

1 **Safe nanotechnologies for increasing effectiveness of environmentally friendly natural**
2 **agrochemicals**

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24 Abstract

25 Natural compounds and living organisms still have a limited use in crop protection, and only a few of
26 them have reached the market, despite their attractiveness and the efforts made in research. Very
27 often those products have negative characteristics compared to the synthetic compounds, e.g.
28 higher costs of production, lower effectiveness, lack of persistence, and inability to reach and
29 penetrate the target plant. Conversely, nanotechnologies are having an enormous impact in all
30 human activities, including agriculture, even if some nanomaterials are not environmentally friendly
31 to produce, or could have adverse effects in the agriculture and the environment. Thus, some
32 nanomaterials could facilitate the development of formulated natural pesticides, making them more
33 effective and more environmentally friendly. Nanoformulations can improve efficacy, reduce effective
34 doses, and increase shelf-life and persistence. Such controlled release products can improve
35 delivery to the target pest. This review considers some available nanomaterials and
36 nanotechnologies to be used in agriculture, discussing their properties and feasibility in the
37 perspective of their use in sustainable crop protection, in particular to improve the effectiveness of
38 natural bio-based agrochemicals.

39

40 1. Introduction

41 Biological constraints (e.g. fungal and bacteria pathogens, viruses, arthropods, and weeds) are
42 responsible for major losses in quality and yield of crops and grasslands. Effective pest
43 management represents a major challenge in modern agriculture, with a need to consider control
44 efficacy, cost affordability, environmental safety, toxicity towards non-target organisms, and
45 sustainability of the production system. Despite the progress in many technological fields, most of
46 the practices for managing these constraints are still based on the use of synthetic chemicals.
47 However, a large number of pesticides have already been withdrawn for regulatory reasons
48 because of their hazardous effects in the ecosystem or in the food chain, or because they have
49 become ineffective, due to increasing pesticide resistance.¹ These compounds are not being
50 effectively replaced, causing serious difficulties for farmers in managing pests. As a consequence,
51 there is a renewed interest in the development of alternatives to synthetic pesticides.

52 2. Potential and limits of natural agrochemicals - two faces of the same coin

53 Organisms interact with each other, protecting themselves from the others' attacks or combating
54 the others' defence barriers, by producing an enormous number of mostly still unexplored
55 secondary metabolites; e.g., allelopathic compounds, phytoalexins, antibiotics, repellents, fungal
56 toxins, antifeedants, and insecticides. These chemicals are the result of co-evolution of the
57 producing organism and its biotic environment, and could represent an extraordinary source of new
58 biologically active compounds, with novel chemical structures and mechanisms of action, to be
59 used in crop protection. Isolating and identifying these compounds has been an arduous task in the
60 past, but modern instrumentation (e.g. high throughput screening systems or advanced analytical
61 equipment) and sophisticated approaches (e.g. "omics" tools) have simplified this process and
62 reduced its costs.² Even though many natural compounds have been described, many have yet to
63 be discovered. There are some good examples of natural products used as herbicides (e.g.
64 bialophos produced by *Streptomyces higrscopic*), insecticides (e.g. spinosyns, a family of
65 macrocyclic lactones derived from species of the actinomycete bacterium *Saccharopolyspora*
66 *spinosa*), and fungicides (e.g. strobilurins named from *Strobilurus tenacellus*, a wood-rotting fungus

67 from which the first compound in this group was isolated), and many reviews are available on this
68 subject.^{3,4}

69 Despite the potential of natural metabolites to be used as safe and environmentally friendly
70 agrochemicals, some of their characteristics often concurrently represent possible constraints and
71 limiting factors for their practical application (Table 1).⁵ For example, natural agrochemicals could
72 offer novel chemical structures with new modes of action, but these compounds are often too
73 complex to be obtained by an affordable synthesis. They are obtained by from living organisms,
74 but in many cases in very modest amounts, or the purification procedures are too expensive and/or
75 not really environmentally friendly. They are believed to have minimal environmental impact, but
76 this characteristic is usually associated with a short half-life due to instability or excessive
77 biodegradability, making them commercially unattractive. Natural agrochemicals can be too
78 specific or slow acting, or may not reach the *in vivo* target. Sometimes they have to be applied at
79 very high rates, making them too expensive or hard to apply. Thus, although the list of promising or
80 proposed natural agrochemicals is enormous, their market is still quite limited, being less
81 competitive and satisfactory than synthetic agrochemicals.

82 3. Nanomaterials in agriculture

83 Nanotechnology could help change this scenario by developing new tools to improve effectiveness
84 of natural bioproducts and by overcoming the weaknesses and the factors limiting their use (Table
85 2). Nanoscale-based delivery systems usually range in particle size from 1 to 100 nm, although in
86 pharmaceutical science nanoparticles can be up to 1000 nm. Different definitions have been
87 proposed for nanomaterials. In 2011 the European Commission defined a nanomaterial in a more-
88 technical but wider-ranging way, as: “a natural, incidental, or manufactured material containing
89 particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or
90 more of the particles in the number size distribution, one or more external dimensions is in the size
91 range of 1–100 nm” ([https://eur-lex.europa.eu/legal-](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32011H0696&from=EN)
92 [content/EN/TXT/HTML/?uri=CELEX:32011H0696&from=EN](https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:32011H0696&from=EN)). According to the International
93 Organization for Standardization (ISO), a nano-object is “a discrete piece of material with one, two
94 or three external dimensions on the nanoscale, i.e. ranging from approximately 1 to 100 nm.

95 Nanoparticles are nano-objects with all external dimensions on the nanoscale, where the lengths of
96 the longest and shortest axes do not differ significantly. If the dimensions differ significantly,
97 typically by more than a factor of three, other terms, such as ‘nanofibre’ (two external dimensions
98 in the nanoscale) or ‘nanoplate’ (one external dimension on the nanoscale) may be preferred to the
99 term nanoparticle (<https://www.iso.org/standard/54440.html>). However, an “agreed” standard
100 definition of nanomaterial is still an open question, and will certainly have a strong influence on
101 regulatory development and industrial/research interests (see above). In this regard, a few years
102 ago, the European Food Safety Authority (EFSA) committed a study aimed to prepare an inventory
103 of nanotechnology applications in the agricultural, feed and food sector.⁶ As reported,
104 nanomaterials can have an organic or inorganic nature, or be derived by a combination of the two.
105 The main possible approaches by using nanomaterials in crop protection are: (a) the direct use of
106 inorganic nanomaterials as nanopesticides (NPs), such as noble metals or silicon-based materials;
107 (b) the use of natural/synthetic nanoscale delivery systems, such as natural polymers, to better
108 deliver active ingredients (AIs); and (c) the formulation of the currently available agrochemicals at a
109 nanoscale dimension, by preparing improved nanoformulations and nanodispersions.⁷

110 Although the use of nanomaterials can bring significant benefits to the agro-food sector, some
111 health and safety issues must be considered. The risk of using these technologies is mainly related
112 to the small size of the nanoparticles and their large surface area to volume ratio that increases
113 their reactivity, which could: cause easy dispersion, cross anatomical barriers, reach more distal
114 regions of the animal or human body, and display potential toxicity. In the agriculture sector,
115 handling of nano-fertilizers and pesticides, which can be easily dispersed into the soil, water, or
116 atmosphere, may increase the health risk of applicators and also increase environmental risks as
117 well. Thus, designing low-toxic, biodegradable and eco-friendly nanoparticles would be necessary.

118 3.1. Solid nanoparticles as nanopesticides and pesticide carriers

119 Many different nanoparticles have intrinsic pesticide properties and thus have been considered
120 both as potential active ingredients (nanopesticides) and as nanocarriers for the delivery of AIs.

121 3.1.1. “Inert nanomaterials”

122 This group includes a number of materials (amorphous nanosilica, nanoclays, nanohydroxyapatite)
123 of natural or synthetic origin, that have been considered eco-friendly pesticides because they act
124 mainly by physical mechanisms, being physio-sorbed by the cuticular lipids and disrupting the
125 protective epidermis layer.⁸ For example, different amorphous silica nanoparticles (SNPs) were
126 proved to be more effective than bulk silica against the rice weevil *Sitophilus oryzae*.⁹ Surface-
127 charged, modified hydrophobic silica NPs were successfully used to control some agricultural
128 insects and ectoparasites of veterinary importance.¹⁰ They were successfully applied as a thin film
129 on seeds to decrease fungal growth and boost cereal germination. Application of silica NPs on the
130 leaf and stem surface did not alter either photosynthesis or respiration in several groups of
131 horticultural and crop plants. They did not cause alteration of gene expression in insect trachea
132 and were, thus, qualified for approval as nanobiopesticides,¹¹ although their toxicity remains to be
133 understood.¹² A novel formulation based on silica NPs has also been proposed for improving the
134 effectiveness and slowing the release of the pro-insecticide chlorfenapyr with promising results.
135 Field tests showed that the insecticidal activity associated with silica NPs was at least twice that of
136 chlorfenapyr associated with microparticles or without particles and the insecticide release was
137 slowed down to over 20 weeks, providing high-localized concentration over a long time.¹³

138 Mesoporous silica nanoparticles (MSNs) are synthetic particles possessing well defined and
139 tuneable pore sizes (2–50 nm), large pore volumes, high surface areas with easily-modifiable
140 surface properties, chemical stability, resistance to microbial attack, tailorable nanostructures,
141 biocompatibility, and aqueous degradability.^{14,15} Moreover, MSN protect loaded active ingredients
142 (AIs) against enzymatic degradation since no swelling or porosity changes occur in response to
143 external stimuli, such as, pH and temperature.¹⁶ MSNs are excellent pesticide delivery carriers, as
144 their structural properties can be modified to either enhance or slow down release kinetics.^{17,18}
145 MSNs were studied for storage and controlled release of the fungicide metalaxyl. The fungicide,
146 loaded into MSN pores from an aqueous solution by a rotary evaporation method was released in
147 soil and water very slowly over 30 days.¹⁹ In other studies, MSNs proved to be effective carriers for
148 the delivery of the natural pesticide avermectin, being able to protect the AI against UV
149 degradation and to slower its release, dependently on the pore diameter and shell thickness.²⁰

150 Diatomaceous earth (DE) is almost pure amorphous silicon dioxide, made up of fossilised
151 phytoplankton. By milling, a fine, talc-like powder or dust is obtained, extremely stable and not
152 reactive, considered non-toxic to mammals. It acts as an insecticide by absorption of epicuticular
153 lipids and fatty acids, leading to desiccation in arthropods.²¹ Recent research has focused on
154 enhanced DEs with other insecticides to allow control at low doses. For example, a mixture of DE
155 with the plant (*Celastrus angulatus*) extract bitterbarkomycin (sesquiterpenes) was evaluated
156 against the grain pest *Rhyzopertha dominica*, and found to be effective at dose rates as low as 150
157 mg/kg of wheat.²²

158 Nanostructured alumina (NSA) was discovered and proposed as an effective insecticide against
159 two grain pests, *Sitophilus oryzae* and *Rhyzopertha dominica*. It was more effective compared to a
160 commercial diatomaceous earth product.²³ More recent research on NSA, synthesized using a
161 modified glycine-nitrate combustion process, revealed that the mechanism of insecticide action is
162 based on physical phenomena rather than on biochemical mechanisms. Moreover, particle size,
163 surface area, and morphology are key factors, which determine insecticidal efficacy. Modifications
164 of the synthesis route could allow achieving better results for the targeted species.^{24,25}

165 Nanoclays are thin sheets of organic silicate material (in the order of 1 nm thick and 70–150 nm
166 wide) produced from montmorillonite clays commonly found in volcanic ash. Their size is reduced
167 and surface modified to form bio-compatible and low-toxic nanoclays. They have been successfully
168 used as carriers for the plant growth regulator α -naphthalene acetate and for the controlled release
169 of the herbicide 2, 4-dichlorophenoxyacetate.²⁶ They were further studied as a carrier for the
170 natural antibiotic cinnamate,²⁷ which is a problematic agrochemical because of its rapid
171 degradation in soil and the high dosages necessary for its effectiveness. When loaded in
172 nanoclays, it proved to be released more slowly and to be retained in the soil for a longer period.
173 This indicates excellent promise of nanoclays to be used for slow/targeted delivery of pesticides
174 and fungicides, as well as DNA (see above).²⁸

175 3.1.2. Metal nanoparticles

176 Different metallic and metal oxide nanoparticles - MNPs (copper, silver, titanium oxide) have been
177 considered as antimicrobial agents. Because of their larger surface/volume ratio and their
178 crystalline structure, they more effectively trigger biological responses compared to the traditional
179 ionic form of the metals.²⁹⁻³¹ Although in some studies MNPs proved to be less toxic to mammalian
180 cells than their corresponding ionic forms and to have a prolonged effect as a source of elements
181 in an organism, as well as reduced risks for the environment and non-target organisms, their
182 possible use in large amounts for agricultural purposes is still an open debate. Their production
183 costs and regulatory obstacles are also issues that must be solved. Improvements in the process
184 of MNPs synthesis have been obtained by including organisms in their production. This green
185 synthesis has some advantages compared to other methods, as it is less costly, scalable for large
186 production, and avoids waste of energy and the use of harmful toxic substances.³² Hence, new
187 strategies using bioactive materials from various biological sources are of special interest. Groups
188 of different microorganisms including fungi, bacteria and yeasts, and plant extracts are being used
189 to produce NPs.^{33,34} By using this approach, recently *Trichoderma*-mediated Selenium
190 nanoparticles (SeNPs) were synthesized and used for controlling downy mildew disease in pearl
191 millet.³⁵ The antimicrobial activity of those MNPs seems to occur via: (1) photocatalysis-absorbed
192 photons leading to the release of superoxide radicals, which cause the death of bacterial, fungal,
193 and viral organisms by oxidation of critical molecular structures; (2) accumulation and dissipation in
194 the cell membrane, leading to membrane damage and release of cell contents; and (3) uptake of
195 metallic ions into cells followed by disruption of DNA replication.³⁶

196 Silver nanoparticles (AgNPs) have been studied for a relatively long time. They are the most active
197 MNPs, with both bactericidal and fungicidal efficacy. For instance, they were tested in lab
198 conditions against *Raffelia* sp., the fungal causal agent of oak wilt³⁷ and against a number of
199 other plant pathogens.³⁸ However, the potential side effects and the environmental impact of these
200 MNPs remain to be determined. A number of microorganisms, e.g. plant growth promoting
201 rhizobacteria (PGPR) were also used for the biosynthesis of AgNPs. For example, a strain of the
202 bacterium *Serratia* sp. isolated from agricultural soil showed the potential to synthesize AgNPs with
203 strong antifungal activity against *Bipolaris sorokiniana*, the spot blotch pathogen of wheat.³⁹

204 The antibacterial potential of photocatalytic nanoscale titanium dioxide (TiO₂) by itself or
205 augmented with other metals was evaluated against *Xanthomonas perforans*, the causal agent for
206 bacterial spot disease of tomato. The absorption of photons by TiO₂ resulted in the creation of free
207 electrons interacting with water molecules to create highly chemically reactive hydroxyl and
208 superoxide free radicals.²⁹ The extent of microbial killing varies as a function of the target
209 organism, the intensity of illumination, the efficiency of photo-catalysis, and the duration of
210 exposure. Both TiO₂ and Zn have been reported to have lower ecological and toxicological risks at
211 the application rates investigated than copper-based bactericides in normal use. TiO₂ occurs
212 naturally in soils and in highly purified form in many commercial products over decades, and is
213 classified as nontoxic.

214 In other studies,⁴⁰ copper nanoparticles were encapsulated and stabilized with highly
215 biocompatible gelatin that is expected to be advantageous for interaction of the particles with cell
216 membranes and their subsequent entry into the cell cytosol. Those NPs performed better than the
217 equivalent amount of their precursor CuCl₂ against Gram-positive and Gram-negative bacteria.
218 More recently, the same authors³⁶ showed in *E. coli* that the effect of NPs was due to multiple toxic
219 effects such as generation of reactive oxygen species, lipid peroxidation, protein oxidation and
220 DNA degradation. Copper NPs also have *in vitro* synergistic effects against some fungal
221 phytopathogens when combined with copper-based fungicides.⁴¹ However, evaluation of
222 environmental fate and possible adverse effects against non-target organisms under field
223 conditions should be determined.

224 3.2. Nanoemulsion and nanodispersions

225 One of the main limitations with the use of synthetic or natural pesticides is that they are generally
226 poorly water soluble, so they need to be dispersed in a liquid phase for application. This makes
227 necessary the use of large amounts of organic solvents to dissolve them. One way by which the
228 problem is solved in commercial formulations is to combine the pesticide with a surfactant, thus
229 increasing solubility for a suitable efficacy and uniform application in the field. In the case of liquid
230 pesticides, these formulations are called emulsifiable concentrates, while solid pesticides are
231 referred to as wettable powders. Typically, particle sizes for these formulations are in the micron

232 range (1–20 µm in diameter). However, this approach has some disadvantages such as increased
233 costs, more environmental pollutants, and user risks. Nanotechnology offers some more
234 environmentally friendly and sustainable alternatives such as dispersing the AI in a liquid as a
235 colloid in the form of nano-sized droplets or solid particles, stabilized with the aid of surfactants.⁴²
236 Nanoemulsions may be of the oil-in-water (O/W) or water-in-oil (W/O) types, depending on whether
237 the oil is dispersed as droplets in water, or vice versa.⁴³ Solid lipid nanoparticles (SLNs) are other
238 promising carrier systems are that can be used to transport nonpolar substances whose mobility is
239 restricted by interaction with the lipids.⁴⁴ Although the main objective is to improve water solubility,
240 recent research has shown improvements of other important properties of the pesticide, e.g. (1)
241 increase in bioavailability, due to a combination of greater surface area for exposure and enhanced
242 penetration into the target;⁴⁵ (2) enhanced stability, e.g. by protection from UV lights⁴⁶ or from
243 hydrolysis;⁴⁷ and (3) controlled release, to slow the release process, resulting in more sustained
244 exposure and longer term efficacy.^{48,49} Numerous reviews have compared AI nanoemulsions and
245 nanodispersions to the respective traditional commercial micro-formulations,^{42,50} and many others
246 have considered their technological properties or fabrication methods. Many studies have also
247 been carried out in order to improve effectiveness of natural agrochemicals by using these
248 approaches.⁵¹⁻⁵³ Being an enormous field of research, only a few examples have been discussed.

249 3.3. Polymer-based nanopesticides

250 Polymer nanoparticles and nanocapsules are composed by natural or synthetic polymeric
251 materials. Some of them have a desirable trait: they are biodegradable. The substances that can
252 be used for the synthesis of these nanodevices include starch, polypeptides, albumin, sodium
253 alginate, chitin, gelatin and cellulose amongst others (Figure 1). The first work in this area began
254 about 50 years ago by a German group⁵⁴ looking for pharmacologic applications.

255 Chitosan is a polymer that can be obtained by treating chitin from shrimp and other crustaceans
256 with a base (alkaline substance), producing a polymeric β-glucan. It is well known as an elicitor of
257 defence responses in plants and possesses antifungal properties, which makes it very attractive for
258 applications in plant protection.⁵⁵ However, it can be used as a carrier for pesticides when

259 synthesized in the form of nanoparticles, either alone⁵⁶ or in combination with other polymers.⁵⁷
260 This double function as nanocarrier and active substance itself, in addition to its origin from a
261 waste by-product from the fishing industry, turns chitosan into a promising material for
262 nanoformulating natural compounds.⁵⁸

263 Alginate is another linear β -linked polysaccharide isolated from the brown algae group
264 Phaeophyceae (commonly known as seaweed). It can be treated in several ways in order to
265 produce hydrogels, microspheres, nanoparticles and nanocapsules,⁵⁹ and combined with other
266 polymers such as chitosan,⁵⁷ producing a highly versatile system for nanoformulation of
267 agrochemicals. Experiments under field conditions with insecticides (imidacloprid) have shown that
268 a reduction in the dose of the active ingredient can be achieved, whereas the effectiveness of the
269 treatment is not compromised and is even improved in time.⁶⁰

270 Following with carbohydrate polymers, cyclodextrins are cyclic oligosaccharides composed of
271 between 6 and 8 glucose subunits (named α , β and γ cyclodextrins, respectively). They have a
272 hydrophobic core and a hydrophilic shell, and self assemble in aqueous solutions with other
273 components to form nanoparticles and aggregates. In addition, cyclodextrins can be conjugated
274 with other nanomaterials, enhancing their characteristics as nanocarriers.^{58,61} They have been
275 successfully loaded with fungicides⁶² and tested for treatment of fungal plant diseases in the
276 field,⁶³ or combined with fungicidal natural compounds such as geraniol.⁶⁴

277 Plants store energy in the form of starch, and being one of the most abundant biomass materials in
278 nature, it has multiple applications in industry. Nanostructures from starch have been developed,
279 leading to different results depending on the protocols, mostly producing nanocrystals and
280 amorphous nanoparticles.⁶⁵ They have been used for delivering nucleic acids inside plant cells⁶⁶
281 or producing slow release of insecticides⁶⁷ and fertilizers through nanocomposites.⁶⁸ This role for
282 protection and slow release of the active components makes starch-based nanodevices quite
283 attractive for combining them with natural compounds.

284 Lignins are cross-linked polymers of phenolic compounds that are constituents of the plant cell
285 walls. Nanoprecipitation methods with lignin produces nanoparticles that protect the coated

286 materials against corrosive agents⁶⁹ and degradation by UV and oxidants.⁷⁰ They can increase the
287 efficacy of herbicide application⁷¹ and open interesting possibilities for protecting natural
288 compounds against degradation by external agents. Additionally, many plant pathogens such as
289 fungi produce specific enzymes for degrading cell wall constituents (e.g. lignins), so these
290 nanocarriers could be targeted and degraded specifically at the places where the fungal pathogen
291 is acting, releasing the active ingredients.

292 Viruses have long been postulated as a means for pest control,⁷² but more recently they have
293 emerged as polyvalent nanocapsules capable of auto-assembly and carrying different substances
294 ranging from drugs to nucleic acids.⁷³ Specifically, plant viral particles are gaining importance in
295 this role, and some formulations have been developed and tested for delivering pesticides⁷⁴ and
296 natural compound⁷⁵ against parasitic nematodes.

297 There are many other biodegradable polymeric nanocarriers being developed that show promising
298 results for their use in nanoformulations for pesticides or natural compounds, such as those based
299 on zein,⁷⁶ cellulose,⁷⁷ lipid/protein nanodisks⁷⁸ or syntetic polymers (e.g. poly- ϵ -caprolactone).⁷⁹
300 Moreover, particularly intriguing for the practical “consequences” are the studies on nanopolymers
301 that are triggered under specific environmental or biotic conditions.⁸⁰

302 4. Toxicity and environmental impact

303 The idea of using nanomaterials for field applications in agriculture must be addressed carefully in
304 order to avoid creating new problems while solving other problems. For that reason, determination
305 of toxicity and potential negative environmental impacts of nanodevices is needed before approval.
306 One of the best ways to avoid this obstacle is turning to nanomaterials that have been proven
307 innocuous and safe for human consumption. However, this is not always a guarantee that massive
308 application in the field of a product already used by the food industry will not have negative
309 environmental effects, as it is the case of silver nanoparticles.^{81,82} Toxicity is a relevant question to
310 be tested before using a nanodevice for agricultural applications. Direct toxic effects of
311 nanoparticles are usually associated with their chemical composition and high specific surface area
312 (high reactivity), which makes them biologically reactive.⁸³ However, it is important to differentiate if

313 a compound produces cytotoxicity or it is toxic for the whole organism (acute or chronic). Because
314 of their high reactivity, some nanomaterials can be occasionally cytotoxic and lethal for individual
315 cells, but their effect on the whole organism is negligible and innocuous.

316 Nanomaterials can have damaging effects on plants, on other organisms, or affect environmental
317 processes. In the case of plants and algae, negative consequences can involve alterations in
318 photosynthesis due to several factors, such as reduction in light availability and gas exchange
319 leading to decreased CO₂ fixation,⁸⁴ or directly inactivating the plant photosystem and affecting the
320 electron transport chain.⁸⁵ Additionally, plant growth and physiology can be negatively altered,⁸⁶
321 and DNA damage (genotoxicity) has been reported.⁸⁷ Either terrestrial^{88,89} or aquatic fauna⁹⁰ can
322 be severely affected when exposed to certain nanoparticles at high concentrations. Also soil
323 microorganisms playing important beneficial roles, such as mycorrhizal fungi⁹¹ and bacteria⁸¹ can
324 show negative responses to the presence of some nanomaterials in their surroundings. These
325 effects can lead to altered properties in the soil such as microbial respiration, transport of liquid
326 and/or gases, and failed symbiotic relationships. Finally, nanomaterials may directly influence
327 environmental processes such as altering precipitation by acting as nuclei for raindrops,⁹²
328 interacting with pollutants and, consequently, altering their toxic effects,⁹³ disrupting nutrient
329 cycles,⁹⁴ or detrimentally affecting water purification.⁹⁵

330 An important consideration about nanotoxicology is the experimental design. It is not easy to
331 develop sets of assays that provide reliable information about realistic conditions. Securing the
332 right dosage, proper way of application, exposure time, and parameters affecting the performance
333 of the nanomaterials in the different media (such as size, agglomeration, mobility, precipitation,
334 etc.) are key factors that might compromise the validity of the results.⁹⁶

335 In general terms, an ideal nanodevice for using in agricultural applications should comply with the
336 following traits: firstly, being non-toxic and environmentally safe, in order to avoid further
337 contamination problems and a negative perception from consumers; secondly, synthesis and
338 production of nanodevices must be easily up-scaled; thirdly, they should be made with low cost

339 materials, in order that farmers can afford the cost of the new nanoformulated products and that
340 they are not more expensive than the current agrochemicals.⁹⁷

341 In order to clarify the needs and conform the procedures, very recently EFSA has prepared a
342 Guidance on risk assessment of nanoscience, nano-objects and nanotechnology applications in
343 the food and feed chain on humans and animals. The document provides more insights to
344 physicochemical properties, exposure assessment and hazard characterisation of nanomaterials,
345 suggesting how to establish whether a material is a nanomaterial, the key parameters that should
346 be measured and the methods and techniques that can be used for characterisation of
347 nanomaterials.⁹⁸

348 5. Nano- and bio-technological approaches for developing a new generation of 349 agrochemicals

350 In the last two decades, RNA interference (RNAi)-based technology has resulted a powerful tool for
351 engineering pest-resistant crops,⁹⁹ opening the door for new agrochemical design. The application
352 of the RNAi technology is based on the delivery of double-stranded RNA (dsRNA) or small interfering
353 RNA (siRNA) to gene silencing. Thus, RNAi can be considered as a natural gene-based technology
354 for highly specific pest control. The use of RNAi in pest management has been widely studied in
355 different organisms showing the potential utility of this technology in both basic and applied science.
356 For instance, expression of transgenes in wheat plants for production of dsRNA targeting fungal
357 genes coding for MAP-Kinase and cyclophilin caused a pronounced reduction of the leaf rust
358 infection by *Puccinia triticina*.¹⁰⁰ Immunity to *Fusarium graminearum* was observed in transgenic
359 barley targeting the sterol 14 α -demethylase (CYP51) genes of the fungus.¹⁰¹ In addition, when using
360 this RNAi technology to silence a critical gene for survival of an insect pest, resistance of the
361 transgenic plants was observed.¹⁰²⁻¹⁰⁴ Indeed, a new genetically engineered corn based on RNAi
362 technology was developed and already approved by the US-EPA. It will reach the market soon and
363 will help the US farmers to control the corn rootworm (CRW).¹⁰⁵ Finally, this RNAi technology has
364 also been used against viruses, bacteria and nematodes.¹⁰⁶⁻¹⁰⁸ However, either because of political,
365 regulatory, or technical difficulties, transgenic crops are not always a viable solution. Hence, topical

366 application of dsRNA for pest control is emerging as an appealing alternative to genetically modified
367 crops. One of the most large-scale field studies was conducted by Hunter *et al*¹⁰⁹ to test the ability
368 of the topical delivery of a dsRNA product to protect honeybees from infection by the Israeli Acute
369 Paralysis Virus, IAPV. The product was used as a food additive for over-wintering bees with
370 outstanding results regarding mortality and overall health. Topical spray delivery of dsRNA *in planta*
371 has been successfully reported to target insect pests feeding on the plant.^{110,111} Soil applications for
372 root absorption or trunk injections have also been addressed with positive results on gene silencing,
373 confirming that plant root can take up dsRNA and trunk injections facilitate the delivery of dsRNA
374 through xylem and phloem.¹¹⁰⁻¹¹²

375 The rapid degradation of naked dsRNA and it has been a major challenge towards its practical
376 application. In general, dsRNA is much more stable than single-stranded RNA, but it must be rapidly
377 taken up in the cells and digested into siRNA. Therefore, the use of nanomaterials as carriers to
378 reduce dsRNA degradation and to increase the cellular uptake of intact dsRNA has gained relevance
379 lately. Recently, Mitter *et al*²⁸ demonstrated that dsRNA can be loaded on non-toxic, degradable,
380 layered double hydroxide (LDH) clay nanosheets, known as "BioClay". Once loaded on LDH, dsRNA
381 does not wash off, shows sustainable release and can be detected on sprayed leaves 30 days after
382 application. Moreover, this study confirms that dsRNA could be translocated to untreated parts of
383 the plant affording virus protection even after a single spray. Another type of non-toxic and easily
384 biodegradable nano-carrier is the polymer chitosan. Zhang *et al*¹¹³ loaded RNAi in the mosquito
385 *Anopheles gambiae* using chitosan/dsRNA nanoparticles through larval feeding. Additionally, a
386 cationic core-shell fluorescent nanoparticle (FNP) has been successfully utilized as an efficient
387 dsRNA carrier to knock down key developmental gene expression and kill insect pests.¹¹⁴ Other
388 types of materials used for dsRNA protection with positive results are, liposomes,^{115,116} guanylated
389 polymers,¹¹⁷ carbon quantum dot, and silica nanoparticles.¹¹⁸

390 The use of nanomaterials for improved delivery systems will grow in coming years; therefore,
391 we could expect a remarkable increase in the broad range of materials used for dsRNA delivery.
392 This innovative RNAi delivery method was firstly developed for human therapeutics, and now
393 nanotechnology is being translated to crop protection as a sustainable strategy for pest

394 management, minimizing the impact in the environment and reducing the use of chemical pesticides.

395 6. Concluding remarks

396 Regulations for the registration and introduction of nano-agrochemicals into the market are still
397 missing. Uniform worldwide rules for defining nano-agrochemicals and for harmonizing the risk
398 assessment methods are needed.¹¹⁹ If the rules were based only on particle size, as in the case of
399 the EC recommendations, many recent so-called nanoformulations would be excluded.
400 Conversely, many products on the market for decades without posing particular problems (e.g.,
401 microemulsions, formulants such as clays and polymers) would be “suddenly” considered
402 nanomaterials. Moreover, the regulation of a formulation should rely on a science-based
403 assessment of new risks and benefits involved, not only in terms of individual ingredients, but also
404 based on how the whole nano-formulation behaves in the environment. Indeed, such products
405 have the potential to support better management of agricultural inputs and, thus, to reduce the
406 impact of modern agriculture. Additionally, potential risks derived from nanomaterial exposure
407 should be assessed using an appropriately tailored life-cycle perspective. This means taking into
408 account all the phases in which nano-formulations may be found, from the application into the field,
409 potential incorporation into food supply, to the disposal or re-use of the products together with
410 possible influences exerted by peculiar agro-system conditions that may all affect nanomaterial
411 hazardous properties and risk characterization. For instance, the improved bioavailability of a
412 nanopesticide may affect its environmental fate, as well as its toxicity or behavior once absorbed
413 by organisms. Therefore, a robust toxicological assessment of the potential risks associated with
414 the use of nanopesticides, both as nano-formulations of traditional active ingredients or
415 nanomaterials that exhibit pesticide activity, should be performed. The scientific community can
416 positively or negatively affect public opinion on nano-agrochemicals, depending on whether a
417 positive image of the technology (green, smart and safe technologies) is provided or the potential
418 risks are stressed. The purpose of achieving sustainable agriculture overlaps the need for the
419 development of a “green nanotechnology”, a conceptual approach to balance the benefits provided
420 by nano-products in solving environmental challenges with the assessment and management of
421 environmental, health, and safety risks potentially posed by nanoscale materials. However, to be

422 really 'sustainable' not only the safety and risks of the final product should be taken in
423 consideration, but also the whole process for its production (e.g. costs, environmental impact,
424 renewability of all the material used for its synthesis/production). A recent analysis of the literature
425 shows that the comparison studies between nano and conventional agrochemicals is insufficient to
426 assess the true gains in agrochemical efficacy from nano-enabled products.¹²⁰ Comparisons
427 between nanoformulations and AIs can explain changes in AI behaviour. Comparisons with
428 conventional formulations are necessary to show improved performance and competitiveness
429 against existing products. Thus, three-way comparisons (nano-, conventional formulated products
430 and AIs) would be strongly recommended in future research. The future of nanoagrochemicals
431 may follow two different scenarios.¹²¹ In the first, nanoagrochemicals may be considered as
432 emerging contaminants and the development of the technology will remain limited. In the second,
433 the establishment of highly collaborative and interdisciplinary research could provide fair
434 assessment of both risk and benefits, allowing the deep exploration of nano-agrochemical
435 potential.¹²⁰ Focused studies on safe nanotechnology for improving natural agrochemicals could be
436 an attractive green strategy.

437

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447

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791 Legend to Figures

792 Figure 1. Some of the most important natural polymers used for the synthesis of nanocarriers and
793 their source of origin.

794

795 Table 1 - Use of natural compounds as agrochemicals: the two sides of the same coin (inspired by
796 table 1 in Dayan et al, 2012⁵)

Expected advantages	Possible constraints
New and unusual structures	Chemical structures too complex
New sites and mechanisms of action	Unwanted activities against not-target organisms
Structures optimized for bioactivity	Physic-chemical properties not suited for the commercial/applicative needs
Eco-friendly products	Half-life too short
Expectations to be used at low doses	Low effectiveness
Obtainable from living organisms	Not suitable for industrial scaling-up
Extractable from renewable resources	Low yields/High costs of extraction
Faster and simpler screening and discovery procedures	Re-evaluation and production of already known compounds too expensive or not marketable
Higher acceptability by the public opinion	Registration procedures similar to chemicals
Possible lower registration costs	Limited intellectual protection

797

798 Table 2 - Some possible advantages of nanotechnology use to overcome natural agrochemical
 799 weaknesses (examples in the review and in the provided references)

Natural agrochemical weakness	Nanotechnology improvement
Solubility	Favour the solubility of low-soluble natural compounds
Ecological friendliness	Reduce or avoid the use of organic solvents for agrochemical delivery
Bioavailability	Modulate/slow down the release of the compound against the target/in the environment
Dose	Minimize/optimize effective doses
Mobility	Reduce the risks of leaching or volatilization
Target selection	Help the compound to selectively recognize/attack the target
Shelf-life	Preserve from degradation due to biotic and abiotic agents
Adhesion/penetration	Favour the stick on, or the penetration through plant or target surface
Non-target effects	Reduce the toxicity to non-target organisms

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