

## Article

# Stress Response to Entrainment Flow Speed near Pump Inlet Fish Screens in Two Model Teleost Species, *Anguilla anguilla* and *Oncorhynchus mykiss*

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**Abstract:** Fish screens are structures associated with pump stations and power plants, that prevent entrainment of fish, but may also be a source of physiological stress, if placed in locations of strong flow speeds that fish are unable to sustain swimming against over time. Herein, the acute response of *Anguilla anguilla* and *Oncorhynchus mykiss* to a 30-minute exposure to two water flow regimes was evaluated at the lowest level of the hypothalamus–pituitary–interrenal axis, from blood serum and skin mucus, in a controlled setup presenting a 45° vertically-angled fish screen. Cortisol response was species specific, regardless of the matrix employed. While the flow velocity factor did not describe any variance of eel data, and no statistically significant differences in cortisol concentrations were observed among eel groups, cortisol release in response to flume hydraulics followed a dose-dependent pattern in trout, with a large proportion of the variance described by the model. Mucus cortisol was highly and strongly correlated to serum levels of trout specimens subjected to the strongest flow. Given the established neuromodulatory and molecular roles of cortisol on major fitness-relevant processes, animal welfare implications may be severe, especially considering ever increasing exposure to chronic anthropogenic stressors, resulting in repeated and/or prolonged elevation of circulating glucocorticoids.

**Keywords:** hydropower; acute stress; HPI axis; cortisol; fish physiology; *Anguilla anguilla*; *Oncorhynchus mykiss*; anthropogenic impacts



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## 1. Introduction

Evidence of global warming is clear across the globe, and far more complex than a mere rise in temperatures, consisting, for instance, in water shortages, increased fire threats, and droughts. The development and establishment of renewables seem to be essential for achieving decarbonization goals in the energy sector: indeed, the EU has plans for a 55% greenhouse gas emissions reduction by 2030, and aspirations of climate neutrality by 2050 [1,2].

Multiple descriptors of the EU Marine Framework Directive survey fish populations, with the aim of achieving a Good Ecological Status (GES) [3]. On the other hand, hydropower infrastructures are known sources of damage to fish: impacts have so far been assessed in terms of severity of injuries (e.g., scale loss, hemorrhages, skin wounds) or mortality, particularly in relation to migration routes and spawning grounds, following turbine entrainment or downstream passage solutions [4–7]; mathematical modeling has been employed to predict turbine encounter and fish injury/mortality rates caused by

hydropower mechanisms, i.e., water pressure change (also known as rapid decompression), cavitation, fluid shear stress (also known as velocity change rate), turbulence, and collision (also referred to as strike or crush) [8–12]; underwater cameras and telemetry have elucidated fish behavior, migration routes, and habitat use in the vicinity of operating hydropower plants, providing science-informed input for the most efficient design of fish-crossing facilities [13–15]. Of equal importance, but having received far less attention, the study of animal hormonal status has been almost entirely overlooked. Yet, it is known that fish do respond to stressful stimuli such as confinement, handling, or physical disturbance, and stress levels are paramount for determining animal welfare [16].

Trash racks and fish screens are associated with a variety of structures, such as pump stations, power plants, irrigation systems, and cooling water intakes. They can prevent entrainment of fish, but when placed in locations of strong flow speeds, it is hypothesized that they induce severe physiological stress in fish that attempt to swim away from the screens. Therefore, placement of fish screens or trash racks sufficiently far from pump or turbine intakes is necessary, in order to prevent stress-induced physiological damage to fish, and therefore to help maintain fisheries.

The present study evaluated the physiological acute response of *Anguilla anguilla* and *Oncorhynchus mykiss* to a 30-minute exposure to entrainment flow speeds near pump inlet fish screens, at the lowest level of the hypothalamus–pituitary–interrenal axis, from traditional and unconventional biological matrices. The experimental setup included a 45° vertically-angled fish screen, to investigate its influence on fish physiology. Both teleost species are relevant from a biological and an economical perspective. In addition, the catadromous European eel also undergoes a spawning migration from inland European water habitats to the Sargasso Sea, in the mid-north Atlantic Ocean, and is therefore subjected to several threats represented by water management infrastructures; the 90% decrease in abundance of adult specimens at the silver stage in the 1975–2010 timeframe [17], was partly attributed to anthropogenic activities [18].

In light of the increasing efforts to establish hydropower technologies, even from low heads, as well as of importance to diverse research fields related to water management, the main overall objectives of the field tests were: (i) to investigate whether flow speeds, if sustained by fish for a period of time compatible to pump or turbine intake downstream passage, impact the physiology of fish, (ii) to generate knowledge on how far fish screens or trash racks should be installed from intakes in order to prevent physical and physiological consequences, and (iii) to explore the use of an alternative and more convenient biological matrix, sampling-wise, for the quantification of cortisol. To date, only one study has attempted the evaluation of stress levels in fish following simulation of hydropower design and operation [19] and, historically, blood-derived matrices were preferred for cortisol quantification because they reflect actual and prompt cortisol changes.

The relevance of the results presented is two-fold: first, a broadly-applicable approach is described, that considers not only physical damage or mortality experienced by fish, as investigated so far, but also their physiological condition, advancing the knowledge about non-lethal effects of anthropic infrastructures on animal fitness; second, given the established neuromodulatory and molecular impacts of cortisol on major fitness-relevant biological processes, the results provide information about the possible impairment of animal welfare and fisheries, especially on account of ever-increasing exposure to chronic anthropic stressors, resulting in repeated and/or prolonged elevation of circulating glucocorticoids.

## 2. Materials and Methods

### 2.1. Desktop Analysis of Fish Swimming Fatigue Curves

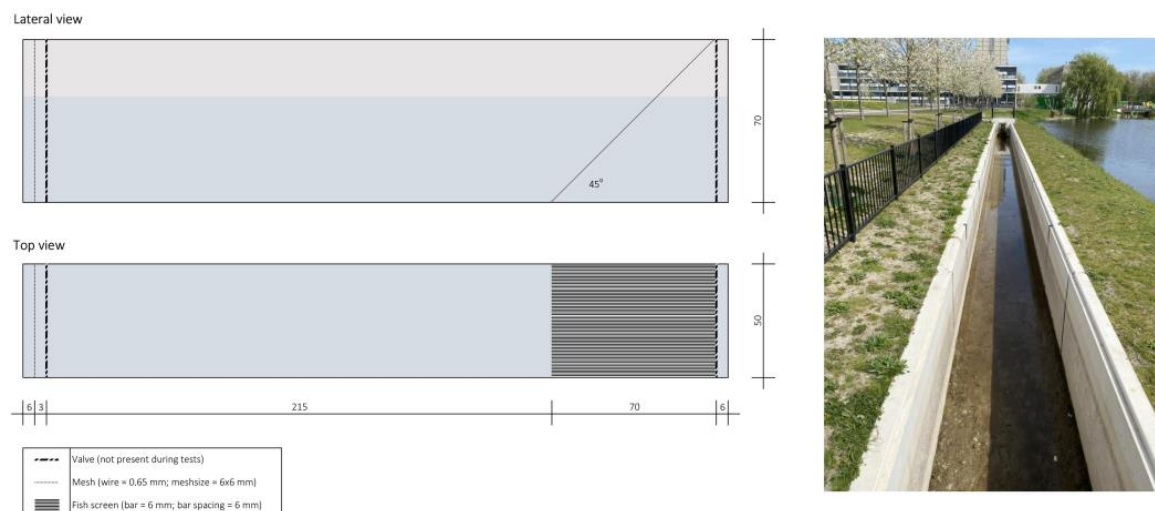
The selection of the two flow velocities to be tested per species within the experimental setup was based on fish swimming performance and fatigue curves available on SPOT—Swim Performance Online Tools [20,21]. This tool comprises fish swimming performance fatigue (fixed velocity or swim speed vs. endurance time) and distance (swim distance vs. water velocity) curves, produced by regression analysis of data collected from the literature,

ranging in time from 3 seconds to 30 minutes. The two species of interest, i.e., *Anguilla anguilla* and *Oncorhynchus mykiss*, were included, for statistical and computational reasons, by the tool authors within the “Eel” and the “Salmon & Walleye” groups, respectively. These groups benefit from the most robust experimental datasets and regressions.

Flow velocities were selected with the aim of testing the physical and physiological impacts of fish screen exposure and water flow. On these grounds, test group 1 (tg1) and tg2 flow velocities were chosen so that they would be sustained throughout the 30-minute test duration by 50% and 97.5% of 495 mm *A. anguilla*, or 180 mm *O. mykiss* populations, respectively, putatively causing the impingement on the fish screen of the remaining 50%, or 2.5% of specimens.

## 2.2. Experimental Setup

The experiments took place in the open flume of the Civil Engineering and Geoscience Faculty (51°59′49.7″ N–4°22′37.4″ E), Delft University of Technology (The Netherlands), on 17–21 October 2022. The location was scouted considering costs associated with use, license requirement, ethics concerns, and impacts of the trials to natural populations. Figure 1 depicts top and lateral views of the experimental chambers, specifically built for the trials.



**Figure 1.** Diagram of an experimental chamber (left) and view of the TU Delft flume, where experiments took place (right). Dimensions of the chamber, unless otherwise stated, are expressed in cm.

The experimental chambers were fabricated from HDPE, embedded in a wooden casing. The internal dimensions of the chambers were 300 cm (length) × 70 cm (height) × 50 cm (width). The front end of the chambers (in flowing water) were closed by wire screens (wire = 0.65 mm; mesh size = 6 × 6 mm) to prevent fish from escaping. At the rear of the chamber, a 45° vertically-angled fish screen (vertical bars = 6 mm; bar spacing = 6 mm) (water flowing out) was installed.

Fish were purchased from the Trout hatchery “De Tipbosch” (*O. mykiss*), Hellendoorn, and “Koman fish traders” (*A. anguilla*), Moerdijk, both in the Netherlands, and transported to the test location under controlled conditions. Immediately after delivery, 50 specimens were randomly allocated to each of the test groups (i.e., tg1, tg2, and ctrl) into flow-through aerated dedicated chambers, for recovery and acclimatization purposes. The experiments began after a 24-h acclimatization period and lasted for 30 minutes, during which all specimens of a given group were tested at a single time. The 30-min duration was selected based on the availability of fish swimming fatigue curves (see section above), and knowledge on the downstream passage time of several fish species, including *A. anguilla* [22–25]. Details of the test schedules, environmental parameters, and hydraulics conditions are included in Table 1.

**Table 1.** Schedule, regime, and environmental conditions of experimental trials.

Test n.	Date	Species	Test Group	Test Regime (m s <sup>-1</sup> )	Average Test Regime (m s <sup>-1</sup> )	Start Time	End Time	Water Temp. (°C)	Dissolved O <sub>2</sub> (mg mL <sup>-1</sup> )
1	19/10/2022	<i>A. anguilla</i>	1	0.25	0.27	09:00	09:30	13.5	10.7
2	19/10/2022	<i>A. anguilla</i>	2	0.15	0.16	11:45	12:15	13.8	10.6
3	19/10/2022	<i>A. anguilla</i>	ctrl	0	0	14:30	15:00	14.1	10.8
4	20/10/2022	<i>O. mykiss</i>	1	0.4	0.40	09:03	09:33	12.7	10.4
5	20/10/2022	<i>O. mykiss</i>	2	0.2	0.19	11:40	12:10	13.1	10.4
6	20/10/2022	<i>O. mykiss</i>	ctrl	0	0	14:02	14:32	13.3	10.2

### 2.3. Sample Collection

Following the 30-minute trials, fish specimens were progressively transferred to dedicated anesthetic tanks, containing 120 (*A. anguilla*) or 50 (*O. mykiss*) mg L<sup>-1</sup> of clove oil (CAS 8000-34-8; Santa Cruz Biotechnology, catalog # sc-214750A), to prevent a cortisol stress response to handling [26]. Importantly, the potentially stressful consequence of the anesthetic bath was controlled for by handling control fish in the same way. In general, control fish were treated (i.e., netting, relocation, handling) exactly as test fish, but were exposed to a 0 m s<sup>-1</sup> flow regime. Literature searches [27,28] and preliminary tests were conducted prior to trials, to determine the anesthetic dosage that would induce stage A5 anesthesia (i.e., no movement, loss of responsiveness to tactile stimuli, slow and irregular opercular ventilation) and R5 recovery [29] within 3 minutes of immersion in the anesthetic bath, and 10 minutes of the end of sampling operations. Sampling operations were concluded within 1 minute of reaching stage A5 anesthesia. Clove oil was selected as the anesthetic because: (i) it is a natural product derived from the leaves, buds, and stem of the clove tree *Eugenia aromatica*; (ii) immersion in a clove oil bath was reported to cause a minimum of pain and distress, and was hence recommended by the European Food Safety Authority for ensuring animal welfare in experimental operations [30]; (iii) it, or its active ingredient, were shown to be as effective as MS-222 in inducing anesthesia in *O. mykiss* but less persistent in fish tissues [31]; and (iv) cortisol in the two fish species herein employed was not secreted in response to an exposure to clove oil or its active ingredients [32–34].

Monitoring of delayed mortality, up to 48 h post-trial, and externally-visible physical injuries caused by the experimental setup, was conducted according to a field-based assessment protocol developed by Mueller et al. [6].

Blood was collected via caudal venipuncture into 2 mL tubes, using 25G and 21G needles on eel (n = 50 per test group) and trout (n = 50 per test group), respectively, paying extreme attention to avoiding hemolysis. Blood was left undisturbed at room temperature (14 °C) for 4 hours. Clots were removed by centrifugation at 2000 × g for 10 minutes, and the supernatant was stored at −20 °C until cortisol quantification.

Mucus was collected from the dorso-lateral surface of specimens (n = 25 per test group per species) using disposable cell scrapers (Jetbiofil, catalog # CSC011025), ensuring the lack of contamination with blood, uro/genital, or intestinal excretions. Mucus was diluted 1:5 w:v with sterile PBS, pH 7.2 (Thermo Fisher Scientific, Waltham, MA, USA, catalog # 20012019), vigorously vortexed for 15 seconds, and centrifuged at 2000 × g for 10 minutes. The supernatant was stored at −20 °C until cortisol quantification.

### 2.4. Cortisol Quantification

Endogenous cortisol was quantified from two biological matrices, i.e., serum and mucus, using a commercial competitive enzyme-linked immunosorbent assay kit, specifically validated on teleosts (Fish Cortisol ELISA Kit, detection range 0.0023–10 ng mL<sup>-1</sup>, catalog # CSB-E08487f, lot # W22064818, CUSABIO TECHNOLOGY LLC), following the manufacturer's instructions. Briefly, all reagents were brought to 25 °C 30 minutes before use. Test samples were diluted, 1:50 v:v (serum) or 1:10 v:v (mucus), with the sample diluent reagent. The cortisol standard was reconstituted with 1 mL of sample diluent, yielding a stock solution of 10 ng mL<sup>-1</sup>, and six 4-fold dilutions were obtained by mixing 50 µL of serially diluted standards with 150 µL of sample diluent. The sample diluent served as the

0 ng mL<sup>-1</sup> standard for curve generation. Reactions were initiated by mixing 50 µL of test samples or standards into individual wells with 50 µL of 1× antibody. Following a gentle linear shake (493 cpm, 60 s), the plate was covered and incubated at 37 °C for 40 minutes, then washed three times with 200 µL of wash buffer. Horseradish peroxidase-conjugate (100 µL) was immediately pipetted into the wells, and the plate was incubated at 37 °C for 30 minutes in the dark. The wash process was replicated five times. Color development was initiated by adding 90 µL of TMB (3,3',5,5'-Tetramethylbenzidine) substrate to each well, and incubating the plate at 37 °C for exactly 10 minutes. This step was stopped by adding 50 µL of 0.16 M H<sub>2</sub>SO<sub>4</sub> (sulfuric acid); 90 s later, absorbance was measured at 450 nm with a BioTek Epoch 2 microplate spectrophotometer (Agilent Technologies, Inc., Santa Clara, CA, USA), in endpoint mode, with 570 nm wavelength correction. All pipetting steps were performed using a freshly calibrated multichannel pipette (MyPIPETMAN Select Multichannel P8×300, Gilson, catalog # FP10015S). Standards, test samples, and blanks were assayed in duplicate. According to the manufacturer, cross-reactivity of the antibody with analogue steroids was negligible, and accounted for 0% for 17-hydroxyprogesterone and 1% for corticosterone (personal communication by CUSABIO technical support). To this end, absorbance data was modeled as

$$y = \frac{a + bx + c}{x^2}$$

where  $y$  is cortisol concentration in ng mL<sup>-1</sup>,  $x$  is optical density, and  $a$ ,  $b$ , and  $c$  are regression coefficients of  $-0.4039057925$ ,  $0.08594757513$ , and  $1.085069259$ , respectively. Intra-assay precision, calculated on three samples of known concentration, replicated 20 times within a single assay, had a coefficient of variation (CV%) of less than 8%; inter-assay precision, calculated on three samples of known concentration tested in 20 assays, had a CV% of less than 10%. Preliminary validation of test samples was conducted on samples representative of each group and species by serial dilution with the kit buffer, evaluating parallelism and optimal dilution factor, and also considering sample volume availability.

### 2.5. Data Analyses

Wavelength correction was performed on and background noise was subtracted from 450 nm absorbance data in Microsoft Excel. Cortisol standard curves for each assay were modeled on CurveExpert Basic, v. 2.2.3, using the CurveFinder feature for unconstrained data fitting with linear, nonlinear, and polynomial (degrees 2 to 4) regressions. Models were ranked according to AICC (Akaike information criterion, corrected for low sample sizes) and correlation coefficient,  $r$ .

Statistical analyses were conducted in the RStudio environment, R version 4.1.0, using the *readxl* package for raw data input [35], the *tidyverse* and *broom* packages for data manipulation and transformation [36,37], the *rstatix* package for statistical testing [38], and the *ggpubr* and *ggtext* packages for annotated data visualization [39,40].

Normality and homoscedasticity assumptions for conducting parametric tests were verified by means of the Shapiro and Levene tests, and through the inspection of model residuals in normal quantile (QQ) plots. Nonparametric tests were conducted, in cases where the raw or natural log-transformed data did not satisfy the assumptions mentioned above. Fish morphometric data were compared among test groups within species with a one-way ANOVA, either Welch-corrected or traditional. Cortisol concentrations from both matrices were compared among test groups within species, via one-way ANOVA or Kruskal–Wallis H test. Post hoc testing was performed using the Tukey honest significant difference test or the Dunn test, respectively, only in case the main effect was statistically significant ( $p < 0.05$ ). Cortisol concentrations per test group per species were analyzed as a function of sampling time (i.e., predictor variable), by linear modeling. Serum cortisol concentrations were compared between “early” and “late” samples (see Section 3.4), by means of an unpaired  $t$ -test (*A. anguilla*) or Wilcoxon rank sum test (*O. mykiss*). The



correlation between blood serum and skin mucus cortisol concentrations were analyzed per test group per species using the Spearman’s rank correlation test.

### 3. Results

#### 3.1. Fish Morphometry

*A. anguilla* specimens in the tg1, tg2, and ctrl groups had total lengths of  $49.48 \pm 3.97$  (mean  $\pm$  standard deviation),  $49.88 \pm 2.67$ , and  $49.54 \pm 2.82$  cm, and body weights of  $266.73 \pm 39.81$ ,  $271.22 \pm 35.14$ , and  $268.59 \pm 36.96$  gr, respectively.

*O. mykiss* specimens in the tg1, tg2, and ctrl groups had total lengths of  $17.98 \pm 0.59$ ,  $18.10 \pm 0.74$ , and  $18.27 \pm 0.60$  cm, and body weights of  $55.08 \pm 5.99$ ,  $55.36 \pm 6.57$ , and  $55.38 \pm 5.18$  gr, respectively.

Fish total length (Welch-corrected ANOVA; *A. anguilla*:  $F_{(2, 95.8)} = 0.26, p = 0.773$ ; *O. mykiss*:  $F_{(2, 97.1)} = 3.13, p = 0.096$ ) and weight (ANOVA; *A. anguilla*:  $F_{(2, 147)} = 0.219, p = 1$ ; *O. mykiss*:  $F_{(2, 147)} = 0.053, p = 1$ ) per species did not differ significantly among experimental groups.

#### 3.2. Physical Damage

None of the fish employed in the trial showed evident signs of suffering. A 100% survival rate, following the exposure to the different flow regimes, was recorded for both species.

All specimens successfully recovered from stage A5 anesthesia within 10 minutes of the end of sampling operations, showing no signs of long-term effects up to 48 h after the end of experiments.

Negligible physical impacts from the experimental setup were reported. A 0.33% rate of pigment anomaly and fin hemorrhage was recorded in *A. anguilla* exposed to the strongest flow velocity; no physical damage whatsoever were identified on *O. mykiss* specimens.

#### 3.3. Cortisol Quantification

Cortisol was quantified in *A. anguilla* and *O. mykiss* from traditional (i.e., blood serum) and unconventional (i.e., skin mucus) matrices, using a final sample dilution of 1:50 *v:v* for both.

Details on the EIA assays are included in Table 2. The relation between cortisol concentration (outcome) and wavelength-corrected absorbance (independent variable) was best modeled by the dose–response Hill equation in all assays conducted, independently of species, test group, or matrix tested. The general form of the Hill equation is:

$$y = \alpha + \frac{\theta + x^\eta}{\kappa^\eta + x^\eta}$$

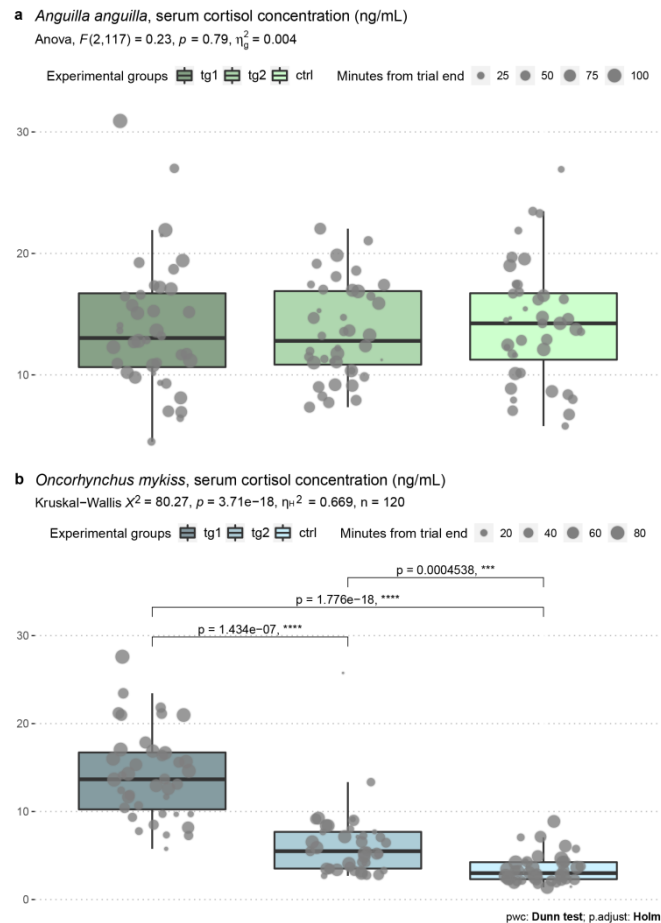
**Table 2.** Results of statistical models ranking, standard error of regressions, and coefficient parameters of EIA assay cortisol standard datasets per species and test group. AICC: Akaike information corrected criterion; r: correlation coefficient; SE: standard error.

Date	Species	Assay	Matrix	Model	AICC	r	SE	$\alpha$	$\theta$	$\eta$	$\kappa$
05/11/2022	<i>O. mykiss</i>	tg1	serum	DR-Hill	-49.999327	0.99997959521394	0.029352300693504	$-4.73 \times 10^{-2}$	$1.25 \times 10^1$	$-3.10 \times 10^0$	$2.21 \times 10^{-1}$
07/11/2022	<i>O. mykiss</i>	tg2	serum	DR-Hill	-52.919306	0.999971475135835	0.0310407373702673	0	$1.02 \times 10^1$	$-4.55 \times 10^0$	$2.66 \times 10^{-1}$
08/11/2022	<i>O. mykiss</i>	ctrl	serum	DR-Hill	-48.649550	0.999951357104034	0.0405347747446875	0	$1.16 \times 10^1$	$-4.68 \times 10^0$	$2.36 \times 10^{-1}$
14/11/2022	<i>A. anguilla</i>	tg1	serum	DR-Hill	-67.501116	0.99997711179028	0.00983069005901328	$-8.19 \times 10^{-2}$	$1.84 \times 10^1$	$-2.58 \times 10^0$	$1.29 \times 10^{-1}$
15/11/2022	<i>A. anguilla</i>	tg2	serum	DR-Hill	-40.225637	0.99993076509465	0.0540671371859781	$-4.87 \times 10^{-2}$	$1.91 \times 10^2$	$-2.26 \times 10^0$	$4.82 \times 10^{-2}$
21/11/2022	<i>A. anguilla</i>	ctrl	serum	DR-Hill	-56.574998	0.999991030724768	0.0194605917542529	$-1.11 \times 10^{-1}$	$1.83 \times 10^1$	$-2.38 \times 10^0$	$1.29 \times 10^{-1}$
22/11/2022	<i>A. anguilla</i>	tg1–tg2–ctrl (10 samples each)	serum	DR-Hill	-57.422141	0.99999193195216	0.0184570248435207	$-6.65 \times 10^{-2}$	$1.61 \times 10^1$	$-2.96 \times 10^0$	$2.24 \times 10^{-1}$
24/11/2022	<i>O. mykiss</i>	tg1–tg2–ctrl (10 samples each)	serum	DR-Hill	-43.465033	0.999907000646847	0.0560471069924146	0	$2.24 \times 10^1$	$-2.73 \times 10^0$	$1.02 \times 10^{-1}$
05/12/2022	<i>A. anguilla</i>	tg1–tg2–ctrl	mucus	DR-Hill	-59.640103	0.999993885485762	0.016067882856762	$-3.07 \times 10^{-2}$	$1.21 \times 10^1$	$-3.73 \times 10^0$	$1.79 \times 10^{-1}$
12/12/2022	<i>O. mykiss</i>	tg1–tg2–ctrl	mucus	DR-Hill	-60.478106	0.999994493579905	0.0152479834979885	$-1.05 \times 10^{-1}$	$1.60 \times 10^1$	$-2.86 \times 10^0$	$1.66 \times 10^{-1}$

#### 3.4. Blood Serum Cortisol Levels

The physiological response to entrainment flow speeds measured in blood serum was species specific.

The mean (median; 95% CI)  $\pm$  standard deviation of cortisol concentration in *A. anguilla* was 14.0 (13.0; 1.72)  $\pm$  5.38 ng mL<sup>-1</sup> in tg1, 13.5 (12.8; 1.25)  $\pm$  3.92 ng mL<sup>-1</sup> in tg2, and 14.2 (14.2; 1.56)  $\pm$  4.88 ng mL<sup>-1</sup> in the control group (Figure 2a). A one-way ANOVA, conducted to identify the effect of test regimes on serum cortisol levels, returned a nonsignificant main effect of flow velocity on cortisol concentration ( $F_{(2,117)} = 0.234$ ,  $p = 0.792$ ), and the predictor variable described 0.4% of the model variance.



**Figure 2.** Concentrations of serum cortisol (ng mL<sup>-1</sup>) quantified in *A. anguilla* (a) and *O. mykiss* (b), with details of statistical analyses. Experimental groups are color coded, and points overlaid on box-plots reflect time in minutes elapsed from the end of trial to sampling operations. Post hoc testing was performed only in case the main effect was statistically significant ( $p < 0.05$ ).  $\eta_g^2$ : generalized eta squared;  $\eta_H^2$ : eta squared based on the H-statistic; pwc: pairwise comparison; asterisks denote degree of statistical significance.

The mean (median; 95% CI)  $\pm$  standard deviation of cortisol concentration in *O. mykiss* was 14.1 (13.7; 1.60)  $\pm$  5.0 ng mL<sup>-1</sup> in tg1, 6.31 (5.49; 1.27)  $\pm$  3.97 ng mL<sup>-1</sup> in tg2, and 3.46 (3.0; 0.53)  $\pm$  1.66 ng mL<sup>-1</sup> in the control group (Figure 2b). The Kruskal–Wallis H test determined a statistically significant main effect of flow velocity on cortisol concentration ( $\chi^2_{(2)} = 80.27$ ,  $p = 3.71 \times 10^{-18}$ ), with the predictor variable describing 66.9% of the model variance. Pairwise comparisons identified strong statistically significant differences among the three experimental groups, and serum cortisol concentration reflected the flume hydraulics proportionally.

All the above-mentioned results refer to the analysis of the first 40 samples, taken within 90 minutes of the end of the trials, and for this reason regarded as “early”.

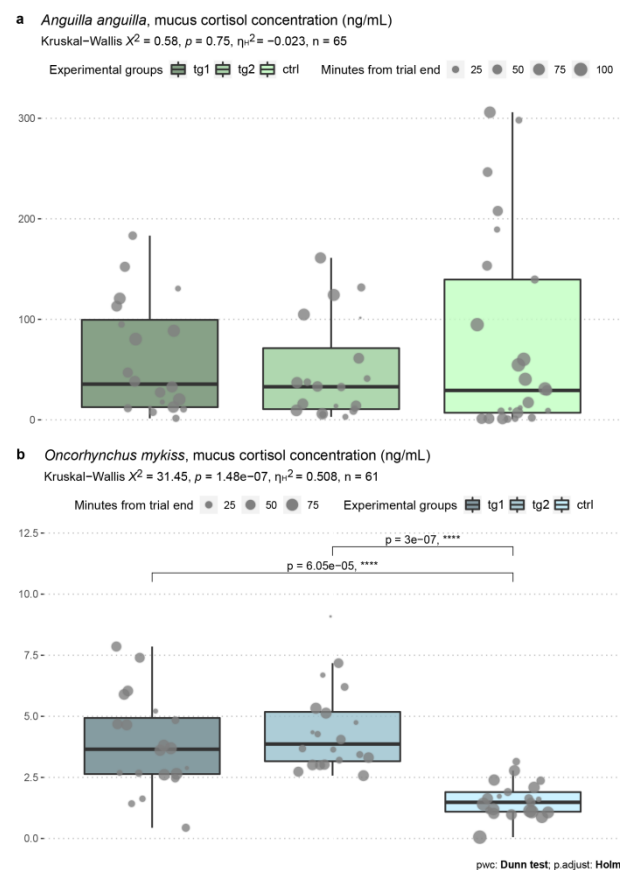
The entire sample size of  $n = 50$  per experimental group was then considered (i.e., samples were not excluded based on sampling time elapsed from trial end), with the

aim of verifying whether sampling time (i.e., minutes elapsed from trial end) influenced serum cortisol concentration. Time, as the predictor variable in linear modeling, was significant for both species (*A. anguilla* tg1: slope = 0.0649,  $R^2 = 0.142$ ,  $p = 7 \times 10^{-3}$ ; tg2: slope = 0.136,  $R^2 = 0.206$ ,  $p = 9 \times 10^{-4}$ ; *O. mykiss* ctrl: slope = 0.0646,  $R^2 = 0.298$ ,  $p = 0$ ), and the relation with cortisol concentration was positive. Serum cortisol concentrations differed significantly between early and late samples for both *A. anguilla* (unpaired *t*-test; tg1:  $t_{(13.52)} = -3.12$ ,  $p = 1.24 \times 10^{-2}$ ; tg2:  $t_{(9.34)} = -3.51$ ,  $p = 1.24 \times 10^{-2}$ ;  $t_{(13.08)} = -3.49$ ,  $p = 1.18 \times 10^{-2}$ ) and *O. mykiss* (Wilcoxon rank sum test; tg1:  $W = 328$ ,  $p = 1.98 \times 10^{-3}$ ; tg2:  $W = 37$ ,  $p = 1.62 \times 10^{-4}$ ; ctrl:  $W = 8$ ,  $p = 1.02 \times 10^{-5}$ ).

### 3.5. Skin Mucus Cortisol Levels

The physiological response to entrainment flow speeds measured in skin mucus samples collected within 100 (*A. anguilla*) and 75 (*O. mykiss*) minutes of the end of the trials, was also species specific.

The mean (median; 95% CI)  $\pm$  standard deviation of cortisol concentration in *A. anguilla* was 60.2 (35.57; 26.06)  $\pm$  55.68 ng mL<sup>-1</sup> in tg1, 47.69 (32.93; 23.02)  $\pm$  49.18 ng mL<sup>-1</sup> in tg2, and 76.95 (29.29; 41.03)  $\pm$  99.4 ng mL<sup>-1</sup> in the control group (Figure 3a). The main effect of flow velocity was nonsignificant according to a Kruskal–Wallis H test ( $\chi^2_{(2)} = 0.57$ ,  $p = 0.75$ ). The effect size magnitude, calculated as eta squared based on the H-statistic, was small ( $n = 65$ ).



**Figure 3.** Concentrations of skin mucus cortisol (ng mL<sup>-1</sup>) quantified in *A. anguilla* (a) and *O. mykiss* (b), with details of the statistical analyses. Experimental groups are color coded, and points overlaid on box-plots reflect time in minutes elapsed from the end of trial to sampling operations. Post hoc testing was performed only in case the main effect was statistically significant ( $p < 0.05$ ).  $\eta^2$ : eta squared based on the H-statistic; pwc: pairwise comparison; asterisks denote degree of statistical significance.

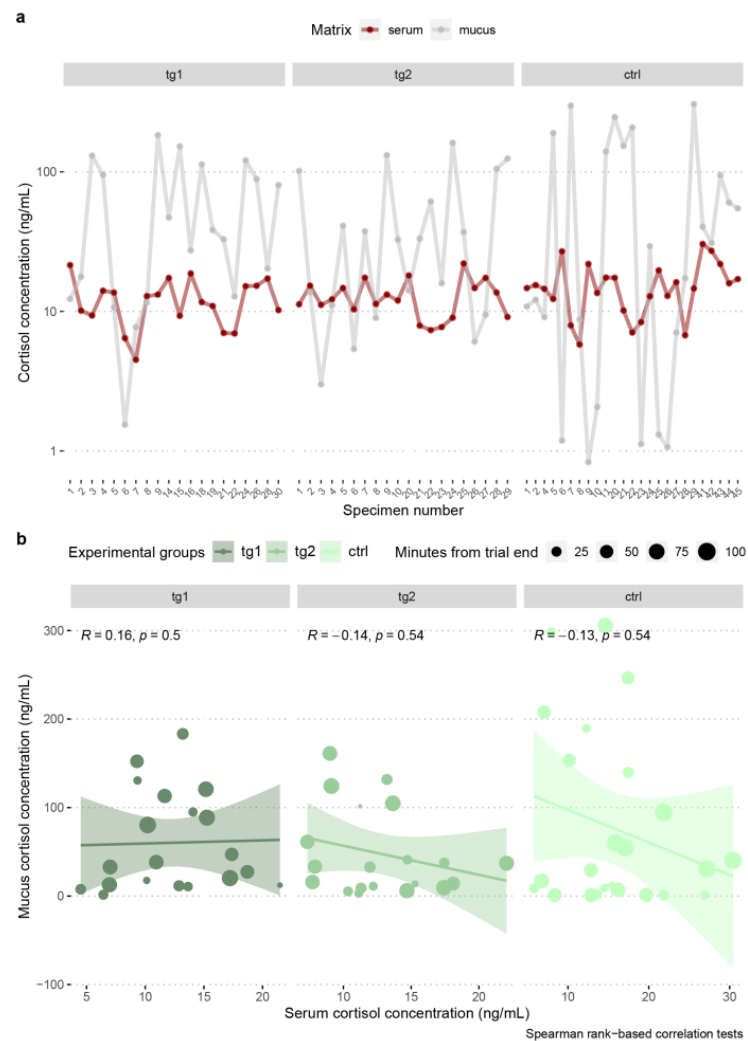


The mean (median; 95% CI)  $\pm$  standard deviation of cortisol concentration in *O. mykiss* was 3.86 (3.65; 0.92)  $\pm$  1.96 ng mL<sup>-1</sup> in tg1, 4.43 (3.86; 0.81)  $\pm$  1.72 ng mL<sup>-1</sup> in tg2, and 1.56 (1.48; 0.32)  $\pm$  0.71 ng mL<sup>-1</sup> in the control group (Figure 3b). The Kruskal–Wallis H test determined a highly significant main effect of flow velocity on cortisol concentration ( $\chi^2_{(2)} = 31.45, p = 1.48 \times 10^{-7}$ ), with an effect size of 0.51 (n = 61). Pairwise comparisons using Dunn’s test indicated that skin mucus cortisol concentrations were higher in tg1 ( $p = 6.05 \times 10^{-5}$ ) and tg2 ( $p = 3 \times 10^{-7}$ ) than in the control group.

### 3.6. Blood Serum–Skin Mucus Cortisol Correlation

To validate skin mucus as an alternative biological matrix, i.e., a proxy of blood serum for cortisol quantification, a correlation analysis between the two was conducted.

Low coefficients of determination and statistically nonsignificant correlations in cortisol concentrations between *A. anguilla* matrices were found in all experimental groups, according to the Spearman’s rank-based method (Figure 4a,b, Table 3).

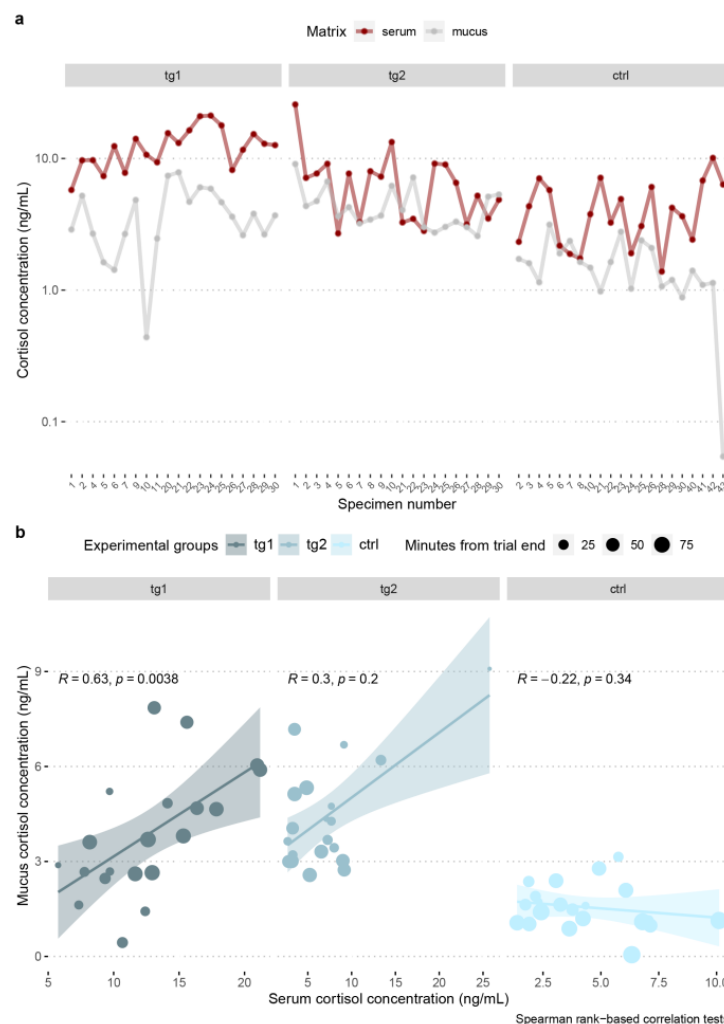


**Figure 4.** Comparison of *A. anguilla* blood serum–skin mucus cortisol concentrations (a), and correlation tests between matrices (b) per experimental group, with indication of the time, in minutes, elapsed from the end of trial to sampling operations, and details of the statistical tests. Colors indicate experimental groups.

**Table 3.** Statistical details of the correlation tests between cortisol concentrations measured in blood serum and skin mucus. r: correlation coefficient.

Species	Group	r	Statistic	p
<i>A. anguilla</i>	tg1	0.16	1116	0.496
<i>A. anguilla</i>	tg2	−0.14	1522	0.542
<i>A. anguilla</i>	ctrl	−0.13	2934	0.539
<i>O. mykiss</i>	tg1	0.63	496	$3.79 \times 10^{-3}$
<i>O. mykiss</i>	tg2	0.3	928	0.195
<i>O. mykiss</i>	ctrl	−0.22	1879	0.337

Cortisol quantification in trout skin mucus in test groups 1 and 2, was positively correlated to circulating cortisol concentrations in *O. mykiss*. Cortisol fluctuations in skin mucus were highly and strongly significantly correlated to those in serum in tg1 ( $R = 0.63$ ,  $p = 3.8 \times 10^{-3}$ ). A negative and nonsignificant correlation between matrices was found in the control condition (Figure 5a,b, Table 3).



**Figure 5.** Comparison of *O. mykiss* blood serum–skin mucus cortisol concentrations (a), and correlation tests between matrices (b) per experimental group, with indication of the time, in minutes, elapsed from the end of trial to sampling operations, and details of the statistical tests. Colors indicate experimental groups.

#### 4. Discussion

The present study evaluated the physiological response of two teleost species, *A. anguilla* and *O. mykiss*, representative of the Anguillidae and Salmonidae families, as a function of the acute exposure to stressors consisting of water flow regimes and fish screens. The nature of this research is clearly an applied one: in addition to hydropower facilities, pumped hydro storage facilities, and cooling water intakes, fish screens are also commonly used in irrigation systems, to divert fish away from pump station diversions. For instance, California's State Water Project and Central Valley Project supply water for irrigation and drinking, from the freshwater portion of the San Francisco Delta to Southern California, via some of the largest water diverting pump stations in the world, and struggle to manage fish mortality via fish screens and system hydrodynamic manipulations [41]; fish mortality is excessive at irrigation diversions throughout Australia, and requires adoption of practices to reduce entrainment and stress [42]; New Zealand also has the potential to reduce fish mortality by adopting screens, situated so as to minimize stress to fishes [43]. Our results may therefore be highly relevant to screen design and operation, not only from a hydropower perspective.

External impacts caused by the experimental setup were negligible: they accounted for a 0% mortality rate, examined up to 48 (*A. anguilla*) and 24 h (*O. mykiss*) post-trial, and extremely low non-lethal injury rates were recorded, in just one of the two species, possibly explainable as pre-existing damage of the two *A. anguilla* specimens. In addition to immediate mortality, fish were monitored after the end of trials for delayed mortality because, as per preliminary fatigue curves analysis and Black [44], a severe muscular activity was expected for fishes belonging to test group 1. Unsurprisingly, these observations differ from Ben Ammar et al. [45]: in that case, the experimental setup evaluated physical damage in *A. anguilla* following downstream passage through a bulb turbine, equipped with four adjustable blades rotating at 176.5 rpm. Numerous studies have reported the severe physical injuries caused to *Anguilla* spp. or salmonid species by well-characterized hydropower mechanisms, especially blade strike: collisions with physical structures of the hydropower plant, either fixed (e.g., stay or guide vanes) or moveable (e.g., turbine blades), are the predominant source of injury and death in fish [46], and result in abrasion, contusions, grinding, striking, fractures, fin amputation, decapitation, eye damage, and scale loss, among other things [9,11,47].

A physiological response was instead enacted. Our data have established baseline cortisol concentrations for two species, relevant to hydropower settings. The basal levels of serum cortisol measured in the two control experimental groups were in line with previous research [48–50], confirming that handling and sampling operations did not interfere with fish physiology, and that the analytical methods employed were reliable.

The mounting of a physiological response was demonstrated to be species specific and, only in rainbow trout, resulted in an approximately 4- and 2-fold elevation of circulating cortisol, compared to undisturbed specimens. Neuroendocrinological stress responses are known to differ in magnitude, timing, and severity, both within (e.g., [51]) and across fish species (e.g., [16]). This was suggested to depend on several factors such as genetic differences across taxa determining diverse susceptibility and homeostatic resilience, the life history and life stage of fish, environmental parameters, and the overall physiological condition at the time of testing [52]. A response may be commonly mounted against a given stressor in some species but not in others: for instance, cortisol increased in rainbow trout but not in the European sea bass *Dicentrarchus labrax* following transport [53]; altered cortisol responses, compared to a reference site, were observed in largemouth bass (*Micropterus salmoides*), brown bullhead (*Ameiurus nebulosus*) and logperch (*Percina caprodes*), but not in white sucker (*Catostomus commersonii*) and pumpkinseed (*Lepomis gibbosus*) inhabiting the same stream [54].

It may be argued that the lack of cortisol elevation in the European eel depended on the short duration of the trial. However, cortisol secretion was demonstrated to be induced as early as 30 minutes post-ACTH injection in *Anguilla japonica* [55], suggesting the prompt

responsiveness of the HPI axis in the *Anguilla* genus. The flow regimes tested herein, which corresponded to 0.5 and 0.3 body length (BL)  $s^{-1}$ , were definitely not physically exhaustive, and were likely not stressful to eel. This possibility contradicts the swimming fatigue curves analysis that preceded the field experiments and which flow speeds were based upon, but is supported by findings of Van Ginneken et al. [48]: the authors measured a vast array of physiological data, including cortisol concentration, from 40 cm BL European eels, following 6-hour sustained swimming experiments, in water flows ranging from 0.25 to 3 BL  $s^{-1}$  (i.e., 10–120 cm  $s^{-1}$ ), and observed no significant differences in any of the parameters up to a swimming speed of 2 BL  $s^{-1}$  (i.e., 80 cm  $s^{-1}$ ). These results seem to suggest a good tolerance capacity and homeostatic competence in the European eel, both from an energetic and metabolic perspective, against acute (up to 6 hours) stressors, and suggest a revision of the fatigue equations developed by Katopodis and Gervais [21]. It must be remembered, though, that numerous factors affect the swimming capacity of fish, such as water temperature, the fish's energy stores related to food supply, and the general health status of the fish, in addition to flow velocity.

In light of the absolute lack of physical injuries or mortality, the moderate elevation of serum cortisol concentration, and the dispersion of the data measured in test group 2 of trout, and applying a precautionary principle approach, the maximum flow speed to adopt in the proximity of fish screens for maximizing energy generation by hydropower, while reducing physiological hazard to Anguillidae and Salmonidae populations, should be lower than 0.2 m  $s^{-1}$ . Testing additional flow velocities in the 0–0.2 m  $s^{-1}$  range would help in identifying the actual critical limit.

Cortisol is produced by interrenal cells of the head kidney, following the activation of the hypothalamus–pituitary–interrenal neuroendocrine axis. It is the predominant corticosteroid in teleosts [56], and exerts its functions through receptors that are ubiquitously expressed in fish organs/tissues, such as in the liver, gills, intestine, kidney, spleen, heart, skeletal muscles, gonads, leukocytes, and erythrocytes [57]. Cortisol mediates/controls essential biological processes under homeostatic conditions such as aerobic/anaerobic metabolism [58], lipolysis, amino acid metabolism and protein turnover (all reviewed in [59]), osmoregulation [60], food intake [61], growth [62], innate immunity [63,64], and reproduction [65]. However, cortisol is promptly released into the bloodstream within minutes of the perception of a threat and, for this reason, it is classified as the primary neuroendocrine stress response, on which downstream events depend [59]. In view of the contemporary general adaptation syndrome [52], stress-associated physiological changes, also known as secondary responses, are vital as they allow the animal to resist and recover from a stressor; however, should the stressor increase in severity and/or frequency, prolonged cortisol elevation in blood results in tertiary responses that negatively impact processes such as growth, behavior and reproduction, ultimately possibly affecting the health and fitness of a population (e.g., [66,67]).

The measurement of circulating cortisol levels was established as a biomarker of acute stress [68], due to its biological significance: several experimental designs, such as in vivo/in vitro tests (e.g., [69,70]) or in laboratory/field conditions (e.g., [71]), quantified it, to assess the impacts of a wide variety of environmental (e.g., temperature, pH, O<sub>2</sub>, and CO<sub>2</sub> concentrations) and anthropic stressors (e.g., capture, handling, alternative feeding regimes), within minutes of exposure. Glucocorticoid quantification is also preferred to the investigation of ACTH or catecholamine release because of methodological advantages [72]: measuring cortisol is convenient because teleost-validated commercial assays exist that offer high sensitivity and excellent specificity, without significant cross-reactivity with cortisol analogues.

A huge knowledge gap on the physiological implications of design and operation of hydropower, potentially linkable to additional water management activities, exists in the literature as, to date, patterns of cortisol and glucose response have been evaluated only by Flodmark and co-workers [19], who exposed rainbow trout to 30 min up- and down-ramping protocols both for short- (i.e., 4 and 12 h) and long-terms (i.e., 1 week). Plasma

cortisol increased to  $60 \text{ ng mL}^{-1}$  two hours after the flow reduction from  $190 \text{ to } 40 \text{ L s}^{-1}$ , and stabilized around  $15 \text{ ng mL}^{-1}$  after an initial peak of  $60 \text{ ng mL}^{-1}$ , when the above fluctuation was experienced daily. The authors concluded that the “reduced water level and flow do not pose more than slight discomfort to the fish”. In our opinion, it is possible that the 1–3 year age range of specimens contributed to the large individual variation in cortisol concentration, hampering the possibility of isolating the actual physiological consequences of flow fluctuation alone, and that habituation or exhaustion of the HPI axis cannot be ruled out *a priori*, since several stress sources, such as electrofishing and fin-clipping, were actually applied within the experimental design. It is also known that chronically-administered stressors disrupt the relationship between cortisol levels and the actual physiological condition of fishes [73,74].

Further knowledge must be generated as to the actual consequences of severe, repeated and/or sustained elevation of circulating glucocorticoids caused by chronic exposure to natural and anthropic stressors. The most recent data, obtained through a combination of *in vivo* and *in vitro* methods, demonstrated that chronically elevated levels of cortisol exert metabolism-impairing and growth-suppressing effects on *O. mykiss* physiology, contributing to decreased condition factor, liposomatic index, food intake, mass gain, liver glycogen, and red blood cell counts; elevated basal oxygen requirement; altered expression of genes involved in food intake regulation; as well as innate and adaptive immunity [75–77]. Such consequences are likely to reflect on higher levels of biological organization [78]. As for *A. anguilla*, taking into account (i) the drastic decrease in branchial aquaporin expression during freshwater-to-saltwater acclimation [79], (ii) the established role of cortisol as a saltwater-adapting hormone for maintaining water and electrolyte balance in marine environments [80], and (iii) the similar aquaporin mRNA downregulation exerted by elevated plasma cortisol [81], an unregulated timing-wise elevation of circulating cortisol concentrations may compromise the successfulness of the species’ reproductive migration. Gathering solid data on the effects of chronic cortisol elevation on the migratory behavior of the species should, then, be prioritized for the definition of solid conservation measures. In general, future perspectives may focus on better understanding the possible relation between prolonged cortisol elevation and additional metabolic or immune consequences in fish.

Despite blood-derived matrices are preferred as they precisely inform on concentration and kinetics of cortisol release, the difficulty and invasiveness associated with blood sampling, as well as the sampling procedure being a source of stress itself, have encouraged the development of quantification methods on alternative matrices, whose collection cause minimum disruption to the animal [53,82]. In this view, in addition to blood serum, skin mucus was collected to assay cortisol and correlate its concentrations between the two matrices, with the underlying hypothesis that lipophilic glucocorticoids diffuse from the blood to the skin mucus in the case of the triggering of the HPI axis. A very low matrix correlation was detected in *A. anguilla*, while mucus cortisol could be measured and statistically correlated with serum levels in *O. mykiss*. Cortisol was detected in skin mucus in response to several stressors [53,83,84], but never with regards to screens, and matrix intercorrelation was explored in rainbow trout by Carbajal et al. [82] who, similarly to our results, found a correlation coefficient of 0.7 between plasma and mucus only at the early phase of the response. The lack of correlation between eel matrices was likely due to the fact that cortisol in skin mucus faithfully reflects acute stress responses in fish serum only if a neuroendocrine response is actually enacted [82], which did not appear to be the case in this study. Based on these data, we propose mucus as a validated matrix for investigating recently experienced threats in a non-invasive and easier manner, sampling-wise, for non-experienced hydropower operators.

## 5. Conclusions

In conclusion, the hormonal responses enacted by eel and trout reflected the distinct susceptibility of the two species to human-imposed stressors. Our work highlights



the importance of broadening the spectrum of analyses, from purely external or internal assessment of physical injuries, to the monitoring of hormonal changes, also using non-conventional matrices. Encompassing animal physiology would allow the truthful assessment of non-lethal effects of water management infrastructures on the fitness of natural populations.

For the specific fish species studied, we also found that sustained swimming against flow speeds as low as 20 cm s<sup>-1</sup> does result in an elevation of hormonal stress levels, and this should be accounted for in the design and placement of fish screens that prevent entrainment into pumps and turbines. According to the experimental design employed in the present study, we are unable to make recommendations as to the maximum exposure time to screens that would not impact the physiology of fish. It would be broadly beneficial for efficient screen or bypass fishways design if future efforts were made to relate the extent of stress response to time of exposure to a given flow velocity.

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**Data Availability Statement:** The data presented in this study are available on request from the corresponding author. The data are not publicly available because the ALPHEUS project is ongoing until 31/03/2024.

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