# Thermoresponsivity of poly(N-isopropylacrylamide) microgels in water-trehalose solution and its relation to protein behavior

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cal activity. In globular proteins the destruction of the native functional conformation sometimes gives rise to a fully unfolded (random coil) state, but in a number of cases occurs through partially unfolded states, which are compact and preserve the general architecture of the native structure [1]. It is well-known that such conformational transitions of proteins in solution are strongly affected by the K) from a coil conformation of the chains for T < LCST to a globule conformation above it. Such intramolecular collapse is also highly sensitive to the presence of additives, with the LCST being shifted by even small amounts of organic molecules [3–6], salts [7–9] and osmolytes [10–14]. Moreover, some experiments have shown that PNIPAM displays additional 'protein-like' behavioral traits, such as a cooperative character of the coil-globule transition [15] and the occurrence of a dynamical transition at low temperature [16]. Altogether, these features make PNIPAM potentially very useful as a synthetic biomimetic material,

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that could be employed as a simplified model to elucidate phenomena of high biological relevance. In this context, it would be crucial to shed light on the extent to which PNIPAM is capable of mimicking the protein sensitivity to the interaction with specific cosolvents or cosolutes.

To this aim, in the present study we focus on trehalose, an excellent bioprotectant, and use PNIPAM microgels to mimic the complex macromolecular environment of globular proteins, determining whether the additiveinduced effects on PNIPAM microgels and proteins in solution occur via similar molecular mechanisms. Microgels are cross-linked polymer networks of colloidal size, in which the temperature-induced coil-globule transition of the constituent polymer chains reflects into a drastic volume change, i.e. an ability to swell and deswell (so-called volume phase transition) [17]. Owing to the combination of being responsive while still having a stable crosslinked architecture these particles are, on one hand, particularly suited to represent a globular protein during the unfolding process and, on the other hand, able to amplify the effect of trehalose on PNIPAM-water interactions on a colloidal scale, by making it visible through the effect on the microgel hydrodynamic size.

Trehalose is a natural disaccharide, whose outstanding bioprotective capabilities have long been recognized and increasingly used as a way of preserving the biological activity of proteins and enzymes under physicochemical stress conditions that would normally promote lability or denaturation, such as cryogenic temperatures [18], heating [19], presence of chemicals [20], or dehydration [21]. Several studies contributed over the years to shed light on the molecular mechanisms governing the trehalose effectiveness in preserving the functional conformation of proteins in solution [22, 23], making it clear that the extent of preferential *exclusion* of the sugar from the protein hydration shell, linked to protein preferential hydration [22, 24, 25], and the *slowdown* caused to local motions of the protein and to its hydration water are the major contributing factors [26–28]. Recent studies on lysozyme [29–31] have shown that these mechanisms may be associated, upon cooling, to a remarkably enhanced slowdown of water molecules located in proximity to the protein surface, an effect that inhibits ice formation and facilitates vitrification without biological damage, therefore providing clues as to how a cryoprotectant action of trehalose is also possible.

Aqueous PNIPAM ternary systems have so far been studied by means of various techniques and for a number of additives, yet this work represents the first direct investigation of the PNIPAM-trehalose interaction mechanism. Previous studies in water-trehalose mixture are scarce and only concerned linear PNIPAM chains, where a decrease of LCST, and hence the promotion of the globular compact state of the polymer, was found to be more pronounced than in presence of other sugars [10, 32]. In this work we monitor the volume phase transition of PNIPAM microgels comparatively in water and in water-trehalose mix-

ture at 0.72 M sugar concentration, a value that is able to significantly lower the polymer LCST compared to that in water. Microgels are synthesized with low crosslinking degree, to have a high swelling capacity [33], and with a small size (less than 50 nm in hydrodynamic radius at room temperature [34, 35]), to minimize heterogeneity of the internal structure. By combining dynamic light scattering (DLS) and Raman spectroscopy (RS) experiments with all-atom molecular dynamics (MD) simulations, we correlate the conformational transition of the particles detected at the colloidal length scale with changes of structural and dynamical properties, probed at the atomic scale. In particular RS measurements, sensitive to the solvation pattern and intramolecular bonding of microgels, are complemented by simulations which are able to elucidate the influence of trehalose on the water affinity for PNIPAM, the specific and selective interactions, if any, of the sugar with the polymer and, very importantly, how the presence of trehalose affects the dynamics of the system, including polymer, bulk and hydration water. We find that strongly hydrated trehalose molecules mainly develop water-mediated interactions with PNIPAM microgels, making trehalose preferentially excluded from the polymer surface, especially in the swollen state. Preferential exclusion coexists with the binding of some trehalose to the polymer, which occurs, however, without interfering with the hydration pattern of the microgel network. Hydration is preserved both before and after the transition, in a way that the amount of absorbed water remains the same as without trehalose, and the composition of the absorbed solvent remains unaffected by the conformational transition of the particles. Meanwhile, sugar molecules also stabilize microgels by drastically inhibiting local motions of the polymer and of its hydration shell, as well as by increasing the residence time of water in the polymer surrounding. Overall, the present results highlight that trehalose promotes the collapsed conformation of PNIPAM microgels similarly to the way it stabilizes aqueous proteins in their native globular state. It also induces molecular mechanisms that are similar to those governing its own action against protein damage during freezing. This confirms and extends the use of PNIPAM as a suitable biomimetic material of a synthetic nature.

## 2. Materials and Methods

#### 2.1. Materials

The monomer N-isopropylacrylamide (NIPAM)  $(M_w=113.16, \text{Sigma-Aldrich}, 97\% \text{purity})$  and the crosslinker N,N'-methylene-bis-acrylamide (BIS)  $(M_w=154.17, \text{Eastman Kodak}, \text{electrophoresis grade})$  were purified by recrystallization from hexane and methanol, respectively, then dried under reduced pressure (0.01 mmHg) at room temperature and stored at 253 K until use. The surfactant sodium dodecyl sulphate (SDS)  $(M_w=288.372, 98\% \text{ purity})$ , the initiator potassium persulfate (KPS)  $(M_w=270.322, 98\% \text{ purity})$  and all solvents (RP grade) were purchased from Sigma-Aldrich and used as received. Ultrapure water (resistivity: 18.2 M $\Omega$ /cm at 298 K) was obtained with a Millipore Direct-Q<sup>®</sup> 3 UV purification system. Dialysis tubing cellulose membrane (Sigma-Aldrich), 14 kDa molecular weight cut-off, was cleaned before use in running distilled water for 3 hours, treated in a solution of sodium hydrogen carbonate (NaHCO<sub>3</sub>, 3.0 % wt) and ethylenediaminetetraacetic acid (EDTA, 0.4 % wt) at 343 K for 10 min, rinsed in distilled water at 343 K for 10 min and finally in fresh distilled water at room temperature for 2 h.

### 2.2. Synthesis of PNIPAM microgels

Microgels were synthesized by precipitation polymerization in a 2000 mL four-necked jacked reactor equipped with condenser and mechanical stirrer. Proper amounts of NIPAM (24.16(2) g), BIS (0.448(0) g) and SDS (3.519(0) g) were dissolved in 1560 mL of ultrapure water and transferred into the reactor, where the solution was deoxygenated by bubbling nitrogen for 1 h and then heated at  $343 \pm 1$  K. KPS (1.037(6) g, dissolved in 20 mL of deoxygenated water) was added to initiate the polymerization, and the reaction was carried out for 16 h. The resultant PNIPAM microgel particles were purified by dialysis against distilled water with frequent water change for 2 weeks, and then lyophilized to constant weight.

Since the SDS concentration (7.72 mM) was slightly below the critical micelle concentration (8.18 mM), small nanosized microgels were obtained [17]. In contrast to bigger microgels, characterized by a heterogeneous structure with a dense core and a loose corona, the small-sized microgels have an almost uniform radial crosslinker density [34, 35]. The average chain length between crosslinking points is about 37 PNIPAM residues.

#### 2.3. Preparation of microgel suspensions

Lyophilized PNIPAM microgels were re-suspended in ultrapure water and in water-trehalose solution. D-(+)trehalose dihydrate ( $M_w$ =378.33, Sigma-Aldrich,  $\geq$  99.0 % purity) was used as received. The water-trehalose solution was prepared by weighing (24.9 wt% of trehalose dihydrate). The resulting concentration of trehalose was 0.72 M. In terms of number of molecules, the trehalose to water mole ratio was 1 : 65.3, or equivalently, the trehalose mole fraction was  $x_{tr} = 0.015$ . The solution was heated at 313 K and continuously stirred until complete trehalose dissolution, then thermalized at room temperature and filtered through a cellulose membrane filter, 0.2  $\mu$ m pore size.

For DLS experiments, microgel suspensions in the two solvents were prepared at high dilution (microgel concentration  $\sim 10^{-4} - 10^{-5}$  g/mL). For RS experiments and for thermal analysis, samples were prepared at microgel concentration of 18 wt% and 3 wt%, respectively. All samples were stored at 275–277 K for at least two days before use.

#### 2.4. Characterization of solvent media

Both water and the water-trehalose solution used to prepare microgel suspensions were characterized by density, viscosity and refractive index, over the temperature range of DLS measurements.

For the water-trehalose solution, the kinematic viscosity  $\nu$  was measured with a micro-Ubbelhode viscosimeter, the mass density  $\rho$  with an Anton Paar DMA 5000 M densitometer, and the dynamic viscosity  $\eta$  was calculated as  $\eta = \rho \nu$ . At any temperature, the refractive index  $n_D$  is related to  $\rho$  by the Lorentz-Lorenz equation,  $r = \rho^{-1}(n_D^2 - 1)/(n_D^2 + 2)$ , where the specific refractivity r is rather temperature-independent. The value of r was obtained at 298.3 K from  $n_D = 1.368$ , measured with a NAR-1T Liquid Abbe refractometer, and  $\rho = 1.098643$  g cm<sup>-3</sup>, independently measured.

For pure water, mass density, refractive index and viscosity data as a function of temperature are available from the literature. We used  $\rho$  and  $n_D$  from ref. [36]. Measurements of  $\nu$  were repeated, providing values of  $\eta$  in perfect agreement with the literature ones [37]. The temperature dependence of  $\rho$ ,  $\eta$  and  $n_D$  for the two solvent media is shown in Fig. S1.

#### 2.5. Dynamic light scattering experiments

DLS measurements were performed with a commercial setup equipped with a Brookhaven BI-9000AT correlator, using a solid state laser source of  $\lambda$ =532 nm. The monochromatic beam was focused on the sample placed in a cylindrical VAT for index matching and temperature control. The temperature was regulated within 0.1°C by a thermostatic circulator. The scattered light was collected at an angle  $\theta = 90^{\circ}$ , corresponding to a scattering wave vector  $q = (4\pi n/\lambda)\sin(\theta/2)$ , where n  $(\approx n_D)$  is the refractive index of the sample at the incident wavelength. The intensity autocorrelation function,  $G^{(2)}(q,t) \equiv \langle I(q,0)I(q,t) \rangle$ , was acquired as a function of temperature on heating across the volume phase transition of the microgels (from 288 to 320 K for the sample in water, from 278 to 320 K for the sample in water-trehalose). At each temperature, the sample was equilibrated for 10 min, and then measured in at least three different points. The results are reported as the mean value  $\pm$ SD.

## 2.5.1. Analysis of DLS spectra

The autocorrelation function of the scattered field,  $G^{(1)}(q,t) \equiv \langle E^*(q,0)E(q,t)\rangle$ , is linked to the measured  $G^{(2)}(q,t)$  by the Siegert relation  $G^{(2)}(t) =$   $A_0 \left[1 + \beta |G^{(1)}(t)|^2\right]$ , with  $A_0$  a measured baseline and  $\beta$ the coherence factor, an instrumental parameter of the order of unit. Therefore,  $[G^{(2)}(t) - A_0]^{1/2}$  is proportional to  $G^{(1)}(t)$ . This quantity was analyzed by the method of cumulants (see text in the SI), to obtain the intensity-weighted (or z-average) particle diffusion coefficient  $\langle D \rangle_z$ . The hydrodynamic radius  $R_h$  of the microgel particles in suspension was determined as the zaverage particle size by using the Stokes-Einstein relation  $R_h = k_B T/6\pi\eta < D >_z$ , where  $k_B$  is the Boltzmann's constant, T the absolute temperature, and  $\eta$  the viscosity of the dispersing medium.

The mentioned analysis, however, only applies if the solvent molecules are light scatterers far less efficient than the suspended particles, and if particles move much more slowly than solvent molecules so that the solvent contribution to the decay of the correlation function occurs on a time scale smaller than the experimental time window. It must be noted that these conditions are fulfilled for the microgel suspension in water, but it is not the case for the sample in water-trehalose solution. In this latter, the relaxation dynamics of trehalose molecules is not sufficiently separated from the motion of microgels, thus entering the time window of the autocorrelation function and contributing with an additional decay process to  $G^{(1)}(t)$  at small times (see Fig. S2). The solvent contribution must be carefully subtracted from the acquired scattering signal, before applying the cumulant method. The analysis of DLS spectra in the presence of trehalose is illustrated step-by-step in Fig. S3. Without such a treatment, as it was the case in ref. [32], the behavior of the autocorrelation function at small times has no relation with the diffusion properties of the suspended particles.

A cumulant analysis at each temperature, using the same criteria, was made in both suspensions only for the contribution to the autocorrelation function due to the Brownian motion of microgel particles. More details about the procedure are reported in the SI. The temperature dependence of  $R_h$  is shown in Fig. S4. The results for the suspension in pure water were validated by the cumulant analysis performed by means of the Brookhaven commercial software.

#### 2.6. Raman spectroscopy experiments

RS measurements were performed with a resolution of  $5~{\rm cm^{-1}},$  by using the 532 nm emission of a solid state laser (100 mW on the sample). A backscattering geometry was realized using the 50x long working distance objective of an Olympus BX40 microscope. The scattered light was analyzed by an iHR320 imaging spectrometer Horiba Jobin-Yvon, equipped with a Syncerity CCD camera. The signal was dispersed by a 1800 grooves/mm grating that allowed acquisition in the 750-1800  $\rm cm^{-1}$  spectral range. Microgel samples in water and in water-trehalose solution were measured at three temperatures (283, 300 and 318 K) chosen in relation to the volume phase transition temperature. At 283 K both samples are in swollen condition; at 318 K both samples have collapsed; at 300 K microgels in water and in water-trehalose are, respectively, below and above the transition. The temperature was controlled by using a FTIR600 Stage by Linkam Scientific Instruments, equipped with a Linkam pump system using liquid nitrogen as coolant. Spectra were collected by cumulating several repetitions, corresponding to 2 hours of acquisition at each temperature. Spectra of the two solvents were also acquired. At each temperature, the solvent spectrum

was subtracted from that of the corresponding microgel sample, as much as to avoid negative differences in the resulting 'solvent-free' spectrum. Notably, in the trehalose-containing system this operation resulted in the complete removal of the peak at about  $800 \text{ cm}^{-1}$ , the only trehalose signal with no superposition with those of PNIPAM. More details about the solvent subtraction procedure are provided in Figs. S5 and S6.

### 2.7. Differential scanning calorimetry measurements

The volume phase transition of microgels in water and in water-trehalose solution was analyzed by using a differential scanning calorimeter SII DSC 7020 EXSTAR Seiko, equipped with liquid nitrogen as cooling agent. The instrument was calibrated with Indium, Zinc and heptane as standards. For each sample, 20–25 mg of microgel suspension were hermetically sealed in a 60  $\mu$ L stainless steel pan equipped with rubber O-ring for operative pressure up to 24 bar, taking care not to exceed the transition temperature before measurement. The thermal analysis was carried out on heating the sample from 0 to 60 °C, using a scan rate of 5 °C/min.

#### 2.8. Molecular dynamics simulations

#### 2.8.1. Model and simulation procedure

All-atom MD simulations were performed on a PNIPAM linear chain, in diluted condition, both in water and in water-trehalose mixture at the same trehalose mole fraction  $(x_{tr}=0.015)$  as used in the experiments. The polymer chain was a 30-mer in atactic configuration. PNIPAM was described with the OPLS-AA force field [38] in the implementation of Siu et al. [39], and trehalose with the OPLS-AA carbohydrates force field [40]. The model for water was TIP4P/ICE [41], as it proved to properly reproduce the PNIPAM behavior in water over a wide temperature range, including the coil-globule transition [42] and the dynamical transition at low temperature [16, 43, 44]. The model of diluted solution was built by adding randomly, around the polymer chain in an extended conformation, 22849 water molecules for the PNIPAM-water system, and 15598 water molecules plus 239 trehalose molecules for PNIPAM in water-trehalose. Both systems were simulated at 283 and 318 K, the same temperatures as in the RS experiments, located below and above the microgel volume phase transition in both solvents. After equilibration, the mass density of the water-trehalose mixture at 283 and 318 K was, respectively,  $1092 \pm 0.02$  kg m<sup>-3</sup> and  $1086 \pm 0.01$  kg m<sup>-3</sup>, consistent with the measured values of 1097 kg m<sup>-3</sup> and  $1085 \text{ kg m}^{-3}$  at the same temperatures. MD simulations were carried out in the NPT ensemble for 365 ns, using the same protocol as in ref. [42]. Trajectory acquisition and analysis were carried out with the GROMACS software package (version 2016.1) [45]. The VMD software [46] was used for graphical visualization. Further details are reported in the SI.

## 2.8.2. Trajectory analysis

The last 75 ns of each trajectory were considered for analysis, by sampling 1 frame every 5 ps. The radius of gyration of the polymer chain was calculated as  $R_g =$  $\sqrt{\sum_{i} m_{i} s_{i}^{2} / \sum_{i} m_{i}}$ , where  $m_{i}$  is the mass of the *i*-th atom and  $s_i$  its distance from the center of mass of the chain. The water accessible surface area (WASA) of PNIPAM is defined as the surface of closest approach of water molecules to the solute molecule, where both solute and solvent are described as hard spheres. Numerically, this quantity was calculated as the van der Waals envelope of the solute molecule extended by the radius of the solvent sphere about each solute atom center. A spherical probe of radius 0.14 nm was used and the values of the van der Waals radii were taken from the literature [47, 48]. The distributions of WASA values were calculated with a bin of  $0.1 \text{ nm}^2$ . Water molecules in the first hydration shell of PNIPAM were defined as having the oxygen atom at a distance from oxygen, nitrogen, or methyl carbon atoms of PNIPAM lower than the first minimum distance of the corresponding radial distribution function. This cutoff value corresponds to 0.35 nm for nitrogen and oxygen atoms and to 0.55 nm for methyl carbon atoms. Water molecules in the first hydration shell of trehalose were defined as having their oxygen within 0.35 nm from a trehalose oxygen atom. Finally, trehalose molecules in the first solvation shell of PNIPAM were defined as having their anomeric oxygen within 0.6 nm from oxygen, nitrogen or methyl carbon atoms of PNIPAM. The bulk solvent properties were evaluated for molecules at a distance greater than 3 nm from the polymer.

The trehalose concentration as a function of the distance from the PNIPAM surface was evaluated by calculating the trehalose mole fraction  $x_{tr}$ , given by the ratio between the anomeric oxygen atoms of trehalose and the sum of the trehalose anomeric and water oxygen atoms, in shells 0.5 nm thick. The number of PNIPAM-PNIPAM, PNIPAMwater, PNIPAM-trehalose and water-trehalose hydrogen bonds (HBs) was also calculated. The hydrogen bonding interaction was identified by adopting the geometrical criteria of an acceptor-donor distance  $(A \cdots D)$  lower than 0.35 nm and an angle  $\Theta$  (A···D–H) lower than 30°, irrespective of the AD pair. The lifetime of PNIPAM-water HBs,  $\tau_{PW-HB}$ , was estimated by calculating the intermittent HB autocorrelation function and by taking the time at which its amplitude decreased to 1/e. The exchange time of water (trehalose) from the PNIPAM first hydration (solvation) shell to the bulk solution,  $\tau_{FHS}$ , was estimated from the time evolution of the number fraction of water (trehalose) molecules in the first shell, by taking the time at which the corresponding autocorrelation function decayed to 1/e of its amplitude.

The translational diffusion coefficient of PNIPAM and trehalose hydrogen atoms and of bulk water oxygen atoms was calculated from the long-time behavior of their mean square displacement (MSD), as  $D = \frac{1}{6} \lim_{t\to\infty} \frac{d}{dt} \langle |\mathbf{r}(t) - \mathbf{r}(t) \rangle$ 



Figure 1: Hydrodynamic volume,  $V_h$ , on a logarithmic scale, plotted as a function of temperature, T, for PNIPAM microgels in water (PN/w) and in water-trehalose solution (PN/w/t). Solid lines are obtained by fitting the data with the two-state transition model (equation (1)); arrows indicate the transition temperature  $T_c$ . Open circles are obtained by dividing by a factor 1.2 the data in water-trehalose. Dashed straight lines highlight that in trehalose the particle volume is a 20% higher than in pure water at the same temperature.

 $\mathbf{r}(0)|^2\rangle$ , where  $\mathbf{r}(t)$  and  $\mathbf{r}(0)$  respectively represent the position vector of the atom at time t and 0, and  $\langle \cdots \rangle$  denotes an ensemble and time origin average. In addition, we also calculated the MSD of the oxygen atoms of PNIPAM and trehalose hydration water, in a time window of 500 ps.

#### 3. Results and Discussion

## 3.1. Dynamic light scattering

DLS experiments revealed that the size of PNIPAM microgels, measured by the hydrodynamic volume  $V_h = \frac{4}{3}\pi R_h^3$ , is affected by the solvent medium in which the particles are suspended, and allowed us to monitor the size change induced by temperature variations in water and in water-trehalose solution (Fig. 1). Upon heating, the value of  $V_h$  has a sharp drop within a narrow temperature range, revealing the occurrence of the volume phase transition in both solvents. From a qualitative comparison, two main differences with respect to the pure water suspension emerge in the presence of trehalose at the investigated concentration. Namely, the transition occurs at a temperature lower more than 10 K, and the particles in their thermodynamically stable state remain sensibly more expanded, both below and above the transition.

Going into a deeper, yet model-independent evaluation, we find that the increase of size in water-trehalose is proportional to the size the microgel would have in water at the same temperature, the difference being  $\sim 20\%$  both in the swollen and the collapsed state. In this respect, we note that the ratio between density of water-trehalose and pure water (Fig. S1), which is constant over the temperature range investigated, implies a constant ratio of about 1.18 between the volume of these solvents taken in quantities containing the same amount of water. Moreover, since the molecular volume of trehalose and water are about 350 Å<sup>3</sup> [49] and 30 Å<sup>3</sup>, respectively, one trehalose molecule approximately occupies 18% the volume of 65.3 water molecules, 1:65.3 being the trehalose to water mole ratio in the binary solvent. Thus, the  $\sim 20\%$  greater hydrodynamic volume detected when microgels are immersed in water-trehalose solution could be well explained by assuming a water-cosolute ability to enter/exit the microgel particles across the volume phase transition maintaining the same molar composition as the external medium. This rough estimate also suggests that the amount of water permeating the polymer network, in the swollen or collapsed state, is independent of the presence of trehalose. Our observation, made on the microgel colloidal scale, is in agreement with the results of isothermal titration microcalorimetry for PNIPAM chains [10], suggesting that trehalose in the presence of PNIPAM remains preferentially hydrated and mainly develops water-mediated interactions with the polymer.

To obtain more quantitative information on the trehalose effect, we derived the thermodynamic parameters associated to the microgel transition, by applying a van't Hoff model to the temperature dependence of  $V_h$ . In analogy to protein folding-unfolding, the model considers a microgel as consisting of independent 'cooperative units', and the transition in each of these units as a temperatureinduced all-or-none transition from one state (swollen state of the cooperative unit) to another state (collapsed state of the cooperative unit) [15]. At any temperature of the  $swollen \leftrightarrows collapsed$  thermodynamic equilibrium, the measured value of  $V_h$  is the value averaged over the two cooperative unit populations, i.e.  $V_h(T) = V_s f_s(T) + V_c f_c(T)$ , with  $V_s$  and  $V_c$  the volume of fully swollen and fully collapsed particles (i.e., composed of cooperative units all in the swollen or collapsed state), while  $f_s$  and  $f_c$  denote the fraction at equilibrium of swollen and collapsed units per particle, with  $f_s + f_c = 1$ . The equilibrium constant of the reaction,  $K_{eq} = f_c/f_s$ , is given by  $K_{eq} = \exp(-\Delta G_T^0/RT)$ , with R the gas constant, T the absolute temperature, and  $\Delta G_T^0 = \Delta H_T^0 - T \Delta S_T^0$  the standard free-energy change associated to the transition at temperature T. It is reasonable [50–52] that the enthalpy and entropy changes at temperature T negligibly differ from their values  $\Delta H^0$ and  $\Delta S^0$  in the middle point of the transition, i.e., at temperature  $T_c$  defined by the condition  $K_{eq}(T_c) = 1$ . This condition is equivalent to  $\Delta G^0(T_c) = 0$ , implying  $\Delta S^0 = \Delta H^0 / T_c$ . Combining these relations, gives

$$V_h(T) = \frac{V_s + V_c \exp\left[\frac{\Delta H^0}{R} \left(\frac{1}{T_c} - \frac{1}{T}\right)\right]}{1 + \exp\left[\frac{\Delta H^0}{R} \left(\frac{1}{T_c} - \frac{1}{T}\right)\right]} \tag{1}$$

Due to negative thermal expansion of molecular NIPAM [53, 54], the microgel network contracts on heating. This

temperature dependence in the volume of fully swollen and fully collapsed particles is taken into account by introducing a thermal contraction coefficient in each state,  $\alpha_s$  and  $\alpha_c$ . Therefore, in equation (1), one has  $V_s = V_s^c[1 - \alpha_s(T - T_c)]$  and  $V_c = V_c^c[1 - \alpha_c(T - T_c)]$ , with  $V_s^c \equiv V_s(T_c)$  and  $V_c^c \equiv V_c(T_c)$  the hydrodynamic volume extrapolated to  $T_c$  from both sides of the transition. The fit of the experimental data with equation (1), shown in Fig. 1, indicates that the two-state transition model describes very well the volume phase transition of microgels in both solvent media. An equally good description is not provided by other thermodynamic models, e.g. the Flory-Rehner theory [55].

The resulting best fit parameters, reported in Table 1, can be used to characterize the microgel ability to change volume in response to temperature variations. To this end, we considered the thermal effect that occurs without change of thermodynamic state separately from the effect due to the conformational transition. When particles are far from the transition region, either in the swollen or in the collapsed state, their contraction coefficient in the two solvents is the same within experimental error, suggesting that the presence of trehalose does not appreciably change the elasticity of the polymer network, a property reflected into its ability to contract. Although the value of  $\alpha_c$  is significantly lower than (about one half) that of  $\alpha_s$ , however it remains different from zero, confirming a residual capacity of microgels to change volume in the collapsed state [56, 57]. On the other hand, a direct measure of the microgel ability to shrink across the conformational transition is provided by the ratio  $V_s^c/V_c^c$ . Notice that this quantification differs from that used in literature, where the ratio is calculated between the particle hydrodynamic radius well below and well above  $T_c$ , without separating the effect of thermal contraction. The values of  $V_s^c/V_c^c$ , equal within the error, are  $5.2 \pm 0.4$  and  $5.7 \pm 1.1$  in water and in water-trehalose solution, respectively. These numerical results are consistent with our previous modelindependent observation of a constant ratio, below and above the transition, of  $V_h$  values in the two solvents, further demonstrating that equation (1) is suitable to describe the data behavior.

According to the parameters in Table 1, the swelling-tocollapse transition is an endothermic process ( $\Delta H^0 > 0$ ) and also occurs with an increase of entropy ( $\Delta S^0 > 0$ ). Although a drastic decrease of both  $\Delta H^0$  and  $\Delta S^0$  is observed in the presence of trehalose, a transition temperature  $T_c$  lower by almost 12 K stems from a lower transition enthalpy which is not compensated by the concomitant transition entropy reduction. This highlights a remarkable effectiveness of trehalose in stabilizing the collapsed state of PNIPAM microgels. Since, according to the model, the enthalpy and entropy changes refer to one mole of cooperative units undergoing the transition, to derive microscopic information from these parameters it is necessary to calculate the number of monomers per cooperative unit, in the two samples. This is done by dividing  $\Delta H^0$  by

Table 1: Thermodynamic parameters obtained by fitting with equation (1) the temperature-dependent hydrodynamic volume  $V_h$  of PNIPAM microgels in water (PN/w) and in water-trehalose solution (PN/w/t): volume phase transition temperature ( $T_c$ ); enthalpy ( $\Delta H^0$ ) and entropy of transition ( $\Delta S^0$ ) per mole of cooperative units; hydrodynamic volume extrapolated to  $T_c$  from low temperatures below the transition ( $V_c^c$ ); thermal contraction coefficient in the swollen ( $\alpha_s$ ) and collapsed state ( $\alpha_c$ ).

| Sample | $T_c$ (K)     | $\Delta H^0 \; (\mathrm{kJ \; mol^{-1}})$ | $V_s^c \ (\mathrm{nm}^3)$ | $V_c^c \ (\mathrm{nm}^3)$ | $\alpha_s (\mathrm{K}^{-1})$ | $\alpha_c \; (\mathrm{K}^{-1})$ |
|--------|---------------|---|---------------------------|---------------------------|------------------------------|---------------------------------|
| PN/w   | $304.8\pm0.1$ | $800 \pm 60$                              | $(1.65 \pm 0.07)10^5$     | $(3.2 \pm 0.1)10^4$       | $(3.8 \pm 0.4)10^{-2}$       | $(1.9 \pm 0.2)10^{-2}$          |
| PN/w/t | $293.0\pm0.3$ | $430\pm50$                                | $(2.7 \pm 0.3)10^5$       | $(4.7 \pm 0.4)10^4$       | $(3.5 \pm 0.9)10^{-2}$       | $(1.4 \pm 0.6)10^{-2}$          |

the transition enthalpy per mole of PNIPAM residues, a quantity obtained from calorimetry. In this respect, we note that several calorimetric studies have measured this quantity for PNIPAM in water [15, 35, 58-64], but no determination is available in water-trehalose solution at the present concentration. Our thermal analysis provided 4.8 kJ mol<sup>-1</sup> in water and 3.1 kJ mol<sup>-1</sup> in water-trehalose. Notice that the first of these values is in agreement with the numerous literature data, whose average is  $5.6 \pm 0.8$  $kJ mol^{-1}$ , and in particular is very close to the values reported for microgels prepared with the same synthesis protocol used in the present study [35, 58]. With these values, the PNIPAM residues per cooperative unit in water and in water-trehalose are  $170 \pm 30$  and  $140 \pm 30$ , respectively. This indicates a slight reduction of cooperativity for the transition in the presence of trehalose, despite the uncertainty of our estimate. These results for PNIPAM microgels are reminiscent of results for proteins, where additives can modulate the extent of folding cooperativity, and a less cooperative process is found to be associated to an increased protein stability [65, 66]. Thus, a less cooperative conformational transition in the presence of trehalose further reflects the stabilizing action of this sugar.

Per mole of PNIPAM residues, the increase of entropy at the microgel collapse in water and in water-trehalose is  $15.4 \ \mathrm{and} \ 10.5 \ \mathrm{J} \ \mathrm{K}^{-1} \ \mathrm{mol}^{-1},$  respectively, with a trehaloseinduced reduction of 4.9 J  $K^{-1}$  mol<sup>-1</sup>. The reduction of heat absorbed, however, is  $1.7 \text{ kJ mol}^{-1}$  only, a small value compared to the typical energy of hydrogen bonding. This is an indication that such a value reflects an energy balance resulting from variations of several interactions. Indeed,  $\Delta H^0$  and  $\Delta S^0$  provide global information about the conformational transition, whose details at a microscopic level remain hidden. As a demonstration, we may compare the cases of aqueous PNIPAM solution with trehalose and ethanol, the latter being an alcohol that directly exposes the hydrophobic moiety to the surrounding. Despite the difference of the two additives, it is experimentally observed [59] that there exists a water-ethanol composition  $(x_{et} = 0.063)$  at which the transition of PNIPAM exhibits similar thermodynamic parameters as those found at the present trehalose concentration ( $x_{tr} = 0.015$ ), namely,  $T_c \sim 293$  K and an enthalpy change per mole of PNIPAM residues of  $\sim 3 \text{ kJ mol}^{-1}$ . Therefore, in order to derive a clear microscopic picture of the mechanism of transition of PNIPAM in the presence of trehalose, analyses at the molecular scale constitute an essential further step of investigation, as reported in the next sections.

#### 3.2. Raman spectroscopy

RS experiments were used to get insight into the solvation pattern and intramolecular bonding of PNIPAM microgels in the two solvents, at a molecular level. Fig. 2a shows the effect of temperature, across the volume phase transition, on the solvent-free spectra of each sample. Fig. 2b compares the solvent-free spectra of the two samples at each studied temperature. In the frequency range investigated, the amide I band conveys the most relevant information, as it mainly arises ( $\sim 80\%$ ) from the C=O stretching vibration with only a minor contribution  $(\sim 20\%)$  from the C-N stretching mode. In each of the two samples, this band shifts to higher frequency when microgels change from swollen to collapsed (Fig. 2a), however this occurs in a way that no difference in the band profile is observed when microgels are both swollen (at 283 K) or when they are both collapsed (at 318 K). This is shown in Fig. 2b. Conversely, a band shift is observed at 300 K, when microgels are in a different state. Notably, the solvent-free spectra of the two samples, at 283 and 318 K, are well superimposed over the whole frequency range, revealing that the signals of solvent and polymer are not frequency shifted within the experimental sensitivity. Therefore, direct binding of PNIPAM to trehalose is negligible, or rather, is not distinguishable from that of PNIPAM to water.

Quantitative information was obtained from the curve fitting of the spectra in the 1530-1740  $\rm cm^{-1}$  frequency range, including the amide I band. This latter is well reproduced by assuming the presence of three sub-bands, each one described by a pseudo-Voigt function, which are constant in position and shape and only change in intensity upon temperature variation. The low-frequency component ( $\approx 1627 \text{ cm}^{-1}$ ) is attributed to C=O groups hydrogen bonded to water, the intermediate-frequency one  $(\approx 1656 \text{ cm}^{-1})$  to C=O groups intramolecularly hydrogen bonded to the NH group [67], and the high-frequency component ( $\approx 1695 \text{ cm}^{-1}$ ) is ascribed to unbonded C=O groups [68]. This testifies to the high sensitivity of the amide I band to changes in both PNIPAM hydration and intra-PNIPAM interactions. The observed frequency upshift across the transition reflects a change in the intensity balance among the three sub-bands, with a higher relative increase of the intermediate-frequency contribution. An additional pseudo-Voigt term has been used to describe the band around 1565  $\rm cm^{-1}$ , that partially overlaps the amide I band. The total fit curves together with their individual contributions are displayed in Fig. 3a.



(a) PN/w 318 K 283 k Intensity (a.u.) 1650 1600 1700 1650 1700 1600 PN/w/t 318 K 283 K ntensity (a.u.) 1600 1650 1700 1600 1650 1700 Raman shift (cm -1) Raman shift (cm -1) (b) f(CO···HO) ★ T 0.8 \* 0.7 0.6 ₫ • f(CO...HN) 0.3 0.2 a 0.1 283 293 303 313 323 T (K)

Figure 2: (a) Raman solvent-free spectra of PNIPAM microgels in water (PN/w) and in water-trehalose solution (PN/w/t) at different temperatures, as indicated in the legend. The assignment of the principal vibrational modes of PNIPAM are indicated by arrows. (b) Comparison between the solvent-free spectra of PNIPAM microgels in water and in water-trehalose solution at each temperature. In both panels, the spectra are presented normalized to the intensity of the isopropyl group's CH<sub>3</sub> deformation band at  $\approx 1454$  cm<sup>-1</sup>.

Figure 3: (a) Fit of Raman solvent-free spectra in the amide I region for PNIPAM microgels in water (PN/w) and in water-trehalose solution (PN/w/t), below (T = 283 K) and above (T = 318 K) the volume phase transition. The amide I band is decomposed into low (sub-band 1), intermediate (sub-band 2) and high-frequency (subband 3) pseudo-Voigt components. The three components have the following parameters, the same for both samples and independent of temperature: center  $1627 \pm 2$ ,  $1656 \pm 2$ ,  $1695 \pm 1$  cm<sup>-1</sup>; fullwidth-at-half-maximum  $36 \pm 3 \text{ cm}^{-1}$ . An additional pseudo-Voigt function (black dashed line) is used to reproduce the adjacent band around 1556  $\rm cm^{-1}$ . The red solid line represents the total fitting curve. (b) Mole fraction of C=O groups involved in intra-PNIPAM HBs,  $f(C = O \cdots HN)$ , and of C=O groups involved in HBs with water,  $f(C = O \cdots HO)$ , for microgels in pure water (blue) and in water-trehalose solution (green), plotted against temperature. Colored bars indicate the corresponding values of transition temperature  $T_c$ .



Figure 4: (a) Time evolution of the radius of gyration,  $R_g$ , of the studied PNIPAM chain in water-trehalose at 283 and 318 K. The time interval used to calculate averaged properties (last 75 ns of each trajectory) is highlighted in yellow. (b) Average radius of gyration as a function of temperature T for PNIPAM in water (PN/w) and in water-trehalose (PN/w/t). (c) Correlation between WASA and radius of gyration.

Assuming that the different C=O species have the same Raman cross section, as found in poly-amide systems [68, 69], we calculated the mole fraction of unbonded C=O as the relative area of the high-frequency sub-band (number 3 in Fig. 3a), i.e.  $f^{unbonded} = A_{1695}/A_{tot}$ , with  $A_{tot} = A_{1695} + A_{1656} + A_{1627}$  the sum of peak areas of the three components. This small fraction is found to be temperature and only slightly solvent dependent. Indeed, it vanishes in both samples at 318 K, and at 283 K it is  $\sim 4\%$  higher in the presence of trehalose. However, this difference is not significant within the experimental error, as demonstrated by the populations of the other C=O species, hereafter calculated. The mole fraction of C=O involved in intra-PNIPAM HBs is provided by the relative area  $f(C = O \cdots HN) = A_{1656}/A_{tot}$  of the intermediate-frequency sub-band (number 2 in Fig. 3a), while the relative area  $f(C = O \cdots HO) = A_{1627}/A_{tot}$  of the low-frequency sub-band (number 1 in Fig. 3a) provides the fraction of hydrated C=O. These quantities, plotted in Fig. 3b as a function of temperature, are coincident within the error for microgels in the two solvents, below and above the transition, indicating that neither the intramolecular bonding nor the hydrophilic hydration of PNIPAM are affected appreciably by the presence of trehalose. These findings support, with information at the molecular scale, the idea suggested by the analysis of hydrodynamic volume data (see sec. 3.1) that trehalose-induced effects are mostly water-mediated.

Fig. 3b also reveals changes of interactions associated to the microgel conformational transition. Even in the swollen state a non-negligible amount of C=O groups, around 16%, is engaged in intramolecular bonding, and it

increases in the collapsed state, reaching about 30%. Concerning hydration, most (around 80%) of the C=O groups are hydrogen bonded to water in the swollen microgels, but only a small fraction, around 10%, of these groups dehydrate during the collapse transition. So, there is still a lot of water retained in the collapsed particles. This behavior favorably compares with other experimental and simulation results for PNIPAM microgels [68] and linear chains in water [42, 67, 70, 71]. We note that a quantitative comparison with literature data is prevented since several fvalues are reported, suggesting a possible effect due to the polymer topology and concentration. The comparison in Fig. 3b, however, is not affected by this issue, as it refers to the same microgel particles, at the same concentration.

## 3.3. Molecular dynamics simulations

## 3.3.1. Structural properties

To complement the microscopic information derived by RS experiments, we rely on all-atom MD simulations of a PNIPAM 30-mer, mimicking a linear segment of the microgel network. The time evolution of the polymer radius of gyration  $R_g$  is reported in Fig. 4a for the trehalosecontaining system. The behavior shows that extended conformations are mainly populated at 283 K, while the chain collapse has already occurred at 318 K. The average value of  $R_g$  in the two solvents is compared in Fig. 4b. While  $R_g$  decreases from 283 to 318 K, the chain size in the presence of trehalose is slightly bigger than in pure water, both in the coil and the globule state. This difference qualitatively matches the behavior of the hydrodynamic volume observed by DLS in our PNIPAM microgels (see Fig. 1). The water accessible surface area (WASA), a



Figure 5: Mole fraction of trehalose,  $x_{tr}$ , as a function of the distance from the surface of the PNIPAM chain, in the coil (283 K) and globule (318 K) conformation.

property strictly correlated to the chain size in aqueous environment, confirms the results for  $R_g$ , as shown in Fig. 4c. The associated distributions of  $R_g$  and WASA are reported in Figs. S7 and S8.

To evaluate whether a larger chain size in the presence of trehalose stems from a steric effect due to preferential adsorption of the sugar on the polymer, we calculated the local concentration of trehalose as a function of the distance from the surface of the PNIPAM chain (Fig. 5). We find that the mole fraction of trehalose in close proximity to the chain is lower than that in the bulk solution. This means that the polymer surface layer is depleted of trehalose, and therefore preferential adsorption is ruled out. Notably, this behavior is much more pronounced at 283 K, in the coil conformation. These results for PNIPAM are quite remarkable, since they are very similar to what observed for globular proteins in solution, where trehalose is found to be preferentially excluded from the protein surface [72]. Indeed, an increased exclusion from the vicinity of the denatured protein is proposed to be the physical basis of the trehalose stabilizing action [22, 25], a conjecture that seems to be confirmed by the present findings.

To further investigate the trehalose arrangement, we looked for trehalose molecules bound to hydrophilic groups of the polymer. On average, only one out of ten PNIPAM residues forms HBs with trehalose, irrespective of temperature (Fig. 6a). The extent of this interaction is negligible compared to that of the polymer with water, as discussed below. However, since the size of a trehalose molecule is about three or four times that of a PNIPAM residue, the binding or the proximity of even very few molecules helps the polymer chain to adopt more extended conformations than in pure water, explaining a slightly larger size both in the coil and globule state. This situation is visually displayed in Fig. 6b, showing representative configurations of the simulated chain in the two solvents, along with the water and trehalose molecules in the first solvation shell (see Methods and Figs. S9, S10). Although the presence of trehalose in the PNIPAM surrounding induces more extended conformations, Fig. 6c shows that this makes no difference for the number of intra-PNIPAM HBs in the coil and collapsed chain. Moreover, the increase of intramolecular bonds across the conformational transition is in agreement with the behavior observed in the RS experiments (see Fig. 3b), though the fraction of bonds obtained in the simulations is lower than that obtained experimentally. As noted in sec. 3.2, such discrepancy can be ascribed to the much higher polymer concentration of the experimental samples and to the network architecture of microgels, both of which making contacts between amide groups more likely.

We then explored in detail the hydration pattern of PNI-PAM in the two solvents. The shape of the radial distribution functions between water oxygens and PNIPAM atoms is very similar in water and in water-trehalose, showing three distinct hydration shells (see Fig. S10). For the polymer chain in the coil and globule state, we find that the coordination number of PNIPAM atoms by water oxygens, which is calculated by integration of the corresponding radial distribution function, is the same in the two solvents up to distances greater than the thickness of the first hydration shell (see Fig. S11). Therefore, trehalose at the investigated concentration does not inhibit PNIPAM hydration. This finding is confirmed by the number of PNIPAM-water HBs, shown in Fig. 6d. We calculated this quantity separately for the different hydrophilic (carbonyl and amine) groups of the polymer, and also identified HBs where a water molecule bridges two different amide groups. The results show that across the coil-globule transition the chain maintains a high water affinity, with the loss of only about 0.5 HBs per PNIPAM residue, as pointed out in previous studies for linear chains [67, 68, 70, 71]. Most notably, the number of HBs for each type of hydrophilic group is very similar in the two solvents, indicating that trehalose not only preserves the overall polymer hydration but also keeps unchanged the pattern of hydrogen bonding with water. These findings are in agreement with the results of the RS experiments (see Fig. 3b).

The mentioned results also suggest that maintaining the same hydration level as in pure water can be an additional driving force which induces more extended conformations of the PNIPAM chain, and allows for higher WASA values compensating for the presence of trehalose in the polymer surrounding. Another consequence of these results is that the decrease of transition enthalpy per mole of polymer residues, measured by calorimetry in the presence of trehalose, cannot be ascribed to a lower dehydration of amide groups, but is likely due to a smaller molar partial enthalpy of water in the bulk of the water-trehalose solution [73], a factor which thermodynamically favors the transition to the collapsed state [59].

We then analyzed the hydration and aggregation properties of trehalose in our model. Indeed, the presence of



Figure 6: (a) Average number of PNIPAM-trehalose HBs per PNIPAM repeating unit at 283 and 318 K. The total number of HBs and its contributions involving carbonyl (C=O) and amine (N-H) groups are shown, as indicated in the legend. (b) Snapshots of the simulated PNIPAM chain in water-trehalose (top) and in water (bottom) at 283 and 318 K. PNIPAM backbone carbon atoms are shown in red, while hydrogen and side-chain atoms are represented in gray. Water and trehalose molecules in the first solvation shell are displayed in blue and yellow, respectively.(c) Average number of PNIPAM-PNIPAM HBs per PNIPAM repeating unit at 283 and 318 K, calculated in water (PN/w) and in water-trehalose solution (PN/w/t). (d) Average number of PNIPAM-water HBs per PNIPAM repeating unit at 283 and 318 K, calculated in water (PN/w) and in water-trehalose solution (PN/w/t). The total number of HBs and its contributions involving carbonyl (C=O) and amine (N-H) groups are shown, as indicated in the legend. The number of water molecules bridging two different amide groups of the polymer chain is also reported. Error bars are within the symbol size.

Table 2: Solvation properties from MD simulations of PNIPAM in water (w) and in water-trehalose mixture (m).  $HB_{TW}$  is the average number of trehalose-water HBs and  $\tau_{TW-HB}$  their characteristic lifetime;  $D_P$ ,  $D_T$  and  $D_W$  are the diffusion coefficient of PNIPAM, trehalose and bulk water, respectively;  $\tau_{PW-HB}$  is the lifetime of PNIPAM-water HBs and  $\tau_{FHS}$  is the exchange time of water in the first hydration shell. D values are within 5%.

| Т   | $HB_{TW}$       | $\tau_{TW-HB}$ | $D_P$ (w)           | $D_P$ (m)           | $D_T$               | $D_W$ (w)            | $D_W$ (m)            | $\tau_{PW-HB}$ (w) | $\tau_{PW-HB}$ (m) | $\tau_{FHS}$ (w) | $\tau_{FHS}$ (m) |
|-----|-----------------|----------------|---------------------|---------------------|---------------------|----------------------|----------------------|--------------------|--------------------|------------------|------------------|
| (K) |                 | (ps)           | $(cm^2s^{-1})$      | $(cm^2s^{-1})$      | $(cm^2s^{-1})$      | $(cm^2s^{-1})$       | $(cm^2s^{-1})$       | (ps)               | (ps)               | (ps)             | (ps)             |
| 283 | $15.5(\pm 0.1)$ | $93(\pm 3)$    | $4.5 \cdot 10^{-7}$ | $1.4 \cdot 10^{-7}$ | $4.2 \cdot 10^{-7}$ | $0.62 \cdot 10^{-5}$ | $0.35 \cdot 10^{-5}$ | $260(\pm 30)$      | $360(\pm 10)$      | $490(\pm 10)$    | $760(\pm 10)$    |
| 318 | $15.0(\pm 0.1)$ | $23(\pm 3)$    | $1.2\cdot 10^{-6}$  | $7.5\cdot10^{-7}$   | $1.7\cdot 10^{-6}$  | $1.8 \cdot 10^{-5}$  | $1.07\cdot 10^{-5}$  | $67(\pm 3)$        | $88(\pm 3)$        | $135(\pm 10)$    | $230(\pm 10)$    |

hydrated-sugar complexes acting as an effective cosolute has been proposed to control the effect of carbohydrates on the PNIPAM LCST, in such a way that the higher the sugar size and hydration, the larger the decrease of the LCST, mainly for entropic effect [10]. We find a hydration number of  $18.0 \pm 0.1$ , irrespective of temperature, in very good agreement with the value of  $\sim 20$  determined experimentally at the same trehalose concentration [74-76]. On average, one trehalose molecule hydrogen bonds  $15.5 \pm 0.1$  and  $15.0 \pm 0.1$  water molecules, respectively at 283 and 318 K (see Table 2), while it only forms 0.5 intertrehalose HBs, which demonstrates a high water affinity and a weak tendency to aggregate, as experimentally reported [74, 75]. Particularly, we analyzed the distribution of trehalose in the PNIPAM surrounding, looking for some connectivity between sugar molecules residing in the first solvation shell. We observe that these molecules never hydrogen bond to each other, so that no clustering occurs at the polymer interface. These findings, combined with the relatively long trehalose-water HB lifetime,  $\tau_{TW-HB}$ (see Table 2), confirm the strong and preferential interaction of trehalose with water and exclude the presence of clusters in the polymer surrounding for the system here investigated.

#### 3.3.2. Dynamical properties

To complement these results, we studied the trehaloseinduced effect on the dynamics of the system constituents. To this end, we monitored the MSD of PNIPAM and trehalose hydrogen atoms, and of bulk water oxygen atoms (Fig. S12). All these atoms show a long-time diffusive behavior so that it is possible to estimate their diffusion coefficients, respectively  $D_P$ ,  $D_T$  and  $D_w$ . The values are reported in Table 2. We observe that the addition of trehalose induces a drastic slowdown of both PNIPAM and water. In particular, the bulk water diffusivity decreases by almost a factor of 2, at both temperatures. A similar reduction is observed for PNIPAM at 318 K, but the effect is almost double at 283 K, where  $D_P$  is only  $\sim 30\%$  of the one in pure water. We also note that the temperature-induced change of PNIPAM diffusivity is in a different relationship with the corresponding change of the bulk solvent mobility. In fact, the value of  $D_P$  in water roughly scales with  $D_w$ , while in the trehalose-containing system the reduction of  $D_P$  from 318 to 283 K is greater than that of  $D_T$  and  $D_w$ , suggesting that the presence of trehalose enhances the slowing down of polymer local motions upon cooling. Concerning hydration water, of both trehalose and PNIPAM, we observe that the oxygen atoms never reach a diffusive regime within the studied time interval, thus preventing an estimate of their diffusion coefficient. However, the time behavior of the MSDs (Fig. S12) shows that the hydration water dynamics is always slower than that of bulk water. The molecules in the hydration shell of PNIPAM are slower than those in the hydration shell of trehalose and, most importantly, the mobility of PNIPAM hydration water is much lower in water-trehalose solution. This

is observed at 283 and 318 K, and highlights the strong slowdown induced by trehalose also in the dynamics of the polymer hydration water.

To better characterize the hydration shell dynamics, we calculated three characteristic times, namely, the PNIPAM-water HB lifetime  $(\tau_{PW-HB})$  and the exchange time of water and of trehalose in the first hydration shell  $(\tau_{FHS})$ , as described in Materials and Methods. At each temperature,  $\tau_{FHS}$  of water molecules is higher than  $\tau_{PW-HB}$ . This reflects the major constraints imposed on these molecules by being hydrogen bonded rather than residing in the polymer first hydration shell, and also depends on their possibility to break HBs with amide groups without escaping the surrounding of hydrophilic or hydrophobic PNIPAM domains. In the presence of trehalose the value of these characteristic times significantly increases. As shown in Table 2, the PNIPAM-water HBs last  $\sim 40\%$  longer, and the exchange of water molecules from the hydration shell to the bulk needs  $\sim 60\%$  more time. Moreover, the exchange of trehalose takes a time over one order of magnitude longer than the exchange of water (see Fig. S13). All these findings demonstrate that, independently of the polymer conformation, trehalose provides an increased dynamical stability to the polymer hydration shell. Again, these results for PNIPAM are noteworthy, since they are closely reminiscent of the slowdown caused by trehalose to protein local motions and hydration water, helpful to obviate high-temperature damages in solution [26-28]. Moreover, it should be pointed out the similarity with the mechanism of protein cryprotection described in a recent simulation study of lysozyme in aqueous solution [31]. The study revealed two structural relaxations of hydration water, with the long-time process being sensitive to the protein structural fluctuations and changing its temperature behavior in correspondence to the protein dynamical transition. The effect of trehalose was to strongly damp the fluctuations of the protein structure, and to slowdown the long-time water relaxation to a much greater extent than the other process. This effect was claimed to inhibit ice nucleation and favor vitrification upon cooling, providing a rationale to the cryoprotectant action of trehalose for proteins. Our study reveals a very similar mechanism of slowdown induced by trehalose, reflected in the long-lasting PNIPAM-water HBs combined with a slow exchange of hydration-to-bulk water and an even slower exchange of trehalose, all contributing to confine a small amount of water molecules close to the polymer surface. Such molecules, located within the polymer hydration shell, are necessarily most prone to share hydrogen bonding interactions with polymer and trehalose and are thus expected to be more slowed down and more coupled to the structural fluctuations of the macromolecular system. The analogy is reinforced by the similar time behavior of the MSD observed for the hydration water that, in lysozyme and PNIPAM, never reaches the diffusive regime at any temperature, in contrast to bulk water.

In the simulation of lysozyme, the sugar-induced slowing

down was found to be associated with the presence, over the protein surface, of transient trehalose patches formed by clusters of several molecules [31]. In the PNIPAM system, however, no clustering of trehalose is detected, whereas a clear slowing down of both polymer and its hydration water is found, suggesting that a massive binding of the sugar to the macromolecule is not an essential ingredient. Since the absence of clustering in the system here investigated might well be explained by the lower concentration of trehalose (0.72 instead of 1.33 M), further investigations at higher concentration would be useful to clarify the effect of trehalose clusters at the polymer interface.

It is now important to stress that the hydration pattern of PNIPAM and the localization of additive in its surrounding, are very different in water-trehalose from those identified in water-ethanol by another recent simulation study [77]. Unlike trehalose, ethanol preferentially adsorbs on the polymer surface, mainly due to interactions between ethyl and isopropyl groups, but also competes with water for hydrogen bonding to hydrophilic groups and develops aggregates in the first solvation shell of the polymer. Thus, important differences at the microscopic level characterize the mechanisms governing the effect of these two additives on the polymer transition, even in case of similar thermodynamic parameters as discussed in sec. 3.1.

Overall, the simulations give us a microscopic picture of the effect of trehalose on PNIPAM which indicates that, consistently with the experimental results, the bioprotectant sugar preserves PNIPAM hydration both below and above the transition, and stabilizes the hydration shell by preferential exclusion and drastic slowdown of local motions. These mechanisms are at odds with those put in place by other additives, for instance ethanol, and are strikingly similar to those observed in trehalose-protein systems.

## 4. Conclusions

In this manuscript, we reported evidence that trehalose acts on PNIPAM microgels through molecular mechanisms that are similar to those governing its protective action against thermal denaturation and cold damage of aqueous proteins. To this aim, we combined DLS and RS measurements with MD simulations, to complement single-particle information at the colloidal scale with atomic-scale structural and dynamical information. From the temperature dependence of the microgel hydrodynamic volume, which is well described by the van't Hoff equation for the thermodynamic equilibrium between the swollen state of the particles for  $T < T_c$  and their collapsed state above it, the  $T_c$  at the investigated 0.72 M trehalose concentration was found to be lowered by as much as 12 K, showing that trehalose promotes the collapsed conformation of the microgel particles similarly to the way it stabilizes aqueous proteins in their native state. Trehalose has proved to be even more effective as a stabilizer of microgels than of proteins, as shown by the exceptional reduction of transition

temperature  $(\Delta T_c)$ , which is almost twice the increase of transition temperature in proteins for the same sugar concentration [22].

In the presence of trehalose, microgels are found  $\sim$ 20% more expanded than in pure water keeping unchanged their thermal contraction, swelling capacity, and the amount of absorbed water, with no change in composition of the solvent absorbed below and above the transition. This suggests that sugar molecules remain preferentially hydrated without directly interfering with the HBs formed by the microgel network, thereby preserving polymer hydration. The numerical simulations provided evidence, indeed, that binding of some trehalose to the polymer occurs, but without changing the water affinity for PNIPAM which, below and above the transition, is expected to be preferentially hydrated. Most crucially, we found that trehalose remains slightly excluded from the solvation shell of microgels in the collapsed state, whereas the preferential exclusion is much higher in the swollen microgels, in close analogy to the behavior observed for proteins upon denaturation [22, 25, 72].

The presence of trehalose is found to deeply impact on the dynamics of the PNIPAM microgel system by inducing, independently of the polymer conformation, a drastic slowdown of the polymer local motions and of its hydration shell. This is also one major mechanism explaining the ability of trehalose to counteract deleterious intramolecular motions in delicate proteins and enzymes in solution, during exposure to high temperatures [26–28]. Furthermore, in the light of the reduced mobility and permanence of both water and trehalose detected around the polymer chain, the similarities of our results with those reported for globular proteins extend to the protective mechanism of trehalose against cold damage. In this respect, a natural and interesting extension of this work will be to simulate a microgel network upon cooling to ascertain the occurrence of a long-time structural relaxation in the polymer hydration water, similar to the one detected in the recent simulations of lysozyme in solution [29, 31], and to investigate how trehalose affects the polymer dynamical transition, a phenomenon which is well established for PNIPAM microgels only in water [16, 43, 44].

At this point, it is important to see the present study in the context of the existing literature about the trehalose effect on the PNIPAM stability in aqueous solution. In particular, the MD simulation study by Narang and coworkers [32] claimed to explore the changes of PNIPAM intramolecular bonding and solvent interactions across the coil-globule transition. Such investigation was, however, limited to the effect produced by a single trehalose molecule on one PNIPAM chain (35-mer) in water, a system in which the trehalose concentration ( $\sim 0.012$  M) was clearly unable to affect the polymer LCST with respect to that in pure water. Moreover, the simulation was carried out at a single temperature (300 K), which is still below the LCST in such a highly diluted trehalose solution. Therefore, the results of ref. [32] only refer to trehalose-induced changes of PNIPAM in the coil conformation, leaving unexplored those associated to the coil-globule transition.

Another study that deserves a comment is the experimental work of Shpigelman and coworkers [10] focused on the behavior of PNIPAM LCST at increasing concentration of different sugars, including trehalose, as a way to indirectly probe the stabilization effect of sugars on proteins. Results from isothermal titration microcalorimetry, showing no preferential adsorption of trehalose on the polymer, are found to be in agreement with our findings. However, such study was based on the assumption that the interactions between the two solvent components and the responsive polymer reproduce those of a protein in the same aqueous medium. This is a different approach to that adopted in the present work, where the PNIPAM-trehalose interaction mechanism has been elucidated at a molecular level and then compared to that observed in proteins. In this respect, it should be stressed that the protein-analogue behavior of PNIPAM is not to be taken for granted, as important differences between the two macromolecular systems exist - from the complexity level of their chemical structure to the aggregation and phase separation behavior, to the residual hydration degree of their collapsed state which could be important in relation to specific phenomena.

As a whole, our findings prove that PNIPAM microgels are capable of efficiently mimicking the sensitivity of proteins to the water-trehalose solvent, giving rise to thermodynamic and dynamic mechanisms fully similar to those responsible for the sugar bioprotective action. The results also highlight that these mechanisms, for instance preferential exclusion, may strongly differ from those, for example preferential adsorption, arising from the polymer interaction with additives of opposite effect on proteins, such as alcohols. Altogether, this work puts forward PNIPAM microgels as a genuinely synthetic protein-like template, that will be useful to investigate, under controlled conditions, the source of different additive actions, a biological issue subject of intensive research.

Future work will aim to provide generality to the present findings for trehalose, by extending the investigation to other additives, with different hydrophilicity and size, yet endowed with biological function. Moreover, as trehalose is also the most effective sugar to stabilize proteins during dehydration, PNIPAM microgels could be used as models for a better understanding of the mechanisms required to proteins to obviate this fundamentally different stress vector, compared to freezing and heat denaturation [78].

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## **Supporting Information**

## 1. Experimental

## 1.1. Analysis of DLS spectra with the cumulant method

The quantity measured in a dynamic light scattering (DLS) experiment is  $G^{(2)}(q,t) \equiv \langle I(q,0)I(q,t) \rangle$ , the autocorrelation function of the scattered intensity. This is linked to the autocorrelation function of the scattered field,  $G^{(1)}(q,t) \equiv \langle E^*(q,0)E(q,t) \rangle$ , by the Siegert relation  $G^{(2)}(t) = A_0 \left[1 + \beta |G^{(1)}(t)|^2\right]$ , where  $A_0$  is a measured baseline and  $\beta$  is the coherence factor, an instrumental parameter of the order of unit. Therefore,  $[G^{(2)}(t) - A_0]^{1/2}$  is proportional to  $G^{(1)}(t)$ . For a monodisperse diluted suspension of macromolecular objects,  $G^{(1)}(t)$  decays as a single exponential, so that  $\left[G^{(2)}(t) - A_0\right]^{1/2} \propto \exp(-\Gamma t)$ , where the decay time  $\tau = \Gamma^{-1}$  is related to the translational diffusion coefficient D of the particles as  $\tau = 1/(Dq^2)$ . For a polydisperse suspension,  $G^{(1)}(t)$  does not decay exponentially, and its deviation from a single exponential behavior contains information about the distribution of diffusion coefficients. In this case  $G^{(1)}(t)$ , and hence  $\left[G^{(2)}(t) - A_0\right]^{1/2}$ , can be represented as a superposition of exponential contributions, each one arising from a population of particles with a certain diffusion coefficient and weighted by the population scattering intensity, i.e.,

$$G^{(1)}(t) = A \int_0^\infty I(\Gamma) \mathrm{e}^{-\Gamma t} d\Gamma,$$
<sup>(2)</sup>

with  $\Gamma = Dq^2$ ,  $I(\Gamma)$  the normalized distribution function of the scattered intensity from particles with diffusion coefficient D, and A a proportionality constant. The quantity in equation (2) can be analyzed by the method of cumulants [1], by expanding its logarithm in a power series in t,

$$\ln G^{(1)}(t) = \ln A - K_1 t + \frac{1}{2!} K_2 t^2 - \frac{1}{3!} K_3 t^3 + \dots$$
(3)

where  $K_n$  is called the n<sup>th</sup> cumulant. The cumulants are related to the moments of the diffusion coefficient distribution. The explicit form of the first two of them is

$$K_1 = \int_0^\infty I(\Gamma)\Gamma d\Gamma = \langle \Gamma \rangle_z = q^2 \langle D \rangle_z \tag{4}$$

$$K_2 = \int_0^\infty I(\Gamma) \left(\Gamma - \langle \Gamma \rangle_z\right)^2 d\Gamma = \langle (\delta \Gamma)^2 \rangle_z = q^4 \langle (\delta D)^2 \rangle_z$$
(5)

i.e., they respectively provide the average value and variance of the diffusion coefficient distribution. The average values extracted by this method, which are weighted by the particle scattering intensity, are defined as z-average values, and denoted by  $\langle \cdots \rangle_z$ .

Therefore, by analyzing with a polynomial function the quantity  $[G^{(2)}(t) - A_0]^{1/2} \propto G^{(1)}(t)$  obtained from the experiment, if the data are good at small times, one obtains  $\langle D \rangle_z$  and its variance  $\langle (\delta D)^2 \rangle_z$  from the first and second-order polynomial terms. Then, the hydrodynamic radius  $R_h$  of the particles can be determined as the z-average particle size by using the Stokes-Einstein relation  $R_h = k_B T / 6\pi\eta \langle D \rangle_z$ . Here,  $k_B$  is the Boltzmann's constant, T the absolute temperature, and  $\eta$  the viscosity of the dispersing medium.

In the presence of a scattering contribution due to the solvent molecules, as it is the case for microgel suspensions in water-trehalose solution (Fig. S2), the cumulant analysis does not apply to  $G^{(1)}(t)$ , but only to its contribution due to the Brownian motion of suspended microgel particles, as illustrated in Fig. S3.

### 1.2. Supplementary figures

In the following, we report additional information obtained from experimental methods applied to PNIPAM microgel suspensions in water and in water-trehalose solution at trehalose mole fraction  $x_{tr} = 0.015$ .

- Figure S1 reports a characterization of the solvent media in which the microgels were suspended.
- Figure S2 shows the intensity autocorrelation function,  $G^{(2)}(t)$ , obtained by DLS at different temperatures for microgels in water-trehalose solution.
- Figure S3 illustrates the cumulants analysis performed on the DLS spectra of the microgel suspension in watertrehalose solution.

- Figure S4 compares the temperature dependence of the hydrodynamic radius,  $R_h$ , of microgels in water and in water-trehalose solution.
- Figure S5 illustrates the normalization procedure of the Raman spectra of microgel suspensions in water and in water-trehalose solution, needed to carry out the solvent subtraction procedure.
- Figure S6 illustrates the solvent subtraction procedure performed on the Raman spectra of microgel suspensions in water and in water-trehalose solution.



Figure 1: Fig. S1: Characterization of the solvent media in which the microgels were suspended. (a) Temperature dependence of mass density  $\rho$ , (b) dynamic viscosity  $\eta$ , and (c) refractive index  $n_D$ , of water and water-trehalose solution at trehalose mole fraction  $x_{tr} = 0.015$ . Panel (a) shows that the data of pure water, in the range investigated, are scaled on those of water-trehalose solution by a multiplication factor. Panel (b) shows the comparison with literature data for pure water.



Figure 2: Fig. S2: Intensity autocorrelation function,  $G^{(2)}(t) = A_0 \left[1 + \beta |G^{(1)}(t)|^2\right]$ , measured at different temperatures in a highly diluted sample of PNIPAM microgels suspended in water-trehalose solution. Symbols indicate experimental data, solid lines represent the fitting curves obtained as explained in Fig. S3. The correlation functions are normalized to their total amplitude as obtained from the fit. The decay at small times reveals the presence in the autocorrelation function of the scattered field,  $G^{(1)}(t)$ , of a relaxation term due to the dynamics of trehalose molecules in aqueous solution.



Figure 3: Fig. S3: As an illustration, we show the steps followed to analyze the DLS signal of the microgel suspension in water-trehalose at 280.5 K. First step: The quantity  $G^{(2)}(t) = A_0 \left[1 + \beta |G^{(1)}(t)|^2\right]$  directly measured by DLS is shown in panel (a) with solid symbols. Second step:  $G^{(2)}(t)$  is used to calculate the quantity  $[G^{(2)}(t) - A_0]^{1/2}$ , proportional to  $G^{(1)}(t)$ . This quantity can be modeled as the superposition of two decay contributions, the one at smaller times due to the relaxation of trehalose in water, and the other due to the Brownian motion of microgel particles in suspension. Both contributions are formally reproduced by stretched exponential functions. Accordingly, the data of panel (a) are fitted with  $G^{(2)}(t) = A_0 + \left[A_1 \exp(-(t/\tau_1)^{\beta_1} + A_2 \exp(-(t/\tau_2)^{\beta_2})\right]^2$ , with  $\beta_{1,2} < 1$  the stretching coefficients. Since the fit is rapidly convergent to  $\beta_1 = 1$  within the uncertainty, to minimize the number of free fit-parameters the fitting procedure is performed at all temperatures by setting  $\beta_1 = 1$ , i.e., by reproducing the trehalose relaxation function with a simple exponential. The red solid line in panel (a) demonstrates a perfect fit to the experimental data. This line translates into the green line of panel (b), also reported in panel (c) for comparison. Third step: By subtracting from the data of panel (b) the quantity  $A_1 \exp(-t/\tau_1)$  obtained from the fit, the contribution C(t) due to the Brownian motion of microgel particles is finally obtained (panel (c)). Fourth step: The deviation of C(t) from a single exponential decay is analyzed by the method of cumulants (panel (d)). The logarithm of C(t) is fitted by a fourth-order polynomial, whose first and second-order terms are respectively related to the z-average value ( $\langle D \rangle_z$ ) and variance ( $\langle (\delta D)^2 \rangle_z$ ) of the diffusion coefficient distribution of microgel particles.



Figure 4: Fig. S4: Hydrodynamic radius  $R_h$  as a function of temperature of PNIPAM microgels in water and water-trehalose. Errors are within symbol size.



Figure 5: Fig. S5: At each temperature, the spectra of PNIPAM microgels in the two solvents, water and water-trehalose, were normalized to the C-C stretching vibration peak at about 950 cm<sup>-1</sup> where both trehalose and water negligibly contribute to the Raman signal, i.e., they were normalized to the sample's content of PNIPAM. Analogously, the two solvent spectra were normalized to the H-O-H bending mode of water in the 1500-1700 cm<sup>-1</sup> frequency range, i.e., to the water content of the sample. The red arrow indicates the peak at about 800 cm<sup>-1</sup>, the only trehalose signal with no superposition with those of PNIPAM. Notice that, for graphical reasons, the solvent and PNIPAM/solvent spectra are represented on different arbitrary scales.



Figure 6: Fig. S6: Starting from the normalized PNIPAM/solvent spectra and the normalized solvent spectra as described in the previous figure, the solvent-free spectra were obtained by subtracting from each normalized PNIPAM/solvent spectrum the corresponding normalized solvent signal, as much as to avoid negative residues in the difference spectrum. It has to be noted that in the trehalose-containing system this operation resulted in the complete removal of the peak at about  $800 \text{ cm}^{-1}$  (red arrow), indicating that the subtraction was carried out at each temperature with spectra put on the same relative scale, as shown in each panel of the present figure.

## 2. Molecular dynamics simulations

#### 2.1. Model and simulation procedure

The model of PNIPAM solution was assembled by centering the polymer chain in a cubic box of side 9 nm. The chain conformation of the starting structure was obtained by imposing values to the backbone dihedral angles corresponding to states of minimum conformational energy for the dyads composing the 30-mer [4]. The chain was oriented along a diagonal of the cubic box to maximize the distance between periodic images. Then, the proper number of water or water and trehalose molecules was added randomly around the chain to replicate the experimental solvent, i.e., pure water or water-trehalose mixture at  $x_{tr} = 0.015$ . Energy minimization was carried out with tolerance of 100 kJ mol<sup>-1</sup>nm<sup>-1</sup>, and the resulting configuration was used to start simulations at the two studied temperatures (283 and 318 K). After equilibration the box side was about 8.2 nm and 8.9 nm for the water-trehalose and the aqueous solution, respectively. MD simulation trajectories were acquired with the leapfrog integration algorithm [5] with a time step of 2 fs. Cubic periodic boundary conditions and minimum image convention were applied. The length of bonds involving hydrogen atoms was constrained with the LINCS procedure [6]. The temperature was controlled by the velocity rescaling thermostat [7], with a time constant of 0.1 ps. The pressure of 1 atm was maintained by the Parrinello-Rahman barostat [8, 9], with a time constant of 2 ps. Electrostatic interactions were treated with the smooth particle-mesh Ewald method [10] with a cutoff of nonbonded interactions of 1 nm.

## 2.2. Supplementary figures

In the following, we report additional characterizations of the solution behavior of PNIPAM in water and in watertrehalose at trehalose mole fraction  $x_{tr} = 0.015$ , as obtained from the MD simulations.

- Figure S7 compares the distribution of values of PNIPAM radius of gyration,  $R_q$ , in water and in water-trehalose.
- Figure S8 compares the distribution of values of water accessible surface area (WASA) of PNIPAM in water and in water-trehalose.
- Figure S9 shows the radial distribution functions, g(r), calculated between the anomeric oxygen of trehalose and specific atoms of PNIPAM belonging to hydrophilic and hydrophobic groups.
- Figure S10 reports the radial distribution functions characterizing PNIPAM hydration.
- Figure S11 shows the water coordination number, CN(r), of PNIPAM specific groups, as obtained by integration of the corresponding radial distribution functions reported in Fig. S10.
- Figure S12 shows a comparison between the mean square displacements (MSDs) of water oxygen atoms, PNIPAM hydrogen atoms, and trehalose hydrogen atoms.
- Figure S13 reports the time behavior of the number fraction of trehalose and water molecules in the first solvation shell.



Figure 7: Fig. S7: Distribution of values of PNIPAM  $R_g$  in water (blue and magenta line at 283 K and 318 K, respectively) and in water-trehalose (light blue and pink line at 283 K and 318 K, respectively).



Figure 8: Fig. S8: Distribution of values of WASA for PNIPAM in water (blue and magenta line at 283 K and 318 K, respectively) and in water-trehalose (light blue and pink line at 283 K and 318 K, respectively).



Figure 9: Fig. S9: Radial distribution function g(r) between the anomeric oxygen atom of trehalose and PNIPAM (a) oxygen, (b) nitrogen and (c) methyl carbon atoms, calculated at 283 K (blue line) and 318 K (pink line).



Figure 10: Fig. S10: Radial distribution function g(r) between water oxygen and PNIPAM (a) oxygen, (b) nitrogen, and (c) methyl carbon atoms, calculated at 283 and 318 K. Vertical dashed lines indicate the thickness of the first solvation shell. The higher value of the radial distribution functions of the water-trehalose-PNIPAM system are due to a normalization effect.



Figure 11: Fig. S11: Coordination number CN(r) of water oxygen atoms around PNIPAM (a) oxygen, (b) nitrogen and (c) methyl carbon atoms, calculated at 283 and 318 K.



Figure 12: Fig. S12: Mean square displacements (MSDs) of oxygen atoms of bulk and hydration water and of hydrogen atoms of PNIPAM and trehalose, calculated at 283 K (upper panels) and 318 K (lower panels) as indicated in the legend. In all panels a dotted black line with slope 1 is displayed.



Figure 13: Fig. S13: Autocorrelation function of the number fraction of trehalose (a) and water (b) molecules residing in the first solvation shell, calculated at 283 and 318 K.

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