# The Effect of mild CO<sub>2</sub> Treatment on Thermal Properties of Poly(L-lactic acid): An Experimental Study

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# Abstract

The influence of mild CO<sub>2</sub> treatment on thermal properties of poly (L-lactic) acid (PLLA) is discussed in this article. A slowly crystallizing PLLA with 4% D-isomer was treated with CO<sub>2</sub> at room temperature and moderate pressures (up to 6.0 MPa) for short times, not longer than 20 minutes. These mild treatments are sufficient to develop  $\varepsilon$ -form (PLLA/CO<sub>2</sub> complex), which evolves to  $\alpha$ "-mesophase after desorption of CO<sub>2</sub>. The amount of mesophase depends on the pressure of CO<sub>2</sub> and the sorption times, and significantly affects the thermal properties of PLLA. Although the mesophase melts immediately above the glass transition, it affects subsequent cold crystallization. The influence of CO<sub>2</sub> sorption time and pressure on the cold crystallization kinetics of PLLA is quantified here, and it is found that only a few minutes of treatment with low-pressure CO<sub>2</sub> is sufficient to enhance the crystallization rate. After longer exposure to CO<sub>2</sub>,  $\alpha$ -crystals also develop, especially under high CO<sub>2</sub> pressure, hence proper adjustment of these parameters can allow tuning the structure and thermal properties of PLLA. The results demonstrate the possibility of producing CO<sub>2</sub>-induced mesophase at room temperature using very short sorption times, thus introducing a fast, green and cost-effective way to tailor the crystallization kinetics of PLLA.

## Introduction

Poly(L-lactic) acid (PLLA) is a biodegradable polyester of huge industrial interest, with applications ranging from disposable plastics for packaging to foaming for medical engineering [1–6]. Its biocompatibility, biodegradability, and appreciable physical and mechanical properties are governed by crystalline structure and morphology [6–9], including crystallinity [10,11] and polymorphism [12–14]. Crystal modifications of PLLA

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have been reported to influence mechanical and barrier properties [12–14], and an increase in crystallinity improves thermal stability [15,16], but worsens biodegradation [7,10,17].

The crystallization kinetics of PLLA has been widely studied [18–20]. As it is typical for semicrystalline polymers, crystal morphology and crystallization rate of PLLA are affected by the crystallization path, with huge differences between cold crystallization (i.e., when heated from below the glass transition temperature,  $T_g$ ), and melt crystallization (i.e., upon cooling from the melt) that have been quantified in dependence on molecular parameters of the polymer [18,21]. The latter mainly include stereoregularity of the chain (ratio of L- and D-isomer, since commercial PLLA grades usually contain 1-4% D-isomer), molar mass [18,22,23], or chain branching [20,24], with data from the literature available for both melt and cold crystallization.

PLLA crystallization is rather slow, compared to most commodity plastics, the slow kinetics of crystallization being probably the main weakness of this polymer: it complicates industrial processing to achieve in due time products with the performance needed in terms of mechanical, thermal and transport properties, attainable only with a sufficiently high degree of crystallinity [16,25]. Large research efforts have been carried out to establish an easy way to adjust the crystalline structure/morphology of PLLA, and different methodologies have been reported, the most common ones being the addition of plasticizers [26–28] or the compounding of the polymer with nucleating additives [26,29,30]. However, the presence of additives in the formulation often negatively impacts biodegradation and biocompatibility of PLLA [31].

Ways to improve the crystallization capacity of PLLA without compounding with external additives include the use of transient plasticizers, such as CO<sub>2</sub> [32–34], structure modification by chain extenders [24,35], blending with oligomeric PLLA [36,37], or proper thermal history tailoring, for example, by annealing at temperatures close to  $T_g$  to promote homogeneous crystal nucleation [21,38–40].

Although improving the kinetics of crystallization of PLLA by modification of the chain structure has been shown to be effective in tailoring the properties of the material [20,41,42], this process has the disadvantage of being not easy to adjust quickly. Each chemical modification produces a material with different thermal properties, which means that, when a different crystallization rate is required, a new reaction with different chemicals and parameters needs to be designed and tailored. The ideal situation would be a process that helps tune the crystalline structure/morphology of PLLA in a fast and economical way, such as sample pretreatment or modification of the process parameters.

One of the main features of PLLA crystallization is complex polymorphism [43,44]. Depending on thermal, chemical, and mechanical treatments, PLLA can crystallize into various crystal forms. The most stable crystal structure is named  $\alpha$ -form, and develops upon melt or cold crystallization at temperatures above 120 °C, or after solvent evaporation. Stretching PLLA at large strain and high temperatures leads to  $\beta$ -crystals, and epitaxial crystallization upon specific substrate results in formation of  $\gamma$ -crystals [45–47]. PLLA can also develop mesophases, i.e. intermediate structures between amorphous and crystalline phases. Melt or cold crystallization at temperatures below 120 °C results in conformationally disordered (condis) crystals, named  $\alpha'$ -, which have chain packing similar to  $\alpha$ -form, but slightly larger lattice dimensions, caused by weak specific

carbonyl and methyl interactions, and conformational disorder of the  $C_{\alpha}$ -C dihedral angle [14,48]. Furthermore, PLLA can co-crystallize with CO<sub>2</sub> [49,50] and some organic solvents [17,26,27] to form complex crystals or clathrate structures, often reported as  $\varepsilon$ -form [47]. When PLLA is exposed to high CO<sub>2</sub> pressures at temperatures below 50 °C, a PLLA/CO<sub>2</sub> complex develops [51], where CO<sub>2</sub> molecules are surrounded by four PLLA chains in the crystal unit cell [49]. Complete removal of CO<sub>2</sub>, for example, by desorption at room temperature, leads to the empty form of PLLA/CO<sub>2</sub> complex, known as  $\alpha$ "-form [49]. Both PLLA/CO<sub>2</sub> complex and the emptied  $\alpha$ "-form are mesophases, with a much looser chain packing compared to the thermally stable  $\alpha$ -crystals [47,49].

A PLLA mesophase has also been reported to develop upon tensile drawing at temperatures around 65-90 °C [52–54]. PLLA mesophases, produced by CO<sub>2</sub> or strain, are metastable and transform to the ordered  $\alpha$ -phase upon heating above the  $T_g$  [55]. Strain-induced and solvent-induced mesophases have been shown to accelerate PLLA crystallization [56,57] after subsequent heating, also affecting crystal morphology [57,58]. In fact, crystallization obtained via CO<sub>2</sub>-induced mesophase generally leads to microspherulites or nanorods, depending on temperature and CO<sub>2</sub> pressure, with a transition from microspherulites to rod-like nanostructures occurring around 15 °C under 7-15 MPa of CO<sub>2</sub>, and around 30 °C under 3 MPa of CO<sub>2</sub> [59].

Data from the literature on the effect of  $CO_2$ -induced mesophase on the crystallization behavior of PLLA are limited to the formation of mesophase after exposure to  $CO_2$  for long times (several hours), and most studies refer to  $CO_2$  treatments at 0 °C [57,58,60], a temperature where the solubility of  $CO_2$  is very high [61], but this also implies obvious technological disadvantages.

With the aim of introducing a convenient process to improve the crystallization kinetics of PLLA, in this work, we report the formation of PLLA mesophase at room temperature and after saturation times with  $CO_2$  of only a few minutes. PLLA samples containing mesophase, whose formation is demonstrated by X-ray and infrared spectroscopy, were studied by thermal analysis to investigate the influence of  $CO_2$  pressure and sorption time on the thermal properties of PLLA.

The mild operating conditions (low pressure, room temperature, short times) adopted in the present work open up the possibility of producing CO<sub>2</sub>-induced mesophase in an affordable way. This procedure appeals as an economic and fast process for tuning the mesophase fraction, and thus the crystallization kinetics and morphology of PLLA, using a green and clean technology that does not involve external compounds that may alter polymer purity and, in turn, biodegradability, recyclability and biocompatibility.

## **Experimental part**

#### **Materials**

A commercial grade of PLLA with L-isomer content of 96 % and melt flow index of 6 g  $\cdot$  (10 min)<sup>-1</sup> (at 210 °C, 2.16 kg), grade name PLA Lx175 [62] was kindly provided by Total Corbion (The Netherlands). Before processing, PLLA was dried at 60°C under vacuum overnight. To have a uniform sample size, therefore

reproducible  $CO_2$  sorption rates, PLLA pellets were compression molded with a Carver laboratory press at a temperature of 190 °C for 2 min, without applied pressure, to allow complete melting. After this period, a pressure of about 20 bar was applied for 2 min, then the press plates equipped with cooling coils were cooled to room temperature. Slabs with a thickness of 0.5 mm were obtained, which were then cut into disks of a diameter of 5 mm with a hollow punch.

## Sample preparation

The amorphous PLLA was prepared with a PerkinElmer Pyris Diamond DSC, equipped with an Intracooler II as a cooling system. Each 0.5 mm disk was melted at 200 °C for 3 minutes, followed by rapid cooling to 0 °C at the pre-set rate of 100 K·min<sup>-1</sup>. For this specific PLLA grade, this thermal history has been shown to lead to amorphous samples [40].

The amorphous PLLA disks were exposed to  $CO_2$  at various pressures using a batch autoclave named 'minibatch', extensively described in [63]. Grade 4  $CO_2$  was provided by SOL Group, Italy. All sorption/desorption experiments were conducted at  $25 \pm 0.5$  °C. The samples were placed in the autoclave and  $CO_2$  was injected to reach the desired pressure. The  $CO_2$  pressure ranged from 3.0 to 6.0 MPa, and sorption times ( $t_s$ ) of 1 - 20 min were used.

Samples are labeled by indicating the processing conditions, i.e., pressure and  $t_s$  as *PLLA\_pressure\_t\_s*. For instance, PLLA maintained for 5 min at 3.0 MPa of CO<sub>2</sub> is named *PLLA\_3MPa\_5min*. After  $t_s$  had elapsed, the CO<sub>2</sub> pressure was slowly released (highest rate below 0.1 MPa/s), and the samples were collected from the autoclave and stored at room temperature for 1 -5 months to allow CO<sub>2</sub> desorption [64].

### Measurements

 $CO_2$  desorption was evaluated by monitoring the mass evolution of the samples with a Sartorius CP225D-0CE analytical balance (Sartorius, Germany). In some cases (e.g., samples treated with 3.0 MPa or 4.0 and 5.0 MPa for  $t_s < 20$  minutes), 1 month is sufficient for complete desorption of  $CO_2$  (< 0.1 mg, corresponding to a weight fraction < 0.007). Selected samples were subjected to a five-month desorption, as detailed below.

Mesophase formation was monitored by infrared spectroscopy analysis, using a PerkinElmer FTIR Spectrum 100 equipped with a PerkinElmer ATR accessory with a diamond crystal. Each spectrum is an average of 16 individual scans and has been recorded with a resolution of 4 cm<sup>-1</sup>.

Additional structural information was obtained by X-ray diffraction analysis (WAXD), using a PANalytical X'PertPro diffractometer equipped with a PIXCel 1D detector, under CuK $\alpha$  radiation. Sample spectra were collected in the range 5–40° 2 $\theta$ , with a step size of 0.013° 2 $\theta$  and counting time of 20 s per step.

To evaluate the influence of  $CO_2$  induced mesophase on PLLA crystallization, the power compensated DSC described above was also used for thermal analysis of the samples. The thermal properties were analyzed upon heating from 0 to 200 °C at 20 K·min<sup>-1</sup>. The instrument was calibrated in temperature and energy with

high purity indium [65]. To obtain precise heat capacity data from the heat flow rate measurements, each scan was accompanied by a reference empty pan run, with the mass of the aluminum sample pan and the empty reference pan corresponding within 0.03 mg. Dry nitrogen was used as purge gas at a rate of 30 mL·min<sup>-1</sup>, and each measurement was repeated three times to ensure reproducibility. The experimental heat capacity data were compared with the thermodynamic specific heat ( $c_p$ ) values of liquid and solid PLLA, collected in the ATHAS (Advanced Thermal Analysis System) Data Bank established by Prof. Bernhard Wunderlich, to whom this article, and the whole Special Issue, is dedicated.

# **Results and Discussion**

As mentioned in the Introduction section, sorption of  $CO_2$  for a few hours leads to a clathrate structure of PLLA, which spontaneously evolves to  $\alpha$ "-mesophase with full desorption of the gas [47,49]. As the amount of  $CO_2$  trapped within the polymer affects its structure and, in turn, its thermal properties, the PLLA samples were desorbed for at least one month to ensure the loss of  $CO_2$  prior to analysis. The kinetics of  $CO_2$  desorption is exampled in Figure 1 for one representative PLLA/CO<sub>2</sub> composition (*PLLA\_6 MPa\_3 min*) in terms of the weight fraction of  $CO_2$  / PLLA as a function of the desorption time. Most  $CO_2$  is quickly desorbed from the polymer within the first six days. After the initial fast decrease, the decay of  $CO_2$  content becomes much slower, with trace amounts of  $CO_2$  present after three months. Five months are sufficient for this specific sample to recover the initial sample weight.



**Figure 1.** CO<sub>2</sub> desorption curve for the sample *PLLA\_6 MPa\_3 min* at room temperature and atmospheric ambient pressure (line as a guide for the eye).

The structural analysis of PLLA, after  $CO_2$  treatment and desorption, was carried out by X-ray diffraction and infrared spectroscopy. The WAXD spectra of some selected samples are presented in Figure 2 and compared with literature WAXD spectra of PLLA containing  $\alpha$ "-mesophase and  $\varepsilon$ -clathrate, taken from

Ref. [49]. The  $\alpha$ "-mesophase displays a WAXD peak at  $2\theta = 16.2^{\circ}$ , plus a weaker reflection around  $2\theta = 22.2^{\circ}$ ; in the clathrate  $\varepsilon$ -structure, the main peak is shifted to  $2\theta = 14.9^{\circ}$ , with a few weaker reflections that appear at higher 2 $\theta$  values (18.7°, 22.3° and 24.0°) [49]. None of these reflections can be disclosed in the WAXD pattern of the *PLLA\_3MPa\_5min* sample, which only displays a broad halo peaked around 16°, which seems to indicate that the polymer is amorphous. Some degree of order appears in the WAXD spectrum of *PLLA\_3 MPa\_20 min*, revealed as a weak peak over the amorphous halo at about 15.8° (intermediate between emptied  $\alpha$ "- and clathrate  $\varepsilon$ -form), plus a reflection at  $2\theta = 24^{\circ}$ , typical of the PLLA/CO<sub>2</sub> clathrate [49]. The sorption of CO<sub>2</sub> in *PLLA\_4 MPa\_5 min* leads to a slightly more ordered structure, with weak reflections that seem to point to the coexistence of both clathrate and  $\alpha$ "-mesophase even after prolonged CO<sub>2</sub> desorption at room temperature.



Figure 2. Wide angle X-ray diffraction patterns of PLLA treated with  $CO_2$  at the indicated pressures/times and compared with the WAXD spectra in the literature of PLLA/CO<sub>2</sub> clathrate and  $\alpha$ "-mesophase (black plots), adapted from Ref. [49] with permission from Elsevier.

Additional structural characterization of PLLA was conducted after CO<sub>2</sub> sorption and degassing, by infrared spectroscopy. Figure 3 presents the FTIR-ATR spectra of PLLA after CO<sub>2</sub> sorption at various pressures for  $t_s = 3$  min and compared to the fully amorphous polymer (0 MPa). The amorphous PLLA shows no absorption peak in the range of 900 – 930 cm<sup>-1</sup>, while the FTIR-ATR spectra of PLLA treated with CO<sub>2</sub> show a peak at 918 cm<sup>-1</sup>, a band typical of PLLA mesophases (including strain-induced mesophase,  $\varepsilon$ -clathrate and  $\alpha$ "-emptied form) [51,56–58], which shifts to slightly higher wavenumber at high CO<sub>2</sub> pressure. The latter indicates presence of also  $\alpha$ - or  $\alpha$ '-crystals in PLLA treated with high CO<sub>2</sub> pressure: these crystals show an absorbance band at 921-922 cm<sup>-1</sup>, which is sensitive to the 10<sub>3</sub> helix chain conformation, due to the coupling of the CH<sub>3</sub> rocking mode with the C–C backbone stretching [66]. In other words, Figure 3 reveals that the mesophase is developed in PLLA treated for 3 min with 3.0 – 5.0 MPa of CO<sub>2</sub>, with the formation also of ordered crystals with increasing CO<sub>2</sub> pressure, as revealed by the gradual shift to higher wavenumbers of the ATR-FTIR band, whereas 3 min of exposure to 6.0 MPa of CO<sub>2</sub> clearly leads to formation of  $\alpha$ '- or  $\alpha$ -crystals.



**Figure 3.** FTIR-ATR spectra of PLLA conditioned with CO<sub>2</sub> at the indicated pressures for 3 min and compared to amorphous PLLA (0.0 MPa).

Treatment with  $CO_2$  for a few minutes induces variations in the FTIR-ATR spectra that are also detectable in the wavelength range between 890 - 850 cm<sup>-1</sup>, as illustrated in Figure 3. All the analyzed samples showing absorption in the 900 - 930 cm<sup>-1</sup> range, also present a band around 870 cm<sup>-1</sup>. The latter is associated with the vibrational mode of C–COO bond [67] and shifts to higher wavenumbers with an increase of  $CO_2$  pressure, similarly to the band at 918 cm<sup>-1</sup>, further indicating a higher structural order of the polymer with an increase in  $CO_2$  pressure [56,68].

As detailed in Ref. [49], literature WAXD spectra of  $\alpha$ "- and  $\varepsilon$ - mesophases, shown in Figure 2, refer to a PLLA that, after exposure to CO<sub>2</sub> for 2 h at 0 °C, contains 333 mg of CO<sub>2</sub> per g of polymer. Exposure to 3.0 MPa of CO<sub>2</sub> at room temperature for shorter times leads to a much lower fraction of gas within the polymer, which amounts to only 30 mg of CO<sub>2</sub> per g of PLLA, as quantified for the *PLLA\_3MPa\_5 min* sample immediately after removal from the pressure vessel (1 tenth of the amount reported in Ref. [49]). This explains the limited fraction of mesophase that leads to the absence of clear reflections in the WAXD spectrum compared to the spectra presented in Ref. [49]. Although WAXD analysis of PLLA maintained under CO<sub>2</sub> for a few minutes points to a nearly amorphous polymer, especially at low CO<sub>2</sub> pressures and sorption times, the FTIR-ATR spectra of Fig. 3 clearly prove the formation of a mesophase in PLLA even after exposure to CO<sub>2</sub> at low pressure for a few minutes at room temperature. However, it should be noted that the FTIR-ATR analysis allows the structure of the sample surface to be evaluated at a depth of few µm [69], and does not allow quantitative analysis of the amount of mesophase within the samples.

The thermal properties of PLLA exposed to  $CO_2$  were analyzed by differential scanning calorimetry (DSC). As before, the experimental data refer to samples after  $CO_2$  desorption at room temperature. Figure 4 collects the apparent heat capacity curves of  $CO_2$  treated PLLA for various  $t_s$ , grouped by pressure (from 3.0 to 6.0 MPa), together with the apparent  $c_p$  plot of quenched PLLA, reported in Figure 4a as "0min" sample.

Further comparison of the heat capacity plots of PLLA samples that are kept at various pressures for the same  $t_s = 1$  min is presented in Figure 5.



Figure 4 (a, b, c, d). Specific heat capacity ( $c_p$ ) of PLLA treated with CO<sub>2</sub> at the indicated pressures and  $t_s$ , then desorbed, upon heating at 20 K min<sup>-1</sup>. The dashed lines refer to thermodynamic heat capacity of solid and liquid PLLA.

When heated at 20 K·min<sup>-1</sup>, quenched PLLA, not exposed to  $CO_2$  (grey curve of Figure 4a) shows the typical  $T_g$  of amorphous PLLA around 60°C, coupled to a barely visible enthalpy relaxation exotherm, due to different cooling and heating rates [70]. This is followed by a weak and broad exotherm centered at 130°C and an endotherm peaked at 155°C. Comparison between the cold crystallization exotherm and the melting peak confirms that cooling at 100 K min<sup>-1</sup> is sufficient to achieve fully amorphous PLLA for this specific grade [40].

Exposure to  $CO_2$  for a few minutes leads to a sizable variation of thermal properties. Starting with *PLLA\_3 MPa* (Figure 4a), the glass transition moves to slightly higher temperatures compared to amorphous PLLA. The heat capacity step at  $T_g$  is typical of the fully amorphous polymer and overlaps with a significant endotherm, which appears resolved into two peaks, more evident in samples exposed to  $CO_2$  for short times. A significant enthalpy relaxation was expected for all samples, as they had been stored at room temperature

for at least one month prior to the tests [70,71]. A double endotherm in the  $T_g$  range has been reported in semicrystalline PLLA due to the enthalpy relaxation of differently constrained mobile amorphous fractions [72]. However, PLLA exposed to 3.0 MPa CO<sub>2</sub> for up to 20 min develops only minor crystallinity, as also probed by WAXD spectra in Figure 2, therefore differently constrained mobile amorphous fractions cannot be claimed as the basis for the double endotherms of Figure 4.

Literature data indicate that strain-induced PLLA mesophase starts to melt immediately above  $T_g$ , displaying a post- $T_g$  endotherm in the DSC heating scan [52,73,74]. A sizable endotherm following  $T_g$  was also reported to occur at 40-55 °C in a PLLA/THF (tetrahydrofuran)  $\varepsilon$ -complex, and ascribed to transition from  $\varepsilon$ -form to  $\alpha$ -crystals [75]. A similar endotherm appearing a few degrees above enthalpy relaxation peak was also reported for PLLA conditioned for a few minutes at 2.0 MPa of CO<sub>2</sub> at 0 °C, and was ascribed to disordering of a mesophase developed in the presence of CO<sub>2</sub> [58]. Therefore, it seems reasonable to attribute the double endotherm observed in the  $T_g$  range of *PLLA\_3 MPa* to enthalpy relaxation of the mobile amorphous fraction and to melting of the  $\alpha$ "-mesophase developed after CO<sub>2</sub> desorption). However, further investigations are needed to support this hypothesis and resolve the two endothermic events. The high temperature endotherm/shoulder increases in size with  $t_s$  at 3 MPa, possibly revealing a higher mesophase fraction developed upon longer exposure to CO<sub>2</sub>, which corroborates the above hypothesis, then finally merges in a single endotherm, with a barely visible shoulder on the high temperature side for *PLLA\_3 MPa\_20 min*. Heating above  $T_g$  leads to a cold crystallization exotherm, which becomes progressively more intense and is anticipated with  $t_s$ . This is then followed by a single or double melting endotherm, depending on the cold crystallization temperature, as typical for PLLA [18,43,76].



Figure 5. Specific heat capacity  $(c_p)$  of PLLA treated with CO<sub>2</sub> for 1 min at the indicated pressures, then desorbed, upon heating at 20 K min<sup>-1</sup>. The dashed lines refer to thermodynamic heat capacity of solid and liquid PLLA.

A higher  $CO_2$  pressure leads to significant changes in the structure of the PLLA and therefore in the thermal properties, as shown in the thermal analysis graphs of Figure 4. Figure 4b shows how for *PLLA\_4* 

*MPa* with  $t_s < 5$  min, the endotherm in the glass transition range appears double-peaked and becomes again more intense with ts. In PLLA 4 MPa 20 min, the glass transition shifts to lower temperatures, and the post- $T_{\rm g}$  endotherm also reduces in size. For this sample, after 3 months still a minor amount of CO<sub>2</sub> remains within the polymer (< 0.1 mg, corresponding to a weight fraction < 0.007) plasticizing it [64]. Moreover, the cold crystallization exotherms are more intense with increasing  $t_s$ , for PLLA exposed to 4.0 MPa of CO<sub>2</sub> for 1 - 5 min, and become almost barely visible in PLLA 4 MPa 20min. The latter sample appears semicrystalline upon thermal analysis, as disclosed by the reduction in heat capacity step at  $T_{g}$ , due to the lower mobile amorphous fraction, and by the sizable melting endotherm that is peaked at about 160 °C. Further increase in CO<sub>2</sub> pressure results in faster and more intense cold crystallization exotherm at same  $t_s$  (5.0 MPa, 1 - 3 min), which can be better evidenced by comparing the thermal properties of PLLA fixing  $t_s$  at 1 min and varying the pressure, as presented in Figure 5. Increasing CO<sub>2</sub> pressure leads to partial crystallization of PLLA at shorter times (5.0 MPa, 5 - 20 min; 6.0 MPa, 1 - 3 min), as also disclosed by FTIR spectra in Figure 3. For PLLA 6 MPa 3 min, thermal analysis reveals a significant melting endotherm, and no cold crystallization peak is detected, indicating an initially semicrystalline sample that does not further crystallize upon heating. Its semicrystalline nature is also evidenced by the shift in the mesomorphic/crystalline band from 918 to 921 cm<sup>-1</sup> in the FTIR-ATR spectrum of Figure 3, suggesting crystal formation during CO<sub>2</sub> treatment. In general, an increase in CO<sub>2</sub> pressure determines an increase in gas sorption rates and gas concentration within the polymer [77]. Then, an increase in gas pressure improves chain mobility and reorganization, with the initial development of a mesophase, followed by the formation of more stable crystals at high CO<sub>2</sub> pressures and sorption times.



Figure 6. Peak temperatures of cold crystallization exotherms ( $T_c$ ) of PLLA after CO<sub>2</sub> conditioning at the indicated pressures, as a function of  $t_s$ . Lines guide the eye. Data are derived from the DSC curves shown in Figure 4.

The effect of CO<sub>2</sub> treatment on the kinetics of cold crystallization, measured as the exothermic peak temperature ( $T_c$ ) of the DSC plots of Figure 4, is summarized in Figure 6. An increase in pressure and  $t_s$  clearly leads to faster cold crystallization. The variation in crystallization rate is remarkable: in *PLLA\_6 MPa\_1 min*,

 $T_c$  is lowered by about 30 K compared to *PLLA\_3.0 MPa\_1 min*. Maintaining PLLA under CO<sub>2</sub> for short times (few minutes) can lead to a significant increase in the crystallization rate, as attested by the sizably anticipated  $T_c$ . However, the maximum exposure time to CO<sub>2</sub> before the sample crystallizes during treatment reduces with CO<sub>2</sub> pressure; therefore, fine-tuning of the crystallization kinetics is limited. For  $t_s$  greater than 1 min under 6.0 MPa of CO<sub>2</sub>, or 5 min for a pressure of 5.0 MPa, the polymer crystallizes within the batch autoclave, which limits the adjustment of crystallization kinetics under higher pressures. By increasing  $t_s$ , the lowest  $T_c$  of 95°C is reached for *PLLA\_4 MPa\_20 min*, which, however, is also accompanied by significant partial crystallization.

The fraction of sample crystallized in the autoclave was estimated by comparing the enthalpy of melting ( $\Delta H_m$ ) and enthalpy of cold crystallization ( $\Delta H_c$ ), measured by integrating the corresponding endothermic/exothermic peaks of the DSC plots shown in Figure 4. Data are presented in Figure 7 as a function of  $t_s$ . The exact crystal fraction was not estimated, because in this temperature range both  $\alpha'$ - and  $\alpha$ -crystals of PLLA develop [43,44,46,47] and the two polymorphs have a largely different enthalpy of melting [78]. For all samples, a  $\Delta H_m - \Delta H_c$  value higher than 0 is measured, which provides quantitative data on the melting of crystals grown in the autoclave, in addition to cold crystallization and melting of the crystals formed upon DSC heating. Mild treatment of PLLA with CO<sub>2</sub> leads not only to growth of the mesophase, but also to the formation of ordered crystals, whose amounts depend on pressure and  $t_s$ . This is particularly evident after CO<sub>2</sub> sorption at high pressures, such as 5.0 or 6.0 MPa, with, as an example, a value of  $\Delta H_m - \Delta H_c$  that equals to 22 J·g<sup>-1</sup> for *PLLA\_6 MPa\_1 min*. Samples conditioned with 4.0 MPa of CO<sub>2</sub> show an initial absence of crystals developed during CO<sub>2</sub> treatment, with  $\Delta H_m - \Delta H_c$  values increasing with  $t_s$ . On the contrary, treatment with a pressure of 3.0 MPa leads to a minor, if any, growth of crystals within the autoclave, as demonstrated by the low values of  $\Delta H_m - \Delta H_c$ , even for the highest  $t_s$  tested.



**Figure 7.**  $\Delta H_{\rm m} - \Delta H_{\rm c}$  of PLLA after CO<sub>2</sub> treatment at the indicated pressures, as a function of  $t_{\rm s}$ . Data were derived from DSC curves presented in Figure 4. Lines guide the eye

It should be noted that the cold crystallization of PLLA is also enhanced in samples that initially did not contain a sizable initial crystallinity (3.0 - 4.0 MPa, 1 min), but only a small fraction of mesophase; this is

evidenced in Figures 4 and 5 by a sizable cold crystallization exotherm, much larger than that of the amorphous polymer. Similar to strain-induced mesophase [47, 52], also  $\alpha$ "-mesophase developed in the presence of CO<sub>2</sub>, even if melted at the completion of  $T_g$ , accelerates subsequent crystal growth in PLLA. It is likely that some partially ordered or not fully relaxed chain clusters remain after melting the mesomorphic structure, which can facilitate subsequent crystal formation. Memory effects of partially ordered chain segments that, after their melting, can facilitate additional crystal growth have been probed for a number of semicrystalline polymers, including isotactic polypropylene [79], poly( $\varepsilon$ -caprolactone) [79], poly(butylene terephthalate) [80, 81], or poly[(R)-3-hydroxybutyrate] [82], to name a few. However, the influence of small amounts of ordered crystals in promoting further crystal growth upon heating, and the exact structure evolution upon heating are still under investigation and will be detailed in a forthcoming manuscript.

### **Conclusions**

PLLA slabs with a thickness of 0.5 mm were treated with a CO<sub>2</sub> pressure of 3.0 to 6.0 MPa at room temperature for 1 - 20 minutes, to study the influence of  $\alpha$ "-mesophase on thermal properties and crystallization kinetics of PLLA. The adopted mild CO<sub>2</sub> treatment leads to a small amount of gas dissolved within the polymer, resulting in a limited fraction of mesophase, but its formation can be evidenced even after exposure to CO<sub>2</sub> for very short times (1 - 3 min). The fraction of developed mesophase depends on the CO<sub>2</sub> pressure and sorption time, with prolonged exposure to CO<sub>2</sub> that also leads to the formation of ordered crystals. When the polymer is conditioned with CO<sub>2</sub> at 6.0 MPa, 3 min are sufficient to develop  $\alpha$ -crystals. This points to the upper limit for the growth of the mesophase at ambient temperature when a CO<sub>2</sub> pressure of 6.0 MPa is used, at least for the specific grade and thickness of the PLLA used.

The thermal properties of PLLA containing  $\alpha$ "-mesophase were studied by DSC, in dependence on both  $t_s$  and CO<sub>2</sub> pressure. Cold crystallization of PLLA is enhanced by the initial presence of the mesophase, although it melts at the completion of  $T_g$ . The cold crystallization peak temperature  $T_c$  is sizably anticipated by increasing CO<sub>2</sub> sorption pressure and time, indicating that a larger mesophase fraction attained with higher CO<sub>2</sub> concentrations within the sample leads to faster reorganization to  $\alpha$ -crystals.

The mild  $CO_2$  treatment detailed here may provide the basis for a novel, cost-effective and green process to tune the thermal properties of PLLA. The short time and low pressures needed to reach highly enhanced crystallization rates pave the way for a methodology to widen PLLA application by easy change in processing conditions in a few minutes and with a reduced amount of gas, with the added value of a tuning of properties at a controllable level.

#### Acknowledgements

The authors thank Total Corbion (The Netherlands) for kindly providing PLLA and Prof. Paolo Aprea of DICMaPI UniNa for his assistance with WAXD analyses.

This article is dedicated to the memory of Prof. Berhnard Wunderlich, a pioneer educator and scientist. MLDL had the wonderful opportunity to work directly under his guidance at the University of Tennessee, where she was introduced by an unsurpassed mentor into the world of Thermal Analysis of Polymers.

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