\beta_{1,2,3}$ antibody was immobilized onto the sensor
183 chip of Biacore™ X100, solutions of the latent and active form of TGF- β_1 were flown through the system
184 to prove the selectivity of the chosen antibody. Both proteins were tested initially at the concentration of
185 50 ng/mL. The signals obtained for LAP-TGF- $\beta_{1,2,3}$ and active TGF- β_1 are presented in Figure 6. Buffer
186 and the inactive protein did not provide any significant signal; thus, we confirmed that unspecific
187 interactions between immobilized antibody and inactive TGF- β_1 do not occur. In the case of active TGF-
188 β_1 , we tested it initially at 50 ng/mL and the binding to immobilized antibody was observed. To check if
189 the binding will increase with increasing the concentration of the protein, we performed the same test using
190 the TGF- β_1 at 100 ng/mL. It provided excellent binding efficacy. These results proved the selectivity of the
191 used antibody, confirming that this biosensor system can be used to identify active TGF- β_1 . Considering
192 that the increase of concentration of active protein in the sample also provides the increase in observed

193 signal, this method can be used for the quantitative determination of LAP-TGF- $\beta_{1,2,3}$ activation efficacy.
194 Even though the discussed SPR method undoubtedly enhances the workflow and decreases the probability
195 of human error on the result, it also has limitations. For example, analytes with conjugated fatty acids may
196 provide a variety of unspecific interactions with the chip and impede the measurement.



197 **Figure 6:** Binding stability to the anti-TGF- $\beta_{1,2,3}$ -immobilized chip was observed for 4 different solutions:
198 buffer, inactive TGF- β_1 , and active TGF- β_1 in two concentrations.

199 6 Conclusion

200 Development of new compounds with anti-aging properties, every now and then based on already
201 described products but with additional beneficial features such as enhanced bioavailability, stability, and
202 efficacy, is highly required. For example, to evaluate the efficacy of designed and synthesized therapeutic
203 candidates in the case of collagen biosynthesis activators, it is necessary to check if the activation occurred
204 at a sufficient level. Up to now, mostly ELISA techniques have been applied for this purpose. In this article,
205 we faced the issue of time-consuming and hard-to-automatize ELISA. Using the broad spectrum of
206 opportunities provided by the SPR technique, we designed a capturing protocol leading to the verification
207 of LAP-TGF- $\beta_{1,2,3}$ activation efficacy. To do so, we compared two diverse types of applicable antibodies,
208 optimized the conditions of antibodies immobilization, proved the activity of antibodies also in classical
209 ELISA, and finally evidenced the selectivity of immobilized anti-TGF- $\beta_{1,2,3}$ antibodies by SPR experiment.
210 It has been shown that inactive (LAP-conjugated) protein is not recognizable, while the signal
211 corresponding to the free form is visible and increases with the protein concentration confirming the
212 stability of the immobilized antibody and the efficacy of the regeneration protocol. The results of the
213 Biacore™ assay were confirmed by the ELISA assay, which showed that the well containing active protein
214 and anti-TGF- $\beta_{1,2,3}$ antibody had the greatest signal strength. Presented research includes potential
215 applications in the development of cosmeceutically relevant compounds. The discussed analytical
216 technique, SPR, has never been used for screening purposes in the field of TGF- β activators development
217 and is a faster alternative for up-to-now used ELISA. Compared to the time-consuming and complex
218 ELISA technique reported previously, the novel protocol for Biacore™ measurements of TGF- β_1
219 activation will enable the assay to be performed in a robust, fast, and automated way.

220 7 Declarations

221 7.1 Acknowledgements

222 For the purpose of Open Access, the author has applied a CC-BY public copyright license to any Author
223 Accepted Manuscript (AAM) version arising from this submission.

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227 7.3 Competing Interests

228 The authors declare that there is no conflict of interest.

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