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## Light Emitting Silicon Nanowires Obtained by Metal-Assisted Chemical Etching

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### Abstract

This review reports a new process for the synthesis of Si nanowires (NWs), based on the wet etching of Si substrates assisted by a thin metal film. The approach exploits the thickness-dependent morphology of the metal layers to define uncovered nanometric Si regions which behave as precursor sites for the formation of very dense (up to  $1 \times 10^{12}$  NW cm<sup>-2</sup>) arrays of long (up to several  $\mu\text{m}$ ) and ultrathin (diameter of 5–9 nm) NWs. Very intense photoluminescence (PL) peaks, characterized by maxima in the 640–750 nm range and by an external quantum efficiency of 0.5%, are observed when Si NWs are excited at room temperature; spectra show a blueshift if NW size is decreased, in agreement with the occurrence of quantum confinement effects. The same etching

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3 process can be used to obtain from a Si/Ge multi-quantum well ultrathin Si/Ge NWs which exhibit,  
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5 in addition to the Si-related PL peak, a signal at about 1240 nm due to Ge nanostructures. The huge  
6  
7 surface area of the Si NW arrays can be exploited for sensing and analytical applications. The  
8  
9 dependence of the PL intensity on the surface chemical composition suggests indeed interesting  
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11 perspectives for the detection of gaseous molecules. Moreover, Si NWs decorated with Ag  
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13 nanoparticles can be effectively employed in the interference-free laser desorption-ionization mass  
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15 spectrometry of low-molecular-weight analytes. A device based on conductive Si NWs, showing an  
16  
17 intense and stable electroluminescence at an excitation voltage as low as 2 V, is also presented. The  
18  
19 unique features of the proposed synthesis (the process is cheap, fast, maskless and compatible with  
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21 Si technology) and the unusual optical properties of the material open the route towards new and  
22  
23 unexpected perspectives of semiconductor NWs in photonics.  
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31 **Keywords:** silicon, nanowires, silicon photonics, photoluminescence, metal-assisted chemical  
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33 etching, Raman spectroscopy, electroluminescence, pulsed laser deposition  
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## 1. Introduction

The great efforts done by a wide and extremely active scientific community to optimize the synthesis and understand the unconventional electrical and optical properties of Si nanowires (NWs) are making a concrete possibility their application in electronic devices [1], photovoltaic cells [2], and sensors [3]. Among the many peculiar properties which differentiate Si NWs from bulk Si, light emission plays a leading role, since the search for innovative Si-based materials which are light emitters at room temperature is currently considered a priority topic by both academic and industrial researchers working in the field of nanotechnology [4].

Photoluminescence (PL) from Si NWs has been reported by several papers [5-9], but its origin is controversial and reasonably due to different phenomena, ranging from the presence of N-containing complexes [5] to the phonon-assisted low temperature recombination of photogenerated carriers [6]. Very interestingly, also the radiative recombination of quantum confined excitons has been proposed to explain photon emission from this material; however, this only happens if the diameter of NWs obtained by plasma etching of a Si substrate [7, 8] or by a vapour-liquid-solid (VLS) process using a  $\text{TiSi}_2$  catalyst [9] is reduced through thermal oxidation. Indeed, the main techniques currently used for Si NW synthesis cannot allow the direct formation of nanostructures having a size compatible with the occurrence of quantum confinement [10-12]. In particular, the smallest radius obtainable in NWs grown by using techniques which exploit the VLS mechanism is limited by the Gibbs-Thomson effect [13]. The effectiveness of VLS-based processes is also affected by the incorporation of the metal catalyst in the growing NWs. On the other hand, top-down approaches are widely used for NW production, but the obtaining of sizes close to those required for an efficient carrier confinement requires expensive and time-consuming (and therefore hardly compatible with industrial requirements) electron-beam lithography processes. Within this context, the metal-assisted wet etching of Si wafers, which is a two-step process usually employing an  $\text{AgNO}_3 + \text{HF}$  solution for the generation of Ag nanoparticles and then a  $\text{HF} + \text{H}_2\text{O}_2$  solution for Si etching [14-17], constitutes a promising and widespread alternative, since it is a direct etching

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3 process which does not imply the use of lithography. The approach also allows to avoid metal  
4 inclusion in the NWs, although it leads to the formation of dendrites, whose removal requires an  
5 additional etching step which could damage the nanostructures [14, 18]. However, also in this case  
6 the control of the NW size in the few-nm range represents a serious obstacle. As above discussed,  
7 the coupling of these approaches with a thermal oxidation process allows the effective production of  
8 ultrathin NWs, but the presence of an insulating SiO<sub>2</sub> shell may complicate the fabrication of light  
9 emitting devices in which NWs constitute the active layer, since a good electrical conduction is  
10 required for such an application.  
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22 Having as the main goal the realization of NWs with an extremely small diameter,  
23 compatible with the observation of quantum confinement effects, it is therefore clear that the most  
24 used techniques seem inadequate. In this review, we will report on the results obtained by using a  
25 modified metal-assisted wet etching process for the direct synthesis of ultrathin Si NWs [19-23]; the  
26 process is still based on the widely-employed HF + H<sub>2</sub>O<sub>2</sub> etching solution, but it is characterized by  
27 the replacement of the AgNO<sub>3</sub> catalyst with an ultrathin metal film having a peculiar mesh-like  
28 morphology. This approach allows an outstanding control over the Si NWs structural properties; it  
29 is indeed possible to obtain NWs having diameters smaller than 10 nm. In such wires an efficient  
30 carrier confinement occurs and, as a consequence, a strong room temperature luminescence is  
31 observed under both optical and electrical excitation; an external quantum efficiency of 0.5% has  
32 been measured under optical pumping. The process is maskless, cheap and fast, and it is also  
33 compatible with Si technology, therefore suggesting new and fascinating perspectives in photonics  
34 for Si nanostructures.  
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53 We will also show that the same metal-assisted etching process can be effectively used also  
54 to etch a Si/Ge multi-quantum well (MQW) in order to produce ultrathin Si/Ge NWs [24]. In  
55 addition to the room temperature PL in the visible range, Si/Ge NWs also exhibit a PL signal in the  
56 infrared (IR) range, due to the presence of Ge nanostructures. Although the Ge-related emission is  
57 observed only at low temperature, the availability of wires in which two semiconductors,  
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3 characterized by different absorption and emission spectra, are put together may further expand the  
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5 range of photonic applications of Si-based NWs.  
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8 Finally, we will describe a few possible analytical and sensing applications of Si NWs,  
9  
10 exploiting the peculiar properties of the huge surface area of this material. The evidence that the PL  
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12 signal of Si NWs exhibits a marked dependence on the surface chemical composition suggests  
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14 indeed interesting applications in sensing devices [20]. Moreover, we demonstrate that Si NWs  
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16 decorated with Ag nanoparticles (NPs) can be effectively employed in the interference-free laser  
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18 desorption-ionization mass spectrometry (LDI-MS) of low-molecular-weight analytes [25].  
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## 24 25 **2. Si NWs synthesis**

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27 Figure 1 shows a typical scanning electron microscopy (SEM) image in cross-section, obtained by  
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29 using a field emission Zeiss Supra 25 microscope, of a dense array of ultrathin Si NWs, having a  
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31 length of about 2.5  $\mu\text{m}$ , synthesized by metal-assisted wet etching of Si wafers. The process,  
32  
33 schematically illustrated in figure 2, employs a  $\text{HF} + \text{H}_2\text{O}_2$  aqueous solution and it is characterized  
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35 by the replacement of the salt ( $\text{AgNO}_3$ ) typically used for the generation of the metal catalytic  
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37 nanoparticles with an evaporated thin metal (Au or Ag) film, having a thickness of 10 nm or lower,  
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39 characterized by a peculiar mesh-like morphology which leaves a relevant fraction of exposed Si  
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41 surface [19-21]. The role of the metallic mesh consists in injecting holes into the underlying Si, so  
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43 catalysing, in regions where metal and Si are in contact, Si oxidation induced by the presence of  
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45  $\text{H}_2\text{O}_2$ ; the formed  $\text{SiO}_2$  is then removed by HF, producing the sinking of the metal into Si, and  
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47 hence NW formation. At the end of the process metal particles remain trapped at the bottom of the  
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49 etched regions, and they can be effectively removed by a selective etching process, so that no NW  
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51 contamination occurs. With respect to the use of salts, this new strategy does not imply dendrite  
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53 formation and, above all, a higher control over the NW structural properties is possible. It was  
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55 indeed identified the thickness range for which the morphology of the metal film can be exploited to  
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3 form Si NWs having an ultrathin diameter, compatible with the observation of quantum  
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5 confinement phenomena.  
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8 Metal-assisted chemical etching was investigated in some detail by Li and Bohn, who found  
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10 that a thin layer of noble metal (e.g., Au, Pt, or Au/Pd) sputtered on the surface of a Si substrate  
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12 catalyzes Si etching by a solution containing HF, H<sub>2</sub>O<sub>2</sub>, and ethanol [26]. The chemical or  
13  
14 electrochemical reactions occur preferentially near the noble metal [27]. Depending on the nature of  
15  
16 the catalyst and of the substrate, it is possible to obtain NWs with different structural properties. In  
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18 fact, the etching rate depends on the orientation and the doping of the substrate, and on the  
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20 characteristics of the metallic mesh. It has been observed that the Si etch rate in the presence of Au  
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22 is two times faster than in the presence of Ag. This difference can be attributed to the different  
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24 electronegativity [26] and oxidation number of Au and Ag.  
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30 Moreover, Au always etches normal to the substrate surface, since it has a higher etching  
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32 rate than Ag. Indeed, Ag can etch according to the crystallographic orientation, due to its slower  
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34 etch rate. Metal-assisted chemical etching is generally isotropic and the etching occurs  
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36 perpendicularly to the Si surface. However, anisotropic etching occurring along specific Si  
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38 crystalline directions can be obtained by varying the relative concentration of etching and oxidizing  
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40 species for Si substrates having different orientations. According to the back-bond breaking theory,  
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42 it is necessary to break the back-bonds of the Si surface atoms in order to fabricate non-vertical Si  
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44 nanostructures by electroless etching. The surface density of back-bonds for Si atoms is defined by  
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46 the crystalline orientation and it has a minimum of two bonds for Si-(100) direction, while (110)  
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48 and (111) surfaces offer three back-bonds to break. Therefore, Si etching along the (100) orientation  
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50 is favored because of the lower back-bond density whatever the substrate [15]. Since the preferred  
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52 etching direction is the (100), and since Si NWs synthesized by this technique are very thin, with a  
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54 very high aspect ratio, in (111) substrates the formation of NW bundles can occur. This  
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56 phenomenon can be attributed to surface tension forces exerted on the NWs during the drying of the  
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58 sample, and it can be avoided by using super-critical CO<sub>2</sub> drying [28, 29].  
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3 To synthesize Si NW, p-type (B concentration of  $10^{16} \text{ cm}^{-3}$ ), single crystal, (100)-oriented Si  
4 wafers were cut into fragments having an area of about  $1 \text{ cm}^2$  and then UV oxidized and dipped in  
5 diluted HF to obtain clean and oxide-free Si surfaces. Afterwards, the Si samples were covered by a  
6 thin Au (thickness of 2 or 3 nm) or Ag (thickness of 10 nm) layer, deposited at room temperature by  
7 electron-beam evaporation (EBE) of high purity (99.99%) metal pellets. Film thickness was  
8 determined by Rutherford backscattering spectrometry measurements. The metal-covered Si  
9 samples were etched at room temperature in an aqueous solution of HF (5 M) and  $\text{H}_2\text{O}_2$  (0.44 M) to  
10 form Si NWs. NW length was varied in the 1-7  $\mu\text{m}$  range; depending on the doping level of the  
11 substrate, etch rate values range from 250 to 500  $\text{nm min}^{-1}$ . Finally, the removal of the Au or Ag  
12 particles was carried out by dipping the sample in a KI +  $\text{I}_2$  aqueous solution. The whole process  
13 works at room temperature.  
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29 The key point of the process which allows to obtain ultrathin NWs is the correlation between  
30 the thickness of the metal films and the size of the Si regions which remain uncovered due to the  
31 nanoscale-morphology of the films. Figure 3(a) shows a SEM image of a Si surface after the  
32 deposition of 3 nm of Au. Dark regions are uncovered Si, while Au is present in the yellowish  
33 regions. Several nanometric uncovered Si areas, almost circular and totally embedded within Au  
34 regions, are present, and they are indeed the precursor sites where NWs will form upon etching. Ag  
35 films qualitatively behave in the same way, but they exhibit a greater tendency to balling up than  
36 Au, and therefore thicker layers are needed to obtain a morphology similar to that shown in figure  
37 3(a). Figure 3(b) presents a statistical analysis of the size of the uncovered Si sites detected in SEM  
38 images recorded after the deposition of 10 nm of Ag or 2 or 3 nm of Au. All size distributions are  
39 Gaussian-shaped and have mean diameters ranging from 6 to 12 nm, with smaller sites achieved for  
40 Au with respect to Ag and by increasing the amount of deposited Au.  
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57 The process is maskless, cheap, fast (the array of NWs having a length of about 2.5  $\mu\text{m}$   
58 shown in figure 1 is prepared in less than 10 minutes) and compatible with Si technology, so that it  
59 could be employed also for applications of Si nanostructures in an industrial environment.  
60



### 3. Structural characterization of Si NWs

Figure 4 reports a cross section SEM image of a uniform array of Si NWs, having a length of about 3  $\mu\text{m}$  and very small diameters, synthesized by the metal-assisted wet etching technique above described. With respect to the image shown in figure 1, here the sample has been slightly tilted with respect to the electron beam, and this expedient allows to highlight the extremely high NW areal density. The density of the NW array can be appreciated even better in the plan view SEM image shown in figure 5. The quantitative analysis of several plan view SEM images gives density values higher than  $1 \times 10^{12}$  NW  $\text{cm}^{-2}$ , corresponding to a coverage of about 60%. This density is significantly higher than the typical values found for Si NWs grown by techniques based on the VLS mechanism; furthermore, in agreement with the etching mechanism described in the previous section, the value mirrors the density of the precursor sites defined by the Au mesh shown in the SEM image in figure 3(a). No metallic residues are detected in both cross section and plan view SEM images.

By analysing the SEM plan view image shown in figure 5 the surface coverage is estimated to be approximately 60%; remarkably, the same surface coverage is found by analysing SEM images taken at different magnifications, indicating scale invariance in the high-density 2D arrangement of the Si NW array. This particular texture is obtained owing to the peculiar structure of the thin Au layer, which is close to the 2D percolation threshold (filling fraction 54%) on a Si surface. It is well known that an infinite cluster is a fractal object in the vicinity of the percolation threshold. The Au morphology is imposed on the Si substrate as a negative mask during the wet etching procedure; as a consequence, the Si NW distribution is organized with a fractal structure. A fractal dimension  $D$  of 1.87 was calculated, corroborating the claim of a dense planar arrangement of the Si NW array, which is exactly what it is expected starting from a percolation Au film [30]. A strong correlation between the optical properties and the fractal characteristics of Si NWs has been very recently demonstrated. In fact, the fractal array promotes a high light-trapping efficiency with

total reflectance values down to 0.1% when the incident wavelength matches the maximum heterogeneity size exhibited by the fractal arrangement of Si NWs. Furthermore, a strongly enhanced Raman emission, due to multiple scattering processes, is shown to depend on the effective wavelength resonantly matching the heterogeneity sizes of the Si NW 2D fractal arrangement [30].

NW diameter is too small to be measured by SEM, so that this information has been obtained by Raman measurements. Micro-Raman spectra have been collected by exciting with the 364 nm line of an Ar<sup>+</sup> laser; this wavelength has been selected due to its low depth penetration in Si (about 12 nm), which allows to eliminate the spectral contribution of the Si substrate. Heat-induced artefacts have been prevented by using a low power (4 μW) for measurements. Figure 6 reports the Raman spectra of Si NWs synthesized by exploiting the catalytic activity of thin Ag (10 nm thick) or Au (2 and 3 nm thick) layers; in agreement with literature data dealing with quantum confined crystalline Si nanostructures [31] peaks are asymmetrically broadened and redshifted with respect to the symmetric and sharper peak of bulk crystalline Si, shown at 520 cm<sup>-1</sup> in the same figure. The peaks have been fitted by using a phenomenological model which has been initially used to deduce the size of Si nanocrystals (ncs) from their Raman spectrum [32, 33] and recently extended also to Si NWs [34]. The results of the fit procedure give diameters of 9 ± 2 nm for NWs synthesized by using 10 nm of Ag, 7 ± 2 nm for the 2 nm thick Au film and 5 ± 1 nm for the 3 nm thick Au film. It is remarkable that the diameters provided by the analysis of the Raman spectra coincide with the size of the Si precursor sites defined by the metallic films employed as catalysts (see the SEM image reported in figure 3(a) for an example), confirming that the proposed synthesis operates by directly reproducing, without using any lithographic process, the thickness-dependent nanoscale morphology of thin metal films on the etched Si wafers.

Transmission electron microscopy (TEM) measurements performed on single NWs scratched from the substrate and collected on a Cu grid demonstrated that metal-assisted wet etching leads to the formation of monocrystalline and defect-free NWs. Although this characteristic may appear an almost obvious consequence of the nature of the synthesis process, it represents a

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3 relevant advantage with respect to wires grown by exploiting the VLS mechanism or fabricated by a  
4 lithographic approach. TEM measurements also evidenced that NWs have a core-shell structure; the  
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6 cores are made by crystalline Si (as demonstrated by the presence of Si lattice planes in high  
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8 resolution images) and the measured diameters are in very good agreement with the size estimated  
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10 by Raman measurements [19]. The shells are amorphous and quite irregular and, as demonstrated  
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12 by the energy filtered TEM technique [19], they are essentially composed by SiO<sub>2</sub>, formed due to a  
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14 chemical reaction involving NW surface and the atmospheric oxygen; the typical thickness of the  
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16 shell accounts for about 1-2 nm.  
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#### 24 **4. Photoluminescence properties of Si NWs**

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26 A great advantage of Si NWs is the possibility to grow them via a self-assembling method based on  
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28 the VLS mechanism [10], which exploits a catalytic agent, usually metal nanoclusters. The catalyst  
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30 promotes an axial growth under the metallic droplets if the substrate is maintained at a specific  
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32 temperature able to form a liquid eutectic. This technique gives the possibility to control the size,  
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34 length, composition and crystalline quality of the NWs thus determining their optical and electrical  
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36 properties. Some groups reported Si NW growth by different physical mechanisms (oxide-assisted  
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38 growth [35] or the vapor-solid-solid method [36]), but the VLS technique remains the most  
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40 extensively used. Au is the most widely chosen catalyst in this approach, due to its capability to  
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42 form an Au/Si eutectic at a very low temperature (363 °C). The growth of Si NWs by the VLS  
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44 method exploits many different synthesis techniques to supply the Si vapor phase, including  
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46 chemical vapor deposition [37-39], molecular beam epitaxy (MBE) [40, 41] and evaporation [42,  
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48 43]. The use of a metallic catalyst represents one of the main drawbacks of this approach. Indeed,  
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50 the presence of traces of Au inside the NWs introduces trapping levels in the Si bandgap, acting as  
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52 nonradiative recombination centres and, therefore, drastically limiting the efficiency of the light  
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54 emission process. Moreover, the Gibbs-Thomson effect predicts the existence of a critical radius for  
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56 the growth of wires by the VLS mechanism; indeed, for NW whose size is below this threshold the  
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3 growth is inhibited. The value of the critical radius depends on the growth conditions and typically  
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5 accounts for tens of nm [44]. Consequently, it is impossible to observe quantum confinement and  
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7 light emission in Si NWs grown by the VLS technique, unless subsequent thermal oxidation  
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9 processes are employed to reduce their size [9]; however, a precise control of oxidation processes in  
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11 NWs is still lacking, owing to both their large surface/volume ratio and to the presence of the metal  
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13 contamination, acting as a catalyst for the longitudinal oxidation.  
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17 Peng et al. pioneered fabrication of Si NW templates using metal-assisted chemical etching  
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19 by placing a Si substrate in a solution containing  $\text{AgNO}_3$  and HF [45]. A relevant advantage of this  
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21 approach is the absence of Au inclusion inside the NWs. Moreover, NW doping does not require  
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23 additional process steps but it depends only on the substrate doping; indeed, starting from a  
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25 properly doped wafer, it is possible to obtain NWs with a prefixed and well controlled level of  
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27 doping [46, 47]. The structure of the NWs prepared by using Ag salts depends on the spacing and  
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29 size of Ag clusters precipitated from the  $\text{AgNO}_3$  solution and NWs with mean radius typically  
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31 ranging between 30 and 200 nm can be obtained [48-50]. For this reason quantum confinement  
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33 effects cannot occur. Metal-assisted chemical etching also allows the realization of ordered NW  
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35 arrays by using different types of masks [51-53]. Also in this case the obtained NWs have a radius  
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37 too large to exhibit quantum confinement effects, because the smaller feature realizable by  
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39 lithography processes (including polystyrene nanospheres lithography, interference lithography,  
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41 electron beam lithography, and silica colloids lithography) limits the NW size [51-54]. Only a few  
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43 works report the use of Ag thin films as a catalyst without the use of masks [55, 56], but no PL  
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45 emission is demonstrated. Moreover, in the case of non-patterned metal films the variation and  
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47 control of the NW diameter has never been reported, while these results have been obtained by  
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49 using masks [53]. Some attempts to obtain Si NWs by using Au films (without masks) have been  
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51 performed [52, 55], but they did not succeed. The tuning of the PL emission of Si NWs fabricated  
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53 by using a mask and an Ag layer as a catalyst was reported [57], but no variation of the PL spectra  
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3 as a function of the size of the pillars was obtained, since the pillar diameter largely exceeds the size  
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5 needed to observe quantum confinement effects in Si.  
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8 Recently, various hybrid systems containing Si NWs and exhibiting PL emission at room  
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10 temperature due to surface state or defects have been proposed [58]. Different materials including  
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12 metals, semiconductors, dielectrics have been employed to realize such hybrid systems [59-65]. Si  
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14 NWs decorated with Ag islands exhibit a PL emission due to the surface defects related to the  
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16 sample production. The presence of the Ag islands determines an enhancement of the PL efficiency  
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18 that it is possible to explain in terms of radiative energy exchange between NW and metal NPs due  
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20 to enhanced exciton-plasmon radiative coupling [66]. Moreover, a hybrid system consisting of Si  
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22 NWs decorated with ZnO nanoclusters, exhibiting light emission in the 400-800 nm range, was  
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24 realized by CVD [65].  
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29 Finally, by excluding some reports where the observed PL emission is clearly not related  
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31 with quantum confinement, since the investigated nanostructures are too large [5, 6], room  
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33 temperature PL reasonably due to excitonic recombination in confined nanostructures has been  
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35 claimed for NWs whose size was reduced by additional oxidation processes [7, 8]. On the other  
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37 hand, metal-assisted wet etching allows the direct synthesis of Si NWs which are light emitters at  
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39 room temperature, since their size is compatible with the observation of quantum confinement  
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41 effects.  
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46 Figure 7(a) reports the room temperature PL spectra of Si NWs having a length of about 2.5  
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48  $\mu\text{m}$ . NWs were realized by using p-type Si substrates (100) having a resistivity of 1.5  $\Omega\text{ cm}$ . A thin  
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50 Au layer, having a thickness of 2 or 3 nm, was deposited on the Si samples at room temperature by  
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52 EBE, by using high purity (99.99%) pellets as a source. Alternatively, a 10 nm thick Ag layer was  
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54 deposited with the same procedure. Finally, metal-covered Si samples were etched at room  
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56 temperature in an aqueous solution of HF (5 M) and  $\text{H}_2\text{O}_2$  (0.44 M) to form Si NWs. The etching  
57  
58 process realized by using 2 or 3 nm of Au determines a mean NW diameter of 5 and 7 nm,  
59  
60 respectively, while by using a film of 10 nm of Ag the mean diameter of NWs is 9 nm [19]. The PL

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3 measurements are obtained by exciting with the 488 nm line of an Ar<sup>+</sup> laser at a pump power of 10  
4 mW. The laser beam was chopped by an acousto-optic modulator at a frequency of 55 Hz. PL  
5 signals were analysed by a single-grating monochromator and detected by a H<sub>2</sub>O-cooled  
6 photomultiplier tube. All spectra consist of a broad band having a full width at half maximum of  
7 about 150 nm; it is particularly noteworthy that the wavelength corresponding to the maximum of  
8 the PL peak depends on the NW mean size. In particular, the peak is centred at about 750 nm for  
9 NWs having a mean diameter of 9 nm, while it is blueshifted at about 690 nm for a mean diameter  
10 of 7 nm, and further shifted at 640 nm for a size of 5 nm. This dependence strongly resembles the  
11 well-known behaviour of Si ncs [4, 21, 67], suggesting that, also in this case, quantum confinement  
12 effects are responsible for the light emission; further evidences supporting this interpretation will be  
13 given in the following of this section.  
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29 Time-resolved PL measurements have been used to gain a deeper comprehension of the  
30 mechanisms ruling the light emission process in Si NWs. Measurements were performed by  
31 monitoring the decay of the room temperature PL signal after pumping to steady state and switching  
32 off the laser beam. The overall time resolution of the system is 200 ns. The decay curves of the PL  
33 signal measured at the wavelengths corresponding to the maxima of the peaks shown in figure 7(a)  
34 are reported in figure 7(b). Curves have a stretched exponential shape and lifetime ( $\tau$ ) values range  
35 from 17  $\mu$ s at 640 nm to 38  $\mu$ s at 750 nm. The observation of faster decays for shorter wavelengths  
36 resembles the behaviour previously reported for Si ncs [68, 69]; note that the measured  $\tau$  values are  
37 two orders of magnitude longer than those reported for Si pillars produced by electron-beam  
38 lithography and subsequently thinned by thermal oxidation [7], demonstrating the superior  
39 structural quality of NWs synthesized by metal-assisted etching. PL emission is very bright and  
40 clearly visible to the naked eye, as demonstrated by figure 7(c) which displays a photograph of the  
41 homogeneous red emission coming from a Si NW array excited by the 364 nm line of a fully  
42 defocused Ar<sup>+</sup> laser.  
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In order to estimate the PL efficiency of Si NWs, their spatial emission profile in the far-field has to be determined. Figure 8(a) reports the integrated PL intensity as a function of the emission angle  $\theta$ , as measured by optically pumping at 405 nm the Si NWs at a fixed excitation angle with respect to the sample surface. The angular dependence of the PL intensity can be very well fitted by a cosine function (red line in the figure), which demonstrates that Si NWs behave as a perfect Lambertian source. This allows us to estimate the total PL emission of the Si NWs by measuring the light emitted within a given solid angle around normal direction, and then weighting it to the total emission power of a Lambertian source. The PL power efficiency is then given by the ratio between the total PL emission power and the absorbed excitation power.

According to the emission properties of a Lambertian source, the total emission intensity  $I_{tot}$  is:

$$I_{tot} = 2I_{max} \int_0^{\pi/2} \int_0^{2\pi} \cos(\theta) \sin(\theta) d\theta d\phi = 4\pi I_{max} \int_0^{\pi/2} \cos(\theta) \sin(\theta) d\theta = 2\pi I_{max}$$

On the other hand, for the same source, the light emission intensity collected within a solid angle of angular aperture  $2\alpha$  around the normal direction will be:

$$I_{2\alpha} = I_{max} \int_0^{\alpha} \int_0^{2\pi} \cos(\theta) \sin(\theta) d\theta d\phi = 2\pi I_{max} \int_0^{\alpha} \cos(\theta) \sin(\theta) d\theta = \pi I_{max} [1 - \cos^2(\alpha)]$$

Thus, if  $P_{2\alpha}^{exp}$  is the PL power measured within a solid angle of angular aperture  $2\alpha$  around the normal direction and  $P_{exc}$  is the absorbed excitation power, the PL external power efficiency will be given by:

$$\eta_p = \frac{P_{2\alpha}^{exp}}{P_{exc}} \frac{I_{tot}}{I_{2\alpha}} = \frac{P_{2\alpha}^{exp}}{P_{exc}} \frac{2}{[1 - \cos^2(\alpha)]}$$

Figure 8(b) shows the measured power efficiency curve of Si NWs as obtained by optically exciting at 405 nm the sample and collecting the PL emission with an objective lens of numerical aperture  $= 0.4 = \sin\alpha$ . Under the conservative assumption that the 405 nm exciting laser beam is totally absorbed by the Si NWs, it is obtained from the experimental data:

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8 The external quantum efficiency  $\eta_Q$  is then obtained by normalizing  $\eta_P$  to the photon fluxes  
9 of the excitation and emission beams:  
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17 A lower limit estimate of the external quantum efficiency is hence 0.5%. This value is  
18 comparable with the best efficiencies reported in literature for porous Si [70] and Si ncs [71].  
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22 Figure 9 displays the room temperature PL spectra, obtained by exciting the system at 364  
23 nm, of Si NWs having the same diameter of  $7 \pm 2$  nm but different lengths, ranging from 1.3 to 6.8  
24  $\mu\text{m}$ . The PL intensity strongly increases by increasing the NW length. Indeed, each NW allows  
25 multiple electron-hole recombinations and therefore, by increasing the length, the number of the  
26 electron-hole pairs created by the laser pump inside a single NW also increases. However, by  
27 analysing the dependence of the PL intensity on the NW length, it is evident that intensity tends to  
28 saturate by increasing the length. This phenomenon is due to the excitation conditions; in fact, in  
29 our experimental setup the laser spot illuminates a fixed volume. For smaller NWs, the illuminated  
30 volume is sufficient for the complete excitation of the sample, while by increasing the length only a  
31 fraction of the NWs is illuminated, determining the saturation of the PL signal. This experiment  
32 highlights an important advantage of NWs with respect to other Si-based luminescent  
33 nanomaterials, such as Si ncs. In fact, an increase of the electron-hole pair density in Si ncs, due to  
34 their small volume, corresponds to a higher probability to have nonradiative effects (i.e. Auger  
35 processes); on the other hand, the probability to have nearby electron-hole pairs in NWs is small  
36 and further decreases by increasing their length, and therefore nonradiative phenomena are strongly  
37 reduced.  
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The PL properties of the Si NW arrays have been also studied as a function of the flux of  
488 nm photons. Data are reported in figure 10(a); the integrated PL intensity increases with the



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3 photon flux throughout the explored range, although at high fluxes a marked saturation occurs. To  
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5 understand the reasons leading to the saturation of the PL signal, the lifetime of the PL signal at 690  
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7 nm at different photon fluxes was measured; the decay curves shown in figure 10(b) demonstrate  
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9 that no appreciable variation of the lifetime occurs, since a constant value of 20  $\mu\text{s}$  is found in all  
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11 cases. The analysis of the data in figure 10 indicates that nonradiative processes, such as Auger  
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13 recombination, do not play a relevant role in competition with photon emission.  
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17 Important information about the optical properties of Si NWs can be obtained by measuring  
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19 the risetime  $\tau_{\text{on}}$  of the PL signal as a function of the pump power. The experiment was realized by  
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21 monitoring the increase of the PL signal at 690 nm up to steady state after switching on the  
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23 excitation laser beam, and it allows the calculation of the excitation cross section  $\sigma$  of the system  
24  
25 [67]. In fact,  $\tau_{\text{on}}$  is given by:  
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$$\frac{1}{\tau_{\text{on}}} = \sigma\phi + \frac{1}{\tau} \quad (1)$$

29  
30 where  $\phi$  is the pumping photon flux and  $\tau$  is the lifetime. By plotting the reciprocal of the  $\tau_{\text{on}}$  values  
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32 (which typically account for a few  $\mu\text{s}$ ) as a function of  $\phi$  and by a linear fit of the data, it is obtained  
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34  $\sigma = 5 \times 10^{-17} \text{ cm}^2$  [19], a value very close to those previously reported for Si ncs [67, 72]; this  
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36 evidence strongly supports the view that the PL from Si NWs is due to the radiative recombination  
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38 of quantum confined excitons.  
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45 The temperature dependence of the PL signal can provide further hints about the mechanism  
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47 of the photon emission process in Si NWs, as well as useful information about the potentialities of  
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49 this material for practical applications, since it is well known that in Si-based materials a strong  
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51 temperature quenching of the PL may occur [73]. Figure 11(a) reports the PL intensity ( $I_{\text{PL}}$ ) at 690  
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53 nm as a function of the temperature; in the investigated range (11–300 K) a monotonic increase of  
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55  $I_{\text{PL}}$  with the temperature is observed up to about 270 K, where the maximum intensity is detected,  
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57 while for higher temperatures a slight decrease occurs. The overall dependence of  $I_{\text{PL}}$  on  
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temperature is quite weak, since the intensity change on going from 11 to 270 K is smaller than a factor of 10.

Figure 11(b) reports the values of the PL lifetime obtained at different temperatures in the 11–300 K range. The figure shows that  $\tau$ , measured at a wavelength of 690 nm, decreases by about one order of magnitude by increasing the temperature, from 200  $\mu$ s at 11 K to 20  $\mu$ s at room temperature. A similar behaviour has been previously observed in Si ncs [74, 75] and porous Si [76], so that also this experiment confirms that quantum confinement effects are operating in Si NWs.

It has been previously demonstrated [75] that, by solving the appropriate rate equation,  $I_{\text{PL}}$  is given by equation (2):

$$I_{\text{PL}} \propto \sigma \phi \frac{\tau}{\tau_{\text{rad}}} N \quad (2)$$

where  $N$  is the total population of emitting centres and  $\tau_{\text{rad}}$  the radiative lifetime; in equation (2) the luminescence decay time  $\tau$  includes both radiative and nonradiative processes. In the low pump power regime,  $I_{\text{PL}}$  increases linearly with  $\phi$  and it is proportional to the ratio  $\tau/\tau_{\text{rad}}$ . Since  $N$  and  $\sigma$  do not depend on temperature, the only temperature dependences are due to  $\tau$  and  $\tau_{\text{rad}}$ . If nonradiative processes occurs,  $\tau$  does not coincide with  $\tau_{\text{rad}}$  and  $I_{\text{PL}}$  depends on temperature. The radiative rate ( $R_R = 1/\tau_{\text{rad}}$ ), obtained for different temperatures from the ratio between  $I_{\text{PL}}$  and  $\tau$  at a fixed photon flux, is shown in figure 11(c).  $R_R$  increases by about a factor of 200 on going from 11 to 300 K, and this effect is partially counterbalanced by a more significant role of the fast nonradiative processes, as indicated by the marked lifetime shortening shown in figure 11(b) for the same temperature range.

The dependence of  $R_R$  on temperature can be explained by using a model initially developed for porous Si [76] and then applied also to Si ncs [74, 75]. According to this model, the excitonic levels are split by an energy  $\Delta$  due to the electron-hole exchange interaction. The lower level corresponds to a triplet state which is threefold degenerate and has a radiative decay rate  $R_T$ , while

the upper level is a singlet state and has a radiative decay rate  $R_S$ . Once excited the excitonic population will be distributed according to thermal equilibrium law. Hence at a temperature  $T$  the radiative decay rate will be given by equation (3):

$$R_R = \frac{3R_T + R_S \exp\left(-\frac{\Delta}{kT}\right)}{3 + \exp\left(-\frac{\Delta}{kT}\right)} \quad (3)$$

The relative population of the singlet state increases by increasing temperature and, since  $R_S$  is much higher than  $R_T$  (the transition from a triplet state is parity forbidden), also the total radiative rate will consequently increase. Equation (3) can be used to fit the data in figure 11(c); the fit is shown as a continuous line and it gives  $\Delta = 26.9 \pm 4.3$  meV, a value in good agreement with those experimentally found for porous Si [76] and Si ncs [74, 75], and also with the splitting found in Si NWs by first-principles calculations [77], further supporting the view that quantum confinement effects are operating in Si NWs.

## 5. Synthesis and optical properties of Si/Ge NWs

Si/Ge NWs were realized by etching a Si/Ge MQW grown by MBE on a Si substrate at 450 °C, consisting of alternating Si (54 nm thick) and Ge (1 nm thick) layers deposited at a rate of 0.3 and 0.01 nm s<sup>-1</sup>, respectively. The Si/Ge stack was repeated 62 times, giving a total thickness of about 3.5 μm. Sample structure is depicted in figure 12. Si/Ge NW formation was accomplished by using the same process described in section 2 for pure Si NWs. After the cleaning steps, a 2 nm thick Au layer was deposited on the MQW at room temperature by EBE of high purity (99.99%) Au pellets. Au-covered samples were then etched at room temperature at a rate of 130 nm min<sup>-1</sup> in an aqueous solution of HF (5 M) and H<sub>2</sub>O<sub>2</sub> (0.44 M) to form Si/Ge NWs. Au removal was carried out by a dip in an aqueous solution of KI and I<sub>2</sub>.

Figure 13 reports cross section SEM images displaying the dense (about 10<sup>12</sup> NW cm<sup>-2</sup> can be counted in plan view SEM images) and uniform Si/Ge NW arrays obtained by following the

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3 above procedure; the length ranges from 1.0 to 2.7  $\mu\text{m}$  and linearly depends on the etching time.  
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6 NWs still maintain the MQW structure; indeed, in the SEM images the bright regions are associated  
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8 to the presence of Si, while the dark regions correspond to the Ge wells. A mean diameter of about  
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10 8 nm has been determined by Raman measurements according to the procedure described in section  
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12 3 and confirmed by TEM analysis of several NWs scratched from the substrate.  
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15 Under excitation with 488 nm photons Si/Ge NWs exhibit an intense room temperature PL  
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17 signal due to quantum confined Si nanostructures, as shown in figure 14(a) for NWs with a length  
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19 of 2.7  $\mu\text{m}$ ; the spectrum consists of a broad band centred at about 670 nm, very similar to the  
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21 emission of pure Si NWs reported in figures 7(a) and 9. Analogously to the behaviour shown in  
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23 figure 9 for the case of Si, a similar PL spectrum, although less intense, was observed in shorter  
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25 Si/Ge NWs.  
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29 Figure 14(b) reports the PL spectra in the IR region of Si/Ge NWs, recorded at temperatures  
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31 ranging from 11 K to room temperature. The spectra show that no Ge-related PL signals are  
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33 detected at room temperature, but a peak centred at about 1240 nm becomes appreciable if the  
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35 temperature is lowered down to 100 K and reaches its maximum intensity at 11 K. The peak  
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37 position corresponds to literature data dealing with luminescent Ge nanostructures [78-80].  
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39 Furthermore, it can be noticed that the PL emission exhibits a blueshift by decreasing temperature,  
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41 in agreement with the well-known dependence of the Ge bandgap on temperature. The increase of  
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43 the PL intensity at low temperature depends on a reduced efficiency of nonradiative phenomena. It  
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45 is noteworthy that the emission at 11 K is about 5 times stronger than that one observed at the same  
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47 temperature in the unetched MQW, indicating that a higher degree of confinement is present in the  
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49 NWs, where Ge regions can be indeed assumed to be nanodots, with respect to the MQW. By also  
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51 considering that NWs cover about 50% of the sample surface, the actual enhancement factor of the  
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53 PL intensity for Si/Ge NWs is higher and accounts for at least an order of magnitude. Note finally  
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55 that the intensity of the Si-related PL signal from Si/Ge NWs reaches a maximum at about 270 K  
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3 and then strongly decreases by decreasing the temperature, analogously to the temperature  
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5 dependence shown in figure 11(a) for pure Si NWs.  
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8 Figure 15 reports the time-decay curves of the Ge-related PL signal at 1220 nm obtained at  
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10 different temperatures. Decays cannot be fitted by a single exponential function and the  $\tau$  values  
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12 decrease from 7.0  $\mu\text{s}$  at 11 K to 0.6  $\mu\text{s}$  at 80 K. This behaviour clearly indicates that fast  
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14 nonradiative phenomena, such as Auger processes or thermally activated quenching processes [81],  
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16 influence the de-excitation of Si/Ge NWs. The efficiency of such processes increases with the  
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18 temperature, producing the complete quenching of the Ge emission observed at room temperature.  
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22 Although Si/Ge NWs were previously successfully synthesized by using an Au-catalysed  
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24 MBE growth [82] or a metal-assisted etching process [83], to our knowledge the above data  
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26 constitute the first evidence of simultaneous light emission both in the visible and in the IR regions  
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28 from such kind of NWs. Very recently the same double emission has been obtained also in a hybrid  
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30 system consisting of Si NWs infiltrated with C nanotubes. This hybrid system based on Si NWs and  
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32 C nanotubes, although its synthesis and its handling may appear complicated at first glance,  
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34 maintains a good compatibility with Si technology and has the additional advantage to exhibit also  
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36 the IR emission at room temperature [84].  
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## 46 **6. Sensing and analytical applications of Si NWs**

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48 Previously proposed applications of Si NWs as sensors are mainly based on the variation of the  
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50 electrical properties due to the exposure to reactive gases [3]. On the other hand, luminescent NW  
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52 arrays fabricated by metal-assisted wet etching offer the additional possibility to exploit changes in  
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54 the intensity or on the wavelength of the emitted light for the same scope. The sensing potentialities  
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56 of Si NWs have been firstly evaluated by simply following the evolution of the PL signal as a  
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58 function of the exposure to air, where reactive gases such as  $\text{O}_2$  or  $\text{H}_2\text{O}$  are present. Figure 16  
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60 reports the intensity of the PL signal at 690 nm, obtained by exciting at 488 nm freshly prepared Si

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3 NWs, as a function of the air exposure time at room temperature. PL intensity monotonically  
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5 increases with the exposure time; at the end of the experiment (245 days since NW synthesis) PL  
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7 intensity is stronger than the initial one by a factor of 250, while no remarkable variation of the  
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9 peak position and shape occur.  
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13 Since in quantum confined Si nanostructures an efficient surface passivation is required in  
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15 order to suppress the nonradiative de-excitation channels which limit the efficiency of the light  
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17 emission process, the observed dependence of the PL intensity on the air exposure time can be  
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19 reasonably explained by an improved NW passivation, determined by heterogeneous chemical  
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21 reactions between the freshly etched Si surface and atmospheric gases such as O<sub>2</sub> or H<sub>2</sub>O, leading to  
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23 the formation of Si-H, Si-O or Si-OH bonds [85]. This interpretation has been confirmed by the  
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25 observation that the lifetime of the PL signal increases with the air exposure time; in particular,  
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27 while lifetime values lower than 10 μs characterize NWs exposed to air for a few days, a value of  
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29 about 30 μs has been observed in 245-days-aged samples. The slower lifetime which characterizes  
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31 the strongly luminescent NW samples obtained for long exposure times demonstrates that the  
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33 interaction with air indeed produces an efficient surface passivation of the quantum confined NWs,  
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35 and, in turn, a reduction of the centres for nonradiative recombination.  
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42 Note that the same gas-solid reactions which produce NW passivation could also determine  
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44 the formation of new surface luminescent centres, such as siloxene and its derivatives [86]. However,  
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46 this hypothesis, which essentially neglects the role of quantum confinement in the luminescence  
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48 from Si NWs, appears inadequate to explain the observed dependence of the PL signal on the air  
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50 exposure time, since the formation of new surface emitting centres cannot imply the variation of the  
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52 lifetime of the PL signal which unambiguously characterize our experiments.  
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56 It has also to be remarked that the absence of saturation of the PL intensity in the explored  
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58 time range (the trend in figure 16 is roughly linear for the whole time lapse) clearly evidences the  
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60 huge surface area of Si NWs, further highlighting the great potentialities of this material for the  
fabrication of sensors.

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3 The effect of passivation on the PL properties of Si NWs has been also investigated by  
4 following the variation of the PL intensity at 690 nm during a prolonged exposure to 488 nm  
5 photons at a power of 10 mW. The left part of figure 17 demonstrates that a PL intensity  
6 enhancement accounting for about a factor of 1.5 is observed if the laser is left for a time lapse of  
7 2000 s on the sample kept in air; no steady state is reached, even if the experiment is prolonged. In  
8 agreement with the picture described in the first part of this section, laser irradiation accelerates the  
9 decomposition rate of the O<sub>2</sub> and H<sub>2</sub>O molecules adsorbed on the NW surface and therefore  
10 increases the rate of the reactions leading to NW passivation. Since also laser-induced desorption  
11 simultaneously occurs, the increase of the PL signal shown in figure 17 is the result of the  
12 competition between the two effects and it is therefore slower than that reported in figure 16, where  
13 no phenomena competing with passivation are active.  
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29 After the 2000 s laser exposure in air, if NW irradiation is continued in vacuum at a pressure  
30 of about 10<sup>-5</sup> Torr, the PL signal at 690 nm decreases, as shown in the right part of figure 17. While  
31 laser irradiation in air increases the rate of the reactions leading to NW passivation, no formation of  
32 surface oxidized species occurs during irradiation in vacuum and therefore desorption becomes the  
33 only operating effect; in absence of an efficient surface passivation, nonradiative recombination  
34 limits the PL efficiency of the NWs. The relevance of nonradiative processes in samples irradiated  
35 in vacuum is confirmed by the shortening of the PL lifetime. It is interesting to note that at the end  
36 of the experiment the PL signal is weaker than that initially measured, demonstrating that laser  
37 irradiation in vacuum is able not only to cancel the effect of the previous irradiation in air, but also  
38 to partially remove the pre-existing NW passivation.  
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53 Another interesting approach to exploit NWs for analytical and sensing applications exploits  
54 decoration with metal (Ag, Au) NPs. An example of a Si NW array decorated with Ag NPs is  
55 shown in the cross section SEM image reported in figure 18(a). The complete, dense, and uniform  
56 decoration of Si NWs is performed by pulsed laser deposition. The process is realized in a high  
57 vacuum chamber with a residual pressure of 7×10<sup>-7</sup> Torr. The laser beam from a KrF excimer laser  
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3 (25 ns pulse width, 248 nm wavelength, 10 Hz repetition rate) is focused onto the surface of a pure  
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5 Ag target using a quartz lens. The laser fluence is set at  $2.0 \text{ J cm}^{-2}$ . To fully decorate the NW  
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7 surface from the top to the base, a deposition cycle consisting of 60000 laser pulses was carried out.  
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9 The higher resolution SEM images reported in figure 18(b)-(d) refer to the top (b), center (c) and  
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11 bottom (d) regions of a decorated NW, and allow to better appreciate that NWs are very uniformly  
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13 covered with NPs throughout their length; the almost spherical shape, the high density and the very  
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15 small size (radii generally fall into the 5-10 nm range) of Ag NPs are also noteworthy. The uniform  
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17 Ag coverage of NWs is also confirmed by the TEM image reported in figure 18(e), where metal  
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19 NPs are visible as dark spots.  
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25 A very interesting application for NP-decorated NWs is the surface-enhanced Raman  
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27 spectroscopy detection of very low concentrations of organic molecules. In fact, Ag NPs are able to  
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29 strongly enhance the Raman signal of molecules attached on their surface through a localized  
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31 plasmon resonance phenomenon [87, 88]. Another intriguing application for NP-decorated NWs is  
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33 the interference-free LDI-MS of low-molecular-weight analytes. Matrix-assisted LDI is a soft  
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35 ionization method widely employed in MS applications for the identification of large molecules ( $>$   
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37 1000 Da) [89]. In this technique, organic compounds are generally used to desorb/ionize target  
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39 molecules for their analysis. Unfortunately, in the mass range below 1000 Da, such matrixes  
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41 produce numerous interfering ion adducts making them poorly useful for the MS characterization of  
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43 small analytes (e.g. sugars, amino acids, fatty acids, drugs). As a result, nanostructured surfaces  
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45 have emerged as valid alternatives in matrix-free LDI-MS applications [90]. Among different  
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47 nanomaterials, Si NWs have proven particularly appealing as new analytical platforms [90, 91]. In  
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49 2005 these nanostructured surfaces have been first proposed [92] as an evolution of porous Si,  
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51 already applied in LDI-MS [93]. Si NWs represent an efficient evolution of porous Si as they show  
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53 similar or improved features such as optimal UV laser light absorption, antireflectivity [94, 95],  
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55 high surface area and NW density [92, 94]. For example, it was observed that Si NWs require a  
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57 reduced laser fluence for ion production as compared to conventional matrixes [96] giving higher  
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3 signal intensity and better signal-to-noise ratio. Several groups have developed Si-based  
4 nanostructured surfaces following different strategies including metal-assisted wet-chemical etching  
5 [94, 95, 97, 98] and VLS growth [95, 99].  
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10 Ag-decorated Si NWs display good performances for the analysis of molecules bearing  
11 unsaturated bonds exploiting the affinity of Ag towards them. In particular, such substrates are  
12 extremely efficient for the detection of squalene, oleic acid and other compounds [25, 100], even in  
13 real food samples (e.g. oils). Figure 19 provides an example of the interference-free MS spectrum of  
14 oleic acid in acetone obtained using a Si NW array decorated with Ag NPs. As shown by the  
15 isotopic pattern evidenced in the inset of the figure, oleic acid is detected as an adduct with Ag ions  
16 emitted from the NPs of the Ag/Si NW platform. Other studies are in progress on the application of  
17 Au NPs-decorated Si NW arrays for the detection of small molecules in complex matrixes, such as  
18 body fluids.  
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### 34 **7. Electroluminescent devices based on Si NWs**

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36 The capability of Si NWs to emit photons under electrical excitation, and therefore their  
37 potentialities to constitute the active region in a Si-based light source operating at room temperature  
38 to be employed in Si nanophotonics, has been tested by fabricating simple prototype devices.  
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44 NW arrays were obtained by following the procedure described in section 2. Highly doped  
45 p-type (B concentration of  $1.5 \times 10^{20} \text{ cm}^{-3}$ , corresponding to a resistivity of  $8 \times 10^{-4} \Omega \text{ cm}$ ) single  
46 crystal, (100)-oriented Si wafers were used in the etching process in order to obtain conductive  
47 NWs. Etching times were set in order to get lengths ranging from 2 to 5  $\mu\text{m}$ , the NW diameter was  
48 fixed at  $7 \pm 2 \text{ nm}$  by a proper selection of the metal catalyst and the density was about  $10^{12} \text{ cm}^{-2}$ .  
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The NW arrays were covered by a transparent conductive layer of aluminum zinc oxide (AZO),  
having a resistivity of  $0.09 \Omega \text{ cm}$  and a thickness of  $1.3 \mu\text{m}$ , deposited by RF magnetron sputtering.  
The device structure is depicted in figure 20(a): AZO is a n-type material which forms a p-n  
junction with the underlying p-type NW array. Furthermore, AZO allows current injection in the

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3 device without absorbing the emitted photons, being characterized by a transmittance of about 85%  
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5 in the spectral range 480–1300 nm. The back electrical contact of the device was realized by  
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7 sputtering a 900 nm thick Au layer on the backside of the Si substrate. A detail of the device  
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9 structure, showing the AZO/NWs interface, is visible in the SEM cross section reported in figure  
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11 20(b).  
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15 A preliminary characterization of the emission of these devices has been performed by using  
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17 emission microscopy (EMMI) measurements. The EMMI apparatus consists of an optical  
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19 microscope and a CCD camera sensitive to visible and IR radiation (from 0.3 to 1.2  $\mu\text{m}$ ),  
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21 surrounded by a shielding box. The EMMI image obtained by biasing a device based on Si NWs is  
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23 reported in figure 21 and reveals an intense and stable emission over the whole device area. In the  
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25 image the intensity is reproduced in a colour scale.  
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29 Electroluminescence (EL) measurements have been performed by biasing the device with a  
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31 DC regulated power supply. Light emitted from an area of 0.04  $\text{mm}^2$  has been collected through a  
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33 20 $\times$  microscope objective, and then sent to a grating spectrometer equipped with a liquid  $\text{N}_2$ -cooled  
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35 Si CCD detector. The EL spectra of a device based on Si NWs having a length of about 2.5  $\mu\text{m}$ ,  
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37 forward biased at voltages ranging between 2 and 6 V, are shown in figure 22(a). The current which  
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39 flows through the device is of the order of 1  $\text{A}/\text{cm}^2$  and increases with the applied voltage. EL  
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41 spectra consist of a broad peak centred at about 700 nm; its position and shape resemble those of the  
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43 PL peaks shown in figure 7(a) and 9. This similarity, analogously to what previously found for the  
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45 electrical excitation of Si ncs [101], strongly supports the view that both optical and electrical  
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47 excitation involve as emitting centres quantum confined Si NWs. The intensity fluctuations which  
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49 characterize the EL spectra with respect to the PL ones are interference phenomena due to the  
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51 presence of the AZO overlayer. The integrated EL intensity as a function of the applied voltage is  
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53 plotted in figure 22(b); intensity increases roughly linearly by increasing the voltage and no  
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55 saturation effects are detected in the investigated voltage range.  
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## 8. Conclusions and perspectives

The data reported in this review demonstrate that the use of a nanometric metal layer as the catalyst in the metal-assisted wet etching of Si wafers makes this process able to produce ultrathin Si NWs exhibiting an efficient room temperature PL (an external quantum efficiency of 0.5% has been measured) due to quantum confinement effects. The same process can be effectively used also to produce ultrathin Si/Ge NWs by etching a Si/Ge MQW grown on a Si substrate; these wires, in addition to the room temperature PL signal in the visible range characteristic of Si NWs, exhibit also a low temperature PL signal in the IR region, due to quantum confined Ge nanostructures. The process is maskless, cheap and fast; furthermore, it allows a fine and highly reproducible control of the NW structural properties also over very large areas, up to the wafer scale, demonstrating the applicability of this technique also in an industrial environment.

By looking at practical applications in photonics, it is highly noteworthy that a light emitting device based on conductive Si NWs was successfully realized, exhibiting an intense and stable room temperature EL at very low excitation voltage (2-6 V), just by choosing the doping level of the starting Si substrate; indeed, the electrically active dopant concentration of NWs synthesized by metal-assisted etching processes simply mirrors that of the etched substrate. Therefore, any specific requirement in the concentration or in the nature of the dopant, or the formation of axial p-n junctions, can be very easily and effectively realized through a proper selection of the starting substrate. On the other hand, the doping of NWs grown by VLS-based techniques presents several well-known issues both for in-situ [102] and ex-situ [103] approaches, such as incomplete dopant activation [46], dopant surface segregation [46, 104] or even NW amorphization and bending if ion implantation is used [105]. It is also important to remark that metal inclusion inside the NWs, which is one of the main factors which complicates the application of wires grown by metal-catalysed VLS processes in optical and electrical devices, does not occur by using metal-assisted wet etching. Metal particles are indeed trapped at the bottom of the etched region and can be effectively removed

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3 by a selective etching without the occurrence of diffusion inside the wires, since the whole synthesis  
4 works at room temperature.  
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8 It is also important to compare performances and perspectives of Si NWs with those of Si  
9 ncs which, since the discovery that they can exhibit optical gain [106], are generally recognized as  
10 the most promising Si-based material for applications in light sources. Although the two materials  
11 show strong similarities from several points of view, NWs probably have a much stronger potential.  
12 Si NWs have the great advantage over Si ncs to be a continuous 1D Si system. Si ncs are  
13 characterized by a very small volume, and therefore an increase of the electron-hole pairs density  
14 leads to a higher probability to have nonradiative Auger processes in competition with the radiative  
15 recombination. On the other hand, due to their length, the probability to have nearby electron-hole  
16 pairs in NWs is low, and it can be further decreased by increasing their length; as a result,  
17 nonradiative phenomena are strongly reduced. By focusing on the electrical excitation, it is well  
18 known that direct and Fowler-Nordheim tunnelling are the main conduction mechanism in Si ncs  
19 embedded in SiO<sub>2</sub> [101, 107]. These mechanisms involve high operating voltages which complicate  
20 device application of this material, since oxide breakdown phenomena often determine the failure of  
21 the devices. In contrast, the absence of an embedding insulating matrix and the possibility to  
22 conveniently dope the material make Si NWs very good conductors. All the above advantages open  
23 the route towards the use of Si NWs as an efficient Si-based light source.  
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46 Light emitting Si NWs may open the way to new and unexpected applications in photonics  
47 of Si nanostructures; such applications could also benefit from the availability of Si/Ge NWs, where  
48 two semiconductors, characterized by different absorption and emission spectra, are put together. In  
49 addition, the huge surface area of Si NWs can be effectively exploited for sensing and analytical  
50 applications. Just two simple examples have been reported here, but functionalized and NP-  
51 decorated NWs are expected to have a great future in many environmental and health applications  
52 [108]. Finally, although this aspect is outside the scopes of this review, it is important to remark  
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3 also the great potentialities of the dense arrays of NWs synthesized by metal-assisted wet etching as  
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5 the absorbing medium in third-generation solar cells [30, 109].  
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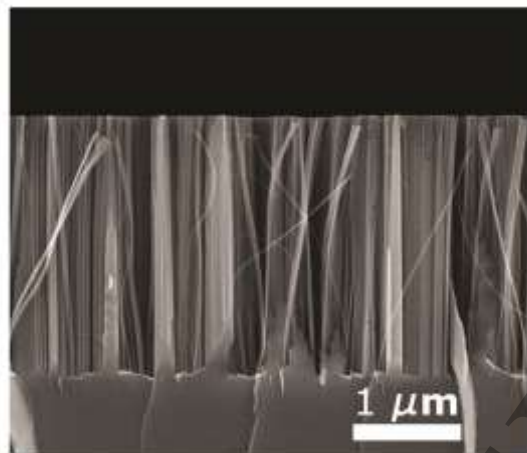
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FIGURE 1



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FIGURE 2

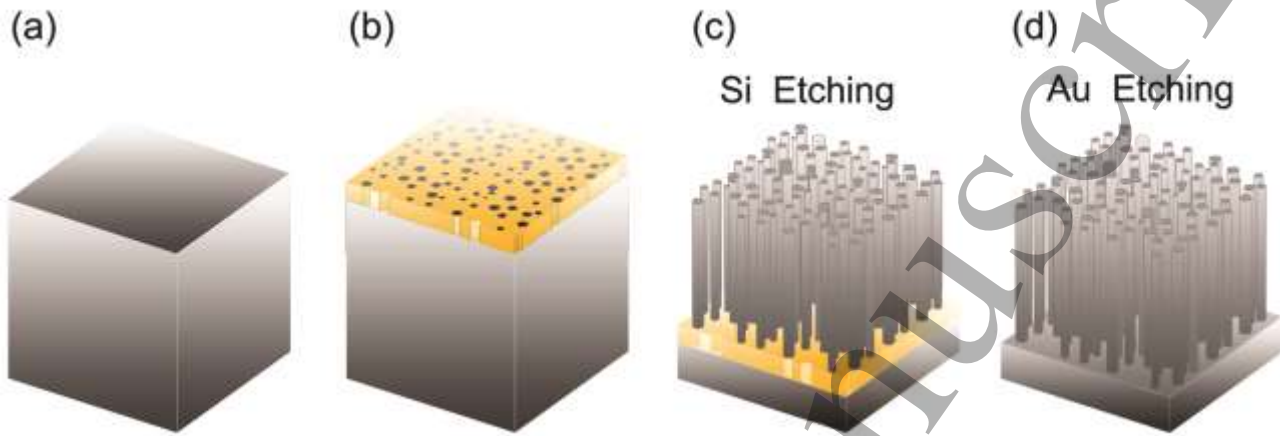


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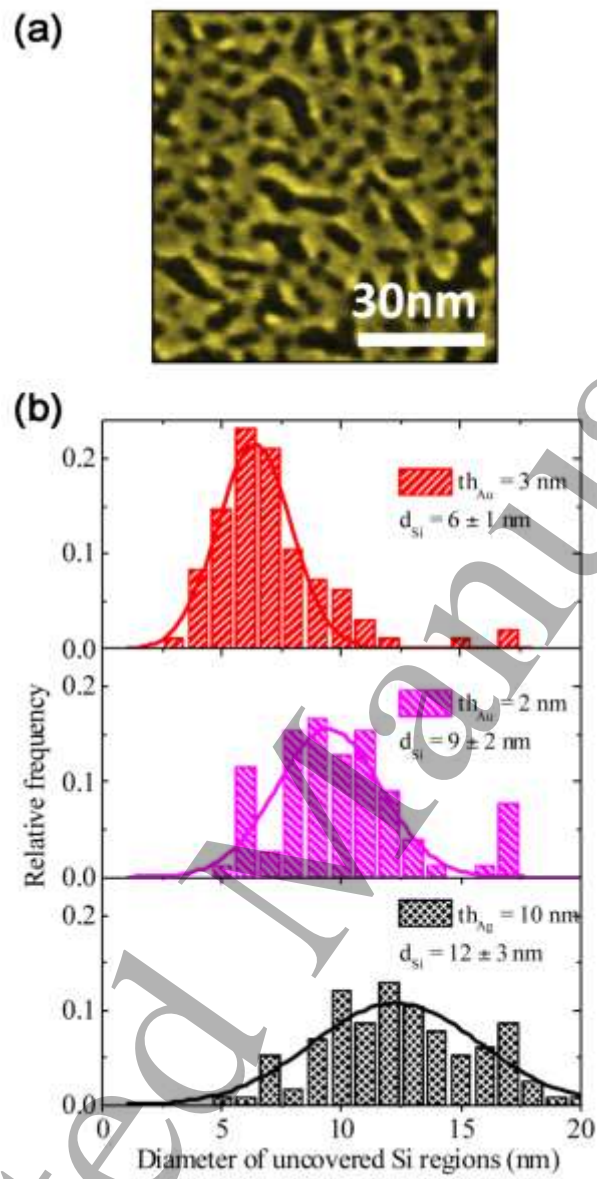


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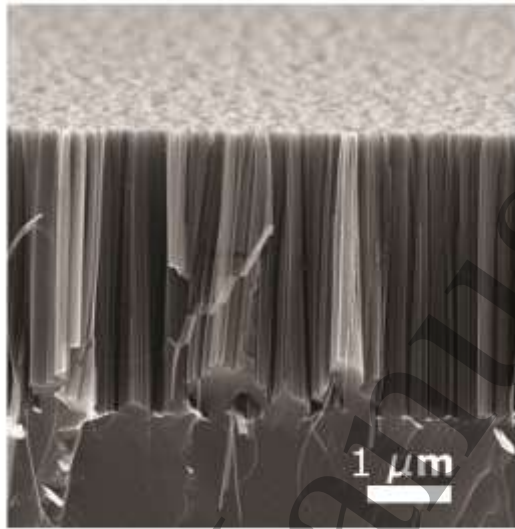


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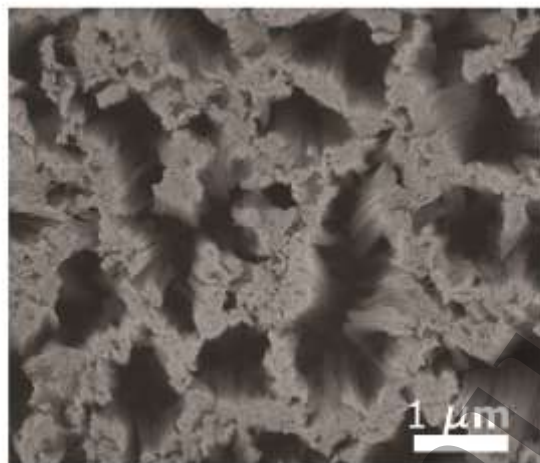


FIGURE 6

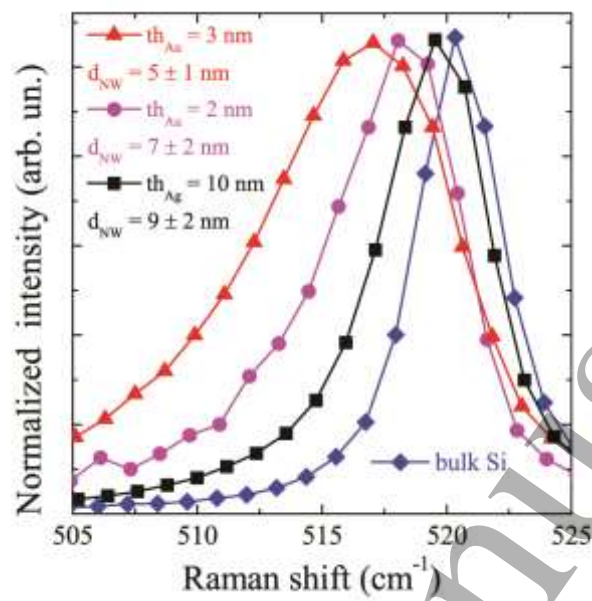


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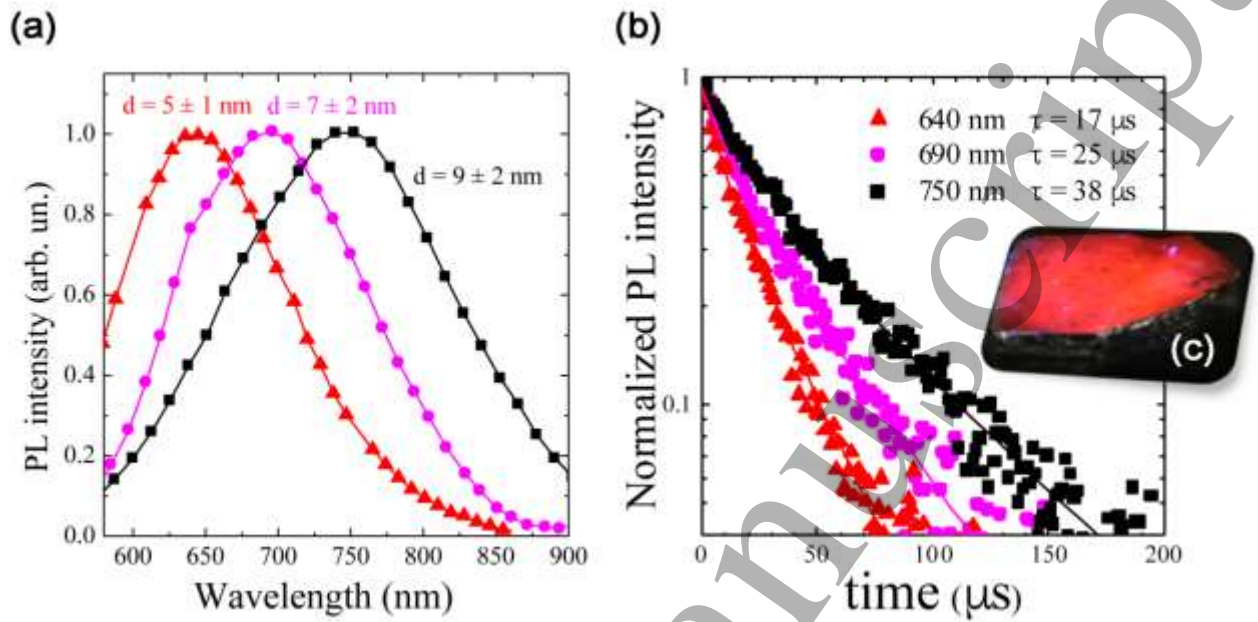


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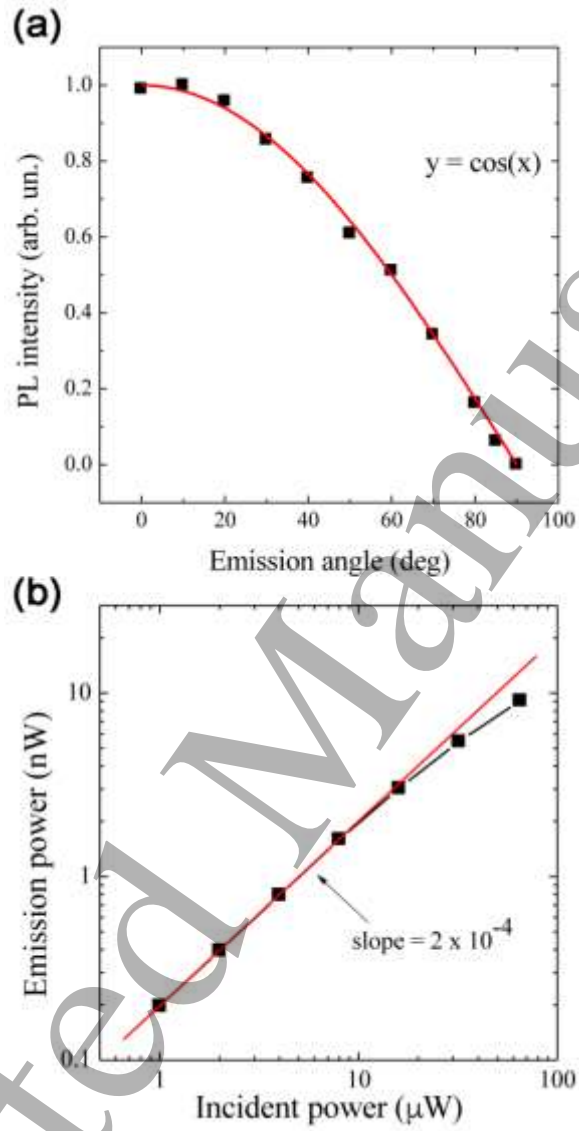




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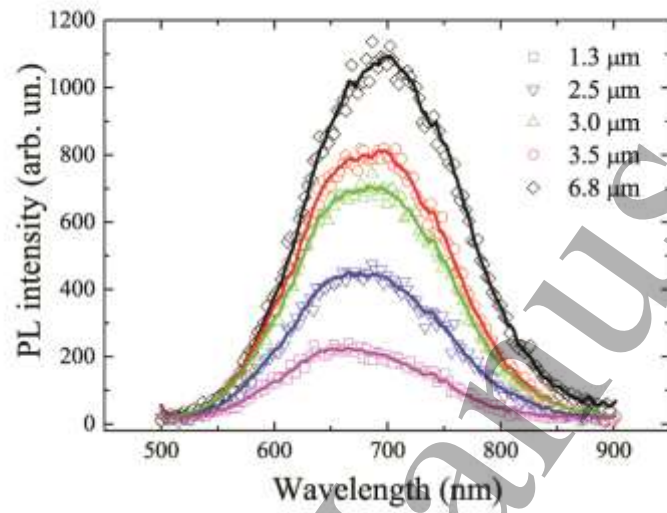


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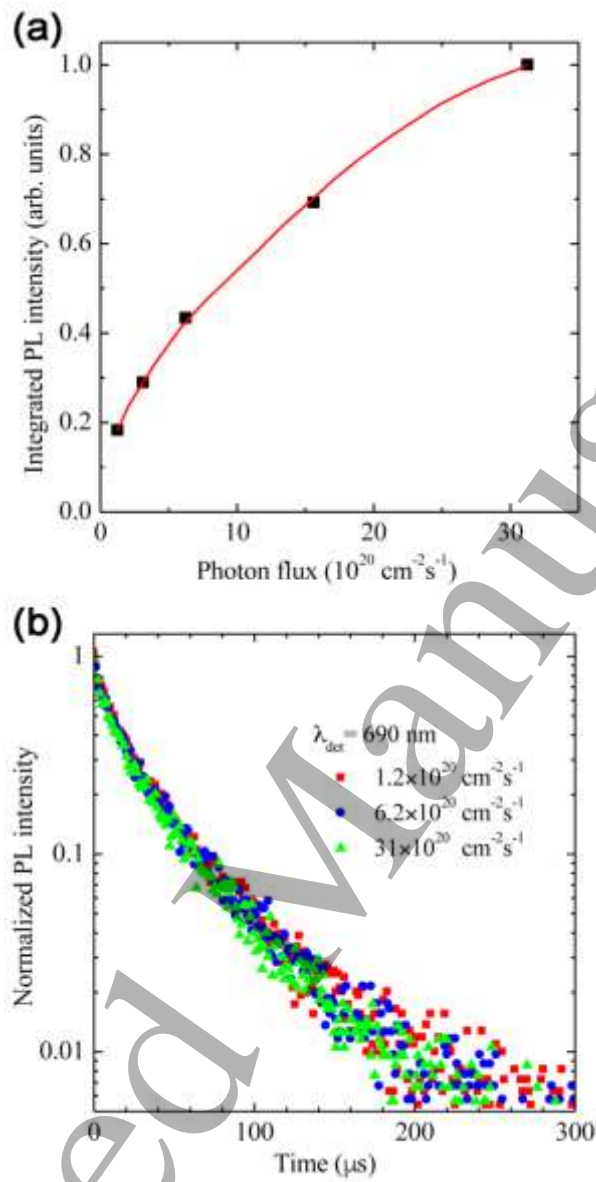
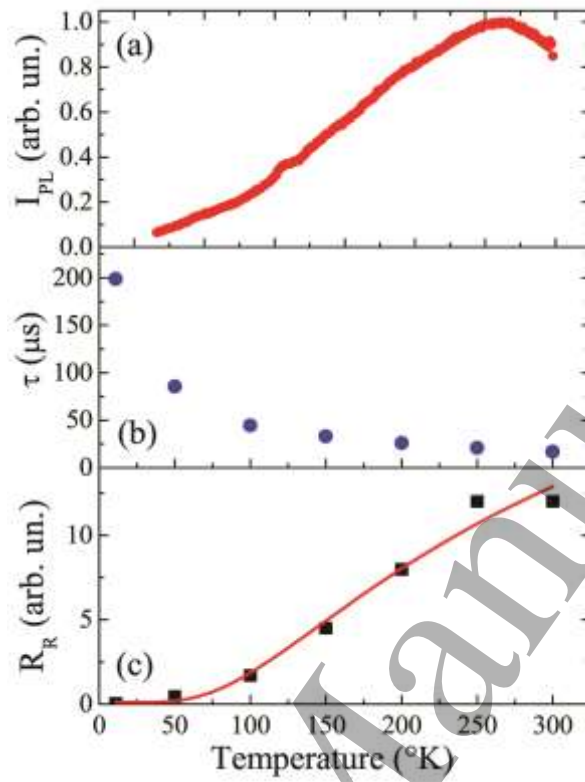


FIGURE 11



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FIGURE 12

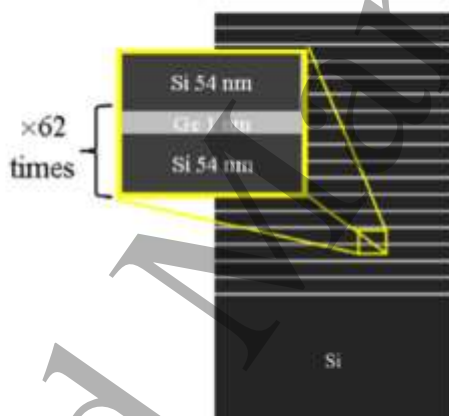


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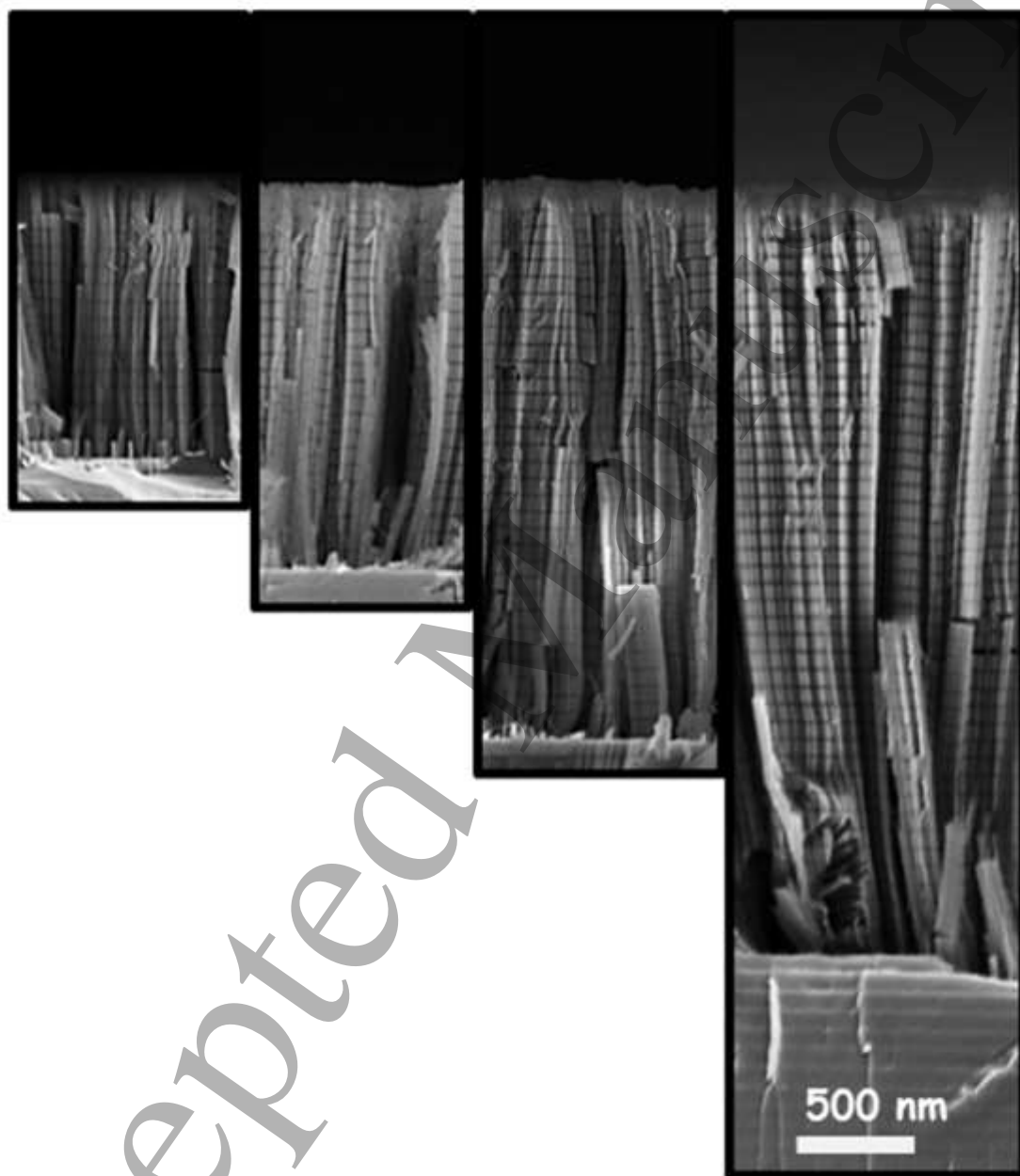


FIGURE 14

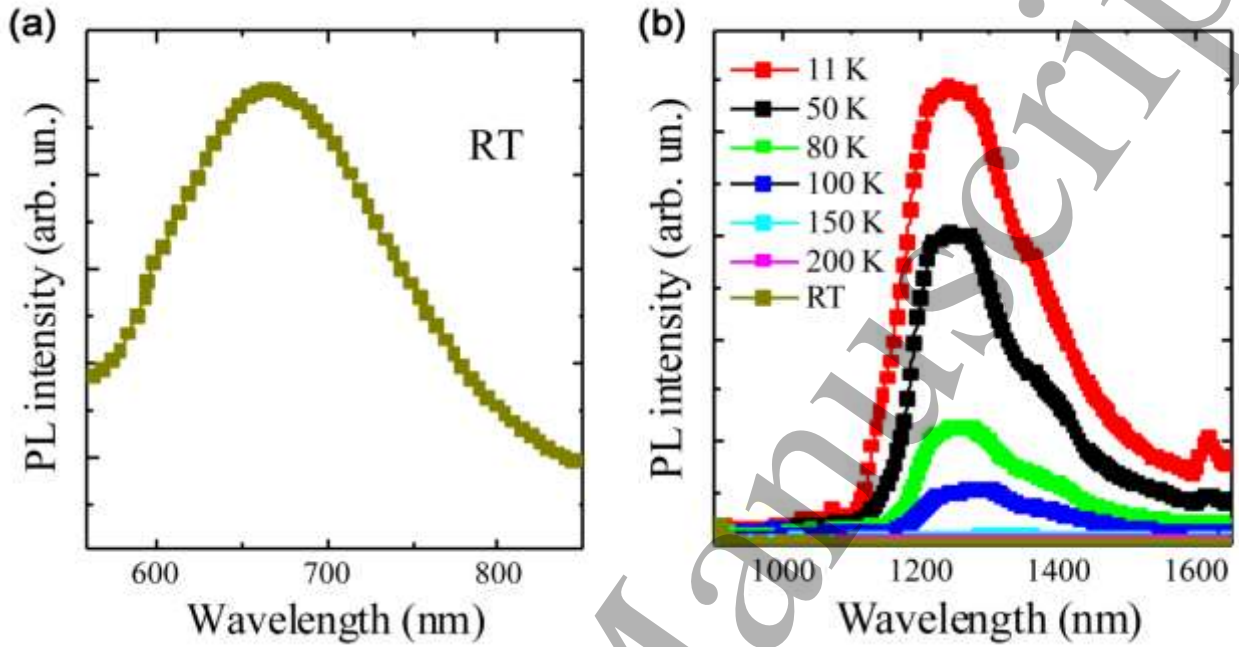


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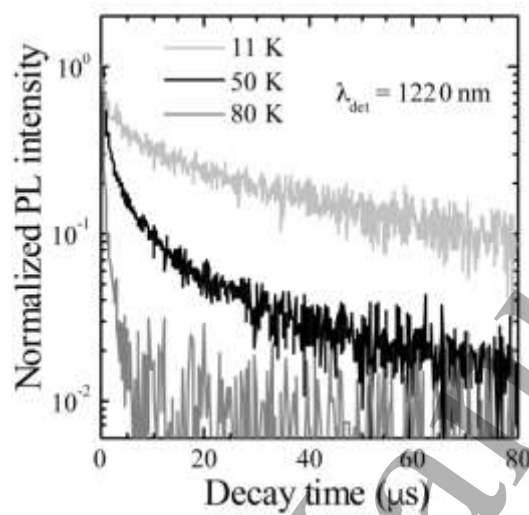


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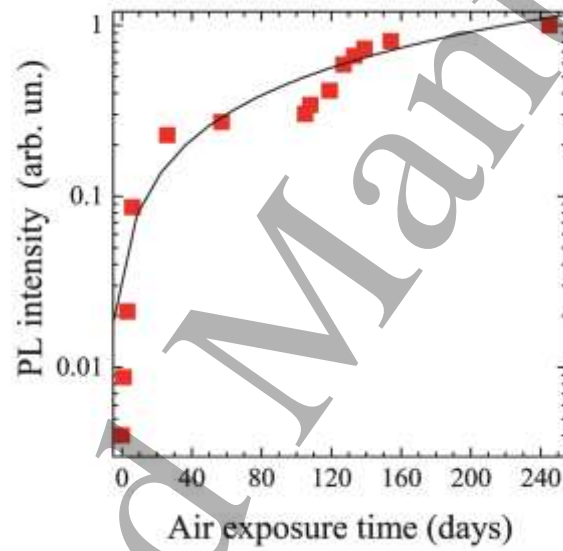




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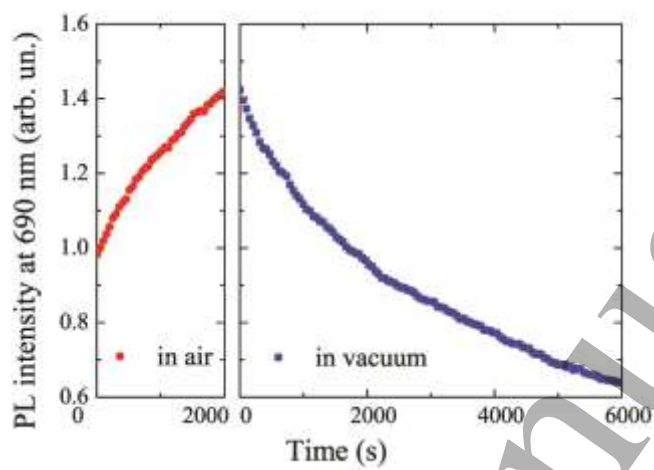


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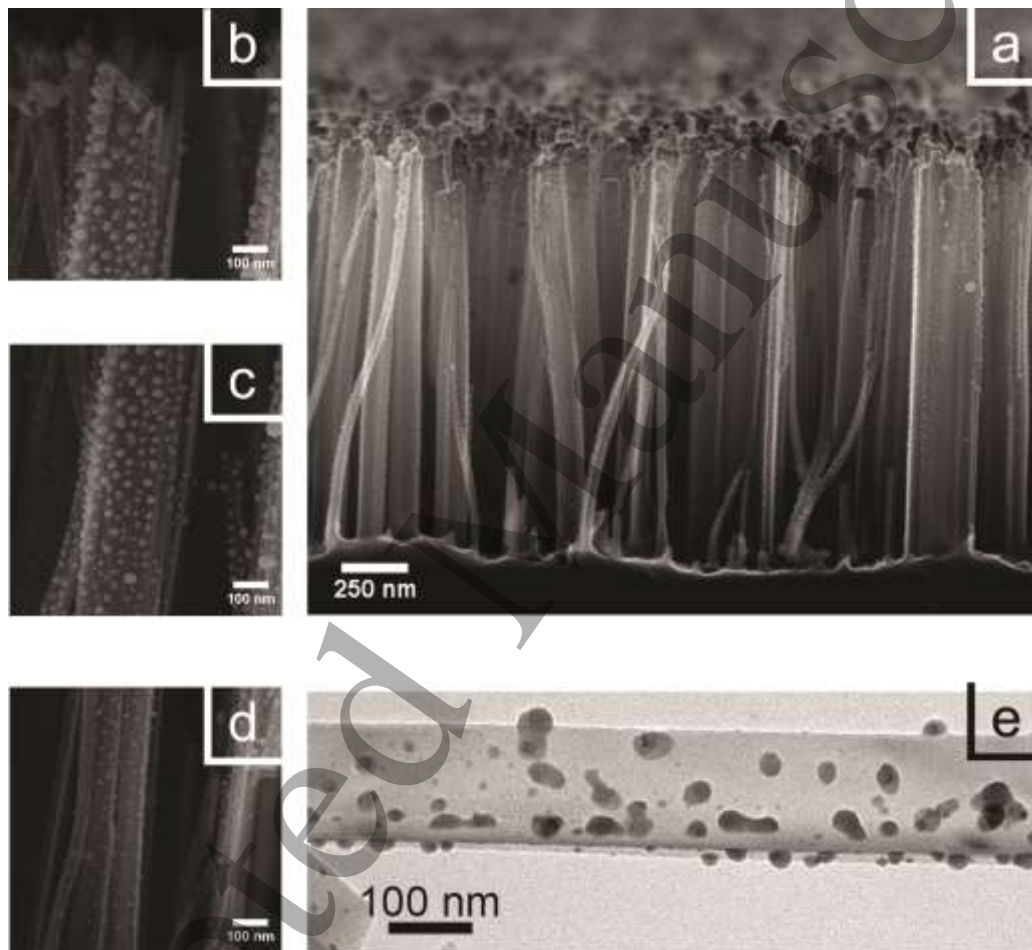


FIGURE 19

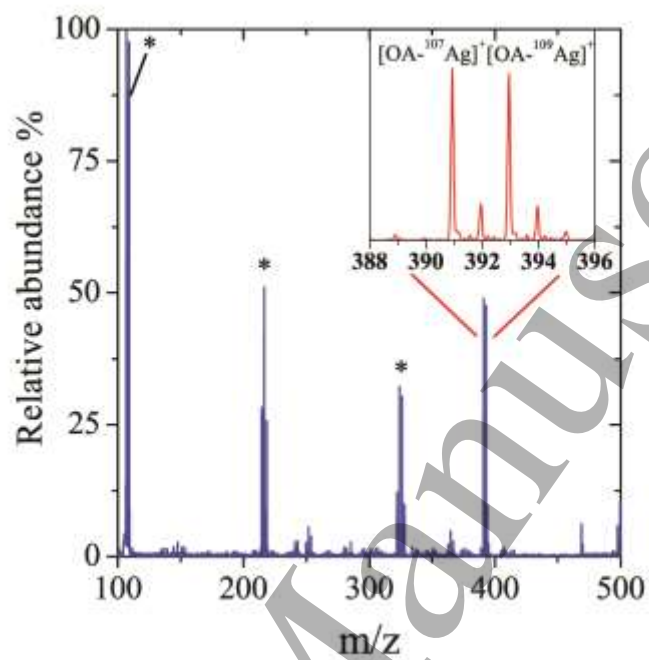


FIGURE 20

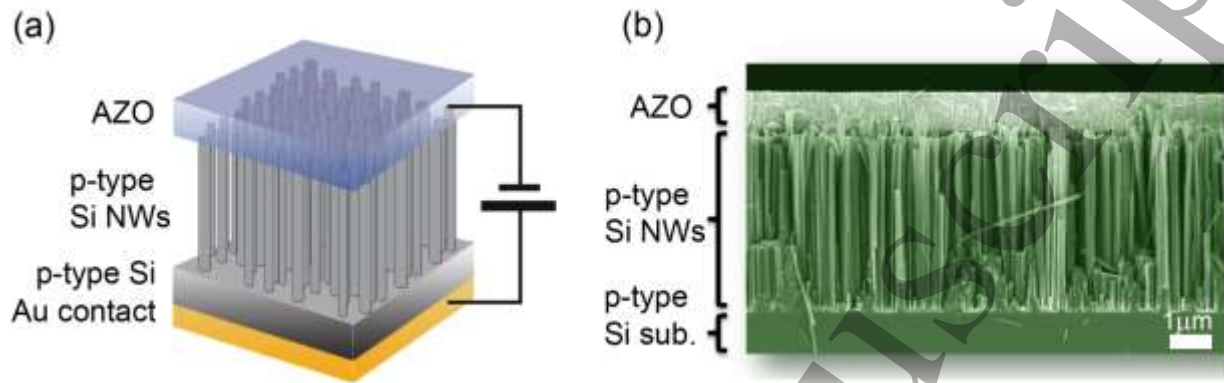


FIGURE 21

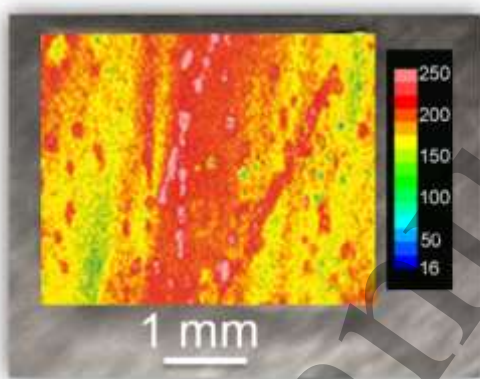
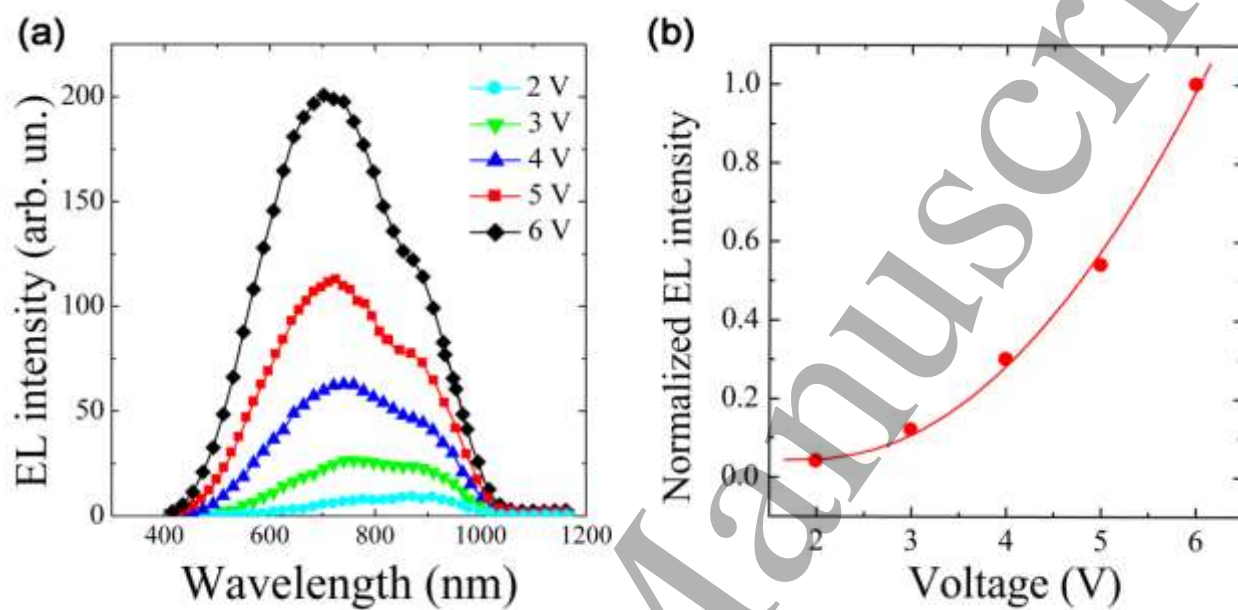


FIGURE 22



## Figure captions

**Figure 1.** Cross section SEM image displaying a Si NW array synthesized by the metal-assisted wet etching of a Si substrate.

**Figure 2.** Scheme of the process used for the fabrication of Si NWs. (a) The starting Si substrate. (b) Deposition of a thin metal layer by EBE. (c) Sample etching by an aqueous solution of HF + H<sub>2</sub>O<sub>2</sub> and Si NW formation. (d) Removal of the metal layer by an aqueous solution of KI + I<sub>2</sub>. All steps are performed at room temperature.

**Figure 3.** (a) Plan view SEM image of a Si surface after the deposition of a 3 nm thick Au layer. Dark areas are uncovered Si regions while yellowish areas are the nanostructured Au layer. (b) Statistical analysis, based on several SEM images, of the diameter distribution of the circular uncovered Si regions left by the deposition of 2 or 3 nm of Au and of 10 nm of Ag.

**Figure 4.** Cross section SEM image displaying Si NWs synthesized by metal-assisted wet etching. The sample has been slightly tilted to highlight the extremely high NW areal density (about  $1 \times 10^{12}$  cm<sup>-2</sup>).

**Figure 5.** Plan view SEM image of Si NWs synthesized by metal-assisted wet etching.

**Figure 6.** Raman spectra of Si NWs characterized by different diameters, obtained by using as a catalyst a 3 nm thick Au layer (triangles), a 2 nm thick Au layer (circles), and a 10 nm thick Ag layer (squares). The Raman spectrum of bulk crystalline Si (rhombi) is also shown.

**Figure 7.** (a) Room temperature PL spectra obtained by exciting Si NWs having different sizes with the 488 line of an Ar<sup>+</sup> laser. (b) PL lifetime of Si NWs at different emitting wavelengths. (c) Photograph of a Si NW sample having an area of about 1 cm<sup>2</sup> excited by the 364 nm line of a fully defocused Ar<sup>+</sup> laser showing a bright red PL emission clearly visible to the naked eye.

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3 **Figure 8.** (a) Normalized angular dependence of the spectrally integrated PL from Si NWs  
4 (squares), as compared to the Lambertian cosine law (line). (b) Power efficiency curve of the light  
5 emission from Si NWs. Red line is a linear fit in the low pump power regime.

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10 **Figure 9.** Room temperature PL spectra of Si NWs with lengths ranging from 1.3 to 6.8  $\mu\text{m}$ ,  
11 obtained by using an excitation wavelength of 364 nm.

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15 **Figure 10.** PL properties of Si NWs as a function of the flux of 488 nm photons. (a) Normalized  
16 integrated PL intensity. The line is a guide for the eye. (b) Time decay curves of the PL signal  
17 measured at 690 nm.

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22 **Figure 11.** PL properties of Si NWs as a function of the temperature in the range 11–300 K. (a)  
23 Normalized intensity of the PL signal at 690 nm. (b) Lifetime of the PL signal, measured at a fixed  
24 wavelength of 690 nm. (c) Radiative rate ( $R_R = 1/\tau_{rad}$ ) extracted by the ratio between the PL  
25 intensity and the decay time at a fixed photon flux. The line is a fit to the data with  $\Delta = 26.9 \pm 4.3$   
26 meV.

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33 **Figure 12.** A schematic view of the Si/Ge MQW grown by MBE used for Si/Ge NW synthesis.

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36 **Figure 13.** Cross section SEM images of Si/Ge NWs having lengths ranging from 1.0 to 2.7  $\mu\text{m}$ .

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39 **Figure 14.** PL spectra of Si/Ge NWs. (a) Room temperature spectrum in the visible region. (b) PL  
40 spectra in the IR region, from 11 K to room temperature. The excitation wavelength is 488 nm.

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44 **Figure 15.** PL time-decay curves of Si/Ge NWs measured at 1220 nm and at temperatures of 11, 50  
45 and 80 K.

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49 **Figure 16.** Normalized intensity of the PL signal at 690 nm of freshly prepared Si NWs as a  
50 function of the air exposure time at room temperature. The line is a linear fit to the data.

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57 **Figure 17.** Normalized intensity of the PL signal at 690 nm of Si NWs as a function of the exposure  
58 time to an  $\text{Ar}^+$  laser (488 nm, 10 mW). Data refer to laser irradiation with the sample kept in air  
59 (circles) or in vacuum (squares).

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**Figure 18.** (a) Cross section SEM image of a Si NW array decorated with Ag NPs. (b)-(d) High  
resolution SEM images of the same sample, displaying the uniform coverage, the almost spherical



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3 shape, the high density and the very small size of the NPs in the top, center and bottom region of a  
4 decorated NW, respectively. (e) TEM image of an Ag-decorated Si NW.

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8 **Figure 19.** MS spectrum of oleic acid in acetone obtained using a Si NW array decorated with Ag  
9 NPs. Asterisks indicate  $\text{Ag}_n^+$  ions (where  $n = 1, 2, 3$ ) and the inset represents the typical isotopic  
10 pattern associated to  $\text{Ag}^+$  adducts with oleic acid.  $m/z$  = mass-to-charge ratio.

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15 **Figure 20.** (a) Schematic view of an electroluminescent device based on Si NWs. (b) SEM cross  
16 section illustrating the device structure. From the top, the AZO layer, the Si NW array and the Si  
17 substrate are visible.

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22 **Figure 21.** EMMI image of a device based on Si NWs. The image is in false colors, which are  
23 proportional to the PL intensity. The scale of colors is reported in the right part of the figure.

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27 **Figure 22.** (a) Room temperature EL spectra of a device based on Si NWs, obtained by applying a  
28 forward bias in the range 2–6 V. (b) Integrated EL intensity as a function of the applied voltage.