

TRIBOLOGICAL BEHAVIOUR OF TRANSPARENT CERAMICS: A REVIEW

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Abstract

Owing to superior properties, i.e. high hardness, high wear resistance, and weight reduction of transparent ceramics (TCs) over glasses, TCs have shown promising tribological potential for applications such as face shields, explosive ordnance visors, windows for aircraft, spacecraft and, re-entry vehicles, electromagnetic windows, laser igniter windows, screens for smartphones and more. Researchers globally have been attracted to explore more about TCs, considering the tremendously increasing demand over different other transparent materials. The optical quality of TCs is mostly characterized by the in-line transmittance, and the effect of various processing parameters on transmittance has already been studied by various researchers. In this review, the current research progress regarding tribological performance of TCs is compiled. TCs with potential in tribological applications include MgAl₂O₄, Al₂O₃, AlON, Lu₂O₃, c-BN, Y₂O₃, Si₃N₄, and SiAlON. The relevant strategies to improve the tribological properties, including microstructures and mechanical properties are comprehensively discussed. In addition, the mechanisms of material removal of different transparent ceramics are also presented. It is well observed that surface fracture comprising three stages is found as one of the dominant wear mechanisms during wear. This review aims to provide some meaningful guidelines for development of transparent ceramics with enhanced wear resistance, while identifying the wear mechanisms in particular wear conditions.

Keywords: transparent; ceramics; tribology; wear mechanism; microstructure

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1. Introduction

Transparent ceramics (TCs) have been widely used materials in various applications during the last few decades. The first step toward the development of transparent ceramic dates back to Coble's invention of translucent alumina in 1959 [1] for the production of ceramic discharge tubes. It eventually resulted in the widespread use of high-pressure sodium vapour lamps for street lighting and a variety of other applications. Consequently, translucent and transparent ceramics became a key study area for different potential applications. In the 1970s, a viability study for transparent MgAl_2O_4 manufacturing was commenced [2], and in 1978 it was used for transparent armor [3]. In 1995, Ikesue et al. [4] demonstrated the first effective laser oscillation using a ceramic material (Nd: YAG), indicating that ceramics is utilized for laser applications [5] with an efficiency equivalent to single crystal lasers [6]. Between the 2000s and 2010s, interest in transparent ceramics increased steadily. As a result, transparent ceramics research is well established in fields such as armor, impact-resistant windows, and missile domes [7–14], scintillators [15], phosphors [16,17], optical lenses [9], 3D displays [18], laser gain media [19,20], and other optical applications [7–14].

To explore the universe, studying the light or electromagnetic radiations of all the wavelengths emitted by different objects in space is necessary [21]. Various observatories and re-entry vehicles are sent to the atmosphere to get valuable information about the space. These observatories use many transparent materials for different purposes. Window materials for such spacecraft must satisfy a set of strict requirements to bear the thermal shock, uphold cabin pressure, resist aerodynamic heating, and tolerate high-velocity impact by foreign particles. TCs exhibit an outstanding ballistic performance compared to glass and are therefore being investigated by NASA for such application [22].

From the theoretical point of view, Krell et al. [23] provided an in-depth discussion of transparent ceramics and the mechanisms allowing or limiting the transparency of polycrystalline ceramic materials and the relationship with the microstructure. In extension, Wang et al. [9] and Xiao et al. [24] gave comprehensive and detailed studies of various types of transparent ceramics, their manufacturing, and their use. Transparent ceramics have the potential to meet all these requirements and superior optical characteristics [25].

In 2016, the TC market was valued at USD 219.2 Million, and presently it is expected to reach USD 698.1 Million by 2022 (at a compound annual growth rate of 21.3% from 2017 to 2022) [26]. In 2017, Salem (Material Engineer at NASA, GRC) conveyed that transparent

ceramics such as spinel and AlON exhibit better fracture toughness and crack growth resistance characteristics than glasses like fused silica [22]. Fused silica-based transparent materials have good optical characteristics and thermal shock resistance. Fused silica-based window materials have performed well in the space shuttle orbiter and the international space station (ISS) [22], but exhibit a catastrophic failure due to the formation of thermal gradients in the material [27]. Nevertheless, TCs provide good optical characteristics and thermal shock resistance properties in addition to slow crack growth and high hardness, which extended their use in even more severe conditions [22]. TCs additionally provide a weight reduction of the vehicle, as the total thickness of the windows is significantly reduced. These superior properties, i.e., slow crack growth, high hardness, high fracture toughness and weight reduction, of TCs over glasses provided potential in window systems and impacted the TC market [28–30]. Many tribological applications like personnel protection, face shields, riot visor, explosive ordnance visor, armor, ground vehicles, aircraft, spacecraft, re-entry vehicles, electromagnetic windows, laser igniter windows, artillery projectiles, and more can exploit the high performance of TCs due to these tunable properties [24,31].

The transparency and tribological behavior of TCs are influenced by different factors, which are collected in **Table 1**. The transparency of the TCs depends on the scattering of light, which scatter in correspondence of residual pores, secondary phases, impurity inclusions, and grain boundaries [32–34]. The factors which affect the wear behavior of TC are mostly grain size, grain boundaries, crystal structure, residual pores, inclusions, and surface roughness [10,24,31,35–40]. It is also revealed that a high level of hardness and fracture toughness strongly influence the wear resistance of TC as they reduce the initial scratches as well as the subsequent fracture and propagation of cracks after initiation [41,42]. High fracture toughness and hardness value promise for the long-term protection of transparent ceramics. The presence of multiple phases leads to a decrease in transparency due to scattering.

Table 1: Effect of different factors on transparency and tribological behavior

Factor	Sub-Factor	Impact
Microstructure	Crystal Structure	<ul style="list-style-type: none"> • An isotropic crystal structure is mostly required for the manufacture of TC, as in an anisotropic crystals scattering occurs at grain boundaries [43] • A cubic crystal structure is ideal the highest transparency [7,43,44] • Defects in the crystal structure, like vacancies, increase the scattering thus decreasing the transparency [32–34]

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	Phase	<ul style="list-style-type: none"> • The phase of the sintered TC must provide high density to the material with increased hardness and fracture toughness for better wear resistance [44] • A single-phase material is required for high transparency. The presence of multiple phases with different refractive index causes scattering of light [45,46]. A second phase affect both the transparency and the tribological behavior of the material [27,47]
	Grain Boundary	<ul style="list-style-type: none"> • A uniform refractive index is required for high transmittance of TC as the non-uniform refractive index will show more scattering of light [45,46] • second phases at the grain boundary will increase the scattering of light [48] • Toughening mechanism and strengthening mechanisms, such as grain bridging, increase the fracture toughness of the TC, which will increase the wear behavior of the material [35,49–51]
	Grain Size	<ul style="list-style-type: none"> • For most TCs the grain size does not affect transparency, but the full densification is often accompanied by grain growth, while fine grain size improve the tribological characteristics of TC [39,40,52,53] • Fine-grain size increase the hardness [35,54] and have an effect also on the fracture toughness [35,44,54] • Spark plasma sintering (SPS) is used to control the grain growth of TC while sintering [55,56]
	Porosity	<ul style="list-style-type: none"> • Inter-grain pores located at grain boundaries are easier to remove, but intra-grain pores are not always removed, which causes low transparency of TC [54] • Porosity has a direct relation with opacity and inverse relation with transparency, as pores have a strong effect on the scattering of light due to the high difference in the refractive index compared to that of ceramics [26] • Reduction in porosity and increase in density is required to increase the wear resistance of the TC [35–38]
Process	Raw material	<ul style="list-style-type: none"> • A fine size of the raw material will lead to low porosity and improved sintering [57] • Optimum powder size is required to manufacture TC, as a too fine raw material lead to low density and easy agglomeration [58,59] • Highly dispersed powder ensure high sintering ability required for enhanced wear resistance of TC • Impurities in the material will generate dissimilar phases and form light scattering centers, which will reduce the transparency of the material [24].
	Doping	<ul style="list-style-type: none"> • Doping techniques affect the mechanical and tribological behaviour of the material [60] • The addition of the correct amount of doping agent increase the wear resistance of the TC with improved mechanical properties [61,62]. On the other hand, the presence of a dopant should not

		lead to the formation of a second phase, which would compromise the transparency.
	Sintering	<ul style="list-style-type: none"> • Pressureless sintering in air and inert gas will lead to high porosity; therefore, vacuum sintering or hydrogen atmosphere is required [61,62] • Pressure-assisted methods (SPS and HIP) achieved exceptional mechanical properties with improved tribological properties [63–65] by limiting grain growth while providing efficient densification. Compared to pressureless sintering, the sintering temperatures are usually lower and times shorter, in particular for SPS [55,56] • The use of sintering additives facilitates the removal of pores, reduces the sintering temperature and often limits grain growth, which in turn increases the hardness of the TC [36].
	Surface finish	<ul style="list-style-type: none"> • The final transparency of a TC depends on the optical quality of the surface, i.e. on the surface finish of the sintered sample: the greater the roughness of sintered ceramics, the lower is its transparency [28]. The mechanical performance is also affected by the presence of scratches or defects on the surface.

The optical appearance of transparent ceramics is comparable to that of glasses [13,14,66–71]; however, TCs exhibit higher hardness, higher fracture toughness, higher resilience, and minor mass loss in scratch wear test than glasses [66,72]. The comparison of hardness and fracture toughness of TCs with glass materials is shown in **Fig. 1** [66]. Improving the material's hardness and fracture toughness enhance the TC's tribological performance [41,42,66,73], particularly a high hardness and low mass loss of TCs during the scratch wear test are beneficial to wear resistance of TCs [38,65,66]

TCs are highly transparent in the visible and mid-IR ranges (0.25–5.5 μm), which suggests the utilization of TCs as windows and domes in electro-optical and infrared sensors in military systems [74]. Promising recent transparent materials used for military systems are MgAl_2O_4 [35], Al_2O_3 [75,76], AlON [77], Lu_2O_3 [78,79], c-BN [80], Y_2O_3 [81,82], SiC [27], Si_3N_4 [65,83], and SiAlON [84–86] because of their high strength, hardness, and fracture toughness. Different mechanical, thermal, and optical properties of these transparent ceramics are listed in **Table 2**. These TCs have the potential to perform as good wear-resistant materials for different tribological applications. The study of tribological behaviour of transparent ceramics, such as MgAl_2O_4 [54,66], AlON [27,59,87–89], and Si_3N_4 [36,90,91] shows that these TCs exhibit significantly improved tribological performance compared to single crystals and glasses.

Table 2: Physical properties of transparent ceramics with potential in tribological applications.[27,37,59,63,65,75,83,86,92–112]

Properties of some transparent ceramic materials	MgAl ₂ O ₄	Al ₂ O ₃	AlON	Lu ₂ O ₃	c-BN	Y ₂ O ₃	Si ₃ N ₄
Hardness [GPa]	12-18	14-27	15-20	12-15	28-46	7-10	14-34
Fracture Toughness [MPa m ^{0.5}]	1.48-3.5	1.5-3.3	1.7-3	3.9-4.3	0.48-1.2	1.1-1.5	3.2-4.1
Transverse Rupture Strength [MPa]	80-250	450-850	330-370	-	230-370	130-170	414-682
Melting Temperature [°C]	~2140	~2277	~2150	~2500	~5689	~2410	~1900
Theoretical density [g/cm ³]	3.578	3.987	3.69	9.42	3.45	5.04	3.28
Thermal Conductivity [W/mK]	10-15	12-38	9-10	10-12.5	1.2-1.4	8-12	14.5-26
Young Modulus [GPa]	250-310	390-410	320-340	52-182	560-910	165-196	260-295
Shear Modulus [GPa]	120-140	84-92	125-138	21-71	360-370	63-71	105-110
Thermal Expansion Coefficient [K ⁻¹] $\times 10^{-6}$	6-8	4.5-11	5-7	7.1-8.3	4.6-4.8	3.5-5	3-4
Refractive Index	1.715	1.78	1.79	2.12	2.117	1.93	2.02
Theoretical Transmission [%] (wavelength range, μm)	49-87 (0.2-5.5)	69-84 (0.2-5)	60-81 (0.4-5.2)	63-77 (0.8-2.3)	59-69 (0.3-1.5)	70-83 (0.3-7)	38-46 (0.8-2.5)

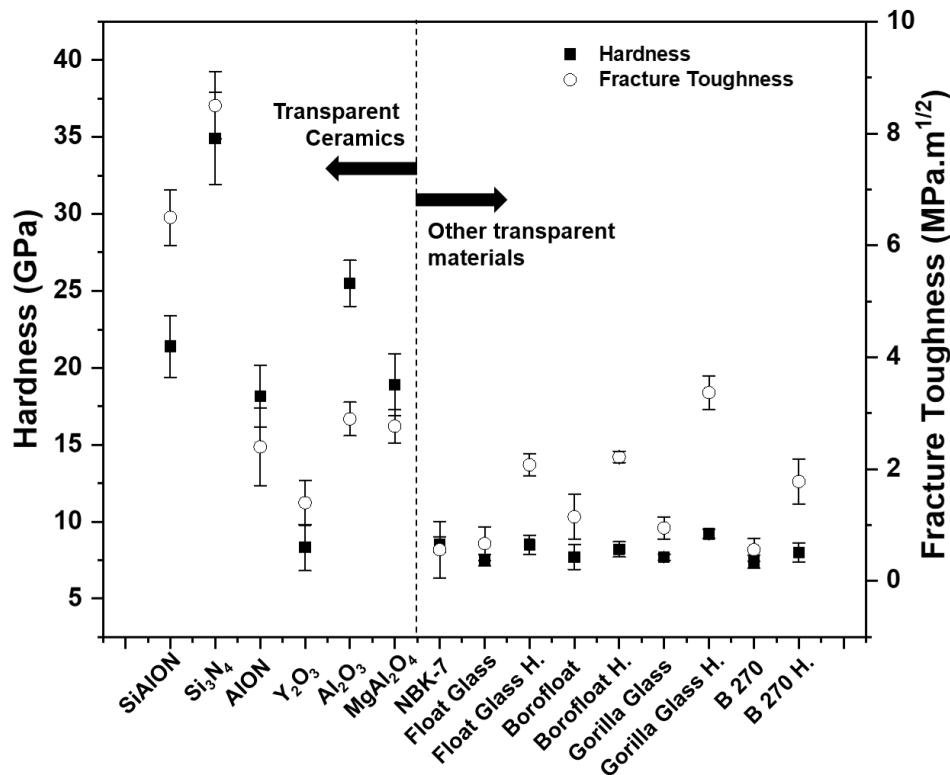


Fig. 1: Hardness and fracture toughness of different transparent ceramics such as SiAlON, Si₃N₄, AlON, Y₂O₃, Al₂O₃, MgAl₂O₄, NBK-7, Float glass, Float glass hardened, Borofloat, Borofloat hardened, Gorilla glass, Gorilla glass hardened, B270, B270 Hardened [37,44,63,65,75,86,92,94,96–101,111–113].

Grain size primarily determines ceramic materials' mechanical characteristics; in general, the smaller the grain size, the stronger the ceramics. TCs have shown a prominent relationship between grain size, hardness, and fracture toughness. A bimodal distribution of the grain size is required because, on one side, larger grain size promotes transparency, whereas on the other side, fine grain size improves the tribological characteristics [39,40,52,53]. Fine-grain size increases the hardness [35,54], but the effect of grain size on fracture toughness is uncertain as the fracture toughness increase [44], decrease [35], or remain the same [54] with a reduction in grain size. Borrero-Lopez et al. investigated the effect of three different grain sizes on the lubricated sliding wear of transparent MgAl₂O₄ ceramics [54]. Different grain sizes provide different hardness of the transparent MgAl₂O₄, resulting in different wear mechanisms (discussed in section 2.1). Moreover, TCs for windows and other tribological applications must have high-velocity impact strength along with high hardness and fracture toughness. For such uses, however, literature is still scanty.

The wavelength range of transparency of the material is defined by electron structure, while impurities induce absorption bands. Both factors are equally relevant in the case of single crystals. Scattering effects further limit the optical quality. The scattering depends on the difference between the refractive index of the matrix (ceramics) and the scatterer, and also on the wavelength of passing light and dimensions of the scatterers, as illustrated by Stuer et al. [45] and Pabst et al. [46]. The possible sources of light scattering are shown in **Fig. 2**. In TCs, composed of randomly oriented crystalline grains, light scatter on residual pores, secondary phases, impurity inclusions, and grain boundaries [32–34]. Therefore, the presence of these defects in TCs affects not only the tribological performance but also the transparency. To achieve the maximum theoretical transparency, TCs have to be defect-free. In general, the defect-free TCs exhibit excellent mechanical, thermal, and tribological properties as nearly-perfect materials. In the case of birefringent materials (e.g., Al_2O_3), light is further scattered at the grain boundaries of randomly oriented birefringent grains.

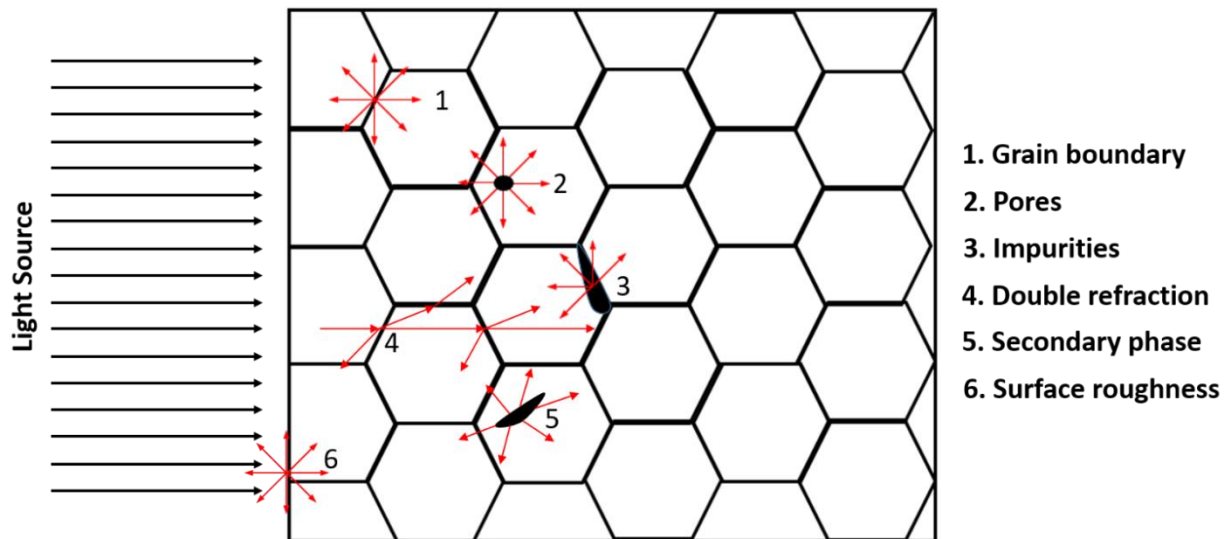


Fig. 2: Different sources for scattering the light.

Most of the TCs are single-phase materials with a cubic (optically isotropic), spinel, garnet, or bixbyite crystalline structure. However, it is also possible to achieve a significant degree of transparency in the case of non-cubic materials, either by the orientation of grains [43] or by producing ceramics with a very fine grain size that are significantly below the wavelength of the passing light [7]. The latter also applies for nanocomposites, where the presence of a second phase limit the transmittance only at shorter wavelengths (UV, visible) (e.g., $\text{MgO}/\text{Y}_2\text{O}_3$ nanocomposite [114] transparent above 1-2 μm or $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ [48]).

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Many TCs are refractory materials and the robust bond strength and coupled with a low diffusion coefficient, pose difficulties during densification at temperatures below 1500°C, often even below 1800°C. Therefore, sintering aids are generally required to obtain high-density TCs at lower temperatures or pressures [58,59]. Transparent ceramics is manufactured by various ceramic processing techniques, including synthesis or mixing of starting powders, eventually further powder processing (milling, granulation), shaping, heat treatments (calcination/debinding, sintering, annealing), and polishing. Compared to single crystals, TCs usually do not require secondary operations, like cutting and machining, before the final polishing step, as TCs is prepared in near-net shape. However, compared to traditional ceramic materials, the production of transparent ceramics necessitates specific equipment, particularly for the powder synthesis and sintering processes. In particular, high-purity powders with good sinterability, low level of agglomeration and regular morphology are required to avoid introducing defects and second phases. The two main approaches are the synthesis of precursor powders and the reactive sintering of a mixture of powders, and both are widely described in literature [115–119]. Shaping approaches cover many of the commonly used techniques for transparent ceramics (e.g., dry pressing [120,121], slip casting [118], gel casting [39,122], tape casting [121,123], pressure slip casting [124], and additive manufacturing [125,126]) with particular attention on limiting potential contamination and the presence of voids. While all the process parameters have to be aligned to reach a defect-free microstructure, the focus is firmly on the characteristics of the starting powders and the sintering step.

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In most cases, pressureless sintering in air does not entirely remove porosity from ceramics. Therefore, pressure-assisted sintering techniques are requested, viz. hot pressing [127,128], hot isostatic pressing [129,130]), vacuum sintering [131], spark plasma sintering [95,111,132,133], microwave sintering [134,135] or a combination thereof. Moreover, sintering aids are also very often used to enhance the densification and removal of pores, limit grain growth, and lower the sintering temperature. The high pressure-temperature technique is extensively utilized to manufacture superhard and ultrahard TCs [136,137]. These TCs have excellent wear resistance. TCs, as triboelements, is widely employed in diverse fields. Different tribological applications where TCs is employed include windows in re-entry vehicles, lookdown windows in aircraft and military vehicles, armor, face shields, missile tops, infrared domes protections, window floors, and space shuttle windows. Among TC materials, the effect of various processing factors on transparency has been studied extensively [138]. However, tribological properties of TC are relatively poorly studied.

Transparent ceramics with better mechanical properties have been identified as the next-generation transparent materials for tribological applications. Salem studied the mechanical characteristics of translucent AlON and MgAl₂O₄ ceramics considering their utilization in spaceship windows [22]. However, the processing and characterization of TCs are difficult and costly [24,59,115,139,140]. Therefore, modelling and simulations are used to predict mechanical and tribological performance in extreme conditions [33]. Moreover, the literature on TCs reveals that the modelling of scattering by residual pores and the resulting transmittance predictions is made using the Mie theory [32,33,46,141]. Approximation techniques be used to model the effect of tiny pores and huge pores (compared to the wavelength of light) based on the Rayleigh approximation and the Fraunhofer approximation, respectively. Recent research indicates that the Rayleigh-Gans approximation provides better results than the Rayleigh approximation in small-size regions. The van de Hulst approximation (also known as the anomalous diffraction approximation) [142] is the only approximation capable of predicting the decrease in in-line transmittance as pore size increases in the small-size region and the increase in transmittance as pore size increases in the large-size region. Indeed, the Van de Hulst approximation provides transmittance predictions that are the most closely related to the exact Mie theory predictions over a wide range of pore sizes [33]. These modelling techniques are used to predict the optical, mechanical, and tribological properties of newly synthesized TCs, thereby saving money and time.

The purpose of this review is to deliver a theoretical framework for the tribological properties of transparent ceramic materials. Different types of TCs used for tribological applications have been explored. This review discusses the impact of microstructure and mechanical characteristics of TCs on tribological performances, without neglecting the importance of the optical transparency. In this view, wear testing, and scratch testing of TC materials have been reviewed to understand the mechanical and tribological properties. This approach provides insight into different TC's surface deformation characteristics and material removal mechanisms. In addition, a significant part of the study has been devoted to understand the dominant processes of material removal as a function of microstructure and mechanical properties of transparent ceramics when they are subjected to wear conditions. The following section will discuss different transparent ceramics used in different tribological conditions.

2. Different transparent ceramics for tribological applications

. Transparent ceramic material must be developed beyond the state of art with high durability, efficiency, improved performance, lightweight, and high strength, in order to fulfil the

1 requirements of the different application fields [22]. Transparent ceramics used for tribological
2 applications require excellent hardness, fracture toughness, and elastic modulus (better contact
3 damage resistance) [58,59]. In addition, the properties like high compressive strength (relevant for
4 applications requiring high load at tribo-contact), low density (better specific properties than many
5 metals), and high melting and mechanical property retention at elevated temperature (high-
6 temperature tribological applications) are also required for the tribological applications mentioned
7 above. Friction and wear behaviour of TCs, which are the critical components for operation in
8 various tribological applications, have been discussed extensively [143–145]. However, there is
9 scarcity in the research of tribological and mechanical behavior of transparent ceramics. Since the
10 main focus is on tribological applications, TCs with low strength have been excluded in this
11 review. The most promising transparent materials with the highest strength and potential
12 tribological properties are $MgAl_2O_4$, Al_2O_3 , AlON, Lu_2O_3 , c-BN, Y_2O_3 , Si_3N_4 , and SiAlON, and
13 are therefore discussed in the present review [27,59].
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22 **2.1 $MgAl_2O_4$**

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25 $MgAl_2O_4$ (spinel) has a high potential as transparent armor ceramics and protective windows and
26 domes for sensor application. It provides a high levels of optical and mechanical performance
27 required by these types of applications [146,147]. Earlier research has shown that such transparent
28 windows require excellent mechanical and tribological properties, i.e., hardness, fracture
29 toughness, wear resistance with high transmission, and a suitable refractive index [35]. $MgAl_2O_4$
30 is transparent from UV to mid-IR (from 0.2 to 5.5 μm) [11,146] and exhibits a density of 3.578
31 g/cm^3 . The advancement of fabrication technology and the quality of raw powders have resulted
32 in the production of large size $MgAl_2O_4$ ceramics with high optical quality, making them suitable
33 for use in transparent armor, domes, and windows for applications in ultraviolet (UV), visible
34 (VIS), and infrared (IR) [117,146].
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44 Fabricating transparent $MgAl_2O_4$ ceramics via pressureless sintering is challenging [34,148] and
45 therefore, advanced sintering techniques like hot pressing (HP), hot isostatic pressing (HIP), and
46 spark plasma sintering (SPS) are required for its manufacturing [97,149–152]. Sintering aids used
47 to produce transparent spinel ceramics are LiF [153], B_2O_3 [154], and CaO [155,156]. It is possible
48 to prepare transparent spinel ceramics without sintering aids [157], but the use of sintering aid
49 helps to achieve maximum transparency.
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56 To understand the tribological behaviour of transparent $MgAl_2O_4$, a sandblasting experiment was
57 carried out for five minutes on the surface of transparent $MgAl_2O_4$. It was observed that the mass
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loss from the surface was 2 mg (0.06%), which is very low compared to other glasses with a mass loss value ranging from 6.8 to 114.8 mg (0.3-4.7%). The surface roughness and maximum crater depth in the transparent MgAl_2O_4 spinel were found to be $0.1 \pm 0.01 \mu\text{m}$ and $3 \mu\text{m}$, respectively. The surface roughness of the different glasses was found to be in between $1.1 \pm 0.1 \mu\text{m}$ to $3.7 \pm 0.4 \mu\text{m}$, while the maximum crater depth was $4 \mu\text{m}$ to $229 \mu\text{m}$ [66]. It shows that transparent MgAl_2O_4 exhibits an excellent wear resistance, much higher compared to glasses, with low surface roughness and crater depth. **Fig. 3** represents various cracks (radial, median, and lateral cracks) formed during the scratch test of transparent material with increasing load. Three regimes were developed during scratch, i.e., micro-ductile, micro-cracking, and micro-abrasive. In the micro-ductile regime, the cracks formed are underneath. During micro-cracking, radial and lateral cracks are formed, which results in chip formation of the transparent material. However, debris formation is observed in micro-abrasive regime.

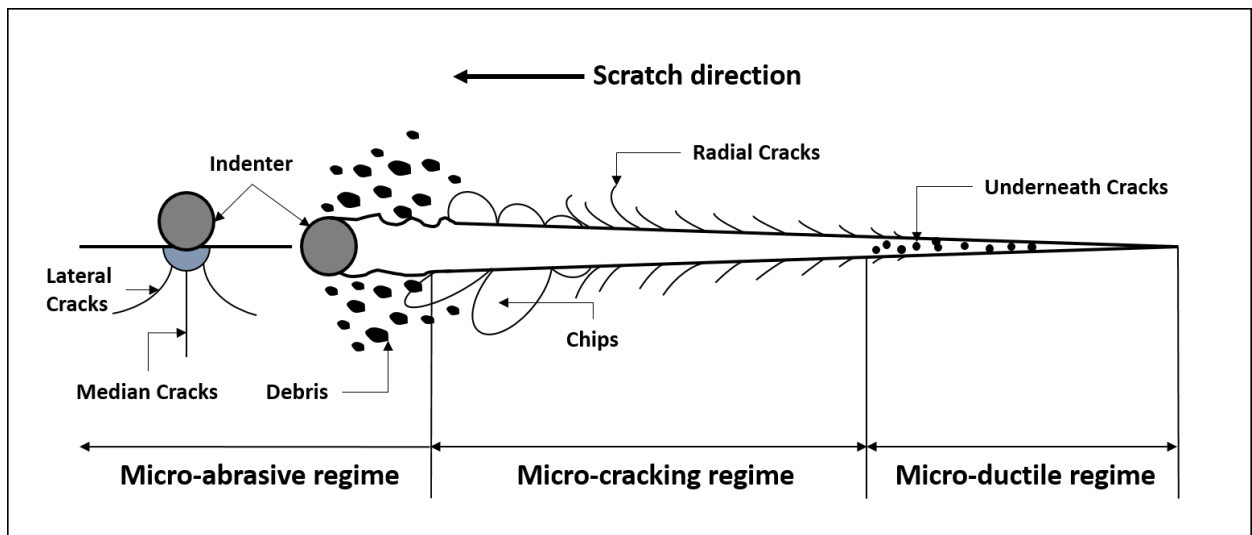


Fig. 3: Typical scratch patterns on brittle materials during a progressive scratch [66].

The optical transmittance and tribological and mechanical properties are improved with the reduction of porosity. Moreover, the wear resistance is further improved by the reduction of grain size. Benaissa et al. [35] prepared transparent MgAl_2O_4 without any sintering aid using SPS at different temperatures. The microstructure of transparent MgAl_2O_4 without any sintering additive at different sintering temperatures is shown in **Fig. 4a**, **Fig. 4b**, and **Fig. 4c**. Sintering of the powder was done at 73 MPa pressure with three different sintering temperatures, i.e., $1300 \text{ }^\circ\text{C}$ (**Fig. 4a**), $1350 \text{ }^\circ\text{C}$ (**Fig. 4b**), and $1400 \text{ }^\circ\text{C}$ (**Fig. 4c**). The heating rate was $100 \text{ }^\circ\text{C}/\text{min}$ to $800 \text{ }^\circ\text{C}$,

10 °C/min to 1100 °C, and 1 °C/min to final temperature. With an increase in sintering temperature, the relative density of the material dropped slightly and it was found to be 99.93%, 99.63%, and 99.58% at 1300 °C, 1350 °C, and 1400 °C, respectively. At wavelength of 550 nm, the transmittance was 70%, 45%, and 6% for 1300 °C, 1350 °C, and 1400 °C, respectively, owing to the reduced relative density and increased porosity. The use of sintering aids for the production of spinel by SPS changed the microstructure both in terms of porosity and grain size [158,159].

The tribological properties also be affected by the use of dopants or additives as the grain boundary and grain size of the TC can be altered. This reduces the porosity and improve the tribological behaviour. Esposito et al. [60] examined the effect of LiF (0 to 1 wt %) doping in MgAl₂O₄ on the microstructure of the transparent ceramics sintered by hot pressing. The transparent MgAl₂O₄ without additive obtained by HP at 1600 °C for 60 min exhibits a bimodal microstructure as shown in **Fig. 4d**. Pore-free TC with equiaxed grains of approximately 38 μm and fine grains of approximately 3 μm size with intergranular pores were observed when no LiF was used (**Fig. 4d**). The addition of LiF to the MgAl₂O₄ provides uniformity in the microstructure of TC. LiF acts as a sintering aid as 0.5% LiF produces TCs with a grain size of 20 μm without any residual porosity (**Fig. 4e**). Adding 1% LiF to MgAl₂O₄ processed under the same conditions results in a more uniform microstructure with an average grain size of less than 20 μm (**Fig. 4f**). The addition of LiF promotes a fine structure and low porosity and improve the hardness and in turn the tribological characteristics.

Nassajpour et al. [48] prepared MgAl₂O₄/Si₃N₄ nanocomposite using MgAl₂O₄ and Si₃N₄ powders of average particle sizes of 250 nm and 40 nm, respectively. The TC was obtained using SPS with maximum pressure and temperature of 80 MPa and 1550 °C for 20 min. The microstructures of MgAl₂O₄-1wt% Si₃N₄ and MgAl₂O₄-3wt% Si₃N₄ are shown in **Fig. 4g** and **Fig. 4h** respectively. The hardness obtained for pure MgAl₂O₄, MgAl₂O₄-1wt% Si₃N₄, and MgAl₂O₄-3wt% Si₃N₄ was 7.7, 8.1, and 10.2 GPa respectively. Increasing the Si₃N₄ concentration in the spinel-based composite increased its hardness. This is because small-scale bridging bonds developed in the spinel composite structure during sintering, which increased the strength at the surface. After heat treatment, the hardness of pure MgAl₂O₄, MgAl₂O₄-1wt% Si₃N₄, and MgAl₂O₄-3wt% Si₃N₄ samples increased to 8.2, 9.3, and 12.1 GPa, respectively. This rise in the hardness indicates the presence of superficial oxidation on the surface which caused compressive stress on the nanocomposite's surface. The sample MgAl₂O₄-1wt% Si₃N₄ exhibits the greatest shear strength. The regions under the shear stress-displacement curves for pure MgAl₂O₄ and MgAl₂O₄-1wt% Si₃N₄ are almost identical and nearly twice as large as those for sample MgAl₂O₄-3wt% Si₃N₄. It

indicates that adding 3% Si_3N_4 significantly reduces the fracture toughness of MgAl_2O_4 -based nanocomposites. The addition of a second phase to the ceramic matrix impact the sintered composite's reinforcing mechanism. While adding a second phase to the matrix toughen the ceramic through multiple processes ranging from crack deflection to fracture bridging, grain growth inhibition is the primary mechanism in this instance. It means that Si_3N_4 particles significantly impair grain development. The inclusion of Si_3N_4 at a concentration of up to 1% had no detrimental effect on the fracture toughness of nanocomposite samples. However, when 3% Si_3N_4 was added to the matrix, fracture toughness fell substantially, since it was also accompanied by a significant increase in the volume percentage of voids, which accounts for most of the dispersion hardening effect [48].

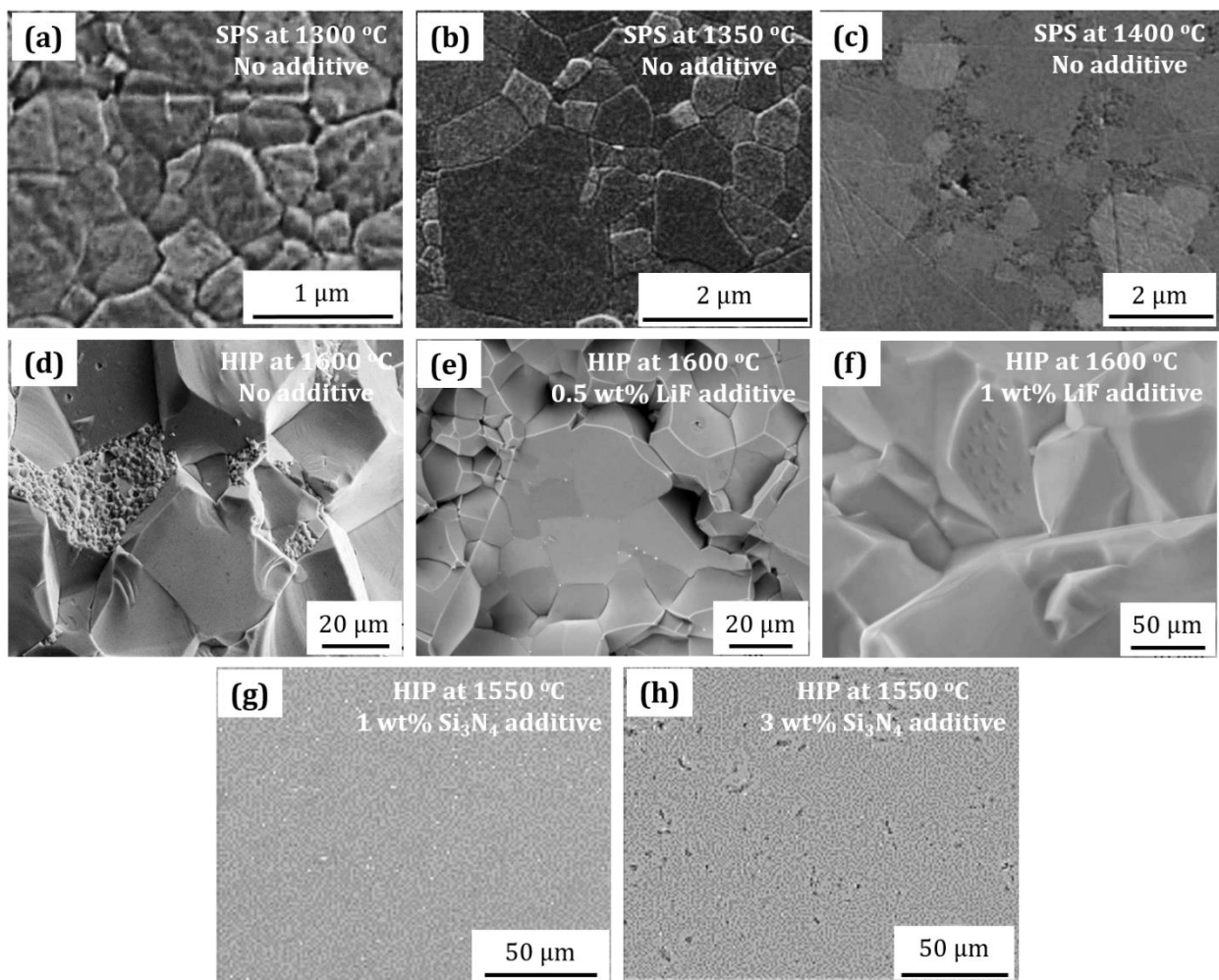


Fig. 4: Microstructure of MgAl_2O_4 obtained a), b), c) via SPS at different temperature without additive [35], d) via HIP without additive [60] e), f) via HIP at different temperature with 0.5 wt% LiF and 1 wt% LiF [60], g) and h) via HIP at different temperature with 1 wt% Si_3N_4 and 3 wt% Si_3N_4 [48].

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Toughening and strengthening mechanisms also improve the tribological properties of the TCs [49,50]. Sheikh et al. [51] studied the effect of toughening on hardness, fracture toughness, and transparency for transparent $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ nanocomposite sintered by SPS. The average particle size of MgAl_2O_4 and Si_3N_4 nanopowder was 40-50 nm and 30-40 nm, respectively. The synthesised transparent MgAl_2O_4 -2 wt% Si_3N_4 showed spherical grains of 5 μm size and density of 3.561 g/cm^3 (99.8% of the theoretical density). The nanocomposite experiences a reduction of 60% transparency compared to pure spinel. Also, the heat treatment did not led to any variation in the optical transmittance of the nanocomposite. However, a significant improvement in the mechanical properties of the nanocomposite was observed after heat treatment 1000°C such as an increase of approximately 4% increase in the elastic modulus of $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ nanocomposite compared to pure MgAl_2O_4 . The hardness (14.7 ± 0.1 GPa) and fracture toughness (1.68 ± 0.04 $\text{MPa}\cdot\text{m}^{0.5}$) of $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ nanocomposite was found to be in the same order as the hardness (14.1 ± 0.1 GPa) and fracture toughness (1.67 ± 0.05 $\text{MPa}\cdot\text{m}^{0.5}$) of pure MgAl_2O_4 . Heat-treated nanocomposite material improved instead the TC's hardness (16.3 ± 0.1 GPa) and fracture toughness (2.1 ± 0.06 $\text{MPa}\cdot\text{m}^{0.5}$). The decrease of transparency and enhancement in mechanical properties is due to the presence of Si_3N_4 nanoparticles that scatter light but at the same time reinforce the material by toughening mechanism. As was shown in the case of pore size [45], if the size of the scatterers is sufficiently small, I deleterious effect on transparency is reduced.

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In MgAl_2O_4 with a coarse microstructure (grain size $\approx 50\mu\text{m}$), intergranular fracture was observed and a fracture toughness of 1.4-2 $\text{MPa}\cdot\text{m}^{0.5}$ was measured [160]. The low fracture toughness of transparent MgAl_2O_4 has been linked with grain-boundary embrittlement induced by additive, impurities, or residual stress [146]. A tearing resistance curve, or R-curve, indicates a material's resistance to progressive crack growth (implying that the fracture toughness of the material varies with crack development). As a result, a tearing resistance curve is a function of fracture toughness and crack growth [161]. Coarse-grained microstructures of MgAl_2O_4 exhibit limited resistance to crack propagation due to grain bridging and wedging induced by friction and therefore low tearing resistance is provided by coarse grained transparent MgAl_2O_4 . Porosity and second phases are not necessarily the only flaws that must be limited (0.01 % porosity) in transparent ceramics for tribological applications: coarse-grained microstructures have also been recognized as a source of weakness [162]. Microstructure refinement leads to significant increases in hardness for grain sizes as low as 1 μm as determined by Hall–Petch relationship. Even though hardness of MgAl_2O_4 increases significantly with microstructural refinement which increases the erosion resistance during penetration, the exact mechanism by which wear varies is unclear.

Nonetheless, one of the primary goals of transparent spinel processing is to decrease the particle size of the finished product. The cost of transparent armor, on the other hand, outweighs the minor hardness advantage associated with small particle sizes, and grain sizes in the hundreds of microns are often acceptable. It is difficult to determine the effect of grain size on ballistic performance because of the complexity of the failure mechanism, the high costs of the tests and the limited available reports on that. Even though spinel is susceptible to fracture formation, which is assisted by moisture, the effect is most apparent in coarse-grained microstructures. Although there seem to be no delayed fracture development studies for single crystals, the findings emphasize the vital role that grain boundaries play on influencing the strength of materials [31]. Other than armor application, the TCs has a potential to be used for biomedical application as wear resistant load bearing implant, which generally experience fretting wear in the joint. At present, two materials, i.e., $MgAl_2O_4$ and AlON compete with single crystals for such applications. Bodhak et al. [28] compared the tribological characteristics of these two ceramics in freshly prepared medium, i.e., stimulated body fluid at 37°C. It was observed that the wear rate of $MgAl_2O_4$ was 62% lower than that of AlON for 1000 m test run and 47% less for 3000 m test run (**Fig. 5**).

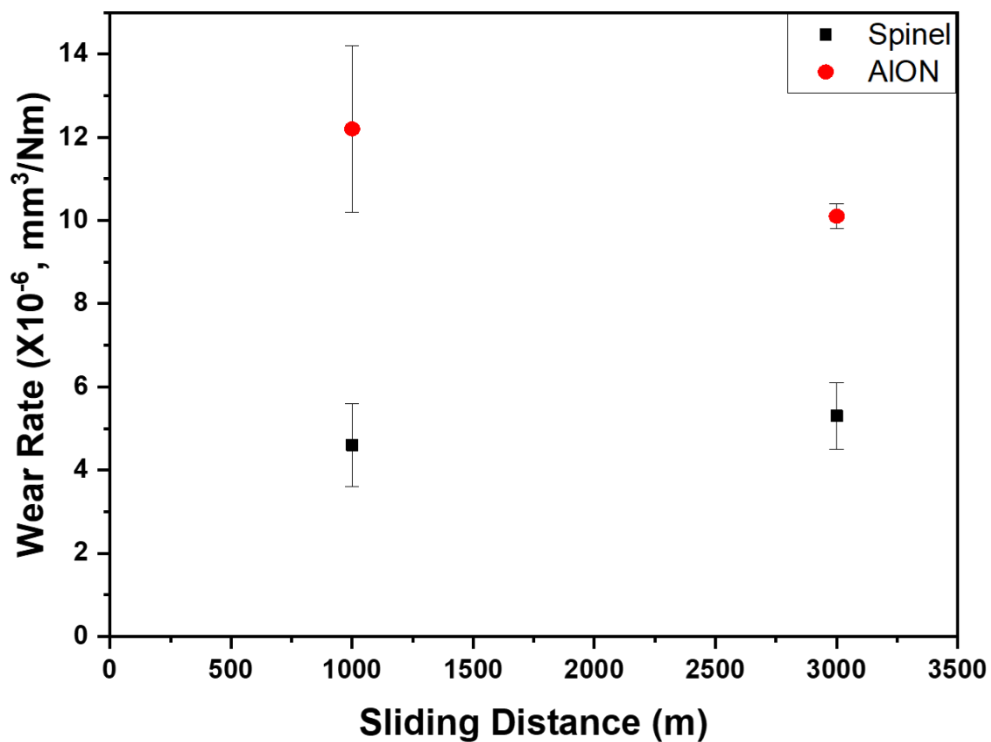


Fig. 5: Wear rate of Spinel and AlON [28].

As mentioned above, the porosity present in the TC influences the transparency, hardness, elastic modulus, and fracture toughness of the material (**Fig. 6**). The tribological characterization on the

flat surface of the spinel TCs was measured using alumina balls of 6 mm as contact means for 6 min at 20 N load and 100 rpm [35]. The resulting coefficient of friction was 0.06 and 0.13 for samples fabricated at sintering temperatures of 1300°C and 1350°C, respectively. **Fig. 6** shows that the wear resistance of transparent spinel was improving with decreasing sintering temperature, which results in an increase in hardness due to smaller grain size, and decrease in fracture toughness. Also, low friction coefficient was observed with decreasing sintering temperature. The reduction in fracture toughness is due to the slight increase in porosity for the samples sintered at 1400°C compared to 1300°C. Slight porosity deflects the direction of movement and spread a high energy crack in several low energy cracks which cannot propagate too far as compared to the high energy ones. However, the presence of pores compromises the transparency of the ceramics. The sintering temperature must be kept low to fulfil the requirement of high hardness. So, it is concluded that porosity is a more prompting factor than grain size for good wear resistance. A small grain size with high density, hardness, and transmittance is observed at low sintering temperatures. In contrast, large grain size with high fracture toughness and low transmittance is observed at high sintering temperatures. Therefore, the optimum sintering temperature is required to achieve high wear resistance and transparency.

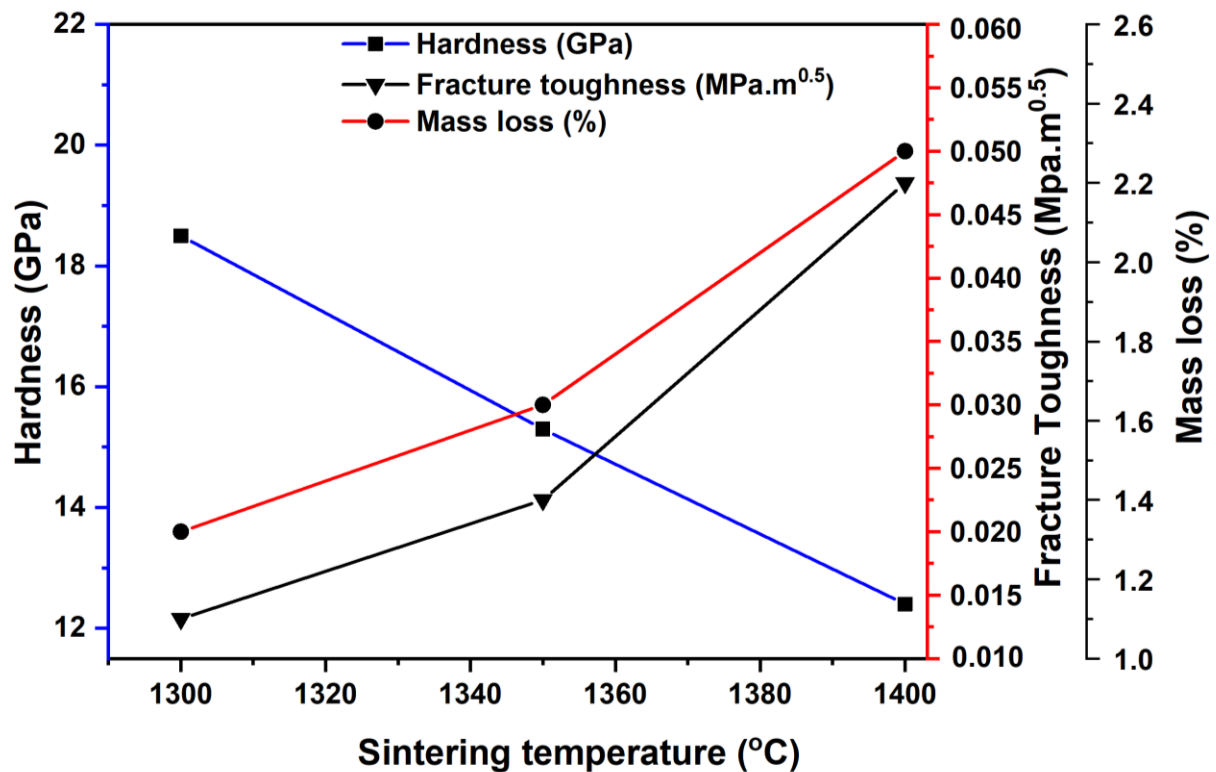


Fig. 6: Hardness, fracture toughness and mass loss upon friction vs. sintering temperature of spinel [35].

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Bodhak et al. [28] fabricated a disc shaped sample of MgAl_2O_4 by conventional powder processing routes followed by sintering, grinding, and polishing with average surface roughness (r.m.s) $\approx 0.001 \mu\text{m}$ for an application like total hip arthroplasty and total knee arthroplasty. The TC formed was analyzed for tribological characteristics as these applications experienced fretting wear in the joint. A linear-reciprocating ball on a disc testing tribometer was used for all the experiments. A linear oscillatory motion of 10 mm was made with a constant load of 20 N and a constant speed of 5000 mm/min with Al_2O_3 as a counter body. Wear rate for distances 1000 m and 3000 m was measured to be $4.72 \pm 1.22 \times 10^{-6} \text{ mm}^3 \text{ Nm}^{-1}$ and $5.27 \pm 0.85 \times 10^{-6} \text{ mm}^3 \text{ Nm}^{-1}$, respectively. Volume loss for similar distances was estimated to be $0.094 \pm 0.02 \text{ mm}^3$ and $0.316 \pm 0.05 \text{ mm}^3$, respectively. Hardness and friction coefficient value of fabricated MgAl_2O_4 sample was $13.09 \pm 0.71 \text{ GPa}$ and 0.43 ± 0.009 .

The strength of the spinel is enhanced by grain refinement while preserving its transparency in infrared (IR) wavelength range, as shown recently in a nanostructured transparent spinel with an average strength of 470 MPa and Weibull modulus of 6.2 [88]. Nanostructured spinel is fragile and its strength is susceptible to deterioration through the development of defects during service. It is very important in IR windows/domes since the spinel are exposed to extreme loading conditions during high-speed missile flight. As a result, there is a need for transparent ceramics that are not only strong but also damage-tolerant and perform well under the harsh circumstances of service. In this aspect, the insertion of reinforcements, such as particles, whiskers, or fibers, into ceramic matrices, improve the damage tolerance of the toughened composites. When the size of the reinforcement is limited and no clustering occurs, transparency is maintained at longer wavelengths. For creating a well-dispersed slurry, a spinel powder was combined with 2.5 vol% Si_3N_4 (average particle size 50 nm) and 0.5 vol% Y_2O_3 (average particle size 10 nm). The slurry was spray dried and the globular powder was pressed at 50 MPa and heat-treated at 500°C for 24 hours. The samples were then HP at 60 MPa pressure at 1300°C for 3 hours. Some samples were again heat-treated at 1000°C for 4 hours to strengthen the $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ composite. The relative density of the fabricated composite material was found to be 99.5%. TEM microscopy revealed that Si_3N_4 was present as a nanodispersoid with grain size of 100 nm within a polycrystalline spinel matrix of 300 nm [141]. The transmission was 70 % for IR radiations of wavelength 3-4.5 μm . The reduction in transparency was attributed to the presence of Si_3N_4 grains and the residual porosity. The results showed a 29% increase in strength and an 85% increase in fracture toughness compared to processed TCs. From the previous discussion, we conclude that the rise in fracture

toughness vary the tribological characteristics of the TC by reducing the wear resistance properties [141].

It is summarized that the transparent MgAl_2O_4 is fabricated without an additive, but it exhibits a bimodal microstructure with low transparency. The addition of a second phase impacts on the sintered reinforcing mechanism. The addition of LiF or Si_3N_4 to MgAl_2O_4 results in fine structure and low porosity, improving the hardness and tribological characteristics of the TCs and their transparency. The improvement in the mechanical and tribological properties is only up to a specific percentage increase of additive, i.e. below 2-3 vol%. Therefore, an optimum quantity of additives is required to be added.

Various cracks, i.e., radial, median, and lateral, are formed during the scratch test of transparent material with increasing load. The development of small-scale bridging bonds in the spinel composite structure during sintering is required to increase the surface strength. A post sintering heat treatment improves the mechanical and tribological properties of TCs. The porosity factor sometimes overcomes the fracture toughness factor for wear performance. The porosity in the TC also increases the fracture toughness value of the material but leads to deterioration in the wear resistance. So, for good wear resistance properties, low porosity, fine grain size, high hardness, and fracture toughness must be targeted by keeping low sintering temperature, allowed in particular by the use of SPS.

2.2 Al_2O_3

Alumina (Al_2O_3), is one of the earliest transparent ceramics which has found significant commercial success [163]. Alumina is used widely due to its low cost, biocompatibility, and appropriate mechanical, chemical, electrical, and thermal properties. It has potential for tribological applications, such as transparent electromagnetic dome structures, armors, envelopes, and windows. The tribological and mechanical properties of Al_2O_3 ceramics is optimized by controlling the grain size [39,40,164]. For altering the grain size of the transparent Al_2O_3 , different processing techniques and sintering aids are used. A theoretical maximum in-line transparency of polycrystalline Al_2O_3 is found in the infrared wavelength range between 2000 and 4000 nm [122,165]. The main drawback of Al_2O_3 as a TC is the birefringence [23], which limits the thickness for which the material is transparent. A reduction of grain size is an approach that allows to further improve transparency, even at lower wavelengths.

SPS is demonstrated to be a reliable process for producing transparent polycrystalline alumina with real inline transmittances greater than 50% (for 640 nm, 0.8mm thickness) [166]. Despite the

1 short sintering cycles (usually 15 minutes) of SPS, it was shown that doping is essential to provide
2 satisfactory optical characteristics in polycrystalline alumina [166,167]. The SPS of pure alumina
3 cannot prevent substantial grain development, leading to the presence of pores at the grain
4 boundary (**Fig. 7a**). Therefore, sintering of Mg-, Y-, and La-doped submicron alumina was
5 examined using SPS [166] which successfully suppressed grain growth. The microstructures of
6 sintered samples at 1350 °C with Mg, Y, and La as doping agents are shown in **Fig. 7b**, **Fig 7c**,
7 **and Fig. 7d**, respectively. Compared to single doping techniques, co-doping each pairing often
8 improves the transparency by lowering the grain size [166]. Triple doping with Mg, Y, and La in
9 equal proportions resulted in the highest real inline transmittance of 57%. Such high transmittance
10 coupled with very fine grain size is made possible with SPS and needs high sintering pressures
11 and low sintering temperatures. The findings of this work should pave the way for more research
12 and development of transparent polycrystalline alumina generated by fast sintering methods such
13 as SPS. Polycrystalline Al₂O₃ ceramics prepared by pressureless pre-sintering followed by HIP at
14 198 MPa for 3 hours between temperature range from 1190 °C to 1295 °C revealed an in-line
15 transmission of up to 70.4% at a wavelength of 632.8 nm for 0.8 mm sample thickness [165].
16 Al₂O₃ ceramic was doped by ZrO₂ (0.3 wt%) and MgAl₂O₄ (0.175 wt%) by gel-casting and then
17 sintered. Grain size refinement in the completely dense alumina structure is observed in **Fig. 7e**.
18 A similar method, i.e., pre-sintering followed by HIP, was also followed for Al₂O₃ with 0.03 wt%
19 MgO and 0.2 wt% ZrO₂ which resulted in 60% in-line transparency at 650 nm wavelength for 0.8
20 mm sample thickness. The sintered sample had a grain size of approximately 0.5 μm (**Fig. 7f**) and
21 99.9% relative density with 20–21 GPa hardness. The developed TC (600–700 MPa four-point
22 bending strength and 750–900 MPa three-point bending strength) is suitable for scratch-resistant
23 windows and transparent Al₂O₃ armour. Therefore, it is possible to fabricate sophisticated hollow
24 components and big flat windows with good wear resistance properties of sintered and HIPed
25 Al₂O₃ using Al₂O₃ powder and a gel-casting method.
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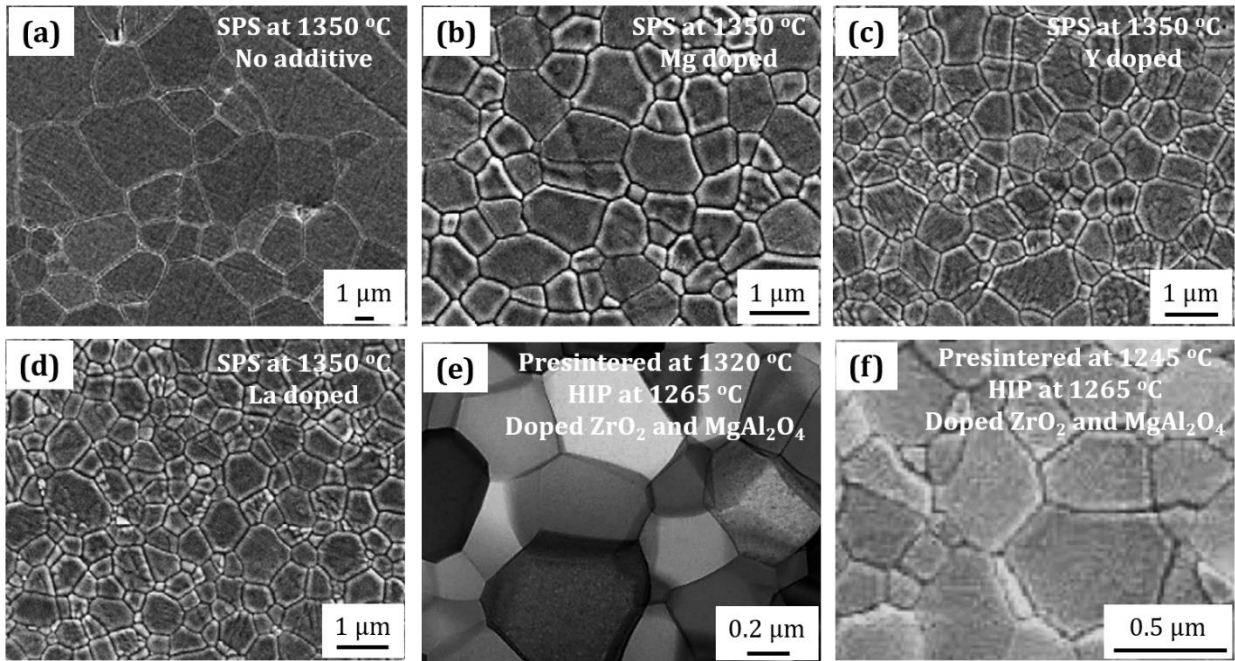


Fig. 7: Microstructure of Al_2O_3 obtained via SPS at $1350\text{ }^\circ\text{C}$ a) pure and doped with b) Mg, c) Y and, d) La [166], or e) doped by ZrO_2 and MgAl_2O_4 , [165] and f) by ZrO_2 and MgO , presintered and HIPed [39]

Transparent Al_2O_3 ceramics have been produced (flat windows 0.8 mm thick) with real in-line transmittance of nearly 60% with submicron grain size (0.4-0.6 μm), high hardness (HV10 20-21 GPa), and strength (four-point bending 600-700 MPa; three-point bending 750-900 MPa) [39]. It was found that doped Al_2O_3 transparent ceramic prepared using SPS technique resulted in higher transparency than pure Al_2O_3 [166,167]. However, Al_2O_3 transparent ceramic, doped with aluminium ethoxides, prepared using SPS technique results in higher transparency than pure Al_2O_3 [166]. It was investigated that doping with aluminium ethoxide (0.1-1 wt%) leads to form α - Al_2O_3 nanoparticles, which minimizes grain growth and changes the sintering mechanism from volume to grain boundary diffusion. The nucleated particles, which provide the energy barrier during sintering, block grain reorientation required for the diffusion of the grains during sintering. α - Al_2O_3 nanoparticles at the grain boundaries of the Al_2O_3 thus decrease the grain mobility and, in turn, prevent the joining of the grains. Due to the steric impediment provided by the α - Al_2O_3 nanoparticles and reduction in the grain size of the TC, it is concluded that the addition of aluminium ethoxide to Al_2O_3 reduces the wear resistance of the TC.

The optical, microstructural, mechanical, and fracture properties of Er^{3+} doped transparent alumina produced by co-precipitation method were investigated [75]. The real in-line transmittance of Er^{3+} doped samples was in the range of 55–59% for 1 mm thickness, which

1 belongs to higher transmittance values in comparison with transmittance found in the other
2 research [39]. Segregation of Er atoms at the grain boundaries probably caused a reduction of
3 grain boundaries mobility, which led to decreasing the mean grain size (0.33–0.35 μm) compared
4 to undoped alumina. The Vickers hardness of the Er^{3+} doped alumina was measured at different
5 loading conditions, achieving 26.9 GPa at 10 N load. The fractography analysis showed a higher
6 transgranular character of fracture surface in Er^{3+} doped alumina compared to undoped alumina,
7 confirming the enhanced grain boundary cohesion due to Er doping. The indentation fracture
8 toughness of Er^{3+} doped alumina was in the range 2.1–2.2 $\text{MPa}\cdot\text{m}^{0.5}$. Therefore, Er^{3+} doped Al_2O_3
9 is a suitable combination of superior optical and mechanical properties [75].

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15 It is concluded that transparent Al_2O_3 ceramics' optical and mechanical qualities rely on grain
16 size and residual porosity. Various methods have been used to manage grain size and porosity.
17 The mechanical strength and optical transparency of transparent Al_2O_3 ceramics were significantly
18 improved with a decrease in grain size of TC. Al_2O_3 exhibits a Vickers micro-hardness of HV10
19 GPa to HV 27 GPa (at 10 kgf load). When the grain size of sintered alumina is reduced to the
20 submicron range, a considerable improvement in hardness is produced. The fine grain size is
21 accompanied by a high relative density and a low frequency of defects. Dopants like Mg, Y, La,
22 ZrO_2 , and MgO significantly improved the mechanical properties of the TC by altering the
23 microstructure. Er atoms on the grain boundary causes a reduction of grain boundaries mobility,
24 which led to decreasing the mean grain size. The wear resistance of transparent Al_2O_3 increases
25 by strengthening grain boundaries, providing micro-mechanical stability. During scratch test,
26 crushing, cracking, and delamination of the TC occurs. Self-doped Al_2O_3 transparent ceramic
27 prepared using SPS technique provided higher transparency than pure Al_2O_3 . Such high
28 transmittance is only possible with SPS and needs high sintering pressures and low sintering
29 temperatures. The Vickers hardness of the Er^{3+} doped alumina was one of the hardest (26.9 GPa
30 at 10 N load) materials in this category.

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47 Yamaguchi and Yanagida discovered aluminium oxynitride (AION), a spinel structure in the
48 pseudo binary $\text{Al}_2\text{O}_3\text{-AlN}$ system [168]. Based on tests and theoretical calculations, the most
49 acceptable model is McCauley's constant anion structural model with the formula $\text{Al}_{(64+x)/3}\text{V}_{(8-x)/3}\text{O}_{32-x}\text{N}_x$
50 (where V refers to cation vacancies and $2 \leq x \leq 5$) [24]. McCauley was the first to use
51 reactive sintering to create translucent AION ceramics using Al_2O_3 and AlN as raw materials[68].
52 Ish-Shalam [169] then adopted a carbothermal reduction and nitridation process to synthesize
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1 AION powder, which was then used to make translucent AION ceramics. Raytheon [27] developed
2 and commercialised large-scale transparent ceramics with exceptional optical quality over several
3 decades. The transmission range of AION extend from 0.2 μm in the UV through the visible to
4 6.0 μm in the infrared, which makes it a very useful material for many optical applications
5 [168].The high strength and high hardness make AION an ideal material for transparent armor
6 products [170]. Transparent AION exhibit superior slow crack resistance relative to fused silica
7 [22] and has a potential in spacecraft window systems [77]. Transparent windows of AION are
8 produced in various sizes ranging from 8 to 24 inches and even greater. AION has similar
9 mechanical and thermal properties to that of polycrystalline alumina. Because of superior
10 mechanical, tribological, and optical properties Raytheon Company (now Surmet) has used AION
11 for IR domes for missiles for many years. Compared to Al_2O_3 , AION has the advantage of cubic
12 crystal structure and thus of optical isotropy.
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21 Among the various types of processes to manufacture transparent AION, the typical method of
22 pressing has been widely employed due to its simplicity, time-saving, and low cost [171]. The
23 manufacture of highly transparent aluminium oxynitride (AION) ceramics in a variety of sizes and
24 forms was accomplished using non-aqueous tape-casting forming method [123]. The tape-casting
25 process employs both warm and cold isostatic pressing, which improves the microstructure and
26 density of the AION green tapes. This technique was used to obtain transparent AION ceramics
27 with pressureless sintering at temperature of 1800°C for 8 hours [123,160]. The finished product
28 has a transmittance of 84 % at wavelength of 2000 nm. AION has hardness and fracture toughness
29 value of around 18 GPa and 1.4 $\text{MPa}\cdot\text{m}^{0.5}$, respectively. The improvement in these mechanical
30 properties result in good wear resistance resulting enhanced tribological properties. The
31 microstructure of AION is shown in **Fig. 8a**.
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41 Bodhak et al. [28] studied in vitro tribological properties of transparent AION ceramics for implant
42 applications. Tribometric tests with 3 mm Al_2O_3 ball performed in a simulated body fluid at 37 °C
43 provided wear rate of the order of $10^{-6} \text{ mm}^3 \text{ Nm}^{-1}$, much lower compared to Al_2O_3 on Al_2O_3 or
44 ZrO_2 on ZrO_2 reported in other publications [89,172,173]. A linear-reciprocating ball on a disc
45 testing tribometer was used for all the experiments. A linear oscillatory motion of 10mm was made
46 with a constant load of 20N and a constant speed of 5000 mm/min with Al_2O_3 as a counter body.
47 Wear rate for distances of 1000 m and 3000 m was $12.5\pm 2.16 \times 10^{-6} \text{ mm}^3 \text{ Nm}^{-1}$ and $10.1\pm 0.27 \times$
48 $10^{-6} \text{ mm}^3 \text{ Nm}^{-1}$, respectively. Volume loss for similar distances was estimated to be 0.250 ± 0.01
49 mm^3 and $0.606\pm 0.03 \text{ mm}^3$, respectively. Hardness and friction coefficient value of fabricated
50 MgAl_2O_4 sample was $15.14\pm 0.46 \text{ GPa}$ and 0.46 ± 0.02 .
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The tribological behaviour of AlON depends on the sintering additives. Zhao et al. [174] added nano-sized silicon carbide (SiC) and zirconia (ZrO₂) particles to AlON produced by hot-press sintering, which significantly increased the mechanical characteristics (relative density, microhardness, Young's modulus, flexural strength and fracture toughness) than AlON fabricated without additive. Zirconium nitride (ZrN) phase was observed to have formed as a result of the addition of nanoparticles during sintering. The majority of the SiC and ZrN nanoparticles were positioned at the grain boundaries of micro-scale AlON particles and limited the expansion of AlON grains owing to the pinning action of SiC and ZrN nanoparticles, as shown in **Fig. 8b**. It causes the reduction in the grain size in nanoparticulate reinforced composites. The reinforcement and grain size reduction can reduce the crack propagation and the wear of the TC.

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Liu et al. [175] fabricated transparent MgAlON ceramic with the composition Mg_{0.27}Al_{2.58}O_{3.73}N_{0.27} exhibiting a maximum transmittance of 84% by pressureless sintering of fine single-phase powders. The sintered sample exhibits excellent in-line transmittance, which is due to the high density and the rare pores coupled with a high purity, as shown in **Fig. 8c**. Transparent MgAlON ceramic exhibits a broad transparency range from 0.22 to 6.24 μm, excellent mechanical properties, dielectric constant of 9.19 MHz at 1 MHz, and thermal conductivity of 8.16 Wm⁻¹K⁻¹ at 32°C. The hardness of MgAlON (13±0.18 GPa) was found higher than MgAl₂O₄ (12-15 GPa) but lower than γ-AlON (~15 GPa). The fracture toughness of MgAlON (2.46±0.30 MPa.m^{0.5}) was higher than MgAl₂O₄ (1.5-2.2 MPa.m^{0.5}) and similar to γ-AlON (~2 MPa.m^{0.5}) [160,175]. The physical properties of AlON seems to be influenced by the simultaneous introduction of Mg in cation sites and N in anion sites. Ma et al. [176] synthesized MgAlON powder at 1600 °C for 2 h via the carbothermal reduction and nitridation method. The obtained MgAlON powder is crystalline and exhibits a spinel structure. It shows high sinterability, with a bimodal particle size distribution at 0.3 μm and 0.9 μm. The microstructural sintering evolution showed that significant densification occurs at the temperature range of 1600–1700 °C, and rapid grain growth appeared at higher temperature (≥ 1750 °C). Pressureless sintering with additional holds at the intermediate temperature regions (1650–1700 °C) was effective in controlling the microstructure with reduced porosity, which in turn improved the light transmittance. Three samples were prepared at different sintering temperature, shown in **Fig. 8d**, **Fig 8e**, and **Fig 8f**. A highly transparent MgAlON ceramic was prepared by pressureless sintering at 1700 °C for 2 h and then at 1850 °C for 20 h, which exhibits excellent transmittance from the visible to middle IR regions (82.37 % at 0.60 μm and 86.59 % at 3.70 μm). The Vickers hardness and flexural strength are ~ 13.5 GPa and ~ 246 MPa, respectively.

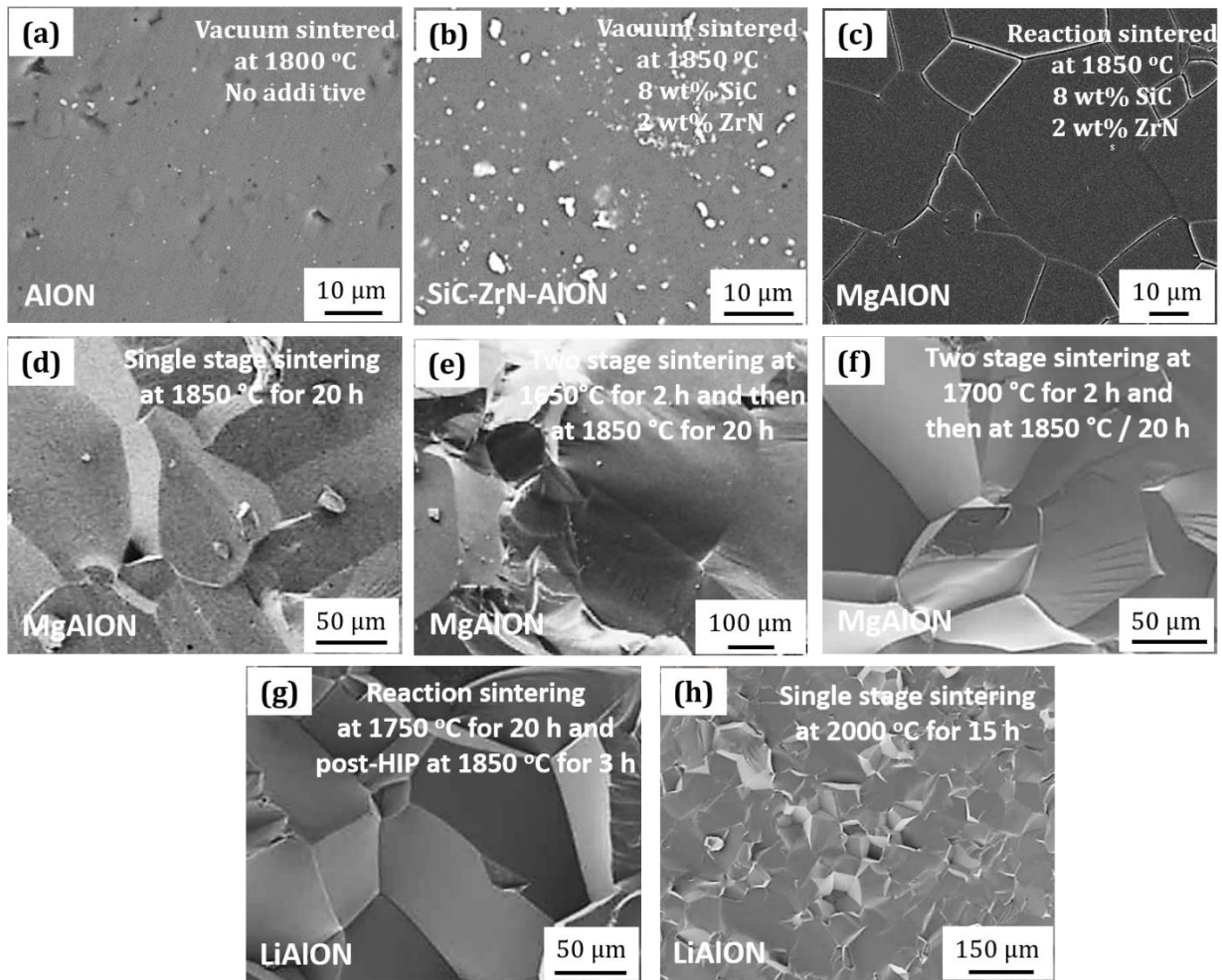


Fig. 8: Microstructure of a) pure AlON [174] b) SiC-ZrN-AlON composite [174] c), d), e) MgAlON [175,176], f), and g) LiAlON [177,178]

Highly transparent LiAlON ceramic was successfully manufactured by Zhang et al. [177] via reaction sintering (1750 °C, 20 hours) of AlN-Al₂O₃-LiAl₅O₈ composite and post-HIP (1850 °C, 3 hours, 180 MPa). Al₂O₃ acts as secondary phase in LiAlON. Transparency of 85.5% at 3.7 μm wavelength was achieved thanks to a complete pore removal (Figure 8g). LiAlON has a potential of high wear resistance, as it exhibits high flexural strength and Vickers hardness (303 MPa and 15.06 GPa, respectively). Clay et al. [178] also fabricated transparent LiAlON by pressureless reaction sintering at sintering temperature 1800 °C and HIPing at 2000 °C at 207 MPa for 2 hours (Figure 8h). In addition, they also show that transparent LiAlON is obtained by pressureless sintering, without HIPing, if annealed at 2000 °C for 10-15 hours. The hardness of LiAlON obtained with the two processes was 17 GPa with 1 kg load Vickers indent. High optical transmittance and good mechanical properties of LiAlON, along with its comparatively low

processing temperature, make it a viable material to compete with MgAlON and AlON. Table 3 shows different mechanical and optical properties of transparent AlON.

Table 3: Mechanical and optical properties of different AlON-based ceramics.

Material	Vickers hardness (GPa)	Flexural Strength (MPa)	Grain size (μm)	Transparency
AlON [179–181]	17	-	-	~80% (at 2.5 to 4 μm)
AlON [174]	13.78 \pm 0.21	296 \pm 63	~5	-
AlON [28,160]	~15	~310	~200	~80% (2.5-4 μm)
LiAlON [177]	15.06 \pm 0.20	303 \pm 8	~120	~85.5 % (at 3.7 μm)
LiAlON [178]	16.50 \pm 0.50	-	~80-100	~65 % (at 3.3 μm)
MgAlON [176]	13.50 \pm 0.15	246 \pm 5	100-150	~86.59 (at 3.7 μm)
MgAlON [175]	13.39 \pm 0.18	274 \pm 5	57.5	~82% (at 0.5 to 4 μm)
SiC-ZrN-AlON [174]	18.19 \pm 0.30	418 \pm 52	< 5	-

It is concluded that the presence of second phase reinforcements and a fine grain size reduce the crack propagation and the wear of the TC. Sintered specimens with porosity below 0.5-1.2% have low wear resistance and provide good tribological behaviour. This is achieved with the addition of sintering additives at low sintering temperature and high pressure. On the other hand, secondary phases reduce transparency due to scattering.

2.4 Lu_2O_3

In recent years, some researchers have validated a strong interest in the production of Lu_2O_3 TCs of high quality and large size [78,79], and their characterization [182,183], including the tribological characteristics [184]. For characterisation of tribological and mechanical properties, scratch testing is used to provide the understanding of the surface deformation characteristic and material removal mechanism of hard-brittle materials. Single nanoscratch, repeated nanoscratch, and double nanoscratch experiments of Lu_2O_3 TCs (diameter of 6 mm and a thickness of 1 mm) have been carried out on a nanoindenter [184] using Berkovich indenter's tip radius of about 80 nm. Chemical-mechanical polishing was used to polish the workpiece surface and the polished

surface has a roughness of less than 3 nm as determined by atomic force microscopy (AFM). Prediction values for penetration depth in single and repeated nanoscratch tests are very close to experiment observations, as shown in **Fig. 9a**.

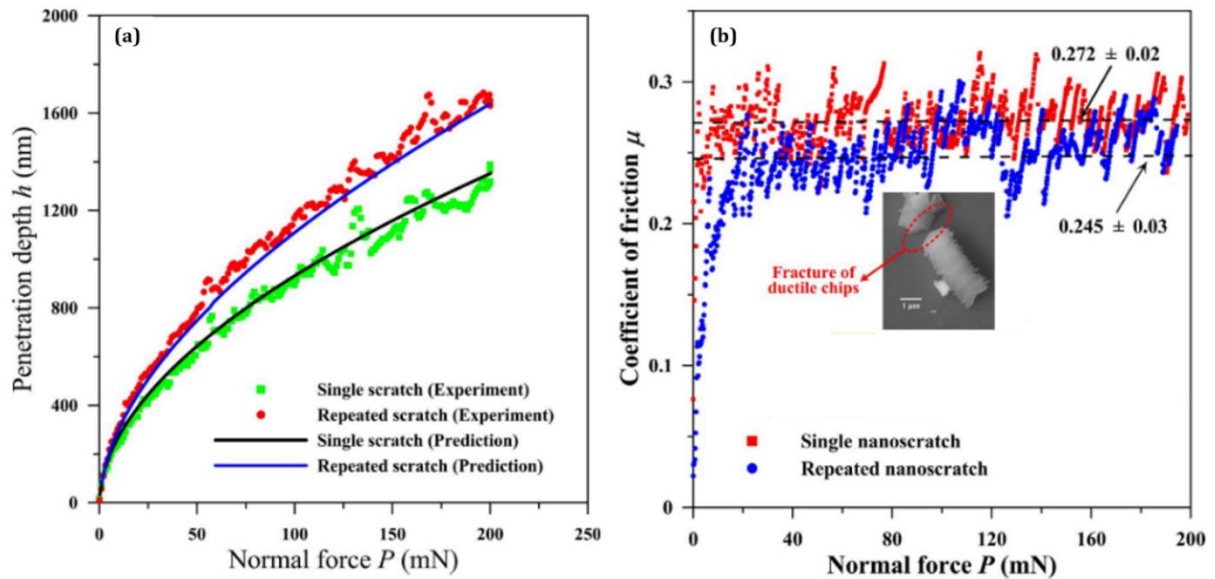


Fig. 9: Relationship of a) penetration depth vs. normal force and b) coefficient of friction vs. normal force in single nanoscratch and repeated nanoscratch tests on Lu_2O_3 TCs [184].

The coefficient of friction is generally calculated using normal force and tangential force. The relationships between the friction coefficient and normal force in single nanoscratch and repeated nanoscratch tests are shown in **Fig. 9b**. The grain boundary, chip fracture, and plastic flow lines all contribute to the variation of the friction coefficient during the nanoscratch process. In repeated nanoscratch tests, the friction coefficient is lower than in single nanoscratch tests. This is due to the reason that subsurface damage caused by the first nanoscratch modifying the mechanical characteristics of the material which in turn modify the tribological characteristics. After the first scratch, the remaining material transforms into a mixture of polycrystalline nanocrystals and amorphous material, compared to the original micron-size grains. Simultaneously, many defects, including dislocations, stacking faults, nano twins, atomic plane torsion, atomic plane fracture, and atomic plane misalignment, are generated in nanograins during the nanoscratch process. The mechanical properties of a mixture of polycrystalline and amorphous material compared with many atomic-scale flaws are different from micron-sized grains. These changes in mechanical properties result in a reduction in the friction coefficient with repeated scratching. The friction coefficient increases and then tends to be stable with the increase of the normal force.

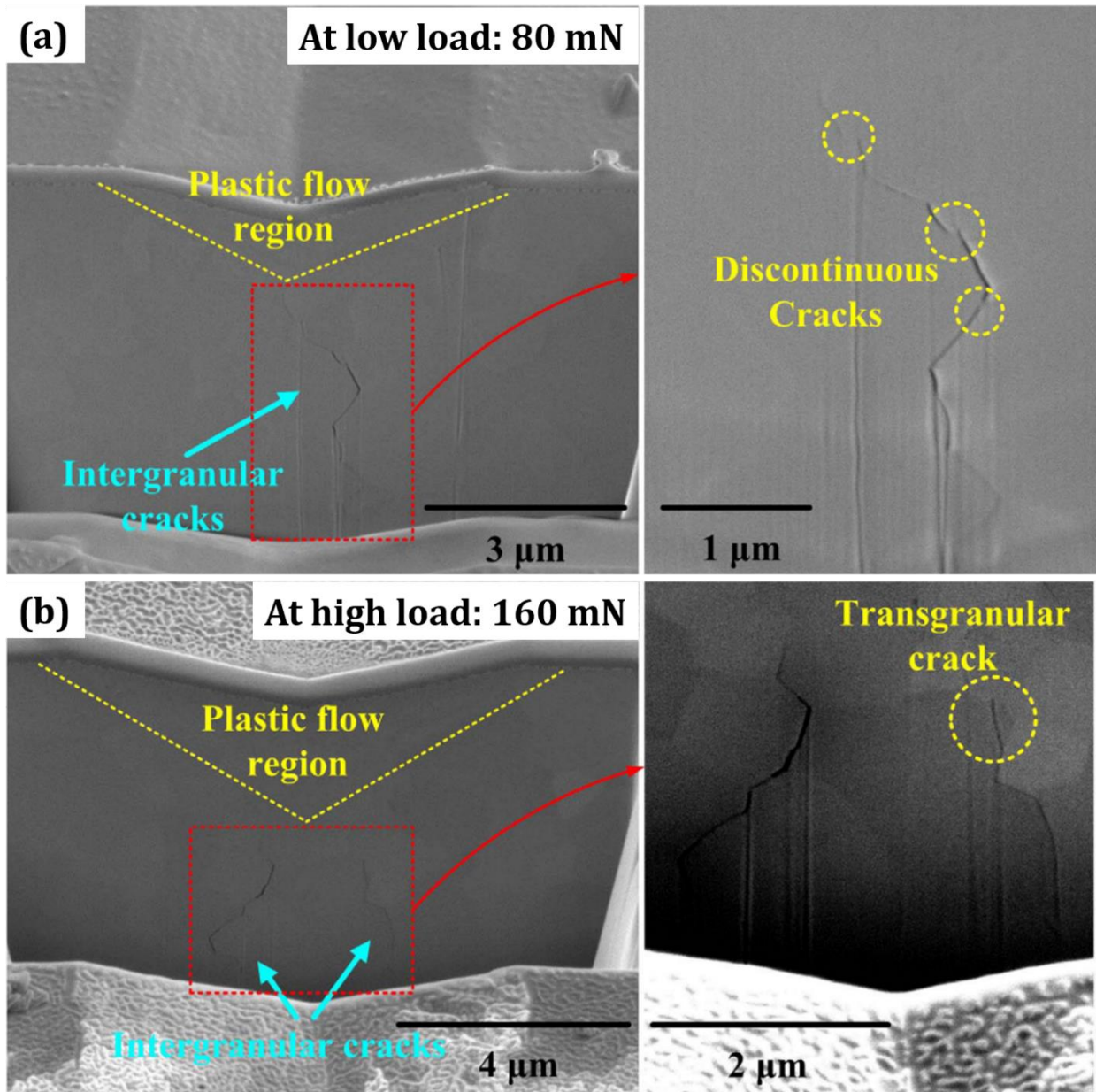


Fig. 10: Crack propagation in transparent Lu_2O_3 under a) low load and b) high load conditions [184].

The tribological behaviour of TCs depends on the load applied during wear. In a scratch test of transparent Lu_2O_3 [184] cracks were not formed under low load conditions, i.e., below 5 mN load. At higher load conditions, median cracks were formed at a deeper depth than the depth of the scratch indenter. It was observed that intergranular fracture was the most common mode of crack propagation (Fig. 10a). Additionally, the subsurface has a modest number of transgranular and discontinuous fractures (Fig. 10a and Fig. 10b). Discontinuous cracks at low load (Fig. 10a) are converted to transgranular load at high load (Fig. 10b). In TCs, grain boundaries are especially weak and the mismatch degree between neighbouring grains is high [185]. As a result, subsurface

fractures spread along grain boundaries in TCs. Lu_2O_3 powder was used for fabricating TC by SPS technique [186]. The pressure and temperature of SPS varied between 20-100 MPa and 1000°C-1600°C, respectively, with soaking time of 5-600 minutes. The microstructures were uniform, with homogeneous equiaxed polyhedral grains, and had few residual pores at the triple junctions. The concentration of pores dropped as the sintering temperature increased from 1300°C to 1500°C and then rose again above 1500°C accompanied by abnormal grain growth. The fracture surface of the TC was intergranular below 1500°C and transgranular above. **Fig. 11a, Fig. 11b, and Fig. 11c** show that an increase in sintering temperature leads to the increase in grain size of the TC, which results in a loss of transparency after attaining the maximum value. The increase in grain size reduces the hardness and fracture toughness value. It, in turn, increases the mass loss tendency upon wear test. **Fig. 11d and Fig. 11e** show the increase in the grain size of the material when Lu_2O_3 is doped with additives. Ytterbium (Yb) doped Lu_2O_3 TCs fabricated using SPS sintering technique have a smaller grain size than TC fabricated using vacuum sintering (**Fig. 11d and Fig. 11e**) [187]. **Fig. 12** shows the decrease in transparency with the rise in relative density and grain size. The small-sized grain TC is likely to perform well in the tribological application for which an optimum sintering temperature is required [186,187].

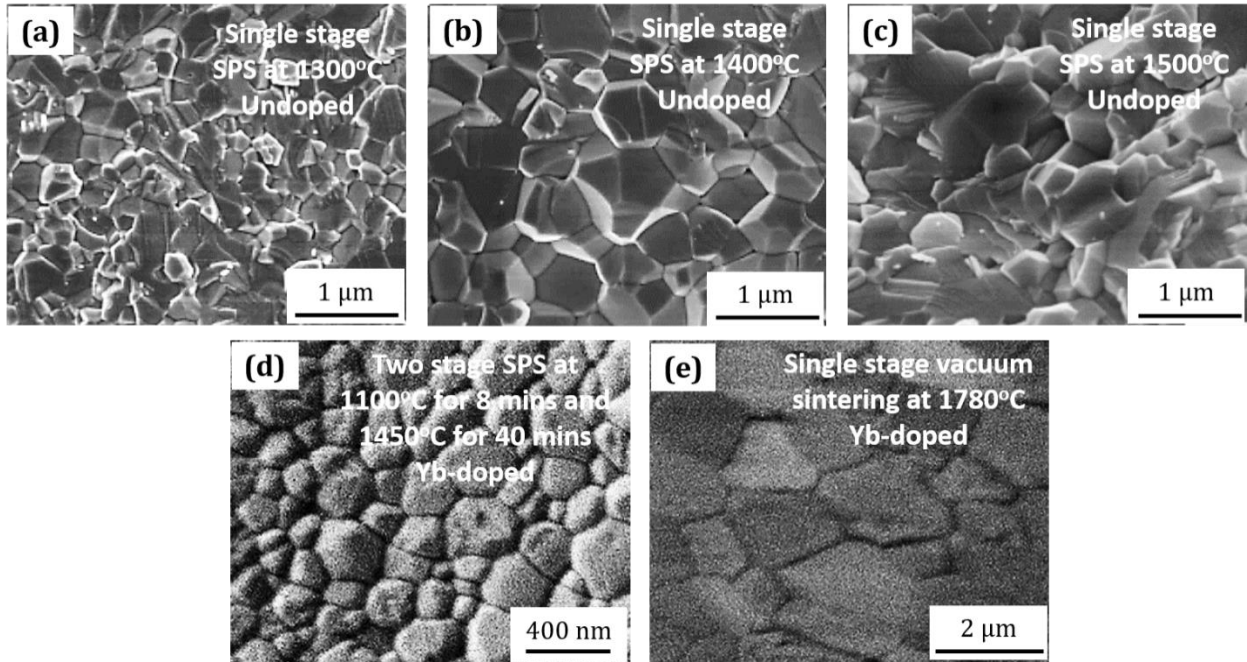


Fig. 11: Microstructure of a), b), c) undoped Lu_2O_3 sintered by single stage SPS, d) Yb-doped two stage SPS, and e) Yb-doped two stage vacuum sintering [186,187].

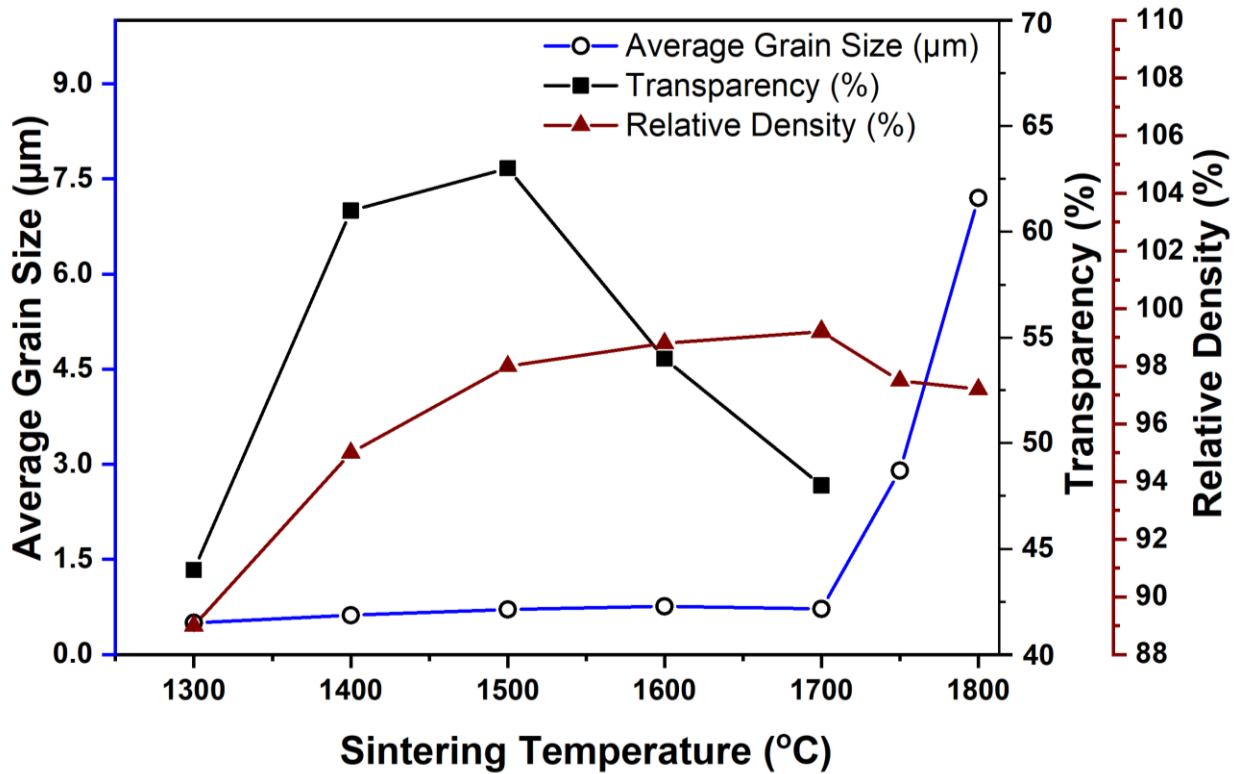


Fig. 12: Variation of average grain size (μm), transparency (%), and relative density (%) of transparent Lu_2O_3 without additive as a function of the sintering temperature by SPS [186,187].

It is concluded from the above discussion that the grain boundary, chip fracture, and plastic flow lines all contribute to the disparity of the wear behaviour during scratching. The wear of TC is a function of porosity. The porosity of the fabricated TC depends on many factors. One out of these factors is sintering temperature. The optimum sintering temperature is desirable for achieving low porosity.

2.5 Y_2O_3

Transparent polycrystalline Y_2O_3 has significant physical and chemical properties, such as low thermal expansion ($8.1 \times 10^{-6} \text{ K}^{-1}$) [188], the refractive index of 1.935 [189], high density (5.031 g/cm^3) [81], high thermal conductivity (13.6 W/m.K at 300 K) [188], a broad transparency range from violet to infrared light (0.2 to 8 μm), and high corrosion resistance. Consequently, Y_2O_3 ceramics are used as promising TCs in various applications, including high-intensity discharge lamps, infrared missile domes, gas nozzles, and heat-resistive windows [81,82]. Liu et al. [113] fabricated high-strength transparent Y_2O_3 ceramics using the SPS technique by optimizing the heating rate. The heating rate substantially affects the microstructure of transparent Y_2O_3 , which

1 affects the optical/mechanical characteristics of TCs due to reduced grain growth with the rise in
2 heating rate. SPS has effectively synthesized dense Y_2O_3 materials at lower sintering temperatures,
3 1100-1300°C and shorter sintering times [94,190,191]. As in the case of Lu_2O_3 , the final
4 microstructure depends strongly on the sintering process used, and SPS offers a faster treatment
5 at a lower temperature in comparison with vacuum sintering [192], leading thus to a finer grain
6 size.
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9 Numerous articles have been published on the production of transparent Y_2O_3 ceramics using
10 pressureless sintering in vacuum or in an H_2 environment [193–196]. Densification was facilitated
11 with decreased particle size of the powder. Jin et al. [194] reported on a vacuum sintering
12 technique for fabricating transparent Y_2O_3 ceramics using ZrO_2 doping, with varying ZrO_2
13 concentrations after 8 hours of sintering at 1860°C. The starting Y_2O_3 powder had an average
14 particle size of 2 μm , which was decreased to approximately 0.34 μm after 12 hours of ball
15 milling in ethanol. The optimal concentration of ZrO_2 was found to be 5 mol% in terms of
16 densification and reduced grain size. The good tribological properties of Y_2O_3 ceramics is
17 explained by their improved densification and inhibited grain development characteristics caused
18 by the presence of ZrO_2 . **Fig. 13a-Fig. 13d** shows SEM images of samples sintered at 1860°C for
19 8 h. No pore was seen in the sample doped with 5 mol% ZrO_2 . This reduction in porosity will
20 provide better wear resisting properties to the TC. To increase the density of TCs with high
21 transmittance, transparent Y_2O_3 ceramics are also fabricated using vacuum and air sintering in
22 combined with HIPing [197]. The results show that sintering in air in combination with HIPing is
23 similar to sintering in vacuum and HIPing for the preparation of transparent Y_2O_3 ceramics. The
24 density of the resulting air-sintered ceramics is limited to about 98–99%. HIPing is required to
25 take the ceramics to full density. Nanophase Y_2O_3 powder is simply processed into green bodies
26 with high densities. **Fig. 13e** represents SEM image of sintered and etched transparent Y_2O_3 . The
27 nanophase Y_2O_3 powder was dry-pressed in a stainless steel die under 632 MPa for 30 minutes to
28 prepare 2 mm thick green body. The green body was then sintered at 1600°C for 2 hours in air.
29 The resulting translucent ceramic of relative density of about 99.4% was polished to gain 61%
30 transparent Y_2O_3 .
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50 Recently, nanocrystalline Y_2O_3 ceramics were prepared [57] with very fine grains (20 nm) and
51 good mechanical properties (hardness 10.5 GPa, fracture toughness 2.21 $Mpa\ m^{1/2}$), although the
52 production method required very high pressure (5 Gpa). Y_2O_3 -MgO nanocomposites transparent
53 in the near-infrared light have been also produced using hot pressing [198,199] and SPS [132].
54 The production of transparent nanocomposites is a particularly interesting concept, as while the
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combination of phases with different refractive index generally does not allow reaching transparency, the scattering is limited when the grain size is significantly reduced below the wavelength of light. At the same time, such approach offers a lot of space for the tuning of effective material properties. Furthermore, Y_2O_3 nanopowder was also used a starting material to produce transparent Y_2O_3 ceramic by SPS at 1200 °C to 1600°C for 20 minutes under the pressure of 100 Mpa [200]. It was observed that an optimum sintering temperature is required for obtaining good mechanical properties while maintaining good transmittance value.

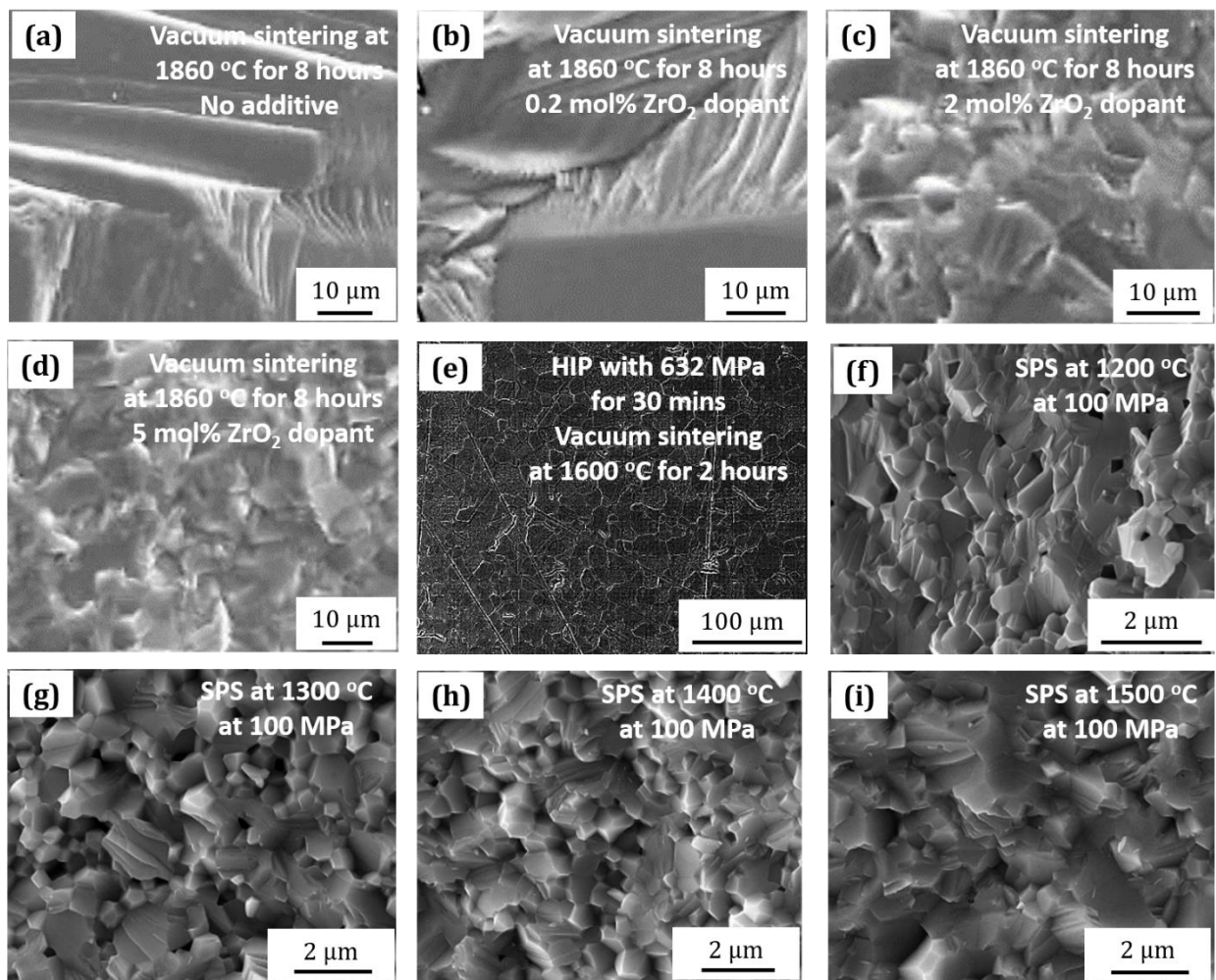


Fig. 13: Micrographs of transparent Y_2O_3 obtained a) via vacuum sintering without additive [194] b), c), d) via vacuum sintering with 0.2 mol%, 2 mol% and 5 mol% ZrO_2 dopant [194] e) via HIP followed by vacuum sintering [197], f), g), h) and i) via SPS at different temperatures [200].

Table 4: Mechanical and optical properties of different Y₂O₃ TCs.

Material	Vickers hardness (GPa)	Fracture Toughness (MPa.m ^{0.5})	Grain size (μm)	Transparency (%)
Y ₂ O ₃ [197]	7.41-7.67	-	21.5±11.1	61 at 1000 nm
Y ₂ O ₃ [200]	6.87-7.60	1.99-2.06	0.58±0.11	54 at 800 nm
Y ₂ O ₃ [57]	10.4-10.5	2.20-2.21	~0.02	81.3 at 1100 nm
Er:Y ₂ O ₃ [201]	7.23 ±0.35	0.81 ±0.07	~328	32 at 2000 nm
Er:Y ₂ O ₃ [201]	9.09 ±0.41	1.39 ±0.07	~0.34	81 % at 2000 nm

The micrographs of fracture surface of sintered samples obtained at different temperatures are shown in **Fig. 13f-13i**. The hardness of the TC increased from 6.87 to 7.60 GPa and flexural strength increased from 75 MPa to 122 MPa with increase in the sintering temperature up to 1500°C due to relative density improvement and decreased with further rise in temperature. However, the fracture toughness did not show the same trend and decreased with rise in the temperature due to the reduction in the porosity. The change in mechanical properties of the TC improve the tribological properties and need to be further investigated. **Table 4** collects the mechanical and optical properties of different transparent Y₂O₃ ceramics available in the open literature. The hardness of transparent Y₂O₃ increases with increasing heating rate and follows the Hall–Petch relationship, i.e., with grain size reduction [113]. On the other hand, the toughness is less susceptible to heating and grain size and takes on a comparable value. Therefore, the influence of heating rate on wear resistance and coefficient of friction of material requires further research.

However, it should also be kept in mind that the fracture toughness of transparent Y₂O₃ is relatively low compared to other transparent ceramics, affecting the tribological behavior. Most research has been done on TC's densification and microstructure. There is no systematic research on the correlation between the change in the microstructure of transparent Y₂O₃ and the mechanical characteristics, which improves the wear resistance of materials.

2.6 Cubic boron nitride (cBN)

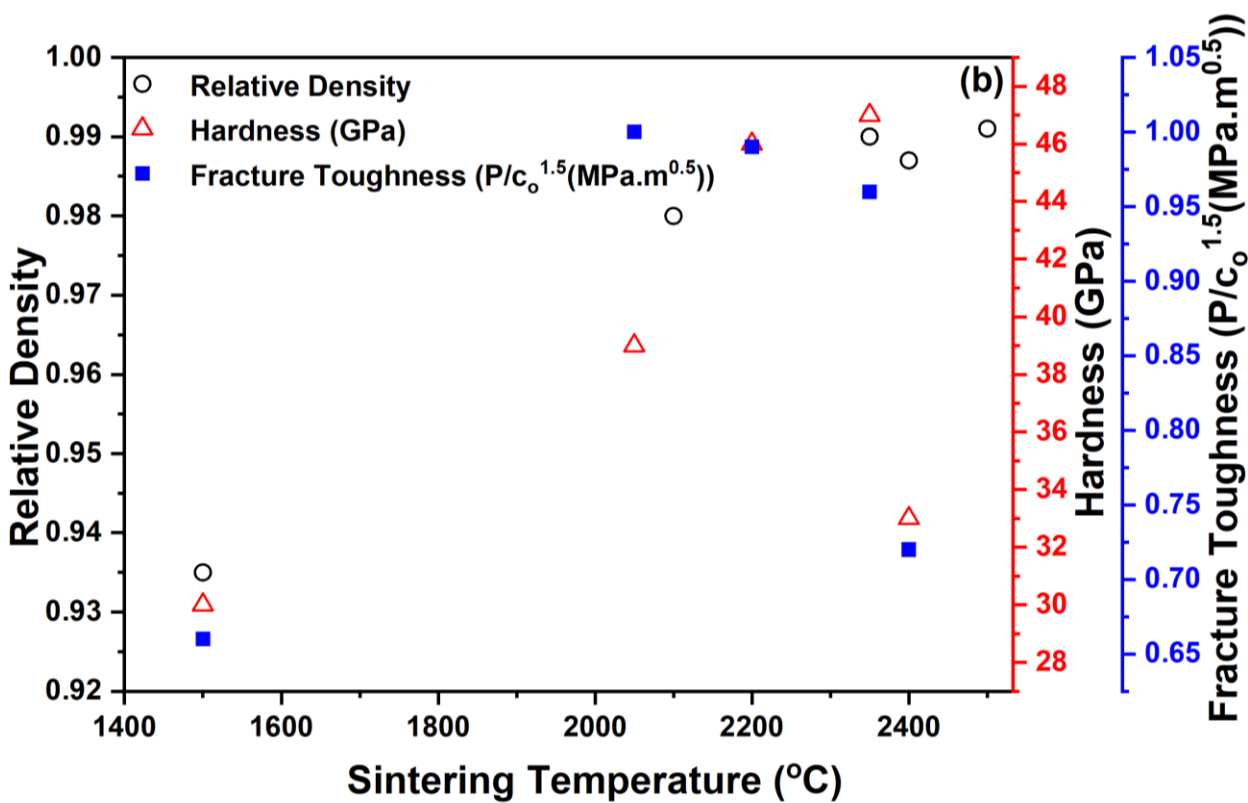
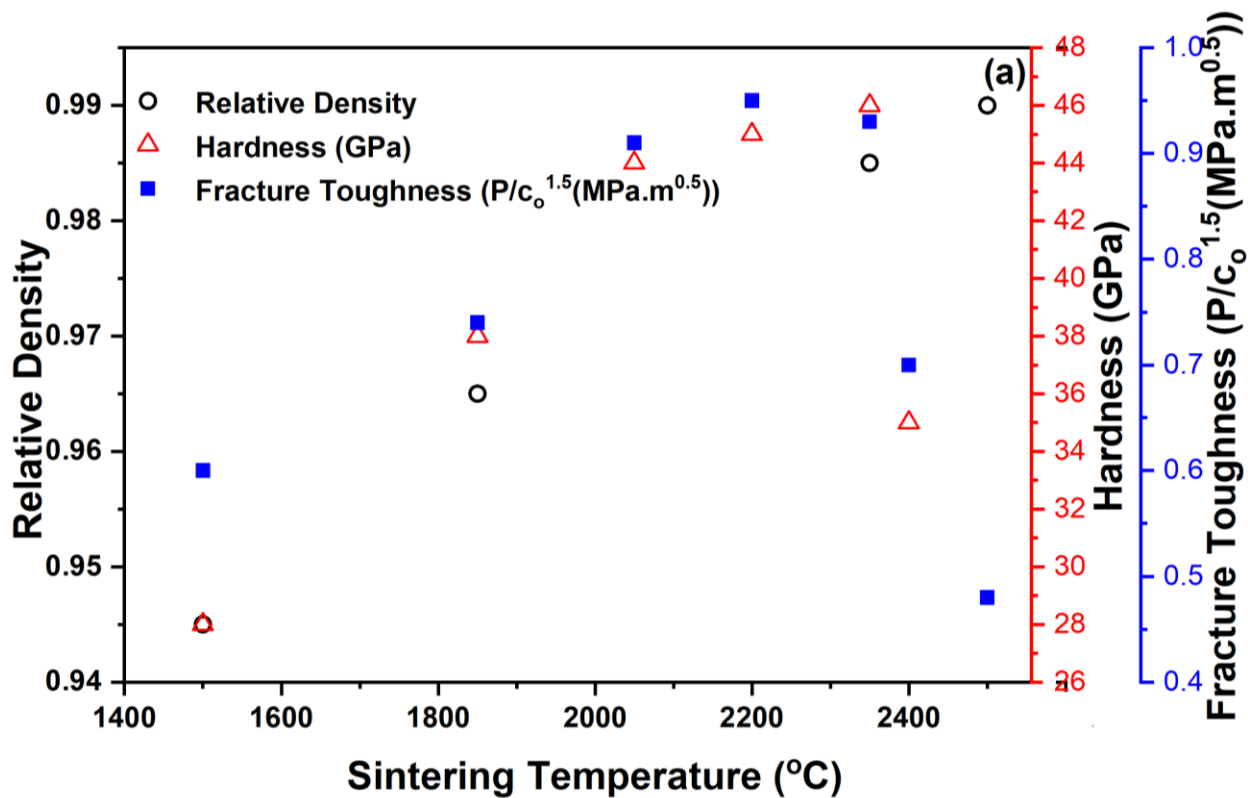
In comparison to cubic boron nitride (cBN) single crystals, polycrystalline cBN (PcBN) sintered bodies have better mechanical and tribological characteristics. PcBN was subjected to high pressure (20 GPa) and temperature (1500 °C), resulting in nanostructures with a grain size of 20 nm and hardness of 85 GPa. The Hall Petch effect, in which the mobility of dislocations is

effectively inhibited, is responsible for the increase in hardness of nanostructured PcBN to about 50-70% [202]. As a consequence of the high hardness value, there is a high wear resistance associated with it. A high wear resistance is expected considering the high hardness. Tian [109] first incorporated nano twins into nanostructured PcBN, which led to an increase in hardness to 100 GPa. Grains were equiaxed of the same size but irregular in shape. However, there is still debate regarding this outcome [203]. Conversely, the above-mentioned manufactured superhard PcBN exhibits transparent properties with a maximum transmittance ranging from 20% to 40% at 400–800 nm [110].

Taniguchi et al. [80] developed bulk single-crystal cBN that was colorless and had worthy transmission by utilizing $Ba_3B_2N_4$ as solvents. Researchers [108–110] used hexagonal BN (hBN) as a starting material for the production of superhard cBN blocks without any further additives or modifications. The fabrication requires very high sintering pressure and temperature, which modifies the phase from hBN to cBN, leading to optical transparency. For manufacturing transparent ultra-hard polycrystalline cBN with a grain size of 200 nm, a two-stage multi-anvil cell pressing was utilized in conjunction with pressures as high as 14 GPa and temperatures ranging from 1300–2000°C. Translucent polycrystalline cBN was obtained with a maximum hardness of about 69 GPa, which is almost two times harder than single-crystal [204]. cBN powders of different sizes were sintered at pressure 7.7 GPa and temperatures varying from 1500 to 2500°C [205]. It was observed that the transparency of the materials decreases if the powders is sintered between 2000 to 2500°C. The fracture mechanism for transparent cBN changed from intergranular to transgranular when the sintering temperature was increased during the fabrication of TC. The performance of the materials changed also with the initial particle size. The performance of the materials changed also with the initial particle size.

Fig. 14a, Fig. 14b, and Fig. 14c represent the variation of relative density, hardness, and fracture toughness as a function of the sintering temperature of transparent cBN with an initial particle size of 0.5-1.2, 2-4, and 8-12 μm , respectively. It depicts that with an increase in sintering temperature, the relative density increases. The value of hardness and fracture toughness of the TC first increased with an increase in particle size from 0.5-1.2 to 2-4 μm , and then decreased with a further increase in particle size from 2-4 to 8-12 μm . So, the optimum size of initial particle size is between 1-2 μm for maximum hardness and fracture toughness. Hence, the particle size affects the tribological behavior of TC as wear resistance of the sintered sample possibly increases with the increase in hardness and fracture toughness. The maximum hardness value was obtained at sintering temperature around 2350°C for all initial particle sizes. However, the maximum fracture

toughness was received for 0.5-1.2 μm , 2-4 μm , and 8-12 μm at around 2200°C, 2000°C, and 2400°C, respectively.



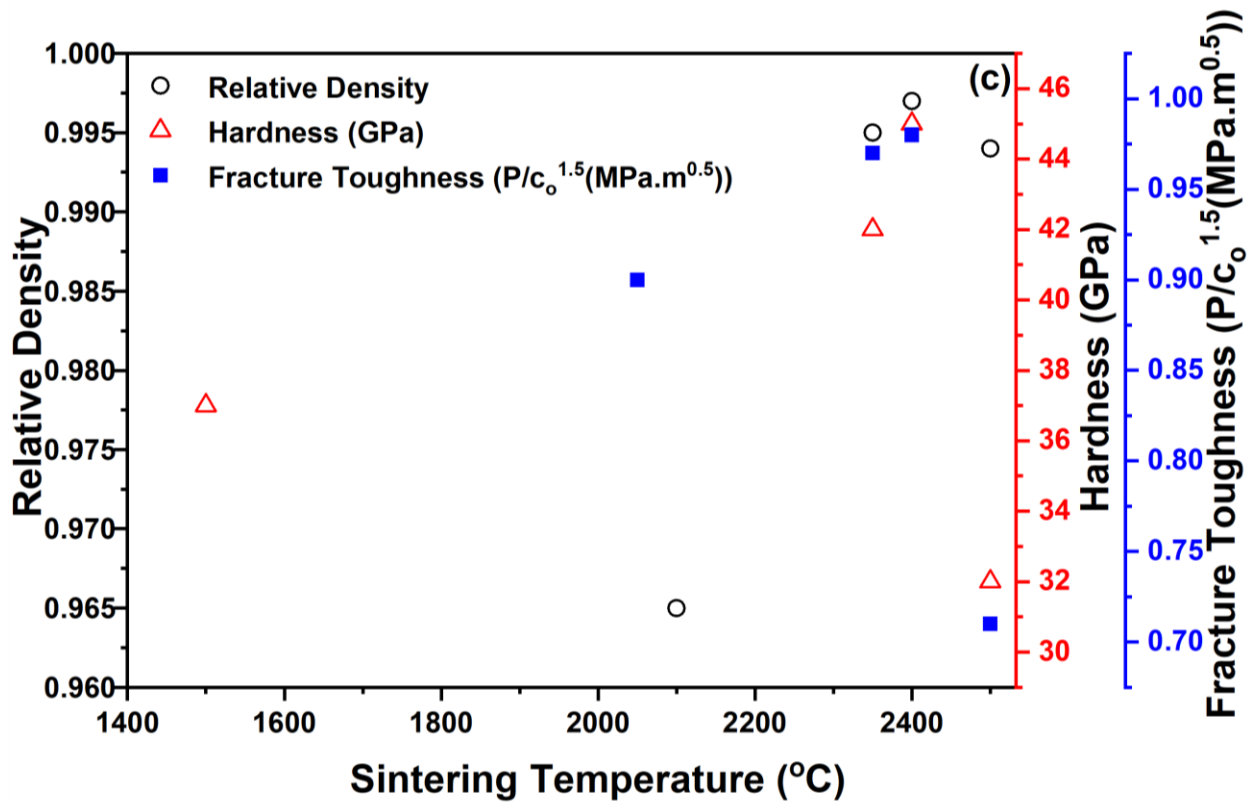


Fig. 14: Relative density, hardness, and fracture toughness vs. sintering temperature of cBN with initial particle size a) 0.5-1.2 μm b) 2-4 μm , and c) 8-12 μm [205]

Wear resistance is an essential characteristic for the use of sintered transparent cBN. To understand the wear behaviour of cBN, the machine tool made of cBN synthesized by reaction sintering were compared to other sintered tools made by vacuum sintering method. The degree of wear in the cutting edge of the manufactured cutting tools is represented by the flank wear. The relation between the amount of flank wear and the cutting time of the test specimens made of different initial particle size and the relationship between the amount of flank wear and the cutting time of the sample produced by reaction sintering were derived. Four specimen at different sintering conditions were fabricated, i.e., specimen of initial particle size of 0.5–2 μm and 2–4 μm : sintered at 2050°C and 7.7 GPa, specimen of initial particle size of 4–8 μm : sintered at 2350°C and 7.7 GPa, and specimen obtained by reaction sintering method: synthesized at 7.7 GPa and 2150°C. Machining conditions were cutting speed of 10 m/min, depth of cut 0.2 mm, and feed/rev of 0.1 mm/rev with no coolant on work material WC-24 wt.% Co alloy. According to the research findings, there was no noticeable difference between any test specimens in terms of wear characteristics. There was a significant overlap between the wear resistance of test specimens and that produced by the reaction-sintering technique. According to Hooper and Brookes [206], the

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high value of hardness is associated with the higher fraction of cBN aggregate material. It is due to the deformed cBN skeletal structure produced during the synthesis process. SEM and TEM studies of the grain and microstructure of transparent polycrystalline cBN have shown that both the Hall–Petch effect and microscopic flaws contribute to the enhanced hardness and wear resistance of the TC.

It is concluded that an optimal size of initial particle is required to develop TC with the hardness and fracture toughness needed for tribological application. The production of equiaxed grains resist the mobility of dislocations which is desired for high wear resisting TCs.

2.7 *SiAlON and Si₃N₄*

SiAlON ceramics are solid solutions of silicon nitride (Si₃N₄) containing variable amounts of additional aluminum (Al) and oxygen (O). High strength over extensive high temperatures, high thermal shock resistance, and relatively high chemical stability of SiAlON ceramics place them amongst other important engineering ceramics. Advanced sintering technologies have been used to fabricate translucent and even transparent SiAlON ceramics, demonstrating their potential as engineering ceramics [101,207]. SiAlON is structurally identical to Si₃N₄, except that Al and O, respectively, have replaced the Si and N of Si₃N₄. Sintering of Si₃N₄ powder with AlN-Al₂O₃-MO_x and AlN-MO_x systems, where M denotes Li, Mg, Ca, Y, and rare earth elements, is produce SiAlON ceramics (excluding La, Ce, Pr, and Eu) which are transparent and suitable for tribological applications. The translucent α -SiAlON ceramics stabilized with metal cations, such as Nd³⁺, Y³⁺, Gd³⁺, Dy³⁺, Yb³⁺, Lu³⁺, etc. have been developed with high transmittance in the infrared region [84–86].

Silicon Nitride (Si₃N₄) is an extensively used ceramic material with numerous industrial applications. Atoms of silicon and nitrogen of Si₃N₄ have strong covalent bonds and hence, it exhibits poor atomic mobility and high resistance to deformation. Usually, two polymorphs of Si₃N₄ (α and β), having silicon atoms tetrahedrally coordinated by nitrogen atoms, exist at wide temperature range: a low-temperature α -Si₃N₄ whereas and high-temperature β -Si₃N₄. A third polymorph (γ -Si₃N₄) was obtained at high pressure (~13 GPa). It has a cubic structure with two-thirds of silicon atoms octahedrally placed and one-third of silicon atoms tetragonally placed. It has a very high density and closer atomic packing and good transparency in the visible range. However, so far the production of larger bodies was not demonstrated Si₃N₄ is one of the most successful ceramic materials for spacecraft applications [208,209] because of its mechanical strength and good wear resistance in harsh environments that include constant rain and extreme

thermal shock [210,211]. In addition, the bending strength and hardness of 100 % dense pure Si_3N_4 developed without additives are almost temperature independent from room temperature to 1500 °C [212]. It makes Si_3N_4 an appealing material for high-temperature tribological applications [213]. Si_3N_4 exhibits properties such as high flexural strength, good wear resistance, corrosive resistance, fracture resistance, creep resistance, and high hardness. The optical properties of Si_3N_4 are of enormous interest over decades [214]. Si_3N_4 is transparent in the IR range, but the transparency in the visible range is usually limited. Many researchers have developed transparent Si_3N_4 by different techniques with appreciable transparency and improved mechanical and tribological properties. Some researchers have recently shown an interest in preparing translucent ceramics [86,215–219]. Pechenik et al. [220] used a laser-driven gas-phase reaction between silane and ammonia, followed by diamond cell pressing at 100 GPa and sintering at 1000-1400°C, to create translucent Si_3N_4 .

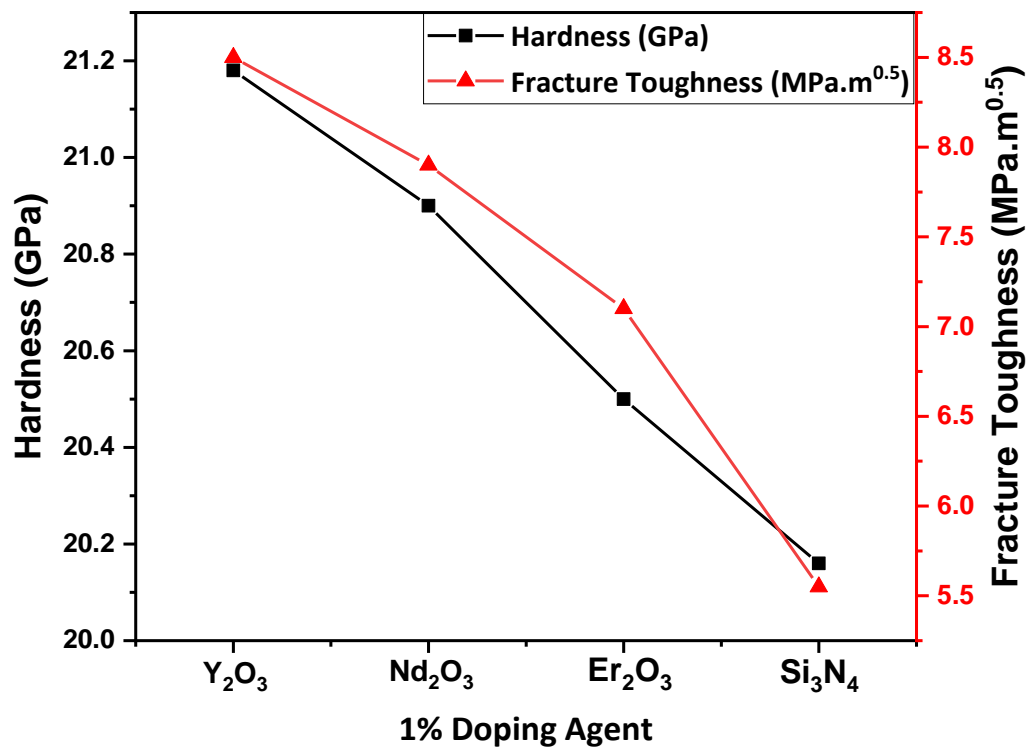
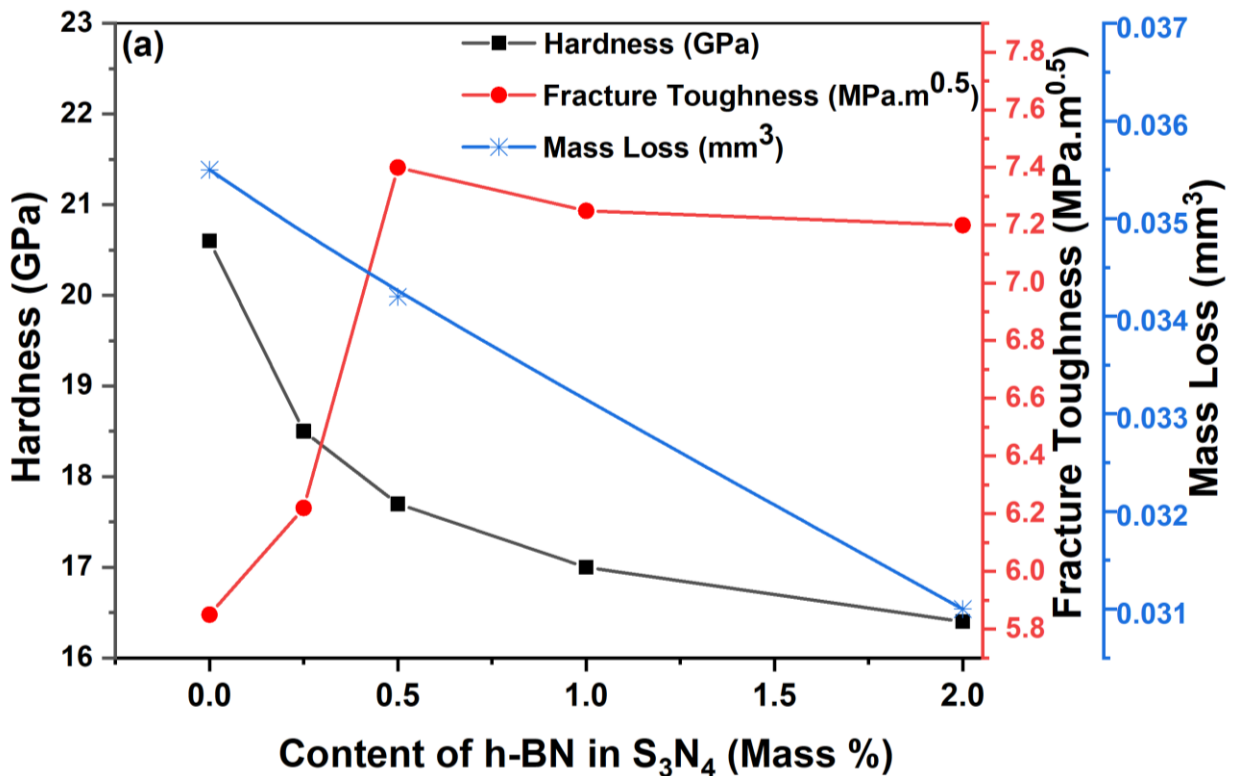


Fig. 15: Doping agent vs. Hardness and Fracture Toughness in Si_3N_4 .

Doping agents in Si_3N_4 ceramics affect sliding wear characteristics. Joshi et al. [65] used Y_2O_3 , Er_2O_3 , and Nd_2O_3 doping agents and developed transparent Si_3N_4 ceramics with 3 wt.% MgO , 9 wt.% AlN , 87 wt.% $\alpha\text{-Si}_3\text{N}_4$ and 1% doping agent by hot press technique with a sintering temperature of 1850 °C and a pressure of 30 MPa. The addition of a 1 wt.% doping agent increases hardness and fracture toughness, as shown in Fig. 15. Y_2O_3 results out to be one of the most

successful doping agents in increasing the hardness and fracture toughness and reducing friction and wear of Si_3N_4 , thus improving its tribological behavior. This increase in the mechanical properties reduce the wear of Si_3N_4 at room and elevated temperatures and enhance tribological behavior [61,62]. Translucent single-phase $\text{Li-}\alpha\text{-SiAlON}$ ceramics were successfully developed using SPS at a heating rate of $100\text{ }^\circ\text{Cmin}^{-1}$ for 5 minutes at 1750°C . The results indicate that SPS significantly retards the volatilization of Li_2O due to the quick consolidation process. Within a few minutes, $\text{Li-}\alpha\text{-SiAlON}$ densification is efficiently accelerated. The resulting sample exhibited a reasonably high infrared transmittance of 57% at $1.4\text{ }\mu\text{m}$, which is attributed to the dense, homogeneous, and equiaxed-grain microstructure with low amount of intergranular glassy phase. The density, hardness, and fracture toughness of the sintered sample were 3.12 gcm^{-3} , $20.1\pm 0.2\text{ GPa}$, and $3.0\pm 0.1\text{ MPa}\cdot\text{m}^{0.5}$, respectively. These improved mechanical properties show that $\text{Li-}\alpha\text{-sialon}$ has the potential to be used as a high wear resistance TC [217]. When in the fabrication of transparent Si_3N_4 , $\alpha\text{-Si}_3\text{N}_4$ transforms to $\beta\text{-Si}_3\text{N}_4$, lower tribological properties are achieved along with low transparency. To enhance the formation of the α phase of the material and suppress that of the β phase, MgO-AlN spinel was used as a sintering additive, reducing the material fracture toughness [221]. To enhance the material fracture toughness, h-BN was used as the sintering additive [90], as it resists the crack propagation by forming a composite material. The wear volume decreased due to the reduced grain pull-out of h-BN and $\beta\text{-Si}_3\text{N}_4$ and the lubrication properties of $\alpha\text{-Si}_3\text{N}_4$.



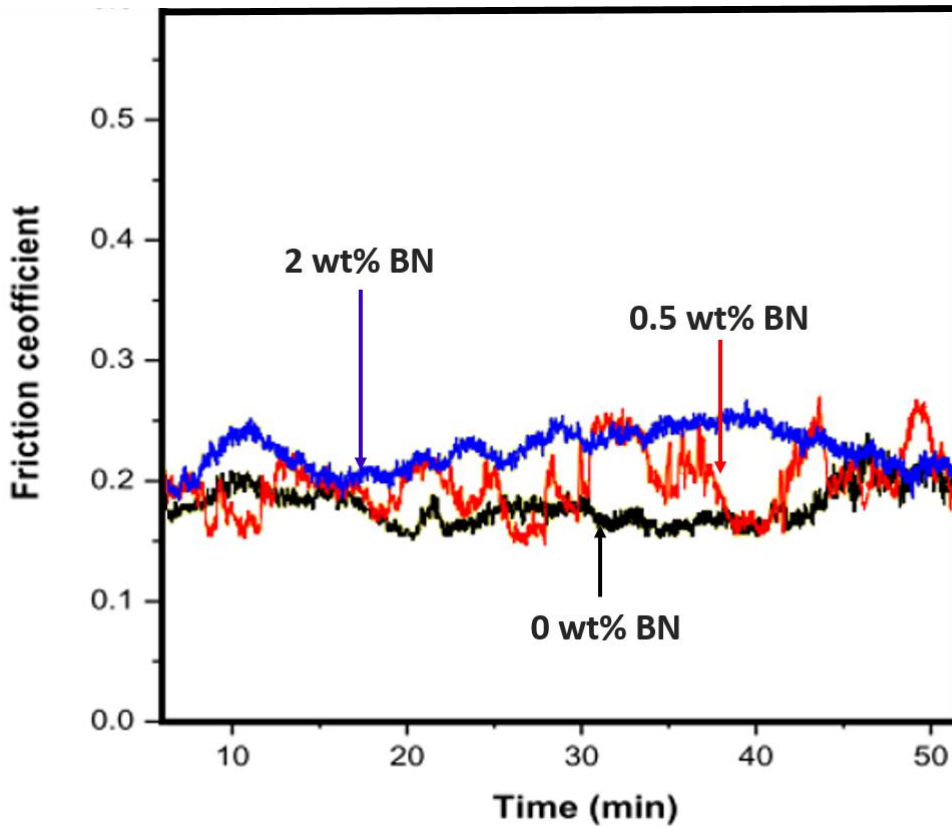


Fig. 16: Variation of a) hardness, fracture toughness, wear volume, and b) friction coefficient with different h-BN content [100].

Tribological properties, like wear volume and coefficient of friction, decreased with an increase in h-BN content (0 mass % to 2 mass %), as shown in **Fig. 16a** and **Fig. 16b** (redrawn), respectively [100]. The addition of h-BN to Si_3N_4 improved the fracture toughness but reduced the hardness of the transparent ceramic. The fracture toughness of this TC first increased and then slightly decreased with the increase in amount of h-BN to Si_3N_4 . Joshi et al. [37] examined the hot-pressed samples of the mixture of $\alpha\text{-Si}_3\text{N}_4$, AlN, MgO, and h-BN sintered at 1850°C and 30 MPa, respectively. It was observed that h-BN was dispersed in $\beta\text{-Si}_3\text{N}_4$ after sintering. With the increase in the sintering aid, i.e., h-BN (0.25 to 2 wt%), the density, flexural strength, hardness, and transparency of the material decreased, while the wear resistance and fracture toughness of the TCs was enhanced. With the addition of h-BN, density of the material decreases due to porosity, compromising also transparency. But the fracture toughness of the material with BN content is higher than that without BN content due to the resistance to crack propagation provided by BN content. Also, the fracture toughness increases after adding a small amount (0.25 to 2 wt%) of BN to Si_3N_4 . Conversely further addition of BN content showed no further improvement of fracture toughness [37].

Many researchers recommended the fabrication of fine grain-sized α -SiAlON TC as it increases the density of the material. High density and small grain sizes TC provide good tribological properties. Su et al. [101] also commented that α -SiAlON has high optical transmission in visible and IR regions. Besides, the addition of Dy₂O₃, AlN, and Al₂O₃ in α -Si₃N₄ exhibited high hardness and high fracture toughness. Yang et al. [222] examined the effect of mixing different amounts of AlN-MgO, AlN-Y₂O₃, and Al₂O₃-Y₂O₃ systems in Si₃N₄ and observed that the addition of a low amount of sintering aids to Si₃N₄ leads to the formation of β -Si₃N₄, increases the transparency and leads to fine structure, which was opposite to previous results. It is concluded that transparent SiAlON and Si₃N₄ exhibits different tribological properties and transparency by varying the amount of sintering additives. Transparent Si₃N₄ is one of the best transparent materials that can be used for high temperature tribological applications due to its high temperature metastability.

3. Effect of microstructure and mechanical properties

Microstructure (such as pores, grain size, and grain boundaries) of TCs affects their fracture toughness and hardness. In response to the change in the mechanical properties of the TCs then affects the tribological performance of the material [73,223,224]. It was revealed that TCs have a higher hardness and lower mass loss compared to all types of glasses and hence higher wear resistance. It is evident that TCs also show brittle fracture as dominant wear mechanism. To calculate sliding wear in the tribocontact of brittle materials in the absence of any layer development, a sharp indenter model and a blunt indenter model were presented. According to Marshall et al. [225], wear in brittle materials occurs as a consequence of the development and propagation of lateral cracks. For a given applied load (P), total sliding distance (S), hardness (H), fracture toughness (K_{Ic}) and elastic modulus (E), wear volume of the brittle solid (V_1) is assessed using the following equation:

$$V_1 = \alpha \frac{P^{9/8}}{K_{Ic}^{1/2} H^{5/8}} \left(\frac{E}{H}\right)^{4/5} S \quad (1)$$

where α is a material constant. When a blunt indenter is placed against a brittle solid, surface ring cracks are created. These cracks spread downward with repeated sliding contact and eventually develop into conical cracks, resulting in material pull-out [226]. With a big data set of wear data, another method for examining wear across materials and operating situations is to study correlational models against crucial material and operational characteristics [227,228]. The basic

mechanism of wear in an ideal contact model based on our current knowledge. Wear is regarded as simple asperity-scale abrasion in the mild regime. In this situation, the product of the wear depth, contact breadth, and length of sliding within each "sliding cycle" is used to estimate the wear caused by each asperity contact, as shown in **Fig. 17a**. The "sliding cycle" is defined as the entire slide distance l divided by the Hertzian contact width $2a$, i.e., $l/2a$. With these assumptions, mild wear is represented as follows:

$$\text{Wear Volume} \propto \frac{P_m N}{H_v E'} f(\text{roughness parameter}) \times l \quad (2)$$

where P_m denotes the mean Hertzian pressure, H_v denotes the hardness, N is the load, E the composite Young's modulus and f is considered as a constant in this analysis. Thus, mild wear was proportional to $P_m \times N \times l$, which was correlated to the operating parameters, and inversely proportional to the material characteristics, H_v and E' . Due to the existence of fractures, edge effects from those cracks, and third-body wear particles in the severe wear regime, the asperity contacts might exhibit different properties, as demonstrated in **Fig. 17b**. The entire volume of wear is stated as follows:

$$\text{Wear Volume} \propto \frac{\sigma_{\max}(T^*/T_o)\sqrt{d_{50}}}{K_{Ic}} \frac{N}{H_v(T^*)} l \quad (3)$$

where T^* is the nominal contact's interfacial temperature [229], T_o is the ambient temperature set to 20 °C, K_{Ic} is the fracture toughness, d_{50} is the mean grain size, and σ_{\max} is the maximum tensile stress. Archard's temperature equation [230] is used to compute the interfacial temperature (T) rise due to friction.

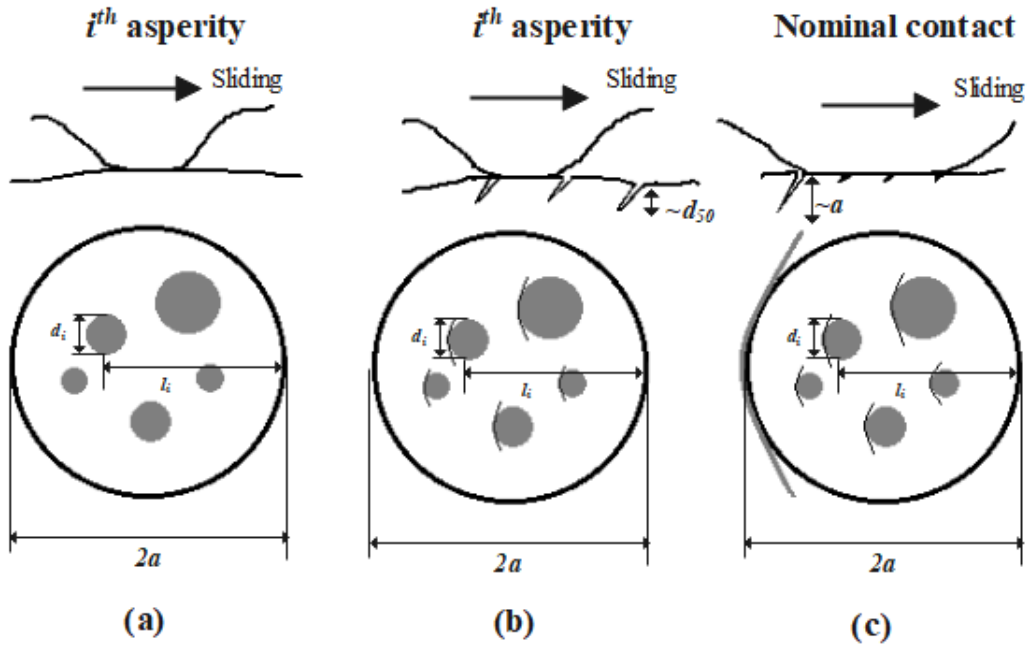


Fig. 17: Modeling wear in different wear regimes. (a) Asperity-scale abrasion in mild wear regime, (b) tensile cracks inside the nominal contact in severe wear regime, and (c) gross fracture in the nominal contact in ultra-severe wear regime [228].

Thermal shock is attributable to a temperature gradient between the hot patch and the surrounding low temperature region under an extremely severe wear regime. Because the interfacial temperature occurs in a layer with a thickness of half the Hertzian width, the wear equation in Eq. (3) is expanded by substituting a for the d_{50} term; that is, the crack length that affects the stress intensity is equal to a , as shown in **Fig. 17c**. This results in the following equation:

$$\text{Wear Volume} \propto \frac{\sigma_{\max}(T^*/T_o)\sqrt{a}}{K_{1c}} \frac{N}{H_v(T^*)} l \quad (4)$$

where cone crack length, a , is given as:

$$a = \left(\frac{\chi P}{K_c}\right)^{2/3} \quad (5)$$

Increased (T/T_o) ratio and fracture length in combination with a drop in $H_v(T^*)$ should result in a much greater amount of wear compared to corresponding to the extreme wear stated in Eq. (3).

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The scratch wear test provides evidence that TCs have higher wear resistance properties than all glasses [66]. Si_3N_4 has superior mechanical and tribological properties compared to glasses and is used in applications like armor, missiles domes, and laser windows for elevated temperatures [231]. However, Si_3N_4 is generally not transparent in the visible range. However, altering the microstructure of transparent Si_3N_4 improves the transparency and mechanical properties of the material. Joshi et al. [36] produced Si_3N_4 transparent in the IR and translucent in the visible region using hot pressing and the addition of h-BN. A small amount of h-BN led to a good densification, but as the h-BN content increased, the performance deteriorated and a higher porosity was observed. It was also found that the presence of inclusions of $\alpha\text{-Si}_3\text{N}_4$ and AlN increased the hardness the material. During the fabrication of transparent Si_3N_4 with γ -phase at high pressure (13 GPa) and high temperature (1800°C), the α -phase and β -phase of Si_3N_4 change to γ -phase [44]. The average grain size of $\gamma\text{-Si}_3\text{N}_4$ was 143 nm. The hardness and fracture toughness of TC were 34.9 GPa and $3.5 \text{ MPa}\cdot\text{m}^{1/2}$, respectively. Similar observations have been reported for other TCs [35,37,38]. High-density transparent MgAl_2O_4 with fine grain size exhibits high hardness but low fracture toughness [35].

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To improve the transparency of Al_2O_3 , a reduction in grain size is the most promising approach [23,45,163]. In the case of Al_2O_3 , an increase in sintering temperature results in grain growth which causes birefringence and reduces transparency [232]. The grain refinement of transparent ceramics is required to improve the mechanical behavior, which further enhances the tribological performance of TCs. Therefore, optimum grain size is needed for a combination of good tribological behavior of TCs with good transparency. The reduction of porosity level in TCs improves the mechanical properties and transparency of the materials, leading to a further improvement of their tribological behavior. It is important to note that the impact of even a small amount of porosity is much stronger on the optical quality than on the mechanical properties.

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Recent investigations show that the SPS is one of the best techniques to fabricate TCs as it produces small grain structures at low sintering temperatures [55,56]. Alumina ceramics with a transparency of 65.4 % and an average grain size of 200 nm were produced using high-pressure (> 400 MPa) SPS [64,67]. Nishiyama et al. [63] also developed transparent Al_2O_3 ceramics with nanocrystalline grains employing high pressure (7.7 GPa) and low temperature (800°C) SPS method. The resulting samples had a mean grain size of 0.15 μm , with a maximum in-line transmission of 71% at 640 nm for 0.8-mm thick samples. More notably, the transparent Al_2O_3 ceramics exhibited excellent mechanical properties, with average microhardness and fracture

toughness of 25.5 GPa and 2.9 MPa-m^{1/2}, respectively. So, it is concluded that TCs fabricated by SPS improve the tribological behavior.

Using HIP and a vacuum-pressure slip-casting method, Mizuta et al. [52] produced fine-grained transparent Al₂O₃ ceramics with a transparency up to 46% (1 mm thick) and bend strength of 600–800 MPa. Pre-sintering at 1240°C for 2 hours, with heating and cooling rates of 40°C/min and 5°C/min, was done followed by HIP sintering at 1050–1400°C for 1 hour at 150 MPa, i.e., 30 °C/min to 500 °C, 20 °C/min to 1050°C, and 10 °C/min to the ultimate sintering temperature. During HIP, the pressure raised at a rate of 3.4 MPa/min above 500°C. After sintering at 1350°C, samples reached a maximum transparency of 46%. Grasso et al. [64] also fabricated transparent Al₂O₃ with a grain size of 107 nm and a transparency of 65.4%. This fine structure of TC should provide high mechanical strength and good tribological properties [52]. To better control the grain size of transparent Al₂O₃, dopants like 0.3% zirconia and 0.175% MgAl₂O₄ were used by Trunec et al. [53], which increased the transparency by 7-12%. SPS and self-doping techniques were also used to manipulate the grain size of the TC, which affect the mechanical and tribological behavior of the material. Zheng et al. [129] fabricated transparent MgAlON with an approximate grain size of 10 μm by vacuum sintering and HIP. Optically transparent MgAlON is obtained by reactive sintering of a MgO, AlN, and Al₂O₃ powder mixture at 1650°C for 15 hours. The fabricated TC yield 40% increase in the mechanical strength due to the Hall Patch effect and twin lamella strengthening mechanism. Therefore, it is considered as a promising candidate material for tribological applications. Zheng et al. [177] also developed transparent LiAlON with no porosity by reactive sintering at 1750°C for 20 hours of powders AlN, Al₂O₃, and LiAl₅O₈, which eliminated Al₂O₃ secondary phase and porosity to obtain TC with maximum transparency of 85.5%. The hardness and flexural strength of developed TC were 15 GPa and 303 MPa, which provide good wear resistance to the material. The tribological performance of transparent MgAl₂O₄ fabricated by SPS at 73 MPa with different sintering temperatures shows that the friction coefficient and mass loss increase with an increase in sintering temperature [35]. The variation in the mass loss during the wear experiment of transparent spinel with the deviation of hardness and fracture toughness is depicted in **Fig. 6**. High hardness and low fracture toughness achieved at low sintering temperature were suitable for low mass loss during wear. Fracture toughness is highly affected by the microstructure of materials. It is enhanced by encouraging strengthening processes such as grain bridging, which absorbs some of the energy required to propagate fractures.

Lopez et al. [54] also showed that the hardness of TCs increases with decreasing the grain size whereas, the fracture toughness remains the same with the decrease in grain size. The lubricated

1 sliding wear of three transparent MgAl_2O_4 spinel materials with various grain sizes designated as
2 Nano (345nm), Fine (2.1 μm), and Coarse (15 μm) has been studied. Fine MgAl_2O_4 has traditional
3 wear behavior, including mild wear, followed by a rapid shift to severe fracture-controlled wear.
4 A significant grain pull-out was observed on worn surfaces of Fine MgAl_2O_4 , which is compatible
5 with the intergranular mechanism of fracture. Compared to Fine MgAl_2O_4 , Nano and Coarse
6 MgAl_2O_4 both demonstrate a progressive transition from moderate to severe wear and have
7 substantially lower overall wear rates. Both Nano and Coarse MgAl_2O_4 have worn surfaces that
8 show transgranular fracture and material loss, similar to lateral-crack driven chipping. Stronger
9 grain boundaries in Nano MgAl_2O_4 is ascribed to the Y_2O_3 sintering additive employed for grain
10 refining, which might explain the transgranular fracture mode. At the same time, the transgranular
11 fracture seen in Coarse spinel might be due to the enormous size of the grains in that spinel. The
12 fracture surfaces of pure MgAl_2O_4 spinel (**Fig. 4a and Fig. 4b**) and spinel with-1 wt% Si_3N_4 (**Fig.**
13 **4e**), show that in the latter the grain size is significantly smaller [48]. The same is true for spinel
14 with 3 wt% Si_3N_4 , (**Fig. 4g**) which exhibits much smaller grains on the fracture surface than pure
15 spinel. However, in this sample, it seems as if the density of voids had a stronger correlation with
16 the fracture toughness of nanocomposite samples. The fracture toughness of pure spinel, spinel-1
17 wt% Si_3N_4 , and spinel-3 wt% Si_3N_4 was 5.1, 5.3, and 3.1 $\text{MPa}\cdot\text{m}^{0.5}$, respectively. The similarity
18 of the toughness values in pure spinel and spinel having 1% Si_3N_4 indicates that 1% Si_3N_4 has a
19 minimal impact on the toughness of spinel composites. Gledhill et al. reported a toughness of 1.3
20 $\text{MPa}\cdot\text{m}^{0.5}$ for an unheated composite, lower than the value obtained in previously discussed
21 research [17]. The hardness of pure spinel, spinel-1 wt% Si_3N_4 , and spinel-3 wt% Si_3N_4 was 7.7,
22 8.1, and 10.2 GPa, respectively. Heat-treated samples provide a greater hardness value than
23 unheated samples. The combined effect of high hardness and high fracture toughness is observed
24 in the spinel-1 wt% Si_3N_4 and is believed to provide good wear resistance. On the other hand, the
25 presence of voids or secondary phases has a deteriorating effect on the transparency of ceramics,
26 in particular in the visible range. Thus, a careful optimization is required.

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46 **Fig. 18** provides a comparison of hardness and shear modulus of different TCs. The cubic Si_3N_4
47 results as the third hardest transparent material after diamond and c-BN [72]. The excellent
48 mechanical properties will most likely lead to excellent tribological performance of the material.
49 In the case of TCs, there is a very significant relationship between grain size and wear resistance.
50 TCs have the highest hardness and lowest mass loss during the scratch wear test with the load
51 increasing progressively, which provides high wear resistance properties to TCs.
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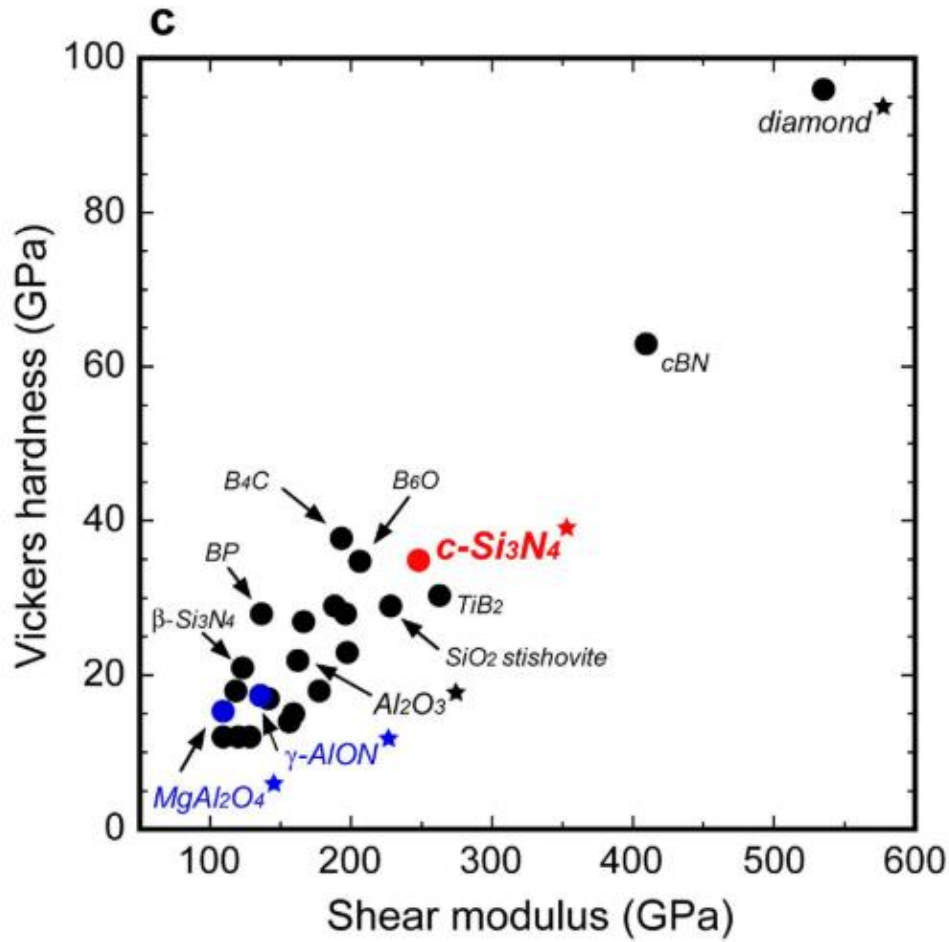


Fig. 18: Vickers Hardness (1kg) of cubic silicon nitride compared to other super-hard ceramics [83].

TCs can be produced by SPS or by vacuum sintering. Compared to single crystals, the transmittance of ceramics is lower due to scattering, as described in section 1 and illustrated in Fig. 2. Light scatters mainly on secondary phases, grain boundaries and pores [26], which is minimized by improving powder processing and ceramic fabrication methods. Lopez et al. [54] observed the change in the microstructure when different grain sized TC is subjected to wear. The material removal from the surface of the coarse and nano grained TCs experience transgranular fracture while performing sliding wear test. In contrast, fine grain size TCs i.e., the grain size between coarse grain and nano grain experience intergranular fracture during sliding wear. The micrographs of fracture surface for coarse, nano and fine grain after sliding wear are shown in Fig. 19a, Fig. 19b, and Fig. 19c respectively.

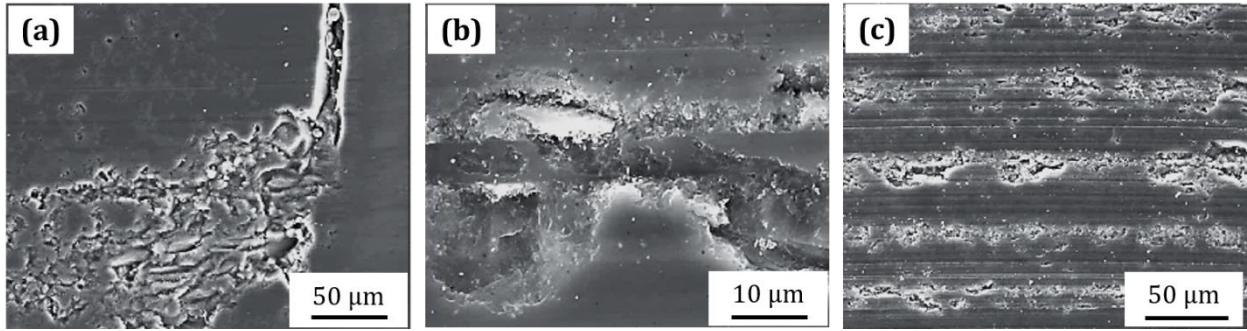


Fig. 19: SEM micrographs of fracture damage in wear scars in a) coarse spinel, b) nano spinel and c) fine spinel at the end of the sliding wear test (1000 min) [54].

Subsurface damage induced in the nanoscratch changes the mechanical properties of the TC [184]. Zang et al. [184] investigated the wear performance of Lu_2O_3 TCs by single nanoscratch, repeated nanoscratch, and double nanoscratch experiments on a nanoindenter. After the first scratch, the residual material changed to a combination of polycrystalline nanocrystallites and amorphous from original micron-size grains. At the same time, many defects, viz., dislocations, stacking faults, nano twins, torsion of an atomic plane, fracture of the atomic plane, and wrong arrangement of the atomic plane were induced in nanograins during the nanoscratch process. The mechanical property of a combination of polycrystalline nano-crystallites and amorphous phases with many atomic-scale defects was different from that of micron-sized grains. These changes of mechanical properties result in the decrease of friction coefficient in the repeated scratch.

Table 4 shows the mechanical, optical, and tribological behavior of different TCs and **Table 5** shows the potential tribological behavior with respect to improved mechanical properties of TCs. **Fig. 20a and Fig. 20b** show the comparison of mechanical properties (hardness and fracture toughness) and transparency of selected TCs. In the case of spinel, Y_2O_3 , Si_3N_4 or SiAlON the change that led to an increase of hardness led also to a better transparency, while in the case of fracture toughness such a trend is not fully clear. All these properties are highly microstructure-dependent, but not always in the same way.

Table 5: Mechanical, optical, and tribological behaviour of TCs.

Material	Sintering Additives	Sintering Parameters	Transparency (%)		Mechanical Properties			Tribological test parameters	Coefficient of friction	Wear Vol. mm ³ / Rate mm ³ Nm ⁻¹	Microstructure	Tribological outcomes	Ref.
					Relative Density (%)	Hardness (GPa)	Fracture Toughness (MPa.m ^{0.5})						
Si ₃ N ₄ (3wt.% MgO + 9wt.% AlN + 1 wt.% REO) (α phase)	Y ₂ O ₃ 1wt%	Type- HP Atmosphere- N ₂ Pressure- 30 MPa Sintering Temperature- 1850 °C Holding Time- 60 min	54	From 500-2500 nm	99.6	21.1	8.5	Load-10N Time-30min Reciprocating	0.33	-	Lower β phase than undoped silicon nitride with interwoven structure. Grain pull-out showed abrasive wear during sliding	Increased hardness and fracture toughness result in a reduction in coefficient of friction.	[65]
	Nd ₂ O ₃ 1wt%	50	99.5		20.9	7.9	0.36						
	Er ₂ O ₃ 1wt%	40	99.3		20.5	7.1	0.39						
	None	64	99.0		20.1	5.9	0.41						
Si ₃ N ₄ (3wt.% MgO + 9wt.% AlN + BN) (α phase)	h-BN (0.25%)	Type- HP Atmosphere- N ₂ Pressure- 30 MPa Sintering Temperature- 1850 °C Holding Time- 60 min	57	From 500-2500 nm	96.7	18.5	6.2	Time-60min Reciprocating	-	0.0350 mm ³	Debris of α-Si ₃ N ₄ made a thin film and decreased wear volume.	Decrease in hardness and increase in fracture toughness results in decreased cof and wear volume	[37,100]
	h-BN (0.5%)	43	93.6		17.75	7.4	0.21		0.0345 mm ³				
	h-BN (1%)	40	92.3		17	7.3	-		0.0320 mm ³				
	h-BN (2%)	25	90.1		16.5	7.3	0.19		0.0280 mm ³				
	None	-	99.0		20.5	5.9	0.24		0.0355 mm ³				
Spinel (MgAl ₂ O ₄)	Commercially available TC was used for the experimentation	Highly transparent	99.5		14.12 ± 0.77	-	Linear reciprocating ball- on-disc Counter-body- Al ₂ O ₄ Load- 20N Velocity- 5000 ms ⁻¹	0.42 ± 0.02	0.094 ± 0.02 mm ³ / 4.72 ± 1.22 mm ³ Nm ⁻¹ (for 1000m) 0.316 ± 0.05 mm ³ / 5.27 ± 0.85 mm ³ Nm ⁻¹ (for 3000m)	-	As the sliding distance increases, the rate of wear increases. On the surface, parallel arrays of fatigue fractures perpendicular to the sliding direction were discovered, suggesting fatigue type wear.	[28]	
AION	Commercially available TC was used for the experimentation	Highly transparent	99.6		16.33 ± 0.5	-	Linear reciprocating ball- on-disc Counter-body- Al ₂ O ₄ Load- 20N Velocity- 5000 ms ⁻¹	0.45 ± 0.02	0.250 ± 0.01 mm ³ / 12.5 ± 2.16 mm ³ Nm ⁻¹ (for 1000m) 0.606 ± 0.03 mm ³ 10.1 ± 0.27 mm ³ Nm ⁻¹ (for 3000m)	-	On the surface, parallel arrays of fatigue fractures perpendicular to the sliding direction were discovered, suggesting fatigue type wear. It was discovered that as the sliding distance increased, the wear rate steadily dropped until it reached a constant value.	[28]	

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Lu ₂ O ₃	Commercially available TC was used for the experimentation	Highly transparent	-	10.95	-	Single Nanoscratch	0.272±0.02	-	Grain boundaries in Lu ₂ O ₃ TCs are extremely weak, and the mismatch degree between neighbouring grains is high. As a result, subsurface fractures cracks propagate along grain boundaries	The friction coefficient rises and tends to remain steady with increasing normal force in repeated nanoscratch testing. Lu ₂ O ₃ TCs undergo ductile deformation during the nanoscratch process as a result of a combination of polycrystalline nanocrystallites in the inner grain and amorphous transformation. Intergranular fractures are the most common kind of subsurface crack propagation for Lu ₂ O ₃ TCs. Additionally, a few transgranular and discontinuous fractures are detected in the subsurface.	[184]
						Repeated Nanoscratch	0.245±0.03				

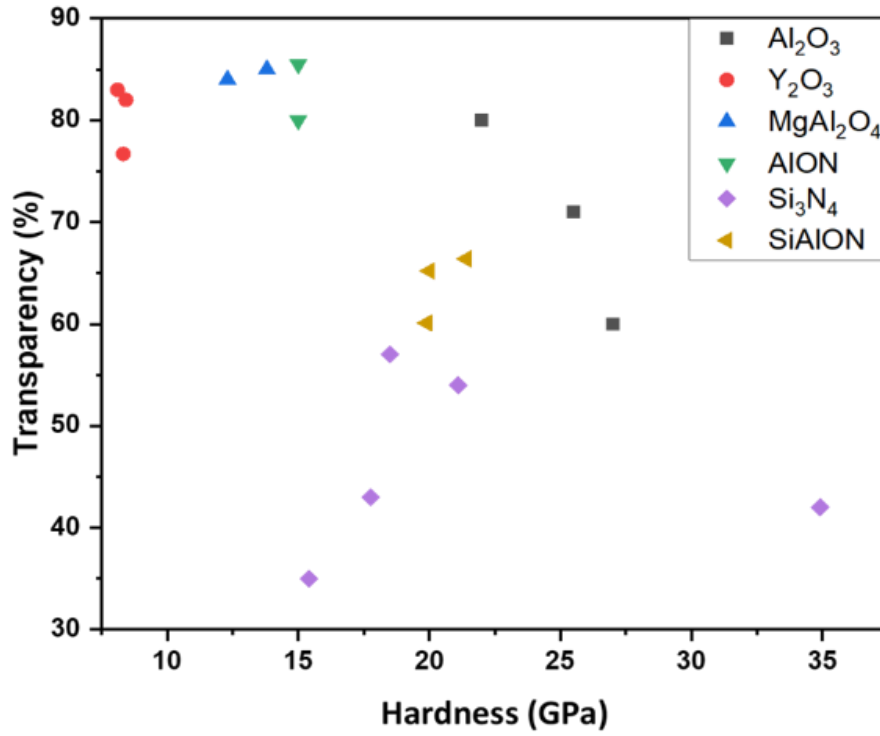
Table 6: Potential tribological behaviour with respect to improved mechanical properties of TCs.

Material	Experiment condition	Sintering Parameters	Transparency (%)		Mechanical Properties				Microstructure	Potential Tribological outcomes	Ref.
					Mean grain size (nm)	Relative Density (%)	Hardness (GPa)	Fracture Toughness (MPa.m ^{1/2})			
Si ₃ N ₄ (α phase) 0.5 μm	Additive- MgO (10nm) 12wt%	Type- SPS Atmosphere- N ₂ Pressure- 50 MPa Sintering Temperature- 1850 °C Holding Time- 5 min	~ 0	From 400-900 nm	290	97	12.1-13.1	6.7-7.7	β phase was formed after sintering. No change in the mean grain size, i.e., 0.29μm of transparent Si ₃ N ₄ was observed with a change in percentage of MgO, but the mean grain size was reduced from 0.37 μm to 0.34 μm when Y ₂ O ₃ was increased from 2% to 12%.	2 wt% Y ₂ O ₃ in Si ₃ N ₄ provide high wear resisting properties to the TC.	[99]
	Additive- Y ₂ O ₃ (0.7μm) 12wt%		~ 0		340	99.8	13.4-14.0	6.3-7.1			
	Additive- Al ₂ O ₃ (0.5μm) 12wt%		-		-	95.3	-	-			
	Additive- MgO (10nm) 2wt%		~ 0		290	99.3	14.0-16.0	6.4-6.6			
	Additive- Y ₂ O ₃ (0.7μm) 2wt%		0-35		370	99.9	14.9-15.9	6.1-6.7			
	Additive- Al ₂ O ₃ (0.5μm) 2wt%		-		-	85.9	-	-			
Y ₂ O ₃ (74μm)	Heating rate- 10 °Cmin ⁻¹	Type- SPS Pressure- 70	46		499	-	-	1.1	With an increase in the heating rate, the mean grain size of transparent Y ₂ O ₃ was decreased significantly	Rapid heating results in tiny grain size and increased fracture toughness, which result in increased wear resistance.	[95]
	Heating rate- 20 °Cmin ⁻¹	Sintering Temperature- 1250 °C	49		410			1.4			
	Heating rate- 50 °Cmin ⁻¹	Holding Time- 10 min	64		205			1.3			

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	Heating rate- 100 °Cmin ⁻¹		66		164			1.3			
Y ₂ O ₃ (90nm)	Preload Pressure – 10MPa	Pressure conditions- It was increased to 100 MPa at 1000 °C and was kept constant during sintering and cooling Heating rate conditions- 100 °C/min 600°C, 25°C/min 1000°C, held 5 minutes, 10°C/min 1300 °C	76.7	At 200 nm	260	99.4	8.32 ± 0.18	1.25 ± 0.07	Increase in preload pressure result in a reduction in mean grain size, but no phase transition is seen.	When preload pressure is increased, the hardness and fracture toughness values fall, resulting in poorer wear resistance.	[94]
	Preload Pressure – 30MPa		70.2		320	98.8	7.56 ± 0.16	1.20 ± 0.05			
	Preload Pressure – 50MPa		51.1		450	98.7	7.01 ± 0.20	1.14 ± 0.04			
MgAl ₂ O ₄	0 wt% LiF	HP vacuum sintering at 1600 °C for 60 min	34	At 1100 nm	3 ± 0.8	-	-	-	Bimodal microstructure converted to equal grain size distribution with increase in wt% addition of LiF	The inclusion of LiF results in a fine structure and low porosity, which improves the hardness and, therefore, the tribological properties.	[60]
	0.5 wt% LiF		40		38 ± 13						
	1 wt% LiF		44		20 ± 10						
MgAl ₂ O ₄	0 wt% Si ₃ N ₄	SPS with maximum pressure and temperature of 80 MPa and 1550 °C for 20 min and heat treated	75	At 2500 nm	-	-	-	-	The porosity of the composite material increased with increase in the addition of Si ₃ N ₄	MgAl ₂ O ₄ -1 wt% Si ₃ N ₄ has a maximum shear strength, indicating that it has the greatest fracture toughness and the potential for the best wear resistance.	[48]
	1 wt% Si ₃ N ₄		71		8.2						
	3 wt% Si ₃ N ₄		58		9.3						
							12.1				

(a)



(b)

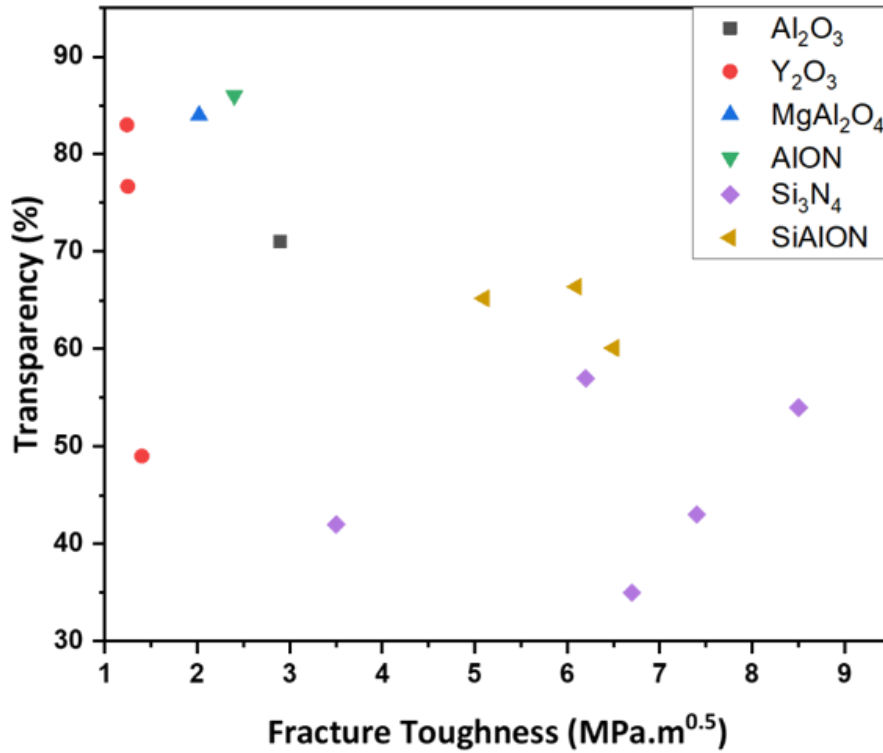


Fig. 20: Transparency vs. a) Hardness, and b) Fracture Toughness for different TCs [27,37,59,63,65,75,83,86,92–101,111,112]

1 The effect of pressure during sintering has various conflicting outcomes for the change in the
2 microstructure of the TCs. When it comes to transparent cubic zirconia (c-ZrO₂), the studies
3 established no effect of pressure on grain growth [233,234]. However, another study [235] found
4 an increase in grain size when the pressure was increased, which decrease the wear resistance. On
5 the other hand, a study [236] found both phenomena to occur: a minimal pressure influence on
6 grain growth at low temperature (1100°C) and a considerable pressure effect on grain growth
7 acceleration at high temperature (1200°C).
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11 In addition, when compared to pressureless sintering under vacuum, the use of pressure-assisted
12 methods allows the use of lower sintering temperatures. The densification is one of the significant
13 factors for wear resistance because densification and reduction of porosity during sintering affect
14 the tribological performance of the TC. When SPS is used to obtain transparent ceramics, sintering
15 occurs from inside to outside of the sample for the low heating rate at high pressure (400 MPa)
16 [236], and from outside to inside for high heating rate at low pressure (80 MPa) [237]. The increase
17 in pressure allows to reduce the sintering temperature and to develop a fine grain size [47,238].
18 Kim et al. [236] also studied the pressure effect on the grain and grain boundary of the MgO-
19 doped transparent Al₂O₃. It was observed that the compressive pressure [239] lowers both the free
20 volume and the transfer of atoms between grain boundaries, resulting in reduced grain-boundary
21 mobility and decreasing the wear resistance of the TC. Consequently, the increase in the
22 hydrostatic pressure leads to the densification of the polycrystals and to a decrease of the grain
23 growth rate.
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27 It is concluded that the pressure increase during sintering should lead to the production of TCs
28 with a fine structure and a low grain-boundary movement, which in turn increase the wear
29 resistance of these materials.
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36 **4. Mechanisms of material removal**

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Wear modes vary according to the materials in contact, working load, mode and speed of relative motion, temperature, lubrication conditions, and environment. As a result, wear mechanisms vary considerably from case to case [240]. In addition, the predictive and quantitative modelling of the wear mechanism is complicated compared to contact mechanic issues. This section includes discussions on a material removal mechanisms in terms of material properties during the tribological application of TCs. Different friction and wear coefficients between different tribopairs indicated complicated material removal processes. The change in the microstructure and mechanical properties of the TCs affects its material removal mechanism [73,223,224]. The

densification of TCs and the respective sintering conditions also affect the material removal mechanism during wear.

Abrasive particles develop during the wear process due to work hardening, phase changes, and the creation of a third body at the interface during sliding [241]. When hard asperities or hard particles travel over a soft surface, as well as when hard particles travel over a hard surface, abrasion occurs in both cases [145]. There are three types of mechanisms observed during abrasive wear, i.e., micro-cutting, wedge formation, and ploughing [242,243], as shown in **Fig. 21**. When the hard particles slide over a soft surface it result in formation of debris. When the debris is produced in form of chips, the mechanism is called micro-cutting. The wedge formation is observed when the abrasive particles slide on the material and the material deposit at certain position. The micro-cutting and wedge formation of abrasion are generally observed for low scratch length during sliding [244]. However, if debris is not formed, the action is called ploughing. Both cutting and ploughing result in plastic deformation and strain hardening of the material which improve the hardness and wear resistance of the material. This is not the case when hard asperities travel over a hard surface as no plastic deformation is possible for brittle material. Therefore, three types of cracks are observed in the material, i.e., radial, median and lateral cracks (**Fig. 3**). Studies reveal that the wear behaviour of TCs is abrasive [35,37,65,66].

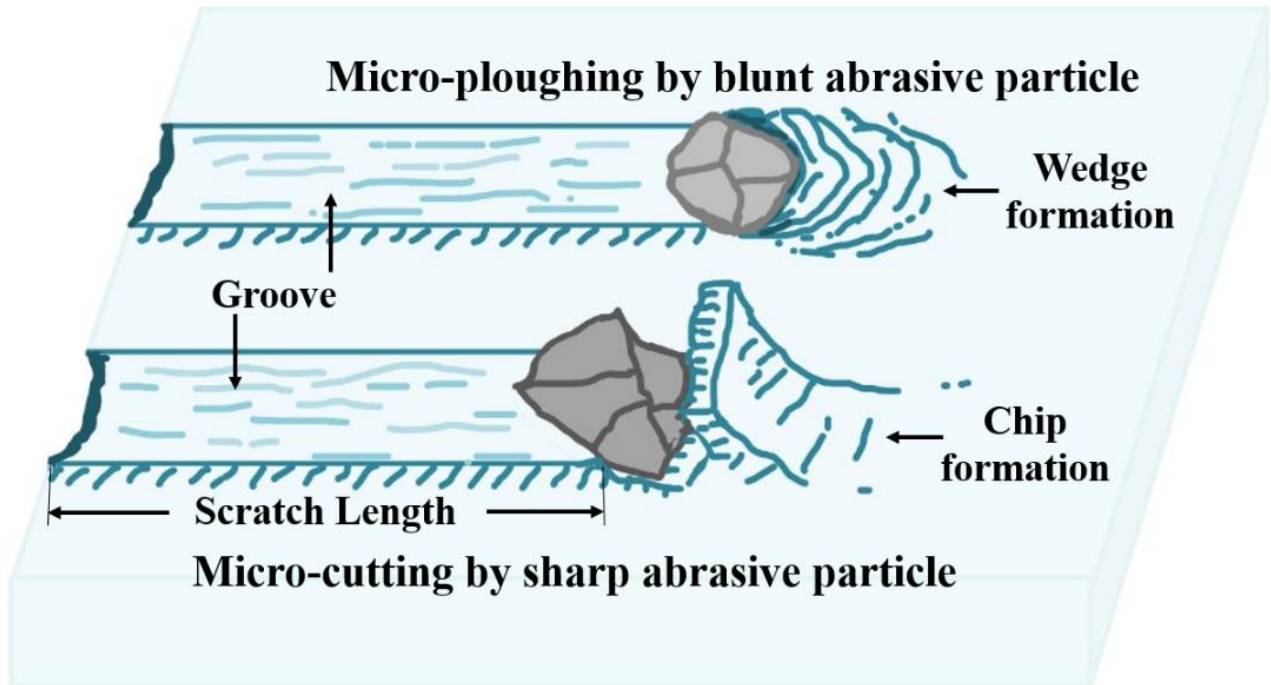


Fig. 21: Types of mechanisms observed during abrasive wear depending on abrasive particles.

Joshi et al. [37] depicted that worn surfaces on transparent Si_3N_4 were formed due to the pulling out of grains during the wear experiment, with an abrasive wear. Also, if the grains of doping agents are more easily pulled, because of the interlocking of the asperity and the grain of the doping agent, the abrasion increases. The interlocking of abrasive particles and doping particles and their wear is shown in **Fig. 22a** and **Fig. 22b**. The grain size of the doping agents and of the parent phase must be similar for low abrasive wear rate. The shape of the grain also affects the wear behaviour, like $\beta\text{-Si}_3\text{N}_4$ has a large elongated grain structure compared to $\alpha\text{-Si}_3\text{N}_4$, and indeed $\alpha\text{-Si}_3\text{N}_4$ has better tribological characteristics [65]. Similarly, the incorporation of nano twins into nanostructured polycrystalline cBN results to form equiaxed grains, which led to an increased hardness and improved wear resistance of TC.

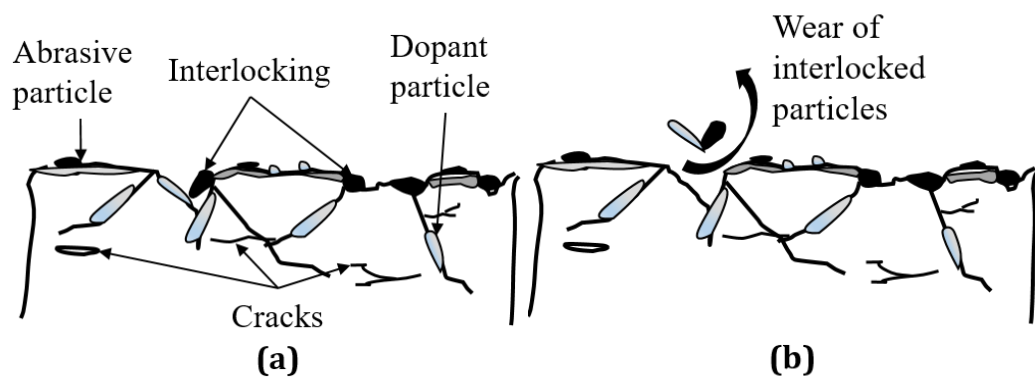


Fig. 22: Representation of a) interlocking of abrasive and doped particle, and b) their wear during sliding of abrasive particle on transparent ceramic.

To understand the wear mechanism, a scratch test was performed on transparent MgAl_2O_4 [66]. Three different regimes were distinguished along the scratch direction *viz.*, micro-ductile, micro-cracking, and micro-abrasive as discussed previously in **Fig. 3**. In every regime, the transport and removal of material are governed by abrasive wear. Different types of cracks are intensely influenced by applied load and stress. The median, radial, and lateral fractures contribute to the characteristic wear pattern. Scratch testing was also used to analyze the mechanical and tribological properties of transparent Lu_2O_3 , as mentioned in **section 2.4**. Hard-brittle transparent materials with a brittle surface deformation characteristic and a material removal process were studied, and the results offered valuable information [184]. Several imperfections, comprising dislocations, stacking faults, nano twins, atomic plane torsion, atomic plane fracture, and atomic plane misalignment were produced in nanograins due to the nanoscratch process. All kinds of defects interacted differently towards material removal during scratching. When scratching TCs,

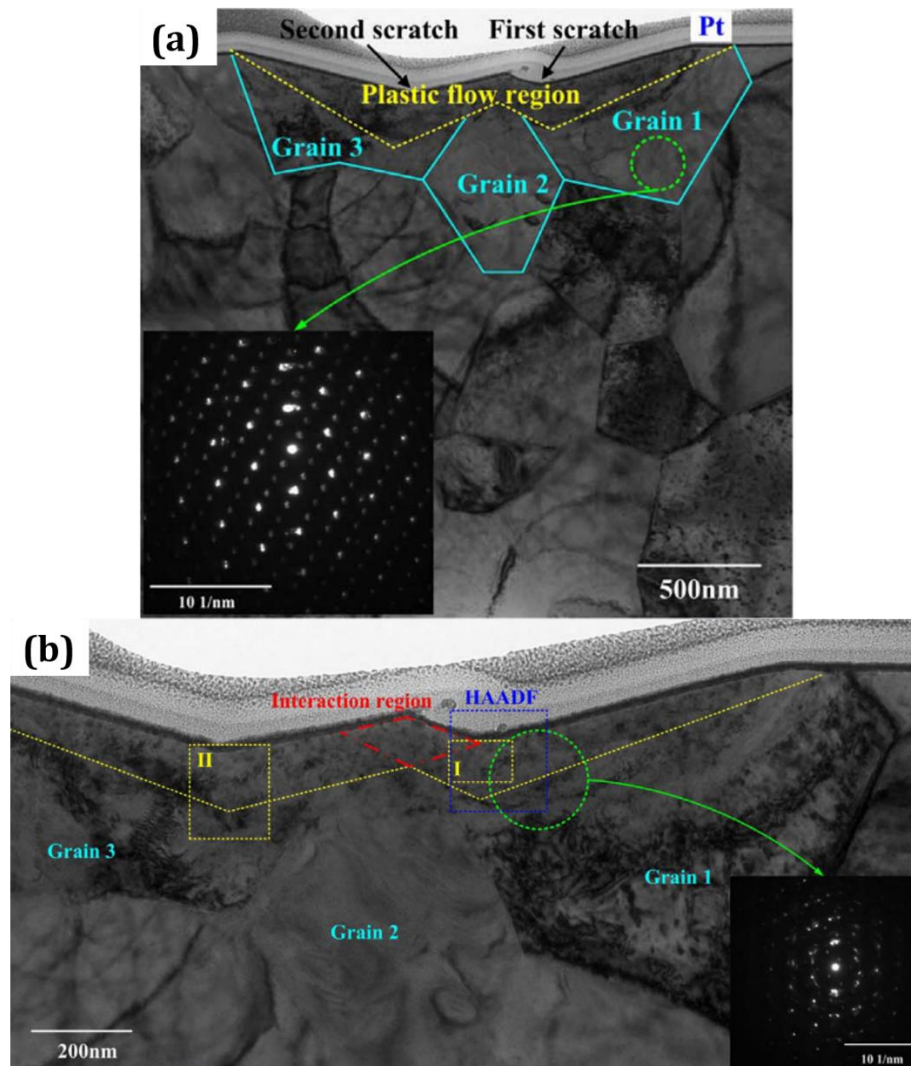
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the interaction between scratches has a significant effect on the material removal mechanism. While performing the scratch experiment, the material removal mechanism is dependent on scratch length. A ductile material removal mechanism is observed if the scratch length is large and brittle for a small scratch length [244].

Benaissa et al. [35] fabricated various MgAl_2O_4 TCs by SPS at different sintering temperatures and observed after tribological testing that these samples had an abrasive wear mechanism. Besides, it was also noted that the friction coefficient changed in a specific way for each sintering temperature variation. The authors further pointed out that the mass loss increased during wear as the sintering temperature increased. The wear volume of the TC was found to be proportional to its hardness. The hardness of TCs increases with the reduction of the sintering temperature as the grain size decreased and the hard TC prevent the particles being pulled away from the surface. On increasing the grain size of the sintered samples during high sintering temperature, the grain pulled-out and abrasion wear of TCs increased. As the wear rate and mechanism is directly related to the mechanical properties of the material, Zhao et al. [174] observed wear mechanism for TC with additives. The nano-sized silicon carbide (SiC) and zirconia (ZrO_2) particles were added to AlON by hot-press sintering which significantly increased the mechanical characteristics (relative density, microhardness, Young's modulus, flexural strength, and fracture toughness). Additionally, the fracture mode in the nanoparticle reinforced composites shifted from intergranular to mixed cracking. Numerous toughening mechanisms, including fracture deflection, crack bridging, and crack branching, were found in SiC and ZrN nanoparticulate reinforced AlON composites. These methods successfully enhanced crack propagation resistance, resulting in a 41.4% and 28.6% increase in flexural strength and fracture toughness, respectively, when compared to pure AlON.

To understand the ductile deformation mechanism of transparent Lu_2O_3 during sliding wear, transmission electron microscopy was conducted [184]. It was observed that subsurface of TC contains three types of grains beneath the scratch, as shown in **Fig. 23a** and **Fig. 23b**. The first scratch is concentrated on Grain 1, whereas the second scratch is concentrated on Grain 3. When the cutting depth was within a particular depth, there was a visible plastic flow area with no subsurface cracks, indicating that the machining process was entirely ductile. The transmission electron microscopy pictures suggested that the process included a combination of polycrystalline nanocrystallites inside the inner grain and amorphous transition, as shown in **Fig. 24a**. Numerous defects, including as dislocations, nano twins, stacking faults, twisting of atomic planes, misalignment of atomic planes, and fracturing of atomic planes were produced in nanograins

1 created during the nano-scratch process as a consequence of the stress field (**Fig. 24**). When single
 2 crystal grains transform into polycrystalline nanocrystallites, the plastic deformation mechanism
 3 shifts from intragranular dislocation to grain boundary movements, such as grain sliding
 4 [246,247], grain rotation [248–251], and grain migration [252]. This is similar to previous research
 5 on the ductile deformation mechanism of $Gd_3Ga_5O_{12}$ single crystals [245]. Alike previous research
 6 on the ductile deformation mechanism of $Gd_3Ga_5O_{12}$ single crystal, when single crystal grains
 7 transform into polycrystalline nanocrystallites, the plastic deformation mechanism shifted from
 8 intragranular dislocation to grain boundary movements, such as grain sliding, grain rotation, and
 9 grain migration. Specifically, the scratch subsurface of Grain 3 was separated into three regions,
 10 as shown in **Fig. 24b**: the plastic flow region, the transitional region, and the grain matrix. In the
 11 plastic flow zone, a significant quantity of residual stress was discovered. Furthermore, the nano
 12 grains of the plastic flow zone include a large number of flaws that were produced during the
 13 machining process (**Fig. 24c and Fig. 24d**).



59 *Fig. 23: Transmission electron micrographs of transparent Lu_2O_3 during sliding wear [184].*

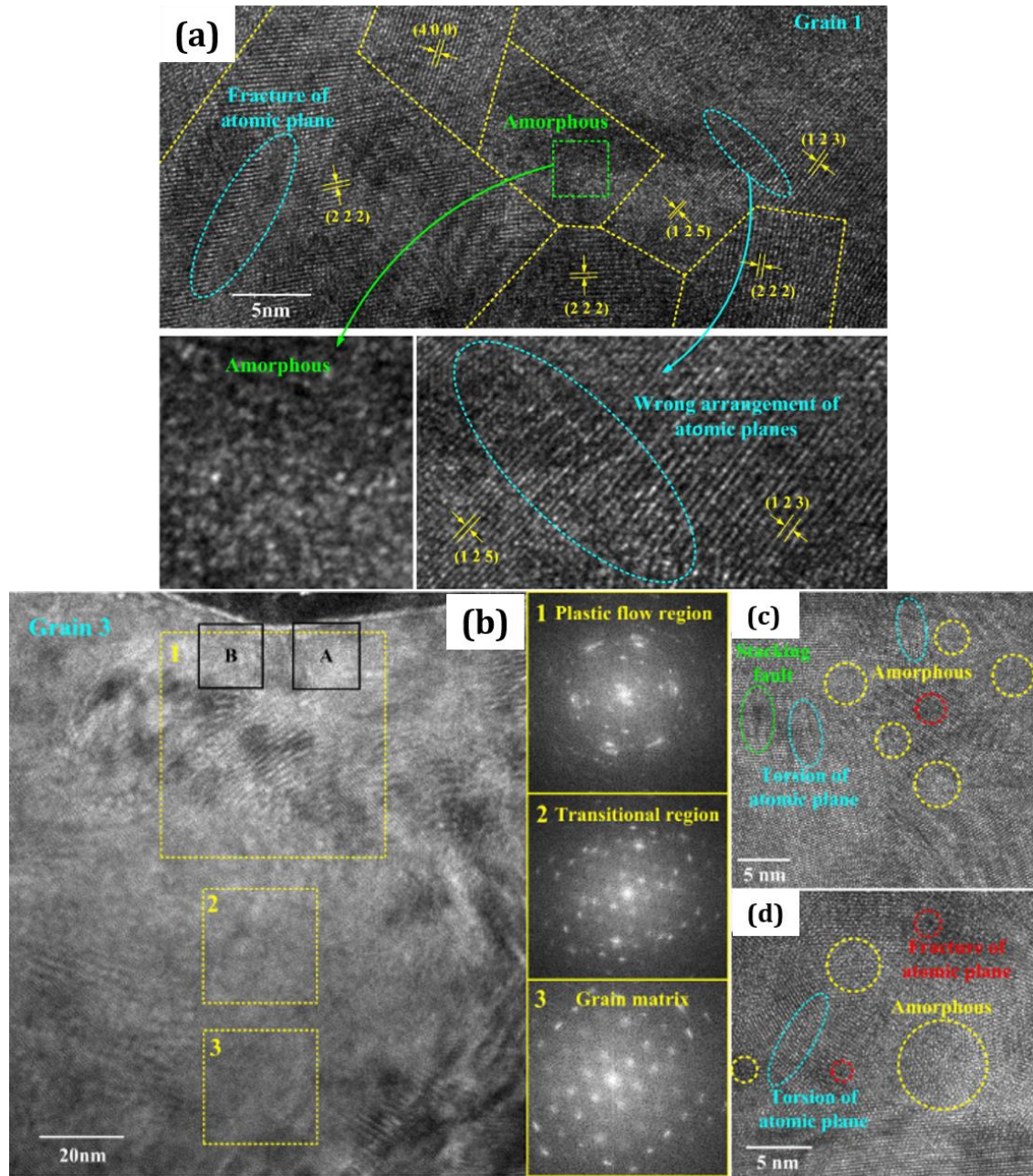


Fig. 24: High-resolution transmission electron microscopy of sections a) I, and b) II shown in figure 22b. c) and d) represent the types of flaws formed beneath scratch [184].

Microstructure refinement generally leads to a significant increase in hardness. The hardness of the TC is affected by numerous factors. The wear volume of the TC is found to be proportional to its hardness. TC's hardness increases as the grain size decreases, and the hard TC resists surface wear [35]. Sheikh et al. [51] also investigated transparent $MgAl_2O_4/Si_3N_4$ nanocomposite for hardness, fracture toughness, and transparency. The decrease of transparency and enhancement in

mechanical properties is due to the presence of Si_3N_4 reinforcing nanoparticles and the relative toughening mechanism of MgAl_2O_4 . **Fig. 25a, Fig. 25b, and Fig. 25c** illustrates the surface morphologies of the wear surfaces of TC sintered at 1300°C , 1350°C , and 1400°C , respectively. The grain size obtained at a higher sintering temperature is considerably higher than that obtained at a lower temperature. At all sintering temperatures, wear surfaces of the transparent spinel showed some grooves, demonstrating that abrasion wear was the dominant mode of wear in sintered samples. It was evident that increasing the grain size of the sintered sample resulted in increased abrasion wear. The addition of Dy_2O_3 to Si_3N_4 combined with AlN and Al_2O_3 resulted in a TC with a high fracture toughness and hardness. No glassy phase was observed at the grain boundary coupled with a homogeneous microstructure with equiaxed grains [101]. The addition of a doping agent provided a homogenous crystalline microstructure with increased hardness and fracture toughness resulting in improved wear resistance with a change in material removal mechanism.

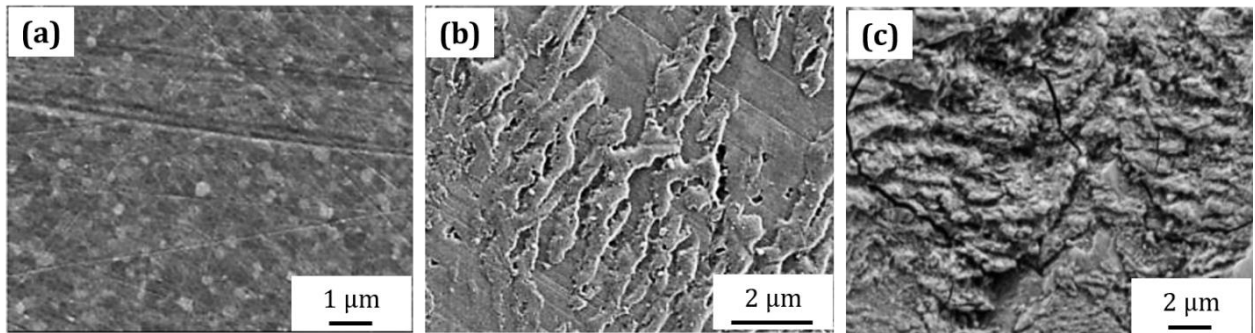


Fig. 25: Morphologies of the worn surfaces of transparent $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ nanocomposite sintered at a) 1300°C b) 1350°C c) 1400°C [35] passing from groves, to accumulated material and cracking of the worn surface due to an increased grain size of the matrix.

Bodhak et al. [28] fabricated MgAl_2O_4 for an application in total hip arthroplasty and total knee arthroplasty, testing the fretting wear in the joint. Generally, a material's wear resistance is directly proportional to its hardness, i.e., the higher the hardness, the greater the wear resistance. However, there are several situations and materials where hardness does not correlate with wear [253–255]. To understand the wear mechanism, SEM images of spinel and AION's worn tracks were observed by Bodhak et al. [28] in stimulated body fluid medium. Parallel arrays of fatigue cracks positioned perpendicular to the sliding direction were identified by high magnification investigation. Both transparent AION and MgAl_2O_4 materials exhibited fatigue type wear. The small microcracks combined repeatedly to produce a longer crack. However, flaking and the production of wear debris increased in severity and became more noticeable on the AION surface. It was previously

1 assumed that the wear mechanism changed from abrasive and brittle fracture to fatigue wear as a
2 result of the production of lubricating films on the surfaces during the wear testing. Another
3 investigation on the wear of Al₂O₃-on-Al₂O₃ prosthetic hip joints [89] employed a multidirectional
4 motion pin-on-disk device in distilled water and calf serum and found that moderate abrasion was
5 the predominant wear mechanism. It was concluded that the alterations in surface roughness and
6 method of preparation of TC contributed to different wear mechanisms and wear rates.
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9 The material removal mechanism of TCs is directly affected by their densification degree.
10 Transparent Y₂O₃ ceramic demonstrated that the powders processed with ball milling exhibit
11 enhanced densification during SPS, possibly changing the material removal mechanism during
12 wear [113]. There are two potential explanations: 1) The imperfections produced on the powder
13 surfaces increase the electric current's impact during SPS processing. The milling process
14 introduces large amounts of defects onto the powder surfaces. These defects enhance the
15 conductivity of Y₂O₃ and subsequently improve sintering kinetics by an increase of electric current
16 during SPS sintering. 2) The milling process decrease particle size, which be related to the current
17 effect generated during SPS processing. Both these explanations demonstrate that the mechanism
18 for material removal will be different for different wear tests.
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28 An [186] fabricated transparent Lu₂O₃ ceramics by SPS using LiF as a sintering aid. The pressure
29 and temperature of SPS varied between 20-100 MPa and 1000°C-1600°C, respectively, for 5-600
30 minutes. The fracture surface of the TC was intergranular below 1500°C and transgranular above
31 1500°C. A relationship has been established between the fracture toughness of TC and
32 intergranular fracture occurring in TCs. An intergranular fracture occurs with coarse-grained
33 microstructures, which is most likely caused by grain-boundary embrittlement. The embrittlement
34 is produced by residual LiF, impurities, or residual stress [146]. It has been postulated that the
35 weak boundaries of huge grains serve as critical surface defects in forming large grains. The
36 fracture between grains that persists in coarse-grained microstructures lowers the overall strength
37 of the material, which subsequently affects the tribological characteristic of the TC. Similarly, the
38 fracture mechanism for transparent cBN changed from intergranular to transgranular when the
39 sintering temperature is increased [205].
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51 During rapid grain formation, the residual porosity in the sintered body is maintained, decreasing
52 fracture toughness [205]. Thus, pore removal at the optimal sintering temperature is critical for
53 creating a well-sintered material with superior mechanical properties. When fine powder and
54 coarse powder are compared, it is discovered that fine powder has a greater driving force potential
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than coarse powder owing to the greater surface area of fine powder. For example, the surface area of fine powder with particles ranging from 0.5 to 1.2 μm is about ten times that of coarse powder with particles ranging from 8 to 12 μm [205]. These findings suggest that rapid grain formation occurs quickly in fine powders around the optimum sintering temperature. With decreasing particle sizes, controlling the sintering environment to create dense sintered bodies becomes increasingly tricky. Controlling the sintering temperature in fine-grained powders without causing grain formation is not straightforward, but affects the tribological characteristics.

5. Conclusions

This review aims to provide some meaningful guidelines for tribologists on the future study of tribological behaviour of transparent ceramics while identifying the wear mechanisms under particular wear conditions. Considering the extensive range of tribological applications, wear behaviour of TCs is extensively discussed in the present review and the following observations have been derived.

1. The microstructure of the TCs influences the mechanical, tribological and optical properties. There is a strong effect of microstructure, but the effect is not always be the same. Porosity affects all of the properties negatively, the presence of a secondary phase enhance mechanical (and tribological) properties, but has a deleterious effect on transparency. A solution come from a significant reduction of the grain size. The effect is stronger in the visible range compared to IR.
2. An increase in sintering temperature results in grain growth. In the case of non-cubic materials (e.g. alumina) or composite ceramics, this causes a reduction of transparency. The grain refinement of transparent ceramics is required to improve the mechanical behaviour, which further enhances the tribological performance of TCs. Therefore, optimum grain size is needed to achieve good tribological behaviour and good transparency. Fine-grained TCs are promising for both mechanical and optical properties and is successfully produced by SPS.
3. Fracture of TCs introduce median, radial, and lateral cracks, which result in the formation of debris. Besides the major microstructural factors affecting the wear of TC (porosity, phase composition, surface finishing), a notable influence is given by dislocations, stacking faults, nano twins, atomic plane torsion, atomic plane fracture, and atomic plane misalignment during scratching or erosion of TC.
4. Fracture toughness of TCs is highly affected by the microstructure of materials. Fracture toughness of TCs with maintaining their transparency is improved by strengthening and

toughening mechanisms such as crack bridging, crack deflection, and crack branching, which absorbs some of the energy required to propagate fractures.

5. While scratching the TC the subsurface damage caused by the first nanoscratch modify the mechanical characteristics of the material, which in turn modify the tribological characteristics.

6. Abrasive particles develop during the wear process due to work hardening, phase changes, and the creation of a third body at the interface which are responsible for abrasive wear mechanism in the TCs. TCs operated under lubricated conditions are observed to experience fretting wear mechanism rather than abrasive wear mechanism.

6. Outlooks

For a comprehensive wear behaviour of TCs, microstructural characteristics must be included into modern wear models/theories in order to accurately estimate material removal under sliding or erosive situations. The majority of studies reported ambient temperature wear behaviour by estimating mechanical and microstructural characteristics of TCs, however, the influence of mechanical and microstructural properties on tribological applications must be taken into consideration at higher temperatures. Additionally, little research has been conducted on TCs to better understand their behaviour in low temperature tribological applications. A future research examining the influence of mechanical or microstructural properties at low temperatures should evaluate the possibility of using TCs for space or marine applications.

Additionally, the mechanics of deterioration under various wear circumstances must be completely understood. In light of the tribological performance, the parameters defining the microstructure (e.g. the amount of additive, the sintering method, sintering temperature, and sintering pressure) which are responsible for microstructural features must be studied in detail. Furthermore, studies must be expanded to better understand the performance of TC with high hardness and fracture toughness. The dependence of the ratio between hardness and fracture toughness, which is a prominent aspect of wear resistance of the ceramic materials, should be studied in case of TCs. Also the material removal mechanisms of TCs should be investigated in detail. While the majority of published research focuses on understanding the behaviour of transparency of TCs, one needs to examine the tribological potential of TCs for use in critical fields such as, explosive ordnance visors, aircraft, spacecraft, re-entry vehicles, electromagnetic windows, face shields, screens for smartphones and more. Lastly, and most importantly, the optical properties upon impact or scratch should be investigated in view of prolonged use.

Authors' Contributions:

1 Divyansh Mittal: Investigation, Resources, Data Curation, Writing - Original Draft

2 Jan Hostaša: Validation, Resources, Data Curation, Writing - Review & Editing, Visualization

3 Laura Silvestroni: Visualization, Resources, Data Curation, Writing - Review & Editing

4 Laura Esposito: Resources, Data Curation, Writing - Review & Editing

5 Anita Mohan: Formal analysis, Writing - Review & Editing

6 Rajiv Kumar: Formal analysis, Data Curation, Writing - Review & Editing

7 Sandan Kumar Sharma: Conceptualization, Methodology, Visualization, Validation, Resources, Data
8 Curation, Writing - Review & Editing, Supervision.

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31 **Dr. Jan Hostaša** is a researcher with a permanent position at the CNR ISTECC, Faenza, Italy. In
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35 functional ceramics for optical applications and the fabrication of composite and gradient
36 structures. He is one of the founders of a spin-off of the CNR, Zenit Smart Polycrystals.



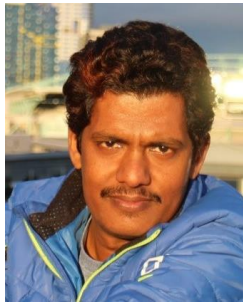
46 **Dr. Laura Silvestroni** is a researcher at the Institute of Science and Technology for Ceramics.
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4 expertise in the field of European research funding programs. Her field of expertise at ISTE
5 is transparent ceramics for optical applications.
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List of Figure Captions

- 1 Fig. 1: Hardness and fracture toughness of different transparent ceramics such as SiAlON, Si₃N₄,
2 AlON, Y₂O₃, Al₂O₃, MgAl₂O₄, NBK-7, Float glass, Float glass hardened, Borofloat,
3 Borofloat hardened, Gorilla glass, Gorilla glass hardened, B270, B270 Hardened
4 [37,44,63,65,75,86,92,94,96–101,111–113].
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8 Fig. 2: Different sources for scattering the light.
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10 Fig. 3: Typical scratch patterns on brittle materials during a progressive scratch [66].
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12 Fig. 4: Microstructure of MgAl₂O₄ obtained a), b), c) via SPS at different temperature without
13 additive [35], d) via HIP without additive [60] e), f) via HIP at different temperature with
14 0.5 wt% LiF and 1 wt% LiF [60], g) and h) via HIP at different temperature with 1 wt%
15 Si₃N₄ and 3 wt% Si₃N₄ [48].
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19 Fig. 5: Wear rate of Spinel and AlON [28].
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21 Fig. 6: Hardness, fracture toughness and mass loss upon friction vs. sintering temperature of spinel
22 [35].
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24 Fig. 7: Microstructure of Al₂O₃ obtained via SPS at 1350 oC a) pure and doped with b) Mg, c) Y
25 and , d) La [166], or e) doped by ZrO₂ and MgAl₂O₄, [165] and f) by ZrO₂ and MgO,
26 presintered and HIPed [39]
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30 Fig. 8: Microstructure of a) pure AlON [174] b) SiC-ZrN-AlON composite [174] c), d), e) MgAlON
31 [175,176], f), and g) LiAlON [177,178]
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34 Fig. 9: Relationship of a) penetration depth vs. normal force and b) coefficient of friction vs. normal
35 force in single nanoscratch and repeated nanoscratch tests on Lu₂O₃ TCs [184].
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37 Fig. 10: Crack propagation in transparent Lu₂O₃ under a) low load and b) high load conditions
38 [184].
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41 Fig. 11: Microstructure of a), b), c) undoped Lu₂O₃ sintered by single stage SPS, d) Yb-doped two
42 stage SPS, and e) Yb-doped two stage vacuum sintering [186,187].
43
44 Fig. 12: Variation of average grain size (μm), transparency (%), and relative density (%) of
45 transparent Lu₂O₃ without additive as a function of the sintering temperature by SPS
46 [186,187].
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50 Fig. 13: Micrographs of transparent Y₂O₃ obtained a) via vacuum sintering without additive [194]
51 b), c), d) via vacuum sintering with 0.2 mol%, 2 mol% and 5 mol% ZrO₂ dopant [194] e)
52 via HIP followed by vacuum sintering [197], f), g), h) and i) via SPS at different
53 temperatures [200].
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Fig. 14: Relative density, hardness, and fracture toughness vs. sintering temperature of cBN with initial particle size a) 0.5-1.2 μm b) 2-4 μm , and c) 8-12 μm [205]

Fig. 15: Doping agent vs. Hardness and Fracture Toughness in Si_3N_4 .

Fig. 16: Variation of a) hardness, fracture toughness, wear volume, and b) friction coefficient with different h-BN content [100].

Fig. 17: Modeling wear in different wear regimes. (a) Asperity-scale abrasion in mild wear regime, (b) tensile cracks inside the nominal contact in severe wear regime, and (c) gross fracture in the nominal contact in ultra-severe wear regime [228].

Fig. 18: Vickers Hardness (1kg) of cubic silicon nitride compared to other super-hard ceramics [83].

Fig. 19: SEM micrographs of fracture damage in wear scars in a) coarse spinel, b) nano spinel and c) fine spinel at the end of the sliding wear test (1000 min) [54].

Fig. 20: Transparency vs. a) Hardness, and b) Fracture Toughness for different TCs [27,37,59,63,65,75,83,86,92–101,111,112]

Fig. 21: Types of mechanisms observed during abrasive wear depending on abrasive particles.

Fig. 22: Representation of a) interlocking of abrasive and doped particle, and b) their wear during sliding of abrasive particle on transparent ceramic.

Fig. 23: Transmission electron micrographs of transparent Lu_2O_3 during sliding wear [184].

Fig. 24: High-resolution transmission electron microscopy of sections a) I, and b) II shown in figure 22b. c) and d) represent the types of flaws formed beneath scratch [184].

Fig. 25: Morphologies of the worn surfaces of transparent $\text{MgAl}_2\text{O}_4/\text{Si}_3\text{N}_4$ nanocomposite sintered at a) 1300°C b) 1350°C c) 1400°C [35] passing from grooves, to accumulated material and cracking of the worn surface due to an increased grain size of the matrix.

List of Table Captions

1 Table 1: Effect of different factors on transparency and tribological behavior

2 Table 2: Physical properties of transparent ceramics with potential in tribological applications.

3 [27,37,59,63,65,75,83,86,92–112]

4 Table 3: Mechanical and optical properties of different AlON-based ceramics.

5 Table 4: Mechanical and optical properties of different Y₂O₃ TCs.

6 Table 5: Mechanical, optical, and tribological behaviour of TCs.

7 Table 6: Potential tribological behaviour with respect to improved mechanical properties of TCs.