No leeway to enhance carbon sequestration and

2 stock capacity via changes to forest management

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31 Abstract

32 Forest management interventions can act as value-based agents to remove CO₂ from the 33 atmosphere and slow anthropogenic climate change and thus might play a strategic role in the 34 framework of the EU forestry-based mitigation strategy. To what extent diversified 35 management actions could lead to quantitatively important changes in carbon sequestration 36 potential and stocking capacity at the tree level remains to be thoroughly assessed. To that 37 end, we used a state-of-the-science bio-geochemically based forest growth model to assess effects of multiple alternative forest management scenarios on plant net primary productivity 38 39 (NPP) and potential carbon woody stocks (pCWS) under differing scenarios of climate 40 change. The experiments indicated that the capacity of trees to assimilate and store atmospheric CO₂ in recalcitrant standing woody tissue is already being attained as its 41 42 optimum under business-as-usual forest management conditions regardless of the different 43 climate change scenarios investigated. Nevertheless, on the long-term and under increasing 44 atmospheric CO₂ concentration and warming, managed forests show both higher productivity 45 and a larger pool of stored carbon than unmanaged ones as long as forest thinning and tree 46 harvesting are of moderate intensity.

Keywords: alternative forest management, modeling, virtual forests, climate change, carbon
sequestration

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54 **1.** | Introduction

55 Forest ecosystems have the capability to mitigate anthropogenic climate change by slowing the rate of atmospheric carbon dioxide (CO₂) increase (Pugh et al., 2019; Friedlingstein et al., 56 2020). A net reduction of CO₂ emissions is the cornerstone and topmost priority in view of 57 climatic neutrality, which is expected to be reached by 2050, and in this context there are 58 important questions about whether forest management may provide a concrete, cost-effective 59 60 toolset for enhancing land-based mitigation actions at both the ecosystem/landscape (Kauppi 61 et al., 2001; Pussinen et al., 2002; Nolè et al., 2015; Tong et al., 2020) and wood stocks levels (i.e. material substitution purposes; Leskinen et al., 2018; Howard et al., 2021). 62

63 European (EU) forests have been shaped through the centuries by human activities, which, affecting carbon (C) fluxes and stocks, have in turn influenced their potential for enhanced C 64 65 sequestration (Nabuurs et al., 2008). In the EU circa 165 Mha of forest lands are managed which contribute to ~ -286 Mt CO₂ year⁻¹ of the LULUCF net fluxes (Grassi et al., 2017; 66 67 2021). Past management strategies were designed to attain the 'normal forest', creating forest conditions where maximum yield and products can be achieved perpetually (Leslie, 1966), 68 specifically aimed at stimulating commercial yield rather than maximizing biomass 69 70 sequestration and production (Tahvonen, 2016). Present EU country policies envision a move 71 from predominantly wood-based climate neutrality management actions, to more proactive 72 and sustainable forest management portfolios (EU Forest Strategy, 2015) to enhance forest C 73 storage in a changing climate (Churkina et al., 2020; Favero et al., 2020). Substantial uncertainty remains about the effective capacity of managed forests to even hold the current 74 sink under the global changes and thus contribute to climate change mitigation through their 75 sequestration potential in the near-future, as classical silvicultural schemes are shaped on past 76 environmental conditions. In the past decades European forests registered increased 77

productivity and sustained stock increments exceeding the harvesting rates (Ciais et al., 2008; 78 State of Europe's forests 2020). Such increases are the results of combinations of several 79 80 factors, primarily climate (through the lengthening of the growing season), increased 81 atmospheric CO₂ concentration (stimulating photosynthesis through 'CO₂-fertilization'), 82 nitrogen deposition (stimulating growth through nitrogen fertilization) and forest 83 management (Bellassen et al., 2011; Piao et al., 2020; Walker et al., 2021). There is concern, however, that recent harvesting rates may be approaching, or even exceeding, net tree growth 84 rates (Nabuurs et al., 2013; Ceccherini et al., 2020; Schulze et al., 2020; State of Europe's 85 forests 2020). Past positive trends in gross primary productivity (GPP; photosynthetic 86 assimilation of atmospheric CO_2), and vegetation productivity in the northern hemisphere 87 88 might not be sustained in the future if the CO₂ fertilization effect is not persistent (Körner, 2005; Walker et al., 2021) or if this is down-regulated or counteracted by direct effects of 89 climate trends including warming and drying (Yuan et al., 2019; Grossiord et al., 2020), 90 91 disturbances (McDowell et al., 2020; Senf & Seidl, 2021; Gampe et al., 2021), or by age-92 related effects on net primary productivity (NPP; the balance of photosynthesis and plant 93 respiratory release of CO₂ to the atmosphere)(Ryan et al., 2006; Zaehle et al., 2006; Luyssaert 94 et al., 2007; Tang et al., 2014; Pugh et al., 2019). Hence, a reduction in vegetation sink capacity and a turning point in its capability of loosening anthropogenic CO₂ might be 95 already approaching (Duffy et al., 2015; Peñuelas et al., 2017; Wang et al., 2020), which 96 97 would likely trigger a double negative effect, by lowering the short- to medium-term potential of vegetation to sequester carbon and levering a non-persistent increase of carbon woody 98 99 stocks (CWS, i.e. the sum of standing woody biomass and harvested woody products) in the 100 medium- to long-term.

101 Forest models are extensively used to investigate and to project the effects of climate and 102 management on forest productivity and sustainability with local to regional scale 103 applications, including the support of policymaking (Mäkelä et al., 2000; Morales et al., 104 2005; Fontes et al., 2010, 2011; Temperli et al., 2012; Vacchiano et al. 2012; Collalti et al., 2018; Maréchaux et al., 2021). In this context, forest models can be used to assess potential 105 106 effects of climate change on forest carbon storage, how different management strategies can 107 influence that carbon storage, and therefore how management can support climate change 108 mitigation.

109 This study aimed at questioning the debated role of past-current forest management practices 110 in ensuring forest productivity under future climate conditions. A validated process-based 111 modeling approach was used to better understand controls on CO₂ uptake and C storage in a 112 composite matrix of managed forests taking into account how combinations of climate 113 change and management affect those controls. Specifically, we questioned whether: i) 114 relative to the business-as-usual (BAU) management scenarios or, alternative forest 115 management practices can maximize NPP while at the same time maintaining and/or 116 increasing pCWS (potential Carbon Woody Stocks: i.e. when no harvested wood decay is assumed); *ii*) we tried to quantitatively assess and to discuss around the effective role of 117 118 forests and forest management in respectively responding to and mitigating climate change.

119 2. | Materials and Methods

120 **2.1 | 3D-CMCC-FEM Model**

121 2.1.2 | Model description

The 3D-CMCC-FEM v.5.5 (Three Dimensional - Coupled Model Carbon Cycle - Forest
Ecosystem Model; Collalti et al., 2014; Marconi et al., 2017; Engel et al., 2021) simulates

daily gross photosynthesis (GPP) through the Farquhar-von Caemmerer-Berry biochemical 124 125 model (Farguhar et al., 1980), modified for sun and shaded leaves (de Pury & Farguhar, 126 1997), and acclimated for temperature (Kattge & Knorr, 2007). Plant respiration (R_a) is 127 simulated explicitly and partitioned into growth (R_g) , and maintenance respiration (R_m) as in 128 the growth-and-maintenance-respiration paradigm (Amthor, 2000; McCree, 1970; Thornley, 2000). $R_{\rm g}$ is considered a fixed fraction (i.e. 30%) of the remaining C once tissue $R_{\rm m}$ is 129 130 accounted for and removed from GPP. R_m is computed, for each functional-structural tree C 131 pool (i.e. live wood, leaves and fine roots), using a temperature-acclimated Q_{10} relationship 132 (for details on thermal acclimation see Tjoelker et al., 2001; Atkin et al., 2003; Smith & 133 Dukes, 2012; Collalti et al., 2018) and a mass-based approach using N-content of the specific live respiring tissues (with the base rate of maintenance respiration (m_R) = 0.218 g C g N⁻¹ 134 dav⁻¹: Ryan et al., 1991; Drake et al., 2011; Oleson et al., 2013; Collalti et al., 2016, 2020a). 135 136 The sum of daily R_g (if any) and R_m gives R_a. Daily NPP is then GPP less R_a. Allocation of 137 NPP among tree C pools is performed daily, with preference to non-structural carbon (NSC, 138 i.e. starch and sugars), which is used directly to fuel R_m, up to a minimum NSC threshold 139 level. The minimum NSC-threshold level is a fraction (a model parameter) of the live wood 140 C-content (Collalti et al., 2020a). Once (and if) the threshold is reached, C is allocated 141 preferentially for biomass growth (G) for the different tree C-pools depending on the 142 phenological phase as formerly described in Collalti et al. (2016). The only phenological 143 phase during which NSC has no priority in allocation is during bud break, when recent GPP 144 is completely allocated for growth of leaves up to a maximum annual leaf area index (LAI, $m^2 m^{-2}$), which is computed at the beginning of each year of simulation through the pipe-145 146 model (Shinozaki et al., 1964; Mäkelä, 1997), and growth of fine roots. This NSC allocation scheme reflects a quasi-active role of NSC (NSC usually has priority over growth of new 147 148 structural tissues), as described by Sala (2011), Merganičová et al. (2019) and Collalti et al.

149 (2020a), and implies that any asynchrony between C-demand (i.e. R_a and G) and C-supply 150 (i.e. GPP) is buffered by tapping the pool of NSC. When NSC pools cannot be refilled (for 151 any reason) and NSC approaches zero, carbon starvation occurs, and tree death is simulated. 152 This overall C-allocation scheme in the 3D-CMCC-FEM model follows the functional balance theory of allocation, similarly to other models (Merganičová et al., 2019). Age 153 154 related mortality, carbon starvation, and a background mortality (i.e. the as-yet unexplained mortality), represent the different types of mortality simulated by the model; the last one is 155 156 turned off when forest management is applied. An in-depth description of the model's 157 underlying characteristics, effects of climate change and model parameter sensitivity and uncertainty, as well as model limitations, is in Collalti et al. (2020a) (and references therein). 158

159 2.1.2 / Forest management routine

Historically, a large share of the actively managed European forests has been shaped via thinning and clear-cutting which resulted in the establishment of even-aged, often monospecific stands when the main aim was prioritizing productivity (see Campioli et al., 2015, and references therein; State of Europe's forests 2020). Therefore, in such configurations, forests carbon pools and fluxes strongly depend on rotation lengths (tree age-class distribution), thinning interval, and thinning intensity (Nabuurs et al., 2008).

In this study we varied the three key management variables associated with European managed forests: thinning intensity, thinning interval and rotation age (following Reyer et al., 2020). Thinning intensity is represented in the model by the percentage of stand basal area to remove based on total stand basal area. Thinning interval stands for the number of years between two consecutive operations. Rotation age represents the stand age at which the final harvest occurs, after which the stand is replanted with saplings of the same species as exactly as adopted into the Inter-Sectoral Impact Model Intercomparison Project (ISIMPI,

https://www.isimip.org, Warszawski et al., 2014) protocol. The model benchmark was the 173 Business-as-Usual (BAU) forest management scheme for the most common European 174 175 species as described in Reyer et al. (2020) and applied in three contrasting forest stands as in 176 Collalti et al. (2018).

177 2.2. | Sites, data and experimental design

178 The model was parameterized for, and simulated C fluxes and tree growth in three even-aged, 179 long-monitored, managed European forest sites which are part of the Fluxnet network 180 (Pastorello et al., 2020), the ISIMIP initiative and the PROFOUND database (Rever et al., 181 2020). Specifically, abovementioned sites are: (1) the temperate European beech (Fagus 182 sylvatica L.) forest of Sorø, Denmark; (2) the Norway spruce (Picea abies (L.) H. Karst) 183 stand of Bílý Křiž in Czech Republic, and (3) the boreal Scots pine (Pinus sylvestris L.) forest 184 of Hyytiälä, Finland (Table 1). These sites were selected because they represent the dominant 185 forest types in Europe and their management best corresponds to 'the intensive even-aged 186 forestry' as defined by Duncker et al. (2012).

187 As input daily forcing data, we used the climate simulation data from the ISIMIP Fast Track 188 initiative based on the Climate Model Intercomparison Project 5 (CMIP5) in which five Earth 189 System Models (ESMs; i.e.: HadGEM2-ES, IPSL-CM5A-LR, MIROC-ESM-CHEM, GFDL-190 ESM2M, and NorESM1-M) were driven by four Representative Concentration Pathways 191 (RCPs) of atmospheric greenhouse gas concentration trajectories, namely RCP 2.6, RCP 4.5, 192 RCP 6.0, and RCP 8.5 (Moss et al., 2010; van Vuuren et al., 2011). The future annual 193 atmospheric CO₂ time series for the period 2016 to 2500 are based on Meinshausen et al. (2011) as described in Reyer et al. 2020. The RCP atmospheric CO₂ concentration values 194 195 were used to drive the biogeochemical photosynthesis model with values varying at the end of the century from 421.4 μ mol mol⁻¹ (RCP 2.6) to 926.6 μ mol mol⁻¹ (RCP8.5). Daily 196

meteorological forcing-data for each site used by 3D-CMCC-FEM were available as biascorrected/downscaled variables (air temperature, precipitation, solar irradiance) and as noncorrected variables (relative humidity) according to Hempel et al. (2013).

200 2.2.1. / Virtual stands

201 Given that the European forested area is composed of a mix of differing-aged stands, and 202 since forest C cycle processes may respond differently to climate factors at different ages (e.g. Vanninen & Makela, 2005; Ryan et al., 2006; Reich et al., 2008; Bouriaud et al., 2015; 203 204 Collalti & Prentice, 2019; Collalti et al., 2020b; Huber et al., 2018, 2020; Migliavacca et al., 205 2021), we developed a composite forest matrix (CFM) consisting of a mixture of stands of 206 different age, structure and associated biomass. Starting from the real stands, we generated a 207 prescribed number of virtual stands in order to obtain representative model outputs of a larger 208 set of different age-classes (with their associated forest attributes) to cover an entire rotation 209 period (~140 years, depending on species), similarly as in Bohn & Huth (2017). A Composite 210 Forest Matrix (CFM) was then created by running at each site the model from 1997 to 2199 211 (to cover the entire rotation length for each species) under a contemporary climate (no 212 climate change) scenario (de-trended and repeated cycles of 1996-2006 weather), with fixed atmospheric CO₂ concentration (368.865 μ mol mol⁻¹) and BAU management practices. From 213 214 each of these simulations data needed to reinitialize the model at every rotation length/10 215 were extracted (Figure 1). Thus, in total, ten virtual additional stands representing different 216 age classes of the composite matrix were selected and included into the CFM. The 217 management scenario analysis was then carried out by means of this new larger number of 218 forest stands (hereafter named 'virtual stands') as proxy of our representative forest.

219 2.2.2. | Alternative management (AM) schemes

220 Overall, we considered at each real and virtual stand, and for each of the three sites, 28 221 management scenarios: the Business-as-Usual and 'no management' (NO-MAN: stands left developing in undisturbed conditions) schemes plus 26 alternative management schemes. 222 223 These alternative forest management scenarios represent all the possible combinations of two 224 different thinning intensities, two different thinning intervals, and two different rotation 225 durations than the ones adopted to simulate BAU. The schemes were grouped (Tables 1 and 226 Table S1) into combinations of: (1) 'more intensive' ('AM+'), where at least one out of the 227 three management variables reflect an intensified management case relative to BAU (e.g. 228 higher thinning intensity and/or shortened interval and/or shortened rotation than BAU), and 229 the other one or two (or no) variables are kept as in BAU; (2) 'less intensive' ('AM-'), where at least one variable reflects lower thinning intensity and/or prolonged interval and/or 230 231 prolonged rotation, compared to the BAU case; and (3) 'mixed schemes' ('MIX'), where at 232 least one management variable was more intensive and at least one management variable was 233 less intensive than the BAU scheme. In the 'no management scheme forest stands are left to 234 develop without any human interventions or change in species composition.

235 2.2.3 | Model runs and evaluation

The starting year for all simulations was 1997, consistent with the availability of measured stand carbon flux data used for the model initialization and evaluation. After creation of the virtual stands, based on de-trended weather time series for period 1996-2006, final climate change simulations were created from 2006 to 2100. Overall, in total – by considering all potential combinations of management and climate change scenarios under different ESMs climate forcing – at each of the three sites we performed 6,160 simulations (i.e.: 5 ESMs * 4 RCPs * 11 stands * 28 management schemes) corresponding overall to 18,480 different
model runs for all of the three sites.

Additionally, to gauge model sensitivity to factors controlling our model results we organized 244 the analysis according to factorial design (Mason et al., 2003; Collalti et al., 2018) across a 245 246 matrix of different factors (i.e. Stand, ESMs and RCPs, generating seven possible combinations from each factor in total) in order to identify the most influential factor among 247 248 forest structure (as modified also by management) and climate/scenarios used over the main modeled autotrophic carbon variables (GPP, Ra, NPP, CUE, NPPwoody, and potential Carbon 249 250 Woody Stocks). The model was evaluated using 1997-2005 annual GPP and the annual net 251 woody productivity (NPPwood) data for Sorø and Hyytiälä and 2000-2005 for Bílý Křiž by 252 comparing simulated GPP against eddy covariance estimates (http://fluxnet.fluxdata.org/; 253 Pastorello et al., 2020), and compared modeled wood growth against measured NPPwood 254 (Principal Investigator's site for Hyytiälä and Bílý Kříž under personal communication, and 255 Wu et al., 2013 for the site of Sorø) and stem diameter at breast height (DBH; Reyer et al., 256 2020). Subsequent years were excluded from the model evaluation since the scenario period 257 in the ESMs started in 2006, and hence, ESMs are driven by different atmospheric CO₂ 258 concentration trajectories after 2006.

259 2.3 | Effects of climate change and management on carbon fluxes and biomass

As carbon fluxes do not scale linearly to stocks (Schulze et al., 2020) data analyses focused on the variables NPP and the pCWS, the sum of standing and previously harvested woody stocks. Net primary productivity can be used as good proxy for evaluating the forest net active carbon sink process (Sha et al., 2022), and the net biomass input to forest ecosystems (Trotsiuk et al., 2020), with decomposition (decay) processes representing the active carbon source process. Net primary production is a dynamic balance between photosynthesis (GPP)

266 and plant respiration (R_a), which respond separately and/or in combination to a range of 267 climatic factors and, in managed forests, to management practices (Collalti et al., 2020a) 268 which are not generally amenable to *in situ* quantification over long periods, especially for 269 climate change issues, hence raising the need for process-based modeling. Harvested wood 270 products are considered here without decay; hence we aim at evaluating only the potential 271 maximum attainable total woody standing stocks under a wide spectrum of possible 272 management schemes without any consideration of the longevity of harvested wood. Data 273 were averaged over the emission scenario simulation period 2006-2099 and aggregated over 274 virtual and real stands and over ESMs but distinguished between RCPs. In spite of site-275 specific differences in magnitude of response to the different management schemes and 276 climate (see Section S.2 in the Supporting material) the main emerging pattern with 277 increasing intensity of intervention is of similar magnitude. For these reasons, data were also 278 aggregated over sites. Therefore, alternative management results are presented first 279 aggregated according to the groups AM+ and AM- to better highlight the pattern direction 280 when moving from the current management schemes toward a more intensive or a less 281 intensive scenario.

282 **3.** | **Results**

283 **3.1 | Model evaluation**

The 3D-CMCC-FEM model was evaluated in the three sites separately and at different temporal scales with robust results regarding both the carbon fluxes, i.e. GPP and NPP_{woody}, and the structural variables, i.e. average stand DBH (Figures S1-S3 and Table S2 and S3 in Supplementary Material). Simulations forced with both observed local climate and with an ensemble of outputs produced by modeled climate under the present day climate compare

both well with the eddy-covariance based estimated daily GPP values in the sites of Sorø 289 (period: 1997-2005: mean absolute error, MAE = 1.43 g C m⁻² dav⁻¹ with observed climate. 290 and MAE = 1.91 g C m^{-2} day⁻¹ with the ensemble across ESMs forcing; Root Mean Square 291 Error, RMSE = 2.15 g C m⁻² day⁻¹ with local climate, and RMSE = 2.98 g C m⁻² day⁻¹ with 292 293 the ensemble across ESMs forcing; r > 0.86 and n = 3092), as well as for Hyytiälä (period: 1997-2005; MAE = 1.05 g C m⁻² day⁻¹ with observed climate, and MAE = 1.29 g C m⁻² day⁻¹ 294 with the ensemble across ESMs forcing; $RMSE = 1.48 \text{ g C m}^{-2} \text{ day}^{-1}$ with local climate, and 295 RMSE = 1.91 g C m⁻² dav⁻¹ with the ensemble across ESMs forcing; r > 0.78 and n = 3092) 296 and Bílý Křìž (period: 2000-2005; MAE = 1.52 g C m⁻² day⁻¹ with observed climate, and 297 MAE = 1.99 g C m⁻² day⁻¹ with the ensemble across ESMs forcing; RMSE = 2.07 g C m⁻² 298 day^{-1} with local climate, and RMSE = 2.69 g C m⁻² day⁻¹ with the ensemble across ESMs 299 forcing; r > 0.67 and n = 1390), respectively (see Supplementary Material Figure S1, S2 and 300 301 Table S2).

Model performs robustly for GPP even at annual scale at Sorø (1665.7 \pm 171.1 g C m⁻² year⁻¹ 302 and 1584.9 ± 189.6 g C m⁻² year⁻¹ under observed and modelled climate vs. 1731.41 ± 184.4 303 g C m⁻² year⁻¹ measured; here and elsewhere, \pm denotes one standard deviation), as well as 304 for Hyytiälä (894.3 \pm 57.3 g C m⁻² year⁻¹ and 871.1 \pm 52.6 g C m⁻² year⁻¹ under observed and 305 modelled climate vs. 1028.4 \pm 50.1 g C m⁻² year⁻¹ measured), and at Bílý Křiž (893.5 g C \pm 306 251.8 g C m⁻² year⁻¹ and 893.3 \pm 222.2 g C m⁻² year⁻¹ under observed and modelled climate 307 vs. 1024.48 \pm 354.5 g C m⁻² year⁻¹ measured), respectively. Similarly, model shows to 308 reproduce reasonably well the annual values of the net primary productivity fluxes into the 309 tree woody pools (i.e. NPP_{woody}) at Sorø (350.8 \pm 61.2 g C m⁻² year⁻¹ and 274.7 \pm 63.2 g C 310 m^{-2} year⁻¹ under observed and modelled climate vs. 346.9 ± 36 g C m⁻² year⁻¹ measured), a 311 bit less well at Hyytiälä (316.6 \pm 20.7 g C m⁻² year⁻¹ and 290.4 \pm 24.3 g C m⁻² year⁻¹ under 312 observed and modelled climate vs. 228.4 ± 23.3 g C m⁻² year⁻¹ measured), and satisfactorily 313

well at Bílý Křiž (442.1 \pm 78.7 g C m⁻² year⁻¹ and 405 \pm 36.1 g C m⁻² year⁻¹ under observed 314 and modelled climate vs. 379.9 ± 38.41 g C m⁻² year⁻¹ measured), respectively. The mean 315 diameter increase, which was only qualitatively compared, is only slightly underestimated at 316 the site of Bílý Křiž (Figure S3). Comparisons with literature data for NPP and R_a as well as 317 318 for CUE (i.e. NPP/GPP) between modelled with observed climate and with ESMs' climate 319 are shown in Table S3. Notably, results generated with the 3D-CMCC-FEM forced by the 320 EMSs' climates are close to the ones generated by observed weather data in the evaluation 321 period with the observed values falling, in almost the cases, inside the range of variability of 322 the results generated with different ESMs.

323 3.2 | Lesser intensive management vs. BAU

324 Simulated average NPP in the less intensive management scenario group (i.e. AM-) is close to the reference BAU values, ranging between 495.4 g C m^{-2} year⁻¹ (-1.2%; here and 325 elsewhere, percentages refers to difference when compared to BAU) to 524.7 g C m^{-2} year⁻¹ 326 (-3.1%) when compared to 502 g C m⁻² year⁻¹ and 542 g C m⁻² year⁻¹ for RCP 2.6 and 8.5 327 328 under BAU, increasing only slightly with increasing warming and atmospheric CO₂ 329 concentration scenarios and more steeply toward the end of the century and without 330 significant differences across RCPs, respectively (Figure 2 and Figure S4, Table 2). 331 Simulated pCWS values increase steadily along the simulation time for all the alternative management scenarios, with time-averaged values between 179.8 t C ha⁻¹ (-6.6%) and 198.5 332 t C ha⁻¹ (-7.3%) compared to 192.9 t C ha⁻¹ and 198.5 t C ha⁻¹ for RCP 2.6 and 8.5 under 333 BAU, respectively (Figure 3 and Figure S4, Table 2). In Figure 4, NPP and pCWS data are 334 335 reported considering values averaged over the simulation period and with differences to the 336 reference BAU, plotting the NPP versus the pCWS values. Results for each of the RCPs 337 scenarios and all the alternative management options combined are reported separately across

338 the sites in the Supplementary Material (Table S4). Interestingly, a lower reduction in NPP (-(0.7%) and higher values of pCWS (2.6%) when compared to BAU are only in the case of a 339 prolonged rotation period under RCP 8.5, while there are higher losses in NPP (-6.1%) with a 340 341 prolonged rotation and thinning regime, including a reduction in the intensity, under RCP 8.5. Conversely, pCWS shows the greatest reduction (-13.9%) when both thinning intensity and 342 343 regime only are set to simulate a decreased management intensity under RCP 8.5 (Table S5). 344 In summary, results from AM- simulations show as for both NPP and pCWS, and in most of the cases, there are lower values with reducing intensity of forest management than the 345 346 reference BAU. These differences vary only slightly across the emissions scenario considered. 347

348 3.3 | More intensive management vs. BAU

The AM+ simulations results are characterized by a significant spread within the different 349 modelled schemes, and returning, on average, lower NPP values than the reference BAU 350 scenario, with values ranging between 350.0 g C m⁻² year⁻¹ (-30.2%, RCP 2.6) and 388.1 g C 351 m⁻² vear⁻¹ (-28.4%, RCP 8.5), and the other values in between, thus, significantly lower 352 values when compared to the 502 g C m^{-2} year⁻¹ and 542 g C m^{-2} year⁻¹ for BAU for the 353 354 same climate scenarios (Figure 2 and Figure S4, Table 2). Conversely, values of pCWS between AM+ and BAU are closer, with values of about 184.3 t C ha⁻¹ (-4.4% for RCP 2.6) 355 and 189.7 3 t C ha⁻¹ (-4.4% for RCP 8.5) compared to 192.9 t C ha⁻¹ and 198.5 t C ha⁻¹ for 356 BAU under the same climate scenarios, respectively (Figure 3 and Figure S4, Table 2). 357 Results for each of the RCPs scenarios and under all the alternative management options 358 359 combined are reported separately across the sites in the Supplementary Material (Table S4). Noteworthy, a lower reduction in NPP (-4.6%) when compared to BAU is in the case of the 360 361 shortening rotation period only under RCP 8.5 while higher losses in NPP (-55.6%) under a

shortened thinning regime including an increase in the intensity, are modelled under RCP 8.5. 362 363 Conversely, pCWS shows the a net gain (4.14%), compared to the BAU, when only the 364 thinning intensity is set to simulate an increased management intensity under RCP 8.5, while the greatest reduction in pCWS (-14%) is when the full set of management variables are 365 366 parameterized to simulate an intensified management under RCP 8.5 (Table S5). Ultimately, 367 both NPP and pCWS results from the AM+ schemes are, on average, lower than the ones 368 from the reference BAU. Interestingly, despite management scenarios showing high 369 variability between the several AM+ schemes, there are no significant differences across 370 RCPs scenarios more than the ones across management scenarios.

371 3.4 | No management vs. BAU

372 The NPP values in the NO-MAN scenario are, on average, lower than the reference BAU 373 scenario varying from -14.5% (RCP 2.6) to -19.5% (RCP 8.5), with other values in between, 374 and varying across emissions scenarios with values ranging from 429.1 g C m^{-2} year⁻¹ (RCP 2.6) to 444.8 g C m⁻² year⁻¹ (RCP 6.0) when compared to values varying from 502 g C m⁻² 375 $vear^{-1}$ 530.5 g C m⁻² vear⁻¹ for BAU under the same climate scenarios, respectively (Figure 376 377 2, Table 2). However, a site specific variability in the NPP response to the management 378 scenarios applied exists, with differences between NO-MAN and BAU options ranging from 379 9.0% (for Hyytiälä) to -41.7% (for Bílý Křìž) both under the warmest emission scenario 380 (Table S4). Differences between NO-MAN and BAU become more evident along the 381 simulation and across RCPs scenarios, with the mean NPP value stabilizing or slightly increasing under the BAU (and AM-) option. Conversely, in the NO-MAN scenario the 382 383 values steadily decreased (Figure 2).

384 The simulated pCWS, which is represented by the only standing biomass in the NO-MAN 385 scenario, are, on average, lower than in the BAU scenario, with differences in the order of, overall, about -30.0% (from -21.6% to -40% across the different sites) and with values varying between 192.9 t C ha⁻¹ and 198.5 t C ha⁻¹ for BAU. Along the simulation pCWS values in the NO-MAN option increase slightly at the beginning of the simulation and then decrease significantly toward the end of the century (Figure 3, Table 2). The NO-MAN case returns the lowest average amount of total woody stocks under every emission scenario (Figure 4 and Table 2).

392 3.5 | Mixed management alternatives and the factorial analysis

393 A mixed combination of management schemes (namely 'MIX') was also performed (all of the possible combinations are shown in the Table S1). While data were not shown here (but 394 395 see in Supplementary Material Figure S5 and Table S4, S5), there are no options with 396 simultaneously increases both in NPP and pCWS than the BAU scenarios. Values for NPP 397 range from -1.38%, with both a prolonged thinning regime and rotation, and, at the same time, an increase in thinning intensity under RCP 2.6. On the other side, a reduction in NPP 398 399 of about -58.4% with prolonged rotation but a shortened thinning regime and increased 400 thinning intensity is shown under RCP 2.6. Similarly, with the same management schemes 401 pCWS shows to decrease of about -16.1% under RCP 6.0, when compared to BAU, while, 402 conversely, pCWS shows to increase of 5.7% under RCP 8.5 by prolonging the regime and 403 increasing the thinning intensity.

The factorial analysis performed over all the main carbon fluxes and stocks variables produced by the model and separated by site, indicates that a significant fraction of the total variability of the key carbon flux variables was mainly driven by the stand factor (i.e. the forest structure as generated by different age classes and management schemes, including different above- and below-ground biomass, Figure S6 and Table S6).

409 4. | Discussions

410 4.1 | Limited leeway to increase carbon uptake and woody stocks with alternative 411 management scenarios

The variables NPP and pCWS stand for two sides of the same coin because one (i.e. NPP) 412 413 represents the short- to medium term active carbon sequestration capacity while pCWS the 414 sequestered (and maintained) carbon over the medium- to long-term. The simulations clearly 415 indicate that even under future climate change scenarios managing forests can support trees 416 in maintaining their carbon sequestration potential, enhancing the plant capability to respond 417 to changing conditions, and increasing, for instance, their productivity compared to the no-418 management option. Reducing to some degree tree density allows plants to benefit firstly 419 from alleviated competition for potentially limiting resources such as light and soil moisture, 420 responding with an increase of their photosynthesis activity and growth rate (Zeide et al., 421 2001). This outcome, combined with the fertilization effects of increased atmospheric CO_2 422 concentration and less 'respiring' (live) biomass per unit of photosynthetic (leaf) area 423 (because of shift toward younger stands), potentially drives more productive and efficient 424 forests. This is mirrored in the capability for trees to allocate (partition) more of the photosynthetically assimilated carbon into new woody biomass rather than into nonstructural 425 426 carbon pools to maintain living woody tissues (Vicca et al. 2012; Campioli et al., 2015; Malhi 427 et al., 2015; Pappas et al., 2020; Martínez-Vilalta et al., 2016; Collalti et al., 2020a; Huang et 428 al., 2021). This is directly mirrored by the increasing HWP over time with more frequent thinning, reduced tree density, replacement and presence of younger forest stands (a 429 430 component of the pCWS, see for HWP dynamic Figure S7) which potentially can remain in the system (the other component of the pCWS, see for standing woody biomass dynamic 431

432 Figure S8). Overall, the model indicates that on average pCWS is expected to be the highest433 under the BAU management scheme, even in the future.

434 The potential to extract more wood and more often, i.e. to shorten the harvest interval, and at 435 the same time maintain at least the current forest biomass depends on NPP under the different 436 scenarios. We found, however, that the benefit of BAU forest management under future environmental conditions remains the most favorable scheme and might already be a close-437 438 to-optimum management approach for different RCP scenarios (Figure 4) and across the individual sites (Figs. S9-S11). This is an endorsement of past research arriving at today's 439 440 management practices. With more frequent harvesting and replanting and increasing intensity 441 of intervention compared to the benchmark BAU, the NPP is not shown to increase any further under any RCP scenario, in spite of an average younger and, in theory, more 442 443 productive forest stand. The net growth rate does not compensate for the increased fellings, 444 while in parallel there is a limited yield in terms of increased carbon woody stocks, as reflecting in a low standing biomass and a likely sign of a critically low tree density. Albeit 445 446 the BAU reference benchmark is already an intensive management approach with tree 447 fellings as a percentage of net annual increment of 84%, 77% and 101% for Czech, Finland and Denmark, respectively, as reported for 2005 (State of Europe's forests 2020), the first 448 449 year of our RCP-based climate change response simulations. Similarly, Pussinen et al. (2009) 450 showed that increasing the total harvested products led to a decrease in both NPP and forest standing biomass in some European areas. The difficulties associated with simultaneously 451 452 increasing both forest standing biomass and wood products were shown in the seminal 453 modeling study of Thornley & Cannell (2000).

454 An important factor contributing to the apparent lack of significant differences in forest 455 responses across RCPs scenarios, compared to the differences across different management 456 schemes, might come from the combination of counteracting key drivers of plant physiology

(e.g. lengthening of the growing season by warming and, in parallel, an increased respiration 457 rate from that same warming) which are considered in the model despite temperature 458 459 acclimation. Although experimental evidence for the CO₂ fertilization effect on plants sink 460 capacity is strong, and is typically predicted by vegetation models albeit with different 461 degrees of uncertainties, the probability for its persistence into the longer-term future is a 462 hotly debated issue (Nabuurs et al., 2013; Habau et al., 2020; Wang et al., 2020; Gatti et al., 463 2021; Walker et al., 2021). The biochemical model of photosynthesis used here (Farguhar et 464 al. 1980) itself assumes a theoretical CO₂ acclimation, yet, other environmental drivers, such 465 as temperature and vapor pressure deficit (which scales exponentially with warming), and 466 water availability were shown to interact to down-regulate the positive CO₂ effect on GPP 467 (Grossiord et al., 2020). Data at the biome scale (see Luyssaert et al., 2007) indicated a potentially higher sensitivity of plant respiration to warming that may stabilize NPP over a 468 temperature threshold with no further gains. Warming in low-temperature-limited forest 469 470 biomes would be expected instead to have a positive effect on annual GPP and NPP 471 (Henttonen et al., 2017; Sedmáková et al., 2019). However a warming-induced increased 472 respiration cost might curb these trends and even offset a positive GPP and/or NPP response 473 to increasing atmospheric CO₂ concentration, as also shown in some other modeling and 474 experimental studies (Way et al., 2008; Gustavson et al., 2017; Collalti et al., 2018, but see 475 Reich et al., 2016). For example, Mathias & Trugman (2021) showed a potential future 476 unsustainable growth for boreal and temperate broadleaved forests, with the net overall effect 477 of decreased NPP. Other studies already indicated that combined impacts of warming and 478 increasing atmospheric CO₂ concentration might cause forests to grow faster and mature 479 earlier but also to die younger (Kirschbaum, 2005; Collalti et al. 2018). With the increasing 480 standing biomass and accumulation of more respiring tissue in older trees, plant respiration 481 might increase more quickly than GPP, as the canopy closure would be reached earlier,

482 capping GPP but with sustained respiratory needs. The use here of many virtual stands of 483 different ages in our simulations might have compensated for (counterbalanced) any different 484 stand-age, biomass and structural related responses to climate change across a landscape. To 485 the extent that that is true, the patterns described the simulations should be related to the 486 effect of climate and forest management (and their multiple combinations) only.

487 Ultimately, these simulations indicate that increasing the harvest/growth ratio above current 488 values will be difficult. As such, the possibility of simultaneously increasing both carbon sequestration rate and tree carbon (standing biomass) storage capacity while managing forests 489 in a sustainable way may be very limited. A steady intensification or intervention frequency – 490 491 alone or in combination - compared to the business-as-usual scheme might come at the price 492 of a substantial loss of primary productivity. While the amount of potential harvested woody 493 products still would be significant, we would *de facto* end up reducing the active forest 494 carbon sink and thus the forest's potential to assimilate and sequester CO₂ from the 495 atmosphere.

496 **4.2** | Role of forest management in the context of climate change

In the context of climate uncertainty and because of policy intentions: management practices 497 498 may no longer prioritize only productivity – which traditionally includes rotation times being 499 adjusted to maximize value of timber - without preserving the forest carbon sink, and 500 ensuring the long-term functionality of forests and the continued provision of their many ecosystem services (Krofcheck et al., 2019). The selection of alternative management 501 502 practices has been suggested as a mechanism to potentially enhance the climate change 503 mitigation potential of forest ecosystems (Tahvonen, 2016; Yousefpour et al., 2017). Our 504 model results highlight, for Central and Northern European forests, the importance of forest 505 structure to productivity and carbon storage which in turn indicates that management

506 practices may be quantitatively more important than future climate and atmospheric CO_2 507 concentration trends in regulating the carbon sink strength of forests, and this is in line with 508 some previous modeling studies (Garcia-Gonzalo et al., 2007; Pussinen et al., 2009; 509 Kindermann et al., 2013; Pukkala, 2017; Akujärvi et al., 2019). These simulations indicate 510 that silvicultural practices included in the model will persist as key factors in the regulation of 511 carbon sequestration through the end of this century – for any of the CMIP5 RCP scenarios. 512 In accordance with the modeling study of Kindermann et al. (2013), our results specify the 513 need to sustain the increment of forest growth and hence productivity rather than maximizing 514 the stocks. Our results also point out, however, a narrow operational space surrounding the 515 business-as-usual scheme which can be designated as a potentially near-the-optimum 516 condition over a wide and diversified portfolio of alternative management schemes across 517 every expected RCP/ESM-based climate change scenario. Conversely, other studies (Garcia-518 Gonzalo et al., 2007; Luyssaert et al., 2018) showed that harvest intensity should be loosened 519 in order to maximize the carbon sink. Similarly, Schelhaas et al. (2015), showed how even 520 through changes in species by replanting more suited ones under an adaptive framework, 521 would result, in any case, with a reduction of the net increments without changes in the 522 woody products amount. On the other hand, Pussinen et al. (2009), suggested that it would be 523 possible to increase the fellings and the product and still maintain the same current forest 524 standing biomass under future climate scenarios.

The present simulation study reveals more modest, almost even beneficial, effects of climate change in combination with CO_2 fertilization on NPP with the higher CO_2 concentration pathway scenarios through 2099 for the BAU and AM– management schemes though NPP declined over time for AM+ and the unmanaged schemes. Others have suggested that past and/or future climate change did, or could, negatively affect NPP (Reich & Oleksyn, 2008) in

530 a range of forested and non-forested ecosystems through increased frequency and/or 531 magnitude of large-scale disturbances (e.g. heat waves, windstorms, weather-based pest 532 outbreaks), with significant variation in effects in different ecosystems or forest types and 533 locations (e.g. Thom et al., 2017; Nabuurs et al., 2019; McDowell et al., 2020; Senf & Seidl, 534 2021; Gampe et al., 2021). Should such increase in disturbance occur and negatively affect a 535 significant fraction of European forests, the robustness of the BAU management scheme specified by our simulations should be called critically questioned, being that the current 536 537 carbon-sink status of European forests might decline. However, the unmanaged-forest 538 scenario in our simulations resulted the alarmingly and steady decline in NPP through the year 2099 for the average response to the climates projected by all five ESMs driven by all 539 540 four RCPs.

541 **4.3** | Outlooks and further considerations

In this study pure stands were considered with no species transition/migration under climate change allowed, even in the no-management scenario. The rates of possible species migration or replacement, however, may be incompatible with expected rates of climate change, at least for the high RCP scenarios (Settele et al., 2014) thus perhaps limiting that as a natural mitigation factor. The main evidence of the present study could be further substantiated by dynamic vegetation modeling studies which allow for a much broader geographical extent by means of up-scaling techniques (Fritsch et al., 2020).

549 In addition, we are aware that our modeled forests only represent a subset of boreal and 550 temperate European forests, although an important subset that currently plays a significant 551 role in European carbon exchange with the atmosphere.

552 5. | Conclusions

To our knowledge this is the first study of the possibilities and limitations of altering forest management practices to achieve the twofold objective of maximizing forest NPP while at the same time maintaining and/or increasing pCWS in the face of future climate change. The results clearly indicate that there may be little scope to meet this twofold objective because business-as-usual management practices may already be nearly optimal in terms of carbon use and storage, a testament to previous silvicultural research.

559 Beside the economic value of the extractable wood and the potential for substitution purpose, 560 it is today crucial for the EU countries to ensure forests functionality to maintain and preserve 561 the carbon sink strength of trees in combination with the provision of their derived wood products. Forest management based on scientific principles remains a valuable tool for local, 562 563 regional and global strategies to maintain forest carbon sinks and provide products under 564 climate change. To date, based on our results, we believe that generating higher expectations 565 on autotrophic forests' capacity to reduce climate change effects and, at the same time, to 566 provide wood products through forest management (more than forests and forest management 567 can already provide), as analyzed here, could be a risky and a potentially failing bet.

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Credit authorship contribution statement 605

606 D. Dalmonech, G. Marano and A. Collalti performed conceptualization, data curation, formal

607 analysis, investigation, writing the original draft, and editing; C. Trotta ran the model code; J.

608 Amthor and A. Cescatti, supported for writing, reviewing and editing.

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1050 Figures



1052Figure 1 | Conceptual scheme of the virtual stands creation: in Phase 1 the model is1053initialized with data from the actual forest stands and then simulations are carried out for 2021054years of contemporary (1996-2006) weather and atmospheric CO_2 concentration. In Phase 2,1055multiple stands are drawn from the simulations in Phase 1 and used to build the Composite1056Forest Matrix (CFM) composed of representative forest stands. The climate change (RCPs)1057and management scenarios (BAU, Alternative Managements, No-Management) simulations1058are then applied to the CFM.



Figure 2 | NPP (Net primary productivity, gC m⁻² year⁻¹) simulations under different management scenarios (AM+, BAU, AM–) and the NO-MAN scenario for each of the four atmospheric CO₂ concentration pathways (RCPs). NPP, solid line, is averaged across the representative forests, different ESMs and aggregated according to the management regime. Shaded areas represent the maximum and minimum values (5th and 95th percentiles) across the representative forests, different ESMs and aggregated according to the management regime.



Figure 3 | pCWS (potential Carbon Woody Stock = standing and potential harvested woody biomass; tC ha⁻¹) simulations under different management scenarios (AM+, BAU, AM–) and the NO-MAN scenario divided by different emission scenario RCPs. pCWS, solid line, is averaged across the representative forests, different ESMs and aggregated according to the management regime. Shaded areas represent the maximum and minimum values (5th and 95th percentiles) across the representative forests, different ESMs and aggregated according to the management regime. Carbon sequestration rates (as annual increase of CWS, tC ha⁻¹ year⁻¹) in the potential total woody stocks (mean and standard deviation) are reported in the bar plots.



Figure 4 | Average NPP (net primary productivity, gC m^{-2} year⁻¹) vs. pCWS (the sum of 1084 standing and potential harvested woody products; tC ha⁻¹) over the period 2006-2099, for the 1085 1086 three management scenarios: AM+, AM-, BAU; and the NO-MAN for the 4 RCPs. Reported 1087 values refer to data averaged across real and virtual stands and across species. Data ellipses are also reported in shaded colors and refer to all data. NOTE: each single scenario according 1088 1089 to Table S1 is reported here (16 in total excluding the mixed ones). In the subplot the 1090 differences are expressed as % and are reported along a parametric curve (third order 1091 polynomial) with the point (0, 0) representing the reference BAU. Arrows indicate the 1092 increasing intensity of management intervention. No significant differences across RCPs were detected. 1093

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1096 Tables

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Table 1 | Site description for model initialization data (corresponding to the year 1997 for
 Sorø and Hyytiälä and 2000 for Bílý Kříž) to the real stands' characteristics, and management 1098 1099 variables used in simulations (see also Collalti et al., 2018; Rever et al., 2020). Values in 1100 brackets represent bounds of variability (the maximum and the minimum absolute values) adopted for alternative management simulations. Re-planting information for the sites in the 1101 1102 simulation experiments, according to ISI-MIP protocol as in Reyer et al. (2020). The real stands refer to the monitoring sites in Sorø (F. sylvatica, Denmark), Bílý Kříž (P. abies, 1103 1104 Czech Republic) and Hyytiälä (P. sylvestris, Finland).

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Species	DBH	Age	Tree height	Density	Thinning intensity	Thinning interval	Rotation age	Replanting	Density	Age	Tree height
species	(cm)	(years)	(<i>m</i>)	(trees ha ⁻¹)	(% basal area)	(years)	(years)	Species	(trees ha ⁻¹)	(years)	(m)
Fagus sylvatica	25	80	25	400	30 (20-40)	15 (5-25)	140 (120-160)	Fagus sylvatica	6000	4	1.3
Pinus sylvestris	10.3	36	10	1800	20 <mark>(</mark> 10-30)	15 (5-25)	140 (120-160)	Pinus sylvestris	2250	2	1.3
Picea abies	7.1	16	5.6	2408	30 (20-40)	15 (5-25)	120 (100-140)	Picea abies	4500	4	1.3

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Table 2 | NPP and pCWS computed as average over the simulation period 2006-2099, across all stands and ESMs climate forcing but grouped across RCPs. Mean differences (in percentage) are reported in parenthesis for NPP and pCWS between the alternative management scenarios and the *Business-As-Usual* (BAU) practices used here as the benchmark scenario.

MANAGEMENT	DCD	NPP	pCWS
type	KCP	gC m ⁻² y ⁻¹	tC ha ^{−1}
BAU	RCP 2.6	501.7	192.9
BAU	RCP 4.5	522.1	195.6
BAU	RCP 6.0	530.5	196.0
BAU	RCP 8.5	542.0	198.5
AM+	RCP 2.6	350.0 (30.2%)	184.3 (-4.4%)
AM+	RCP 4.5	366.8 (29.7%)	186.5 (-4.6%)
AM+	RCP 6.0	372.0 (29.8%)	186.4 (-4.8%)
AM+	RCP 8.5	388.1 (-28.4%)	189.7 (-4.4%)
AM-	RCP 2.6	495.4 (-1.2%)	179.8 (-6.6%)
AM-	RCP 4.5	510.6 (-2.1%)	181.6 (-7.1%)
AM-	RCP 6.0	519.9 (-1.9%)	182.2 (-6.9%)
AM-	RCP 8.5	524.7 (-3.1%)	183.8 (-7.3%)
NO-MAN	RCP 2.6	429.1 (-14.4%)	136.2 (-29.3%)
NO-MAN	RCP 4.5	436.4 (-16.4%)	136.6 (-30.1%)
NO-MAN	RCP 6.0	444.8 (-16.1%)	137.1 (-30.0%)
NO-MAN	RCP 8.5	436.5 (-19.4%)	136.8 (-31.0%)