

Determination of affinity of tetrahydrozoline enantiomers towards various cyclodextrins

Affinity reversal observed based on structure of cyclodextrins

Structure of guest-host complexes determined based on NMR spectroscopy

Noncovalent forces in guest-host complexes computed

Good correlations were observed between computed and experimentally observed affinity patterns

1 **Separation of tetrahydrozoline enantiomers in capillary electrophoresis with**
2 **cyclodextrin-type chiral selectors and investigation of chiral recognition mechanisms**

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16

17 **Abstract**

18 The recognition power and affinity pattern of various cyclodextrins (CD) towards the
19 enantiomers of tetrahydrozoline (THZ) were studied using capillary electrophoresis (CE). As
20 expected, affinity of THZ enantiomers and selectivity of recognition towards CD
21 derivatives was strongly dependent on the cavity size and substituent type and pattern on
22 the CD rims. Not only were the affinity strength and selectivity of recognition affected by
23 the size of the cavity and chemistry of the CDs but also the affinity pattern. Another
24 interesting example of opposite affinity pattern of enantiomers towards α - and β -CD was
25 observed here. In addition, opposite affinity pattern of THZ enantiomers was seen
26 towards β -CD and its acetylated derivatives, while methylation of β -CD did not affect the
27 affinity pattern of THZ enantiomers. In order to get more information about structural
28 mechanisms of the multivariate dependences mentioned above, rotating frame
29 Overhauser enhancement spectroscopy (ROESY) and computation techniques were used.
30 Significant differences between the structure of THZ complexes with different CDs with
31 both methods were encountered. Good correlations between experimentally determined
32 and computed structure of complexes, as well as between computed complex stabilities
33 and enantiomer migration order (EMO) in CE were observed.

34

35 *Keywords:*

36 Capillary electrophoresis; Nuclear magnetic resonance spectroscopy; Molecular Modeling,
37 Tetrahydrozoline; Cyclodextrins; Chiral recognition mechanism.

38

39 1. Introduction

40 Capillary electrophoresis (CE) has been established as a particularly useful technique for
41 analytical scale separation of enantiomers [1-4]. For this purpose, CE is widely used for
42 problem solving in pharmaceutical [5], bioanalytical [6], environmental [7], food [7,8], and
43 forensic analysis [7,9], as well as in analytical chemistry [7] and several other related fields.
44 However, for abovementioned applications, CE has two strong rivals such as high-
45 performance liquid chromatography (HPLC) [10-12] and supercritical fluid chromatography
46 (SFC) [13]. Both of these techniques are competitive to CE for analytical scale separation of
47 enantiomers. In addition, they offer possibilities for preparative, and even product scale
48 separations [14-18]. For the latter, CE may have some potential [19-21] but is not commonly
49 used. However, there is another application for what CE has an enormous strength and, in our
50 opinion, currently there is no separation or non-separation technique that can compete with
51 CE for this application. This field is investigation of noncovalent interactions. In the last
52 decades, the interest toward noncovalent interactions have been growing more and more, and
53 advancements in theoretical physical chemistry and computational techniques allowed for
54 improving knowledge of noncovalent forces and developing new applications in several fields
55 [22]. However, noncovalent interactions are weaker compared to covalent forces, and this
56 inherent weakness makes their identification, profiling and application in solution more
57 challenging compared to the solid state [23]. Instrumental separation methods based on
58 noncovalent interactions, such as gas chromatography (GC), and in particular HPLC and SFC,
59 can also be used to study these interactions [24-26]. In addition, non-separation methods, such
60 as nuclear magnetic resonance (NMR) spectroscopy [27-29], optical spectroscopy [30,31],
61 mass-spectrometry [32,33], atomic force microscopy and spectroscopy [34,35] and several
62 other techniques are also suitable for this purpose. Moreover, computation methods provide
63 useful tools for understanding possible structural mechanisms and computing forces involved

64 in inter- and intra-molecular noncovalent interactions [36-39]. Not only is a better
65 understanding of noncovalent interactions important for a further advancement of chemistry
66 but also for pharmacology, medicine, biology, physics and other related fields. CE offers
67 unmatched detection sensitivity of weak intermolecular interactions in a liquid phase, this
68 being an essential advantage for this purpose [40]. This study on the intermolecular
69 interactions between the sympathomimetic drug tetrahydrozoline (THZ) and complexing
70 agents cyclodextrins (CDs) discusses how CE based on its high sensitivity in sensing
71 intermolecular interactions challenges other techniques (on their current level of
72 development) for detecting and understanding the fine mechanisms of (enantioselective)
73 intermolecular interactions.

74

75 **2. Materials and Methods**

76 *2.1. Chemicals*

77 Racemic tetrahydrozoline (tetryzoline) (2-(1,2,3,4-Tetrahydro-1-naphthalenyl)-4,5-
78 dihydro-1H-imidazole, Fig. 1a), deuterium oxide (D₂O, 99 atom % D), sodium deuterioxide
79 (NaOD, 40% w/w in D₂O, 99.5 atom % D), phosphoric acid (85%), deuterated phosphoric
80 acid (85% w/w in D₂O, 98 atom % D), diethylamine, native α -, β - and γ -CDs, heptakis(2,6-
81 di-O-methyl)- β -CD (H-2,6-DM- β -CD) and heptakis(2,3,6-tri-O-methyl)- β -CD (TM- β -CD)
82 were purchased from Sigma-Aldrich (Saint-Louis, MO, USA). Heptakis(2,3-di-O-acetyl)- β -
83 CD (HDA- β -CD) and heptakis(2,3-di-O-methyl)- β -CD (H-2,3-DM- β -CD) were prepared in
84 our laboratory according to an earlier described methods [41]. Selectively sulfated β -CD
85 derivatives, such as heptakis(6-O-sulfo)- β -CD (HS- β -CD), heptakis(2,3-di-O-methyl-6-O-
86 sulfo)- β -CD (HDMS- β -CD), heptakis(2,3-di-O-acetyl-6-O-sulfo)- β -CD (HDAS- β -CD) and
87 heptakis(2-O-methyl-3,6-di-O-sulfo)- β -CD (HMDS- β -CD) were kindly provided by Cyclolab
88 (Budapest, Hungary). The schematic structures of CDs used in this study are shown in Fig.

89 1b. (+)- and (-)-THZ pure enantiomers were obtained as described in the subsection 2.2.
90 Water was of Milli-Q quality (Millipore, Bedford, MA, USA). The background electrolyte
91 (BGE) and sample solutions were filtered through Polypure polypropylene membrane filters
92 (0.45 μm) from Alltech (Laarne, Belgium) before use.

93

94 2.2. *Preparation of tetrahydrozoline enantiomers*

95 The enantiomers of THZ were separated by HPLC using a Lux Cellulose 3 chiral
96 column (4.6 mm \times 250 mm with 5 μm particles, Phenomenex, Torrance, CA, USA)
97 thermostated at 25°C. A mixture of n-hexane/propan-2-ol/diethylamine (80:20:0.1, v/v/v) was
98 used as a mobile phase at a flow rate of 1.1 mL/min. A baseline separation of enantiomers
99 with the resolution factor of 2.1 was obtained in 10 minutes with (-)-THZ eluting before the
100 (+)-THZ (data not shown). Two fractions, corresponding to the (-)- and (+)-enantiomers of
101 THZ, were separately collected. The purities of the collected fractions of (-)- and (+)-THZ
102 were more than 99.5% enantiomer excess (ee) and 99.3% ee, respectively, based on HPLC.
103 These enantiomerically “pure” fractions were used for spiking racemic THZ for determining
104 EMO in CE.

105 It has been reported in earlier literature that THZ undergoes racemization via an
106 imine–enamine tautomerism when enantioseparated on Chiralpak AD column in the mobile
107 phase consisting of mixtures of ethanol, n-hexane and diethanolamine (DEA) [42]. This
108 phenomenon was not observed on Lux Cellulose 3 column under the conditions mentioned in
109 this subsection. However, we observed a racemization process under the analytical conditions
110 used for NMR experiments as mentioned below.

111

112 2.3. *Capillary electrophoresis*

113 Enantioseparation of spiked THZ samples ((+)/(-) = 4/1) by CE was performed in 100
114 mM phosphoric acid buffer at pH 3.20 (adjusted with triethanolamine (TEA)) as background

115 electrolyte (BGE). Experimental conditions were as follows: uncoated fused-silica capillary,
116 50 μm I.D. and 375 μm O.D. and 31 (40) cm effective and total lengths, respectively. The
117 samples were injected by pressure (50 mbar) for 2 s. The separation was performed under
118 constant current mode (150 μA), the separation temperature was 20°C and the detection
119 wavelength was set at 210 nm. Before each injection, the capillary was washed with 1 M
120 NaOH for 2 min, then with BGE for another 2 min and finally, with BGE containing the
121 chiral selector for another 2 min. At the end of each working day, the capillary was rinsed for
122 30 min with 0.1 M NaOH, 30 min with the BGE and 15 min with water. Capillary wash
123 cycles were performed at a pressure of approximately 1 bar. The EMO was assigned by using
124 the abovementioned spiking experiment.

125

126 2.4. *NMR experiments*

127 NMR spectra were recorded with a Bruker AVANCE 700 (Bruker Biospin, Fällanden,
128 Switzerland), fitted with an inverse 5 mm QXI S4 700 probe head, z-gradient unit and
129 variable temperature controller, and with a Varian Mercury Plus 300 (Varian Inc., Palo Alto,
130 CA, USA), fitted with a 5 mm ATB ^1H , $^{19}\text{F}/^{13}\text{C}$, ^{31}P probe head and z-gradient and variable
131 temperature units. The concentration of the analytes in the NMR samples was about ca. 30-
132 fold higher than in the CE experiments so that reproducible NMR spectra could be obtained.
133 The solvent was 50 mM D_3PO_4 in D_2O , adjusted to an apparent pH 3 with sodium deuterioxide
134 in D_2O (40% wt). Mixtures of enantiomerically pure THZ (1.8-3.9 mg in every case) with α -
135 CD (15.1 mg), β -CD (10.0 mg) and HDAS- β -CD (10.0 mg) were each prepared by dissolving
136 in 0.7 mL solvent. All samples were vortexed for 1 min and filtered through 0.45 μm
137 polypropylene filters prior to data acquisition.

138 The ^1H 90° hard pulse width was optimized for each sample. The ^1H resonance
139 frequency was 700.13 MHz and the ^1H spectral width was set to 14493 Hz. All NMR signals
140 were assigned on the basis of COSY, TOCSY and HSQC data, when appropriate. For the 1D

141 ROESY experiments, the duration and the potency of the shaped pulse (Gaussian) were
142 chosen according to the desired selectivity (180° pulse duration was arbitrarily set to 20, 40 or
143 60 ms, depending on more or less isolated spin systems). The duration of the low power pulse
144 for mixing was 400 ms for all samples. The potency of this pulse was calculated according to
145 that of a 90° pulse of 125 ms duration. The number of transients in each 1D ROESY
146 experiment was set to 512. The ¹³C NMR spectra of THZ were recorded at 75 MHz (¹H
147 resonance frequency 300.16 MHz) with a ¹³C spectral width of 15625 Hz (2500 scans each).
148 All NMR experiments were run at 25 °C and every NMR spectrum was processed with the
149 Mestre NOVA software (version 14.2.0, Mestrelab Research S. L., Santiago de Compostela,
150 Spain).

151

152 2.5. *Molecular modeling calculations*

153 All calculations were performed by using the Spartan '10 Version 1.1.0 program
154 (Wavefunction Inc., Irvine, CA, USA) [43]. The 3D structure of β-CD was released from
155 Cambridge Structural Database (CSD) [44], entry AGAZOX [45]. HDAS- and HMDS-β-CD
156 were built using CSD entry ICUFAN (heptakis(2,3,6-tri-O-acetyl) β-CD) as a template [46].
157 For HDAS-β-CD, the acetyl groups were removed from the O_{6n} positions (1 ≤ n ≤ 7) (see
158 Supplementary data, Fig. S1 for the numbering of the CD skeleton) and replaced with sulfate
159 substituents in all glucopyranose units. For HMDS-β-CD, all acetyl groups were removed and
160 replaced with methyl and sulfate substituents at O_{2n} and O_{3n} / O_{6n} positions, respectively. The
161 3D structures of both THZ enantiomers (*S* and *R*) were generated from CSD entry SAXJIK
162 (tetrahydrozoline hydrochloride) [47], and treated as doubly protonated, in accordance with the
163 experimental pH = 3.2, thus bearing a positive charge unit after removing one of the chloride
164 counteranions. All structures were prepared using the build function, model kits and tools
165 provided by Spartan '10 for building and editing organic molecules. For each structure,
166 geometry optimization was performed using the MMFF94s force field [48]. In addition,

167 geometry optimization and computation of electrostatic potential isosurfaces (V_S) (isovalue
168 0.002 au) (given in kcal/mol) of β -CD, HDAS- and HMDS- β -CD were also performed
169 employing the Hartree-Fock (HF) method with STO-3G as basis set. The electrostatic potential
170 in a point \mathbf{r} ($V(\mathbf{r})$) is given by equation 1

$$171 \quad V(\mathbf{r}) = \sum_A \frac{Z_A}{R_A - \mathbf{r}} - \int \frac{\rho(\mathbf{r}') d\mathbf{r}'}{|\mathbf{r}' - \mathbf{r}|} \quad (1)$$

172 where Z_A is the charge on nucleus A located at R_A , and $\rho(\mathbf{r})$ is the electron density function.

173 The sign of $V(\mathbf{r})$ is positive or negative if the effect of either the nuclei (first positive
174 term) or that of electrons (second negative term) is the dominant one, respectively. The V_S maps
175 were graphically generated through the graphical interface of Spartan '10 and, by convention,
176 colours toward red or blue depict negative or positive potentials, respectively while colours in
177 between (orange, yellow, green) refer to intermediate potential values. The complexes between
178 the two THZ enantiomers and the three CDs were modelled by manually docking each analyte
179 into the CD cavity. Then, each complex was submitted to a conformational systematic search
180 using the Monte-Carlo algorithm, examining 1000 conformers spanning possible shapes open
181 to a flexible molecule without consideration of energy, and keeping 10 low-energy conformers.
182 On this basis, the lowest-energy conformations of all complexes ($92.6 \leq$ Boltzmann population
183 (%) ≤ 100 in almost all cases) underwent geometry optimization using the MMFF94s force
184 field. All geometry optimization procedures were performed in vacuum and water (SM5.4
185 model) [49]. Surface representations of THZ/CD complexes were graphically generated by
186 using the Chimera 1.13.1 software [50]. The binding energy ($E_{binding}$) between each THZ
187 enantiomer and CD was calculated on the basis of the energies of the CD/THZ complex, CD
188 and THZ enantiomer (eq. 2).

$$189 \quad E_{binding} = E_{complex} - E_{THZ} - E_{CD} \quad (2)$$

190 Binding energy values are given in kcal/mol.

191

192 3. Results and Discussion

193 3.1. CE separation of THZ enantiomers

194 The separation parameters and observed migration order of THZ enantiomers are
195 summarized in Table 1. Among native CDs α -CD exhibited the lowest recognition towards
196 THZ enantiomers while its enantioselectivity pattern with THZ was opposite to that with β -
197 and γ -CDs (Fig. 2a-c). This is another interesting example of enantiomer affinity reversal
198 caused by the size of CD cavity [51-55]. Selective methylation of β -CD in positions 2 and 3
199 (H-2,3-DM- β -CD) or in positions 2 and 6 (H-2,6-DM- β -CD), as well as the permethylation
200 in all available positions 2, 3 and 6 (TM- β -CD), did not significantly improve the resolving
201 ability nor did it affect the affinity pattern of THZ enantiomers. In earlier studies the quite
202 different separation ability and opposite affinity pattern towards TM- β -CD compared to β -CD
203 was reported for the enantiomers of chlorpheniramine [56], brompheniramine [57],
204 dimethindene [58] and verapamil [59] among other chiral compounds. Of the CD derivatives
205 studied in this project the best enantiomer resolving ability was exhibited by HDAS- β -CD,
206 even at the lowest concentration of all the CDs used in this study (Fig. 2d). The enantiomer
207 migration order with HDAS- β -CD was opposite to that with native β -CD. Perhaps,
208 acetylation of the wider secondary rim of β -CD is responsible for this affinity reversal since
209 the affinity pattern of THZ enantiomers towards neutral analogue of HDAS- β -CD, namely
210 heptakis (2,3-di-O-acetyl)- β -CD (HDA- β -CD), was the same as towards HDAS- β -CD with
211 (+)-THZ reaching the detector first (Fig. 2e). The high enantiomer resolving ability of HDAS-
212 β -CD, as well as the opposite affinity of enantiomers towards HDAS- β -CD and HDA- β -CD
213 compared to β -CD are in good agreement with numerous earlier studies [31,60-64]. The
214 affinity pattern of THZ enantiomers when using another sulfated, single component derivative
215 of β -CD, namely HMDS- β -CD was also opposite to that seen with β -CD. Interestingly,

216 opposite enantiomer affinity patterns between β -CD and HMDS- β -CD was also observed for
217 many other analytes, as reported in our earlier studies [65-67].

218 Thus, CE experiment also on THZ enantiomers confirmed that HDA- β -CD, HDAS- β -
219 CD and HMDS- β -CD recognize the enantiomers based on an apparently quite different
220 mechanism compared to the native β -CD. Further studies using NMR spectroscopy were
221 performed on THZ complexes with the three CDs, namely α -CD, β -CD and HDAS- β -CD.

222

223 3.2. *NMR study of THZ / CD complexes*

224 All ^1H NMR signal assignments are shown in Table 2. As already mentioned and
225 unlike in our HPLC analyses (-)-THZ easily racemized in the presence of all the studied CDs
226 under the conditions used for NMR experiments. The racemization was especially clearly
227 visible in the ^{13}C -NMR spectra (Fig. 3). Although the guest-CD molar ratios were not exactly
228 the same in each sample these spectra also indicate that HDAS- β -CD differentiates the
229 enantiomers of THZ better than β - and, especially α -CD. This is supported by the increased
230 number of ^{13}C -NMR signals due to nonequivalence of complexation induced chemical shifts
231 for enantiomers, as well as based on the values of chemical shift differences between the
232 diastereotopic signals (Fig. 3). This observation in NMR spectroscopy correlates well with the
233 observations in CE.

234

235 3.2.1. *(-)-THZ / α -CD complex*

236 The ^1H -NMR spectrum of the mixture of (-)-THZ and α -CD was very crowded with
237 some critical overlapping between the THZ and α -CD protons (Fig. 4). Thus, the discussion
238 regarding the possible structure of the complex was mostly based on the shape (multiplicity)
239 and intensity of NOE responses. Some of the irradiation experiments were quite informative.
240 Clear NOE response was observed on the H-3 and H-5 hydrogens of α -CD (Fig. 4) upon

241 irradiation at the partially overlapped H-5' and H-6' and also the H-7' protons of THZ. When
242 the H-8' hydrogens of THZ were irradiated a strong NOE response was observed on the H-3
243 protons of α -CD while the effect on the H-5 hydrogen atoms of α -CD was almost negligible
244 (Fig. 4). Irradiation of H-1' protons of THZ resulted in NOE interaction only with the H-3
245 hydrogens of α -CD. No reliable intermolecular NOE response was observed when THZ H-2',
246 H-3' and H-4' protons were excited. It has to be mentioned that the H-3 of α -CD is partially
247 overlapped with the imidazoline protons of THZ and with α -CD's H-6. Thus, one can suspect
248 that the NOE responses upon irradiation on THZ positions considered above may be the result
249 of TOCSY correlations (which is an intramolecular not an intermolecular effect). However,
250 the shape (clearly a triplet) and the coupling constant (ca. 10 Hz) seen in the ROESY
251 experiment are compatible with an intermolecular NOE interaction involving the H-3 protons
252 of α -CD, as mentioned above. Based on these results a structure of the THZ / α -CD complex
253 was derived as shown in Fig. 5a. This structure was confirmed based on the irradiation of
254 protons of α -CD and observing the NOE interactions on THZ protons (data not shown).

255

256 3.2.2. (-)-THZ / β -CD complex

257 The ^1H NMR spectrum of the mixture of (-)-THZ and β -CD looked better resolved
258 than that of the THZ and α -CD mixture (Fig. 6). Intermolecular NOEs involving the internal
259 H-3 and H-5 hydrogens of β -CD point at an inclusion complex. These NOE interactions look
260 more intense with the H-3 protons compared to H-5 protons of β -CD. Irradiation at H-3
261 protons of β -CD gives NOE response mainly with the aromatic H-8' and aliphatic H-4' of
262 THZ, but not with imidazoline nor the aliphatic H-1', H-2' and H-3' protons of THZ. This
263 indicates at an inclusion complex in which the aromatic moiety of THZ enters the β -CD
264 cavity through the wider opening, while the imidazoline ring remains out of the cavity. Thus,
265 the most likely structure of the (-)-THZ / β -CD complex in solution looks as shown in Fig. 5b.

266 Interestingly, inclusion of the analyte molecule into the CD cavity seems to be somewhat
267 deeper compared to α -CD.

268

269 3.2.3. (-)-THZ / HDAS- β -CD complex

270 The ^1H NMR spectrum of the mixture of (-)-THZ and HDAS- β -CD was the best
271 resolved among all THZ-CD mixtures used for NMR experiment in this study (Fig. 7). We
272 found only two sites in the ^1H spectrum with some degree of overlapping, namely one of the
273 two H-6 protons of HDAS- β -CD overlapped with the H-1' protons of the tetrahydronaphthyl
274 moiety of THZ, and the H-4 and H-5 hydrogens of HDAS- β -CD which completely
275 overlapped to each other. Since there were two signals assignable for H-6 protons of HDAS-
276 β -CD the one involved in abovementioned overlapping was not considered for diagnostic
277 purposes. In addition, it is less likely that the H-4 external hydrogens get directly involved in
278 intermolecular interactions with an analyte, especially when inclusion complexes are formed.
279 Thus, one may assume that intermolecular NOE responses observed on overlapping signals of
280 H-4 and H-5 protons of HDAS- β -CD at 4.11 ppm are solely due to HDAS- β -CD H-5
281 hydrogens.

282 Upon irradiation of H-5' and H-7' protons of THZ significantly stronger response was
283 observed on the abovementioned overlapped signals of H-4 and H-5 protons of HDAS- β -CD
284 compared to that on H-3 protons. This difference in the intensity of the NOE response was
285 also the case yet less expressed when the H-6' protons of THZ were irradiated. The NOE
286 response on HDAS- β -CD hydrogens was weak upon irradiation at the H-8' protons of THZ
287 but among all the HDAS- β -CD hydrogens the overlapped H-4 and H-5 protons of HDAS- β -
288 CD gave the strongest NOE. There was no significant (reliable) NOE response observed on
289 HDAS- β -CD protons upon irradiation of any other proton of THZ. Thus, based on these

290 results the structure of the complex as shown in Fig. 5c could be proposed. This structure was
291 confirmed by irradiation at some HDAS- β -CD positions (data not shown).

292 There was no major difference between the NMR-derived structures of complexes of
293 THZ with α - and β -CD, although the affinity pattern of THZ enantiomers towards these two
294 CDs were opposite to each other. On the other hand, the structure of THZ complex with
295 HDAS β -CD is very different from the structure of the same guest molecule with α - and β -
296 CDs.

297

298 3.3. Molecular modelling

299 3.3.1. Modelling of native and sulfated β -CDs

300 In the native β -CD the cyclic oligosaccharide is linked by seven α -(1,4) glucose units which
301 are all in the 4C_1 chair conformation (Supplementary data, Fig. S1) [68]. The cavity shape of β -
302 CD is relatively rounded. In order to monitor the extent to which the cavity shape of the β -CD
303 adapts after calculations Chao and co-authors used ΔR_{l-s} which is defined as the calculated
304 difference between the longest and the shortest O \cdots O distances from the seven pairs of
305 opposing glycosidic oxygen atoms of the CD (Supplementary data, Fig. S1) [68]. A small value
306 of ΔR_{l-s} indicates that the cavity shape is rounded, whereas a high value reveals an elliptic
307 distorted cavity. On this basis, the calculated structure of β -CD with MMFF94s force field and
308 the HF/STO-3G method proved to be consistent with the crystal structure of the CD (CSD,
309 entry AGAZOX) with ΔR_{l-s} of 0.150, 0.011 and 0.172, respectively (Supplementary data, Table
310 S1 and Fig. S2). Otherwise, persubstitution of both primary and secondary hydroxyl oxygen
311 atoms tend to increase CD flexibility, due to the absence of intramolecular hydrogen bonds (**H-**
312 **bonds**) sustaining the roundness of the ring. In this case, the macrocycle may become elliptically
313 distorted. For instance, this situation has been observed for peracetylated β -CD, the acetyl side
314 chains interfering with the ability of the peracetylated system to form inclusion complex [46].

315 In accord with these observations, distorted rings were observed in calculated structures of both
316 HDAS- and HMDS- β -CD (Supplementary data, Fig. S3). Coherently, flexibility and ellipticity
317 of the ring skeleton, measured as ΔR_{l-s} increases following the order β -CD < HMDS- β -CD <
318 HDAS- β -CD (Supplementary data, Tables S2-S4). Thus, the primary hydroxyl group region
319 appeared wider (Supplementary data, Fig. S4).

320 Given that, with the aim of exploring comparatively the impact of CD shape, which is given
321 by the sum of geometry and electron distribution, on the enantioseparation results, V_S of β -CD,
322 HDAS- and HMDS- β -CD were calculated and compared. Indeed, V_S proved to be useful to
323 assess electronic properties and interaction capability of specific atoms and sites [36]. In Figure
324 8, the V_S of the three CDs are compared with the same V range, defined by the limits of the
325 most positive potential calculated for the β -CD (39.0 kcal/mol) and the most negative potential
326 calculated for the HMDS- β -CD (-556.1 kcal/mol).

327 On one hand, the comparison of the overall electronic distribution showed that the electron
328 charge density increases in the order β -CD < HDAS- β -CD < HMDS- β -CD. On the other hand,
329 the surface area of the CDs (\AA^2) increases following the order β -CD (924.22) < HMDS- β -CD
330 (1701.17) < HDAS- β -CD (1763.02). In this regard, it is worth noting that for HDAS- β -CD a
331 bowl-shaped structure was calculated with an extended surface exposed. In particular, the
332 secondary rim of HDAS- β -CD is completely closed by the self-inclusion of the 2,3-di-O-acetyl
333 groups, as reported for the heptakis(2,3,6-tri-O-acetyl)- β -CD [46].

334 Finally, the evaluation of the electron charge density distribution in each CDs showed other
335 differences between the three CDs (Supplementary data, Fig. S4). In Fig. S4,a-c the three CDs
336 are viewed from the secondary hydroxyl rim, whereas in Fig. S4,d-f the views from the primary
337 hydroxyl rim are depicted. In the β -CD, the secondary rim (Fig. S4a) shows more electron
338 charge density compared to the primary rim (Fig. S4d). The opposite situation occurs for the
339 HMDS- β -CD (Fig. S4c vs S4f) and even more for the bowl-shaped HDAS- β -CD (Fig. S4b,e).

340

341 3.3.2. Modelling of THZ / CD complexes

342 After conformational systematic search using the Monte-Carlo algorithm, the calculated
343 lowest-energy conformations showed Boltzmann population (BD%) ranging from 92.6 to 100%
344 in almost all cases. Only in the case of the complex (*S*)-THZ / HMDS- β -CD two low-energy
345 conformers were found with the (*S*)-enantiomer located at the secondary (60.8%) and the
346 primary rim (39.2%), respectively. In Table 3 calculated $E_{binding}$, $\Delta E_{binding}$, and calculated and
347 experimental EMO are summarized.

348 A surface-based representation of the calculated complexes are depicted in Figure 9.

349 The energy calculations of the CD complexes were performed with and without
350 consideration of aqueous medium. It is interesting to note that the calculated affinity pattern of
351 THZ enantiomers towards β -CD on the one side, and HMDS- β -CD and HDAS- β -CD, on the
352 other one, was opposite. This is in a good agreement with EMO reversal between the same CDs
353 observed in CE. Absolute stereochemical configuration of (+)- and (-)-THZ is not known at
354 least to the best of our knowledge. Based on CE experiments of this study and computed affinity
355 pattern of (*S*)- and (*R*)-THZ towards the CDs one can assume that (+)-THZ has the (*R*) and
356 (-)-THZ the (*S*) absolute stereochemical configuration. This is in a good agreement with the
357 absolute stereochemical configuration of structural analogues of THZ described in the literature
358 [69]. Thus, as this study shows CE in combination with molecular modelling techniques can be
359 used for a tentative assignment of absolute stereochemical configuration of chiral compounds.
360 In the complexes of both enantiomers with β -CD, an HB between the imidazole proton and the
361 3-OH was observed with distances of 1.680 and 1.625 Å for (*S*)- and (*R*)-enantiomers,
362 respectively. Shorter H-bond distances ranging from 1.515 to 1.577 Å were found for both
363 HMDS- β -CD and HDAS- β -CD complexes, respectively, involving the imidazole protons and
364 the charged sulfate regions.

365 It has to be noticed that although the computed energy of complexes correlates well with
366 the affinity pattern of THZ enantiomers in CE, the energy differences between the complexes

367 of two enantiomers with CDs do not correlate that well with the recognition power observed in
368 CE experiment. Thus, for instance, from the results reported in Table 1 it is obvious that HDAS-
369 β -CD is much better chiral selector compared to native β -CD or HMDS- β -CD for THZ
370 enantiomers. Molecular modelling data, especially in aqueous medium, do not indicate any
371 preference of HDAS- β -CD over two other CDs from the viewpoint of recognition selectivity
372 (Table 3).

373

374 **4. Conclusions**

375 The affinity patterns of THZ enantiomers towards native α -, β - and γ -CD and single
376 component neutral and sulfated derivatives of β -CD were studied by CE. Changes on these
377 patterns were observed depending on the cavity size of the CD, and on the presence and
378 location of substituents in the case of β -CD derivatives. Based on CE experiments anionic
379 HDAS- β -CD appeared to be the best chiral selector providing the highest separation factor of
380 enantiomers at the lowest concentration in the background electrolyte. In order to gain some
381 insight regarding the structural reasons of the affinity reversal of THZ enantiomers towards α -
382 CD and β -CD, as well as between β -CD and HDAS- β -CD, the structures of the complexes of
383 THZ enantiomers with these 3 CDs in the solution were studied based on 1D ROESY
384 experiments. Only minor structural differences were observed between the complexes of THZ
385 with both native CDs whereas the structure of the THZ / HDAS- β -CD complex was very
386 different from those with native CDs. Molecular modeling studies performed on β -CD,
387 HDAS- β -CD and HMDS- β -CD indicated that self-inclusion of the acetyl moieties into the
388 cavity of HDAS- β -CD through its secondary rim hinders the inclusion of the analyte by the
389 same side of the CD. This may explain why the inclusion of aromatic moiety of THZ into the
390 cavity of HDAS- β -CD occurs through the narrower primary rim.

391

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396

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649 α -adrenoceptors, J. Med. Chem. 30 (1987) 1011-1017. DOI: 10.1021/jm00389a009.
- 650
- 651

652

653 **Figure legends:**

654 **Fig. 1** Structure of THZ and schematic representation of CDs under this study.

655 **Fig. 2** CE separation of THZ enantiomers (R/S = 2/1) with various CDs. For experimental
656 conditions see subsection 2.3.

657 **Fig. 3** ^{13}C -NMR spectra of pure (-)-THZ (a) and (-)-THZ mixed with α -CD (b), β -CD (c)
658 and HDAS- β -CD (d). Some regions bearing cyclodextrin signals have been cut for
659 convenience.

660 **Fig. 4** ^1H NMR spectrum and selected 1D ROESY traces of THZ : α -CD mixture. For
661 experimental conditions see subsection 2.4.

662 **Fig. 5** Structures of THZ : α -CD (a), THZ : β -CD (b) and THZ : HDAS- β -CD complexes (c)
663 as deduced from ROESY experiments.

664 **Fig. 6** ^1H NMR spectrum and selected 1D ROESY traces of THZ : β -CD mixture. For
665 experimental conditions see subsection 2.4.

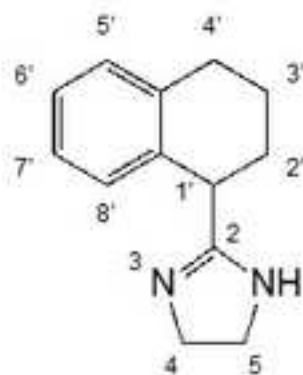
666 **Fig. 7** ^1H NMR spectrum and selected 1D ROESY traces of THZ : HDAS- β -CD mixture. For
667 experimental conditions see subsection 2.4.

668 **Fig. 8** Comparison between V_s (kcal/mol) of β -CD, HDAS- and HMDS- β -CD (HF/STO-3G).

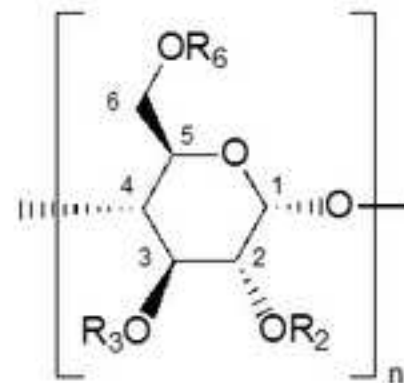
669 **Fig. 9** Structures of THZ : CD complexes calculated with the MMFF94s force field.

670

a)



b)



CD	n	Substituent		
		R ₂	R ₃	R ₆
α-CD	6	H	H	H
β-CD	7	H	H	H
γ-CD	8	H	H	H
H-2,3-DM-β-CD	7	CH ₃	CH ₃	H
H-2,6-DM-β-CD	7	CH ₃	H	CH ₃
TM-β-CD	7	CH ₃	CH ₃	CH ₃
HDA-β-CD	7	COCH ₃	COCH ₃	H
HS-β-CD	7	H	H	SO ₃ ⁻
HDMS-β-CD	7	CH ₃	CH ₃	SO ₃ ⁻
HDA5-β-CD	7	COCH ₃	COCH ₃	SO ₃ ⁻
HMD5-β-CD	7	CH ₃	SO ₃ ⁻	SO ₃ ⁻

Fig. 1

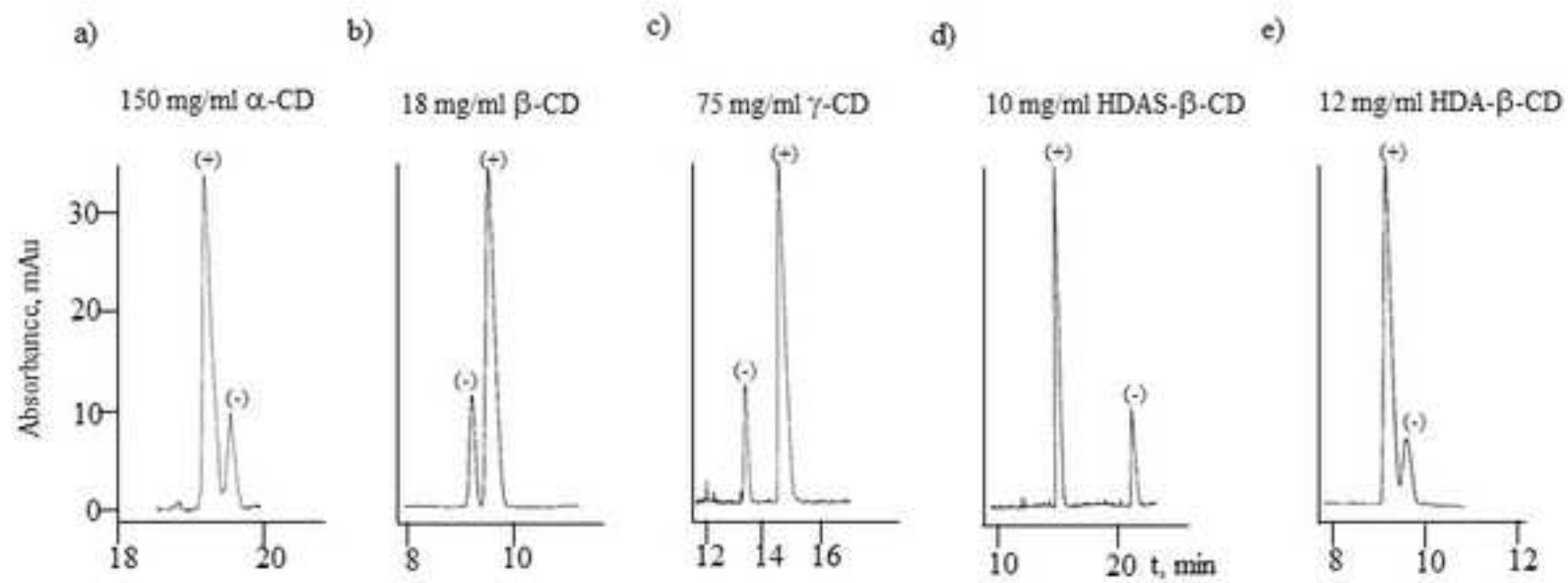


Fig. 2

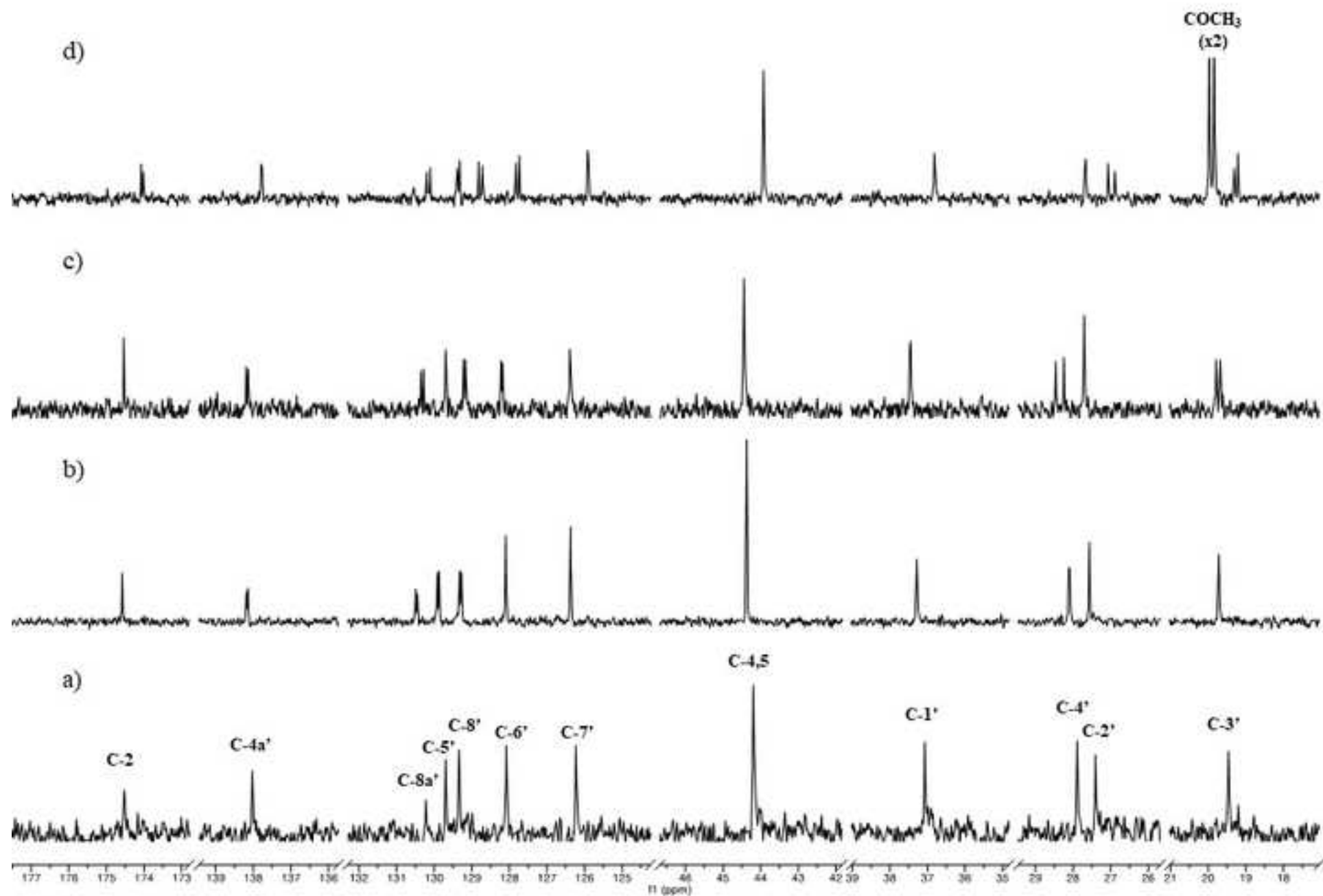


Fig. 3

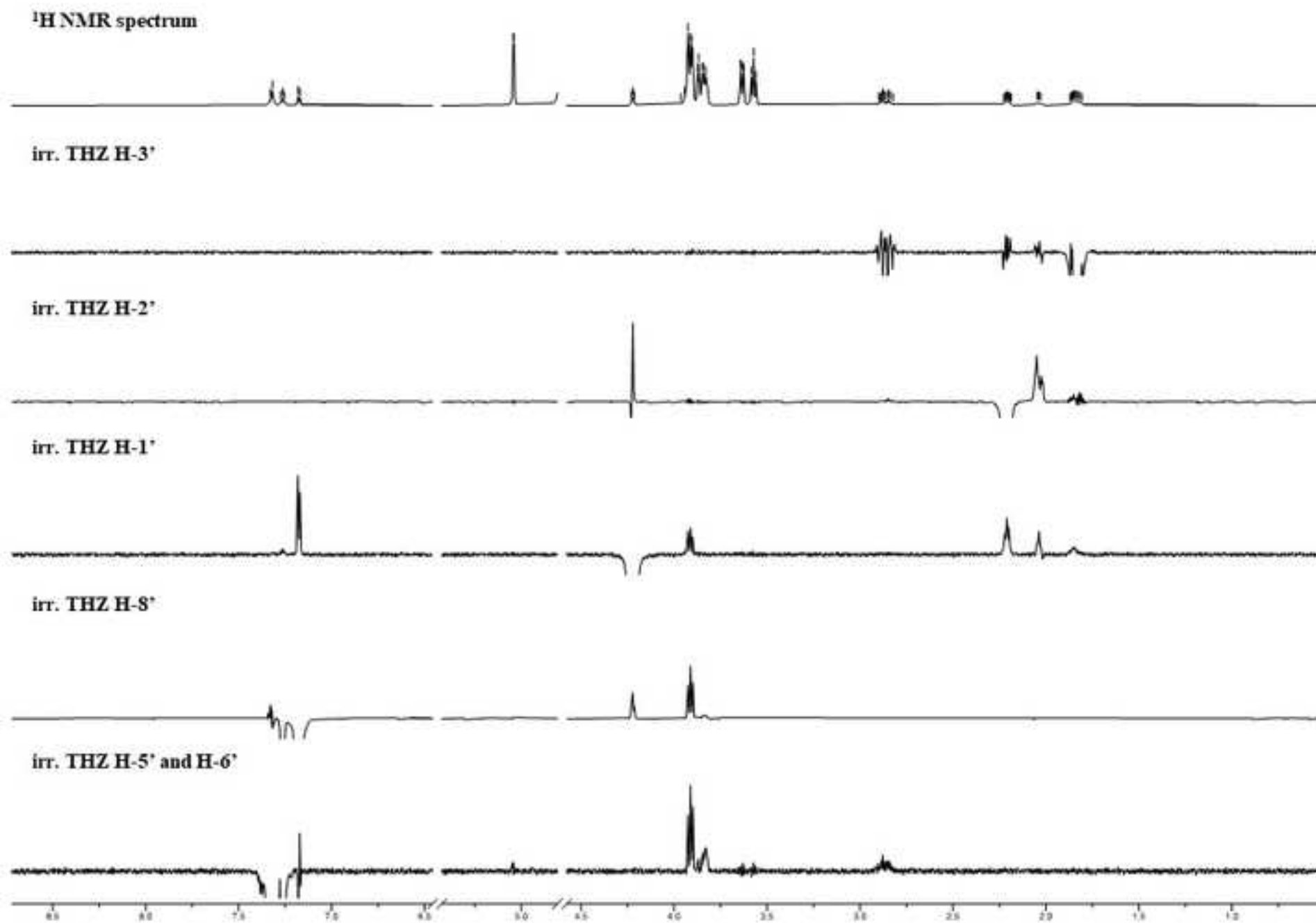


Fig. 4

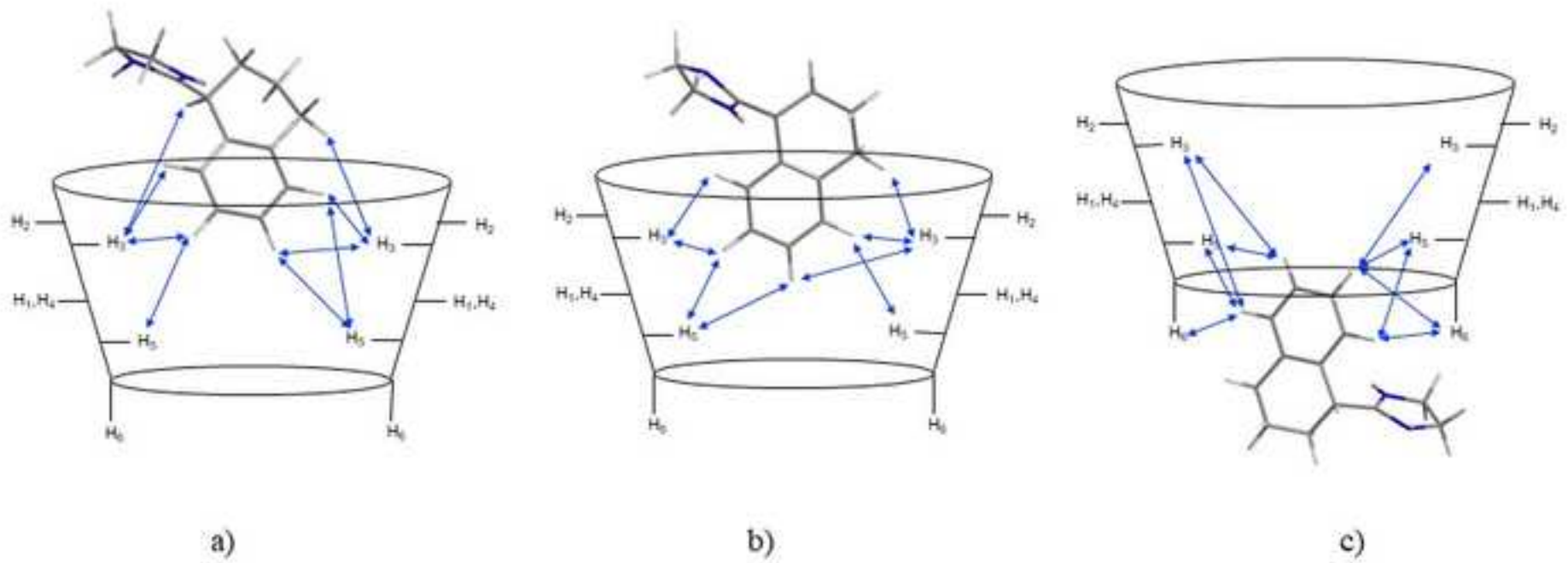


Fig. 5

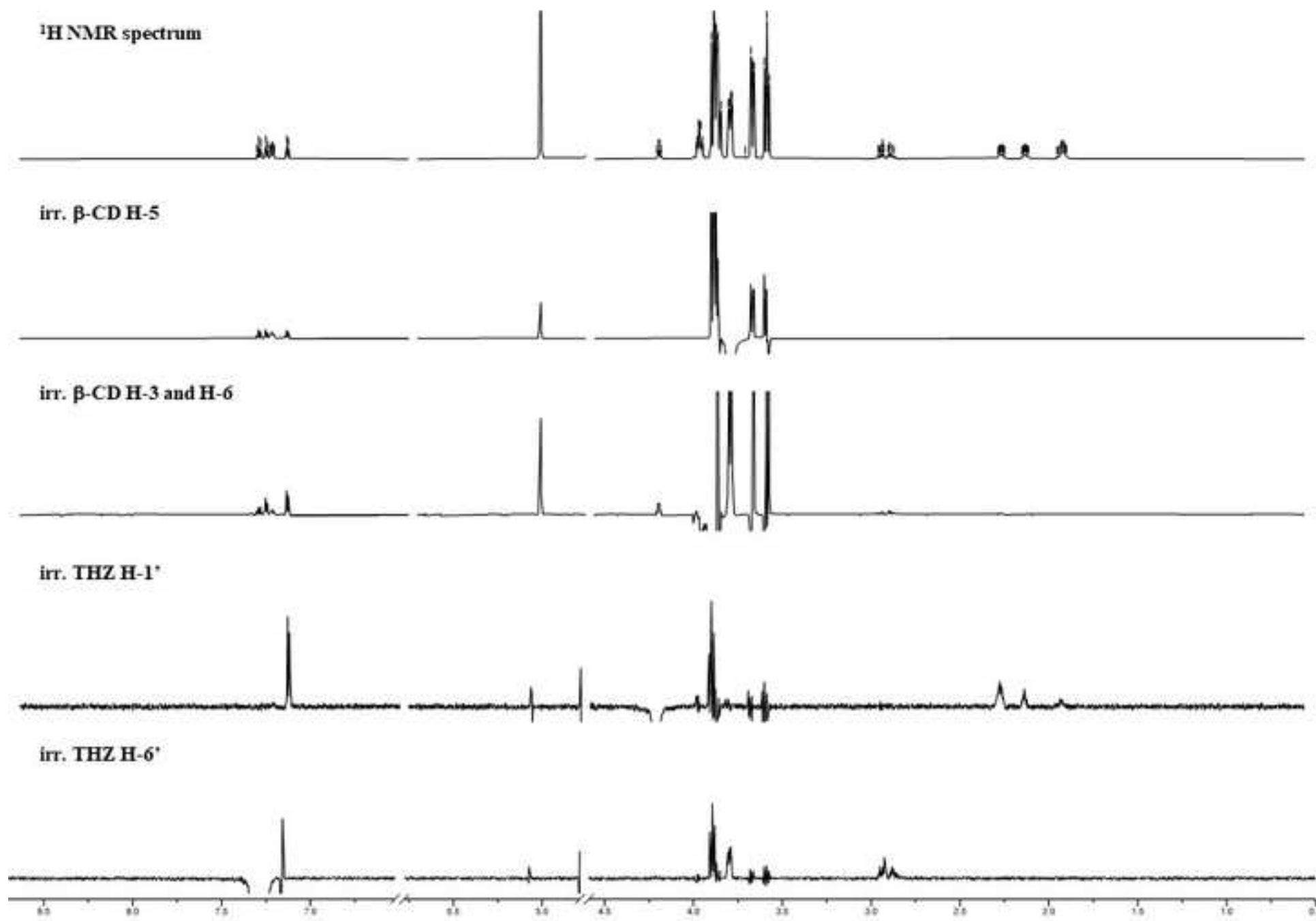


Fig. 6

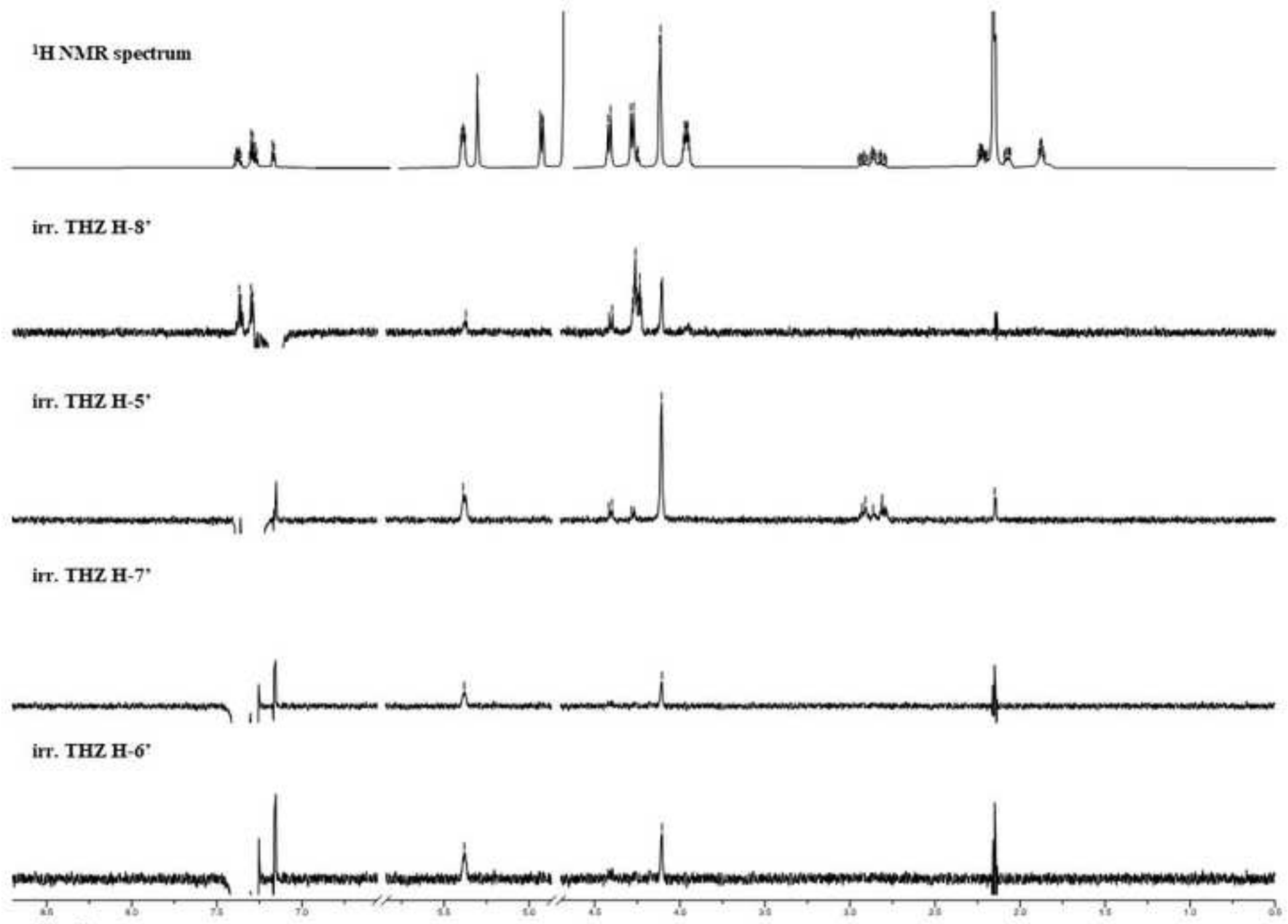


Fig. 7

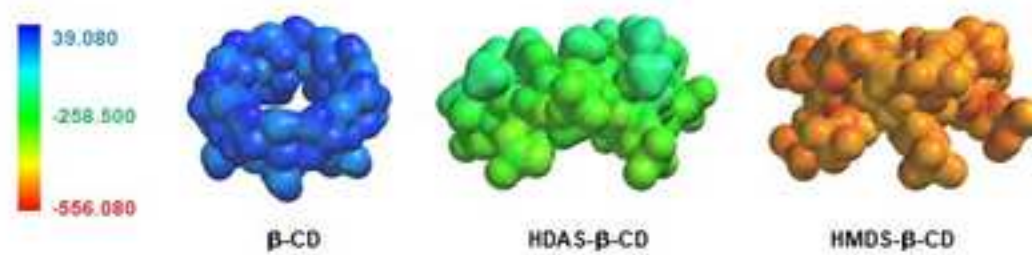


Fig. 8

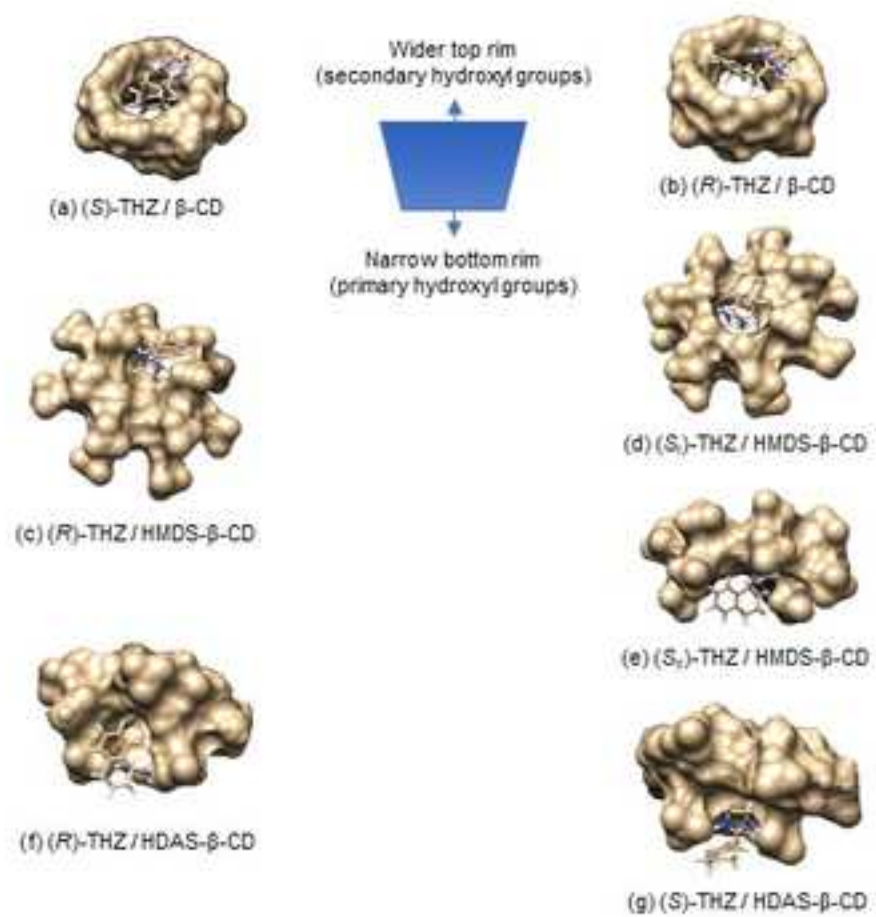


Fig. 9

Table 1 Separation of tetrahydrozoline enantiomers (1/2 mixture) with various cyclodextrins

Cyclodextrin	Concentration, mg/ml	t ₁ , min	t ₂ , min	α	Migration order
α -CD	150	18.89	19.34	1.02	+/-
β -CD	18	9.22	9.63	1.04	-/+
γ -CD	75	13.40	14.75	1.10	-/+
H-2,3-DM- β -CD	100	33.40	34.70	1.04	-/+
H-2,6-DM- β -CD	50	14.14	14.48	1.02	-/+
H-TM- β -CD	150	11.99	12.44	1.03	-/+
HDA- β -CD	12	9.54	9.96	1.04	+/-
HS- β -CD	50	17.93	18.72	1.04	-/+
HDMS- β -CD	50	9.31	9.38	1.01	-/+
HDAS- β -CD	10	14.99	20.63	1.38	+/-
HMDS- β -CD	30	7.12	7.52	1.06	+/-

Table 2¹H signal assignments (ppm) in the (-)-THZ complexes with α-CD, β-CD and HDAS-β-CD.

Position	Complex		
	(-)-THZ/α-CD	(-)-THZ/β-CD	(-)-THZ/HDAS-β-CD
<u>(-)-THZ*</u>			
H-4	~3.92 (x4)	~3.98 (x4)	~3.96 (x4)
H-5			
H-1'	4.22	4.21	4.25
H-2'	2.04, 2.20	2.10, 2.24	2.87 (x2)
H-3'	1.84 (x2)	1.88 (x2)	1.87 (x2)
H-4'	2.86 (x2)	2.88, 2.93	2.07, 2.22
H-5'	7.31	7.28	7.27
H-6'	7.33	7.33	7.37
H-7'	7.29	7.25	7.29
H-8'	7.16	7.16	7.16
<u>CD</u>			
H-1	5.04	5.07	5.30
H-2	3.63	3.67	4.92
H-3	3.93	3.89	5.39
H-4	3.57	3.59	4.11
H-5	3.83	3.78	4.11
H-6	3.86, 3.91	3.86, 3.90	4.28, 4.41
2-OAc	-	-	
3-OAc	-	-	2.14, 2.15

* most signals are doubled due to racemization of (-)-THZ.

Table 3

Binding energies of the THZ / CD complexes (CD = β -CD, HDAS- and HMDS- β -CD) calculated in vacuum (v) and water (w) (SM5.4 model) with the MMFF94s force field.

Entry	CD	Medium	THZ	Energy [kcal/mol]		Enantiomer Migration Order (EMO)	
				$E_{binding}$	$\Delta E_{binding}^a$	calculated	experimental
1	β	v	<i>R</i>	-39.56	4.59	(<i>S</i>) > (<i>R</i>)	(-) > (+)
2			<i>S</i>	-34.97			
3		w	<i>R</i>	-24.75	6.09	(<i>S</i>) > (<i>R</i>)	(-) > (+)
4			<i>S</i>	-18.66			
5	HMDS	v	<i>R</i>	-620.21			
6			<i>S</i> _I ^b	-625.11	-4.9 (<i>S</i> _I)	(<i>R</i>) > (<i>S</i>)	(+) > (-)
7		<i>S</i> _{II} ^b	-625.26	-5.05 (<i>S</i> _{II})			
8		w	<i>R</i>	-86.44			
9			<i>S</i> _I ^b	-90.03	-3.59 (<i>S</i> _I)	(<i>R</i>) > (<i>S</i>)	(+) > (-)
10			<i>S</i> _{II} ^b	-104.84	-18.4 (<i>S</i> _{II})		
11	HDAS		v	<i>R</i>	-334.82	-15.54	(<i>R</i>) > (<i>S</i>)
12		<i>S</i>		-350.36			
13		w	<i>R</i>	-33.40	-2.91	(<i>R</i>) > (<i>S</i>)	(+) > (-)
14			<i>S</i>	-36.31			

^a $\Delta E_{binding} = E_{binding(S)} - E_{binding(R)}$

^b *S*_I: (*S*)-THZ located at the wider secondary rim of the HMDS- β -CD; *S*_{II}: (*S*)-THZ located at the narrow primary rim of the HMDS- β -CD.



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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

B. Chankvetadze

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