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Ice water content assessment in the single-, dual-, and triple-frequency radar scenarios

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23 **Abstract**

24 *With the advent of the Global Precipitation Measurement (GPM) mission and the associated*
25 *Ground Validation campaigns, there has been a strong development of studies related to dual-*
26 *frequency and more recently to triple-frequency radar. In this context, one requirement is that at*
27 *least one of the radar frequencies operates in the Rayleigh regime while the others have to ensure a*
28 *measurable difference in reflectivities. A common radar coupling for triple frequency systems is the*
29 *Ku-, Ka-, and W-band.*

30 *Multi-frequency radars, in addition to the classic single-frequency reflectivity (SFR)*
31 *measurement for each frequency, allow a further parameter, the dual-frequency ratio (DFR)*
32 *defined as the ratio between two reflectivities at two frequencies. Referring to the same*
33 *measurement volume, and for a fixed microphysical ice particle model, SFR and DFR allow to*
34 *better constraint parameters of the particle size distribution, such as the mass-weighted mean*
35 *diameter (D_m) and the normalized intercept parameter (N_w) when a normalized gamma distribution*
36 *is assumed.*

37 *This paper deals with various topics with the preliminary purpose of assessing the accuracy*
38 *of the ice water content (IWC) estimate obtained using SFR and DFR methods to evaluate the*
39 *improvements brought by the use of DFR. To pursue this goal, a simple microphysical model was*
40 *used to choose the form of the SFR and DFR estimation algorithms and to evaluate their*
41 *performances in a simulated framework.*

42 *The most important aspect revealed by the study is that the cloud water content (CWC) plays*
43 *a very important role both in the mass vs. diameter relationship as well as in the IWC estimation.*
44 *The combined use of specific radar algorithms according to the different CWC values has shown*
45 *notable improvements for the IWC estimation. Since CWC is not an operational measure, a*
46 *substitute parameter was sought in the (DFR_{aou} , DFR_{woa}) domain defined by the Ka- and Ku-band*
47 *and by the W- and Ka-band measurements. This new parameter provides improvements similar to*
48 *those obtained with the use of CWC.*

49 *Data from the OLYMPEX field campaign that include an airborne triple-frequency radar at*
50 *Ku-, Ka-, and W-band, as well as airborne measurements of in-situ bulk microphysics and*
51 *meteorological parameters were used to validate the robustness of the methodology.*

52

53 **1. Introduction**

54 Upper-tropospheric ice clouds play an important role in affecting the global radiation budget
55 and climate system, as shown by satellite observations and general circulation models. Ice
56 microphysical processes are an important part of cloud and precipitation formation and then of the
57 water cycle since most surface precipitation begins as ice particles (Field and Heymsfield, 2015). In
58 light of these considerations, the importance of accurately estimating ice water content (IWC),
59 which is a central parameter for cloud microphysical studies and for understanding the effects of
60 clouds on the global radiation budget and climate system (Stephens, 2005), appears evident.

61 IWC is defined as the ice mass per unit volume of atmospheric air and it is generally
62 estimated as the particle's mass weighted integral of measured particle size distributions (*PSDs*). An
63 ice crystal model expressing the crystal mass as a function of the particle's diameter is usually
64 assumed, in which the particle's diameter is the diameter of an equivalent sphere that describes the
65 volume occupied by air and ice.

66 In the last decade, several measurement campaigns aimed at characterizing the global
67 atmospheric ice mass were carried out in different climatological regions. In these campaigns [i.e.
68 MC3E (Jensen et al., 2016), GCPEX (Skofronick-Jackson et al., 2015), IPHEX (Barros et al., 2014),
69 OLYMPEX (Houze et al. 2017)], aircrafts were used as platforms for remote sensing instruments
70 and for in-situ measurements of the microphysical, thermodynamic, and kinematic properties of ice
71 crystals. In this way, the characterization of the number and size of ice particles within clouds can
72 be performed both directly with in-situ aircraft probe observations and indirectly with active
73 remote-sensing instrumentation. Near-coincident and near-simultaneous recordings of radar
74 observations in conjunction with in-situ probes sampling of ice crystals form an ideal framework for
75 testing combined retrieval techniques that make use of cloud radar observations.

76 Due to their short wavelengths, cloud radars can be quite sensitive to ice crystals and can be
77 designed to have high temporal and spatial resolutions operating with antennas that have narrow
78 beam widths while maintaining a reasonable size. For this reason, especially for airborne and space-

79 borne platforms, millimeter-wave radar has emerged as an important tool in the identification and
80 characterization of cloud ice crystals as well as their quantification in terms of IWC and snowfall
81 rate estimations.

82 The strong variability in the shape, density, and size of ice crystals contributed to
83 investigating an approach that makes use of dual-frequency radars, which in principle offer one
84 more measurement in the same visited resolution volume than in the single-frequency reflectivity
85 (*SFR*) approach (Matrosov et al. 2005). For the dual-frequency ratio (DFR) approach, the choice of
86 the two frequencies is made in such a way that for one, scattering is in the Rayleigh regime in terms
87 of the monotonic increase of backscatter efficiency with particle size while for the other it is in the
88 Mie regime. In this context, it is possible to define the DFR as the ratio of the equivalent radar
89 reflectivity factors at two different selected frequencies (Matrosov et al., 2005; Liao et al. 2016).
90 This new parameter can be used in rain to estimate D_m , which is defined as the ratio of 4th moment
91 to 3rd moment of the PSD expressed in terms of the liquid equivalent median mass diameter (Liao
92 et al. 2016).

93 The DFR technique represents an important step forward in realistically assessing ice cloud
94 parameterization and has greater potential for estimating IWC. Nevertheless, the limit determined
95 by the fact that the equivalent reflectivity factor depends on the backscattering cross-section of the
96 ensemble of the particles inside the resolution volume, and only indirectly on their mass through the
97 particle's dielectric constant, remains unavoidable. Consequently, any IWC estimates based on
98 radar reflectivity factors will show high uncertainty, thus making it difficult to choose a single ice
99 model to represent the actual population of ice particles.

100 The problem would be relieved, as is the case for raindrops, if there were an appropriate
101 particle habit that well represents the majority of the ice particle population. Unfortunately, in-situ
102 observations of ice cloud particles have consistently shown both their complex geometry and the
103 presence of different habits in the same sampling volume. The size of ice cloud particles ranges
104 from microns to centimeters and their habits vary from simple pristine ice crystals to extremely

105 irregular aggregates (Heymsfield et al., 2002; Heymsfield, 2003). This variability, due to changing
106 growth regimes in different temperature conditions, generates significantly different microwave
107 scattering properties, and no reliable method yet exists to directly estimate ice habits from
108 microwave remote sensing.

109 To quantify scattering properties, assumptions about ice habits must be made that can result
110 in large uncertainties in derived estimates of IWC. Consequently, in recent years many studies have
111 been devoted to investigating the scattering properties of ice crystal shapes using more realistic
112 modelling. In some cases, this has allowed the creation of databases providing scattering parameters
113 such as backscatter cross-section and extinction coefficient as a function of particle size for various
114 ice types (Tyynelä et al., 2011; Leinonen et al., 2012; Hogan and Westbrook, 2014; Ori et al., 2014;
115 Leinonen and Szyrmer, 2015; Kuo et al., 2016).

116 Both scattering simulations using soft spheroid particle models and databases for different
117 ice habits have shown that the combination of Ku-, Ka-, and W-band frequencies could be of
118 particular relevance for discriminating between different ice habits (Petty and Huang, 2010; Kneifel
119 et al., 2011; Leinonen et al., 2012; Kulie et al., 2014; Tyynelä and Chandrasekar, 2014; Leinonen
120 and Moisseev, 2015; Leinonen and Szyrmer, 2015). The domain defined by the DFRs between Ka-
121 and Ku-reflectivity (DFR_{kou}) versus the DFRs between W- and Ka-reflectivity (DFR_{woa}) revealed a
122 separation between the aggregate and the spheroid particle models. Therefore, thanks to the ability
123 of classifying various ice types, the combined use of the triple-frequency radar reflectivity
124 signatures is expected to provide more accurate quantitative estimations of ice water content.
125 However, it is worth noting that DFR_{kou} vs. DFR_{woa} trend for dendrites and needle aggregates has
126 shown a highly non-monotonic behavior, leading to have two values of DFR_{kou} for a fixed DFR_{woa}
127 (Petty and Huang, 2010).

128 This study is aimed at developing IWC algorithms based on DFR methods and evaluating
129 their accuracy compared to SFR algorithms. A very important result of the study is to highlight the
130 benefit that the knowledge of cloud water content (CWC) has both in determining the mass-size

131 relationship and in estimating IWC. The combination of several estimation algorithms, each of them
132 tuned according to some CWC intervals, shows a better performance than using a single algorithm.
133 As CWC is usually unavailable in operational contexts, a replacement parameter in the DFR
134 domain was identified, making the proposed methodology independent of the availability of
135 ancillary information. Data from the OLYMPEX field campaign that include an airborne triple-
136 frequency radar at Ku-, Ka-, and W-band, as well as airborne measurements of in-situ bulk
137 microphysics and meteorological parameters, were used to validate the results.

138 The paper is organized as follows: Section 2 describes the equations governing the scattering
139 properties and the bulk cloud microphysics of ice particles. Section 3 gives an overview of the field
140 measurement campaign from which the in-situ data were extracted for algorithm validation. Section
141 4 provides a summary of the most common empirical mass-size relationships for deriving IWC and
142 the relative results obtained by applying them to the field measurements. Section 5 shows
143 algorithms found relating IWC measurements to the coincidental PSD measurements from the field
144 campaign without assuming any predetermined relation. Section 6 describes IWC algorithms based
145 on the reflectivity measurements and in combination with the DFRs. Section 7 evaluates the
146 behavior of algorithms using both simulated and experimental measurements of collocated triple-
147 frequency radar observations and in-situ microphysical measurements of IWC. Section 8 describes
148 a new way of looking at the DFR domain and, more precisely, how use it to obtain improved
149 estimates of bulk parameter such as the IWC. Finally, the summary and conclusions are drawn in
150 Section 9.

151

152

153 **2. Bulk cloud microphysics and scattering properties of ice particles**

154 Basically, a single-frequency weather radar observes any liquid or solid hydrometeor
155 through the backscattered power it receives after transmitting electromagnetic radiation in the

156 atmosphere. The received power $P(r)$, which is a function of the distance between the radar and the
 157 ensemble of hydrometeors (r), is proportional to the measured radar reflectivity factor $\xi_m(r)$ through

$$158 \quad P(r) = \frac{C}{r^2} L \xi_m(r) \quad (1)$$

159 where C is the radar constant characterized by the radar system properties and L is the loss factor
 160 that take into account the attenuation of signal propagating through a medium filled by
 161 hydrometeors. An equivalent radar reflectivity factor $\xi_e(r)$ is defined as:

$$162 \quad \xi_e(r) = \frac{\lambda^4}{|K_w|^2 \pi^5} \int_0^\infty N(D) \sigma(D) dD \quad (mm^6 m^{-3}) \quad (2)$$

163 where $N(D)$ is the particle size distribution (PSD), $\sigma(D)$ is the backscattering radar cross-section of
 164 particles with diameter D , K_w is the complex dielectric factor of water, and λ is the radar
 165 wavelength. One key element in (2) is the *PSD*, which is defined as the number of particles per unit
 166 volume per unit size interval (D to $D+\Delta D$). A gamma distribution model has been successfully used
 167 to adequately describe many of the natural variations in rain of the particle size distribution (Ulbrich
 168 1983). In nature, $N(D)$ is characterized by a wide variability. To avoid a statistical dependence of
 169 the gamma distribution parameters, Testud et al. (2001) proposed scaling the raindrop size D and
 170 $N(D)$ in such a way that *PSDs* are independent of the mass-weighted mean diameter (D_m) relation
 171 and liquid water content (LWC). Delanoë et al. (2005) extended this concept to ice particle size
 172 distribution. Therefore, a normalized gamma function is described in terms of three physical
 173 quantities: the normalized intercept (N_w), which is a function of the LWC; the mass-weighted mean
 174 diameter (D_m); and the shape factor μ . The relation is represented by the equation

$$175 \quad N(D) = N_w f(\mu) \left(\frac{D}{D_m} \right)^\mu \exp(-\Lambda D) \quad (mm^{-1} m^{-3}) \quad (3)$$

176 where

177
$$f(\mu) = \frac{6}{(3.67)^4} \frac{(3.67 + \mu)^{\mu+4}}{\Gamma(\mu + 4)}, \quad (4)$$

178
$$\Lambda = \frac{\mu + 4}{D_m} \quad (mm^{-1}) \quad (5)$$

179 and D_m for liquid is the ratio between of the fourth to the third moment of the PSD

180
$$D_m = \frac{\int_0^{\infty} N(D) D^4 dD}{\int_0^{\infty} N(D) D^3 dD} \quad (mm) \quad (6)$$

181 and

182
$$N_w = \frac{4^4}{\pi \rho_w} \left(\frac{LWC}{D_m^4} \right) \quad (mm^{-1} m^{-3}). \quad (7)$$

183 where ρ_w is the density of water and LWC is proportional to the third moment of the PSD as follows

184
$$LWC = \frac{\pi \rho_w}{6} \int_0^{\infty} N(D) D^3 dD \quad (g m^{-3}) \quad (8)$$

185 Therefore, the statistical distribution of the cloud particle size in the radar-sampled volume is
 186 characterized by the two parameters N_w and D_m if μ is obtained through some assumptions.
 187 Williams et al. (2014) showed that for rain μ is not totally independent by N_w and D_m , and it can be
 188 related to them through an empirical relationship. More recently, Borque et al. (2019) found a
 189 similar relationship for ice crystals. Hence, using a statistically μ - D_m relationship for ice phase, the
 190 unknown parameters characterizing the PSD can be reduced to two and therefore they can be found
 191 solving a system of two independent equations. Moreover, atmospheric attenuation from
 192 hydrometeors, cloud water, and water vapor generally increases with radar frequency. However,
 193 analysis on different ice types show that attenuation is quite small at the Ku- and Ka-bands but can
 194 be significant at W-band. Thus, ξ_e in general must take the attenuation into account:

195
$$\xi_e = A(r) \xi_m \quad (9)$$

196 where $A(r)$ is the two-way path attenuation of the wave at distance r .

197 IWC is a central parameter for cloud microphysical studies for its fundamental implications
198 with regard to the effects on the global radiation budget and climate system. IWC is defined as the
199 cloud mass of ice per unit volume of atmospheric air

$$200 \quad IWC = \int_0^{\infty} m(D)N(D)dD \quad (g m^{-3}) \quad (10)$$

201 where $m(D)$ is the mass of ice crystals having diameter D . In light of what has been argued above,
202 equation (10) can be represented as a function of at least three variables, N_w , D_m , and ρ_e , which is
203 the density of the mixture (or effective density) of the ice particle. Therefore, to describe ice cloud
204 integral properties one needs to know at least three parameters or know relations among some of
205 these parameters to decrease the degree of freedom. Unfortunately, no reliable relationship between
206 ice crystals' size and particle density is known.

207 An appropriate formulation of hydrometeor microphysics is a prerequisite for modeling
208 radiative properties. In recent years, a suitable formulation to approximate more realistic ice crystals
209 when computing their microwave-scattering properties was proposed in the Discrete Dipole
210 Approximation (DDA) (Liu 2008; Kulie et al., 2010; Tyynelä et al., 2011; Botta et al., 2011;
211 Leinonen et al., 2012). From a practical point of view, within the same cloud, the structure of each
212 single ice crystal is random and unpredictable, as well as the ice crystals' habits, which occur in a
213 virtually limitless variety of geometries. This behavior arises from the fact that an ice crystal is
214 affected by different environmental conditions as it travels through the cloud, leading to the
215 conclusion that it seems unrealistic to assume that only a single crystal shape is present within a
216 cloud. It follows that the scattering properties of a radar measurement volume containing an ice
217 crystal ensemble will be the result of individual backscattering from different ice shapes.
218 Unfortunately, there is no single parameter that can give comprehensive information about the
219 particles' microphysical properties.

220 An alternative approach is the soft sphere approximation, i.e. those particles that thanks to
 221 their irregular low density, are optically “soft”, namely their equivalent refractive indices are close
 222 to 1, that provides a means to compute the scattering properties of a single ice particle having the
 223 shape of a sphere or a spheroid. This simplified method is computationally very efficient. In this
 224 approach, the soft particle is assumed to consist of a homogeneous ice and air mixture where its
 225 effective density ρ_e , is less than the density of pure ice (Matrosov, 1998). It is worth noting that
 226 while the soft spheroid assumption is acceptable for Ku-band and possibly even for Ka-band, it is
 227 not always appropriate for W-band because can lead to a crude approximation.

228 With the advent of the GPM era, these retrieval methods have been applied to dual-
 229 frequency space-borne radars that made possible to infer the microphysical and radiative properties
 230 of ice clouds (Ni et al. 2019), avoiding the uncertainty related to effect on the radar signal due to the
 231 melting layer experienced by ground-based observations. The use of two radar frequencies is
 232 appealing because it allows defining a dual Dual Frequency Ratio (DFR) as the ratio between the
 233 two reflectivity factors ξ_l and ξ_2 , where 2 and 1 represent the lowest and the highest frequency,
 234 respectively,

$$235 \quad DFR = \frac{\xi_{e1}}{\xi_{e2}} = \frac{(\lambda_1)^4 \int_0^{\infty} N(D) \cdot \sigma_1(D) dD}{(\lambda_2)^4 \int_0^{\infty} N(D) \cdot \sigma_2(D) dD} \quad (11)$$

236 that shows a predictable relation with D_m and has the advantage of being quite immune to variations
 237 of particle density ρ_e , N_w , and μ . Consequently, the use of DFR can give independent estimates of
 238 hydrometeor effective size with a greater precision with respect to more established radar methods
 239 (Matrosov 1998; Liao et al., 2016).

240 From (2) and (3), it would seem that DFR is independent of N_w and, once μ is fixed, it is a function
 241 of D_m alone. However, in practice, equation (11) is the result of different effects due to the

242 polydisperse ensemble of ice particle habits and masses, and therefore the quantity D_m can be
243 considered a statistical parameter (Sy et al., 2020).

244 In recent years, modeling studies based on monodisperse hydrometeor habits have observed
245 that, since backscattering cross-sections are a function of frequency, by combining DFRs computed
246 using different pairs of frequencies, it may be possible to define a domain in which the different
247 hydrometeor classes occupy distinct regions (Liu 2008; Kneifel et al., 2011). More specifically,
248 such domain is usually defined by the DFR computed using Ka and Ku bands and the DFR
249 computed using W and Ka band, represented along the ordinate and abscissa, respectively. More
250 recently, although in qualitative form, verification studies have been conducted using airborne radar
251 observations with coincident airborne microphysical measurements that have confirmed the
252 theoretical results obtained from the simulation models (Kulie et al., 2014; Chase et al., 2018).

253

254

255 **3. The OLYMPEX field measurement campaign**

256 In order to pursue the goals of this study, an extensive use was made of the data collected
257 during the Olympic Mountains Experiment (OLYMPEX). This measurement campaign, part of the
258 Global Precipitation Measurement (GPM) Mission Ground Validation program, took place between
259 November, 2015, and January, 2016, and was focused on the characterization of mid-latitude frontal
260 rain and snow over the complex terrain of the Olympic Peninsula region of Washington State
261 (Houze et al. 2017).

262 During OLYMPEX, two aircrafts were used: the University of North Dakota (UND)
263 Citation and the National Aeronautics and Space Administration (NASA) DC-8. The UND Citation
264 was equipped with state-of-the-art instrumentation to give the best possible representation of the
265 cloud microphysical conditions. The instruments include, among others, the NCAR Particle Probes
266 (NPP), providing in-situ PSDs over the size range from about 50 μm to 3 cm; the Nevzorov (NEV)

267 probe, providing both the total water content (TWC) and the liquid water content (LWC); the King
268 Probe giving a further measure of the liquid water content (KLWC); the Rosemount ice detector
269 (RID) and the Cloud Droplet Probe (CDP), giving the cloud liquid water content (CWC) by
270 measuring the concentration and size distribution of cloud droplets in the size range from 2-50 μm .

271 Actually, NPP combines spectra obtained from two optical array probes: the array of a 2-
272 Dimensional Stereo (2DS) probe (Lawson et al., 2006) and the High Volume Precipitation
273 Spectrometer Version 3 (HVPS3) probe (Heymsfield et al., 2015; Giangrande et al., 2016) both
274 equipped with antishattering tips and processed using the technique described in Field et al. (2006).
275 The Nevzorov probe (Korolev et al., 1998) is a constant-temperature hot-wire probe and consists of
276 two separate sensors, one for measuring LWC and the other for TWC. The King probe is an
277 additional wire-based probe that alters its resistance as the encountered liquid water evaporates
278 whose variation provides the KLWC. The RID is an oscillation probe whose operating principle is
279 determined by the decrease of the vibration frequency caused by the ice accumulation above the tip
280 of the sensor providing atmospheric icing rates and cloud liquid water contents. The CDP is a
281 forward-scattering optical spectrometer in which cloud droplets passing through a focused beam of
282 a diode laser can be detected allowing to evaluate the effective droplet radius, the total droplet
283 number concentration and the cloud water content.

284 The DC-8 carried the Airborne Precipitation Radar Third Generation (APR3). APR3 is a
285 triple-frequency radar operating at Ku-, Ka-, and W-band obtained as an enhanced version of the
286 APR2 radar (Sadowy et al., 2003) with the addition of the W-band channel. The radar looks
287 downward and scans its antenna across track from 25° to the left and right of nadir once every 2
288 seconds and with the range gates 30 m apart (Tanelli et al., 2006).

289 Validation of the reflectivity calibration was performed against the ocean surface return
290 (Tanelli et al., 2006). Moreover, in the highest part of the cloud, where there are usually very small
291 ice particles for which it is possible to hypothesize a Rayleigh scattering for all the three radar

292 frequencies, the reflectivities were compared with each other and showing a spread of about 1 dB.
293 Furthermore, with these reflectivity measurements, the distribution as a function of the bin position
294 was found for determining the minimum detectable signal at each frequency and verified the
295 absence of bias in the multifrequency radar observations, i.e. the power ratios between the bands
296 Ku/Ka, Ka/W, and Ku/W.

297 During the OLYMPEX campaign, the DC-8 aircraft flew above the clouds at mostly
298 constant altitude (10 km) while the Citation flew at lower altitudes, performing constant altitude
299 flights through the clouds. The flight plans ensured that the APR3 intersected the Citation to allow
300 near-coincident measurements of radar and microphysical data.

301 In this study, for a more accurate and in-depth investigation of cloud ice microphysical
302 conditions the combined measurements of the NPP, the Nevzorov, the King probes, the RID and
303 CDP are used to evaluate the contribution of the triple-frequency radar to the knowledge of the bulk
304 cloud properties with particular attention to the ice water content estimation.

305

306

307 **4. Ice water content and particle size distribution**

308 Equation (10) shows that the IWC can be derived from the distribution of ice particle sizes
309 once the mass-size distribution within each particle size is known. In practice, depending on the
310 instrumentation used, the hydrometeors are detectable within finite size intervals ranging from a
311 minimum (D_{min}) to a maximum diameter (D_{max}). Then, (10) is practically obtained as a sum as

$$312 \quad IWC = \sum_{D_{min}}^{D_{max}} N(D)m(D)\Delta D. \quad (12)$$

313 Due to the irregular shape of ice crystals, the definition of D , for which no standard convention
314 exists, plays a critical role as it can change the bulk cloud properties when they are determined from
315 observed PSDs. In this study, D is considered to be the diameter of the sphere that entirely contains
316 the ice particle. This implies that, from a practical point of view, D is not directly related to the
317 particle's mass m and, consequently, it is necessary to use empirical m - D relations.

318 In-situ measurements have indicated that the density of snowflakes commonly decreases
319 with their size. The empirical expressions most frequently used to predict the mass for various types
320 of ice crystal shapes are expressed through a power law (Heymsfield et al., 2004; McFarquhar et al.,
321 2007; Brandes et al., 2007) as

$$322 \quad m = \frac{\pi}{6} \rho_e D^3 = aD^b \quad (g) \quad (13)$$

323 where m is again in grams, D is in centimeters, ρ_e is the particle effective density, a is the pre-factor
324 coefficient (in cgs units), and b is the exponent of the power law. The ice hydrometeor mass usually
325 increases with size more slowly than its volume, thus requiring the density ρ_e to be inversely
326 proportional to the diameter D and therefore, the exponent b generally is less than 3. The
327 coefficients in (13) on average depend on the ice crystal habits, temperatures, and particle sizes that
328 are present in the cloud, contributing to a wide set of different mass-diameter relationships. Specific
329 relations for each distinct habit could not find an effective application in the retrieval of the mass
330 because, in a cloud, the habits of the ice crystals are not known a priori and, in reality, they are of
331 mixed and complex types as they arise from the multiple meteorological conditions that exist in
332 clouds.

333 For a general characterization of the in-situ measurements collected from the Citation
334 aircraft during the OLYMPEX campaign, an appropriate way is to start by observing to what extent
335 the classical m - D relationships are able to describe the bulk property behavior. For this purpose, it
336 was convenient to choose a set of m - D relationships that are general enough to encompass the
337 widest spectrum of weather conditions. Because of its ability to represent a wide range of habits

338 such as aggregates of unrimed bullets, columns, side planes, and quasi-spherical particles, the
339 extensively used Brown and Francis (1995) relationship (hereafter BF95) was selected. In addition,
340 the two parametrizations put forth by Heymsfield et al. (2004) were used because of their capability
341 to describe the variability of the conditions encountered by ice crystals in convective and stratiform
342 storms. The first of the two relations (hereafter H04syn) was found from synoptic systems that are
343 typically responsible for winter snowfall, whereas the second one (hereafter H04cnv) was
344 originated from convective clouds. A further algorithm by Heymsfield et al. (2010) was used in this
345 analysis (hereafter H10all). The H10all algorithm was found by imposing the condition that it had
346 the best performance regardless of values of the IWC and temperature present in the cloud. It was
347 developed using a large dataset of PSD and IWC aircraft observations, obtained by merging six
348 datasets collected during distinct campaigns conducted under different weather conditions.

349 Eventually, the additional relationship for the unrimed or lightly rimed aggregate snowflakes
350 obtained by Szyrmer and Zawadzki (2010) (hereafter SZ10ave) was used in this paper. In this case,
351 the coefficients of (13) were found by averaging the coefficients a and b of nine snowflake events
352 regardless of the ground temperature. The nine relationships were derived from a dataset of low-
353 density snow aggregate measurements collected by a ground-based optical disdrometer. Table I
354 summarizes the coefficients a (in cgs units) and b of (13) corresponding to the selected m - D
355 relations described above. Each entry in Table I represents a pair of parameters in (13) that we
356 indicated with the vector \mathbf{p}_{LI} , where “ \mathbf{p} ” and subscript “ LI ” stand for parameters and from the
357 literature, respectively. As a result, when we put (13) into (12) we have an $IWC(\text{PSD}, \mathbf{p}_{LI})$ estimate
358 that depends on a PSD and coefficients \mathbf{p}_{LI} . In general, the notation $IWC(x, \mathbf{p}_y)$ indicates the IWC
359 estimate obtained using input data identified by the string x and the vector of coefficients \mathbf{p}_y .

360 The main problem to validate any ice microphysics parameterization is to verify the
361 performance of its outputs with respect to the real environmental measurements keeping in mind
362 that each measuring instrument has its limitations and sources of error. Unfortunately, this one still

363 remains a long-standing experimental problem exacerbated by the fact that ice is mostly present in
364 mixed-phase and the separation of ice from water presents technical difficulties.

365 Cloud IWC from airborne measurement is derived directly by bulk microphysical probes
366 and during the OLYMPEX campaign, the Citation aircraft was provided with state-of-the-art
367 instrumentation for making the most reliable cloud microphysical measurements.

368 The cloud spectrometer and impactor (CSI) provides a good measure of IWC after
369 separating cloud droplets and ice crystals from interstitial water vapor (Twohy et al., 1997).
370 Unfortunately, it could not be used because during the campaign it was not trustworthy.

371 In the context of the Olympic measurement campaign it was evaluated that the most suitable
372 and trustworthy aircraft instruments to characterize the cloudy environmental physical conditions
373 were the King probe for the liquid water content (KLWC) and the Nevzorov probe for the total
374 water content (TWC). Therefore, in cloud regions with temperatures below the freezing point, the
375 positive values of the differences

$$376 \quad EIWC = TWC (Nevzorov) - KLWC (King) \quad (14)$$

377 were taken as measure of the environmental Equivalent Ice Water Content (EIWC). In this way, it
378 was possible to overcome the lack of the IWC measure provided by the counterflow virtual
379 impactor (CVI) that was not functioning properly during the OLYMPEX field campaign. It should
380 be emphasized that EIWC will be affected by an error that depends on the errors of the King and
381 Nevzorov probes that have unknown magnitudes as they depend on the environmental conditions
382 encountered. In particular, these two errors are of opposite sign: in fact, the first is determined by an
383 overestimation of the LWC provided by the King resulting from the interaction of ice crystals with
384 hot wire (Cober et al., 2001), while the second results in an underestimate of the Nevzorov IWC by
385 a non-negligible amount when ice particles are relatively large $D > 4 \text{ mm}$ (Korolev et al. 2013). In
386 fact, even with the deep dish probe, they can bounce out of the collection cone or can hit the edge of

387 the inlet and fragment, with the fragments not entering the inlet where they are sensed. This
388 situation is such that underestimation is minimal at the lower IWCs and increases as the IWC
389 increases reaching factors greater than 2 (Abel et al. 2014). Within the above mentioned caveat,
390 considering the OLYMPEX dataset, we compared the calculation of $IWC(PSD, \mathbf{p}_{LI})$ using (12) with
391 measurements of (14). For this comparison, only those samples collected at temperatures below 0
392 °C were selected which, as shown in Figure 1, represent the condition under which the vast majority
393 of airplane measurements were carried out. Besides, we considered only those PSDs that registered
394 at least one count for three size bins larger than 137.5 microns (fifth bin) that is the bin closest to
395 150 microns (Kingsmill et al. 2004). Following this selection procedure, we found a total of 100220
396 of 1-s PSDs with a valid measure of EIWC.

397 Table II depicts the error performance of the various m-D parameterizations of Table I with
398 respect to the reference EIWC. To quantify the performance of each algorithm the following merit
399 factors are considered: the normalized standard error (NSE) defined as the root mean square error
400 normalized to the true mean value of the entire dataset, the normalized bias (NB) as the mean
401 difference normalized to the true mean value, for which a negative value means an overestimation
402 of the estimated value, and the Pearson correlation coefficient (ρ) as the measure of the strength of a
403 linear relationship between the two variables being compared.

404 The analysis shows a good behavior of ρ for all the algorithms ranging between the lower
405 0.7582 of the H04cnv and the upper 0.8793 of the SZ10ave whereas NSE and the NB exhibit poor
406 behavior for all the algorithms, with BF95 having the lowest values of NSE and NB equal to 1.36
407 and -0.87, respectively. Figure 2 shows the 2-D histogram between the direct EIWC measurements
408 versus the corresponding estimate $IWC(PSD, BF95)$ for the entire dataset.

409 These comparisons are mainly affected by the assumptions made regarding the ice crystal
410 density and its habit, which are, among other things, influenced by temperature and by riming.
411 Riming is a process involving the collection of supercooled water droplets of few microns in

412 diameter onto the ice surface. To get insights on the performance of the different algorithms with
413 respect to the meteorological conditions, in situ ancillary measurements of temperature and CWC
414 were taken into consideration.

415 For each frequency group, a and b parameters in the m-D relation were found by minimizing
416 the difference between the IWC obtained by (12) and the measured EIWC. By comparing the
417 various a and b parameters obtained (not shown), we verified a substantial similarity among them
418 with small differences among the respective merit factors. From this result, we deduced that the
419 IWC measurements alone do not allow discriminating against the different ice conditions.

420 The CDP provides a quantitative measurement of the liquid content and therefore it can
421 facilitate a more precise partitioning. In this perspective, to evaluate the effect of riming on ice mass
422 relations, the CDP was used as an independent measure of the CWC produced widely by the small
423 supercooled drops (2-50 μm) that are present in very large numbers in mixed-phase clouds. These
424 measurements are generally affected by underestimation due to non-sampling of the largest drops
425 and by a bias that is strongly concentration-dependent, both of unknown magnitudes.

426 Taking into account the distribution of the CWC measurements, an arbitrary subdivision was
427 made into six class according the intervals: *i*) $\text{CWC} \leq 10^{-5} \text{ g/m}^{-3}$, *ii*) $10^{-5} < \text{CWC} \leq 10^{-3} \text{ g/m}^{-3}$, *iii*) $10^{-3} < \text{CWC} \leq 10^{-2} \text{ g/m}^{-3}$, *iv*) $10^{-2} < \text{CWC} \leq 10^{-1} \text{ g/m}^{-3}$, *v*) $10^{-1} < \text{CWC} \leq 1 \text{ g/m}^{-3}$, *vi*) $\text{CWC} > 1 \text{ g/m}^{-3}$. The
429 behavior of the mean difference between the in-situ measurements of EIWC with the respective
430 estimates obtained by each \mathbf{p}_{LI} as a function of the cloud temperature and the CWC were analyzed.
431 Figure 3a shows the trend of the mean differences between EIWC measurements and IWC(PSD,
432 \mathbf{p}_{LI}) as a function of temperature. It is evident that for temperatures lower than $-30 \text{ }^\circ\text{C}$ the
433 performance of each algorithm is quite good and has almost negligible bias, with H04cnv
434 presenting the best behavior. As the temperature increases, each algorithm has an increasing
435 negative mean difference indicating an overestimation with respect to the corresponding in situ
436 measurements. In this context H10all and BF95 have the least variation with temperature and the

437 latter shows the best performance. Figure 3b summarizes the analysis of the behavior of the five
 438 algorithms in the presence of cloud water described in terms of CWC. Moving from almost dry
 439 environments (10^{-5} g/m^3) to increasing degrees of water content, the algorithms reveal different
 440 behaviors with the common tendency to overestimate EIWC, similarly to the increase in
 441 temperature of Fig. 3a. In addition, in this case BF95 performs better, presenting the lower
 442 overestimation. Furthermore, it should be stressed that for $\text{CWC} > 0.1 \text{ g/m}^3$ the algorithms show a
 443 strong overestimation with the only exception for H04cnv. This overestimation could be because of
 444 the known Nevzorov probes deficiencies (Korolev et al. 2013; Abel et al. 2014).

445

446

447 **5. Tuned IWC algorithm for the OLYMPEX dataset**

448 For a more specific comparison between the IWC estimates and the EIWC measurements, it
 449 is convenient to tune the parameters of (13) by minimizing the differences between $\text{IWC}(\text{PSD}_{pp}^{\hat{\mathbf{p}}})$
 450 and EIWC, where the subscript pp indicates that parameters are obtained using experimental data
 451 from a particle probe. The estimated coefficients $\hat{\mathbf{p}}_{pp}$ were obtained by considering coincident
 452 observations at all temperatures below $0 \text{ }^\circ\text{C}$.

453 For the OLYMPEX experimental dataset, the coefficients $\hat{\mathbf{p}}_{pp}$ are $a=1.92 \times 10^{-3}$ and
 454 $b=2.044$, while the performance of $\text{IWC}(\text{PSD}_{pp}^{\hat{\mathbf{p}}})$ in terms of the merit factors is characterized by
 455 $\text{NSE}=0.53$, $\text{NB}=0.13$ and $\rho=0.8735$.

456 Following the procedure used above, the a and b parameters were found for each of the
 457 considered CWC intervals, allowing computing $\text{IWC}(\text{PSD}^i, \hat{\mathbf{p}}_{pp}^{ci})$ where ci identifies one of the
 458 CWC class intervals defined above. Table III shows the parameters for the different CWC classes,

459 while Fig. 4a shows the exponent b as a function of the prefactor a (black star-ring) highlighting an
 460 evident relationship between the two parameters. This relationship can be expressed by
 461 interpolating the experimental parameters with a third-degree polynomial function as

$$462 \quad b = 5.239 \cdot 10^8 a^3 - 2.956 \cdot 10^6 a^2 + 6030a - 2.24. \quad (15)$$

463 On average, Fig. 4a depicts the influence of riming process on the m-D relation for the entire
 464 period when the OLYMPEX campaign took place. Although the relation shows a monotonically
 465 increasing behavior, three different trends can be observed. In the first stage (prefactor $a < 1.6 \cdot 10^{-3}$),
 466 the exponent b has a decreasing growth rate to reach an inflection (second stage with $1.6 \cdot 10^{-3} < a < 2$
 467 $\cdot 10^{-3}$), to reach a faster growth rate for larger a (third stage with $a > 2 \cdot 10^{-3}$). Fig. 4b displays the
 468 prefactor a versus the CWC mean value of the corresponding group from which the existence of a
 469 relation between the CWC and the prefactor a , and consequently, also with the exponent b through
 470 (15), can be inferred. Such a vs. CWC mean value can be expressed by means of a third-degree
 471 polynomial as

$$472 \quad CWC = 4.98110^8 a^3 - 1.969 \cdot 10^6 a^2 + 2696a - 1.26. \quad (16)$$

473 From the joint analysis of Figs. 4, it is possible to infer that the different stages of the mass-size
 474 relationship correspond to different riming conditions, for which the first stage corresponds to a
 475 substantial dry environment (hereafter dry regime), followed by a second stage where CWC slowly
 476 begins to grow (hereafter moist regime) (Leinonen and Szyrmer, 2015), and by a third one with a
 477 rapid growth trend (hereafter wet regime). This tendency is partially in agreement with Tridon et al.
 478 (2019) and the three stages can be interpreted as follows. During the dry stage, ice crystals are
 479 allowed to clump together to form snowflakes changing their mass-size relation. Throughout the
 480 second stage the efficiency of dry growth decreases and, at the same time, with the beginning of the
 481 presence of water, the riming process described by the fill-in process (Heymsfield 1982) takes
 482 place. Both ice density and air temperature modulate this growth between filling internal crystal

483 interstices or supporting external growth. At the end, although it is not shown in Figs. 4, when the
484 empty spaces inside the ice particles are full, the collection of the water from the environment is
485 used entirely for modifying their shapes.

486 Applying $IWC(PSD^{si}, \hat{\mathbf{p}}_{pp}^{ci})$ with the parameters reported in Table III for the corresponding
487 CWC intervals, the performance of (12) was assessed in terms of the merit factors. Fig. 5a depicts
488 NSE, NB absolute value, and correlation coefficient as a function of the CWC interval. NSE has
489 quite similar values in the first four intervals, i.e. for $CWC \leq 0.1 \text{ g/m}^3$, with an average value of 0.47.
490 For larger CWC, it has a jump that brings it to about 0.64 in the two wettest intervals. The absolute
491 value of NB shows a trend fluctuating between 0.01 and 0.1. As far as ρ is concerned, it has a value
492 of 0.845 in the first interval and takes increasing values up to the iv interval where it reaches the
493 value of 0.888. Then, it decreases sharply until reaching 0.23 in the last interval indicating an
494 increasing decorrelation between estimates obtained by (12) and measurements for $CWC > 0.1 \text{ g/m}^3$.

495 In practice, CWC is not usually known, and hence it would be necessary to use the general
496 relation $IWC(PSD\hat{\mathbf{p}}_{pp})$ instead of the CWC tuned ones. $IWC(PSD\hat{\mathbf{p}}_{pp})$ presents different
497 performances depending on CWC group as shown in Fig. 5b. The merit factor behaviors are
498 modulated by the distribution of the mean square errors of $\hat{\mathbf{p}}_{pp}$ with respect to the corresponding
499 measurements in the different CWC groups. In general, except for ρ , which has a behavior similar
500 to that shown by the $IWC(PSD^{si}, \hat{\mathbf{p}}_{pp}^{ci})$, NSE and NB show larger values. Both exhibit a decreasing
501 trend until reaching a minimum for $CWC \leq 0.1 \text{ g/m}^3$ and then NSE grows again for larger CWC
502 while NB remains small and constant. In the fourth group where they reach the minimum, the
503 values of the merit factors are comparable to those obtained using the corresponding class-specific
504 parameterization.

505

506

507 **6. IWC retrieved from radar measurements**

508 Remote sensing methods have been used extensively to investigate ice cloud microphysical
509 characteristics and, in the course of the past few years, have achieved further improvements by the
510 millimeter-wave radars on board satellites. The most widely used radar variable for retrieving the
511 cloud bulk parameters is the equivalent reflectivity factor that is connected to the ice particle size
512 distribution and to the shape of each individual ice particle from which the resulting scattering
513 properties are derived.

514 Unfortunately, in the context of the radar frequencies used for ice particle studies, from
515 channels above the Ku-band, there is less possibility of considering as true the condition that the
516 particle size is much smaller compared with the incident wavelength, which is of primary concern
517 for the application of Rayleigh scattering theory. Consequently, we cannot handle in an easy
518 analytical way the radiative interactions between the incident power and the ice particles. This is
519 even more true considering the impossibility of exactly knowing a parameter characterizing the ice
520 particle size distribution such as D_m , or being informed of individual particles' habits or of defining
521 a habit able to represent all particles as well as by the lack of knowledge of the mass distribution
522 inside every single crystal. This situation has led to the development of a plethora of algorithms
523 aimed at estimating IWC according to the equivalent reflectivity factor (e.g., Heymsfield et al.,
524 2005; Hogan et al., 2006), giving rise to extensive discussions on the dependency of the accuracy of
525 radar retrieved IWC with respect to the algorithm used. Uncertainties arise from the fact that both
526 the size distribution and the particle scattering properties vary and are unknown in the in radar
527 sample volume where IWC is retrieved. Consequently, some simplifying assumptions have to be
528 made. Investigating the performance of retrievals considering the microphysical effects cannot be
529 pursued only with the use of real data and an electromagnetic simulator of the radar response is an
530 essential tool for performance evaluation, allowing sufficient detail to fully understand the
531 contribution of each single effect on the processes involved. To simplify the complexity of the

532 problem, the main assumption made in this study is that the ice particles can be represented by soft
 533 spheroids obtained from a mixture of air and ice (Petty and Huang, 2010; Liu, 2004; Heymsfield et
 534 al., 2018).

535 Concerning the m - D relation, the tuned parameters in $IWC(PSD_{pp}^{\hat{p}})$ given in Section 5
 536 were used. The same 1-s $PSDs$ used in the m - D relation tuning process were used to derive
 537 reflectivity factor and specific attenuation at the Ku-, Ka-, and W-band frequencies for an incident
 538 beam angle of 90° . The procedure was based on T-matrix and Mueller-matrix scattering models,
 539 and, for the dielectric relation, the traditional Bruggeman mixing formula was used. The shape of all
 540 particles is defined by horizontally-aligned oblate spheroids with axial ratio equal to 0.6. As is well
 541 known, such modeling is obviously not an optimal proxy for all radars, as it is not able to fully
 542 represent the Ka- and W-band (Kneifel et al., 2011). At the same time, it is believed that no model
 543 (Leinonen and Moiseev 2015; Leinonen and Szyrmer 2015; Kuo et al. 2016) can simultaneously
 544 represent all the habits and even more the composition of those contained in a radar measurement
 545 volume.

546 The generic relationship between ice water content in unit of g/m^3 and the equivalent
 547 reflectivity factor in mm^6/m^3 is usually expressed by a power law

$$548 \quad IWC(\xi_f) = \alpha_f \xi_f^{\beta_f} \quad (17)$$

549 where α_f and β_f are constant coefficients for a given radar frequency (f).

550 Using a nonlinear regression analysis, it was possible to find the coefficients α_f and β_f by
 551 minimizing the differences between the measured EIWC in (14) and IWC estimate in (17) where
 552 both of them are derived from the same PSD. The first three rows of Table IV report the values of
 553 the optimized coefficients of (17) for the Ku- (ue), Ka- (ae), and W-bands (we), respectively. It is to
 554 be noted, that while the parameters α are fairly similar each other, the value of the exponents β
 555 increases as a function of the frequency.

556 The IWC- ξ algorithms are then applied to the entire reflectivity measurement dataset
 557 simulated from the PSDs collected for temperatures below zero to obtain their performance in terms
 558 of merit factors, as shown in Table V. All the three algorithms present practically zero NB values
 559 while NSE decreases with increasing frequency. The increase of ρ with frequency confirms that
 560 radars with high frequency are more sensitive to small ice crystals than those with low frequency.

561 For spherical raindrops, using DFR between two equivalent reflectivities as in (11), has
 562 allowed us to retrieve the drop median volume diameter that, together with the total raindrop
 563 concentration, and having fixed the shape parameter μ , univocally characterizes the DSD
 564 (Meneghini et al., 1997; Iguchi et al., 2000; Rose and Chandrasekar, 2006; Seto et al., 2013;
 565 Gorgucci and Baldini, 2016). In the presence of ice crystals it is not possible to unambiguously
 566 retrieve the size of the particles from DFR measurements because of the different habits that can
 567 exist in the cloud (e.g., Matrosov, 1998; Hogan et al., 2000; Liao et al., 2005). To this end, any
 568 assumption of habits in the radar sampling volume would be an arbitrary simplification. However,
 569 for particular conditions such as the case in which the habit does not present a wide variability, it
 570 remains valid that the DFR can be a suitable proxy of an average particle size contained in the radar
 571 sampling volume even if it is not possible to represent a relationship in a closed form. Bearing in
 572 mind of these considerations, DFR can be used as additional information for estimating IWC.
 573 Hence, the following relationship can be written

$$574 \quad IWC_{poq}(DFR) = \alpha \zeta_u^{\beta} DFR_{poq}^{\gamma} \quad (18)$$

575 where α , β , and γ are constants and the subscript poq indicates that the reflectivity ratio is between
 576 reflectivities at the p - and the q -band, with the frequency of p greater than q . The following three
 577 reflectivity ratios were taken into account: $DFR_{aou} = \zeta_a / \zeta_u$, $DFR_{woa} = \zeta_w / \zeta_a$, and $DFR_{wou} = \zeta_w / \zeta_u$.

578 With the same approach used to optimize the coefficients in (17), the coefficients α , β , and γ
 579 of (18) were found for the three DFR relationships using the same tuned m - D algorithm. Table IV

580 gives also the values of α , β , and γ for the three *aou*, *woa*, *wou* algorithms. It is immediate to note
 581 that the coefficients of *aou* and *woa* are quite similar and both very different from those of *wou*.
 582 Also in this case, to analyze the behavior of the different algorithms, they are applied to the
 583 simulated reflectivity measurements for which the temperature is below 0° C. The results are
 584 summarized in Table V. Also in this case, the merit factors are characterized by NBs close to zero.
 585 NSE of the *aou* algorithm takes a value close to those of *ue* and *ae* algorithms, while DFR
 586 algorithms using W-band measurements have the best performances. Again, it is evident that
 587 including W-band provides more precise information about IWC as highlighted by the ρ values.

588 Gaussiat et al. (2003) suggested the use of a triple-frequency radar to allow the estimation of
 589 two differential attenuations from which, in the presence of ice particles, the liquid water content
 590 could be estimated more accurately with respect to a dual-frequency radar. More recently, progress
 591 in characterizing the scattering of more realistic ice crystal shapes at microwave frequencies
 592 generated new expectations for triple-frequency radars. In particular, it has been clearly shown that
 593 in the DFR domain, defined by DFR_{aou} and DFR_{woa} , it is possible to obtain information capable of
 594 discriminating between different habits (Kulie et al., 2010; Kneifel et al., 2011; Leinonen et al.,
 595 2012).

596 An IWC algorithm that is a function of both DFR_{aou} and DFR_{woa} can be written as

$$597 \quad IWC(DFR) = \alpha \xi_u^{\beta} \frac{DFR_{aou}^{\gamma}}{DFR_{woa}^{\delta}} \quad (19)$$

598 where α , β , γ , and δ are the coefficients of the $2dfr$ parameterization whose values, obtained with the
 599 same approach previously used to find (17) and (18), are reported in the last row of Table IV. The
 600 behavior of this algorithm is quantified by its merit factors (Table V) that present the best
 601 performances both with respect to SFR algorithms (17) and those that use the different DFRs as in
 602 (18).

603 The estimators defined by the equations (17), (18), and (19) can be written in compact form
604 using the notation $IWC(SRM, \hat{\mathbf{p}}_{rm})$ where $\hat{\mathbf{p}}_{rm}$ is the optimized vector of coefficients α , β , γ , and
605 δ obtained from simulated radar measurements (rs) which refer to the algorithms listed in Table IV,
606 whereas SRM here means that the coefficients were applied to simulated radar measurements
607 computed directly from measured PSDs.

608 Section 5 has shown that $IWC(PSD, \hat{\mathbf{p}}_{Li})$ relations respond differently to the variation of
609 both the ambient temperature and the CWC. In particular, it has been observed that also the
610 $IWC(PSD\hat{\mathbf{p}}_{pp})$ with the coefficients found by the optimization between IWC and EIWC is
611 outperformed by the $IWC(PSD^i, \hat{\mathbf{p}}_{pp}^{ci})$ whose coefficients are optimized for the different CWC
612 intervals (ci) and that the performances are different in the various CWC domains (Figs. 5).

613 In light of this result, it may be interesting to observe whether the $IWC(SRM, \hat{\mathbf{p}}_{rm})$
614 estimations obtained with relations based on reflectivity, shows a similar variability with the CWC.
615 In this case, compatibly with the available data, new CWC class intervals have been defined as 1)
616 $CWC \leq 10^{-5} \text{ g/m}^{-3}$, 2) $10^{-5} < CWC \leq 10^{-3} \text{ g/m}^{-3}$, 3) $10^{-3} < CWC \leq 10^{-2} \text{ g/m}^{-3}$, 4) $10^{-2} < CWC \leq 10^{-1} \text{ g/m}^{-3}$, 5)
617 $CWC > 0.1 \text{ g/m}^{-3}$ and for each ci class interval, the $\hat{\mathbf{p}}_{rm}^{ci}$ parameters of (17), (18) and (19) were
618 found.

619 Figures 6 display NSE, NB, and ρ of $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ and $IWC(SRM, \hat{\mathbf{p}}_{rm}^i)$. In general, it is
620 clear that the merit factors show better values as the number of parameter used by the IWC
621 algorithm increases. An aspect to underline concerns $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ (green lines) is that the
622 classes with higher CWC have the worst merit factors. Another point to highlight is that among the
623 multi-frequency algorithms, the one that uses DFR_{aou} exhibits a behavior similar to those based on
624 reflectivity alone such as ue and ae , perhaps determined by the low ice discriminating power of the

625 Ku-band compared to the Ka- and W-band, whereas *we* algorithm is comparable to the
626 performances of *woa*, *wou*, and *2dfr*. In conclusion, also in this case it appears that the merit factors
627 of the algorithm obtained by composing the different $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ (black line) are much better
628 than those of $IWC(SRM, \hat{\mathbf{p}}_{rm})$ (magenta line) and also that the best algorithm for estimating IWC is
629 *2dfr* although those based on W-band, namely: *we*, *woa* and *wou*, do not have too much worse
630 performances.

631

632

633 7. Experimental evaluation of IWC radar algorithms

634 To evaluate the behavior of the $IWC(SRM, \hat{\mathbf{p}}_{rm})$ algorithms, collocated measurements from
635 the APR3 triple frequency radar on board the DC-8 aircraft and in-situ microphysical measurements
636 and EIWC measurements provided by the Citation aircraft were used. The APR-3 provides
637 measured profiles of Z_{u_m} , Z_{a_m} , and Z_{w_m} (i.e., $Z = 10 \log_{10} \xi$) at the Ku- (13 GHz), Ka- (35 GHz),
638 and W-band (94 GHz) frequencies, respectively. Each profile consists of range gates spaced 30 m
639 apart.

640 In a rigorous way, the comparison between collocated measurements should be made when,
641 for a fixed time, the measurements collected by the two aircrafts are referred ideally to the same,
642 uniformly filled, sampling volume. However, imposing such a condition would result in a small
643 number of coinciding measurements in time and space and the resulting data set would not be
644 statistically significant. This limitation forces us to relax the definition of space-time collocation by
645 expanding the search domain for the collocated matchings. Consequently, the measurements will
646 not result exactly collocated and a decrease of the correlation coefficient, with respect to what was
647 obtained using simulations, is expected. Following Heymsfield et al. (2018), the collocation rule we

648 applied defines the collocated measurement domain as made up of aircraft observations that are
649 separated in a time of less than 300 s and terms of horizontal distance defined at the altitude of
650 flight of the Citation of less than 2 km. Among the measurements satisfying these two conditions,
651 the one with the smallest time shift was chosen for subsequent analysis. The remaining
652 measurements that comply with the collocation rule mentioned above are used to quantify the
653 measurements gradient within the collocation domain. For example, Fig. 7 shows the distribution of
654 the reflectivity factor gradient at Ku band defined as the max-min values of Z_{u_m} within each
655 collocated domain for each matching found. The reflectivity factor gradient quantifies in some way
656 the variability experienced in the collocation domain and gives an idea of the validity of the
657 uniformity hypothesis of the observed field in that domain.

658 The reflectivity measurements of a triple-frequency radar are relatively affected by path
659 attenuation depending mainly on the frequency used. Past studies have shown that ice does not
660 produce a significant attenuation up to the Ku-band; conversely, at Ka-band attenuation due to ice
661 may be not negligible while at the W-band it can be noticeable. It follows that to assess the behavior
662 of the different IWC algorithms, the cumulative attenuation needs to be accounted for.

663 It is well known that attenuation correction with iterative methods is inherently unstable
664 since any bias propagates through the propagation path, making it necessary to constrain somehow
665 the total attenuation (Meneghini et al. 2000). This effect could be much more pronounced in the
666 presence of ice particles because it is difficult to express a relationship for the attenuation correction
667 due to its strong variability with the different types of habits present in clouds. To overcome this
668 limitation in a reasonable way, we assume the Ku-band to be marginally affected by path
669 attenuation in ice, so that we can assume $Z_{u_e}=Z_{u_m}$, where the subscript “e” indicates the effective
670 relectivity, and use it as a reference to compensate the attenuation effects for Z_{a_m} and Z_{w_m} (Kulie
671 et al. 2014; Leinonen et al., 2018).

672 The entire PSD dataset obtained from in-situ measurements was used to simulate Z_u , Z_a ,
673 and Z_w with the corresponding specific attenuations in dB/km. For the simulations of the
674 reflectivity factor, particle density is needed instead of mass because the former drives the particle's
675 volume fraction of air and ice, thus modifying the particle's refractive index, which in turns
676 modifies the back-scattering cross-section properties that are strictly related to the reflectivity
677 factor. However, particle's mass and density are related through the particle's volume, which in our
678 simplified case coincides with that of an oblate spheroid. For each increment of 0.1 dB in Z_u
679 reflectivity, the corresponding mean values of the specific attenuations a_a and a_w , for Ka- and W-
680 band respectively, were found.

681 Fig. 8 shows the resulting specific attenuations a_a and a_w as a function of Z_u . With these
682 values, it was possible from a given measured Z_u profile to reconstruct the corresponding profile of
683 cumulated attenuation at the Ka- and W-band to get Z_{a_e} and Z_{w_e} from Z_{a_m} and Z_{w_m} . The average
684 attenuation correction of the collocated reflectivity measurements was 0.19 dB and 1.45 dB for the
685 Ka- and W-bands, respectively.

686 It was thus possible to create the collocated domain consisting of the EIWC measurements
687 and radar measurements for performance evaluation of the different IWC radar algorithms, given in
688 Table IV, with collected radar measurements (CRM). The behavior of the $IWC(SRM_{m,m})$ merit
689 factors for this dataset is shown in Fig. 9, and consists of both a generalized increase of NSE in
690 comparison with Fig. 6a (magenta line), and a peculiar increasing trend, as the number of
691 parameters used by the algorithms grows, that appears to contradict what is depicted in Fig. 6a.
692 Considering the many assumptions made in deriving the algorithms, such as the hypothesis that ice
693 crystals can be described by soft spheres and have a fixed temperature of -10° C, the NSE increase
694 is not surprising. The trend can be explained by the fact that the reflectivity measurements are
695 subject to independent measurement errors and consequently the increase in the number of
696 parameters used by the algorithm increases the influence of measurement errors on the IWC

697 estimate. As far as NB is concerned, it does not present particular variations with respect to what
698 shown in Fig. 6b, remaining almost close to zero. A significant reduction is also presented by ρ with
699 a slightly decreasing trend passing from 0.39 of the algorithm *ue* to 0.26 of *2dfr* presenting a
700 maximum value for *aou* (0.46) and a minimum for *woa* (0.13). Obviously, the reduction can be
701 influenced by how much the collocated measurements are affected by reflectivity gradients. About
702 the low *woa* value, it must be underlined that it depends on two measurements that both undergo the
703 correction for attenuation.

704 With this significant increase of the measurement errors, along with the consequent decrease
705 of the correlation between the $IWC(SRM, \hat{\mathbf{p}}_{rm})$ estimates and the EIWC, it can be interesting to
706 check whether CWC is still able to discriminate between the different situations highlighted in the
707 simulations, as illustrated above in Figs. 7.

708 Using actual radar measurements to compute $IWC(CRM, \hat{\mathbf{p}}_{rm}^{ci})$ with coefficients derived in
709 simulations optimized to CWC class intervals, merit factors for each single class *ci* and the
710 composition of $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ were found. Although NSE (Fig. 10a), NB (Fig. 10b), and ρ (Fig.
711 10c) show a strong variability between the different CWC intervals, the composition of
712 $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ has better performances than the single algorithm $IWC(SRM, \hat{\mathbf{p}}_{rm})$.

713 Although an operational measure of the CWC is not currently possible and therefore it is
714 unrealistic to use the IWC algorithms optimized to its value, the analysis highlights that using CWC
715 allow to provide better estimates than those obtained with a single algorithm, regardless of CWC,
716 even in the presence of significant measurement errors.

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719 8. A new look at the DFR domain

720 The comparison of merit factors of Figs. 10, obtained using the reflectivity data collected by
721 the APR3, and those shown in Figs. 6, obtained using the simulated reflectivities, clearly shows that
722 the DFR measurements are affected by measurement errors to such an extent that nullify the
723 benefits brought by using multiparametric algorithms. Actually, if Figs. 6 show the better
724 performance of the multiparametric algorithms in a synthetic error-free scenario, Figs. 10 depict, in
725 a more realistic case, worse performances as the number of parameters used in algorithms increases.

726 For this reason, we need to analyze more deeply the characteristics of the DFR domain and
727 its behavior for varying meteorological and microphysical parameters. Considering the DFR
728 domain defined by the dual frequency ratio pairs (DFR_{woa} , DFR_{aou}) in logarithmic scale, Fig. 11
729 shows the scatterplot obtained by reflectivity measurements collected by the APR3 with
730 temperatures below the freezing level for which the collocated CWC, relative humidity, and
731 dewpoint measurements are also available (10021 observations). Moreover, in Fig. 11, overlaid for
732 reference, some curves obtained by the triple-frequency calculations for various ice particle
733 scattering models by Kulie et al. (2014) are displayed. Readers can refer to this paper for more
734 details about the definitions of the acronyms of each model and on the various ice particle scattering
735 involved.

736 The main information that can be obtained from Fig. 11 is related to the large variability that
737 affects the DFR measurements, that depends mainly on measurement errors (signal fluctuations,
738 attenuation, radar calibration, reflectivity gradients) and the large variety of ice crystal habits into
739 the radar volume. This large spread does not allow to identify any particular specific model trends
740 except the large cluster of points in the low part of the domain that is common to all the models.
741 This is experimentally supported by the large amount of in situ measurements performed with the
742 cloud particle imager (CPI) habit observations (Bailey and Hallett, 2009) which reports the wide
743 variety of the ice crystal habits that is further enriched with their many irregularities and

744 imperfections making, somewhat unlikely, that a radar volume can be filled exclusively with a
745 single type of ice crystal habit. It follows that the corresponding scattered signal cannot be
746 described using a single particle-backscattering model. Therefore, although different ice habits in
747 the cloud have contributed to the composition of the resulting reflectivity, using experimental
748 measurements to recognizing them from specific DFR signatures appears quite difficult.

749 In the previous sections it was found that the quantity of supercooled drops plays an
750 important role in the characterization of ice crystals as it modulates the degree of riming. To explore
751 whether this feature can be revealed in the DFR domain, one can look at the domain from another
752 point of view by considering the following approach. The entire variability range of CWC values
753 has been divided into three class intervals such as they roughly represent the dry (d), the moist (m)
754 and the wet (w) environment that are bounded by the following thresholds: d) $< 10^{-4}$ g/m⁻³, m) from
755 10^{-4} to 10^{-2} g/m⁻³ and w) $> 10^{-2}$ g/m⁻³. These thresholds generate three DFR classes such that all the
756 (DFR_{aou} , DFR_{woa}) pairs of a class have a corresponding CWC value belonging to only one of the
757 three intervals. The classes are quite consistent in that they contain a sufficient number of DFR
758 pairs equal to 3028 (d), 4073 (m), and 2920 (w). Their scatterplots are characterized by having the
759 slopes of the least squares regression line through origin of 0.469, 0.424, and 0.361 with correlation
760 coefficients of 0.1077, 0.1664, and 0.2380, respectively. These results lead to two immediate
761 considerations regarding the relationship between the DFR_{woa} and DFR_{aou} . The first one is that these
762 scatterplots, although subjected to a high degree of variability, allow to observe a decreasing trend
763 of the slope as the CWC increases. The second one, despite being supported by very small values,
764 refers to the increasing trend of the correlation coefficient as the CWC increases.

765 The contradictory nature of the results depicted in Figs. 10 with respect to those in Figs. 6
766 can be linked to the fact that the latter was obtained using measurements obtained from the same
767 electromagnetic and microphysical models on which the algorithms were found while Fig. 10 was
768 obtained by applying the aforementioned algorithms to the real radar measurements.

769 The limitations associated with the assumption of a predefined electromagnetic and
 770 microphysical model can be partially overcome by using the algorithm parameters (11), (12), and
 771 (13) directly obtained from a nonlinear regression analysis applied to the collected radar
 772 measurements, in the framework of the DFR domain.

773 If we want to take advantage of the improvements made possible by the use of the CWC on
 774 the estimate of the IWC, it is necessary to replace it with a parameter that can be obtained directly
 775 from the radar measurements. For this purpose, the slopes of the two external classes *dry* and *wet*
 776 were used to generate three classes of (DFR_{aou}, DFR_{woa}) pairs, corresponding to the three CWC
 777 classes. By considering the slope parameter Sl defined as

$$778 \quad Sl = \frac{\log_{10}(DFR_{aou})}{\log_{10}(DFR_{woa})} \quad (20)$$

779 each DFR pair will be associated with a value of Sl and will, therefore, be assigned to one of the
 780 three slope class intervals - *dry* (>0.469), *moist* (from 0.469 to 0.361) and *wet* (<0.361). In this
 781 framework, the $IWC(CRM\hat{\mathbf{p}}_{dfr})$ relations for the entire DFR domain and the $IWC(CRM^{slp}, \hat{\mathbf{p}}_{dfr}^{slp})$,
 782 where the superscript *slp* refers to the radar measurements generating DFR pairs belonging to the
 783 class *slp*, were found by the optimization between IWC estimates and EIWC. The vector
 784 coefficients $\hat{\mathbf{p}}_{dfr}$ and $\hat{\mathbf{p}}_{dfr}^{sl}$ are given in Table VI and Tables VII, VIII, IX, respectively.

785 The result of the analysis is summarized in Figs. 12 where the merit factors related to the
 786 dry, moist, and wet classes are depicted versus the different algorithms. The NSE of Fig. 12a shows
 787 a noticeable improvement compared to the correspondent of Fig. 10a as expected, along with the
 788 non-increasing trends of NSE with the number of used parameters. However, the really important
 789 result achieved is that starting from CWC intervals, it is possible to obtain thresholds in the DFR
 790 domain such that for each (DFR_{aou}, DFR_{woa}) pair it is possible to calculate the slope parameter Sl
 791 that selects one between the dry, moist, or wet class and then the corresponding algorithm to get.

792 This method allows us to obtain from the composition of $IWC(CRM^{\text{flp}}, \hat{\mathbf{p}}_{\text{dfr}}^{\text{slp}})$ relations an NSE
793 which is definitely better for all the algorithms than that obtained using $IWC(CRM\hat{\mathbf{p}}_{\text{dfr}})$, a result
794 that could be obtained by knowing the CWC. This surprising result is further confirmed by Fig 12c,
795 where ρ of the composite function are much better, albeit overall lower, for all the multi-frequency
796 algorithms, than the ρ showed by the single relationship.

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798

799 **9. Summary and conclusions**

800 The main objective of this study was to analyze the IWC estimate in a context of single and
801 multiple frequency radars to examine at what extent multi-frequency radar contributes to its
802 improvement. The study was performed by extracting from the high-quality dataset acquired during
803 the OLYMPEX campaign, a selected dataset composed of airborne triple-frequency radar
804 observations (Ku-, Ka-, and W-band) in combination with in-situ microphysical and meteorological
805 measurements.

806 In the absence of reliable CSI measurements, a first problem to solve was to establish how to
807 obtain trustworthy IWC measurements in cloud to be compared with different radar estimates. The
808 best choice, among the measurements available, was the joint use of the TWC collected by the
809 Nevzorov probe with the LWC measured by the King probe whose difference (named EIWC) was
810 assumed as the reference IWC. For a comprehensive characterization of the cloud environment,
811 meteorological measurements such as air temperature, relative humidity, and frost point
812 temperature were used, while the condition for riming were related to CWC measurements.

813 The performance of the $IWC(\text{PSD}, p_{LL})$ estimators and their variability with temperature
814 and CWC suggested to develop an algorithm $IWC(\text{PSD}\hat{\mathbf{p}}_{pp})$ tuned on the whole campaign data

815 and a set of specific algorithms $IWC(\text{PSD}, \hat{\mathbf{p}}_{pp}^{ci})$ tuned on the different CWC class intervals. By
816 varying CWC class intervals the parameters defining the m-D relationship appeared to be related to
817 each other and such relation was expressed with a third-degree polynomial function.

818 Using a procedure based on T-matrix and Mueller-matrix scattering models, from the same
819 PSD dataset, reflectivity factor and specific attenuation at the Ku-, Ka-, and W-band frequencies
820 were simulated assuming horizontally-aligned oblate spheroids with axial ratio equal to 0.6.
821 Employing a nonlinear regression analysis, IWC radar algorithms were obtained minimizing the
822 differences between their estimates and the corresponding EIWC measurements.

823 The algorithms examined are based both on the single parameter of reflectivity and the
824 combined use of the Ku-band reflectivity with the dual frequency ratios DFR_{aou} , DFR_{woa} , DFR_{wou} ,
825 and DFR_{aou} jointly with DFR_{woa} . The performance of the different algorithms improved as the
826 number of parameters increase, except for DFR_{aou} . However, the most interesting result was that the
827 merit factors obtained by the composition of algorithms optimized for the different CWC class
828 intervals are distinctly better than the corresponding ones of a single algorithm, applied regardless
829 of the CWC value, both in terms of NSE and ρ .

830 For an assessment in an operational context, collocated measurements collected by the
831 APR3 radar were used jointly with microphysical and meteorological parameters. For each PSD
832 measurement, the Ku reflectivity was simulated and the corresponding specific attenuations at the
833 Ka- and W-band were simulated as well and a relationship allowing to obtain, for a fixed Ku
834 reflectivity value, the average specific attenuations of the other two bands. Assuming the Ku-band
835 reflectivity measurements as non-attenuated by ice particles, they were used for determining the
836 relative specific attenuations to correct the reflectivity measurements of the other two bands.

837 The analysis of the performance of the different algorithms, using collected radar
838 measurements as input, was also carried out computing both $IWC(\text{SRM}, \hat{\mathbf{p}}_{rm})$ and

839 $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$. The evaluation of the different algorithms highlighted two very interesting
840 aspects. The first is that the merit factors - NSE, NB, and ρ - of $IWC(SRM, \hat{\mathbf{p}}_{rm})$ behaves worse than
841 the ones obtained with the composition of $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ for all the different radar IWC
842 algorithms. The second aspect is that all the merit factors are worse than the correspondents
843 obtained using the simulated measurements. However, this was expected as the modeling
844 hypotheses underlying the simulation could not correctly reproduce the reality. A further source of
845 errors is determined by the space-time domain chosen to define collocated measurements and in
846 particular by the reflectivity gradients present in it as well as by the measurement errors. However,
847 an aspect to underline is that in general the correlation between the EIWC and its estimates
848 decreases using the DFR algorithms, highlighting a not negligible variability of the DFR parameter.

849 To focus on this aspect, the domain defined by the (DFR_{woa}, DFR_{aou}) pairs was considered to
850 find a substitute for CWC, being CWC not an operational measurement and, therefore, not
851 practically usable. Compatibly with the sample size of (DFR_{aou}, DFR_{woa}) pairs in the different
852 regions of the domain, three CWC class intervals called *dry*, *moist*, and *wet* were chosen. It was
853 observed that the scatter of the corresponding pairs in each class interval had the slope of least
854 square line of increasing value with decreasing CWC. These values were taken to define three class
855 intervals of the *SI* parameter defined by the ratio between DFR_{aou} and DFR_{woa} .

856 For a more realistic analysis that does not require any a priori assumptions about the models
857 needed for simulating reflectivity measurements, real radar measurements and in-situ microphysical
858 observations were jointly used. The advantage of this approach lies in the fact that it does not
859 involve assumptions about the particle size distributions, the statistical relationship between crystal
860 mass and maximum dimension, or the wavelength-dependent backscatter cross-section variability.
861 On the contrary, challenges are posed by the proper matching of radar and microphysical
862 measurements in the space-time domain, along with attenuation corrections and calibration errors.

863 Using collocated radar and PSD measurements, parameterizations of radar IWC algorithms
864 (17), (18), and (19) were found. The interesting result to be underlined is that from the comparative
865 analysis of the merit factors of $IWC(CRM_{dfr}^{\hat{p}})$, i.e. with parameters obtained using all the data
866 available, and the IWC estimated by the composition of $IWC(CRM^{\hat{p}}, p_{dfr}^{slp})$ with the parameters
867 obtained for the three slope class intervals, the latter has much better merit factors of all the
868 algorithms considered. Furthermore, the algorithms that use the DFR parameters do not present
869 worse merit factors than SFR algorithm. This can mean that the major contribution to the error of
870 the DFR-based algorithms, shown in Figs 11, are not DFR fluctuation measurements but also to
871 systematic errors (radar calibration).

872 In conclusion, the study highlighted that it is possible to divide the DFR domain into classes
873 such that for a (DFR_{woa}, DFR_{aou}) pair it is possible to find a slope value slp to select a set of
874 $IWC(CRM^{\hat{p}}, p_{dfr}^{slp})$ whose compositions present estimates with merit factors better than the ones
875 get with $IWC(CRM_{dfr}^{\hat{p}})$ for all the algorithms. Obviously, as the classes increase, better estimates
876 will correspond anyway, but to obtain this further improvement it will be necessary to reduce
877 measurement errors.

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1079 *TABLE I - Coefficients a and b of the power law relation m - D (13). p_{LI} is the label that identifies*
1080 *the different literature parametrizations.*

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p_{LI}	a (cgs)	b
BF95	2.94E-03	1.90
H04syn	6.10E-03	2.05
H04cnv	11.1E-03	2.40
H10all	5.28E-03	2.01
SZ10ave	4.34E-03	1.92

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1087 *TABLE II – Merit factor of the comparison between IWC(PSD, \mathbf{p}_{LI}) computed using the \mathbf{p}_{LI}*
1088 *relations with the corresponding EIWC measurements.*

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\mathbf{p}_{LI}	<i>NSE</i>	<i>NB</i>	ρ
BF95	1.363	-0.872	0.8674
H04syn	2.637	-1.888	0.8677
H04cnv	2.768	-1.906	0.7582
H10all	1.854	-1.293	0.8546
SZ10ave	2.332	-1.620	0.8793

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1097 *TABLE III – Coefficients a and b of the m - D relation (13) as a function of the different CWC class*
1098 *intervals $\hat{\mathbf{p}}_{pp}^{ci}$.*

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$\hat{\mathbf{p}}_{pp}^{ci}$	a (cgs)	b
<i>i</i>	1.24E-03	1.693
<i>ii</i>	1.29E-03	1.736
<i>iii</i>	1.36E-03	1.816
<i>iv</i>	1.59E-03	1.977
<i>v</i>	1.95E-03	2.167
<i>vi</i>	2.59E-03	2.650

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1105 *TABLE IV – Parameters of IWC(SRM, $\hat{\mathbf{p}}_{rm}$) algorithms obtained using a nonlinear regression by*
1106 *minimizing the differences between the measured EIWC and its estimates obtained using (17) an*
1107 *(18). IWC(PSD, $\hat{\mathbf{p}}_{rm}$) is the optimized vector of coefficients for the different algorithms.*

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$\hat{\mathbf{p}}_{rm}$	α	β	γ	Δ
ue	7.77E-02	0.208	-	-
ae	2.25E-02	0.526	-	-
we	2.31E-02	0.825	-	-
aou	2.00E-02	0.648	1.184	-
woa	3.88E-02	0.666	1.011	-
wou	1.81E-02	0.849	0.768	-
2dfr	2.60E-02	0.775	0.374	0.937

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1114 *TABLE V – Merit factors of the comparison between IWC(PSD, $\hat{\mathbf{p}}_{rm}$) estimates and the*
1115 *corresponding EIWC measurements computed using all the parameterizations of Table IV.*

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$\hat{\mathbf{p}}_{rm}$	<i>NSE</i>	<i>NB</i>	ρ
ue	0.769	-0.004	0.3891
ae	0.701	0.023	0.5453
we	0.441	0.024	0.8498
aou	0.664	0.021	0.6083
woa	0.431	0.002	0.8562
wou	0.432	0.021	0.8568
2dfr	0.407	0.012	0.8735

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1122 *TABLE VI - Parameters of IWC(CRM $\hat{\mathbf{p}}_{dfr}$) algorithms obtained using a nonlinear regression*
1123 *analysis by minimizing the differences between the measured EIWC and its estimates obtained from*
1124 *collocated radar measurements.*

1125

$\hat{\mathbf{p}}_{dfr}$	α	β	γ	δ
ue	1.25E-01	0.112	-	-
ae	8.93E-02	0.213	-	-
we	1.09E-01	0.284	-	-
aou	7.74E-02	0.275	0.489	-
woa	9.74E-02	0.156	0.017	-
wou	9.00E-02	0.299	0.251	-
2dfr	7.75E-02	0.303	0.499	0.075

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1131 *TABLE VII - Parameters of IWC(CRM^{wet}, $\hat{\mathbf{p}}_{\text{dfr}}^{\text{wet}}$) algorithms obtained using a nonlinear regression*
 1132 *analysis by minimizing the differences between the measured EIWC and its estimates obtained from*
 1133 *collocated radar measurements belonging to the wet slope class interval.*

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$\hat{\mathbf{p}}_{\text{dfr}}^{\text{wet}}$	α	β	γ	δ
ue	8.46E-02	0.233	-	-
ae	7.14E-02	0.292	-	-
we	1.16E-01	0.318	-	-
aou	6.52E-02	0.322	0.681	-
woa	6.98E-02	0.347	0.245	-
wou	6.63E-02	0.368	0.224	-
2dfr	6.40E-02	0.371	0.481	0.157

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1140 *TABLE VIII – Parameters of $IWC(CRM^{\text{moist}}, \hat{\mathbf{p}}_{\text{dfr}}^{\text{moist}})$ algorithms obtained using a nonlinear*
1141 *regression analysis by minimizing the differences between the measured EIWC and its estimates*
1142 *obtained from collocated radar measurements belonging to the moist slope class interval.*

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$\hat{\mathbf{p}}_{\text{dfr}}^{\text{moist}}$	A	β	γ	δ
ue	1.01E-01	0.144	-	-
ae	9.96E-02	0.179	-	-
we	1.12E-02	0.251	-	-
aou	8.37E-02	0.244	0.339	-
woa	8.49E-02	0.227	0.101	-
wou	8.45E-02	0.233	0.081	-
2dfr	8.27E-02	0.238	0.941	-0.270

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1148 TABLE IX – Parameters of $IWC(CRM, \hat{\mathbf{p}}_{\text{dfr}}^{\text{dry}})$ algorithms obtained using a nonlinear regression
1149 analysis by minimizing the differences between the measured EIWC and its estimates obtained from
1150 collocated radar measurements belonging to the dry slope class interval.

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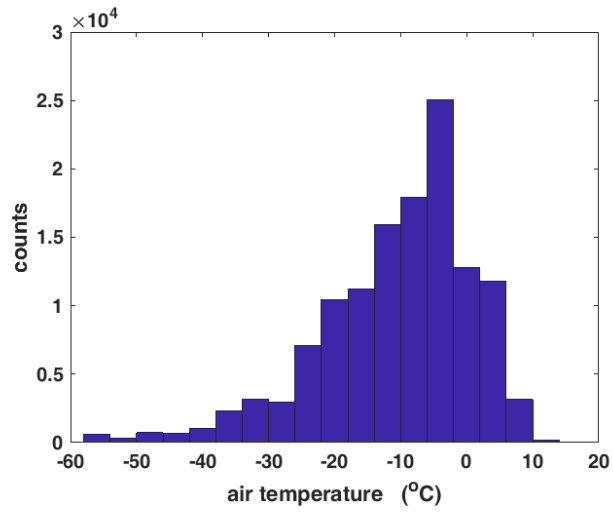
$\hat{\mathbf{p}}_{\text{dfr}}^{\text{dry}}$	A	β	γ	δ
ue	1.06E-01	0.089	-	-
ae	9.75E-02	0.143	-	-
we	9.92E-02	0.230	-	-
aou	8.67E-02	0.230	0.371	-
woa	8.08E-02	0.133	-0.057	-
wou	8.17E-02	0.255	0.192	-
2dfr	8.78E-02	0.207	0.382	-0.075

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1157 *Figure 1 - Distribution of the air temperature recorded by the Citation aircraft during the*

1158 *observing periods of the OLYMPEX field experiment.*

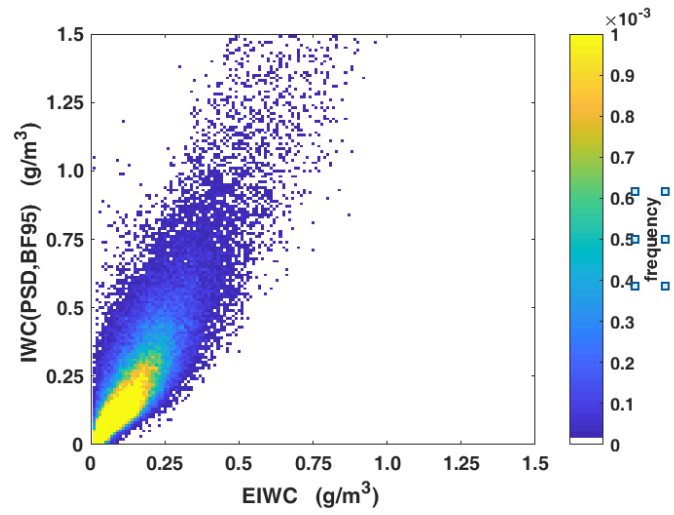
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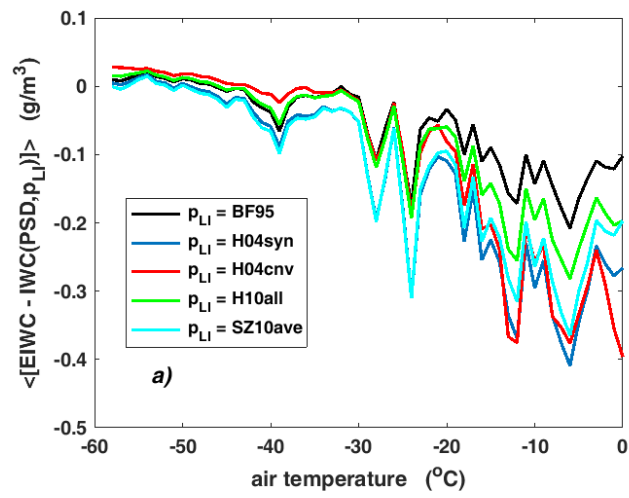
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1165 *Figure 2 - 2-D histogram between the direct EIWC measurements versus the corresponding*
1166 *IWC(PSD,BF95) for the entire OLYMPEX dataset.*

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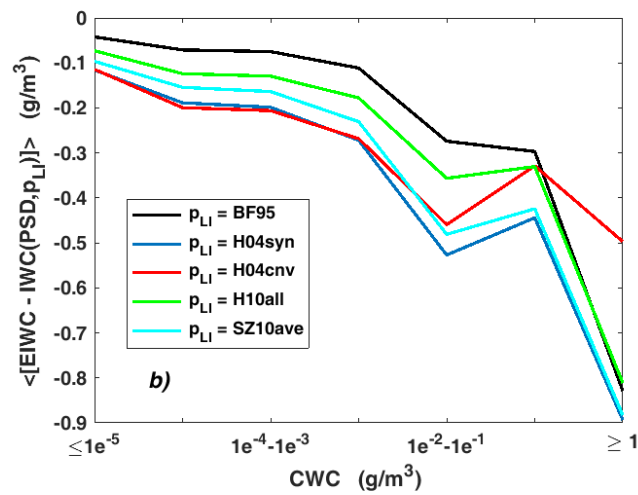
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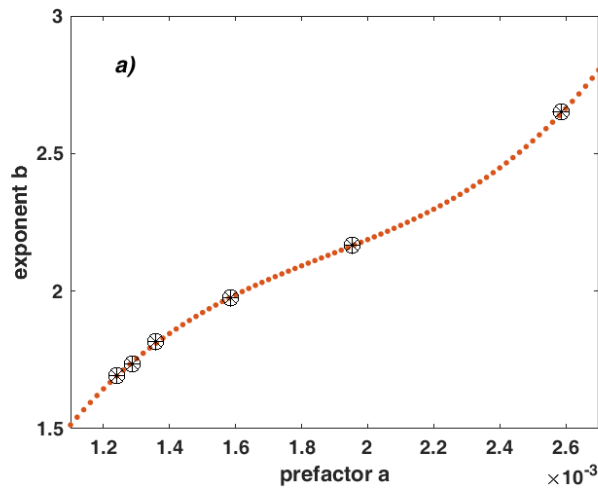
1174 *Figure 3 - Mean differences between EIWC measurements and IWC(PSD, p_L) as a function a) of*
1175 *air temperature, and b) of cloud water content class intervals.*

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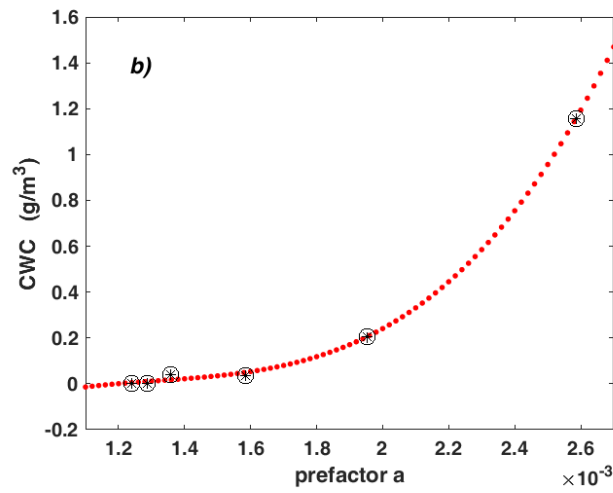
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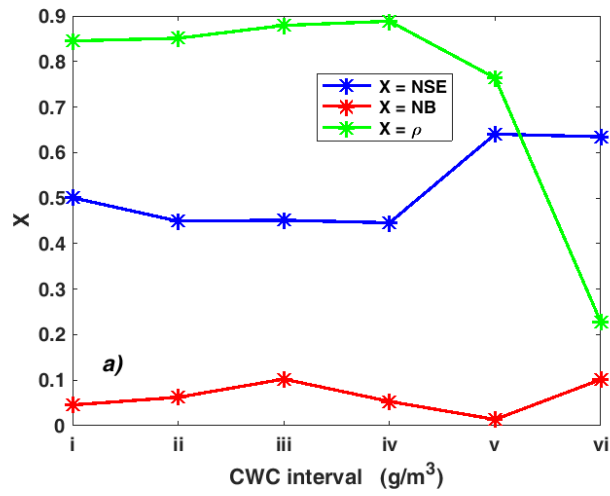
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1184 *Figure 4 – a) the exponent b versus the prefactor a (black star-ring) regarding the m - D relationship*
1185 *for fixed CWC class intervals with the interpolation (dot red) line represented by a third-degree*
1186 *polynomial function, and b) the same CWC values versus the prefactor a (black star-ring) with the*
1187 *interpolation (dot red) line achieved by a third-degree polynomial function*

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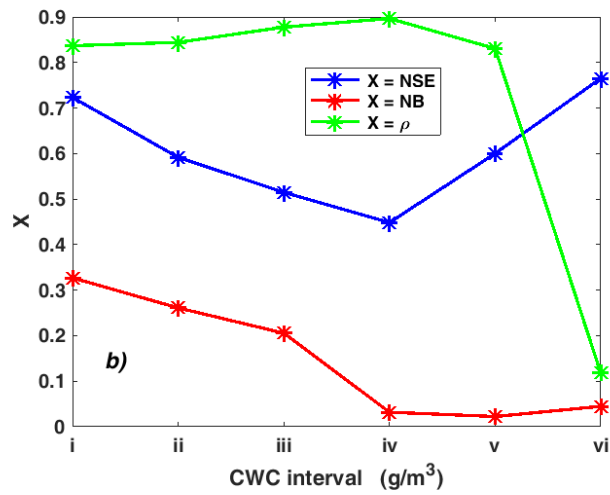
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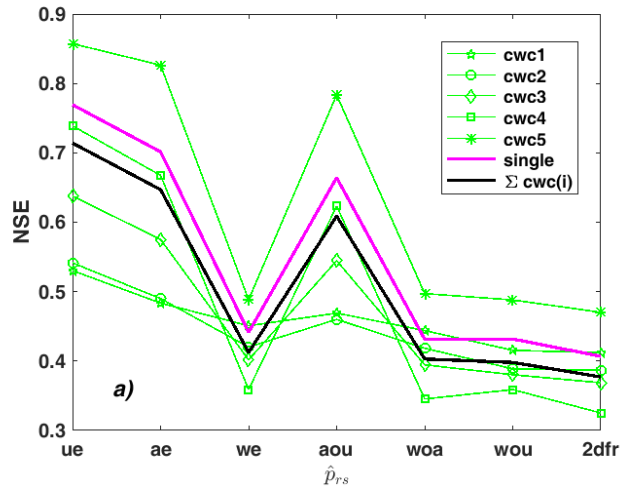


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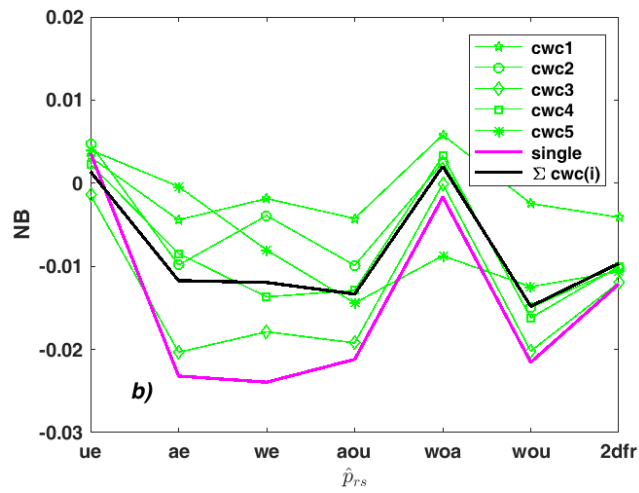
1195 Figure 5 – NSE (blue), NB (red), and ρ (green) of: a) $IWC(\text{PSD}^i, \hat{\mathbf{p}}_{pp}^{ci})$ for the specific $\hat{\mathbf{p}}_{pp}^{ci}$
1196 parameterizations, and b) $IWC(\text{PSD}^i, \hat{\mathbf{p}}_{pp})$ versus the corresponding CWC class intervals.

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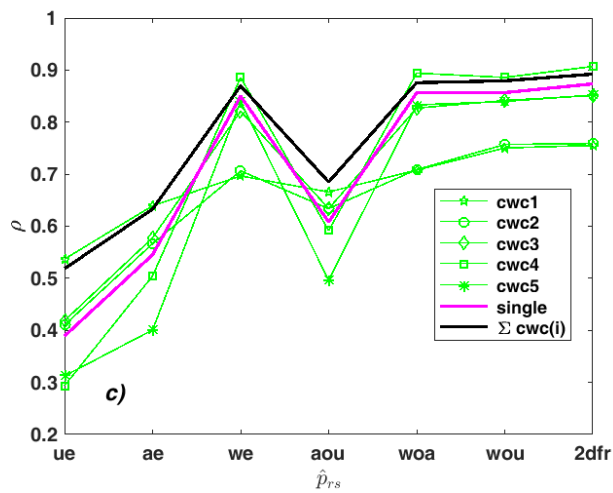
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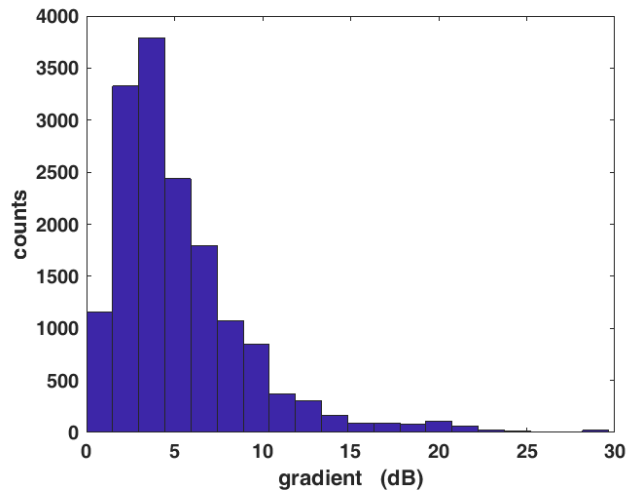
1201 Figure 6 - a) NSE, b) NB, and c) ρ of the comparison between $IWC(SRM^i, \hat{\mathbf{p}}_{rm}^{ci})$ (green lines),
 1202 $IWC(SRM, \hat{\mathbf{p}}_{rm})$ (magenta line), $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ composition (black line) obtained from the
 1203 simulated reflectivities and EIWC for all the IWC radar algorithms.

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1209 *Figure 7 - Distribution of gradients contained in the space domain (2x2) km x 300 s. Gradients are*
1210 *represented by the difference between the maximum and the minimum Ku reflectivity contained in*
1211 *the domain.*

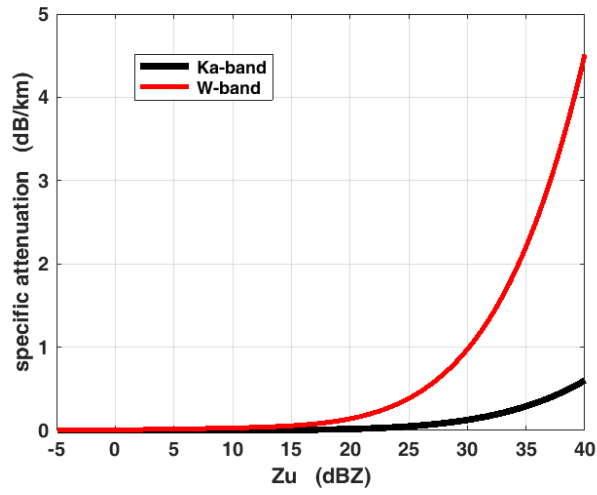
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1218 *Figure 8 - Mean specific attenuations for the Ka- and W-band as a function of simulated Zu*
1219 *reflectivity.*

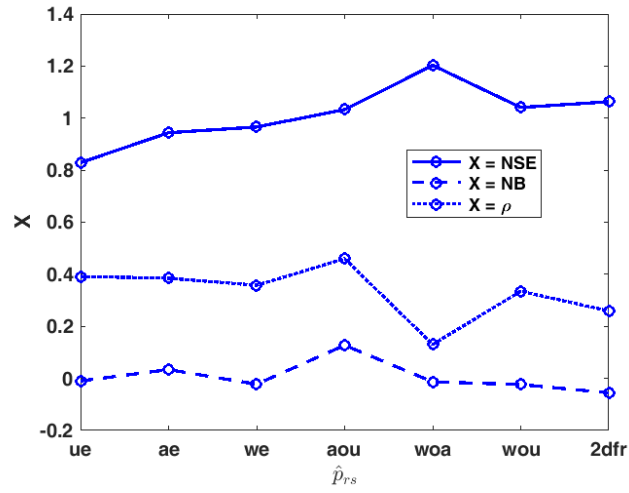
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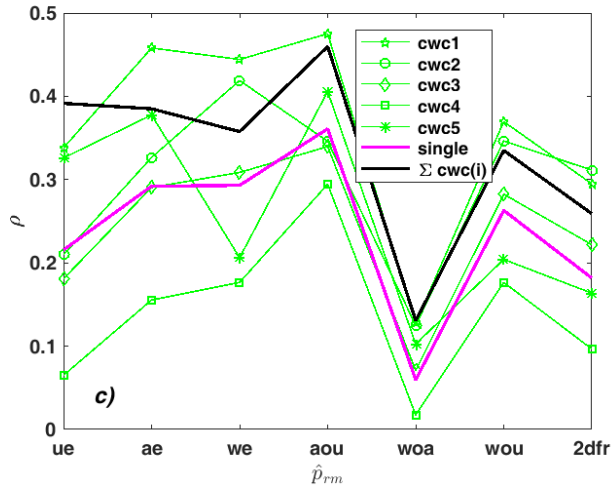
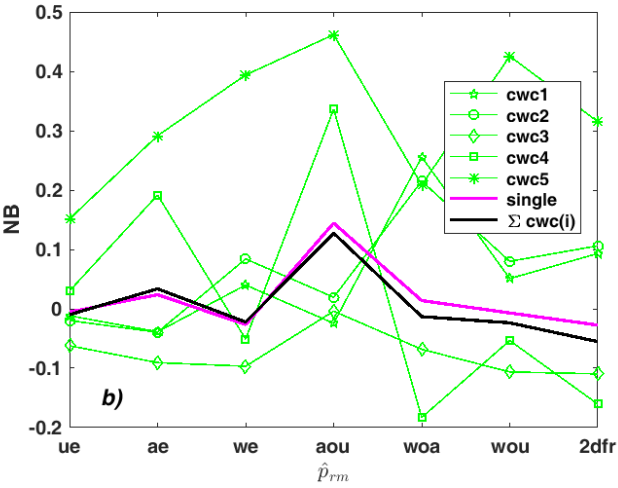
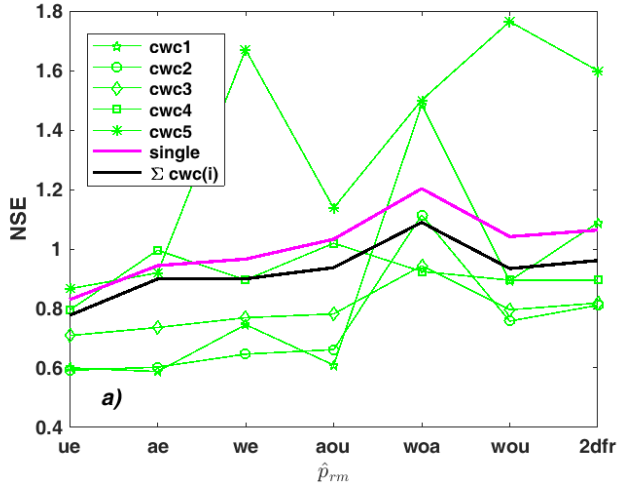
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1226 *Figure 9 – Behavior of the merit factors NSE (solid line), NB (dash line), and ρ (dot line) of the*
1227 *comparison between $IWC(SRM_{\hat{p}_{rs}})$ estimates for all the \hat{p}_{rs} of Table IV applied to the collected*
1228 *radar measurements with the corresponding EIWC.*

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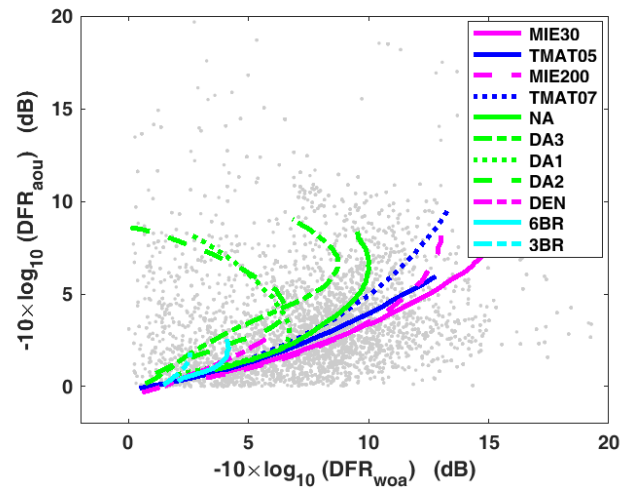
1233 Figure 10 - a) NSE, b) NB, and c) ρ of the comparison between $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ (green line),
 1234 $IWC(SRM, \hat{\mathbf{p}}_{rm})$ (black line), $IWC(SRM, \hat{\mathbf{p}}_{rm}^{ci})$ composition (magenta line) estimates obtained
 1235 from the collocated radar measurements and EIWC for the considered IWC radar algorithms.

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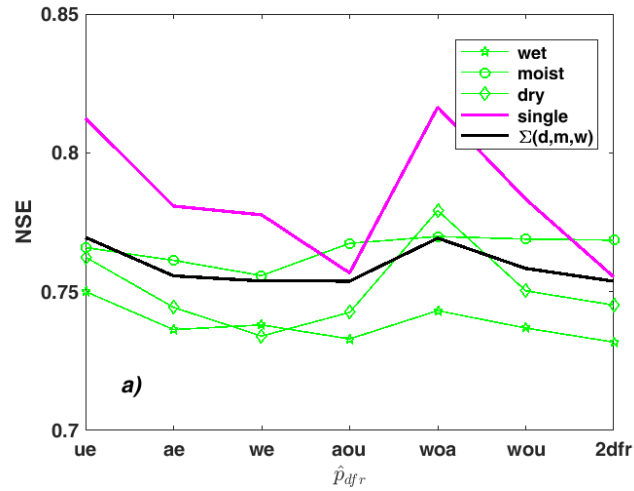


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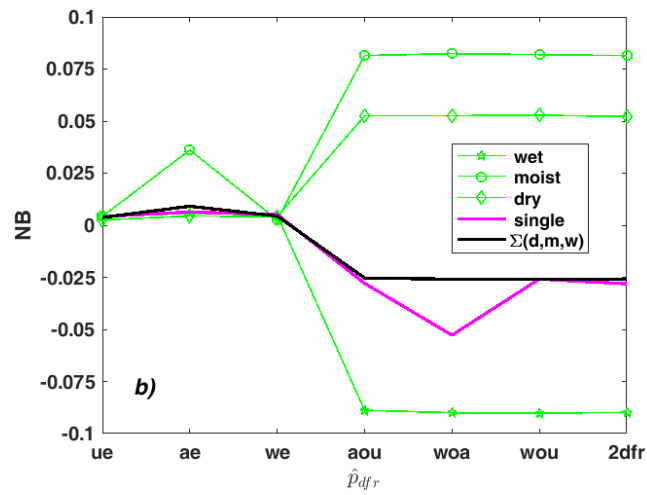
1241 *Figure 11 – Scatterplot of the dual frequency ratios DFR_{woa} and DFR_{aou} obtained from reflectivity*
1242 *measurements collected by the APR3. Overlaid for reference, displayed are some curves obtained*
1243 *by triple-frequency calculations for various ice particle scattering models by Kulie et al. (2014).*

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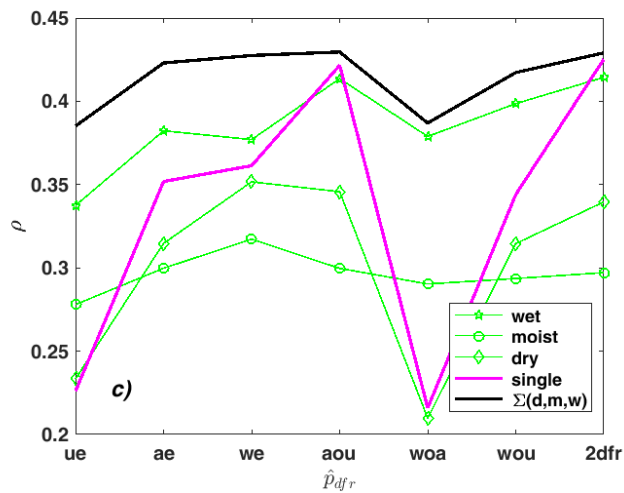
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1248 Figure 12 - a) NSE, b) NB, and c) ρ of the comparison between $IWC(CRM^{lp}, \hat{\mathbf{p}}_{dfr}^{slp})$ (green line),
 1249 $IWC(CRM^{\hat{\mathbf{p}}}_{dfr})$ (black line), $IWC(CRM^{lp}, \hat{\mathbf{p}}_{dfr}^{slp})$ composition (magenta line) estimates obtained
 1250 from reflectivity measurements collected by the APR3 and EIWC for all the considered IWC radar
 1251 algorithms.