



# Amino Acid-Based Ionic Liquids-Aided CO<sub>2</sub> Hydrogenation to Methanol

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This study explored the use of amino acid-based ionic liquids to facilitate the conversion of carbon dioxide (CO<sub>2</sub>) into methanol through catalytic hydrogenation. Combining tetrabutylammonium L-argininate (TBA·Arg) with the ruthenium Ru-MACHO-BH complex allowed achieving significant yields of methanol under optimized conditions, with a turnover number (TON) up to 700. By systematically varying key reaction parameters, we demon-

# Introduction

The increasing concentration of carbon dioxide in the atmosphere due to human activities in the past 150 years is leading to global climate change and many environmental concerns. Each year we are experiencing a new record high  $CO_2$  concentration and in 2023 the peak value reached 19.3 parts per million, 50 percent higher than it was before the Industrial Revolution.<sup>[1]</sup> In the 2015 Paris climate agreement (COP21), representatives of more than 190 countries pledged to limit global warming to within 1.5 °C of pre-industrial levels.<sup>[2]</sup>

In the transition to the adoption of sustainable alternatives which ultimately stop the production and use of fossil fuels, efforts are being devoted to reduce unavoidable emissions so as to achieve net zero by 2050.<sup>[4,5]</sup> In this respect, technologies for the capture of carbon dioxide, also from dilute sources, such as flue gases and air, and its storage are being developed and deployed to contribute carbon mitigation.<sup>[6]</sup> To advantageously exploit captured CO<sub>2</sub>, as a C1 feedstock,<sup>[8]</sup> and reduce the energy and capital costs required for CO<sub>2</sub> desorption and compression, the integration of capture and conversion is however preferable. To this goal, processes are being designed in which the direct transformation of the captured and

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strated that the TBA·Arg ionic liquid promotes the efficient hydrogenation pathway leading to methanol formation, thus offering a sustainable approach to  $CO_2$  valorization. These findings underscore the potential of amino acid-based ionic liquids in catalyzing the transformation of  $CO_2$  into valuable chemicals, contributing to carbon mitigation efforts.

"reactive"  $CO_2$  affords valuable products while regenerating the capturing agent.<sup>[9]</sup> In this context, the catalytic hydrogenation of captured  $CO_2$ ,<sup>[12]</sup> preferentially with green hydrogen obtained through water splitting using renewable energy, represents an alternative route to methanol which does not rely on the use of fossil fuel-derived syn gas.<sup>[17]</sup>

Methanol is an important organic feedstock in the chemical industry which is produced annually on the 100 Mt scale, more than 60% of which is used to synthesize chemicals such as formaldehyde, acetic acid, methyl methacrylate, and ethylene and propylene through the methanol-to-olefin (MTO) route.<sup>[19]</sup> Methanol can also be used as a fuel, either by itself, in a blend with gasoline, for the production of biodiesel, or in the form of methyl tert-butyl ether (MTBE) and dimethyl ether (DME).

In the past decade, several homogeneous catalytic systems, mainly employing ruthenium complexes, have been developed which, while still far away from practical application, allow for the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH under relatively mild conditions.<sup>[15–16]</sup> They can be classified in two broad categories, depending on whether they operate in a neutral/slightly acidic medium or in a basic one. In the former case, HCOOH, formed by initial reduction of CO<sub>2</sub>, is converted into a formic acid ester, the hydrogenation of which, affords CH<sub>3</sub>OH. Base-compatible catalysts instead should be better-suited for hydrogenation of CO<sub>2</sub> in solutions including amines. The latter systems might be advantageous because chemical absorption into aqueous solutions of monoethanolamine (MEA), diethanolamine (DEA), and methyldiethanolamine (MDEA) represents the current commercial technology for CO<sub>2</sub> capture from flue gases.<sup>[22]</sup>

The first proof of concept describing the domino use of a secondary amine which could serve as capturing agent for  $CO_2$  and a catalyst Ru-MACHO-BH **Ru-1** for the hydrogenation of the resulting carbamate to methanol via formamide (Scheme 1) was published by Sanford in 2015.<sup>[23]</sup> In a water-lean organic solvent,  $CO_2$  is first chemisorbed through reaction with the amine in a 1:2 ratio to afford an ammonium carbamate. **Ru-1** then catalyzes its hydrogenation to ammonium formate, which is thermally dehydrated to formamide. **Ru-1** eventually promotes

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hydrogenation of the latter to  $CH_3OH$  while regenerating the amine.

Since that first report, several other interesting developments by the groups of  $\mathsf{Prakash}^{[24]}$  and others  $^{[28]}$  have appeared



documenting efforts towards improved solvent (physisorption) and base (chemisorption) CO<sub>2</sub> capturing ability, homogeneous catalyst efficiency, productivity, and selectivity as well as recyclability of the active components. A detailed summary of these works can be found in excellent reviews by Prakash and co-workers.<sup>[24, 30]</sup> Most of the reported systems rely on the use of amines such as PEHA (pentaethylenehexamine). However, despite their proven efficacy in capturing CO<sub>2</sub>, amines are volatile, and undergo thermal- and photo-oxidations which might lead to environmental and health hazards.[31] In this respect, amino acid salt solutions have been proposed as possible alternative by virtue of their lower toxicity and high biodegradability, negligible volatility, but comparable capture performance.<sup>[32]</sup> More recently, we<sup>[34]</sup> as well as Leitner and coworkers<sup>[36]</sup> have demonstrated the possibility to combine the CO<sub>2</sub> capturing ability of the basic amino acids lysine<sup>[37]</sup> and arginine<sup>[38]</sup> with the ruthenium<sup>[34, 36]</sup> and manganese<sup>[35]</sup> catalyzed homogeneous hydrogenation of CO<sub>2</sub> to generate formates.

Another important issue concerns the nature of the reaction medium: it should warrant a high  $CO_2$  sorption and solubility, be compatible with the hydrogenation conditions, be thermally stable, have very low vapor pressure and allow for easy separation of reaction products. Ionic liquids, which are completely composed of ions and the melting point of which is below 100 °C by definition are promising candidates in this respect and have, therefore, been extensively investigated as  $CO_2$  capturing agents.

Solubility of CO<sub>2</sub> in ionic liquids can be improved by varying the composition and structure of both the cation and anion, although it is primarily affected by the anion.<sup>[41]</sup> Solubility can be further increased by fluorination and the attachment of long alkyl chains to the cation. Introduction of functional groups, such as amino groups, on either the anion or the cation, can boost their CO<sub>2</sub> sorption capacity through chemisorption. Furthermore, their use as reaction medium, bare or in combination with another solvent, has proved to be beneficial to the catalytic hydrogenation of CO<sub>2</sub> in some cases.<sup>[43]</sup>

The hydrogenation of  $CO_2$  to formic acid is challenging due to its thermodynamic and kinetic stability.<sup>[45]</sup> Bases are commonly added to the reaction mixture to shift the thermodynamic equilibrium through the formation of formate salts. Isolation of formic acid is possible through acid addition, though significantly reducing the atom efficiency of the process.

Interestingly, Sans and co-workers have recently reached unprecedented productivity and activity in the catalytic hydrogenation of  $CO_2$  to formic acid without salt formation through the informed design of a ruthenium bis-carbene pyridine CNCpincer catalyst<sup>[46]</sup> and the use of 1,2-dimethyl-3-butylimidazolium acetate (BMMI·OAc).<sup>[46-47]</sup> In combination with an organic solvent and a controlled amount of water, the basic ionic liquid assists the transformation of  $CO_2$  into bicarbonate. The latter is hydrogenated while buffering the solution: in this way the equilibrium is shifted towards the formation of formic acid while the pH never becomes low enough for the catalytically active ruthenium hydride to be protonated and thus quenched. Das and Nielsen have demonstrated that the basic ionic liquid 1-ethyl-3-methyl-imidazolium acetate can be used both as neat polar solvent, capable of  $CO_2$  capture, and base to promote catalyst activation and productivity.<sup>[49]</sup> Here, the acid-base equilibrium between the weekly acidic proton at the cation imidazolium C(2) and the accompanying anion acetate ensures a certain concentration of free carbene which will add to  $CO_2$  activating it for hydrogenation.<sup>[50]</sup> By combining the ionic liquid with a pincer bisphosphinoamino ruthenium complex, they created a robust, flexible, and stable catalytic system for the co-solvent- and additive-free, reversible hydrogenation of  $CO_2$  to formic acid as well as formic acid dehydrogenation under very mild conditions.<sup>[49]</sup> None of the examples reported so far, relying on the use of amino acids or ionic liquids, describes the reduction of  $CO_2$  to methanol.

Very recently, Prakash has demonstrated that the combination of the ionic liquid 3-butyl-1-methyl imidazolium acetate (BMIM.OAc) and the polyamine PEHA allows the Ru-MACHO-BH **Ru-1**-catalyzed hydrogenation of  $CO_2$  to methanol (Scheme 1).<sup>[52]</sup> The yield, productivity and activity achieved are better than those accessible when using either the ionic liquid or the amine alone. Compared to the systems affording formate, higher temperatures, up to 155 °C, and higher total pressure, up to 75 bars, were necessary to promote methanol formation. Interestingly, the authors also explored the combination of the same ionic liquid with the amino acid L-arginine in place of PEHA, but only a relatively low TON of 42.5 was recorded.

In this respect, our group has recently shown, for the first time, the possibility to combine the known CO<sub>2</sub> capturing ability of amino acid-based ionic liquids<sup>[53]</sup> with the *in-situ* conversion of the captured CO<sub>2</sub> to formate salts.<sup>[57]</sup> Here, the amino acid is not used as an additive but is a constituent of the ionic liquid, it plays the role of the anion. Among those tested, the anion of the basic amino acids L-lysine (Lys) and L-arginine (Arg), carrying an additional amino functionality in their side chain, showed, in combination with the tetrabutylammonium cation (TBA), the highest CO<sub>2</sub> absorption capacity, 1.80 and 1.96 mmol per mmol of adsorbent, respectively. Under a total pressure of 80 bars (H<sub>2</sub>/CO<sub>2</sub> 1/3) at 80 °C in an aqueous THF solution, in the presence of 5 mmol of TBA·Arg and 10 µmol of Ru-MACHO-BH Ru-1 a TON of 959 for formate generation was obtained over 24 hrs. The catalytic solution could be recycled up to 5 consecutive times with an overall TON of 12,741. In the present communication, we demonstrate how the same system consisting of the ionic liquid TBA·Arg and MACHO-BH Ru-1 could be exploited, through adjustment of reaction conditions, for the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH, reaching a TON of 700 (Scheme 1).

# **Results and Discussion**

## Influence of Ionic Liquid

To investigate the effect of amino acid-based ionic liquids on the Ru-MACHO-BH Ru-1 promoted hydrogenation of CO<sub>2</sub> to



CH<sub>3</sub>OH, initially 11 ionic liquids consisting of different amino acid anions and ammonium cations were prepared according to the corresponding literature procedures.<sup>[58]</sup> Then, catalytic hydrogenation of carbon dioxide (CO<sub>2</sub>/H<sub>2</sub>; 20/60 bar) was performed in the presence of these and selected commercially available ILs (each 5.0 mmol) utilizing Ru-MACHO-BH (10  $\mu$ mol). In our previous work, we had noticed that the condensation of the amino groups with formate to formamide, the key intermediate to access CH<sub>3</sub>OH, was not observed below 90 °C and became substantial at 145 °C.<sup>[57]</sup> Formamide hydrogenation is deemed the most demanding step *en route* to methanol.<sup>[63]</sup> Therefore, we decided to start our investigation by applying the highest temperature, 165 °C, accessible with the available autoclaves and yet compatible with catalyst Ru-MACHO-BH **Ru-**1, by virtue of its high chemical and thermal stability.<sup>[65]</sup>

The initial reactions were carried out under a total pressure of 80 bars ( $H_2/CO_2$  1/3), for 24 hours, in tetrahydrofuran to ensure catalyst solubility. The amounts of generated formate and formamide were determined by <sup>1</sup>H NMR spectroscopy, while the formed methanol and methyl formate were determined by GC. In addition, all the reactions were checked for CO formation using GC (Figures S1–S6). It should be mentioned that most of the experiments were at least performed twice, and average values are shown in Table 1.

L-argininate- and L-lysinate-tetrabutylammonium (TBA·Arg and TBA·Lys) were investigated first because of their superior performance, as to other amino acid based ionic liquids, in the capture and hydrogenation of CO<sub>2</sub> to formate catalyzed by Ru-1.<sup>[57]</sup> Indeed, in the presence of 5 mmol TBA·Arg, 2.5 mmol of CH<sub>3</sub>OH were formed, together with 0.8 mmol HCOO<sup>-</sup> and 2.5 mmol formamide (Table 1, entry 1). No formate or CH<sub>3</sub>OH were detected when either TBA·Arg or Ru-1 or CO<sub>2</sub> were absent (Table S2). In comparison, TBA·Lys (Table 1, entry 2) afforded 0.25 mmol HCOO<sup>-</sup> and 5.2 mmol formamide but only 0.14 mmol CH<sub>3</sub>OH. When TBA·Lys was used, a lysine fragment in the HRMS spectra of post-reaction solutions could be detected (Figure S7), the mass of which indicates that both the  $\alpha$ - and  $\epsilon$ amino groups had been formylated under these conditions. In contrast, with TBA·Arg, only the relevant mono-formylated fragment was detected (Figure S8).

For the latter, the  $\alpha$ -amino group as the site of formylation<sup>[66]</sup> was confirmed through the independent synthesis of N- $\alpha$ -formyl-L-arginine and the corresponding TBA-ionic liquid (S4.1, S4.2).

When N- $\alpha$ -formyl-L-arginine was hydrogenated under the same experimental conditions as reported in Table 1, with no CO<sub>2</sub> present, CH<sub>3</sub>OH was detected, indicating that the formamide is indeed an entry to CH<sub>3</sub>OH (S4.3). Besides, when the TBA-Arg-aided hydrogenation of CO<sub>2</sub> was carried out in the presence of variable amounts of CH<sub>3</sub>OH to favor its condensation to methyl formate, under otherwise identical conditions (Table S3, entries 2 and 3), this did not improve the overall yield of CH<sub>3</sub>OH, indicating that ester hydrogenation is not the preferred route to CH<sub>3</sub>OH. Other ammonium cations in combination with L-arginine were tested, having different substituents at nitrogen, such as N,N,N-trimethyladamantan-1ammonium (TMAA-Arg, Table 1, entry 3, CH<sub>3</sub>OH 1.4 mmol),

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tetramethylammonium (TMA·Arg, Table 1, entry 4, CH<sub>3</sub>OH 1.3 mmol), benzyltrimethylammonium (BTMA·Arg, Table 1, entry 5, CH<sub>3</sub>OH 0.94 mmol), choline (CHO·Arg, Table 1, entry 6, CH<sub>3</sub>OH 0.54 mmol) and 6-azonia-spiro[5.5]undecane (ASU-Arg, Table 1, entry 7, 0.23 mmol), the latter as an example of more base-resistant cation<sup>[68]</sup>: none outperformed TBA·Arg as to CH<sub>3</sub>OH amount. Replacement of the TBA cation with the analogue phosphonium one TBP (TBP·Arg; Table 1, entry 8) completely suppressed the formation of CH<sub>3</sub>OH, despite the comparable productivity in HCOO<sup>-</sup> (4.1 mmol HCOO<sup>-</sup> and 2.6 mmol formamide). Only a minor amount of CH<sub>3</sub>OH, just 0.13 mmol, was obtained when the 1-butyl-3-methylimidazolium cation was used (BMIM·Arg, Table 1, entry 9). The key role played by the L-arginine anion was clearly shown by the fact that little or no CH<sub>3</sub>OH was formed when it was replaced by acetate in TBA·Ac (Table 1, entry 10, 0.26 mmol) or chloride in TBA·CI (Table 1, entry 11), the latter likely acting as a poison to the catalyst. On the other hand, with L-arginine, either alone (Table 1, entry 12) or in combination with added base K<sub>3</sub>PO<sub>4</sub> (Table S4, entry 2) or NBu<sub>3</sub> (Table S4, entry 3), only 0.11 mmol of CH<sub>3</sub>OH were formed (Table 1, entry 12), thus showing the synergistic effect of the L-argininate/TBA combination on the formation of CH<sub>3</sub>OH. Furthermore, TBA·Nor and TBA·GB were prepared from L-norleucine and 4-guanidinobutyric acid, respectively and used to demonstrate the influence of the amino acid side chain on CO<sub>2</sub> hydrogenation. With TBA·Nor, half the amount of formate and formamide (Table 1, entry 13, 0.26 mmol and 2.8 mmol, respectively) were obtained as to TBA-Lys. This is indirect evidence that both amino groups in TBA·Lys can be formylated. But no CH<sub>3</sub>OH was obtained. Once both amino groups are formylated, the reaction medium might not be basic enough to promote formamide hydrogenation,[63] which explains the poor yield in CH<sub>3</sub>OH. TBA·GB lacks an amino group and in fact very little formate (0.078 mmol) and no formamide were detected (Table 1, entry 14; Figure S6). Yet the amount of CH<sub>3</sub>OH is comparable to that obtained with TBA·Arg thus highlighting the key role of the guanidino group in promoting CH<sub>3</sub>OH formation (Figure S6). Based on the above results, TBA·Arg was identified as the IL of choice among those tested and was used in further experiments to explore the influence of other key reaction parameters, such as solvent, temperature, relative CO<sub>2</sub>/H<sub>2</sub> pressure and catalyst structure.

#### **Influence of Solvent**

To begin with, solvents other than THF were tested (Figure 1 and Table S5), as the solvent can affect both the thermodynamics and the kinetics of hydride transfer from the catalyst to the substrate,  $CO_2$  and formamide.<sup>[70]</sup> Overall, ethers performed best as solvents for CH<sub>3</sub>OH formation, except for cyclopentyl methyl ether, where no CH<sub>3</sub>OH was detected. The use of alcohol solvents was deleterious as to selectivity, affording very poor yields of CH<sub>3</sub>OH but substantial amounts of CO, likely arising from decarbonylation of the corresponding aldehydes, formed through **Ru-1**-promoted alcohol dehydrogenation.<sup>[71]</sup> This is particularly evident with ethylene glycol, where up to 5.7 mmol Research Article doi.org/10.1002/cssc.202401813





**General conditions**: Absorbent (5.0 mmol), Ru-MACHO-BH (10  $\mu$ mol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar), 165 °C, 24 h. [a] Determined by <sup>1</sup>H NMR with DMF (200  $\mu$ L, 2.6 mmol) as internal standard. [b] Determined by GC with DMF (200  $\mu$ L, 2.6 mmol) as internal standard. [c] Determined by gas GC. Experiments in entries 1–6, 9 and 14 were at least performed twice, and average values are shown. Standard deviations of main product amounts (formate, formamide, methanol) are 1–16% of the average (except for formate in entry 4:22% and methyl formate and carbon monoxide amounts < 0.15 mmol).

of CO were detected (Table S5, entry 10): its facile dehydrogenation to glycolate, despite the high applied  $H_2$  pressure of

70 bars, had been observed also by Prakash in the  $Ru\mathchar`-1$  catalyzed hydrogenation of  $CO_2$  captured from air with a





**Figure 1.** Product distribution as a function of the solvent in the Ru-1 promoted hydrogenation of CO<sub>2</sub>. Reaction conditions: TBA·Arg (5.0 mmol), Ru-1 (10  $\mu$ mol), solvent (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar), 165 °C, 24 h. CPME=cyclopentyl methyl ether. Numbers represent amounts (mmol) of formate, formamide, methanol, and carbon monoxide, respectively.

solution of hydroxide in ethylene glycol.<sup>[26]</sup> Because none of the tested other solvents outperformed THF, within error range, the latter was used for further investigations.

### Influence of Temperature

Then, the influence of temperature on product yield and distribution, within the range 110–165 °C, was explored (Figure 2 and Table S6). CH<sub>3</sub>OH could be detected only above 110 °C, despite the high accumulation of formate (Table S6, entry 1, 11.2 mmol) and formamide (Table S6, entry 1, 4.8 mmol), and its formation, while already observed at 120 °C, became relevant at 150 °C (Table S6, entry 5, 2.0 mmol) and was highest at 165 °C (Table S6, entry 8, 2.5 mmol). This confirms



**Figure 2.** Product distribution as a function of the temperature in the Ru-1 promoted hydrogenation of CO<sub>2</sub>. Reaction conditions: TBA-Arg (5.0 mmol), Ru-1 (10  $\mu$ mol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar), 24 h. Numbers represent amounts (mmol) of formate, formamide and methanol, respectively.

that hydrogenation of formamide to CH<sub>3</sub>OH is energetically more demanding than hydrogenation of CO<sub>2</sub> to formate.<sup>[63]</sup> The latter was almost halved when the temperature was raised from 120-130 °C. In fact, while the absolute amount of formamide only slightly increased from 6.5-6.9 mmol and that of CH<sub>3</sub>OH went from 0.03-0.13 mmol, the amount of formate dropped from 8.8 mmol-1.7 mmol (Table S6, entry 2 vs entry 3). This shows the challenge of combining the Ru-1 promoted-hydrogenation of CO<sub>2</sub> to formate, being favoured at lower temperature, with its conversion to formamide and subsequent hydrogenation to CH<sub>3</sub>OH, which instead require more forcing conditions.<sup>[72]</sup> As the temperature increases, the back reaction, formate dehydrogenation, becomes faster, despite the total applied pressure of 80 bars.<sup>[49, 73]</sup> Meanwhile, as the amount of formamide accumulates, so does the water by-product, and formamide hydrolysis back to formate might also set in.<sup>[74]</sup> Water removal by the use of molecular sieves, in order to mitigate formamide hydrolysis and further promote its conversion to CH<sub>3</sub>OH, however, was not effective (Table S3, entry 5). CO was detected above 110°C and steadily increased at higher temperature up to 0.13 mmol at 165 °C (Table S6, entry 8).

#### Influence of CO<sub>2</sub>/H<sub>2</sub> Ratio

Literature data reported the positive influence that a lower relative ratio of the  $CO_2/H_2$  pressure has on mitigating CO production in the hydrogenation of  $CO_2$  to  $CH_3OH^{[76]}$  (CO acts as a poison to the catalyst, *vide infra*) while improving  $CH_3OH$  yield.<sup>[76, 78]</sup> To test whether this applies also to our system, experiments were carried out in which the initial  $CO_2$  pressure was lowered while keeping the total pressure of  $CO_2$  and  $H_2$  at 80 bars (Figure 3 and Table S7). Figure 3 clearly shows that by reducing the  $CO_2$  pressure from 30 to -5 bars the amount of CO underwent an almost three-fold cut, from 0.13–0.05 mmol (Table S7, entries 1–4) due to the lower concentration of  $CO_2$  in



**Figure 3.** Product distribution as a function of relative  $CO_2/H_2$  pressure in the **Ru-1** promoted hydrogenation of  $CO_2$ . Reaction conditions: TBA-Arg (5.0 mmol), **Ru-1** (10 µmol), THF (10 mL), T 165 °C, total pressure 80 bar, 24 h. Numbers represent amounts (mmol) of formate, formamide, methanol and carbon monoxide, respectively.

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solution. Moreover, the absolute amount of CH<sub>3</sub>OH increased from 2.3 mmol at 30 bars CO<sub>2</sub> (Table S7, Entry 1) to 2.8 mmol at 5 bars CO<sub>2</sub> (Table S7, entry 4), clearly showing the additional positive effect of a higher H<sub>2</sub> pressure on formamide hydrogenation.

## Influence of Catalyst Type

Next, other catalysts were applied to assess whether CH<sub>3</sub>OH productivity might be improved by varying ligand structure and metal. The tests were done at 150 °C, the temperature at which Ru-MACHO-BH Ru-1 demonstrated the best trade-off between stability and catalyst turnover number. In fact, experiments carried out at 165°C, showed that no further formate and CH<sub>3</sub>OH were formed after 72 hours (Table S8, entry 13, 0.26 and 2.3 mmol, respectively) compared to those detected after 24 hours (Table S8, entry 13, 0.80 and 2.5 mmol, respectively), clearly indicating catalyst degradation after prolonged heating at this temperature (Figure 4a). Instead, the amount of CH<sub>3</sub>OH could be increased to 2.7 mmoles when CO<sub>2</sub> hydrogenation was carried out at 150°C for 48 hours (Figure 4b and Table S8, entry 8). The tested catalysts are shown in Scheme 2 and the results are collected in Table 2. When the phenyl substituents were replaced by more electron donating iso-propyl groups as in Ru-2 (Table 2, entry 2), a slightly higher amount of formate but a lower one of CH<sub>3</sub>OH were obtained. After verifying that Ru-3, the chloride analogue of Ru-1, is also a competent catalyst for the hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH (Table 2, entry 3), catalyst Ru-4, was tested (Table 2, entry 4), in which the hydrogen at the ligand nitrogen has been replaced by a methyl aroup.

By comparing entry 3 with entry 4 in Table 2, it is evident that **Ru-4** does indeed promote CO<sub>2</sub> hydrogenation to formate but is much less effective in the subsequent hydrogenolysis of the formamide to CH<sub>3</sub>OH.<sup>[76]</sup> A similar behavior had been observed by Prakash and co-workers in the **Ru-4**-catalysed amine-assisted hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH. This indicates that ligand-metal cooperativity, with the –NH moiety serving as a hydrogen bond donor for substrate activation towards hydride nucleophilic attack<sup>[79]</sup> is mandatory for the successful hydrogenation of formamides to CH<sub>3</sub>OH via formaldehyde, but not necessary for the hydrogenation of CO<sub>2</sub> to formate.<sup>[80]</sup> Replacing ruthenium with 1st row-transition metals, either manganese in **Mn-1** (Table 2, entry 5) or iron in **Fe-1** (Table 2, entry 6), noticeably reduced the yield in formate and practically suppressed CH<sub>3</sub>OH formation.<sup>[82]</sup> This confirms previous findings



#### Figure 4. a

Product distribution as a function of reaction time in the **Ru-1** promoted hydrogenation of CO<sub>2</sub> at 165 °C. Reaction conditions: TBA·Arg (5.0 mmol), **Ru-1** (10  $\mu$ mol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar).b

Product distribution as a function of reaction time in the **Ru-1** promoted hydrogenation of CO<sub>2</sub> at 150 °C. Reaction conditions: TBA·Arg (5.0 mmol), **Ru-1** (10  $\mu$ mol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar).

that with catalysts **Mn-1**<sup>[83]</sup> and **Fe-1**<sup>[84]</sup> the direct amine-aided hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH is not possible<sup>[27]</sup> but requires a one-pot two-step procedure whereby, after hydrogenation of CO<sub>2</sub> to HCOO<sup>-</sup>, excess CO<sub>2</sub> must be released for the hydrogenation of the ensuing formamide to take place, as CO<sub>2</sub> has an inhibiting effect on the formamide reduction step.<sup>[85]</sup> This negative influence, although not so pronounced to prevent the



Scheme 2. Catalysts tested in the TBA·Arg-aided hydrogenation of  $CO_2$ .

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Table 2. TBA-Arg-aided hydrogenation of CO2 in the presence of different catalyst precursors.						
entry	catalyst	Formate <sup>[a]</sup> [mmol]	Formamide <sup>[a]</sup> [mmol]	Methanol <sup><sup>[b]</sup> [mmol]</sup>	methyl formate <sup>(b)</sup> [mmol]	carbon monoxide <sup>[c]</sup> [mmol]
1	Ru-1	1.2	4.0	2.0	0.02	0.06
2	Ru-2	1.3	5.4	1.1	0.02	0.007
3	Ru-3	0.81	3.0	2.1	0.01	0.07
4	Ru-4	0.73	4.2	0.03	n.d.	1.5
5	Mn-1	0.41	4.5	0.02	n.d.	n.d.
6	Fe-1	0.10	2.9	n.d.	n.d.	0.01

General conditions: TBA-Arg (5.0 mmol), catalyst (10  $\mu$ mol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar), 165 °C, 24 h. [a] Determined by <sup>1</sup>H NMR with DMF (200  $\mu$ L, 2.6 mmol) as internal standard. [b] Determined by GC with DMF (200  $\mu$ L, 2.6 mmol) as internal standard. [c] Determined by gas GC. Experiments in entries 1–3 were at least performed twice, and average values are shown. Standard deviations of main product amounts (formate, formamide, methanol) are 1–15% of the average (except methyl formate and carbon monoxide amounts <0.10 mmol).

one-pot one-step procedure, is observed also with **Ru-1**, as shown previously (Figure 3). Only a slight improvement in CH<sub>3</sub>OH productivity was observed when, compared to the one pot one step procedure at 165 °C (Table S9, entry 1, 2.3 mmol), after the first step and release of excess CO<sub>2</sub>, further formamide reduction was made possible by pressurizing the autoclave again but only with hydrogen at 60 bars (Table S9, entry 2, 2.6 mmol). In this respect, much more effective was the addition of a second batch of **Ru-1** for further hydrogenation (Table S9, entry 3, CH<sub>3</sub>OH 3.0 mmol), thus confirming catalyst thermal degradation after prolonged heating as the main reason for unconverted formamide.

### **Influence of Catalyst Amount**

As to the influence of catalyst loading, increasing the catalyst amount from 5–100  $\mu$ mol resulted in almost doubling the amount of CH<sub>3</sub>OH (1.6 vs 3.0 mmol; Figure 5 and Table S11, entries 2–5). Furthermore, by extending the reaction time to 48 hours (Table S11, entry 6) another 20% CH<sub>3</sub>OH yield improvement was scored. While the lowest methanol amount (1.4 mmol) was obtained applying 2  $\mu$ mol of catalyst, this represents the highest achieved turnover number (TON: 700, Table S11, entry 1). Notably, in all experiments, variable amounts of CO were detected.

When hydrogenation of CO<sub>2</sub> was carried out using Arg-TBA in THF with **Ru-1** at a pressure of CO<sub>2</sub>/H<sub>2</sub> 20/60 bars, the amount of detected CO rose at higher temperatures (Table S6) and longer reaction times (Table S8). The amount of CO was also related to the relative pressure of CO<sub>2</sub>, increasing at higher CO<sub>2</sub>/ H<sub>2</sub> ratio, parallel to a higher yield in HCOO<sup>-</sup> and lower yield in CH<sub>3</sub>OH (Table S7). No CO was detected when equimolar amounts of Arg-TBA and HCOOK were subjected to 60 bars H<sub>2</sub> at 165 °C in the presence of **Ru-1** (Table S10, entry 2), which provides evidence against formate as the source of CO. A strong positive dependency was observed on catalyst concentration (Table S11): when hydrogenation was carried out in 10 mL THF at CO<sub>2</sub>/H<sub>2</sub> 20/60 bars, 1500000 °C, for 24 hours, CO increased



**Figure 5.** Product distribution as a function of catalyst loading in the **Ru-1** promoted hydrogenation of CO<sub>2</sub> at 150 °C. Reaction conditions: TBA·Arg (5.0 mmol), THF (10 mL), CO<sub>2</sub>/H<sub>2</sub> (20/60 bar), 24 h. Numbers represent amounts (mmol) of formate, formamide, methanol and carbon monoxide, respectively.

from 0.01 mmol (Table S11, entry 1)–1.6 mmol (Table S11, entry 5) when the amount of **Ru-1** was varied from 2  $\mu$ mol–100  $\mu$ mol. Elongating the reaction time to 48 hours the amount of CO almost doubled, reaching 2.7 mmoles (Table S11, entry 6).

While no in-depth investigation was made to disclose the origin of CO, the temperatures  $(120-165 \,^{\circ}\text{C})$  and  $H_2/\text{CO}_2$  total pressure (80 bars) applied in this system are compatible with the reverse water gas shift reaction being one possible source of the detected CO.<sup>[86]</sup> Besides, CO might arise from decarbonylation of formaldehyde, the first product of formamide hydrogenation *en route* to CH<sub>3</sub>OH. Interestingly, **Ru-4**, with a methyl at the ligand nitrogen, also afforded a high amount of CO, 1.5 mmol, but almost no CH<sub>3</sub>OH, when compared to catalyst **Ru-1**, 0.06 mmol CO, 2.0 mmol CH<sub>3</sub>OH (Table 2, entries 4 and 1, respectively). Excess CO represents a poison to the catalyst, due to the formation of a ruthenium dicarbonyl species, as established by Prakash in a detailed investigation into the ruthenium-pincer-catalyzed amine-assisted homogeneous hy-

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drogenation of CO<sub>2</sub> to methanol.<sup>[89]</sup> Indeed when hydrogenation of CO<sub>2</sub> was carried out using RuMACHO·BH Ru-1 in the presence of CO from the very beginning, a lower yield in CH<sub>3</sub>OH was obtained as to the same reaction without exogenous CO (Table S12, entry 2 vs entry 1, 2.4 vs 3.0 mmol). The absolute amounts of formate and formamide instead were substantially unaffected, thus confirming Prakash's findings that the biscarbonyl complex is able to catalyze the hydrogenation of CO<sub>2</sub> to formate salts but is unable to catalyze the formamide hydrogenation to methanol and amine.[89] The dicarbonyl species can be reverted back to the active ruthenium dihydride at high hydrogen pressure. The lower efficiency of Ru-2 compared to Ru-1 as to CH<sub>3</sub>OH yield (Table 2, entry 2 vs entry 1) can therefore be ascribed to the higher sensitivity of the latter to CO: in Ru-2, the Ru-CO bond is stronger, due to the higher electron density at the metal, which renders CO displacement by hydrogen more demanding.<sup>[89]</sup> Finally, the effect of dilution and absorbent amount on methanol productivity were analyzed. By increasing the amount of THF, from 10-20 up to 30 ml (Table S13), the amount of CH<sub>3</sub>OH slightly increased from 3.0-3.2 up to 3.8 mmol. The most striking influence was on CO formation which was more than halved by dilution, going from 1.6 (Table S13, entry 1) to 0.72 mmoles at the highest dilution (Table S13, entry 3). This result is in line with what observed at higher catalyst concentration (Table S11). Doubling the amount of TBA·Arg from 5 (Table S14, entry 1) to 10 mmol (Table S14, entry 2), in 10 mL THF under otherwise identical conditions, more than doubled the amount of formate and formamide (1.2 vs 2.1 mmol and 3.5 vs 9.8 mmol, respectively) while this had only limited effect on CH<sub>3</sub>OH, the amount of which only went from 3.0-3.8 mmol. This result demonstrates that TBA·Arg is a good candidate for  $CO_2$  capture and activation towards Ru-1 promoted hydrogenation to formate and its subsequent condensation to formamide, yet further reduction of the latter to CH<sub>3</sub>OH is guite demanding.

Based on the information gathered through screening of ionic liquids, catalyst precursors and reaction parameters, an experiment combining all potentially favorable conditions was performed enabling an almost threefold increase of methanol yield to 5.8 mmol (Figures 5–6 and Table S15). As to the ionic liquid, the combination of the TBA cation and the L-arginine anion provided the best reaction system among those tested. Although the two basic amino acid L-arginine and L-lysine may be both combined with the TBA cation, to afford ionic liquids which are equally effective in the capture of  $CO_2$  and its further **Ru-1**-catalyzed hydrogenation to formate (Table 1, entry 1 vs entry 2), the L-arginine anion if far more "helpful" in the subsequent hydrogenation to  $CH_3OH$ . The main difference between the two is the presence of the guanidino group in L-arginine.

TBA-Arg. is synthetized from an aqueous solution of NBu<sub>4</sub>·OH and L-arginine in its zwitterionic form.<sup>[90]</sup> Despite prolonged heating under high vacuum, the resulting ionic liquid is invariably contaminated with "confined" water.<sup>[91]</sup> As a result, the side-chain guanidino group, with its very high  $pK_{a3} = 13.8$ ,<sup>[93]</sup> is protonated and prefers to form a bound hydroxo complex, even in the presence of high hydroxide ion concen-



**Figure 6.** TBA·Arg-aided hydrogenation of CO<sub>2</sub> promoted by Ru-MACHO-BH **Ru-1** under stepwise optimized conditions. General conditions: Ionic liquid TBA·Arg, solvent, catalyst, pressure  $CO_2/H_2$  (bar/bar), 150 °C.

tration (with or without the additional presence of water).<sup>[94]</sup> This also explains why the protonated guanidino group, unlike the  $\alpha$ -NH<sub>2</sub> of the arginine anion, is not formylated. Yet the amount of methanol obtained with TBA·GB is comparable to that obtained with TBA·Arg (Table 1, entry 1 vs entry 14). In this case CH<sub>3</sub>OH likely arises from **Ru-1** catalyzed ester hydrogenation. Both *n*-BuOH, arising from base promoted degradation of the TBA cation, and *n*-BuOOCH, have been detected in the THF post-reaction solution when TBA·GB was used (Figure S13–S14). However, the TBA cation degradation is observed even with TBA·Lys. Yet the amount of CH<sub>3</sub>OH detected is inferior to that provided by CO<sub>2</sub> hydrogenation in the presence of TBA·GB under otherwise identical conditions (Table S16). Therefore, we conclude that the guanidinio group is crucial for CH<sub>3</sub>OH formation.

It is known that unsubstituted or mono-substituted guanidinium cations are strong H-bond donors and by establishing hydrogen bonding interactions with proper substrates they can accelerate and control organic reactions.<sup>[95]</sup> In the present case, interaction of the arginine moiety with either formamide or formate esters may enhance their electrophilic character and thus favor their reduction, contributing to the superior performance of TBA· Arg. However, it might also contribute to CO<sub>2</sub> activation in the first place: L-arginine is the most common amino acid in CO<sub>2</sub> binding pockets of enzymes.<sup>[95, 97]</sup> The available solid state structures of CO<sub>2</sub> binding proteins show a common motif in which the protonated side chain of a basic amino acid residue, either arginine or lysine, is hydrogen bonded to one oxygen atom of CO2.<sup>[98]</sup> As a result, CO2 is further polarized making the central carbon more electrophilic and thus more reactive to nucleophilic attack. Unfortunately, the productivity in CH<sub>3</sub>OH could not be improved by recycling experiments because, under the applied conditions, the TBA cation underwent base degradation to tributyl amine, butene and butanol (Figure S7). The extent of degradation was mainly affected by the temperature (Figure S17) but blank experiments

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showed that also the **Ru-1** hydride, as nucleophile, can probably attack the TBA cation, as degradation was less in the absence of hydrogen (Table S2, entry 3 vs entry 4).

Yet, we have demonstrated that TBA·Arg can effectively chemisorb CO<sub>2</sub> and "activate" it towards its catalytic hydrogenation to formate and CH<sub>3</sub>OH, without the need for extra base or additives. This is due to the presence of an amino group capable of fixing CO<sub>2</sub>, an integrated strong base for catalyst turnover and a hydrogen bond donor for substrate activation. Direct CO<sub>2</sub> capture from air was also possible, although limited to a captured CO<sub>2</sub>/TBA·Arg ratio of 0.35, (to be compared with 1.09 in water<sup>(57)</sup>) due to the poor solubility of TBA·Arg in 1,4dioxane at room temperature (S8, Figure S19). The captured CO<sub>2</sub> could be hydrogenated under the optimized conditions with 94% conversion and 80% selectivity in CH<sub>3</sub>OH (Table S17).

Alternative processes can be envisaged where these features can be advantageously exploited to transform  $CO_2$  in added value products, which however do not require forcing conditions which induce cation degradation. Investigations in this direction are currently underway in our laboratory.

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# **Conflict of Interests**

The authors declare no conflict of interest.

# Data Availability Statement

The data that support the findings of this study are available in the supplementary material of this article.

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